Quantum trajectories for the realistic measurement of a solid-state charge qubit

Neil P. Oxtoby, ^{1,2,*} P. Warszawski, ¹ H. M. Wiseman, ^{1,†} He-Bi Sun, ^{1,2} and R. E. S. Polkinghorne ¹Centre for Quantum Computer Technology, Centre for Quantum Dynamics, School of Science, Griffith University, Nathan QLD 4111, Australia

²Centre for Quantum Computer Technology, School of Physical Sciences, The University of Queensland, St. Lucia QLD 4072, Australia

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We present a new model for the continuous measurement of a coupled quantum dot charge qubit. We model the *effects* of a realistic measurement, namely adding noise to, and filtering, the current through the detector. This is achieved by embedding the detector in an equivalent circuit for measurement. Our aim is to describe the evolution of the qubit state *conditioned* on the macroscopic output of the external circuit. We achieve this by generalizing a recently developed quantum trajectory theory for realistic photodetectors [P. Warszawski, H. M. Wiseman, and H. Mabuchi, Phys. Rev. A **65**, 023802 (2002)] to treat solid-state detectors. This yields stochastic equations whose (numerical) solutions are the "realistic quantum trajectories" of the conditioned qubit state. We derive our general theory in the context of a low transparency quantum point contact. Areas of application for our theory and its relation to previous work are discussed.

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I. INTRODUCTION

The field of research that surrounds the quest for a large-scale quantum computer is very exciting. At present, solid-state proposals^{1–5} seem promising. The ability to read out the state of the quantum bits (qubits) of information is of obvious importance in any quantum computational scheme. In this paper we consider continuous measurement of the state of a pair of coupled quantum dots (CQDs) occupied by a single excess electron. This constitutes a *charge* qubit. It is worth mentioning that spin qubits^{1–5} are considered more favorably for solid-state quantum computation due to their relatively long coherence times,⁶ but read-out may have to be performed via charge qubits using spin-to-charge conversion.^{1,7,8}

The evolution of solid-state qubits subject to continuous measurement has received considerable theoretical consideration recently.9-19 Single realizations of the continuous measurement of a solid-state CQD qubit, known as conditional (or selective) evolution, have been treated by a number of groups. 11-19 These works conditioned the qubit evolution on quantum processes (such as tunneling) at the scale of a mesoscopic detector. They did not consider conditioning on the macroscopic current that is realistically available to an observer. In particular, they ignored the noisy filtering characteristic of the external circuit, including an amplifier. It is worth noting that nonidealities have been considered in some of these works. Reference 13 considered a detector with excessive back-action. Reference 17 did this also, and also considered extra classical noise, phenomenologically. Reference 16 considered "inefficient" measurements. None of these considered filtering.

In this paper we consider the evolution of a solid-state qubit conditioned on the output available to a realistic observer, which has been filtered and degraded (i.e., made more noisy) by an external circuit. That is, we are interested in the evolution of the system conditioned on information available to an observer, not on the microscopic events occurring

within the detector to which a real observer has no direct access. Being able to determine the state of a quantum system conditioned on actual measurement results is essential for understanding and designing feedback control. 12,20–27 As well as being intrinsically interesting, this is also expected to be important in quantum computing, both for state preparation and quantum error correction. 28–30

A quantum trajectory^{13,31–33} describes the Markovian stochastic evolution of an open quantum system conditioned on continuous monitoring of its output by a bare detector. A "bare" detector is one which does not include the noisy filtering characteristic of realistic measurements. In an experiment the output from this detector is filtered through various noisy electronic devices. Due to the finite bandwidth of all electronic devices, the evolution of the conditional state of the quantum system must be non-Markovian. A general method of describing this evolution was presented in recent papers^{34,35} by Warszawski and Wiseman in the context of photodetection, where it was applied to an avalanche photodiode and a photoreceiver. In the present paper the theory of Ref. 35 is applied to a solid-state detector—the low transparency quantum point contact^{9,36} (QPC), or tunnel junction, which is an ideal detector. 11 In our approach an equivalent circuit is used to model the effects of a realistic measurement. Note that for clarity we will use the terminology detector for a bare detector and measurement device for a detector embedded within a measurement circuit.

The paper is organized as follows. We begin in the next section by describing our models for the qubit and the QPC (including the monitored qubit's conditional and average dynamics in the bare detector case). We then introduce and analyze our equivalent circuit for realistic measurement in Sec. III. The method of deriving realistic quantum trajectories is presented in Sec. IV in the context of a QPC. We discuss our results in Sec. V and conclude in Sec. VI with a summary, comparison with previous work, and prospects for future work.

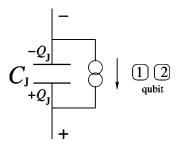


FIG. 1. An equivalent circuit for a low transparency QPC or tunnel junction and nearby charge qubit. The arrow indicates the direction of electron tunneling through the QPC (represented by a current source).

II. SYSTEM

In this section we describe the models for the qubit and the detector. Using a master equation formalism we present the conditional and ensemble average dynamics of the qubit state when measured by a low transparency QPC. The conditional qubit dynamics in the bare measurement case are represented by a *stochastic* master equation. We choose to present stochastic differential equations in the Itô formalism rather than the alternative Stratonovich formalism.³⁷ Extension of the theory to our more realistic measurement case occurs later.

