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Running title: Carda S, ELECTRICALLY ASSISTED MOVEMENT THERAPY

ELECTRICALLY ASSISTED MOVEMENT THERAPY IN CHRONIC STROKE PATIENTS WITH SEVERE UPPER LIMB PARESIS: A PILOT, SINGLE BLIND, RANDOMIZED CROSSOVER STUDY

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Data presented are original and the material has never been published or presented before.

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2	PATIENTS WITH SEVERE UPPER LIMB PARESIS: A PILOT, SINGLE BLIND,
3	RANDOMIZED CROSSOVER STUDY
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5	
6	ABSTRACT
7	
8	
9	Objective To evaluate the effects of a therapy where patients used self-modulated functional
10	electrical stimulation to produce or assist task-specific upper limb movements, which enabled
11	them to engage in intensive goal-oriented training. Functional electrical stimulation was
12	modulated by a custom device controlled through the patient's unaffected hand. We defined
13	our experimental intervention Electrically-Assisted Movement Therapy. Dose-matched goal-
14	oriented standard care was used as a control intervention.
15	
16	Design Randomized, crossover, assessor-blinded, 5-week trial with follow up at 18 weeks.
17	This study is registered with ClinicalTrials.gov, number xxx.
18	
19	Setting Rehabilitation University Hospital.
20	
21	Participants A total of 11 chronic patients with severe stroke (mean age 47.9y), more than 6
22	months poststroke (mean time since event 46.3mo).

24	Interventions Each therapy consisted in 10 sessions of 90 minutes per day, five sessions per
25	week, for two-weeks. After the first 10 sessions, group allocation was crossed-over, and
26	patients received a one-week therapy break before receiving the new treatment.
27	
28	Main Outcome Measures Fugl-Meyer Motor Assessment for the Upper Extremity, Wolf
29	Motor Function Test, Spasticity, 28-Items Motor Activity Log.
30	
31	Results 44 individuals were recruited, of whom 11 were eligible and participated. Five
32	patients received the experimental treatment before standard care, and six received standard
33	care before the experimental treatment. Electrically-Assisted Movement Therapy produced
34	higher improvements in the Fugl-Meyer scale than standard care (p<0.05). Median
35	improvements were 6.5 and 1 Fugl-Meyer points after the experimental treatment and
36	standard care, respectively. The improvement was also significant in subjective reports of
37	quality of movement and amount of use of the affected limb during activities of daily living
38	(p<0.05).
39	
40	Conclusions Electrically-Assisted Movement Therapy produces clinically important
41	impairment reduction in stroke patients with chronic severe upper limb paresis.
42	
43	Keywords: Electrical Stimulation Therapy, Cerebrovascular Accident, Hemiplegia, Motor
44	Skills, Rehabilitation.

46 Abbreviations

- 47 EAMT: Electrically-Assisted Movement Therapy
- 48 SC: Standard Care
- 49 FES: Functional Electrical Stimulation
- 50 EMG: ElectromyographyFMA-UE: Fugl-Meyer Motor Assessment Upper Extremity
- 51 MAL: Motor Activity LogWMFT: Wolf Motor Function Test
- 52 REPAS: Resistance to passive movement
- 53 MRI: Magnetic Resonance Imaging
- 54 MCID: Minimal Clinically Important Difference
- 55 MDC: Minimum Detectable Change
- 56 CI: Confidence Interval

58 INTRODUCTION

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J)

