1	Species-level selection reduces selfishness through competitive exclusion
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1 Summary

2 Adaptation does not necessarily lead to traits which are optimal for the population. This is 3 because selection is often strongest at the individual or gene level. The evolution of 4 selfishness can lead to a 'tragedy of the commons', where traits such as aggression or social 5 cheating reduce population size and may lead to extinction. This suggests that species-level 6 selection will result whenever species differ in the incentive to be selfish. We explore this 7 idea in a simple model that combines individual-level selection with ecology in two 8 interacting species. Our model is not influenced by kin or trait-group selection. We find that 9 individual selection in combination with competitive exclusion greatly increases the 10 likelihood that selfish species go extinct. A simple example of this would be a vertebrate 11 species that invests heavily into squabbles over breeding sites, which is then excluded by a 12 species that invests more into direct reproduction. A multi-species simulation shows that these 13 extinctions result in communities containing species that are much less selfish. Our results 14 suggest that species-level selection and community dynamics play an important role in 15 regulating the intensity of conflicts in natural populations.

16 Key-words: Levels of selection, interspecific competition, selfishness, conflict

"Animals and plants are not quite such ruthlessly efficient strugglers as they would be if Darwinism were the
 whole truth... it does not pay a species to be too well adapted. A variation making for too great efficiency may
 cause a species to destroy its food and starve itself to death. This very important principle may explain a good
 deal of the diversity in nature, and the fact that most species have some characters which cannot be accounted
 for on orthodox Darwinian lines".

6 Introduction

Ever since the group selection debate in the 1960s, it has been clear that selection acting at the
level of the gene or the individual does not necessarily produce adaptations that are optimal
for the population (Dawkins, 1976, Williams, 1966). Indeed, individual-interested behaviour
can often be expected to cause resource depletion resulting in a the 'tragedy of the commons'
(Hardin, 1968), which in its most extreme form may cause population demise, or
'evolutionary suicide' (Dieckmann & Ferrière, 2004, Parvinen, 2005).

13 The concept of the tragedy of the commons has most often been evoked when studying the 14 overexploitation of resources by humans (Hardin, 1998, Ostrom, 1999, Penn, 2003), where it is argued that short-sighted selfish behaviour will invariably lead to disaster for the 15 16 individuals using that resource. However, it also applies to non-humans where selection for 17 selfish competition is expected to be widespread (Frank, 1995, Leigh, 1977, Falster & 18 Westoby, 2003, Foster, 2004, Rankin & Kokko, 2006, Wenseleers & Ratnieks, 2004). Moral 19 restraint is often invoked as an argument for resolving the tragedy in humans (Hardin, 1968), 20 and policing (Frank, 1995, Wenseleers & Ratnieks, 2006b) or sufficient relatedness (Frank, 21 1995, Foster, 2004, Wenseleers & Ratnieks, 2004) can limit the tragedy in other species. 22 However, the evolution of policing is only possible in some contexts (such as insect societies 23 - e.g. Wenseleers & Ratnieks, 2006b), and not all species exist in kin-structured communities. 24 This begs the question of whether evolutionary suicide commonly occurs in nature (Rankin &

López-Sepulcre, 2005), and whether such extinctions can act as an important higher level of
 selection (Foster, 2006).

3 An increasing number of empirical and theoretical studies show how individual selection has 4 the potential to harm the population (e.g. Muir & Howard, 1999, Fiegna & Velicer, 2003). For 5 example, territorial animals risk injury, as well as waste time and energy that could be better 6 invested in reproduction and survival, in contests over space. Succeeding in this competition 7 is essential for individual reproduction but the predicted patterns of space division reduce the 8 number of individuals that can exist on any given area (López-Sepulcre & Kokko, 2005). 9 Conflicts can therefore result in a lowering of population density (López-Sepulcre & Kokko, 10 2005). Figure 1 shows examples where wasteful within-species conflict may affect species 11 persistence.

12 While a reduction in population density is not equivalent to extinction, it is likely to increase the extinction risk (Soulé, 1987, Leigh, 1981, Lande, 1993). Species extinctions, for example, 13 14 have long been considered to be important in the evolution of sex, due to the higher extinction 15 risk of asexuals (Fisher, 1930, Nunney, 1989, van Valen, 1975), and extinctions are also 16 thought to be important in the evolution of cancer (Nunney, 1999). Despite a rich history on 17 the relative importance of species-level selection as an adaptive force (Gould & Lloyd, 1999, 18 Lloyd & Gould, 1993, Vrba, 1984), the population consequences of adaptive behaviour have 19 been argued to act as a relatively weak selective pressure at the level of the species (e.g. 20 Maynard Smith, 1964). However, in a community context, traits are expected to affect species 21 persistence when they alter the likelihood of competitive exclusion by other members of the 22 community (Hardin, 1960, Ciros-Pérez et al., 2002), even if they do not cause evolutionary

23 suicide by themselves.

1 Here we explore the effects of extinctions at the species-level on the evolution of conflict in 2 multi-species communities. We specifically look at the joint effect of individual-level 3 selection, where selection acts on individuals, and species-level selection, where species go 4 extinct due to behavioural adaptation at the individual level. There is no spatial deme structure 5 or trait-group selection (sensu Wilson, 1975) in the model. First, we incorporate selfish 6 evolution into a simple two-species Lotka-Volterra competition model to illustrate the extent 7 to which competitive exclusion may influence the population density of selfish species. 8 Second, we use a simulation to investigate macroevolutionary effects, both in an isolated 9 species and in a community setting. In particular, we ask the question that, given selfish 10 competition within a species may harm populations, what part do community interactions 11 play in the macroevolutionary consequences of such harm?