Figure 1 is a schematic representation of the CQD qubit and nearby low transparency QPC or tunnel junction. The CQDs (labeled 1 and 2) are occupied by a single excess electron, the location of which determines the logical state of the qubit. We assume that each quantum dot has only one single-electron energy level available for occupation by the qubit electron. These energy levels are denoted by E_1 and E_2 .

Using the convention of $\hbar=1$ (as we will for the entire paper), the total Hamiltonian for the qubit can be written as

$$\hat{H}_{qb} = E_1 \hat{c}_1^{\dagger} \hat{c}_1 + E_2 \hat{c}_2^{\dagger} \hat{c}_2 + \frac{\Omega_0}{2} (\hat{c}_1^{\dagger} \hat{c}_2 + \hat{c}_2^{\dagger} \hat{c}_1), \tag{1}$$

where Ω_0 is the coefficient of tunneling between the qubit dots and \hat{c}_1 (\hat{c}_2) is the Fermi annihilation operator for the single available electron state within the qubit dot labeled 1 (2). The qubit electron tunnels between the two dots at the Rabi frequency $\Omega = \sqrt{\Omega_0^2 + \varepsilon^2}$, where $\varepsilon \equiv E_1 - E_2$ is the asymmetry in the CQD energy levels.

The state of a measured quantum system is affected by the detector in two ways. First, there is the measurement backaction caused by their mutual interaction. Second, if the output of the detector is observed, then the state of the system is conditioned by the stochastic outcomes. We describe the conditional dynamics of the measured qubit, including the measurement back-action, using a stochastic approach. In the case of measurement with a bare ideal detector, the state of the qubit is conditioned by electron tunneling events through the detector which constitute an idealized output current. For such an ideal detector the measurement back-action is quantum-limited, also called Heisenberg-limited.³⁸

A number of formalisms exist that describe the evolution of a measured quantum system conditioned on a particular

measurement result from the detector. The conditional dynamics of continuously measured CQD systems have been treated by Bloch-type equations, 9,19 quantum trajectory theory, 13,14,16 and a Bayesian formalism. 11,12,15,17,18 This Bayesian formalism has been shown to coincide with the quantum trajectory formalism with only notational differences (see the Appendix of Ref. 14). All three formalisms coincide for the ensemble average dynamics of the measured CQD system. In the stochastic approach, the (Markovian) conditional dynamics of the measured qubit state is described with a stochastic master equation. This generates a "quantum trajectory," so called because it tracks the state of the quantum system in time. We also present the ensemble average master equation.

The equivalent circuit for the QPC coupled to the qubit is shown in Fig. 1. We represent the tunnel junction by a capacitance C_J , which contains the charge Q_J . The stochastic electron tunneling events through the junction are represented by a current source. The location of the CQD electron changes the height of the potential barrier in the QPC and consequently the current through it, thus providing the means to measure the qubit state. For simplicity, we assume that electrons tunnel only from source to drain. This tunneling occurs at two different rates, namely r and r', which correspond to the near (dot 1) and far (dot 2) CQD being occupied, respectively.

The ensemble average master equation for the qubit state, ρ , when measured by a low transparency QPC, or similar single tunnel-junction device, is^{9,11,13,14}

$$\frac{d\rho(t)}{dt} = -i[\hat{H}_{qb}, \rho(t)] + \mathcal{D}[\mathcal{T} + \mathcal{X}\hat{n}]\rho(t) \equiv \mathcal{L}\rho(t). \tag{2}$$

Here $\hat{n} = \hat{c}_1^{\dagger} \hat{c}_1$ is the occupation of the near dot. The Lindblad superoperator \mathcal{D} represents the irreversible part of the qubit evolution—the decoherence. It is defined in terms of two other superoperators, \mathcal{J} and \mathcal{A} :

$$\mathcal{D}[\hat{X}]\rho \equiv \mathcal{J}[\hat{X}]\rho - \mathcal{A}[\hat{X}]\rho, \tag{3}$$

where \mathcal{J} (the "jump" superoperator) and \mathcal{A} (the anticommutating superoperator) are defined by

$$\mathcal{J}[\hat{X}]\rho \equiv \hat{X}\rho\hat{X}^{\dagger},\tag{4}$$

$$\mathcal{A}[\hat{X}]\rho \equiv \frac{1}{2}(\hat{X}^{\dagger}\hat{X}\rho + \rho\hat{X}^{\dagger}\hat{X}). \tag{5}$$

These superoperators, introduced in Ref. 32, are used commonly in quantum optics measurement theory.

For simplicity we assume real tunneling amplitudes whereby

$$T^2 = r', \quad (T + \mathcal{X})^2 = r, \tag{6}$$

which implies that \mathcal{X} <0. Complex tunneling amplitudes are allowed in the model of Ref. 14 and the generalization here would be straightforward.

