

# Permutations generated by a stack of depth 2 and an infinite stack in series

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## Abstract

We prove that the set of permutations generated by a stack of depth two and an infinite stack in series has a basis (defining set of forbidden patterns) consisting of 20 permutations of length 5, 6, 7 and 8. We prove this via a “canonical” generating algorithm.

## 1 Introduction

In this article we examine the set of permutations that can be generated by passing the sequence  $1, 2, \dots, n$  through a stack of depth two followed by an infinite stack, as in Figure 1. The *depth* of a stack is the number of tokens it can hold, including one space at the top for passing tokens through the stack. By convention we pass tokens right to left.

We prove in Theorem 9 that a permutation can be generated in this way if and only if it avoids a list of sub-patterns of 20 permutations, and furnish a deterministic procedure (Algorithm 4) for generating them. These permutations were found initially by computations with a stack of depth two and a stack of depth  $k$  for increasing  $k$  by Linton [6].

The current interest in permutations that avoid sub-patterns could perhaps be traced back to Knuth, who proved that a permutation can be generated by passing an ordered sequence through a single infinite stack if and only if it avoids the subsequence  $3, 1, 2$  [5]<sup>1</sup>.

For two infinite stacks in series, the set of avoided minimal sub-patterns that characterize the permutations that can be generated is infinite [7]. But somewhere between a

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<sup>1</sup>Actually he proved the equivalent fact that a permutation can be sorted if and only if it avoids  $2, 3, 1$ . He also showed that they are enumerated by the Catalan numbers.

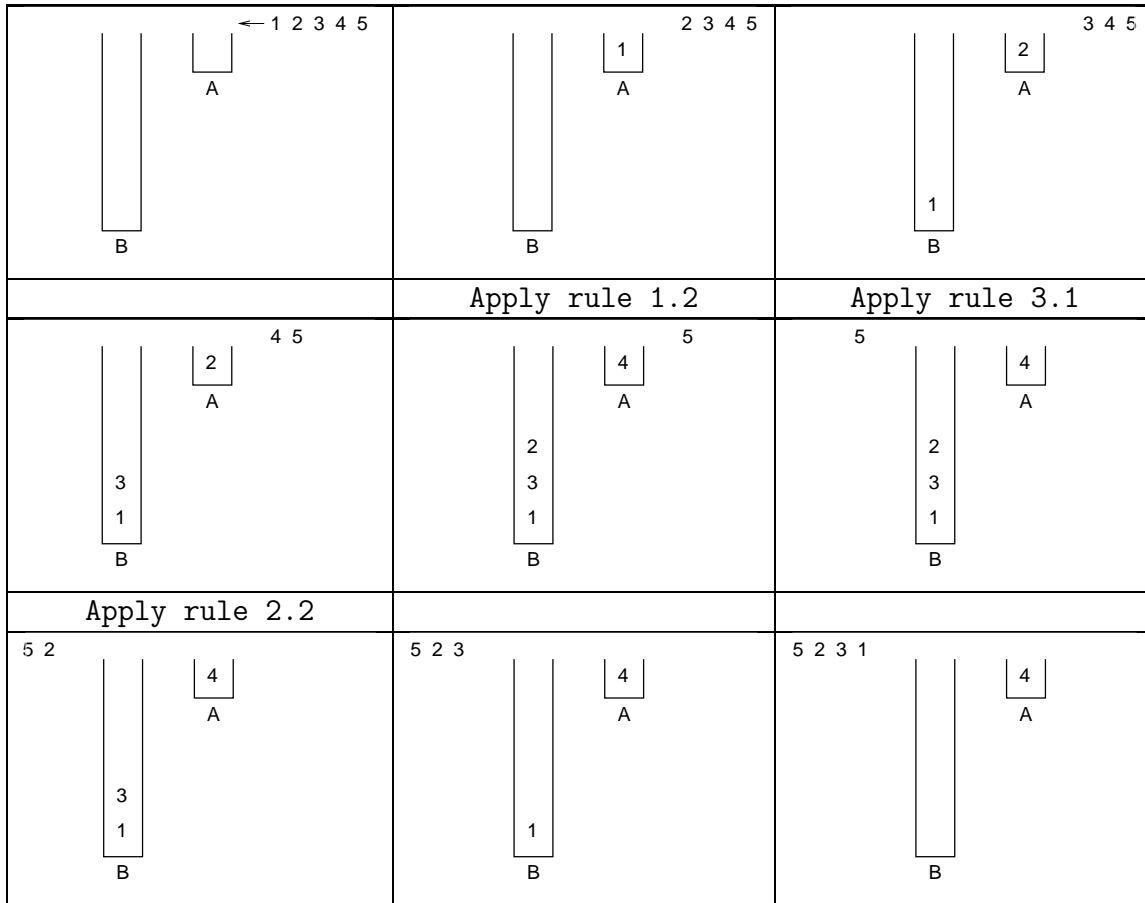


Figure 1: Generating the permutation 52314.

first stack of depth one (*ie.* no first stack) and infinite depth, there is a break point where the basis goes from being finite to infinite (see Lemma 1).

