

**PERSISTENT *LISTERIA MONOCYTOGENES*  
CONTAMINATION IN  
FOOD PROCESSING PLANTS**

JANNE LUNDÉN

Department of Food and Environmental Hygiene  
Faculty of Veterinary Medicine  
University of Helsinki, Finland

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University of Helsinki  
Finland

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ACADEMIC DISSERTATION

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Supervising professor

Prof Hannu Korkeala

Department of Food and Environmental Hygiene

Faculty of Veterinary Medicine

University of Helsinki

Supervised by

Prof Hannu Korkeala

Department of Food and Environmental Hygiene

Faculty of Veterinary Medicine

University of Helsinki

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## **ABBREVIATIONS**

AFLP, amplified fragment length polymorphism

BHI, brain heart infusion

CFU, colony forming unit

EPS, exopolysaccharide

MEE, multilocus enzyme electrophoresis

MH, Mueller-Hinton

MIC, minimum inhibitory concentration

MRP, macrorestriction pattern

PCR, polymerase chain reaction

PFGE, pulsed-field gel electrophoresis

QAC, quaternary ammonium compound

RAPD, random amplification of polymorphic DNA

REA, restriction endonuclease analysis

RTE, ready-to-eat

TSB, tryptic soy broth



## ABSTRACT

Reasons for persistent *Listeria monocytogenes* food plant contamination were investigated. Properties important in the survival of persistent and non-persistent *L. monocytogenes* strains in food processing plants were examined, and factors in food processing lines that predispose to persistent contamination were identified.

Persistent *L. monocytogenes* strains showed higher adherence levels to stainless steel surfaces than non-persistent strains after short contact times. Because, adherence to stainless steel increases resistance against sanitation procedures, efficient adherence over a short period may have an effect on the initiation of persistent plant contamination.

Differences in initial minimum inhibitory concentrations (MICs) of disinfectants observed between *L. monocytogenes* strains may also have an effect on the survival of these strains in food processing environments. Persistent and non-persistent *L. monocytogenes* strains were observed to adapt to quaternary ammonium compounds (QACs), tertiary alkylamine and sodium hypochlorite at 10°C and 37°C. Persistent and non-persistent strains adapted to similar levels. The adaptive response was observed after a 2-h sublethal exposure, indicating rapid response of the cells. The highest increase in resistance was over 15-fold. Although the increased resistance did not exceed the concentrations of disinfectants used at food processing plants, it may influence the survival of cells when the concentration of the disinfectant is sublethal due to inadequate sanitation procedures.

Since, all disinfectants caused cross-adaptation of *L. monocytogenes*, maintaining a high disinfectant efficiency by rotation is difficult. The only disinfectant that *L. monocytogenes* was not observed cross-adapt to was potassium persulphate. However, potassium persulphate caused cross-adaptation of *L. monocytogenes* to the other disinfectants, which reduces the effectiveness of these agents. Cross-adaptation was not seen only to disinfectants with similar mechanisms of action but also to disinfectants with different mechanisms of action, indicating non-specific responses.

Persistent and non-persistent *L. monocytogenes* strains were observed in all meat and poultry processing plants. The persistent strains were often widely spread in the processing

plant, contaminating two or more processing lines. Important factors sustaining contamination were complex processing machines and poor compartmentalization of processing lines. The elimination of *L. monocytogenes* from processing machines was difficult but shown to be possible with regular and thorough disassembly and for example alkali-acid-alkali washes. Compartmentalization, especially the separation of the raw area from the post heat-treatment area, seemed to affect the contamination status of processing lines, with poor compartmentalization increasing contamination.

*L. monocytogenes* contamination of final food products reflects the contamination status of the manufacturing food plant. Some *L. monocytogenes* pulsed-field gel electrophoresis (PFGE) types were found repeatedly from the product of one producer, indicating a persistent contamination in the food plant. Some PFGE types were also found repeatedly from the products of different producers, indicating persistence of these types in several plants.

In conclusion, persistent *L. monocytogenes* plant contamination appears to be the result of the interaction of several different factors. Properties influencing survival, including enhanced adherence to food contact surfaces and adaptation to disinfectants, in addition to such predisposing factors in the processing line as complex processing machines and poor compartmentalization may lead to persistent *L. monocytogenes* plant contamination.

## LIST OF ORIGINAL PUBLICATIONS

The thesis is based on the following original articles referred in the text by the Roman numerals I to V.

- I. Lundén, J. M., M. K. Miettinen, T. J. Autio and H. J. Korkeala. 2000. Persistent *Listeria monocytogenes* strains show enhanced adherence to food contact surface after short contact times. *J. Food Prot.* 63, 1204-1207.
- II. Lundén, J., T. Autio, A. Markkula, S. Hellström and H. Korkeala. 2003. Adaptive and cross-adaptive responses of persistent and non-persistent *Listeria monocytogenes* strains to disinfectants. *Int. J. Food Microbiol.* 82, 265-272.
- III. Lundén, J. M., T. J. Autio and H. J. Korkeala. 2002. Transfer of persistent *Listeria monocytogenes* contamination between food-processing plants associated with a dicing machine. *J. Food Prot.* 65, 1129-1133.
- IV. Lundén, J. M., T. J. Autio, A.-M. Sjöberg and H. J. Korkeala. 2003. Persistent and nonpersistent *Listeria monocytogenes* contamination in meat and poultry processing plants. *J. Food Prot.* 66, 2062-2069.
- V. Autio, T., J. Lundén, M. Fredriksson-Ahomaa, J. Björkroth, A.-M. Sjöberg and H. Korkeala. 2002. Similar *Listeria monocytogenes* pulsotypes detected in several foods originating from different sources. *Int. J. Food Microbiol.* 77, 83-90.

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# 1 INTRODUCTION

*Listeria monocytogenes* was first described in 1924 by Murray et al. (1926). The organism was isolated from rabbits and guinea-pigs and observed to cause monocytosis in the infected animals. This organism originally named *Bacterium monocytogenes*, was reported to be a human pathogen a few years later by Nyfeldt (1929).

Today, the disease listeriosis caused by *L. monocytogenes* is diagnosed regularly. The incidence of listeriosis in developed countries is about 0.2 to 0.8 cases per 100,000 persons annually (Gellin et al. 1991, McLauchlin 1996, Kela and Holmström 2001, Lukinmaa et al. 2003). The incidence is not high, but as the mortality is about 20% (Gellin and Broome 1989), the disease is a public health concern. Listeriosis usually manifests in the elderly, in fetuses or newborns and in individuals with severe underlying diseases. The growing number of people with predisposing factors has increased the size of the population at risk (Schlech III 2000).

The route of transmission of *L. monocytogenes* from foods to humans was finally established at the beginning of the 1980s, when coleslaw was shown by microbiological and epidemiological means to be the vehicle of *L. monocytogenes* in an epidemic in Nova Scotia, Canada (Schlech et al. 1983). After that, several reports have been made of food-borne listeriosis, both epidemics and sporadic cases, due to all kinds of foods (Goulet et al. 1995, Salvat et al. 1995, Loncarevic et al. 1997, Miettinen et al. 1999b, Lyytikäinen et al. 2000).

Although the link between contaminated food and listeriosis in humans was not proved until the 1980s, *L. monocytogenes* was suspected of being transmitted by foods and of causing food-borne epidemics much earlier. The possibility of transmission of *L. monocytogenes* by foods is mentioned by Seeliger in 1961 as follows:

*“Food-borne infection due to Listeria is of considerable epidemiological interest.”*

Observations leading to the above statement were made in Halle, East Germany, where a massive occurrence of listeriosis was identified in the late 1940s to the 1950s.

Consumption of non-pasteurized milk was suspected of being the cause of the outbreak. Seeliger further says:

*“The suggested role of infected food, non-pasteurized milk in particular, and probably also meat and game, requires an improvement in and enforcement of control measures.”*

These words were written at a time when the role of foods as vehicles of *L. monocytogenes* was still obscure. Today, there is no doubt that *L. monocytogenes* is transmitted to humans by a variety of foods. Although the target of preventive measures for decreasing the occurrence of listeriosis is clear, i.e. food processing plants, the eradication of *L. monocytogenes* from foods has been difficult. *L. monocytogenes* contamination has been observed to dominate and persist for extended periods of time in different food processing plants (Rørvik et al. 1995, Unnerstad et al. 1996, Miettinen et al. 1999a). These observations on persistent contaminations set the cornerstones for the present study in which reasons for persistent contamination are sought. The persistent contamination of food processing plants applies a continuous contamination pressure on the products. Increased knowledge is important in successful prevention of *L. monocytogenes*. This study seeks to uncover causes of persistent *L. monocytogenes* contamination.

## 2 REVIEW OF THE LITERATURE

### 2.1 *Listeria monocytogenes* and listeriosis

#### 2.1.1 The genus *Listeria*

The genus *Listeria* currently contains six species: *Listeria monocytogenes*, *Listeria ivanovii*, *Listeria welshimeri*, *Listeria innocua*, *Listeria seeligeri* and *Listeria grayi* (Rocourt 1999, Vazquez-Boland et al. 2001). *L. monocytogenes* and *L. ivanovii* are pathogenic, the former causing disease in humans and animals, and the latter in animals. The other species are non-pathogenic (Vazquez-Boland et al. 2001).

The *Listeria* species are regular Gram-positive non-sporing rods with a diameter of about 0.5  $\mu\text{m}$  and a length of 0.5-2.0  $\mu\text{m}$ . They are facultative anaerobes with no capsule. Moreover, they are catalase-positive, oxidase-negative and motile at 20-25°C due to peritrichous flagella but non-motile at 37°C (Seeliger and Jones 1986).

#### 2.1.2 Growth limits of *Listeria monocytogenes*

*L. monocytogenes* can grow in a wide temperature range, from -1.5 to 45°C (Gray and Killinger 1966, Junttila et al. 1988, Hudson et al. 1994). The growth of the organism at -1.5°C is very slow, with a lag time of 174 h (Hudson et al. 1994). *L. monocytogenes* can grow in laboratory media with a pH ranging from 4.3 (Farber et al. 1989) to 9.6 (Seeliger and Jones 1986). The minimum  $a_w$  for growth in a laboratory medium containing glycerol has been reported to be 0.90 (Nolan et al. 1992).

#### 2.1.3 Isolation and identification

The first isolation methods for *L. monocytogenes* included cold enrichment, which was based on *L. monocytogenes* being able to grow at low temperatures (Gray et al. 1947). Cold enrichment was very time-consuming and more rapid methods have subsequently been developed. Modern isolation methods of *Listeria* spp. and *L. monocytogenes* are

based on selective enrichment followed by plating on selective media. Several international method standards, e.g. the standards of the International Standardization Organization (ISO 1996), the International Dairy Federation (IDF 1995) and the Nordic Committee on Food Analysis (NCFA 1999), include a one,- or two-step enrichment followed by plating on two selective plating media.

The identification of *Listeria* spp. is based on Gram-staining and catalase, oxidase and motility tests. The species are distinguished by the haemolysis test, CAMP test and sugar fermentation (Seeliger and Jones 1986). *L. monocytogenes* shows a narrow  $\beta$ -haemolysis on blood media and ferments D-rhamnose but not D-xylose. The CAMP test is positive with  $\beta$ -haemolytic *Staphylococcus aureus*, showing enhanced haemolysis at the intersection of the cultures of *L. monocytogenes* and *S. aureus*. The CAMP-test is negative with *Rhodococcus equi*. Performing the CAMP test is not necessary for the identification of *L. monocytogenes* but can enhance weak haemolysis reactions (Seeliger and Jones 1986). *L. monocytogenes* can also be distinguished from other *Listeria* spp. with commercial biochemical test kits such as API Listeria (Bille et al. 1992).