60

61 Every year, 17 million people suffer a stroke worldwide, and approximately one third of them 62 develop permanent upper limb paresis (1). Among the available therapeutic approaches, 63 functional electrical stimulation (FES) has been proposed as a viable intervention to increase 64 range of motion (2) and to reduce upper limb impairment (3), ultimately improving function 65 and participation (4). Many FES regimens and systems have been investigated (5), but clear 66 pathophysiological explications and protocols leading to improved efficacy are still lacking 67 **(6)**. 68 FES regimens for the upper limb tested in clinical studies include cyclical FES, EMGtriggered FES, and neuroprosthetic FES. Cyclical stimulation produces repetitions of 69 70 movements, without requiring patient's active participation (2), and is often used in patients 71 with severe impairments and absence of voluntary arm and hand activity. EMG-triggered FES 72 is based on rewarding successful active attempts by the patient with a reinforcement signal in 73 order to drive motor relearning and neuroplasticity (7). To date, these two types of stimulation 74 have not proven superior with respect to standard care (2) or other FES families (7). 75 Neuroprosthetic FES aims at promoting movement relearning by its ability to bypass lesions 76 and restore function (2). Neuroprostheses proposed in the past provided meaningful upper 77 limb movements, and could produce pre-defined muscles activation sequences upon 78 triggering by patients or therapists (4, 8, 9). A special class of FES neuroprostheses enabled 79 the control of FES at will by continuously detecting EMG activity, and promoted a significant 80 reduction of impairment (8). Unfortunately, this type of self-modulated FES might be 81 unfeasible in the severely impaired population due to abnormal or absent EMG patterns.

Providing a match between the intention to move the impaired limb and continuous FES
assistance during the movement can be achieved without relying on paralyzed muscles
activity by providing control means to the unaffected hand of the patient.

86

In this study, we introduce and test a therapy where patients with severe upper limb
impairment self-modulate FES to produce or assist task-specific movements. A custom FES
device enables them to engage in intensive goal-oriented training despite their impairment.
We defined our experimental intervention "Electrically-Assisted Movement Therapy"
(EAMT). During EAMT the use of the unaffected limb is limited by the need of operating the
custom FES controller in order to self-modulate the delivery of electrical currents, and
training is focused on the affected limb.

94

The purpose of this study is to determine whether EAMT produces higher improvements in upper limb motor impairment, skilled function, spasticity, and subjective perception of the ability to perform daily living tasks than dose-matched goal-oriented standard care (SC) in patients with severe upper limb paresis, more than six months after their stroke. This pilot study was designed in order to establish the presence of a clinically important effect on the selected population, and to estimate treatment effect sizes for further clinical testing (**10**).

101

102 METHODS

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105	Trial	design
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This study involved random allocation of patients and cross-over group assignment. This
protocol was reviewed and approved by the xxx. This study is registered with
ClinicalTrials.gov, number xxx.

109

110 Participants

111 Subjects of both genders, aged between 18 and 75, were eligible if they met the following 112 inclusion criteria: diagnosis of one, first ever ischemic stroke verified by brain imaging (CT or 113 MRI); chronic impairment after stroke (>6 months); no contraindications to neuromuscular 114 electrical stimulation. Subjects were excluded if they showed unstable recovery stage, i.e. 115 difference between two baseline examinations >1 point in the motor part of the Fugl-Meyer 116 Assessment for the Upper Extremity scale (FMA-UE) (11), mild-to-moderate impairment of 117 the upper extremity (FMA-UE ≥ 21), or excessive spasticity (median Ashworth Scale of the 118 upper limb >2).

119 Interventions

Electrically-assisted movement therapy (EAMT) was achieved by using a custom FES device allowing patients to control and modulate the electrical stimulation using the unaffected hand in order to produce task-specific movements of the affected limb. The system allowed therapists to choose and reproduce movements of the whole paralyzed upper limb, reengaging patients into goal-oriented exercises.

125

Whenever the patient had difficulties in simultaneously controlling the device and performingexercises, the therapist provided help and ensured the use of the affected limb. During each

128	session three types of exercises were possibly performed: mobilization, games, and training
129	for activities of daily living (ADL). Therapy was provided in 10 sessions of 90 minutes per
130	day over two consecutive weeks.
131	
132	SC consisted in goal-oriented occupational therapy delivered as mobilization, games, and
133	training for ADL. Therapy was provided in 10 sessions of 90 minutes per day over two
134	consecutive weeks, to match the amount of EAMT. Standard care (SC) always excluded FES,
135	CIMT, and Robotic training.
136	
137	Progressive exercise shaping, behavioral training towards transfer of exercises to ADL, and
138	daily administration of the Motor Activity Log (MAL) (12) were applied to both
139	interventions, as formerly proposed in other effective treatments (13, 14).
140	
141	There were two investigation groups: EAMT-SC, where EAMT preceded SC, and SC-EAMT,
142	where SC preceded EAMT.
143	
144	Outcomes
145	The primary outcome measure was the change in FMA-UE. The threshold for assessing a
146	minimal clinically important difference (MCID) between groups was set to 5.25 points (15),
147	and the minimum detectable change (MDC) between groups was 5.2 points (with no
148	differentiation by severity of impairment) (16, 17).