A good overview of permutations generated and sorted in various ways using stacks can be read in [3] and a good introduction to the field of pattern avoiding permutations can be found in [2]. Recent open problems in the field are summarized in [4].

The article is organized as follows. In Section 2 we define permutations and pattern avoidance, and give some basic facts and terminology for permutations generated by stacks. In Section 3 we describe an algorithm to decide whether or not a given permutation can be generated using a stack of depth two followed by an infinite stack. We prove that the algorithm is valid, and that a permutation is accepted if and only if it can be generated by the stacks, if and only if it avoids the 20 permutations.

## 2 Preliminaries

A *permutation* is an arrangement of a finite number of distinct elements of a linear order, for example, 5, 1, 2, 4, 3 or 4, 6, 1. It is customary to omit the commas and write 51243. Two permutations are *order isomorphic* if they have the same relative ordering. So 231 and 461 are order isomorphic. Define a *sub-permutation* of a permutation  $p_1 \dots p_n$  to be a word  $p_{i_1} \dots p_{i_s}$  with  $i_1 < \dots < i_s$ . A *subinterval* of a permutation is a sub-permutation consisting of contiguous entries, that is,  $i_{j+1} = i_j + 1$  for  $j = 1, \dots, s-1$ . A permutation  $p$  *contains* or *involves* a permutation  $q$  if it has a sub-permutation that is order isomorphic to  $q$ . So  $p = 51243$  contains  $q = 321$  since deleting the entries 1 and 2 of  $p$  gives the sub-permutation 543 which is order isomorphic to  $q$ . A permutation  $p$  *avoids*  $q$  if it does not contain it. So 51243 avoids the permutation 231 since no sub-permutation is order isomorphic to 231.

A set of permutations  $S$  is said to be *closed* (under involvement) if  $p \in S$  and  $p$  involves or contains  $q$  implies that  $q \in S$ . Given a set of permutations  $B$ , the set  $Av(B)$  of permutations which do not contain any permutations from  $B$  is closed, and is called the *avoidance set* for  $B$ . If a set of permutations can be described as the avoidance set for some set  $B$ , and  $B$  is the minimal such set (so that no element of  $B$  contains another) then we call  $B$  the *basis* for the set. For example, the set of permutations that avoid 12 and 123 is the set of all decreasing permutations, and its basis is simply  $\{12\}$ . Note also that if  $\sigma$  is in a basis for a set  $S$  then deleting any entry of  $\sigma$  gives a permutation that is order isomorphic to an element of  $S$ .

Define  $S_{k,\infty}$  to be the set of permutations that can be generated by passing  $1 \dots n$  through a stack of depth  $k$  followed by an infinite stack, and define  $B_{k,\infty}$  as its basis. So for example, the basis for a stack of depth one (so no storage) followed by an infinite stack is  $\{312\}$ . We will call the input symbols *letters* or *tokens*.

**Lemma 1** *Let  $\sigma \in B_{k,\infty}$  and define  $\sigma_3$  to be the string of integers obtained by adding three to the value of every entry of  $\sigma$ . Either  $\sigma$  or  $\sigma_3 213$  is in  $B_{k+1,\infty}$ .*

*Proof:* If  $\sigma$  is not in  $S_{k+1,\infty}$  then, since deleting any entry of  $\sigma$  gives a permutation that is in  $S_{k,\infty} \subseteq S_{k+1,\infty}$ , it follows that  $\sigma \in B_{k+1,\infty}$ . So we can assume that  $\sigma$  is in  $S_{k+1,\infty}$ . Now consider the problem of generating  $\sigma_3 213$ . Whichever way you put the tokens 1, 2 and 3 onto the two stacks, some token must occupy the first stack. These tokens must stay until the rest of the permutation has been output, so the remaining tokens must be processed with the first stack of depth  $k$  rather than  $k+1$ . But since  $\sigma$  cannot be generated with the first stack of depth  $k$  then neither can  $\sigma_3$ , so  $\sigma_3 213$  is not in  $S_{k+1,\infty}$ .