#### **2.1.4 Subtyping**

The subtyping of *L. monocytogenes* isolates offers an approach for investigating the relatedness of isolates and identifying and tracing the sources of epidemics (Miettinen et al. 1999b, Lyytikäinen et al. 2000, de Valk et al. 2001, Sim et al. 2002). Subtyping has also been of great value in identifying sources of contamination in food processing plants (Autio et al. 1999, Berrang et al. 2002, Hoffman et al. 2003, Rørvik et al. 2003). Both phenotyping and molecular typing methods have been applied in the subtyping of *L. monocytogenes* isolates.

##### **Phenotyping methods**

Phenotyping of *L. monocytogenes* has been performed by serotyping, phage typing and bacteriocin typing. Serotyping, a classical tool for typing *L. monocytogenes* isolates, is based on differences in the antigenic properties of *L. monocytogenes* isolates (Seeliger and Hohne 1979). *L. monocytogenes* can be divided into 13 serotypes according to somatic (O) and flagellar (H) antigens (Schönberg et al. 1996). Most of the clinical and food isolates

belong to a few serotypes. The method has a poor discriminating power, which limits its use in epidemiological studies that require information on the relatedness of isolates. Serotyping is nevertheless considered useful in dividing *L. monocytogenes* isolates into serogroups in epidemiological studies. Serotyping can be used as a first typing method, but when more discriminatory power is needed, a genotyping method should also be applied (Schönberg et al. 1996, Lukinmaa et al. 2003).

Phage typing is based on the susceptibility of *L. monocytogenes* isolates to certain bacteriophages (Rocourt et al. 1985). Its discriminatory power is high and large numbers of isolates can be typed in a relatively short time. Shortcomings of this method are that some *L. monocytogenes* strains are not typeable (McLauchlin et al. 1996, Ojeniyi et al. 1996) and not all laboratories have access to this method (McLauchlin et al. 1996).

Bacteriocin typing is based on the susceptibility of *L. monocytogenes* isolates to different bacteriocins. Because the method has poor discriminatory power, it is not particularly useful in epidemiological investigations (Curtis and Mitchell 1992).

### Molecular typing methods

Several molecular typing methods have been applied to the characterization of *L. monocytogenes* isolates (Bille and Rocourt 1996). Multilocus enzyme electrophoresis (MEE) typing, restriction endonuclease analysis (REA), ribotyping, random amplification of polymorphic DNA (RAPD) and pulsed-field gel electrophoresis (PFGE) typing were evaluated in a WHO international multicenter subtyping study (Bille and Rocourt 1996). Amplified fragment length polymorphism (AFLP) typing (Fonnesbech Vogel et al. 2001, Autio et al. 2003, Keto-Timonen et al. 2003) and DNA sequence-based typing have also been used to type *L. monocytogenes* (Cai et al. 2002).

DNA macrorestriction analysis by PFGE typing is considered to be the method of choice in the typing of *L. monocytogenes*. This method has a very high discriminatory power and is reproducible. It is also capable of typing *L. monocytogenes* serotype 4b isolates (Brosch et al. 1996). The method is based on the cutting of chromosomal DNA into large fragments with rare cutting restriction endonucleases. The fragments are run in a pulsed-field gel electrophoresis allowing separation of large fragments (Schwartz and Cantor 1984). PFGE



typing has been successfully used in identifying and tracing epidemics (Miettinen et al. 1999b, Lyytikäinen et al. 2000) and contamination routes in food processing plants (Ojeniyi et al. 1996, Unnerstad et al. 1996, Autio et al. 1999, Giovannacci et al. 1999, Miettinen et al. 1999a, 2001b, Senczek et al. 2000, Fønnesbech Vogel et al. 2001, Berrang et al. 2002).

MEE typing has been used in several epidemiological studies (Farber et al. 1991, Rørvik et al. 1995, 2000, Avery et al. 1996, Nesbakken et al. 1996, Buncic et al. 2001). MEE is based on the separation of the soluble metabolic enzymes in a gel electrophoresis, resulting in electrophoretic types. The typeability of the isolates is good, but the discriminatory power is not very high (Caugant et al. 1996). Isolates that have been typed with MEE can further be typed with another method to improve discrimination (Rørvik et al. 2000).

REA is based on cutting chromosomal DNA with frequently cutting restriction endonucleases into fragments. This method has a high discriminatory power, but depending on the enzymes used, may result in numerous fragments, complicating the interpretation of the patterns (Gerner-Smidt et al. 1996).

Ribotyping is based on the restriction of DNA, followed by gel electrophoresis of the fragments obtained. A subset of fragments is visualized by hybridizing with a labelled ribosomal RNA (Stull et al. 1988). Ribotyping shows a good typeability but a limited discriminatory power (Swaminathan et al. 1996), although the discriminatory power can be improved by using different enzymes (de Cesare et al. 2001). Automated ribotyping, which has been used in several epidemiological studies (Tkáčiková et al. 2000, Norton et al. 2001, Berrang et al. 2002, Suihko et al. 2002, Aarnisalo et al. 2003, Hoffman et al. 2003), enables the typing of large amounts of isolates.

RAPD is a polymerase chain reaction (PCR) -based typing method, which randomly amplifies segments of the target DNA by using arbitrarily selected primers (Williams et al. 1990). RAPD shows a high discriminatory power (Boerlin et al. 1995), but obtaining reproducible results is problematic (Wernars et al. 1996). RAPD has been applied in several epidemiological investigations (Lawrence and Gilmour 1995, Giovannacci et al. 1999, Aguado et al. 2001, Fønnesbech Vogel et al. 2001)

AFLP is a PCR-based typing method in which total bacterial DNA is digested by restriction enzymes. An oligonucleotide adapter complementary to the sequence of the restriction site is ligated to the fragment. A subset of fragments are amplified and electrophoresed (Guerra et al. 2002). The discriminatory power of AFLP is similar to that of PFGE (Fonnesbech Vogel et al. 2001, Keto-Timonen et al. 2003).

DNA sequence-based typing methods determine a partial or complete sequence of one or several genes. The data produced by these methods are unambiguous and very sensitive, allowing single nucleotide changes to be observed (Cai et al. 2002).

### **2.1.5 Listeriosis**

Listeriosis in humans is rare, with less than ten cases per one million persons (Gellin et al. 1991, Kela and Holmström 2001). Although listeriosis is uncommon it is of concern because of the high mortality rate of about 20% (Gellin and Broome 1989). Two distinct clinical manifestations of the disease exist, the invasive and the non-invasive form. The invasive form causes life-threatening disease in persons belonging to a specific risk group. This risk group comprises the elderly, pregnant women and people with impaired immune status due to organ transplants or severe underlying disease such as cancer or human immunodeficiency virus (Schlech III 2000, Vazquez-Boland et al. 2001). Clinical signs in adults include sepsis, meningitis and meningoencephalitis. Pregnant women may experience flu-like symptoms, followed by abortion or stillbirth. The infection of neonates can also be of two forms depending on the time of infection and clinical signs (Schuchat et al. 1991). Early onset, which occurs within the first week of birth, is due to infection of the uterus, usually resulting in sepsis. Late onset occurs some weeks after birth, and is probably caused by infection of the birth canal. The clinical presentation in late onset is usually meningitis (Vazquez-Boland et al. 2001). The non-invasive disease causes a self-resolving febrile gastroenteritis, with no predisposing underlying disease detected (Salamina et al. 1996, Miettinen et al. 1999b, Frye et al. 2002).

## **2.2 *Listeria monocytogenes* in foods**

*L. monocytogenes* is commonly found in different foods. Table 1 presents the prevalence of *L. monocytogenes* in meat, poultry, fish and dairy products. *L. monocytogenes* is frequently found in raw food products. Poultry, in particular, seems to often be contaminated with *L. monocytogenes*, the prevalence being as high as 50% (Lawrence and Gilmour 1994, Miettinen et al. 2001b), but beef and pork can also be highly contaminated (Buncic 1991, MacGowan et al. 1994, Heredia et al. 2001). While *L. monocytogenes* is also found in raw fish and milk, the prevalence is often lower than for meat or poultry (Husu et al. 1990, Rea et al. 1992, Johansson et al. 1999, Jayarao and Henning 2001).

The prevalence of *L. monocytogenes* in processed products varies greatly depending on the product and the study at hand. The ready-to-eat (RTE) foods represent a large variety of foods in which the prevalence of *L. monocytogenes* can range from high to low. Products that are manipulated e.g. sliced are at higher risk for contamination (Uyttendaele et al. 1999a). Cold-smoked and gravad fish have been shown to have a particularly high prevalence (Loncarevic et al. 1996, Keto and Rahkio 1998, Johansson et al. 1999), since *L. monocytogenes* is not destroyed in the processing of these products. The prevalence is higher in vacuum-packed fish products than in products that are not vacuum-packed (Keto and Rahkio 1998). Among processed milk products, soft cheeses are especially susceptible, but *L. monocytogenes* can also be found in other cheeses and processed milk products.

Table 1. Prevalence of *Listeria monocytogenes* in meat, poultry, fish and dairy foods.

| Product                      | Country          | Prevalence<br>% | Reference                 |
|------------------------------|------------------|-----------------|---------------------------|
| <b>Meat</b>                  |                  |                 |                           |
| <b>Raw</b>                   |                  |                 |                           |
| Beef                         | United Kingdom   | 35              | MacGowan et al. 1994      |
|                              | Mexico           | 16              | Heredia et al. 2001       |
|                              | Switzerland      | 6.3             | Fantelli and Stephan 2001 |
| Pork                         | United Kingdom   | 28              | MacGowan et al. 1994      |
|                              | Switzerland      | 4.5             | Fantelli and Stephan 2001 |
| Minced beef and pork         | Yugoslavia       | 69              | Buncic 1991               |
| <b>Processed</b>             |                  |                 |                           |
| Sausage                      | Italy            | 14              | Comi et al. 1992          |
|                              | USA              | 7.5             | Wang and Muriana 1994     |
| Hot-smoked (surface sample)  | Yugoslavia       | 21              | Buncic 1991               |
| Hot-smoked (internal sample) | Yugoslavia       | ND <sup>a</sup> | Buncic 1991               |
| Full-meat product            | Finland          | 1               | Fieandt 1993              |
| Un sliced product            | Belgium          | 1.6             | Uyttendaele et al. 1999a  |
|                              | Belgium          | 6.7             | Uyttendaele et al. 1999a  |
| Pate                         | Spain            | 5.4             | Dominguez et al. 2001     |
| Fermented sausage            | Finland          | 3.0             | Pelttari 1990             |
|                              | Norway           | ND              | Rørvik and Yndestad 1991  |
|                              | Yugoslavia       | 19              | Buncic 1991               |
| Fermented product            | USA              | 3.3             | Levine et al. 2001        |
| Different products           | USA              | 3.1             | Levine et al. 2001        |
|                              | Spain            | 9.2             | Aguado et al. 2001        |
|                              | Finland          | 2               | Fieandt and Mäkelä 1994   |
| RTE product <sup>b</sup>     | France           | 22              | Johnson et al. 1990       |
|                              | Canada           | 33              | Johnson et al. 1990       |
|                              | USA              | ND              | Johnson et al. 1990       |
|                              | New Zealand      | 1.8             | Hudson et al. 1992        |
| <b>Poultry</b>               |                  |                 |                           |
| <b>Raw</b>                   |                  |                 |                           |
| Broiler                      | Finland          | 27              | Fieandt 1993              |
|                              | Finland          | 62              | Miettinen et al. 2001b    |
|                              | Portugal         | 41              | Antunes et al. 2002       |
| Carcass                      | Northern Ireland | 59              | Lawrence and Gilmour 1994 |
|                              | Belgium          | 30              | Uyttendaele et al. 1999b  |
|                              | Spain            | 32              | Capita et al. 2001        |
| Ready-to-cook product        | Denmark          | 9.1             | Ojeniyi et al. 1996       |
| <b>Processed</b>             |                  |                 |                           |
| Chicken                      | New Zealand      | 13              | Hudson et al. 1992        |
|                              | Northern Ireland | ND              | Lawrence and Gilmour 1994 |
| Chicken and turkey           | Belgium          | 25              | Uyttendaele et al. 1999b  |
| Turkey                       | New Zealand      | ND              | Hudson et al. 1992        |
| Sausages                     | Denmark          | 8.8             | Ojeniyi et al. 1996       |
| Cooked product               | USA              | 2.1             | Levine et al. 2001        |