150	Secondary outcome measures w	ere: Wolf Motor Function	Test (WMFT) (18); Resistant	ce to
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151 Passive Movement (REPAS) to test hand and arm spasticity (19); MAL (12). Stroke type was

152 classified using the Bamford classification (21).

153

154 For each patient, brain lesions were delimited and measured (size) using the Medical Imaging

155 Interaction Toolkit software from structural MRI acquired before trial start. Therapy was

delivered at the xxxx of the xxx in xxx, xxx.

157

Clinical outcomes of patients assigned to EAMT-SC and SC-EAMT groups were collected at
T0 (baseline), at T1 (week 3), T2 (week 6), and T3 (follow-up, week 18). One week before
T0, the primary outcome measure was collected for all patients to ensure they were in a stable
plateau of recovery. Patients were excluded if the difference between the two baseline
examinations was >1.

163

164 Sample size

165 Sample size was determined through two-samples testing by estimating effect sizes for the 166 two groups, assuming a statistical power of 80% and a significance level of 5%. Average 167 treatment effects were estimated in 3.1 FMA-UE points for SC (22) and 8.35 FMA-UE points 168 for EA. The choice of 8.35 points for EAMT is justified by the fact that, in order to be an 169 effective treatment and yield an effect on the selected population, the therapy should be able 170 to produce a MCID in the primary outcome. Standard deviations for both therapies were set to 171 3 FMA-UE points (15), and accounted for the inactivity of patients that was ruled out after 172 training.

174 Randomization

The allocation sequence was generated from a normally distributed pseudorandom number sequence of 12 elements in MATLAB®. Patients were allocated to therapy groups upon collection of the signed consent form. Random allocation sequence was sent to one representative of xxx and one of xxx, before trial start. Representatives had no contact with the assessor nor with patients during the whole study duration. Random allocation sequence was generated at xxx, and patients were enrolled and assigned to interventions by the clinical staff at xxx.

182

183 Blinding

184 The outcome assessor was a trained physician with more than 15 years of experience in 185 neurological rehabilitation. The assessor was blinded to interventions after assignment, and 186 had no access to the room where the therapy was delivered, preventing unwanted therapy 187 unmasking.

188

189 Statistical analyses

190 The difference between treatment effects (EAMT vs SC) and negligible carry-over effects on

191 primary and secondary outcomes were tested with an unpaired, two-tailed Mann-Whitney U-

test (23). Within-subjects differences of the relative improvements at T1 and at T2 were tested

to detect a significant effect of the therapy type, and within-subjects sums of the relative

194 improvements at T1 and T2 were tested to confirm negligible carry-over effects. Asymptotic

195 p-values are reported in order to account for ties in the ranking procedure.

197 Null Hypothesis: [(R1-R2)_{EAMT-SC}] and [(R1-R2)_{SC-EAMT}] have equal medians, rejected if
 198 p<0.05

199 <u>Negligible carry-over effects</u>

Null Hypothesis: [(R1+R2)_{EAMT-SC}] and [(R1+R2)_{SC-EAMT}] have equal medians, rejected if
 p<0.05

202

203 One of the patients in the SC-EAMT group dropped out from the study for unrelated medical 204 reasons, and her evaluation at T2 was missed. For this reason, only the 10 patients that 205 received therapy in both periods were considered to test the difference between treatment 206 effects. All available data was used in order to estimate effect sizes (intent-to-treat).