To show that  $\sigma_3 213$  is a basis element, we must show that every shorter permutation contained in it is in  $S_{k+1,\infty}$ . Let  $\tau$  be a sub-permutation of  $\sigma_3 213$  obtained by deleting one entry. If  $\tau = \sigma_3 21, \sigma_3 23$  or  $\sigma_3 13$  then we can generate it as follows. Place the first two entries (1, 2), (1, 3) or (2, 3) on the second stack in the appropriate order. This leaves the first stack clear, so we can now generate  $\sigma_3$  using the two stacks (since  $\sigma \in S_{k+1,\infty}$ ), and lastly output the two tokens. If instead  $\tau$  has an entry deleted from the  $\sigma_3$  prefix, then we place tokens 1, 2 on the second stack with 2 on top, and leave 3 on the first stack. Since  $\sigma$

length 5	51234	52134	length 7	4175623	4275613
	51243	52143		4137256	4237156
	51423	52413		4137265	4237165
length 6	645123	645213	length 8	41386725	42386715
	416235	426135			
	416253	426153			

Table 1: The set  $\mathcal{B}$ .

was in the basis for  $S_{k,\infty}$ , we can generate  $\sigma_3$  with one entry deleted while the first stack has depth  $k$ , and then we can output 213. Thus we can generate any sub-permutation of  $\sigma_3 213$ .  $\square$

It follows that for all  $n \in \mathbb{N}$ ,  $|B_{k,\infty}| \leq |B_{k+1,\infty}|$ . Since by Theorem 9  $B_{2,\infty}$  is finite, then either there is a number  $n > 2$  such that  $B_{k,\infty}$  is finite for all  $k \leq n$  and not finite for  $k > n$ , or  $B_{n,\infty}$  could be finite for all  $n \in \mathbb{N}$ .

Define  $\mathcal{B}$  to be the following set of 20 permutations in Table 1. Observe that  $\mathcal{B}$  is closed under the operation of interchanging the 1 and 2 entries.

**Lemma 2** *If a permutation contains an element of  $\mathcal{B}$  then it cannot be generated by a stack of depth 2 followed by an infinite stack.*

*Proof:* It suffices to prove that none of the permutations in  $\mathcal{B}$  can be generated by the two stacks. It then follows that no permutation containing one can be generated. It is routine to check by hand or computer that each of the permutations in  $\mathcal{B}$  cannot be generated by a stack of depth two followed by an infinite stack. We can enumerate the full list of permutations of length up to 8 generated by considering codewords on three letters  $\rho, \lambda, \mu$  that correspond to pushing tokens from input to the first stack ( $\rho$ ), from the first to the second stack ( $\lambda$ ), then output ( $\mu$ ). For example, the codeword  $\rho\lambda\mu\rho\lambda\mu\rho\lambda\mu$  generates the permutation 123. We require that each prefix must have no more  $\lambda$ s than  $\rho$ s, no more  $\mu$ s than  $\lambda$ s, and further that the number of  $\rho$ s is no more than 2 plus the number of  $\lambda$ s. Using this technique we can verify that none of the permutations in  $\mathcal{B}$  are produced.  $\square$ .

Linton conjectured that this set should be the basis for  $S_{2,\infty}$ . In [1] Aktinson *et al* consider the set of permutations generated by passing  $1 \dots n$  through a finite token passing network, which is a directed graph where nodes can hold at most one token, and tokens move in any way from an input to an output node. One can view two stacks in series as a token passing network, which is finite if both stacks are of bounded depth. They prove that the set of permutations generated via finite networks can be encoded in a regular language, and from this one can find its basis. Using an implementation of this procedure in GAP Linton [6] computed the bases for  $S_{2,k}$  for increasing  $k$ , and observed that in each case 20 small permutations occurred, as well as longer permutations which related to the bound on the second stack. Linton conjectured that in the limit the basis should consist of just these elements.

In proving this conjecture we will make use of the following technical definitions. Let us say that a subinterval  $\tau$  of a permutation  $\sigma = \alpha\tau\beta$  is *right-contiguous* if  $\beta$  does not contain

any entries between the minimum and maximum entries in  $\tau$ , and is *right-contiguous modulo a* if  $\beta$  does not contain any entries between the minimum and maximum entries in  $\tau$  except the entry  $a$ . For example, the subinterval 413 of 4137256 is right contiguous modulo 2 and 137 is not.

Lastly, we will make use of the following notation for permutations below. If a permutation contains a token  $a$  preceding a token  $b$ , then we write  $-a - b-$ , or simply  $a - b$ , when we do not know the other letters of the permutation. The notation  $a <_c^b$  means that we know the permutation contains an  $a$  preceding both  $b$  and  $c$ , but we do not know the relative orderings of the  $b$  and  $c$ . That is, the permutation could be  $a - b - c$  or  $a - c - b$ . For example, every permutation of length 5 in Table 1 except 52413 is of the form  $5 <_2^{1-4}$ .

### 3 The algorithm

In this section we describe a deterministic procedure to generate permutations using a depth two followed by an infinite stack in series. Denote the stack of depth two stack as  $A$  and the infinite stack as  $B$ . Without loss of generality if there are input letters remaining then  $A$  contains an entry  $a$ . That is, if  $A$  ever becomes empty then we will immediately fill it with the next input letter.

Let  $x$  be the next input letter, and  $b$  be the top entry of  $B$  (if non-empty). The stack  $B$  is *well ordered* if its elements are stacked from top to bottom in order they appear in the permutation generated.