Table 1 continued

| Product          | Country          | Prevalence % | Reference                    |                          |
|------------------|------------------|--------------|------------------------------|--------------------------|
| <b>Fish</b>      |                  |              |                              |                          |
| Raw              | United Kingdom   | 13           | MacGowan et al. 1994         |                          |
|                  | Finland          | ND           | Johansson et al. 1999        |                          |
| <b>Processed</b> |                  |              |                              |                          |
| Gravad fish      | Switzerland      | 26           | Jemmi 1990 <sup>c</sup>      |                          |
|                  | Iceland          | 26           | Hartemink and Georgsson 1991 |                          |
|                  | Sweden           | 21           | Loncarevic et al. 1996       |                          |
|                  | Finland          | 23           | Keto and Rahkio 1998         |                          |
|                  | Finland          | 33           | Lyhs et al. 1998             |                          |
|                  | Finland          | 50           | Johansson et al. 1999        |                          |
|                  | Finland          | 6            | Hatakka et al. 2001          |                          |
|                  | Cold-smoked fish | Switzerland  | 14                           | Jemmi 1990 <sup>c</sup>  |
|                  |                  | Sweden       | 12                           | Loncarevic et al. 1996   |
|                  |                  | Finland      | 14                           | Keto and Rahkio 1998     |
|                  |                  | Finland      | 15                           | Lyhs et al. 1998         |
|                  |                  | Finland      | 17                           | Johansson et al. 1999    |
|                  |                  | Spain        | 22                           | Dominguez et al. 2001    |
| Spain            |                  | 27           | Aguado et al. 2001           |                          |
| Hot-smoked fish  | Finland          | 4            | Hatakka et al. 2001          |                          |
|                  | Finland          | 13           | Hatakka et al. 2002          |                          |
|                  | Switzerland      | 9            | Jemmi 1990 <sup>c</sup>      |                          |
|                  | Sweden           | 1.5          | Loncarevic et al. 1996       |                          |
|                  | Finland          | ND           | Keto and Rahkio 1998         |                          |
|                  | Finland          | 1            | Lyhs et al. 1998             |                          |
| RTE products     | Finland          | 2            | Johansson et al. 1999        |                          |
|                  | Iceland          | 16           | Hartemink and Georgsson 1991 |                          |
|                  | New Zealand      | 26           | Hudson et al. 1992           |                          |
|                  | Canada           | 0.3          | Farber 2000 <sup>c</sup>     |                          |
|                  | Canada           | ND           | Farber 2000                  |                          |
| <b>Dairy</b>     |                  |              |                              |                          |
| <b>Raw</b>       |                  |              |                              |                          |
| Milk             | Hungary          | 3.8          | Rodler and Korbler 1989      |                          |
|                  | United Kingdom   | 3.6          | Greenwood et al. 1991        |                          |
|                  | Finland          | 1.7          | Husu et al. 1990             |                          |
|                  | Ireland          | 4.9          | Rea et al. 1992              |                          |
|                  | Bulk tank milk   | USA          | 4.6                          | Jayarao and Henning 2001 |
| Finland          |                  | 2.9          | Husu 1990                    |                          |
| Dairy silo milk  | Sweden           | 20           | Waak et al. 2002             |                          |
| Farm tank milk   | Sweden           | 1            | Waak et al. 2002             |                          |
| Pasteurized milk | United Kingdom   | 1.1          | Greenwood et al. 1991        |                          |
| <b>Processed</b> |                  |              |                              |                          |
| Soft cheese      | Hungary          | ND           | Rodler and Korbler 1989      |                          |
|                  | Italy            | 1.6          | Massa et al. 1990            |                          |
|                  | United Kingdom   | 5.9          | Greenwood et al. 1991        |                          |
|                  | Norway           | 11           | Rørvik and Yndestad 1991     |                          |
|                  | England          | 0.4          | MacGowan et al. 1994         |                          |

Table 1 continued

| Product                      | Country        | Prevalence<br>% | Reference               |
|------------------------------|----------------|-----------------|-------------------------|
| Dairy                        |                |                 |                         |
| Processed                    |                |                 |                         |
| Soft cheese                  | Australia      | 3.4             | Arnold and Coble 1995   |
|                              | Finland        | ND              | Pirhonen 1998           |
|                              | Europe         | 6.3             | Rudolf and Scherer 2001 |
| Semi-soft cheese             | Hungary        | ND              | Rodler and Korbler 1989 |
|                              | Europe         | 7.6             | Rudolf and Scherer 2001 |
| Soft and semi-soft<br>cheese | Ireland        | ND              | Coveney et al. 1994     |
|                              | Sweden         | 6               | Loncarevic et al. 1995  |
| Fresh cheese                 | Finland        | ND              | Saukkonen 1998          |
|                              | Finland        | 2.5             | Keto and Rantala 1998   |
|                              | Finland        | ND              | Pirhonen 1998           |
| Hard cheese                  | Hungary        | ND              | Rodler and Korbler 1989 |
|                              | Europe         | 4.4             | Rudolf and Scherer 2001 |
| Ice cream                    | United Kingdom | 2.0             | Greenwood et al. 1991   |
|                              | Finland        | ND              | Fieandt and Mäkelä 1994 |
|                              | Finland        | 0.5             | Miettinen et al. 1999a  |

<sup>a</sup>ND=Not detected<sup>b</sup>RTE=ready-to-eat<sup>c</sup>Imported

### 2.3 *Listeria monocytogenes* in food processing plants

*L. monocytogenes*, a common contaminant in food processing plants, has been found in raw materials, in the environment, in the equipment and in final products. *L. monocytogenes* has also been identified in raw areas as well as in post heat-treatment areas. The prevalence of *L. monocytogenes* in the environment and in the equipment of food processing plants is presented in Table 2. The prevalence varies in different food industries, the least contaminated of which appears to be dairy processing plants. However, large differences in prevalence exist between plants within a food industry area. The prevalence also differs considerably in investigations done before and after sanitation, and in those performed in raw and post heat-treatment areas.

Table 2. Prevalence of *Listeria* spp. and *Listeria monocytogenes* in meat, poultry, fish and dairy processing plants.

| Food processing plant<br>Sampling site | Prevalence %          |                          | Country | Year    | Reference                                  |
|----------------------------------------|-----------------------|--------------------------|---------|---------|--------------------------------------------|
|                                        | <i>L</i> <sup>a</sup> | <i>L.m.</i> <sup>b</sup> |         |         |                                            |
| <b>Meat processing plant</b>           |                       |                          |         |         |                                            |
| Environ. and equip.                    | 21                    | NA <sup>c</sup>          | USA     | 1987    | Anonymous 1987 <sup>d</sup>                |
| Environ. and equip. (raw area)         | NA                    | 68                       | France  | NA      | Salvat et al. 1995 <sup>d</sup>            |
| Environ. and equip.                    | NA                    | 33                       | France  | NA      | Salvat et al. 1995 <sup>d</sup>            |
| Environ. and equip. (raw area)         | NA                    | 17                       | France  | NA      | Salvat et al. 1995 <sup>d</sup>            |
| Environ. and equip.                    | NA                    | 7                        | France  | NA      | Salvat et al. 1995 <sup>d</sup>            |
| Environ. (raw area)                    | NA                    | 2.3                      | France  | NA      | Chasseignaux et al. 2001                   |
| Equip. (raw area)                      | NA                    | 25                       | France  | NA      | Chasseignaux et al. 2001                   |
| Environ. (raw area, during activity)   | NA                    | 14                       | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Environ. (raw area, after cleaning)    | NA                    | 4                        | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip. (raw area, before cleaning)     | NA                    | 53                       | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip. (raw area, after cleaning)      | NA                    | 1.4                      | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip. (packing lines)                 | 56                    | NA                       | USA     | 1989    | Tompkin et al. 1992 <sup>d</sup>           |
| Equip. (packing lines)                 | 41                    | NA                       | USA     | 1990    | Tompkin et al. 1992 <sup>d</sup>           |
| Equip. (packing lines)                 | 36                    | NA                       | USA     | 1991    | Tompkin et al. 1992 <sup>d</sup>           |
| Equip. (raw area)                      | 18                    | 13                       | Greece  | NA      | Samelis and Metaxopoulos 1999 <sup>e</sup> |
| Equip.                                 | 5.4                   | 2.8                      | Greece  | NA      | Samelis and Metaxopoulos 1999 <sup>e</sup> |
| <b>Poultry processing plant</b>        |                       |                          |         |         |                                            |
| Environ. (raw area)                    | NA                    | 22                       | UK      | NA      | Hudson and Mead 1989                       |
| Equip. (raw area)                      | NA                    | 29                       | UK      | NA      | Hudson and Mead 1989                       |
| Environ. (raw area)                    | 46                    | 29                       | Ireland | 1992    | Lawrence and Gilmour 1994                  |
| Environ.                               | 26                    | 15                       | Ireland | 1992    | Lawrence and Gilmour 1994                  |
| Environ. (raw area)                    | NA                    | 22                       | France  | NA      | Chasseignaux et al. 2001                   |
| Equip. (raw area)                      | NA                    | 16                       | France  | NA      | Chasseignaux et al. 2001                   |
| Environ. (raw area, during activity)   | NA                    | 55                       | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Environ. (raw area, after cleaning)    | NA                    | 27                       | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip. (raw area, before cleaning)     | NA                    | 27                       | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip. (raw area, after cleaning)      | NA                    | ND <sup>f</sup>          | France  | NA      | Chasseignaux et al. 2002 <sup>d</sup>      |
| Equip.                                 | NA                    | 20                       | Finland | 1996    | Miettinen et al. 2001b <sup>d</sup>        |
| <b>Fish processing plant</b>           |                       |                          |         |         |                                            |
| Environ. (cold-smoked)                 | 29                    | 29                       | Norway  | 1991-92 | Rørvik et al. 1995                         |
| Environ. (cold-smoked)                 | NA                    | 11                       | Finland | NA      | Autio et al. 1999                          |
| Equip. (cold-smoked)                   | NA                    | 24                       | Finland | NA      | Autio et al. 1999                          |
| Environ. and equip.                    | 7.2                   | NA                       | Finland | 1996-98 | Miettinen et al. 2001a                     |
| Environ. and equip.                    | NA                    | 16                       | Denmark | 1998-99 | Fonnesbech Vogel et al. 2001 <sup>d</sup>  |
| Environ.                               | NA                    | 28                       | USA     | 1998    | Norton et al. 2001 <sup>d</sup>            |
| Environ. and equip.                    | NA                    | 44                       | USA     | 2000    | Hoffman et al. 2003 <sup>d</sup>           |
| Environ. and equip.                    | NA                    | 1.2                      | USA     | 2000    | Hoffman et al. 2003 <sup>d</sup>           |