207

208 Two patients assigned to the EAMT-SC group took a longer washout period than the other 209 patients in the group, namely eight and six weeks instead of one: evaluations were repeated 210 before starting the second therapy period in order to check for carry-over effects (evaluation 211 T1*). We estimated the effect of EAMT by using T0 and T1 evaluations and the effect of SC 212 by using T1* and T2 evaluations for the two patients who received longer washout, i.e. 213 leaving uncontrolled recovery outside analyses. Carry-over effects were checked by 214 conservatively including uncontrolled recovery into the second therapy period, i.e. by 215 checking for statistical differences in T1-T0 against T2-T1, for all patients. 216

Post-hoc tests of between-groups differences of the relative improvement in primary andsecondary outcome measures were tested with an unpaired, two-tailed Mann-Whitney U-test.

219	Within-groups differences of the relative improvement in primary and secondary outcome
220	values were tested with paired, two-tailed Wilcoxon signed-rank test. In both cases,
221	significance levels were Bonferroni corrected to account for multiple comparisons.
222	
223	
224	Differences in the occurrence of large recoveries of at least 5 FMA-UE points after either
225	treatment were tested by means of two-tailed Chi-square test. Odds ratio of large recoveries
226	was calculated by computing the geometrical average of the U-statistic: $r=Z/\sqrt{N}$.
227	
228	All calculations and statistical analyses were computed with IBM SPSS Statistics 20®.
229	
230	RESULTS
230 231	RESULTS Between September 28, 2015 and January 11, 2016, 44 individuals were tested for eligibility,
230 231 232	RESULTS Between September 28, 2015 and January 11, 2016, 44 individuals were tested for eligibility, of whom 11 were eligible and agreed to participate.
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230 231 232 233 234 235 236 237 238 239	RESULTS Between September 28, 2015 and January 11, 2016, 44 individuals were tested for eligibility, of whom 11 were eligible and agreed to participate. Five patients were assigned to the EAMT-SC group and six were assigned to the SC-EAMT group. Their data was included and analyzed for the primary and secondary outcome measures. Patients' demographics and clinical characteristics at baseline are reported in Table 1, while detailed single-patient data are shown in Supplementary Table 1. There were no statistically

242	Relative improvements with respect to previous evaluation in primary and secondary outcome
243	measures are reported in Table 2, along with the corresponding p-values for the main cross-
244	over and carry-over effects.
245	
246	Absolute changes in primary and secondary outcome measures are reported in Table 3.
247	
248	please insert Table 1, 2, and 3 approximately here
249	Primary outcome
250	Improvements after the first and the second period, i.e. differences between scores at T1-T0
251	and scores at T2-T1, scored higher in the EAMT-SC group (median=16; mean rank=3.00)
252	than in the SC-EAMT group (median=-4; mean rank=3.00), as shown in Figure 1. Mann-
253	Whitney U-value was found to be statistically significant U=3.00 (Z=-1.984), p<0.05, as
254	reported in Table 2. The difference between recoveries was large (r=88), and no significant
255	carry-over effects were found (p=0.075).
256	
257	please insert Figure 1 approximately here
258	Relative improvements with respect to baseline were not significantly different between
259	groups at T1, although the average recovery was larger in the EAMT-SC group (12.2 ± 6.7
260	FMA-UE points for EAMT-SC and 4.3±4.4 for SC-EAMT), nor at T2 (16.4±5.8 FMA-UE
261	points for EAMT-SC and 8.6±4.5 for SC-EAMT). Changes in absolute FMA-UE scores are
262	reported in Table 3 and Supplementary Figure 1. The absence of a significant difference in

recovery between groups at T2 with respect to T0 determines a negligible carry-over effect in
the primary outcome measure (4). In addition, relative recovery at T2 with respect to T1 was
not significantly different between groups (0.8±1.7 FMA-UE points for EAMT-SC and
4.8±4.8 for SC-EAMT). Median improvements disregarding when therapies were provided
were 6.5 and 1 FMA-UE points after EAMT and SC, respectively. The difference in recovery
between therapies is greater than the MDC and MCID.

269

Follow-up evaluations revealed that six of the ten patients that were assessed still reported a
large recovery with respect to T0 (baseline), and three of these six patients showed a further
improvement >5 FMA-UE points with respect to T2. Detailed single-patient primary outcome
data are shown in **Supplementary Figure 2**. At the follow up evaluation, the improvement
with respect to T0 was 12.4±7.8 and 12.2±10.6 FMA-UE points for the EAMT-SC and SCEAMT groups, respectively.