If  $b, a$  or  $x$  are next to be output, then output, and move the next input letter into  $A$  if empty. If the next output letter is below  $b$  then the permutation cannot be generated (by the algorithm being used). Otherwise the next output letter is  $y$  somewhere back in the input list. See Figure 2.

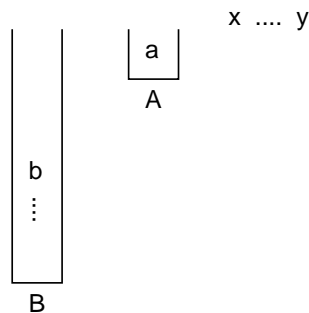


Figure 2: Algorithm

We will choose between pushing  $a$  to stack  $B$  and  $x$  to stack  $A$ , or vice versa, using the following (fairly technical) rules.

**Rules 3** *If  $y$  is the next token to be output,  $x$  precedes it in the input stream,  $a$  is on stack  $A$  and  $b$  is at the top of stack  $B$  (if non-empty), then:*

- 1.1. If in the output permutation  $a$  precedes some two letters in the input that lie between  $x$  and  $y$ , then keep  $a$  on stack  $A$  and put  $x$  on stack  $B$ .
- 1.2. If in the output permutation  $x$  precedes some two letters in the input that lie between  $x$  and  $y$ , then put  $x$  on  $A$  and  $a$  on  $B$ .
- 2.1. If in the output permutation  $b$  precedes  $a$  then keep  $a$  on  $A$  and put  $x$  on  $B$ .
- 2.2. If in the output permutation  $b$  precedes  $x$  then put  $x$  on  $A$  and  $a$  on  $B$ .

When none of the conditions for 1.1-2.2 are met, then:

- 3.1. If in the output permutation  $a$  precedes  $x$  then keep  $a$  on  $A$  and put  $x$  on  $B$  unless the subinterval  $y \dots a$  is right-contiguous modulo  $x$  and avoids 312, in which case put  $a$  on  $B$  and  $x$  on  $A$ .
- 3.2. If in the output permutation  $x$  precedes  $a$  then put  $a$  on  $B$  and  $x$  on  $A$  unless the subinterval  $y \dots x$  is right-contiguous and avoids 312, in which case keep  $a$  on  $A$  and put  $x$  on  $B$ .

If the conditions of a rule are met, we say that the rule *applies*. It may happen that at some point while pushing tokens around the stacks, two or more rules apply simultaneously. For example, to generate the permutation 51234, we would put 1 on stack  $A$ , then  $a = 1, x = 2$  and  $y = 5$ . In this case, both rules 1.1 and 1.2 apply. See Figure 3. If this is the case then any algorithm we construct using these rules will halt.

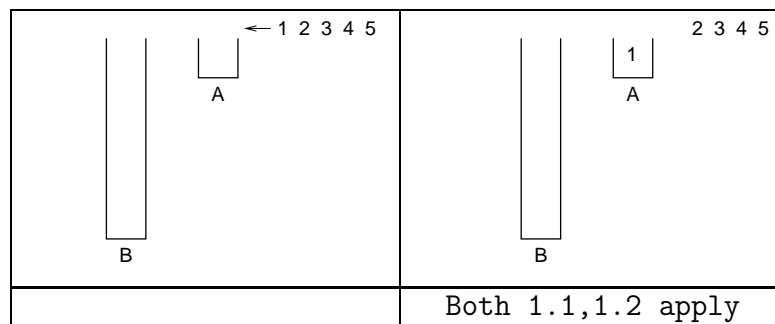


Figure 3: Generating the permutation 51234.

We now state the algorithm.

**Algorithm 4** Given a permutation of length  $n$ , to produce it by passing an ordered sequence through the stacks, do the following. Define  $I$  to be the input list, and initialize it to  $1, \dots, n$ . While  $I \neq \emptyset$  do:

1. If the top letter of  $I$ ,  $A$  or  $B$  is next output letter, then output.
2. Else if  $A = \emptyset$  then place next input letter on  $A$ .

3. Else apply Rules 3. If two contradictory rules apply, then reject.

When  $I = \emptyset$  then accept.

For example, in Figure 1 we generate 52314 by using rules 1.2 for step two, 3.1 for step three, and 2.2 for step four. The remaining steps simply offload the next tokens to be output.

To generate the permutation 4132 we keep 1 on stack  $A$  and pass 2 to stack  $B$ , since the subinterval 41 does not contain 3 so is not right-contiguous modulo 2. We show this in Figure 4.