A realistic observer may not be able to tell when a tunneling event through the QPC occurs. However, we argue that in principle this information would be contained in the

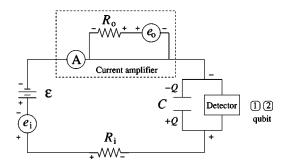


FIG. 2. A schematic of our equivalent circuit for the realistic measurement of the state of a CQD charge qubit.

movement of the Fermi sea electrons in the leads attached to the QPC. Thus we can legitimately represent the *conditional* evolution of $\rho(t)$ (denoted with a superscript μ) in terms of these microscopic events. Using the method for quantum jumps introduced in Ref. 13, this conditional evolution is described by the following Itô stochastic master equation:¹⁴

$$d\rho^{\mu}(t) = dN(t) \left\{ \frac{\mathcal{J}[\mathcal{T} + \mathcal{X}\hat{n}]}{\mathbb{E}[dN(t)]/dt} - 1 \right\} \rho^{\mu}(t)$$
$$-dt\mathcal{H}\left[\frac{1}{2}\hat{R} + i\hat{H}_{qb}\right] \rho^{\mu}(t), \tag{7}$$

where we have introduced the classical point process dN(t) that represents the number (either 0 or 1) of electron tunneling events through the QPC in an infinitesimal time interval [t,t+dt). The expectation (ensemble average) value of dN(t) is

$$E[dN(t)] = dt \operatorname{Tr}[\mathcal{J}[\mathcal{T} + \mathcal{X}\hat{n}]\rho^{\mu}(t)]. \tag{8}$$

In Eq. (7) we have also introduced the convenient superoperator $\hat{\mathcal{H}}$ and operator $\hat{\mathcal{R}}$ which are defined by

$$\mathcal{H}[\hat{X}]\rho \equiv \hat{X}\rho + \rho \hat{X}^{\dagger} - \text{Tr}[(\hat{X} + \hat{X}^{\dagger})\rho]\rho, \tag{9}$$

$$\hat{R} \equiv (\mathcal{T} + \mathcal{X}\hat{n})^2. \tag{10}$$

It can be seen from the master equation (2) that the minimum tunneling rate through the QPC, r, occurs when qubit dot 1 is occupied (n=1). This is due to maximum electrostatic repulsion between the qubit electron and electrons in the QPC vicinity. Accordingly, the maximum QPC tunneling rate r' occurs when n=0. These tunneling rates could be functions of the voltage across the detector, which we consider as changing with time. However, this would necessarily mean that the measured qubit's evolution cannot be described by the quantum master equation formalism. To allow for this would be to go beyond what anyone has done in this area.

III. EQUIVALENT CIRCUIT FOR REALISTIC MEASUREMENT

Our equivalent circuit for realistic measurement of the CQDs is shown in Fig. 2. We emphasize that this circuit

models *effects* of realistic measurement (additional classical noise and filtering of the signal), *not* an actual experimental apparatus.

The circuit is biased by a nonideal dc voltage consisting of a noiseless voltage ε and a noisy voltage source e_i . This (white) noise source could be considered as the Johnson-Nyquist noise from the equivalent circuit resistance R_i at some effective temperature T_i . We emphasize again that this is a model only and need not correspond to a real temperature in an actual apparatus. The small current through the detector is amplified, then measured. In this process an observer will see white noise in addition to the current through the detector. This is modeled by adding a noisy output current e_0/R_0 to the signal from the detector prior to measurement by a perfect ammeter, yielding the current \mathcal{I} . The parasitic capacitance C across the detector is due to the large cross-sectional area of the leads relative to the detector junction.

Again, it is important to note that the circuit components are *not* necessarily representative of an actual experimental setup. For example, an amplifier does not consist of a noisy voltage and a resistor, rather the observed *effect* of amplification of the current through the detector can be modeled as the addition of an output noise $e_{\rm o}/R_{\rm o}$ to the current through the detector. Although our description of the circuit is rather simple, we believe that it is a reasonable starting point that models some essential effects of a realistic measurement. Future improvements to this circuit model could include considering an actual circuit from an experiment.

We analyze the equivalent circuit with the low transparency QPC as the detector and produce expressions for the measured current $\mathcal{I}(t)$ and the time evolution of the parasitic capacitor charge Q(t). The variable Q(t) is used to describe the state of the circuit part of the measurement device.

For the moment, ignore tunneling through the QPC. Analysis of the measurement circuit using Kirchhoff's electrical circuit laws yields the following Itô differential equation for the increment in Q (the charge on the parasitic capacitor) due to the circuit components

$$dQ(t) = \left(-\frac{Q(t)}{R_{\rm i}C} + \frac{\varepsilon}{R_{\rm i}} + \frac{e_{\rm i}}{R_{\rm i}}\right)dt. \tag{11}$$

Similar analysis yields an expression for the measured current as a function of time:

$$\mathcal{I}(t) = -\frac{Q(t)}{R_i C} + \frac{\varepsilon}{R_i} + \frac{e_i}{R_i} + \frac{e_o}{R_o}.$$
 (12)

For the purposes of our work it is useful to express the (Johnson-Nyquist) noise sources e_i and e_o in terms of stochastic increments. In the steady state, Johnson-Nyquist voltage noise is *white* noise and has a flat spectrum

$$S = 2k_{\rm B}TR,\tag{13}$$

where T is the temperature of the resistor R and $k_{\rm B}$ is Boltzmann's constant. The current spectrum ("spectral density") definition⁴⁰ used here is

$$S(\omega) = \int_{-\infty}^{\infty} \exp[i\omega\tau] G(\tau) d\tau, \tag{14}$$

where $G(\tau)$ is the two-time autocorrelation function of the measured current.⁵⁴ Obviously a current flow is not an equilibrium situation, but for reasonable bias voltages the approximation of Eq. (13) remains valid.⁴¹ For simplicity, we take the flat spectra of the input and output voltage noises to be $2D_{\rm i}R_{\rm i}^2$ and $2D_{\rm o}R_{\rm o}^2$, respectively. This allows us to write Eqs. (11) and (12) in terms of the input and output Wiener processes, $dW_{\rm i}(t)$ and $dW_{\rm o}(t)$, as