276

Cumulating the effects of the two consecutive therapies, 10/11 patients achieved a large
recovery. Large recoveries were more frequent after two weeks of EAMT (70% of the
patients) than after two weeks of SC (27% of the patients, Chi-square=3.834, p=0.05). After
EAMT, they occurred at T1 (4/5 patients) and at T2 (3/5 patients), while after SC they
occurred only at T1 (3/6 patients). The odds of achieving a large recovery after receiving
EAMT were 6.22 times higher than after SC (95% CI:0.9-41.3).

283

284 Secondary outcomes

285 Significantly higher improvements after EAMT than after SC were found for self-reported

286	MAL amount of use ($p<0.05$) and MAL quality of movement ($p<0.05$), as shown in Figure 1 .
287	No significant carry-over effects were found in these two measures, as reported in Table 2.
288	Recovery in FMA-UE scores was moderately correlated to recovery in MAL quality of
289	movement scores (r=0.57, p<0.01) and MAL amount of use score (r=0.51, p<0.05). Although
290	their change was not significant, WMFT time scores were improved at T1 and T2 with respect
291	to T0 (EAMT-SC was 6.4±9.6 s faster at T1 and further 6.4±7.0 s faster at T2; SC-EAMT was
292	1.3±3.3 s slower at T1 but 4.4±5.9 s faster at T2). REPAS did not change significantly during
293	the study.
294	
295	Lesion volumes, reported in Supplementary Table 1 , were not correlated with any relative or
296	absolute measure of the primary outcome.
297	

298 **DISCUSSION**

299

300

301 We have shown that self-modulated FES and intensive goal-oriented training of the affected 302 limb result in clinically relevant reduction of impairment in chronic stroke patients with 303 severe paresis. One and a half hours of EAMT five times a week for two weeks had 6.22 304 times higher odds of large recovery in the primary outcome measure than dose-matched SC. 305 Although our results in primary and secondary outcomes indicate early evidence of 306 superiority of EAMT with respect to SC, superiority should be properly investigated in later 307 stage clinical trials with higher statistical power. To this aim, effect sizes estimates for EAMT and SC were found to be 6.5 and 1 FMA-UE points, respectively. The difference between 308

309	these treatment effects was above the MDC and the MCID. Follow-up evaluations showed
310	retention or further improvement of function in patients that were able to achieve substantial
311	gains already at T2, i.e. at the end of the interventional period.

313 This is the first time to the best of our knowledge that a therapy regimen involving self-314 modulated FES of the affected limb results in clinically relevant improvement in patients with 315 severe impairment. The idea of using contralateral hand to control FES is not novel (24), 316 although former studies focused the treatment on the hand only (24, 25). As already observed 317 in the past, the timeliness and regimens of FES produce substantially different outcomes in 318 stroke patients (6). FES is a powerful ally of other effective treatments in neurorehabilitation 319 for its capacity to provide limb actuation, rich afferent stimulation in sensation and 320 proprioception, and increase cortical excitability in the short time scale (26). Our results show 321 that combining coarse and imprecise movements generated via FES to volitional attempts and 322 residual capability in order to complete tasks of progressive complexity can improve 323 movement relearning and perceived functionality of the affected limb. We cannot exclude, as 324 pointed out from recent studies (27), that some effect may arise directly from sensory 325 stimulation coupled with goal-oriented training. Another recent study (28) has shown that 326 EMG-triggered FES is not better than conventional care in absence of fingers extension, 327 relating this finding to a potential involvement of corticospinal integrity (29). In our study, 328 only one of the patients presented partial fingers extension at baseline, but nonetheless we 329 observed large recoveries, motivating further research in self-modulated stimulation assisting 330 training of daily living tasks.

331

332 This pilot study was limited by the small sample size, and results should be replicated on a

larger population of patients. Assessor blinding and trial design ensured the absence of
confirmatory bias at T1 towards one of the groups. Nevertheless, effect sizes of both groups
might have been inflated by the fact that the assessor knew that patients received some form
of therapy before T1 and before T2, so effect sizes should be taken cautiously.