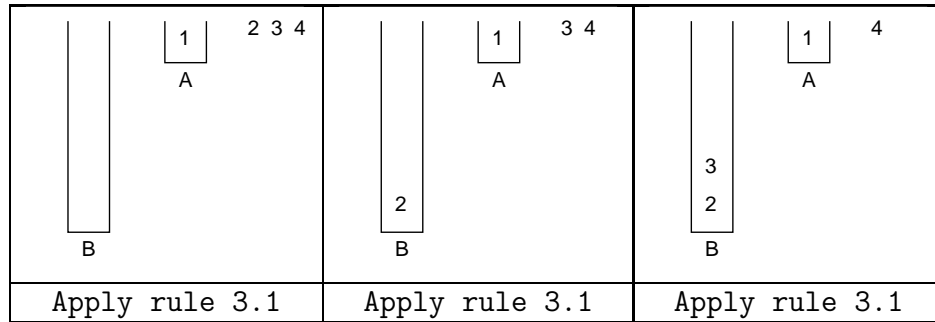


Figure 4: Generating the permutation 4132.

As another example, consider the permutation 316245. If you were to keep 1 on stack  $A$  and pass 2 over to stack  $B$  (contrary to rule 3) you would not succeed. The steps dictated by rules 1-3 are used in Figure 5. Compare the two applications of rule 3.1.

We will prove that if the permutation being generated avoids  $\mathcal{B}$ , then no two contradictory rules ever apply, and in this case that each rule preserves the well ordering of  $B$ . Therefore if a permutation is in  $Av(\mathcal{B})$  then it can be generated using this algorithm.

**Lemma 5** *If no two rules apply simultaneously then each move keeps the stack  $B$  well ordered.*

*Proof:* If rule 2.1 applies then there is a  $b$  on  $B$  that precedes  $a$ , but does not precede  $x$  or rule 2.2 would also apply. Similarly, if rule 2.2 applies then there is a  $b$  on  $B$  that precedes  $x$ , but does not precede  $a$  or rule 2.1 would also apply. In either case the token that goes on  $B$  precedes the token  $b$ . If neither rules 2.1 or 2.2 apply then  $a$  and  $x$  both precede all tokens that may be on  $B$ , so we can place either on  $B$ , as directed by a rule 1.1, 1.2, 3.1 or 3.2, and  $B$  will remain well ordered.  $\square$

**Lemma 6** *If a configuration where  $c$  is the top entry of  $B$  and  $A$  is momentarily empty (before the next input letter fills it) is reached part way through producing an output permutation  $\sigma$ , where no two contradictory rules applied up to this point, then  $\sigma$  has a sub-permutation which is order isomorphic to 4132 or 4231.*

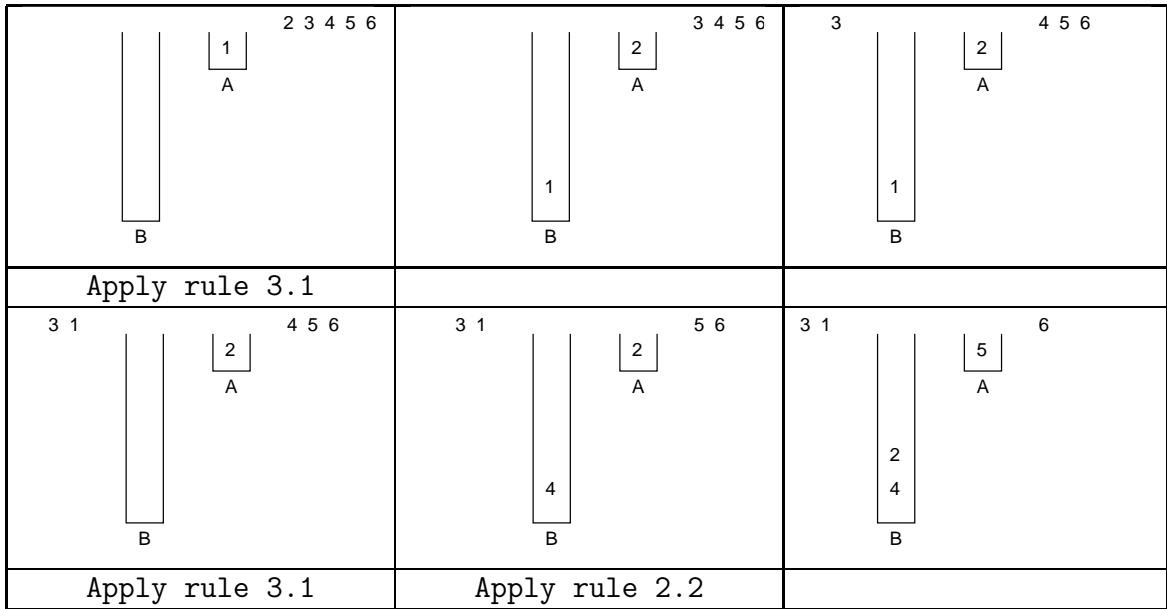


Figure 5: Generating the permutation 316245.

*Proof:* Consider the point at which  $c$  is placed on stack  $B$ . Some rule must have applied, with  $c$  either next to be input with some  $d$  on stack  $A$ , or vice versa, and some token  $z$  in the input the next to be output in the permutation. The output is  $z - d - c$  since we must get to the configuration with  $c$  on  $B$  and  $A$  empty.