$$dQ(t) = \left(-\frac{Q(t)}{R_{i}C} + \frac{\varepsilon}{R_{i}}\right)dt + \sqrt{D_{i}}dW_{i}(t), \tag{15}$$

$$\mathcal{I}(t) = -\frac{Q(t)}{R_{\rm i}C} + \frac{\varepsilon}{R_{\rm i}} + \sqrt{D_{\rm i}} \frac{dW_{\rm i}(t)}{dt} + \sqrt{D_{\rm o}} \frac{dW_{\rm o}(t)}{dt}, \quad (16)$$

where $D_i=2k_BT_i/R_i$ and $D_o=2k_BT_o/R_o$. These expressions correct the expressions in Refs. 34, 35, and 42 from $4k_BT/R$ to $2k_BT/R$. The Wiener increment is related to Gaussian white noise $\xi(t)$ by $dW(t)=\xi(t)dt$.³⁷

Now consider a single electron tunneling event through the QPC [dN(t)=1]. The charge on the parasitic capacitor will change by an amount edN(t), where e is the charge on an electron. This gives

$$dQ(t) = \left[-\alpha Q(t) + \beta\right]dt + \sqrt{D_i}dW_i(t) + edN(t), \quad (17)$$

where we have introduced the simplifying notations $\alpha = 1/R_i C$ and $\beta = \varepsilon/R_i$. The solution to this differential equation gives the value of Q(t) that may be substituted into Eq. (16) to give a lengthy expression for the measured current.⁴²

IV. DERIVATION OF REALISTIC QUANTUM TRAJECTORIES

The derivation of realistic quantum trajectories follows a number of well-defined steps as presented for photodetectors in Ref. 35. We refer the reader to Ref. 35 for specific details of the derivation steps and only present the essential points and details that are unique to the solid-state situation. Note, however, that we use a somewhat simpler derivation, using the Zakai equation in Sec. IV B rather than the Kushner-Stratonovich equation of Ref. 35.

A. Stochastic differential Chapman-Kolmogorov equation

Equation (15) describes the evolution of the circuit state for situations where Q(t) is known. A realistic observer will not have direct access to the precise value of Q(t) due to the randomness of the microscopic events occurring within the device. We therefore require an equation for the evolution of the probability distribution for Q, written P(q). Following the procedure outlined in Ref. 35, we obtain the stochastic differential Chapman-Kolmogorov (SDCK) equation for the evolution of P(q):

$$dP^{\mu}(q) = dt \left(-\frac{\partial}{\partial q} m + \frac{D_{i}}{2} \frac{\partial^{2}}{\partial q^{2}} - \sqrt{D_{i}} \frac{\partial}{\partial q} dW_{i} \right) P(q)$$
$$+ dN[P(q - e) - P(q)], \tag{18}$$

where $m=-\alpha q+\beta$. This equation gives the increment in the probability distribution for the charge on the parasitic capacitor conditioned by the unobserved microscopic events (μ) occurring within the measurement device.

B. Zakai equation

The state of the circuit part of the measurement device is now represented by the probability distribution P(q) that was introduced in the previous section. The state of this classical system changes upon measurement and so P(q) must be updated. The best estimate of the new probability distribution representing the conditioned state of the measurement device, given a measurement result \mathcal{I} , is found using Bayesian analysis 43 to be

$$\widetilde{P}(q|\mathcal{I}) = \frac{P(\mathcal{I}|q)P(q)}{\Lambda(\mathcal{I})},\tag{19}$$

where $\Lambda(\mathcal{I}) = P(\mathcal{I}|q = \beta/\alpha)$. Here $\widetilde{P}(q|\mathcal{I})$ is read "the probability of q given \mathcal{I} ." The tilde denotes an unnormalized distribution and the value of $q = \beta/\alpha$ is chosen for convenience. The Zakai equation tells us how to update the probability distribution P(q) when the measurement result \mathcal{I} is obtained. The quantity $P(\mathcal{I}|q)$ is the probability of obtaining the result \mathcal{I} given that the state is q. We will use the simpler notation $P_q(\mathcal{I}) \equiv P(\mathcal{I}|q)$, where the subscript denotes the result upon which the conditioning is performed. $\Lambda(\mathcal{I})$ can be thought of as the *ostensible* probability distribution, ³³ as opposed to the actual probability distribution

$$P(\mathcal{I}) = \int dq P(\mathcal{I}|q) P(q) = \Lambda(\mathcal{I}) \int dq \tilde{P}(q|\mathcal{I}), \quad (20)$$

which replaces $\Lambda(\mathcal{I})$ in the expression for the normalized distribution $P(q|\mathcal{I})$.³⁵

From our expression for the measured current, Eq. (16), $P_q(\mathcal{I})$ is a Gaussian distribution with a variance of $\nu = (D_{\rm i} + D_{\rm o})/dt$ and a mean of $m = -\alpha q + \beta$. Thus Eq. (19) gives the Zakai equation (to order dt):

$$\widetilde{P}_{\mathcal{I}}(q) = \left[1 + \mathcal{I}dt \frac{m}{D_{\mathcal{N}}}\right] \widetilde{P}(q), \tag{21}$$

where we have defined $D_{\Sigma} = D_{\rm i} + D_{\rm o}$ for convenience. Note that \mathcal{I} has the ostensible distribution $\Lambda(\mathcal{I}) = \exp[-\mathcal{I}^2/2\nu]/\sqrt{2\pi\nu}$.