337

Two patients that received EAMT as first therapy took a longer washout period than
scheduled, reporting consistent increases during this uncontrolled time. The statistical
analyses did not include this uncontrolled recovery except for the carry-over effects. Although
these effects were negligible in the context of this study, further studies should be designed to
account for this potential source of bias, i.e. avoiding the cross-over design. The recovery
during uncontrolled time after EAMT could be explained by the fact that both patients
reported attempting to use their affected limb more often at home.

345

346 In addition, the current study design did not allow us to provide an explanation regarding the 347 difference in recovery between groups achieved in the first period of treatment with respect to 348 the second. We speculate that this may be due to: i) allocation specific difference in motivation in patients who may see more rapid progress in one of the groups; ii) a 349 350 neurophysiologic phenomenon favoring improvements within the scale of two weeks and 351 penalizing later improvements that occur later in time; iii) small sample size, although known 352 cofactors were balanced between groups (age, time since event, lesion type, baseline FMA-353 UE score).

354

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1 Figures

2 Figure 1 – Clinical effects.



3

A) Main cross-over effect in primary and secondary outcomes. The main cross-over effect is 4 5 assessed by comparing the difference between the two responses to therapy between groups. In other words, each patient provides a single value indicating how much the first therapy provided 6 a larger response than the second therapy; for the EAMT-SC group, the vector indicates how 7 8 larger the improvement following EAMT was with respect to the improvement due to SC, and 9 viceversa for the SC-EAMT group. B) Relative improvement in FMA-UE, the primary outcome 10 measure of this study, with respect to the baseline evaluation at T0. Patients of the EAMT-SC group received EAMT between T0 and T1, and further received SC between T1 and T2. On the 11 12 contrary, patients of the SC-EAMT group received SC between T0 and T1, and further received 13 EAMT between T1 and T2.



CONSORT 2010 Flow Diagram



17 **Tables**

EAN	$\mathbf{AT-SC} \ (\mathbf{N}=5)$	SC-EAMT $(N = 6)$	p-value
Gender			
Male, n (%)	4 (80)	3 (50)	0.54†
Age [y]			
Mean \pm SD	45.6 ± 14.5	49.8 ± 13.3	0.66‡
Age group (%)			
18 - 30, n (%)	1 (20)	0 (0)	
31 - 45, n (%)	1 (20)	3(50)	
45 - 65, n (%)	3 (60)	3 (50)	
>65, n (%)	0 (0)	0 (0)	
Time Since Event [mo]			
Mean ± SD	52 ± 50.5	41.5 ± 31.7	1‡
Lesion side			
Right hemisphere, n (%)	2 (40)	4 (66)	0.57†
Stroke type			
TACI, n (%)	4 (80)	4 (66)	1†
FMA-UE at T0			
Mean ± SD	11 ± 6	13.2 ± 5.4	0.54‡

18 Table 1 – Baseline demographics and clinical characteristics.

19 [†] Fisher's Exact Test (two-tailed) ; ‡ Independent Samples Mann-Whitney U-test

20 EAMT-SC: this group of patients received electrically-assisted movement therapy before

standard care; SC- EAMT: this group of patients received standard care before electrically-

22 assisted movement therapy. TACI: Total Anterior Circulation Infarct. FMA-UE: Fugl-Meyer

23 Assessment for the Upper Extremity.

25 Table 2 – Primary and secondary outcomes, relative improvement with respect to previous

26 evaluation.