Table 2 continued

| Food processing plant<br>Sampling site | Prevalence %          |                          | Country     | Year    | Reference                               |
|----------------------------------------|-----------------------|--------------------------|-------------|---------|-----------------------------------------|
|                                        | <i>L</i> <sup>a</sup> | <i>L.m.</i> <sup>b</sup> |             |         |                                         |
| Dairy processing plant                 |                       |                          |             |         |                                         |
| Environ. (liquid)                      | 36                    | ND                       | Netherlands | NA      | Cox et al. 1989 <sup>d</sup>            |
| Equip. (liquid)                        | ND                    | ND                       | Netherlands | NA      | Cox et al. 1989 <sup>d</sup>            |
| Environ. (cheese)                      | 42                    | 3.8                      | Netherlands | NA      | Cox et al. 1989 <sup>d</sup>            |
| Equip. (cheese)                        | 12                    | 2.9                      | Netherlands | NA      | Cox et al. 1989 <sup>d</sup>            |
| Environ. (ice cream)                   | 57                    | ND                       | Netherlands | NA      | Cox et al. 1989                         |
| Equip. (ice cream)                     | 55                    | 20                       | Netherlands | NA      | Cox et al. 1989                         |
| Environ.                               | 19                    | 17                       | Australia   | 1988-89 | Venables 1989                           |
| Environ. and equip. (liquid)           | 20                    | 11                       | USA         | 1987    | Charlton et al. 1990 <sup>d</sup>       |
| Environ. and equip. (ice cream)        | 14                    | 5.2                      | USA         | 1987    | Charlton et al. 1990 <sup>d</sup>       |
| Environ. and equip. (cheese)           | 3.0                   | 1.5                      | USA         | 1987    | Charlton et al. 1990 <sup>d</sup>       |
| Environ. and equip. (cultured milk)    | 9.3                   | 4.7                      | USA         | 1987    | Charlton et al. 1990 <sup>d</sup>       |
| Environ.                               | 9.3                   | 6.5                      | USA         | NA      | Cotton and White 1992 <sup>d</sup>      |
| Environ.                               | 18                    | 1.4                      | USA         | NA      | Klausner and Donnelly 1991 <sup>d</sup> |
| Environ.                               | 4.7                   | 9.3                      | France      | 1988-90 | Jacquet et al. 1993                     |
| Equip.                                 | ND                    | 11                       | France      | 1988-90 | Jacquet et al. 1993                     |
| Environ.                               | 0-42                  | NA                       | USA         | NA      | Pritchard et al. 1995 <sup>d</sup>      |
| Equip.                                 | 0-100                 | NA                       | USA         | NA      | Pritchard et al. 1995 <sup>d</sup>      |
| Environ. (ice cream)                   | NA                    | 4.7                      | Finland     | 1990-97 | Miettinen et al. 1999a                  |
| Equip. (ice cream)                     | NA                    | 5.1                      | Finland     | 1990-97 | Miettinen et al. 1999a                  |
| Environ. (cheese)                      | ND                    | ND                       | Brazil      | 1999-00 | Silva et al. 2003                       |
| Equip. (cheese)                        | 25 <sup>g</sup>       | ND                       | Brazil      | 1999-00 | Silva et al. 2003                       |
| Environ. (cheese)                      | 18                    | 14                       | Brazil      | 1999-00 | Silva et al. 2003                       |
| Equip. (cheese)                        | ND                    | ND                       | Brazil      | 1999-00 | Silva et al. 2003                       |

<sup>a</sup>*Listeria* spp.<sup>b</sup>*Listeria monocytogenes*<sup>c</sup>NA=Data not available<sup>d</sup>Prevalence includes the results of two or more plants<sup>e</sup>Turkey meat included<sup>f</sup>ND=Not detected<sup>g</sup>Milk pipes

### 2.3.1 Contamination routes and sites of *Listeria monocytogenes*

*L. monocytogenes* contamination studies have been performed to identify contamination routes and sites in food processing plants and to gain information on how to prevent product contamination (Rørvik et al. 1995, Autio et al. 1999, Miettinen et al. 1999a, 2001b, Norton et al. 2001, Hoffman et al. 2003).

The initial source of contamination in food processing plants appears to be raw materials (Lawrence and Gilmour 1995, Berrang et al. 2002). However, continuous contamination of the plant environment and the final products by raw materials is unlikely as molecular



typing of *L. monocytogenes* isolates has shown that all or some of the types found in raw materials are not seen in the final products (Rørvik et al. 1995, Nesbakken et al. 1996, Autio et al. 1999, Tkáčiková et al. 2000, Norton et al. 2001, Hoffman et al. 2003). Environmental contamination appears to mostly be due to *L. monocytogenes* strains already present in the plant environment (Hoffman et al. 2003), with the processing environment serving as the source of contamination in finished products (Norton et al. 2001).

The contamination sites in meat, poultry, fish and dairy processing plants for the most part are the same, with processing machines standing out. Conveyors are generally contaminated in all plant types, as are processing machines that reduce product size such as slicers (Table 3). Other contaminated machines in different food plants are brining and packing machines and coolers or freezers (Autio et al. 1999, Miettinen et al. 1999a, Hoffman et al. 2003). Identical *L. monocytogenes* types have been found both from the product waste of processing machines or the processing machines themselves and from the final products, indicating that the processing machines have transferred the contamination to the products (Nesbakken et al. 1996, Suihko et al. 2002).

The contamination level has been observed to increase along the processing line (Klausner and Donnelly 1991, Chasseignaux et al. 2001, Rørvik et al. 2003). The contamination level in raw poultry products was higher than that in raw materials, in the processing environment or in the equipment (Chasseignaux et al. 2001), with the level of contamination of poultry carcasses increasing during the processing steps (Rørvik et al. 2003). The increase in contamination might be due to the increase in complex and poorly cleanable processing machines along the line (Klausner and Donnelly 1991).

Table 3. *Listeria monocytogenes* contamination sites in meat, poultry, fish and dairy plants.

| Food plant | Contamination site               | Reference                                                                                           |
|------------|----------------------------------|-----------------------------------------------------------------------------------------------------|
| Meat       | Processing environment           | Nesbakken et al. 1996                                                                               |
|            | Tumbling machine                 | Samelis et al. 1998, Samelis and Metaxopoulos 1999                                                  |
|            | Slicing machine                  | Suihko et al. 2002                                                                                  |
|            | Conveyor belt                    | Salvat et al. 1995, Giovannacci et al. 1999, Chasseignaux et al. 2001, Suihko et al. 2002           |
|            | Skinning machine                 | Suihko et al. 2002                                                                                  |
|            | Machines <sup>a</sup>            | Salvat et al. 1995                                                                                  |
|            | Mould                            | Salvat et al. 1995                                                                                  |
| Poultry    | Processing environment           | Chasseignaux et al. 2001, Berrang et al. 2002                                                       |
|            | Chilling machine                 | Miettinen et al. 2001b                                                                              |
|            | Skinning machine                 | Miettinen et al. 2001b                                                                              |
|            | Processing machines <sup>a</sup> | Lawrence and Gilmour 1994                                                                           |
|            | Conveyor belt                    | Chasseignaux et al. 2001, Miettinen et al. 2001b<br>Berrang et al. 2002                             |
|            | Cutter                           | Chasseignaux et al. 2001                                                                            |
| Fish       | Processing environment           | Rørvik et al. 1995, Autio et al. 1999, Rørvik et al. 2000                                           |
|            | Skinning machine                 | Autio et al. 1999, Johansson et al. 1999, Miettinen et al. 2001a <sup>b</sup> , Hoffman et al. 2003 |
|            | Cutting table                    | Norton et al. 2001                                                                                  |
|            | Trimming                         | Eklund et al. 1995, Johansson et al. 1999                                                           |
|            | Brining machine                  | Autio et al. 1999, Johansson et al. 1999, Miettinen et al. 2001a <sup>b</sup> , Norton et al. 2001  |
|            | Brining solution                 | Eklund et al. 1995, Autio et al. 1999, Norton et al. 2001                                           |
|            | Cold smoker                      | Norton et al. 2001                                                                                  |
|            | Slicing machine                  | Autio et al. 1999, Johansson et al. 1999, Miettinen et al. 2001a <sup>b</sup> , Norton et al. 2001  |
|            | Packaging machine                | Johansson et al. 1999, Miettinen et al. 2001a <sup>b</sup>                                          |
|            | Conveyor belt                    | Johansson et al. 1999, Miettinen et al. 2001a <sup>b</sup>                                          |
|            | Cooler                           | Hoffman et al. 2003                                                                                 |
| Dairy      | Processing environment           | Pritchard et al. 1995, Miettinen et al. 1999a                                                       |
|            | Milk filler                      | Pritchard et al. 1995                                                                               |
|            | Brine pre-filter                 | Pritchard et al. 1995                                                                               |
|            | Conveyor                         | Cotton and White 1992, Pritchard et al. 1995, Miettinen et al. 1999a                                |
|            | Packing machine                  | Miettinen et al. 1999a                                                                              |
|            | Freezer                          | Pritchard et al. 1995                                                                               |
|            | Mould                            | Jacquet et al. 1993                                                                                 |

<sup>a</sup>Specific machine not reported

<sup>b</sup>*Listeria* spp.

Drains in the processing areas are often found contaminated with *L. monocytogenes* (Rørvik et al. 1997, Autio et al. 1999, Johansson et al. 1999, Miettinen et al. 2001a, Norton et al. 2001, Hoffman et al. 2003). However, the drains are not considered to be a source of

the contamination, but rather an indicator of *L. monocytogenes* contamination of a processing area (Rørvik et al. 1997, Hoffman et al. 2003).

### **2.3.2 Persistent plant contamination**

Some *L. monocytogenes* strains have been observed to cause food plant contaminations over long periods of time. Prolonged or persistent contaminations have been reported in several food industry areas, with the contamination persisting up to several years (Table 4). Characteristic to the persistent contamination is that not all *L. monocytogenes* strains found cause persistent contamination, some strains are persistent and are found recurrently and others are non-persistent and only recovered sporadically. Another characteristic is that *L. monocytogenes* strains causing persistent contamination are usually not found in raw materials (Rørvik et al. 1995, Nesbakken et al. 1996, Miettinen et al. 1999a). The eradication of persistent contamination has been shown to be difficult but not impossible. Targeted and improved sanitation has led to successful eradication (Miettinen et al. 1999a).

Table 4. Duration of persistent *Listeria monocytogenes* contamination in the food processing industry.

| Food industry                     | Duration of persistent contamination | Reference                    |
|-----------------------------------|--------------------------------------|------------------------------|
| Meat industry                     |                                      |                              |
| Processing plant                  | 4 years                              | Nesbakken et al. 1996        |
| Slaughtering and cutting plant    | 1 year                               | Giovannacci et al. 1999      |
| Processing plant                  | 2 years                              | Senczek et al. 2000          |
| Processing plant                  | 3 months                             | Chasseignaux et al. 2001     |
| Poultry industry                  |                                      |                              |
| Processing plant                  | 6 months                             | Lawrence and Gilmour 1995    |
| Processing plant                  | 9 months                             | Chasseignaux et al. 2001     |
| Slaughtering plant                | 16 months                            | Rørvik et al. 2003           |
| Fish industry                     |                                      |                              |
| Cold-smoked fish processing plant | 8 months                             | Rørvik et al. 1995           |
| Cold-smoked fish processing plant | 8 months                             | Fonnesbech Vogel et al. 2001 |
| Cold-smoked fish processing plant | 6 months                             | Norton et al. 2001           |
| Cold-smoked fish processing plant | 2 months <sup>a</sup>                | Hoffman et al. 2003          |
| Dairy industry                    |                                      |                              |
| Cheese plant                      | 7 years                              | Unnerstad et al. 1996        |
| Ice cream plant                   | 7 years                              | Miettinen et al. 1999a       |
| Not specified                     | 8 months                             | Tkáčiková et al. 2000        |
| Fresh sauce industry              | 17 months                            | Pourshaban et al. 2000       |

<sup>a</sup>The plant was investigated earlier (Norton et al. 2001), and the same ribotype was found in both studies, suggesting a persistence of over 2 years.

## 2.4 Survival of *Listeria monocytogenes* in food processing plants

### 2.4.1 Adherence and biofilm formation of *Listeria monocytogenes*

The adherence and biofilm formation of bacteria to food contact surfaces have great implications on hygiene because adhered and biofilm cells show increased resistance against stress factors commonly used in the decontamination of food contact surfaces (Frank and Koffi 1990, Ronner and Wong 1993, Oh and Marshall 1995, Aarnisalo et al. 2000). The adherence of cells, which is required for biofilm formation, occurs in a two-stage process (Dunne 2002). The cells first adhere reversibly to the surface mainly due to

electrostatic and hydrophobic interactions. This stage is followed by irreversible adherence, which includes the production of exopolysaccharides (EPSs) (Dunne 2002). The EPSs have been described to cement the cells to the surface (Costerton 1999). Biofilms are defined as cells irreversibly adhered to a surface, i.e. cells that are not removed by gentle rinsing, and enclosed in a matrix consisting mainly of EPSs (Donlan 2002).