C. Combining the stochastic increments

Our description of the stochastic conditional evolution of the measurement device is found by combining the increments $dP^{\mu}(q)$ and $d\tilde{P}_{\mathcal{I}}(q)$ given in the previous two sections. The stochasticity of these two increments is related as the input noise dW_i plays a role in both. For this reason we must combine them into one increment using

$$\widetilde{P}(q) + d\widetilde{P}_{\mathcal{I}}^{\mu}(q) = \left[1 + \mathcal{I}dt\frac{m}{D_{\Sigma}}\right] [P(q) + dP^{\mu}(q)], \quad (22)$$

rather than by simply adding them together. Remembering that we will eventually average over unobserved processes, the input noise needs to be separated into observed and unobserved parts. We express this as

$$dW_{i} = a\mathcal{I}dt + bdW' + cdt, \tag{23}$$

where

$$dW'\mathcal{I}dt = 0. (24)$$

Here a, b, and c are as yet undetermined expressions and dW' is unobserved, normalized white noise that is unrelated to the known output \mathcal{I} . When averages are taken, dW' will be averaged over and \mathcal{I} kept. The observed output [Eq. (16)] can be expressed as

$$\mathcal{I}dt = mdt + \sqrt{D_{i}}dW_{i} + \sqrt{D_{o}}dW_{o}.$$
 (25)

Using Eqs. (24) and (25) gives the expression for dW':

$$dW' = \frac{\sqrt{D_{i}}dW_{o} - \sqrt{D_{o}}dW_{i}}{\sqrt{D_{\Sigma}}}.$$
 (26)

Using this in Eq. (23) and equating the left- and right-hand sides allows a, b, and c to be determined. Substitution of a, b, and c back into Eq. (23) yields

$$dW_{i} = \frac{\sqrt{D_{i}}}{D_{\Sigma}} \mathcal{I} dt - \sqrt{\frac{D_{o}}{D_{\Sigma}}} dW' - \frac{\sqrt{D_{i}}}{D_{\Sigma}} m dt.$$
 (27)

Using this result and the SDCK equation [Eq. (18)] in Eq. (22) gives

$$P(q) + d\tilde{P}_{\mathcal{I}}^{\mu}(q) = \left\{ 1 + dt \left(-\frac{\partial}{\partial q} m + \frac{D_{i}}{2} \frac{\partial^{2}}{\partial q^{2}} \right) + \left(m - D_{i} \frac{\partial}{\partial q} \right) \frac{\mathcal{I}dt}{D_{\Sigma}} + \sqrt{\frac{D_{i}D_{o}}{D_{\Sigma}}} \frac{\partial}{\partial q} dW' \right\} P(q) + dN[P(q - e) - P(q)]. \tag{28}$$

This result represents the evolution of the circuit state conditioned on both the microscopic events occurring within the device and the observed current \mathcal{I} .

D. Joint stochastic equation

The stochastic state of the joint classical-quantum system is found by forming the new conditional quantity

$$\widetilde{\rho}_{T}^{\mu}(q) = \widetilde{P}_{T}^{\mu}(q)\rho^{\mu}(t). \tag{29}$$

The evolution of $\widetilde{\rho}_{\tau}^{\mu}(q)$ is described by

$$\widetilde{\rho}(q) + d\widetilde{\rho}_{\mathcal{I}}^{\mu}(q) = \left[\widetilde{P}(q) + d\widetilde{P}_{\mathcal{I}}^{\mu}(q)\right] \left[\rho(t) + d\rho^{\mu}(t)\right]. \tag{30}$$

The result of this process is the joint stochastic equation³⁵

$$d\tilde{\rho}_{\mathcal{I}}^{\mu}(q) = \left\{ dt \left(-\frac{\partial}{\partial q} m + \frac{D_{i}}{2} \frac{\partial^{2}}{\partial q^{2}} \right) + \left(m - D_{i} \frac{\partial}{\partial q} \right) \frac{\mathcal{I}dt}{D_{\Sigma}} \right. \\ + \sqrt{\frac{D_{i}D_{o}}{D_{\Sigma}}} \frac{\partial}{\partial q} dW' + \mathbb{E}[dN] + dt(\mathcal{L} - \mathcal{J}[\mathcal{T} + \mathcal{X}\hat{n}]) \right\} \\ \times \tilde{\rho}(q) + dN \left\{ \frac{\mathcal{J}[\mathcal{T} + \mathcal{X}\hat{n}]\tilde{\rho}(q - e)}{\mathbb{E}[dN]/dt} - \tilde{\rho}(q) \right\}. \tag{31}$$

Averaging over unobserved processes (dW') and dN) is the next step in the derivation of realistic quantum trajectory equations and yields an expression for $d\tilde{\rho}_{\mathcal{I}}(q)$. This procedure removes the stochasticity associated with the unobserved processes within the detector and leaves the stochasticity associated with the measurement (\mathcal{I}) . The resulting equation is called a superoperator Zakai equation as we have obtained a quantum analog of the Zakai equation in that from measurement we are conditioning the state of a supersystem that contains a quantum system. It is important to realize that after averaging over unobserved processes the supersystem state $\tilde{\rho}(q) + d\tilde{\rho}_{\mathcal{I}}(q)$ will *not* factorize as in Eq. (30).