			p-value cross-over	p-value carry-over
Evaluation			(Hp: EAMT-SC \neq	(Hp: EAMT+SC \neq
			SC-EAMT) ‡	SC+EAMT) ‡
	T1-T0	T2-T1		
FMA-UE			0.047	0.075
EAMT-SC	12.2 ± 6.7	0.8 ± 1.7		
SC-EAMT	4.3 ± 4.4	4.8 ± 4.8		
WMFT time			0.147	0.459
EAMT-SC	-6.4 ± 9.6	-6.4 ± 7.0		
SC-EAMT	1.3 ± 3.3	-4.4 ± 5.9		
WMFT-FAS			0.341	0.169
EAMT-SC	3.2 ± 3.7	3.2 ± 3.3		
SC-EAMT	0.0 ± 0.0	4.4 ± 5.9		
REPAS			0.527	0.167
EAMT-SC	1.0 ± 1.7	1.2 ± 2.3		
SC-EAMT	-0.7 ± 1.5	0.5 ± 2.4		
MAL-AOU			0.036	0.207
EAMT-SC	14.4 ± 10.7	-2.8 ± 5.0		
SC-EAMT	3.7 ± 12.4	3.8 ± 5.2		
MAL-QOM			0.028	0.059
EAMT-SC	13.6 ± 8.0	0.8 ± 5.0		
SC-EAMT	4.0 ± 8.5	0.9 ± 3.7		

²⁷

‡ Independent Samples Mann-Whitney U-test

28 Hp: statistical hypothesis that was tested; EAMT-SC≠SC-EAMT: main cross-over effect, i.e. the

29 difference of first and second therapy effects is significantly different between groups;

30 EAMT+SC≠SC+EAMT: carry-over effect, i.e. the sum of first and second therapy effects is

31 significantly different between groups; statistically significant p-values are reported in bold.

32 FMA-UE: Fugl-Meyer Assessment for the Upper Extremity; WMFT time: Wolf Motor Function

- 33 Test timing (in seconds); WMFT-FAS: Wolf Motor Function Test Functional Activity Scale;
- 34 REPAS: Resistance to Passive Movement Scale; MAL-AOU: Motor Activity Log Amount Of
- 35 Use; MAL-QOM: Motor Activity Log Quality Of Movement. Improvements are reported as

- 36 mean \pm standard deviation of the individual change within each group. EAMT-SC: this group of
- patients received electrically-assisted movement therapy before standard care; SC- EAMT: this
- 38 group of patients received standard care before electrically-assisted movement therapy.

	TO	T1	T1*	T2	Т3
FMA-UE					
EAMT-SC	11 ± 5.4	23.2±10.7	26.6±9.6	27.4±9	26.8±11.2
SC-EAMT	13.2±4.9	17.5 ± 7.5		20.4±7.3	24±14.9
WMFT time					
EAMT-SC	99.8±20.3	93.4±18.5	89±17.7	82.6±19.6	85±21.6
SC-EAMT	86.2±31.3	87.5±30		88.4±32.4	87.2±31.7
WMFT-FAS					
EAMT-SC	12.6±10.8	15.8±13.5	19.6±11.7	22.8±11.8	23±12.8
SC-EAMT	14.8±11.3	14.8 ± 11.3		16.4 ± 14.1	20.6±18.8
REPAS					
EAMT-SC	9.2±5.2	10.2 ± 6.4	8.8±4.3	10±5.3	10.4 ± 7
SC-EAMT	8.8±3.7	8.2±3		9.4±1.5	10.6 ± 1.5
MAL-AOU					
EAMT-SC	4.2±7	18.6±11.1	17.2 ± 12.5	18.6 ± 10.2	22.4±16.2
SC-EAMT	7 ± 10.7	10.7±7.4		12.6±8.3	21.1±27.3
MAL-QOM					
EAMT-SC	4±7	17.6±10.4	18.6±10.4	19.4±11	20.8±13.9
SC-EAMT	5.7±8	9.7±7.7	0±0	8.5±9.5	18±29.1

39 Table 3 – Primary and secondary outcomes, absolute group scores across the study.

FMA-UE: Fugl-Meyer Assessment for the Upper Extremity; WMFT time: Wolf Motor Function 41 42 Test Timing (in seconds); WMFT-FAS: Wolf Motor Function Test Functional Activity Scale; REPAS: Resistance To Passive Movement; MAL-AOU: Motor Activity Log Amount Of Use; 43 MAL-QOM: Motor Activity Log Quality Of Movement. Scores changes are reported as mean \pm 44 standard deviation of the absolute scores within each group. EAMT-SC: electrically-assisted 45 movement therapy before standard care; SC- EAMT: standard care before electrically-assisted 46 movement therapy. T1* group mean and standard deviation was calculated by including T1* 47 evaluations instead of T1 evaluations for the two patients who took a longer washout period. 48

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51 Supplementary material

52 Supplementary Figure 1 – Change in primary outcome metric including the follow-up

53 assessment.