### Adherence to different materials

*L. monocytogenes* has been shown to adhere to several different food contact materials such as stainless steel, rubber and plastic surfaces. The adhered *L. monocytogenes* cells show increased resistance to cleaning agents, disinfectants and heat (Frank and Koffi 1990, Ronner and Wong 1993, Oh and Marshall 1995, Aarnisalo et al. 2000), all of which are used in the sanitation of the food processing plants.

Differences in adherence of *L. monocytogenes* between food contact materials have been observed, although these differences are small (Beresford et al. 2001). *L. monocytogenes* adheres to stainless steel surfaces in lesser numbers than to rubber or polytetrafluorethylene (Sinde and Carballo 2000) but in higher numbers than to nylon (Blackman and Frank 1996). Buna-N rubber has a bacteriostatic effect on *L. monocytogenes* (Ronner and Wong 1993) and the number of adhered cells on Buna-N rubber has been shown to be lower than on stainless steel (Helke et al. 1993, Ronner and Wong 1993).

### Contact time

*L. monocytogenes* has been demonstrated to adhere to stainless steel, rubber, glass and polypropylene in as little as 20 minutes (Mafu et al. 1990). The organism has also been observed to produce extracellular material (Wirtanen and Mattila-Sandholm 1993) within a one-hour period (Mafu et al. 1990) and a biofilm consisting of cells in two layers on glass surface within 24 hours (Chae and Schraft 2000).

### Strain differences in adherence

Differences in the number of adhered cells have been observed between *L. monocytogenes* strains (Ronner and Wong 1993, Norwood and Gilmour 1999, Chae and Schraft 2000,

Kalmokoff et al. 2001). The highest differences in adherence levels between strains are approximately 100-fold (Norwood and Gilmour 1999, Chae and Schraft 2000). Differences in the formation of microcolonies and cell aggregates have also been observed (Kalmokoff et al. 2001).

## Temperature

*L. monocytogenes* has been shown to be capable of adhering to food contact surfaces at a temperature range from 4°C to 45°C (Kim and Frank 1994, Smoot and Pierson 1998, Chae and Schraft 2000). Higher adherence to stainless steel was seen at 18°C than at 4°C or 30°C (Norwood and Gilmour 2001). The authors suggested that the optimum adherence at 18°C could be due to *L. monocytogenes* producing extracellular polymeric substances at 21°C but not at 10°C or 35°C (Herald and Zottola 1988) and having numerous flagella at 20°C but few at 37°C (Peel et al. 1988). However, the adherence level has also been demonstrated to be higher at 45°C than at 10°C or 30°C on stainless steel and Buna-N rubber (Smooth and Pierson 1998). This study did not include the test temperature of 18°C.

## Mixed biofilms

*L. monocytogenes* increases or decreases in biofilms depending on the competing microbes present. The organism was shown to increase in mixed biofilms with *Pseudomonas fragi* (Sasahara and Zottola 1993), *Pseudomonas fluorescens* (Buchanan and Bagi 1999) and *Flavobacterium* spp. (Bremer et al. 2001). Large amounts of EPSs may improve the conditions for adherence by entrapment (Sasahara and Zottola 1993). The number of *L. monocytogenes* cells has been observed to decrease in a mixed biofilm with *Staphylococcus sciuri* (Leriche and Carpentier 2000) or with *Staphylococcus xylosus* and *Pseudomonas fragi* (Norwood and Gilmour 2001). The difference between the adherence level of *L. monocytogenes* in monoculture and multispecies culture (*S. xylosus* and *P. fragi*) was less than tenfold. The number of *L. monocytogenes* cells in a biofilm with *P. fragi* and *S. sciuri* after 17 days was about 2 % (Norwood and Gilmour 2000) and in a biofilm with *Coryneform*, *Streptococcus* sp., *Pseudomonas* sp. and *Micrococcus* sp. after 25 days around 1 % (Jeong and Frank 1994). In addition to competing microbes, such conditions as temperature influence the proportions of different bacteria on surfaces (Buchanan and Bagi 1999).

## 2.4.2 Resistance and adaptation of *Listeria monocytogenes* to disinfectants

### Resistance

The sanitizing of food processing plants is mainly based on the use of different disinfectants. A wide variety of disinfectants with different mechanisms of action is used (Table 5). The resistance of *L. monocytogenes* to disinfectants has been investigated by determination of minimum inhibitory concentration (MIC). Several studies have shown resistance of *L. monocytogenes* to quaternary ammonium compounds (QACs), with 7% to 26% of strains being resistant (Lemaître et al. 1998, Aase et al. 2000, Mereghetti et al. 2000, Romanova et al. 2002). Resistance has been suggested to be due to the action of an efflux pump (Aase et al. 2000) or to modifications in the cell wall (Mereghetti et al. 2000). Multiple resistance to QAC benzalkonium chloride, hexamidine diisethionate and ethidium bromide has also been observed (Lemaître et al. 1998). This resistance was concluded to be associated with plasmids.

Table 5. Mechanism of action of disinfectants used in the food processing industry.

| Disinfectant                  | Mechanism of action                                      |
|-------------------------------|----------------------------------------------------------|
| Quaternary ammonium compounds | Damage of phospholipid bilayers of cytoplasmic membrane  |
| Peroxygens                    | Disruption of thiol groups in proteins and enzymes       |
| Halogens                      | Inhibition of DNA synthesis<br>Oxidation of thiol groups |
| Alcohols                      | Membrane damage<br>Denaturation of proteins              |

Adapted from McDonnell and Russell 1999

### Adaptation and cross-adaptation

Adaptation to a disinfectant occurs when bacteria are exposed to sublethal concentrations of the disinfectant in question (Gandhi et al. 1993, Aase et al. 2000). *L. monocytogenes* has been observed to adapt to benzalkonium chloride with up to a sixfold increase in resistance

(Aase et al. 2000, Romanova et al. 2002, To et al. 2002). QACs are widely used in the food processing industry and an increase in resistance to these agents is concerning. In one study, all strains reached similar MIC values due to adaptation, and the increased resistance remained during a one-week follow-up period (Aase et al. 2000). Efflux pumps have been suggested to be responsible for the adaptive responses in the initially sensitive strains, whereas changes in fatty acid profile might have been the cause of the increased resistance in the resistant strains.

Cross-adaptation between disinfectants may occur due to specific or non-specific changes and lead to increased resistance to agents with similar or differing modes of action (McDonnell and Russell 1999). Cross-adaptation has been recognized in *Pseudomonas aeruginosa* between QACs (Loughlin et al. 2002), in *Pseudomonas aeruginosa* between a QAC and chlorhexidine (Jones et al. 1989) and in *Serratia marcescens* between chlorhexidine gluconate and benzalkonium chloride (Gandhi et al. 1993).

### **2.4.3 Persistent *Listeria monocytogenes* strains**

*L. monocytogenes* can contaminate food processing plants for extended periods of time, and the contamination is often caused by a few dominating strains or persistent strains (Lawrence and Gilmour 1995, Rørvik et al. 1995, Nesbakken et al. 1996, Unnerstad et al. 1996, Autio et al. 1999, Giovannacci et al. 1999, Miettinen et al. 1999a, 2001b, Pourshaban et al. 2000, Senczek et al. 2000, Chasseignaux et al. 2001, Fønnesbech Vogel et al. 2001, Norton et al. 2001, Hoffman et al. 2003). Because some *L. monocytogenes* strains cause persistent food plant contaminations and some are non-persistent, other differences likely exist between these strains.

Some phenotypic properties appear to differ between persistent and non-persistent strains. Persistent strains from dairy and non-dairy foods were observed to show significantly higher adherence to stainless steel surfaces than non-persistent strains (Norwood and Gilmour 1999). Differences in susceptibility to disinfectants between persistent and non-persistent *L. monocytogenes* strains have also been suspected to exist (Aase et al. 2000), although not all studies have been able to show that persistence is due to disinfectant resistance (Earnshaw and Lawrence 1998, Holah et al. 2002). Cadmium resistance and



monocin E production have been observed in persistent strains belonging to serogroup 1. Monocin E is active against *L. monocytogenes* serotypes 4a, 4b and 4c, as well as *L. ivanovii*, which may give the producing strains an advantage over other bacteria in the same ecological niche (Harvey and Gilmour 2001).

### 3 AIMS OF THE STUDY

The objectives of the present studies were to investigate causes of persistent *L. monocytogenes* food plant contamination. Specific aims were as follows:

1. to study the adherence of persistent and non-persistent *L. monocytogenes* strains to stainless steel surface (I).
2. to investigate resistance and adaptation of persistent and non-persistent *L. monocytogenes* strains to disinfecting agents (II, III).
3. to examine cross-adaptation of persistent and non-persistent *L. monocytogenes* strains to disinfectants and to clarify whether rotation of disinfectants is useful (II).
4. to investigate persistent and non-persistent *L. monocytogenes* contamination in food processing plants (III, IV).
5. to identify factors in the processing plant and the processing line that predispose to persistent plant contamination (III, IV).
6. to characterize *L. monocytogenes* food isolates originating from different sources in order to evaluate possible persistence in foods (V).

## **4 MATERIALS AND METHODS**

### **4.1 *Listeria monocytogenes* strains (I-V)**

*L. monocytogenes* strains used in Studies I (17 strains from the environment, equipment and products) and II (4 strains from the environment and products) were isolated in an ice cream plant and in poultry plants (I, Table 1; II, Table 1). *L. monocytogenes* strains in Study III (39 strains from the equipment and products) were obtained in 1997-2000 as a result of sampling by the meat processing plants. *L. monocytogenes* strains in Study IV (596 strains from the environment, equipment, products and raw materials) were obtained from meat processing plants and a poultry processing plant in 1997-2001 as a result of sampling by the meat and poultry processing plants. The *L. monocytogenes* strains (295) investigated in Study V were isolated in 1988-1999 and originated from products manufactured by different producers in different countries. Cultures were maintained at -70°C.

### **4.2 Serotyping of *Listeria monocytogenes* strains (I-V)**

Serotyping was done according to the manufacturer's instructions (Denka Seiken, Tokyo, Japan) with some modifications. Determination of the flagellar H-antigens (A, B, C and D) was performed at 26°C instead of 30°C in brain heart infusion (BHI) agar tubes for increased motility. All strains were serotyped in Studies I to III. One to four strains from each PFGE type in Studies IV and V were randomly selected for serotyping.

### **4.3 DNA isolation and pulsed-field gel electrophoresis (PFGE) typing (I-V)**

*L. monocytogenes* was grown overnight on blood agar for 24 h at 37°C, after which one colony was inoculated into BHI broth (Difco, Detroit, MI, USA). Cells were harvested from 2 ml of BHI broth after overnight incubation at 37°C. The cells were resuspended in PIV [10 mM Tris (pH 7.5), 1 M NaCl] and the cell suspension was mixed with an equal volume of 2% low melting point agarose (InCert agarose; FMC Bioproducts, Rockland,

ME, USA). The plugs were formed in GelSyringe dispensers (New England Biolabs, Beverly, MA, USA). Lysis of the cells was performed in lysis solution [6 nM Tris (pH 7.5), 1 M NaCl, 100 mM EDTA (pH 8.0), 0.5% Brij 58 (Sigma, St. Louis, MO, USA), 0.2% deoxycholate, 0.5% sodium lauroyl sarcosine, 20 µg of Rnase/ml (Sigma)] for 3 h at 37°C with gentle shaking. The lysis was continued in a 1-h wash with ESP [0.5 M EDTA (pH 8.0), 10% sodium lauroyl sarcosine, 100 µg of proteinase K/ml (Finnzymes, Helsinki, Finland)] at 50°C followed by a 1-h TE wash. The inactivation of proteinase K was accomplished by 1 mM Pefabloc SC (Roche Diagnostics, Mannheim, Germany) at 37°C overnight. The agarose-embedded DNA was digested with the restriction enzymes *AscI* (New England Biolabs) and *ApaI* (Boehringer Mannheim, Mannheim, Germany) (I-V) and *SmaI* (New England Biolabs) (I) according to the manufacturer's instructions. The samples were electrophoresed through a 1.0% (wt/vol) agarose gel (Seakem Gold; FMC Bioproducts, Rockland, ME, USA) in 0.5X TBE [45 mM Tris, 4.5 mM boric acid (pH 8.3), and 1 mM sodium EDTA] at 200 V at 10°C (I, II) or 14°C (III-V) in a Gene Navigator system with a hexagonal electrode (Pharmacia, Uppsala, Sweden). Pulse times for *AscI* and *ApaI* ranged from 0.5 to 29.5 s for 20 h (I, II) or from 1 to 35 s for 18 h (III-V) and for *SmaI* from 0.5 to 18 s for 20 h (I). The gels were stained with ethidium bromide, and visualization and digital photographing were performed with an Alpha Imager 2000 documentation system (Alpha Innotech, San Leandro, CA, USA). Mid-range PFGE marker I and Lambda Ladder PFGE marker (New England Biolabs) (I, II) or Low Range PFG marker (New England Biolabs) (III-V) were used for fragment size determination.