E. Normalization

Normalization of the superoperator Zakai equation is the final step in our derivation and yields the superoperator Kushner-Stratonovich (SKS) equation. The normalization is achieved as follows:

$$\rho(q) + d\rho_{\mathcal{I}}(q) = \frac{\widetilde{\rho}(q) + d\widetilde{\rho}_{\mathcal{I}}(q)}{\int \text{Tr}[\widetilde{\rho}_{\mathcal{I}}(q) + d\widetilde{\rho}_{\mathcal{I}}(q)]dq}.$$
 (32)

After normalization the true expression for the observed current \mathcal{I} should be substituted into the SKS equation. The true probability distribution for \mathcal{I} can be found using Eq. (21) in Eq. (20) to yield

$$P(\mathcal{I}) = (2\pi\nu)^{-1/2} \exp\left[-\left(\mathcal{I} + \alpha \langle Q \rangle - \beta\right)^2 / 2\nu\right], \quad (33)$$

where $\langle Q \rangle = \int q P(q) dq$. Thus the true expression for the observed current is

$$\mathcal{I}dt = (-\alpha \langle Q \rangle + \beta)dt + \sqrt{D_{\Sigma}}dW, \tag{34}$$

where $d\mathcal{W}$ is the *observed* white noise (a Wiener increment). Here the average is $\langle Q \rangle = \int q \mathrm{Tr}[\rho_{\mathcal{I}}(q)] dq$, since we are considering the output \mathcal{I} for the combined classical-quantum supersystem.

Averaging over the unobserved noise dW' and tunneling process dN yields the superoperator Zakai equation, which upon normalization via Eq. (32) and substitution of Eq. (34) for \mathcal{I} produces the SKS equation:

$$\begin{split} d\rho_{\mathcal{I}}(q) &= dt \Bigg[\frac{\partial}{\partial q} (\alpha q - \beta) + \frac{D_{\rm i}}{2} \frac{\partial^2}{\partial q^2} \Bigg] \rho_{\mathcal{I}}(q) \\ &- \frac{d\mathcal{W}}{\sqrt{D_{\Sigma}}} \Bigg[\alpha (q - \langle Q \rangle) + D_{\rm i} \frac{\partial}{\partial q} \Bigg] \rho_{\mathcal{I}}(q) + dt \mathcal{L} \rho_{\mathcal{I}}(q) \\ &+ dt \mathcal{J} [\mathcal{T} + \mathcal{X} \hat{n}] [\rho_{\mathcal{I}}(q - e) - \rho_{\mathcal{I}}(q)]. \end{split} \tag{35}$$

This is the main result of our paper. The first line of Eq. (35) describes the evolution of the classical measurement device. The second line consists of two terms: the first term describes both information gain about the measurement device (q) from its output [Eq. (34)], and back-action on the classical device due to the observed noise; the second term describes the average evolution of the quantum system, including quantum back-action. The final line describes the effect of the quantum system on the measurement device.

It is worth noting that the term involving \mathcal{J} represents average evolution due to electron tunneling events through the QPC. It changes the most likely value for the charge of the parasitic capacitor from Q to Q-e when an electron tunnels through the QPC—effectively counting the average number of electrons passing through the QPC. The approach of Ref. 10 (also used in Ref. 39) involves a similar technique in which the exact number of electrons that have tunneled through the detector is tracked. This was also considered in the (earlier) derivation of the rate equations in Ref. 9.

The numerical solution of Eq. (35) would produce a trajectory for the state of the combined circuit-qubit system conditioned by a particular realization of the measured current $\mathcal{I}(t)$. The normalized conditioned qubit state is found from

$$\rho_{\mathcal{I}}(t) = \int \rho_{\mathcal{I}}(q)dq. \tag{36}$$

Thus the realistic quantum trajectories (for the qubit state alone) are obtained by numerically solving the SKS equation and using Eq. (36). The results of this procedure will be presented in a future paper.

V. DISCUSSION

A simple consistency check for our SKS equation [Eq. (35)] is to integrate it over all q and recover the unconditional master equation. It is easy to confirm that this is indeed the case using the fact that *well-behaved* probability distributions (and their derivatives) vanish at $\pm \infty$.

A considerably more difficult task is to attempt recovery of the ideal conditional master equation (2) from the SKS equation (35). In theory, this should be possible in the limit of a measurement circuit with a small response time given by R_iC [large bandwidth $\alpha = (R_iC)^{-1}$] and low noises D_i and D_o . We now explore this question in detail.

The time taken to determine which CQD is occupied by the qubit electron is equal to the time required to ascertain the rate of tunneling through the detector. This task is made considerably more difficult by the white noise and finite bandwidth of the circuit containing the detector. Without the white noise the observer would see a spike in the current every time there was a tunneling event. Depending on the relative sizes of the noise, the tunneling rates, and the circuit response time, the white noise will obscure the spikes in the current so that the observer must rely on the *average* current to distinguish between the two qubit states. The consequence of averaging out the white noise (by integrating the current \mathcal{I} over some time τ) is that if the qubit electron is tunneling on

a time scale Ω^{-1} shorter than τ then the state of the quantum system cannot be followed.

We will now present an order of magnitude estimate of the effective bandwidth of the measurement device, which is defined as the frequency at which a signal-to-noise (power) ratio of unity is obtained. Here we take the signal as being the current that flows through the QPC when it is in the more conducting state.