If rule 1 applied then there must be  $p, q$  in the input before  $z$  and output as  $z - d - p <_c^q$ . So taking  $z = 4, p = 3$  and  $c = 1, d = 2$  or  $d = 1, c = 2$  we get  $4d3c$ .

If rule 2 applied to put  $c$  on  $B$  and  $d$  on  $A$  then there must be some  $e$  on  $B$  with the output of the form  $z - c - e - d$ , but  $d$  must be output before  $c$  to get the required configuration. So this is a contradiction.

If neither rules 1 or 2 apply, then since  $d$  precedes  $c$  in the output, rule 3 tell us to put  $d$  on stack  $A$  unless  $z \dots d$  is right-contiguous modulo  $c$  and avoids 312. Since  $d$  is kept on  $A$  while  $c$  goes on  $B$ , we conclude that these conditions were not met.

If  $z \dots d$  fails to be right-contiguous because of some  $p$  input after  $c$  and  $d$  and before  $z$  and output after  $d$  then we have output  $z - d - p - c$  since  $p$  must be output before the configuration with  $c$  on  $B$  and  $A$  empty is reached. This is order isomorphic to  $4d3c$  with  $c = 1, d = 2$  or  $c = 2, d = 1$ . So we can assume that all tokens input between  $c, d$  and  $z$  precede  $d$  in the output, in which case they must be in descending order in the output since  $d$  occupies stack  $A$  as they are input onto  $B$ .

If  $z \dots d$  contains a 312 then since  $d$  is the minimum entry in the subinterval and occurs last, the entries that make the 312 are greater than  $c$ , so setting  $c = 1$  we have 4231.

So the subinterval has no 312 and the entries less than  $z$  form a decreasing sequence, so the only other way it can fail the conditions is for there to be some  $m > r > z$  in the input so  $m$  is in  $z \dots d$  and  $r$  is output after  $d$ . Since we reach the configuration where



$c$  is on  $B$  and  $A$  is cleared, we know that  $r$  is output before  $c$ , so we have  $y - d - r - c$  which is  $4d3c$  where  $c = 1, d = 2$  or  $c = 2, d = 1$ .  $\square$

**Lemma 7** *If a permutation avoids the list  $\mathcal{B}$  then no two contradictory rules apply.*

We prove this by considering case-by-case when two contradictory rules apply at some instant, and in each case show that this occurs if the permutation being generated contains one or more of the permutations in  $\mathcal{B}$ . In Table 2 we summarize these cases, and the reader may wish to refer to this as they read through the proof. Note that Rules 1.1 and 2.1 give the same instruction, so if both apply simultaneously they do not contradict each other. Similarly for Rules 1.2 and 2.2.

*Proof:* Suppose the permutation is part way generated as in Figure 2, and you reach a point where two contradictory rules apply for the first time. Since no two contradictory rules applied before this, by Lemma 5 the stack  $B$  is well ordered up to this point.

**Case: 1.1 and 1.2 apply.** If 1.1 and 1.2 both apply, then there exist  $p, q$  in the input between  $x$  and  $y$  with either  $p < q$  or  $q < p$  so that  $y - a - p - x$  or  $y - x - a - p - q$  is output, which is one of 51234, 52134, 51243, 52143.

**Case: 1.1 and 2.2 apply.** If 1.1 and 2.2 both apply, then there exist  $p, q$  in the input between  $x$  and  $y$  with either  $p < q$  or  $q < p$  so that  $y - a - p - q$  is output, and there exists  $b$  on stack  $B$  so that  $b$  precedes  $x$ . Note that 1.2 does not apply (covered by previous case) so  $x$  comes after  $p$ , so we have  $y - a - p <_x^q$  output. If  $b$  precedes  $p$  then taking  $(a, b) = (1, 2)$  and  $(p, q) = (3, 4)$  we have one of 51234, 52134, 51243, 52143. If  $b$  is output after  $p$  then taking  $(a, b) = (1, 2), x = 3$  and  $p = 4$  we have one of 51423, 52413.

Note that so far we have covered all basis permutations of length 5.

**Case: 1.2 and 2.1 apply.** If 1.2 and 2.1 both apply, then there exist  $p, q$  in the input between  $x$  and  $y$  with either  $p < q$  or  $q < p$  so that  $y - x - p - q$  is output, and there exists  $b$  on stack  $B$  so that  $b$  precedes  $a$ . Note that 1.1 does not apply (covered by previous case) so  $a$  comes after  $p$ , so we have  $y - x - p <_a^q$  output. If  $b$  precedes  $p$  then taking  $(x, b) = (1, 2)$  and  $(p, q) = (3, 4)$  we have one of 51234, 52134, 51243, 52143. If  $b$  is output after  $p$  then we have  $y - x - p <_{b-a}^q$  as output. Go back to the instant that  $a$  first goes on stack  $A$  from the input.