#### **4.4 Analysis of PFGE patterns (I-V)**

Every restriction enzyme profile was given a number for all restriction enzymes. The PFGE type was obtained by combining two (II-IV) or three (I) restriction enzyme profiles into one unique profile. A PFGE pattern was considered unique when one or more bands differed from other PFGE patterns. In Study V, the macrorestriction patterns (MRP) were analysed with GelComparII software (Applied Maths, Kortrijk, Belgium). Similarity analysis was performed using the Dice coefficient. Optimal position tolerance for *AscI* and *ApaI* patterns was 1.0% and 1.2%, respectively. Clustering was performed by the unweighted pair group method using arithmetic averages. The faithfulness of cluster analysis was estimated by calculation of the cophnetic correlation.

#### **4.5 Persistent and non-persistent strains (I-IV)**

*L. monocytogenes* strains that were found repeatedly (five times or more) over a period of three months or more were considered to be persistent strains. Strains found sporadically (less than five times) or within a limited time period (less than three months) were considered to be non-persistent strains.

#### **4.6 Adherence of cells to stainless steel surface (I, III)**

The stainless steel surfaces (type 316, Outokumpu Steel, Tornio, Finland) (20 x 70 x 1 mm in Study I; 5 x 15 x 1 mm in Study III) were cleaned before the adherence test by immersion in 1N NaOH for 24 h. The surfaces were then rinsed in distilled water and immersed in acetone for 1 h to remove possible grease. This was followed by rinsing in distilled water and air-drying. The surfaces were placed vertically in glass jars (I) or test tubes (III) and autoclaved. The strains were cultured to log phase in tryptic soy broth (TSB) at 25°C. TSB was inoculated with cells in log phase to attain a test suspension with a concentration of  $9 \times 10^5$  cfu/ml (I) or  $6 \times 10^5$  cfu/ml (III). The test suspension was transferred into the autoclaved glass jars (I) or test tubes (III) with the surfaces. The contact times were 1, 2 and 72 h (I) or 2 h (III) at 25°C with a shaking frequency of 70/min (Promax 2020, Heidolph, Germany) (I). After the contact time the coupons were removed from the test suspension and vortexed at speed one (Cyclo-Mixer, Clay Adams, Parsippany, NJ, USA) for 5 s in a test tube containing 50 ml of sterile water (I) or rinsed in sterile water (III). The surfaces were stained with filter-sterilized (Minisart 0.2 µm, Sartorius, Göttingen, Germany) 0.05% acridine orange (Certistain, Merck, Darmstadt, Germany) for 2 min followed by rinsing and air-drying. Adhered cells were enumerated with an epifluorescence microscope (Optiphot-2, Nikon, Japan). A minimum of 30 fields (I, III) and 10 fields (I) were observed on every short contact surface and long contact surface, respectively. The tests were repeated 3 (I) or 6 (III) times.

#### 4.7 Disinfecting agents (II, III)

The following disinfectants were used in Study II: alkyl-benzyl-dimethyl ammonium chloride (QAC) (Goldschmidt, Pandino, Italy), n-alkyldimethyl ethylbenzyl ammonium chloride (QAC) (Pointing Chemicals, Huddersfield, UK), 1,3-propanediamine-N-(3-aminopropyl)N-dodecyl (tertiary alkylamine) (Lonza, Basle, Switzerland), sodium hypochlorite (active chlorine 10%) (Finnish Chemicals, Äetsä, Finland) and potassium persulphate (Degussa, Hanau, Germany), and in Study III: sodium hypochlorite (active chlorine 10%) (Finnish Chemicals, Äetsä, Finland) and alkyl-benzyl-dimethyl ammonium chloride (Goldschmidt, Pandino, Italy). Filter-sterilized (Minisart 0.45  $\mu\text{m}$ , Sartorius, Göttingen, Germany) stock solutions with a concentration of 10% (w/w) were prepared for all disinfectants and a stock solution of 25% (w/w) for sodium hypochlorite (II, III). The stock solutions were stored at 2°C and monitored by susceptibility testing (MIC) using *L. monocytogenes* strains as control strains (II).

#### 4.8 Minimum inhibitory concentration (II, III)

Disinfectant resistance of *L. monocytogenes* strains was determined with the microdilution broth method according to the National Committee on Clinical Laboratory Standards (NCCLS 1999). Five colonies grown on blood agar were inoculated into Mueller-Hinton (MH) broth (Difco, Detroit, MI, USA). The suspension was incubated at 37°C until the concentration was approximately  $1\text{-}2 \times 10^8$  cells/ml. The suspension was diluted and inoculated into microwells, resulting in a final concentration of  $5 \times 10^5$  cfu/ml in the wells. The concentration of the suspension was confirmed by plating. Serial twofold dilutions of the disinfectants in four parallel microwells were used for the determination of MIC. The microwell plate was incubated at 37°C for 20 h, followed by visual analysis of growth. The MIC was determined as the lowest concentration of the disinfecting agent to prevent growth.

## **4.9 Adaptation and cross-adaptation to disinfectants (II)**

### **4.9.1 Adaptation after 2-h sublethal exposure**

The adaptation of four *L. monocytogenes* strains (II, Table 1) were tested by exposure to sublethal concentrations of the disinfectants listed in section 4.7. A suspension of each *L. monocytogenes* strain in MH broth was prepared as described in section 4.8. to give a final concentration of  $5 \times 10^5$  cfu/ml in the microwells. The strains were pre-exposed to sublethal disinfectant concentrations (MIC/8 and MIC/4) at 37°C for 2 h, followed by exposure to disinfectants at concentrations of 1 x MIC, 2 x MIC or 3 x MIC at 37°C for 24 h (pre-exposed challenged cells). Each strain was also challenged with disinfectants at concentrations of 1 x MIC, 2 x MIC or 3 x MIC at 37°C for 24 h without pre-exposure (not pre-exposed challenged cells). Strains not subjected to disinfectants at any time served as controls. Growth was monitored with a Bioscreen C analyser (Labsystems, Helsinki, Finland), which measures turbidity by vertical pathway spectrophotometry. The test was performed twice in four parallel wells.

### **4.9.2 Adaptation and cross-adaptation after repeated exposure**

Strains 41 and 35 (II, Table 1) were exposed to increasing concentrations of the disinfectants listed in section 4.7. The test was performed in microwell plates. A suspension of each *L. monocytogenes* strain in MH broth was prepared as described in section 4.8. The strains were first exposed to a sublethal concentration (MIC/2), after which the concentration was increased. When growth was observed, 20 µl of the suspension was transferred to the next well, which after the transfer contained a concentration of the disinfectant 1.5 times higher than the previous well. This procedure was continued until no growth was observed in the last well after a 3-day incubation. The test was performed twice, both at 10°C and 37°C. The suspension of the last well with recorded growth was centrifuged, and the pellet was washed with phosphate-buffered saline to remove any disinfectant. The pellet was resuspended in MH broth and incubated at 37°C to achieve a concentration of approximately  $10^8$  cfu/ml. Purity of the culture was controlled by streaking the suspension on a blood agar plate. MICs of all disinfectants were

measured to determine the level of adaptation and possible cross-adaptation of *L. monocytogenes* strains.

The stability of increased resistance was investigated by subculture of adapted strains in MH broth every 48 h for 28 days, both at 37°C and 10°C, followed by determination of MICs every seventh day.

#### **4.10 Statistical analysis (III)**

Student's *t* test was applied in statistical analysis of the adherence of strains.

## **5 RESULTS**

### **5.1 Adherence of persistent and non-persistent *Listeria monocytogenes* strains to stainless steel surface (I, III)**

The adherence of 3 persistent and 14 non-persistent *L. monocytogenes* strains to a stainless steel surface after 1, 2 and 72 h was investigated (I). The poultry plant strains and most of the ice cream plant strains showed higher adherence than non-persistent strains after 1- and 2-h contact times. The adherence of the strains after a 2-h contact time is presented in Figure 1.



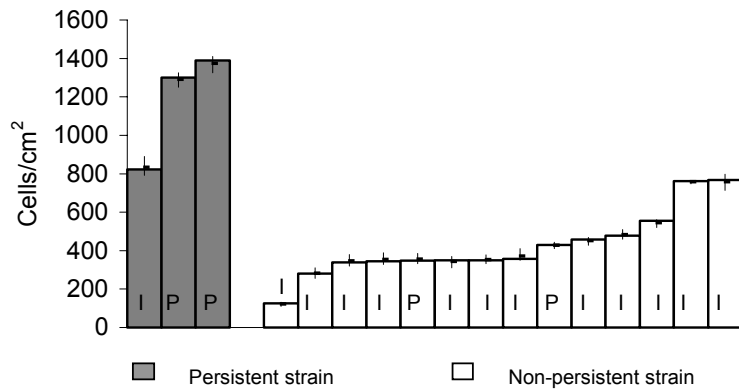


FIGURE 1. Adherence of persistent and non-persistent *Listeria monocytogenes* ice cream plant (I) and poultry plant (P) strains to a stainless steel surface after a 2-h contact time.

The adherence level of the strains following a 72-h contact time was higher than that after short contact times. Several non-persistent strains reached the adherence levels of persistent strains after the 72-h contact time, and three non-persistent strains had a higher adherence level than the persistent strains. The non-motile strain, which had the lowest adherence level at short contact times, showed a higher adherence level than most of the other strains at 72 h.

The persistent strain from the dicing line in the meat processing plant showed a significantly higher adherence to a stainless steel surface after a 2-h contact time than the three non-persistent strains obtained from the same line (III).

## 5.2 Resistance of persistent and non-persistent *Listeria monocytogenes* strains to disinfectants (II, III)

Initial resistance of persistent and non-persistent *L. monocytogenes* strains to disinfectants was investigated by determining MIC values. Persistent strain 41 had the highest MIC value of the QACs, whereas non-persistent strain 35 had the highest MIC value of the

tertiary alkylamine and sodium hypochlorite (Table 6) (II). No differences were seen in the MICs of potassium persulphate.