To find the noise and signal power we take the Fourier transform of Eqs. (16) and (17) in order to obtain the spectrum of the current \mathcal{I} .⁴² The signal power is

$$r'e^2 \frac{\alpha^2}{\alpha^2 + \omega^2} \tag{37}$$

and the noise power is

$$D_{\rm i} \frac{\omega^2}{\alpha^2 + \omega^2} + D_{\rm o}. \tag{38}$$

Upon equating the signal and noise powers, and at this frequency setting $\omega = \alpha_{\text{eff}}$, we have an effective bandwidth of

$$\alpha_{\rm eff} = \frac{\alpha}{\sqrt{\mathbf{N}}} \sqrt{1 - \frac{D_{\rm o}}{r'e^2}} \approx \frac{\alpha}{\sqrt{\mathbf{N}}},$$
 (39)

where the dimensionless noise parameter N is defined according to

$$\mathbf{N} = \frac{(D_{\rm i} + D_{\rm o})}{r'e^2}.\tag{40}$$

The approximation of Eq. (39) holds in the limit where the noise power D_0 is small compared to the signal power $r'e^2$ (which is the regime that will lead to good measurements of the qubit state). For an observer to be able to follow the evolution of the qubit reasonably well we must have $\alpha_{\rm eff} > \Omega$. ^{35,44}

VI. CONCLUSION

A. Summary

We have presented a new model for continuous measurement of a coupled quantum dot (CQD) charge qubit by a low transparency quantum point contact (QPC). We considered the evolution of this solid-state qubit conditioned on the output available to a realistic observer, which has been filtered and degraded (i.e., made more noisy) by an external circuit. This description is closer to the true conditioned evolution of the system, not a hypothetical evolution conditioned on the microscopic events occurring within the detector, to which a real observer has no direct access. Knowledge of the state of a quantum system conditioned on actual measurement results is essential for understanding and designing feedback control. 12,20–27 It is also expected to be important in quantum computing, both for state preparation and quantum error correction. 28–30

Our model for the conditional dynamics of the qubit due to measurement by a low transparency quantum point contact (QPC) was based on the quantum trajectory models of Refs. 13 and 14. We have presented a stochastic master equation that describes the time evolution of the measured qubit conditioned on a hypothetical detector output. Korotkov has derived equivalent conditional dynamics equations for the QPC (with notational differences) using a Bayesian formalism. 11,12,15,17,18 We generalized a realistic quantum trajectory theory 34,35 (recently developed for photodetectors) to treat solid-state detectors. The solutions of the resulting stochastic equations are the "realistic quantum trajectories" of the measured qubit state. These will be presented elsewhere.

B. Comparison to previous work

The conditional dynamics of continuously monitored CQD systems has been studied in Refs. 11–19. However, the model of *realistic* measurement that we have presented in this paper is new. Korotkov has recently presented a phenomenological theory¹⁷ involving "non-ideal" detectors that is in the same spirit as ours (see the Appendix for a derivation of Korotkov's result using our stochastic master equation approach). However, he still assumed an infinite bandwidth detector and also assumed that the ideal detector could be described by diffusion rather than jumps. We believe that our approach offers a more satisfying description of this measurement process because the non-Markovian⁵⁵ effects of a realistic measurement circuit are included and the tunneling process through the QPC is described as a point process (jumps) as one would expect.

Finite detector temperature effects in the case of bare measurement were not considered in our model. The effects of a nonzero detector temperature $T_{\rm d}$ have been considered previously 12,14,45 and result in an approximately linear (coth[$eV_{\rm d}/2k_{\rm B}T_{\rm d}$]) increase in the ensemble decoherence rate and shot noise level with $T_{\rm d}$ for $eV_{\rm d}<2k_{\rm B}T_{\rm d}$, where $V_{\rm d}$ is the detector bias voltage. With a detector temperature of the order of mK, 46 finite temperature effects could be expected to become important at bias voltages of $V_{\rm d}<0.3~\mu V$. These voltages are several orders of magnitude below a sample bias voltage for maximum response of a single electron transistor (SET) detecting the charge state of a quantum dot, 47,48 which suggests that our omission of finite detector temperature effects in the bare detector scenario is reasonable.

C. Future work

There are many possibilities for future work in the theory of realistic quantum trajectories. Other detectors will be considered, for example, the single electron transistor^{49,50} (SET). As the field of mesoscopic electronics is progressing at such a tremendous rate it is likely that the choice of detector will quickly become outdated. In fact, the SET has already been surpassed by the radio-frequency (RF) SET^{51–53} as the measuring device of choice for the read out of the charge state of a mesoscopic qubit. This is one reason why we view the work in this paper as preliminary. The extension of realistic quantum trajectories to the RF-SET is a future aim. Further work is also appropriate for the circuit model, which at present is considerably simplified, but is a good starting point which models some essential *effects* of a realistic measurement. These and other possibilities for work on the

theory of realistic quantum trajectories will be pursued in the future

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APPENDIX: DERIVATION OF KOROTKOV'S RESULT USING OUR APPROACH

In this appendix we derive an equivalent result to Korot-kov's phenomenological result for nonideal detectors¹⁷ using a diffusive stochastic master equation approach and our method involving observed and unobserved noises.