If  $b$  was on stack  $B$ , then when  $b$  was put there, there was a  $c$  on  $A$  and a  $z$  in the input that was next to be output. So we have as output  $z - c - y - x - p <_{b-a}^q$ . Taking  $z = 4, (b, c) = (1, 2), y = 7, x = 5, p = 6$  and  $a = 3$  we have either 4175623 or 4275613.

If  $b$  was on stack  $A$  when  $a$  was input, if rule 2.2 applied then there must have been a token  $d$  on  $B$  that preceded  $a$ , and since no contradictory rules occur here then  $b$  precedes  $d$ . So we have as output  $y - x - p <_{b-d-a}^q$ . Taking  $(b, d) = (1, 2), a = 3, x = 4, p = 5$  and  $y = 6$  we get 645123 and 645213.

If rule 1.2 applied then there must be two tokens  $r, s$  between  $a$  and the next output (which could be  $y$  or another token earlier in the input) such that  $a$  precedes  $r, s$  in the output. But since  $b$  precedes  $a$  then  $b$  would also precede  $r, s$ , so rule 1.1 would simultaneously apply, which is a contradiction.

So if neither rules 1 or 2 applied, then rule 3 must apply. We have  $b$  on  $A$ ,  $a$  next to be output, then possibly some  $s_1, \dots, s_n$  between  $a$  and  $x$ , all of which will be output before  $y$ , then  $x$ ,  $p$  and  $q$  in either order, then  $y$  in the input. See Figure 6. Since  $b$  precedes  $a$

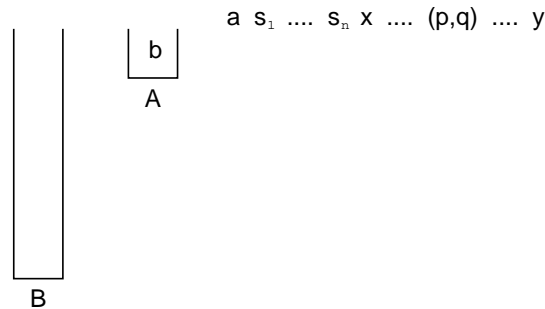


Figure 6: The point that  $a$  first goes on  $A$ , when  $b$  is on stack  $A$ .

in the output it would stay on  $A$  unless the subinterval from the next output letter  $y$  all the way down to  $b$  is right-contiguous modulo  $a$  and avoids 312. Either there are no  $s_i$  so  $y$  is the next output letter, or if there are  $s_i$  then they are all output before  $y$ , so the subinterval contains the segment from  $t$  to  $b$ . But this is of the form  $y - x - p - b$  where  $x, p < y$  and  $x < p$ , so  $y - x - p$  form a 312 subsequence, so the subinterval does not avoid 312.

Note that up to here we have covered all basis permutations of length 5 and the permutations 645123, 645213, 4175623 and 4275613.

If 2.1 and 2.2 both apply, then there exists  $b$  on top of stack  $B$  with  $y - b <_x^a$  in the output. We consider two cases, when  $a < b$  and  $b < a$ .

**Case: 2.1 and 2.2 apply and  $a$  precedes  $b$ .** Suppose  $a$  precedes  $b$  in the input. Consider the point at which  $a$  is on  $A$  and  $b$  is next to be input. If rule 1.1 applies then we have some  $p, q$  and next output  $z$  (possibly  $y$ ) and for output we have  $z - a - p <_b^q$ . But  $b$  precedes  $a$  so this case does not apply.

If rule 2.1 applies then there exists  $c$  on top of  $B$  with output of the form  $y - b <_x^{c-a}$ . Remember that as input  $a < b < x < y$ . We may ask how  $c$  came to be on stack  $B$ . If at some previous time  $c$  is on top of  $B$  and  $A$  is momentarily empty (before the next input letter comes to fill it), then by Lemma 6 it must be that  $4d3$  is output at the point that  $c$  is on  $B$  and  $A$  is empty, then  $y - b <_x^{c-a}$  is output, which is one of  $y - b - c - a - x$ ,  $y - b - c - x - a$  or  $y - b - x - c - a$ . These give  $4d3ycax = 4d37c56$ ,  $4d3ycxa = 4d37c65$  or  $4d3ybxca = 4d3867c5$  with  $c, d = 1, 2$ .

If neither rules 1.1 or 2.1 apply then rule 3 applies. If the next output letter is some  $z < x$  then since  $b$  precedes  $a$  in the output,  $b$  is placed on  $A$  unless  $z \dots b$  is right-contiguous modulo  $a$  and avoids 312. But since  $y$  is output between  $z$  and  $b$  and  $x$  is output after, the subinterval is not right-contiguous, so  $b$  would go on  $A$ , a contradiction.

If the next output is greater than  $x$  it must be  $y$ . Again the subinterval  $y \dots b$  is not right-contiguous since  $x$  is output after  $b$ , so  $b$  should be kept on  $A$  and  $a$  on  $B$ , a contradiction.