Table 6. Minimum inhibitory concentrations (MICs) of persistent and non-persistent *Listeria monocytogenes* strains to disinfectants.

| Disinfectant                     | Strain <sup>a</sup>               | MIC (µg/ml) |
|----------------------------------|-----------------------------------|-------------|
| QAC 1 <sup>b</sup>               | 2919                              | 0.63        |
|                                  | <b>2904</b> , 35                  | 1.25        |
|                                  | <b>41</b>                         | 5           |
| QAC 2 <sup>c</sup>               | 2919, <b>2904</b>                 | 1.25        |
|                                  | 35                                | 0.63        |
|                                  | <b>41</b>                         | 2.5         |
| Tertiary alkylamine <sup>d</sup> | 2919                              | 1.25        |
|                                  | <b>2904</b>                       | 2.5         |
|                                  | 35                                | 5           |
|                                  | <b>41</b>                         | 2.5         |
| Potassium persulphate            | 2919, <b>2904</b> , 35, <b>41</b> | 2500        |
| Sodium hypochlorite <sup>e</sup> | 2919, <b>2904</b> , <b>41</b>     | 2500        |
|                                  | 35                                | 5000        |

<sup>a</sup> Persistent strains are indicated in boldface

<sup>b</sup> Quaternary ammonium compound (alkyl-benzyl-dimethyl ammonium chloride)

<sup>c</sup> Quaternary ammonium compound (n-alkyldimethyl ethylbenzyl ammonium chloride)

<sup>d</sup> 1,3-propanediamine-N-(3-aminopropyl)N-dodecyl

<sup>e</sup> Results represent the MICs of sodium hypochlorite. The active chlorine concentration was 10%.

The persistent and non-persistent strains obtained from the dicing line (III) showed similar MIC values (2500 µg/ml) of sodium hypochlorite and similar MIC values (5 µg/ml) of the QAC (alkyl-benzyl-dimethyl ammonium chloride), with the exception of one non-persistent strain, which was more sensitive than the others (1.25 µg/ml).

### **5.3 Adaptation of persistent and non-persistent *Listeria monocytogenes* strains to disinfectants (II)**

Both persistent (41, 2904) and non-persistent (35, 2919) *L. monocytogenes* strains adapted to QACs and to tertiary alkylamine after a 2-h sublethal exposure. The increase in resistance was two- to threefold. The highest increase was observed in strains with low initial MICs. No increase was observed after the 2-h sublethal exposure in the MICs of potassium persulphate and sodium hypochlorite. Exposure to the sublethal concentrations of MIC/8 and MIC/4 resulted in similar adaptive responses.

Repeated exposure to disinfectants at 10°C and 37°C resulted in adaptation of *L. monocytogenes* to all other disinfectants except potassium persulphate (Table 7). The highest increases were observed in strains that showed low initial MICs. The persistent and non-persistent strains reached similar final MIC values as a result of adaptation.

The increased resistance of sodium hypochlorite was lost in one week. However, for QAC 1, it persisted for the 28-day period, and for QAC 2 and the tertiary alkylamine, it decreased from 10 µg/ml to 5 µg/ml in seven days but showed no further decrease. The initial MIC of potassium persulphate persisted throughout the 28-day period.

Table 7. Minimum inhibitory concentrations (MICs) of a persistent (41) and a non-persistent (35) *Listeria monocytogenes* strain before exposure and after repeated exposure to disinfectants.

| Disinfectant                     | Strain | MIC (µg/ml)<br>before exposure | MIC (µg/ml) after<br>repeated exposure |
|----------------------------------|--------|--------------------------------|----------------------------------------|
|                                  |        |                                | 10°C / 37°C                            |
| QAC 1 <sup>a</sup>               | 35     | 1.25                           | 5 / 5                                  |
|                                  | 41     | 5                              | 5 / 5                                  |
| QAC 2 <sup>b</sup>               | 35     | 0.63                           | 5 / 10                                 |
|                                  | 41     | 2.5                            | 10 / 10                                |
| Tertiary alkylamine <sup>c</sup> | 35     | 5                              | 5 / 5                                  |
|                                  | 41     | 2.5                            | 10 / 5                                 |
| Potassium persulphate            | 35     | 2500                           | 1250 / 2500                            |
|                                  | 41     | 2500                           | 1250 / 2500                            |
| Sodium hypochlorite <sup>d</sup> | 35     | 5000                           | 5000 / 5000                            |
|                                  | 41     | 2500                           | 5000 / 5000                            |

<sup>a</sup> Quaternary ammonium compound (alkyl-benzyl-dimethyl ammonium chloride)

<sup>b</sup> Quaternary ammonium compound (n-alkyldimethyl ethylbenzyl ammonium chloride)

<sup>c</sup> 1,3-propanediamine-N-(3-aminopropyl)N-dodecyl

<sup>d</sup> Results represent the MICs of sodium hypochlorite. The active chlorine concentration was 10%.

#### 5.4 Cross-adaptation of persistent and non-persistent *Listeria monocytogenes* strains to disinfectants (II)

All disinfectants, except for potassium persulphate, caused cross-adaptation to other disinfectants. However, potassium persulphate caused cross-adaptation of *L. monocytogenes* to the other disinfectants. The increase in the resistance caused by cross-adaptation was similar to or smaller than the increase in resistance due to adaptive responses. The highest increase in MIC values was eightfold.

## 5.5 *Listeria monocytogenes* contamination of food processing plants (III, IV)

### 5.5.1 Distribution of persistent and non-persistent strains (IV)

In total, 596 *L. monocytogenes* isolates from three meat processing plants and one poultry processing plant were characterized by serotyping and PFGE typing to investigate persistent and non-persistent contamination. PFGE typing, which was performed with restriction enzymes *AscI* and *ApaI*, resulted in 47 types. Nineteen PFGE types were persistent and 28 non-persistent. Nine of the persistent types were found to be non-persistent in another plant. Most of the PFGE types were only found in one plant, but some were common to 2 or 3 plants. Common PFGE types were found in both meat and poultry processing plants. The distributions of the persistent and non-persistent strains in different sampling sites are presented in Table 8, and those in heat-treated and raw products are shown in Table 9.

Table 8. Distribution of persistent and non-persistent *Listeria monocytogenes* PFGE types in different sampling sites in plants A, B, C and D.

| Sampling site                                 | Persistent or non-persistent PFGE type<br>(No. of PFGE types) |
|-----------------------------------------------|---------------------------------------------------------------|
| Processing environment                        | Persistent (0)<br>Non-persistent (9)                          |
| Equipment                                     | Persistent (0)<br>Non-persistent (16)                         |
| Product                                       | Persistent (1)<br>Non-persistent (9)                          |
| Processing environment and product            | Persistent (0)<br>Non-persistent (1)                          |
| Equipment and product                         | Persistent (6)<br>Non-persistent (4)                          |
| Processing environment and equipment          | Persistent (5)<br>Non-persistent (4)                          |
| Processing environment, equipment and product | Persistent (8)<br>Non-persistent (1)                          |

The proportion of persistent PFGE types was higher than that of the non-persistent strains in cooked products. In non-heat-treated products, the reverse was true.

Table 9. Distribution of persistent and non-persistent *Listeria monocytogenes* PFGE types in heat-treated and non-heat-treated products (including raw materials).

| Heat treatment of product | Persistent and non-persistent PFGE type (No. of PFGE types) |
|---------------------------|-------------------------------------------------------------|
| Yes                       | Persistent (12)<br>Non-persistent (5)                       |
| No                        | Persistent (3)<br>Non-persistent (10)                       |

The raw product processing lines were persistently contaminated, while the fermented RTE product processing line was not. The PFGE types found in raw materials or raw products had not established themselves in the processing machines of the post heat-treatment area.

### 5.5.2 Processing machines (III, IV)

The processing machines in Studies III and IV were contaminated (Table 10). Surfaces both in direct and in indirect contact with foods were contaminated. Several products were contaminated with the same PFGE type found in the processing machine or in machines that manipulated the contaminated products.

Table 10. *Listeria monocytogenes*- contaminated processing machines.

| Processing machine            | Contamination site                                                                                                                 |
|-------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Freezer                       | Spiral conveyor<br>Supporting structures<br>Surfaces <sup>a</sup>                                                                  |
| Slicing machine               | Blades<br>Blade cover<br>Control panel<br>Motor<br>Lubricant<br>Ball-race screw<br>Surfaces <sup>a</sup>                           |
| Dicing machine                | Cutting blades<br>Blade cover<br>Surface under blade<br>Control panel<br>Exterior surface<br>Product-remains collector<br>Tool kit |
| Peeling machine               | Control panel<br>Surface under the peeler<br>Surfaces <sup>a</sup>                                                                 |
| Weigher<br>(with head system) | Funnel<br>Surfaces <sup>a</sup>                                                                                                    |
| Packing machine               | Chamber<br>Surfaces <sup>a</sup>                                                                                                   |
| Conveyor                      | Belt<br>Supporting structures                                                                                                      |

<sup>a</sup>Specific site not known.

The dicing machine in Study III was transferred from one plant to another (Table 11). The dicing line in plant A was found to be contaminated with *L. monocytogenes* PFGE type I. The dicing machine was subsequently sold to plant B, which in turn sold the dicing machine after some time to plant C. Both plants B and C were contaminated with PFGE type I soon after the dicing machine had been taken into use. The PFGE type I contamination persisted in the dicing lines in plants B and C. This persistent contamination

was eradicated from the dicing line in plant C after targeted and improved sanitizing procedures.

Table 11. Date of transfer of the dicing machine and first recovery of *Listeria monocytogenes* PFGE type I in plants A, B and C.

| Plant | Date of transfer of machine to plant (month/year) | Date of first recovery of <i>Listeria monocytogenes</i> PFGE type I (month/year) |
|-------|---------------------------------------------------|----------------------------------------------------------------------------------|
| A     | NA <sup>a</sup>                                   | 11/97                                                                            |
| B     | 10/98                                             | 10/98                                                                            |
| C     | 3/99                                              | 3/99                                                                             |

<sup>a</sup>NA=data not available

### 5.5.3 Compartmentalization (IV)

The degree of compartmentalization of processing lines was observed to have an effect on the *L. monocytogenes* contamination status. Processing line I in plant B (IV, Table 1), which produced a cooked meat product, was the most compartmentalized line, with the raw area separated from the post heat-treatment area. Processing line II in plant B had intermediate compartmentalization, with inadequate separation of the raw and post heat-treatment areas. This line was contaminated more extensively and over a longer period than processing line I. Processing lines I and II in plant C and line I in plant D were poorly compartmentalized and were continuously contaminated with several different PFGE types in the post heat-treatment area and in processing machines.

### 5.6 Persistence of *Listeria monocytogenes* in foods (V)

In total, 295 *L. monocytogenes* food isolates, obtained during 1988-1999 were characterized by serotyping and PFGE typing. The combination of *AscI* and *ApaI* MRPs resulted in 66 PFGE types. Some *L. monocytogenes* strains were found repeatedly from the same producer and the same product over a two-year period, and others were found



repeatedly from different producers for two or more years. Some of the repeatedly found strains were recovered in only one product type, while others were recovered in several different product types, including meat, poultry and fish.

## **6 DISCUSSION**

### **6.1 Properties of *Listeria monocytogenes* predisposing to persistent contamination (I-III)**

#### **6.1.1 Adherence to stainless steel surface (I, III)**

Persistent *L. monocytogenes* strains were observed to adhere to stainless steel surfaces more efficiently than non-persistent strains at short contact times. Norwood and Gilmour (1999) have also reported higher adherence levels of persistent *L. monocytogenes* strains than of non-persistent strains. The enhanced adherence of the persistent strains may have an influence on the survival of the strains since adherence increases the resistance of *L. monocytogenes* to disinfectants and heat (Frank and Koffi 1990). Enhanced adherence after short contact times is particularly beneficial because cells can adhere in high numbers before sanitizing procedures take place. This may have an effect on the initiation of persistent plant contamination.

Serotype 1/2c strains showed the highest adherence (I, III), a finding supported by Norwood and Gilmour (1999). Serotype 1/2c differs from the other 1/2 serogroup strains by its flagellar antigens. Flagella have been demonstrated to be important in the early adherence of bacteria (O'Toole and Kolter 1998). The non-motile strain of serotype 1/2 (I) did in fact show the poorest adherence over short contact times. However, the non-motile strain adhered with a high cell count at 72 h. These results suggest that flagella are important in the initiation of adherence. Factors other than flagella seem to be more important in the adherence of cells at longer contact times.

Half of the non-persistent strains reached adherence levels similar to those of persistent strains by a contact time of 72 h. Adherence after a long contact time does not offer an explanation to why some strains are persistent and some non-persistent.