Absolute change in FMA-UE at all evaluations, displayed by group. Therapy was only provided
between T0 and T1 and between T1 and T2. T1 was collected of the beginning of the washout
week between the two therapies. T1* group average was calculated by including T1* evaluations
instead of T1 evaluations for the two patients who took a longer washout period.

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Patient	Allocation	Absolute FMA-UE Scores					Relativ	e FMA-U	E Improve	ments
		T0	T1	T1*	T2	T3	T1-T0	T2-T1	T2-T0	T3-T0
P1	EAMT-SC	4	12		12	8	8	0	8	4
P2	SC-EAMT	18	26		29	45	8	3	11	27
P3	SC-EAMT	13	16		29	38	3	13	16	25
P4	EAMT-SC	8	9	19	23	20	1	4	15	12
P5	SC-EAMT	5	6		11	7	1	5	6	2
P6	EAMT-SC	20	36		35	33	16	-1	15	13
P7	SC-EAMT	20	27				7			
P8	SC-EAMT	13	11		16	12	-2	5	3	-1
P9	EAMT-SC	10	29	36	36	35	19	0	26	25
P10	EAMT-SC	13	30		31	38	17	1	18	25
P11	SC-EAMT	10	19		17	18	9	-2	7	8

63	A) Graphical representation of the change of FMA-UE scores for each patient. Each trajectory
64	represents the evolution of FMA-UE score of a patient. B) Individual FMA-UE scores at T0, T1,
65	T2, and T3 evaluations. Patients 4 and 9 took a longer washout time than the others, and were
66	screened at T1* before starting the second therapy. Relative improvements for those two patients
67	were calculated as T1-T0 and T2-T1*. Patient 7 dropped out after receiving SC for medical
68	reasons unrelated to this study. We also report the change of FMA-UE score after both therapies
69	with respect to baseline (i.e. T2-T0) and the overall change 18 weeks after therapy start with
70	respect to baseline (i.e. T3-T0).
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75	Supplementary	Table 1 –	Single-patient	demographics	and lesions	characteristics.
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Patient	Allocation	Age [y]	TSE [mo]	Side	Туре	Volume [mm3]	Location
P1	EAMT-SC	62	133	R	TACI	347,638†	Fronto-Parieto-Temporal Cortex.
P2	SC-EAMT	33	9	R	PACI	5,372	Internal Capsule (Basal Ganglia).
P3	SC-EAMT	34	9	L	TACI	169,016	Temporo-Parieto-Prefrontal Cortex.
P4	EAMT-SC	44	16	L	PACI	49,821	Insula and Basal Ganglia.
P5	SC-EAMT	63	98	R	TACI	n/a	White Matter and Basal Ganglia‡.
P6	EAMT-SC	19	13	L	TACI	653,506	Fronto-Parieto-Temporo-Occipital Cortex Insula and Basal Ganglia
P7	SC-EAMT	62	63	R	PACI	36,204	Fronto-Insular Cortex.
P8	SC-EAMT	63	26	L	TACI	101,853	Temporo-Parietal Cortex and Insula.
P9	EAMT-SC	52	90	L	TACI	55,934†	White Matter-Fronto-Patietal.
P10	EAMT-SC	51	8	R	TACI	221,575	Fronto-Parietal Cortex and Insula.
P11	SC-EAMT	44	44	R	TACI	222,839	Temporo-Parieto-Frontal Cortex.

77	TSE: time since event. TACI: total anterior circulation infarct. PACI: partial anterior circulation
78	infarct. Lesion side referred to R: right hemisphere, and L: left hemisphere. All patients
79	presented an ischemic lesion. Lesion volumes and lesion locations were assessed by means of
80	magnetic resonance imaging performed before trial start, except for the marked cases where data
81	were †: images were retrieved from former MRI scan and ‡: images were retrieved from former
82	CT scan.