**Case: 2.1 and 2.2 apply and  $b$  precedes  $a$ .** Suppose that  $b$  precedes  $a$  in the input. Consider the instant that  $a$  is to be input. If  $b$  is on stack  $A$ , then some rule pushes  $a$  onto  $A$  and  $b$  onto  $B$ . If rule 1.2 is responsible, then there exist  $p, q, z$  in the input with  $z$  next to be output (possibly  $z = y$ ) and  $z > p > a, z > q > a$ , and the output is  $z - a - p <_b^q$ . But  $b$  precedes  $a$  in the output so this is a contradiction.

If rule 2.2 is responsible then there exists  $c$  on stack  $B$  such that  $b$  precedes  $c$  and  $a$  comes after  $c$  in the output. Note that by assumption no two contradictory rules have applied before the point that  $x$  is to be input. Thus for output we have  $y - b <_x^{c-a}$  which is one of  $5bc34, 5bc43$  or  $5b4c3$  with  $b, c = 1, 2$ .

If neither rules 1.2 nor 2.2 applied then rule 3 was responsible for pushing  $a$  on  $A$  and  $b$  off  $A$  to  $B$ . Now  $b$  precedes  $a$  in the output, so we must check whether the subinterval from the next token output to  $b$  is right-contiguous modulo  $a$  and avoids 312. Suppose the input sequence is  $ap_1 \dots p_n x \dots y$ . If  $y$  is next to be output then the subinterval  $y \dots b$  does not contain  $x$ , so fails to be right-contiguous. Similarly if some  $p_i$  is the next to be output then  $p_i \dots b$  contains  $y$  but not  $x$ , so fails to be right-contiguous. So rule 3 would require that  $b$  stay on stack  $A$ , which did not occur so this is a contradiction.

If  $b$  is on stack  $B$  and the token on stack  $A$  is output before  $a$  is input, then by Lemma 6 the output is of the form  $4d3 - y - b <_x^a$  for some  $d$  with  $b, d = 1, 2$ , which is  $4d37b56$  or  $4d37b65$ .

Lastly,  $b$  is on stack  $B$  and there is some token  $c$  on stack  $A$  which cannot be output before  $a$  is input, but is output by the time  $x$  is to be input, so there is some  $p$  next to be output with  $a < p < x$ . Since  $c - b - a$  is the output order then rule 2.2 applies to put  $a$  on  $A$  and  $c$  on  $B$  on top of the token  $b$ . So in full the output is  $p - c - y - b <_x^a$  where  $p = 4, a = 3, x = 5, y = 6$  and  $b, c = 1, 2$  so we have one of  $4c6b35$  or  $4c6b53$ , and these are the last permutations in  $\mathcal{B}$  to be accounted for.

Finally if none of rules 1 or 2 apply, then either rule 3.1 or 3.2 applies depending on whether  $a$  precedes  $x$  or not in the permutation. So we cannot have both 3.1 and 3.2 simultaneously.  $\square$

We summarize the preceding proof in Table 2, which shows which sub-permutations are forced when two contradictory rules apply.

**Lemma 8** *If a permutation avoids  $\mathcal{B}$  then it can be generated by Algorithm 4.*

*Proof:* If the algorithm halts while there is still input, it must be because of a contradiction between the rules 1 – 3. By Lemma 7 this doesn't occur if the permutation avoids  $\mathcal{B}$ . If the algorithm does not halt until there is no more input, then since  $B$  is well ordered by Lemma 5 the permutation can be successfully generated.  $\square$

Putting Lemmas 8 and 2 together we get:

**Theorem 9** *Let  $\sigma$  be a permutation. The following are equivalent.*

1.  $\sigma$  can be generated by a stack of depth two and an infinite stack.
2.  $\sigma$  can be generated by Algorithm 4.
3.  $\sigma$  avoids the set of 20 permutations  $\mathcal{B}$ .

Rules	Extra condition	Sub-permutations responsible
1.1 and 1.2		51234, 52134, 51243, 52143
1.1 and 2.2		51234, 52134, 51243, 52143, 51423, 52413
2.1 and 1.2		51234, 52134, 51243, 52143, 645123, 645213, 4175623, 4275613
2.1 and 2.2	$a < b$	4137256, 4237156, 4137265, 4237165, 41386725, 42386715
	$b < a$	51234, 52134, 51243, 52143, 51423, 52413, 416235, 426135, 416253, 426153, 4137256, 4237156, 4137265, 4237165

Table 2: Summarizing the proof of Lemma 7.

*Proof:* If  $\sigma$  avoids  $\mathcal{B}$  then by Lemma 8 it can be generated by Algorithm 4, so it can be generated by the stacks. If  $\sigma$  contains a permutation from  $\mathcal{B}$  then it cannot be generated by the stacks by Lemma 2, so conversely if it can be generated by the stacks, it must avoid  $\mathcal{B}$ .  $\square$

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