### **6.1.2 Resistance to disinfectants (II, III)**

Differences in initial MIC values of disinfectants were observed between *L. monocytogenes* strains in Study II. One of the persistent strains showed higher MIC values of the QACs than the other strains. However, one of the non-persistent strains showed a twofold MIC value of the tertiary alkylamine and sodium hypochlorite compared with the other strains. Differences in MIC values of disinfectants between *L. monocytogenes* strains have been speculated to have an effect on the survival of strains (Lemaître et al. 1998, Aase et al. 2000). Some studies have, however, failed to detect any difference in disinfectant susceptibility between persistent and non-persistent strains (Earnshaw and Lawrence 1998, Holah et al. 2002).

The MIC values between persistent and non-persistent strains in Study III revealed no differences, with the exception of one non-persistent strain that was more susceptible to a QAC than the other strains including the persistent strain. The persistent strain also showed an increased adherence, which has been speculated to have a synergistic effect on disinfectant resistance (Aase et al. 2000).

### **6.1.3 Adaptation and cross-adaptation to disinfectants (II)**

Both the persistent and non-persistent *L. monocytogenes* strains were observed to adapt to QACs, tertiary alkylamine and sodium hypochlorite at 10°C and 37°C. The adaptation to the QACs and tertiary alkylamine occurred after a 2-h sublethal exposure, indicating rapid response of the cells. Although the highest increase in resistance was over 15-fold, the resistance did not exceed the concentrations of disinfectants used at food processing plants. Therefore, adaptation appears not to have an important role in the survival of the strains. However, an increased resistance may influence the survival of cells in situations where the concentration of the disinfectant is sublethal. Sublethal concentrations may occur when

disinfectants are applied on dirty or wet surfaces or in hard-to-reach places such as complex processing machines. The increased resistance may also have a synergistic effect with disinfectant resistance in biofilm cells (Aase et al. 2000).

Persistent and non-persistent strains adapted to similar disinfectant levels. Aase et al. (2000) also observed that *L. monocytogenes* strains adapted to benzalkonium chloride at similar levels. These results indicate that the persistence or non-persistence of a strain cannot be explained with differences in the ability to adapt to disinfectants. Nevertheless, the increased resistance to disinfectants of an adapted *L. monocytogenes* strain, persistent or non-persistent, may influence its survival.

The increased resistance of QACs and tertiary alkylamine remained elevated for four weeks. However, the increased resistance of sodium hypochlorite was lost in one week. Potassium persulphate did not show any decrease during the four-week follow-up, which may indicate that the initial MIC values were not due to earlier adaptive responses.

All disinfectants caused cross-adaptation of *L. monocytogenes* strains, resulting in similar or smaller increases in resistance than the increases due to adaptive responses. The only disinfectant that *L. monocytogenes* was observed not to cross-adapt to was potassium persulphate. *L. monocytogenes* cross-adapting not only to disinfectants with similar mechanism of action but also to those with a different mechanism of action indicates that the cross-adaptation was also due to non-specific responses.

Maintaining high disinfectant efficiency by rotation of these agents may thus not be possible due to cross-adaptive responses. Potassium persulphate was the only agent that *L. monocytogenes* did not adapt or cross-adapt to, and it may therefore be suitable for long-term use. However, potassium persulphate did cause cross-adaptation of *L. monocytogenes* to the other disinfectants, which reduces the effectiveness of these agents.

## **6.2 Persistent and non-persistent food plant contamination (III-V)**

### **6.2.1 Distribution of persistent and non-persistent *Listeria monocytogenes* strains (IV, V)**

Persistent and non-persistent *L. monocytogenes* strains were observed in all of the meat processing plants and in the poultry processing plant. The highest proportion of persistent strains compared with the total number of *L. monocytogenes* strains was found in meat processing plant C. This finding suggests that either the sanitation of the plant was inadequate or the contamination was frequently reintroduced, or both.

The majority of the strains were found in only one plant, although some of the strains were common to two or three plants. Some strains were also common to both meat and poultry processing plants. Similar observations were made in Study V, where products that had been processed in different plants were contaminated with identical PFGE types. Plant-specific strains as well as common strains between different types of food plants have also previously been observed (Suihko et al. 2002). However, whether strains are present in one plant only is difficult to determine. Possibly, some of these strains would be found in other plants if the number of samples were increased.

The persistent strains were often widely spread in the processing plant, contaminating two or more processing lines. However, processing lines that produced a fermented uncooked product were not persistently contaminated. This may be due to competing microbes reducing the number of *L. monocytogenes* on surfaces (Jeong and Frank 1994, Leriche and Carpentier 2000). Persistent strains were, in the majority of cases, found in several different sampling sites, illustrating the wide distribution of these strains in the plants, while non-persistent strains were mostly found in only one sampling site.

Some persistent strains were observed to be non-persistent in another plant. The categorization of the same PFGE type as persistent and non-persistent in different plants could have been due to restrictions in the typing method in strain discrimination. Differences may have also been present in the phenotype of strains, which would have influenced their survival. Such phenotypic differences might include variable adherence to

surfaces or susceptibility to disinfectants. Moreover, it cannot be ruled out that an increased number of samples might have resulted in the recategorization of some of the non-persistent strains as persistent strains.

The PFGE types found in the raw materials or raw products were not established in the post heat-treatment lines. This finding is in agreement with several previous studies in which persistent strains were not found in raw materials (Rørvik et al. 1995, Nesbakken et al. 1996, Autio et al. 1999). However, the prevalence of *L. monocytogenes* is high in raw meat and poultry (Johnson et al. 1990, Jay 1996, Samelis and Metaxopoulos 1999), and the contamination was likely originally introduced to the plant by contaminated raw materials. This is supported by Berrang et al. (2002), who found identical *L. monocytogenes* strains from the raw and post heat-treatment areas in a poultry processing line. It is also possible that had the number of samples been increased in Study IV persistent strains would have been found in raw materials at some point.

### **6.2.2 Factors in the processing line predisposing to persistent contamination (III, IV)**

#### **Processing machines (III, IV)**

The processing machines in all of the plants were contaminated with persistent strains. The surfaces of the processing machines, which were in direct contact with the product, were contaminated. The persistent PFGE types found in the machines were also found in the products that were manipulated by these machines. This observation indicates that the products were contaminated via the processing machines. It also suggests that the processing machines were poorly sanitized. The only processing machines that were not contaminated on direct surfaces were the peeling machines. This might have been due to the peeling machine applying hot steam during the peeling process.

The dicing machine, which appeared to transfer persistent *L. monocytogenes* contamination from one plant to another, illustrates the difficulties in eliminating contamination (III). This dicing machine and the dicing line were persistently contaminated, and routine sanitation procedures failed to abolish the contamination. Contamination of processing machines has been reported earlier by several authors (Autio et al. 1999, Miettinen et al. 1999a, Suihko

et al. 2002), However, structural changes and thorough disassembly of processing machines have resulted in the eradication of *L. monocytogenes* (Autio et al. 1999, Miettinen et al. 1999a). Improved sanitation procedures, including regular disassembly and alkali-acid-alkali washes, also led to the eradication of the contamination in the dicing machine and the dicing line in Study III.

#### Compartmentalization (IV)

Degree of compartmentalization in the processing lines was observed to have an effect on the *L. monocytogenes* contamination status of plants B, C and D. The effect of compartmentalization on the contamination status of plant A was not evaluated because of the small number of isolates. The most compartmentalized processing line was observed to be the least contaminated. By contrast, the processing line with intermediate compartmentalization showed more extensive contamination and for longer, and the lines with poor compartmentalization were continuously heavily contaminated. The separation of the raw area from the post heat-treatment area in particular appeared to affect the contamination status of processing lines that were otherwise similar.

Contamination in the processing lines of plant C, which had poor compartmentalization, could not be eliminated. The area between the ovens and the slicing and dicing machines was contaminated with several different PFGE types. This area was probably contaminated by raw material from time to time because practically no separation existed between the raw area and the post heat-treatment area. The post-processing machines were also continuously contaminated. Even had the contamination been successfully eliminated from the processing machines, the contamination would likely have been reintroduced at some point due to poor hygiene barriers.

### **6.3 Persistence of *Listeria monocytogenes* in foods (IV, V)**

The observations on the PFGE types found in final food products from different sources reflect the contamination status of the food processing plants where the products were produced. Some of the PFGE types were recurrently found from products of one plant (V), which strongly suggests that the food processing plant was persistently contaminated and that the contamination was spread to the final product. Most of the recurrent strains were

found in different product types, indicating that the strains are not specific to any particular product type. Some of the PFGE types were found recurrently from the products of several producers, indicating their persistence in several plants making the concept of plant specific strains arguable. Similar findings were made in Study IV, where identical persistent PFGE types were found in products and processing environments of different plants.

## 7 CONCLUSIONS

1. Persistent *L. monocytogenes* strains were observed to adhere to stainless steel surfaces in higher cell numbers than non-persistent strains after short contact times. Such enhanced adherence increases the possibility of survival of the persistent strains due to increased resistance against prevention methods and may have an effect on the initiation of persistent plant contamination.

The adherence level of non-persistent strains was closer to the adherence level of persistent strains after a long contact period. It appears therefore that adherence over long contact times, in contrast to adherence over shorter periods does not explain why some strains are persistent and some non-persistent.

2. The initial resistance of persistent and non-persistent *L. monocytogenes* strains to disinfectants varied. Differences in resistance to disinfectants may influence the survival of strains in food processing plants. Both persistent and non-persistent strains adapted to all disinfectants investigated, with the exception of potassium persulphate. The increase in resistance was similar for persistent and non-persistent strains and did not reach the concentrations of disinfectants used at food processing plants. However, the adaptive response may have an effect on the survival of strains when they encounter suboptimal disinfectant concentrations.

3. The persistent and non-persistent *L. monocytogenes* strains cross-adapted to all disinfectants except potassium persulphate. Potassium persulphate was the only agent that *L. monocytogenes* was observed not to adapt or cross-adapt to, and thus it seems suitable for long-term use. However, potassium persulphate did cause cross-adaptation of *L. monocytogenes* to the other agents. The cross-adaptive responses appeared to be non-specific as cross-adaptation was observed between related and unrelated agents. Maintaining high disinfectant efficiency of these agents by rotation may therefore not be possible, even with agents with different mechanisms of action.

4. The PFGE types found in the raw materials had not established themselves in the post heat-treatment lines. Persistent contamination therefore appears not to be generally due to continuous recontamination by raw materials. However, the contamination may have



originated from raw materials. This hypothesis is supported by several studies that show a high prevalence of *L. monocytogenes* in raw materials. In addition, it is possible that had more raw material samples been investigated in Study IV persistent strains would have been found in raw materials.

Some of the strains were found only in one plant, suggesting that they may have been plant-specific. However, it is difficult to determine whether a strain is plant-specific or not. Increasing the number of samples might have resulted in some of these strains being found in other plants as well. The persistent *L. monocytogenes* strains were widely distributed in the food processing plants and they more often contaminated cooked products than the non-persistent strains illustrating their importance in food safety. The processing lines producing fermented products were not persistently contaminated possibly due to the presence of competing microbes on the processing surfaces.

5. Compartmentalization, and especially the separation of the raw area from the post heat-treatment area, appeared to influence the contamination status of the post heat-treatment line. Poor separation of the raw area from the post heat-treatment line seemed to increase contamination pressure. The processing machines were observed to be contaminated with and to sustain *L. monocytogenes* contamination. Elimination of contamination from complex processing machines was shown to be possible by thorough disassembly of the machines and targeted sanitation. However, in processing lines with inadequate compartmentalization, contamination appeared to be reintroduced at some point due to poor hygiene barriers.

6. The *L. monocytogenes* contamination status of food products reflects the contamination status of the corresponding food plant. Some of the foods of one producer were found to be recurrently contaminated with one PFGE type, indicating that the food processing plant or the processing line was persistently contaminated. Some of the foods produced by several producers were also recurrently contaminated with the same PFGE type, indicating that the PFGE type may have been persistent in several plants.

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