We begin by defining a diffusive *linear* stochastic master equation³³ for the state matrix of a measured two level system. The Itô stochastic master equation involving three classical, normalized white noise processes dW_0 (ideal detector output noise), dW_1 (extra output and back-action noise), and dW_3 (unobserved back-action noise) is

$$d\tilde{\rho} = dt \mathcal{L} \tilde{\rho} + dW_0 \sqrt{\kappa_0} (\hat{\sigma}_z \tilde{\rho} + \tilde{\rho} \hat{\sigma}_z) + dW_1 \sqrt{\kappa_1} \mathcal{H}[-i\hat{\sigma}_z] \tilde{\rho} + dW_3 \sqrt{\kappa_3} \mathcal{H}[-i\hat{\sigma}_z] \tilde{\rho}, \tag{A1}$$

where $\mathcal{L} = \mathcal{H}[-i\hat{H}] + (\kappa_0 + \kappa_1 + \kappa_3)\mathcal{D}[\hat{\sigma}_z]$ and $\hat{H} = \Omega \hat{\sigma}_x + \frac{1}{2}\varepsilon \hat{\sigma}_z$. Equation (9) defines the superoperator \mathcal{H} .

The three white noise processes dW_0 , dW_1 , and dW_3 correspond to Korotkov's three unnormalized noise processes $\xi_0(t)$, $\xi_1(t)$, and $\xi_3(t)$. The white noise dW_0/dt represents the output of an ideal detector. Korotkov's added output noise is known as dark noise, ⁵⁶ which we model by setting the output of the realistic detector to be the current

$$\mathcal{I}(t)dt = (\sqrt{\phi_0}dW_0 + \sqrt{\phi_1}dW_1)/\sqrt{\phi_{\Sigma}}, \tag{A2}$$

where ϕ_0 is the shot noise power, ϕ_1 is the dark noise power, and $\phi_{\Sigma} \equiv \phi_0 + \phi_1$ ensures that $\mathcal{I}(t)$ has a normalized Gaussian white noise distribution.

We now desire the quantum trajectory for the system state $\rho_{\mathcal{I}}$ conditioned on the realistic detector output in Eq. (A2) rather than on dW_0/dt . We rewrite dW_0 as

$$dW_0 = (\sqrt{\phi_0} \mathcal{I}(t)dt + \sqrt{\phi_1}dW')/\sqrt{\phi_{\Sigma}}, \tag{A3}$$

where $dW' = (\sqrt{\phi_1}dW_0 - \sqrt{\phi_0}dW_1)/\sqrt{\phi_\Sigma}$ is an unobserved normalized noise process that is independent of the observed output $\mathcal{I}(t)$. The extra output noise dW_1 can be expressed in terms of observed and unobserved quantities as

$$dW_1 = (\sqrt{\phi_1} \mathcal{I}(t) dt - \sqrt{\phi_0} dW') / \sqrt{\phi_{\Sigma}}.$$
 (A4)

Substituting these into Eq. (A1) yields

$$d\tilde{\rho} = dt \mathcal{L} \tilde{\rho} + \frac{\sqrt{\phi_0} \mathcal{I}(t) dt + \sqrt{\phi_1} dW'}{\sqrt{\phi_{\Sigma}}} \sqrt{\kappa_0} (\hat{\sigma}_z \tilde{\rho} + \tilde{\rho} \hat{\sigma}_z)$$

$$+ \frac{\sqrt{\phi_1} \mathcal{I}(t) dt - \sqrt{\phi_0} dW'}{\sqrt{\phi_{\Sigma}}} \sqrt{\kappa_1} \mathcal{H}[-i\hat{\sigma}_z] \tilde{\rho}$$

$$+ dW_3 \sqrt{\kappa_3} \mathcal{H}[-i\hat{\sigma}_z] \tilde{\rho}. \tag{A5}$$

Averaging over the unobserved noise processes dW' and dW_3 removes the terms involving dW' and dW_3 from Eq. (A5). Normalization of this result is performed in a similar manner as in Sec. IV E with the final result being the following nonlinear SME:

$$d\rho = dt \mathcal{L}\rho + \sqrt{\frac{\kappa_0 \phi_0}{\phi_{\Sigma}}} dt \left(\mathcal{I}(t) - 2\langle \hat{\sigma}_z \rangle \sqrt{\frac{\kappa_0 \phi_0}{\phi_{\Sigma}}} \right) \mathcal{H}[\hat{\sigma}_z] \rho$$

$$+ dt \sqrt{\frac{\kappa_1 \phi_1}{\phi_{\Sigma}}} \left(\mathcal{I}(t) - 2\langle \hat{\sigma}_z \rangle \sqrt{\frac{\kappa_0 \phi_0}{\phi_{\Sigma}}} \right) \mathcal{H}[-i\hat{\sigma}_z] \rho.$$
(A6)

Now we substitute in for the actual measured current, $\mathcal{I}(t)dt = 2\langle \hat{\sigma}_z \rangle \sqrt{\kappa_0 \phi_0/\phi_\Sigma} dt + dW$, to obtain

$$d\rho = dt \mathcal{L}\rho + \sqrt{\frac{\kappa_0 \phi_0}{\phi_{\Sigma}}} d\mathcal{W} \mathcal{H}[\hat{\sigma}_z] \rho + \sqrt{\frac{\kappa_1 \phi_1}{\phi_{\Sigma}}} d\mathcal{W} \mathcal{H}[-i\hat{\sigma}_z] \rho,$$
(A7)

which is equivalent to the nonideal result of Korotkov in Ref. 17 with some notational differences.

^{*}Electronic address: n.oxtoby@griffith.edu.au

[†]Electronic address: h.wiseman@griffith.edu.au

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- ⁵⁶This terminology is from quantum optics. Dark noise refers to electronic noise generated within a detector even when no field illuminates it, i.e., in the dark.