1 The models

2 **1.** TWO-SPECIES DYNAMICS AND THE EVOLUTION OF SELFISHNESS

3 Our goal is to evaluate the impact of a selfish and competitive trait z_i on population 4 persistence and the resulting species-level selection. In this section, we describe a simple 5 analytical model of two species, where species 1 suffers from wasteful and selfish within-6 species competition. The logic of the model is to calculate the evolved level of selfishness in 7 species 1 (e.g. fighting), and then see how this affects its population density. By putting the 8 effects of wasteful competition into the ecological context, we are able to evaluate how 9 wastefulness within a species affects between-species competition, and ultimately, species 10 persistence. We begin, however, by defining the two key terms in the models.

11 Selfishness: Competitive 'selfishness' z_i is the degree to which individuals of species i 12 13 1. Our use of 'selfishness' throughout the paper, therefore, refers to the strength of 14 intraspecific conflict. A simple example is fighting over a breeding site where an individual 15 allocating all of its energy into such fights would have a z of 1, while a non-fighting 16 individual would have a value of z=0. Investing energy in fighting decreases the resources 17 available for reproduction, and is expected to therefore decrease population density (López-18 Sepulcre & Kokko, 2005). Other potential examples include investment in slime production 19 by bacteria that suffocates members of the same species but lowers overall growth rate 20 (Xavier & Foster, 2007), or social insect larvae that become new queens rather than workers 21 in colonies that already have a queen (Ratnieks et al., 2006, Wenseleers & Ratnieks, 2004). 22 Figure 1 shows some example systems where wasteful selfishness may affect species 23 persistence.

1 *Competitive Incentive:* The evolution of traits like fighting will depend not only on the 2 demography and the environment, but also on the constraints and life history characteristics of 3 the species in question. Because species differ in their constraints, the incentive to invest in 4 selfish competition with other members of their species will also differ between species. For 5 example, predator avoidance might constrain a bird's ability to fight, and in insect colonies 6 with a discrete reproductive phase and no queen succession, there is no benefit to selfishly 7 becoming a new queen apart from during a short period each year (e.g. vespine wasps, Foster 8 & Ratnieks, 2001). We investigate how this incentive α_i affects the level of conflict, and 9 higher levels of selection. The value of α_i can change, according to the extent to which this 10 incentive covaries with population density (e.g. territorial aggression may be 11 counterproductive if vacant breeding habitat is readily available, Kokko et al., 2006), such 12 that α_i is the maximum incentive of individuals in species *i* to invest in selfishness in the 13 absence of any influence of density on the behaviour.

14

15 Individual-level selection

We start by considering selection for selfish behaviour within species 1 by calculating the invasion fitness of a mutant z_i ' invading a population of residents. The fitness of a mutant, z_i ' is then

19
$$w\left(z_{1}^{\prime},\overline{z_{1}}\right) = f\left(z_{1}^{\prime},\overline{z_{1}}\right)R_{1}\left(\overline{z_{1}}\right)$$
(1)

20 Where $R_1(\overline{z_1})$ defines the group performance of species 1 (per capita growth rate), which is a 21 function of selfishness in species 1 but is also affected by resource competition from species 2 22 (see equation 2, below). The benefit gained from a mutant individual investing z_1 ' in 23 competition in a population comprising individuals which invest $\overline{z_1}$ in selfishness is described 1 by the function $f(z_1', \overline{z_1})$. Following the logic of Frank (1995) and Foster (2004), we use

 $f(z_1', \overline{z_1}) = (z_1'/\overline{z_1})^{\alpha} (1 - cz_1')$, where α scales the incentive to invest in selfish competition. 2 3 For $\alpha = 1$ and c = 0, the model becomes identical to Frank (1995), where selfishness leads to 4 population collapse (a tragedy of the commons). However, for c > 0, the expression includes 5 an individual cost of expressing the selfish traits, which puts an upper bound on the individual 6 investment into competition (e.g. intense fighting is personally costly, Foster, 2004, 7 Hammerstein & Reichert, 1988). A mutant with a phenotype of z' will be able to invade a population containing \overline{z} individuals if $w(z_1', \overline{z_1}) - w(\overline{z_1}, \overline{z_1}) > 0$, allowing us to calculate the 8 9 direction of evolution (lower or higher z favoured) in z_1 .

10

11 Two-species interactions

12 We now consider the impact of selfishness z in species 1 on the population dynamics and 13 competition with species 2. In our example, we assume that species 2 does not exhibit selfish 14 behaviour (e.g. does not fight with conspecifics), and therefore has a value of z fixed at zero. 15 This allows us to look at the population consequences of the evolution of selfishness of 16 species 1 (eq. 1), when undergoing interspecific competition. The two species compete over a 17 common resource E, where $E_i(x)$ is the maximum availability of resource x that an individual 18 of species *i* could use. Critically, the *ability* of species 1 to translate the shared resource into 19 reproduction decreases with increased selfishness z (equation 2 below). x denotes a resource 20 gradient that may be interpreted in different ways, for example habitat with a specific 21 microclimate $(E_i(x))$ then gives the area of such habitat available to individuals of species i), or 22 food items of a specific size $(E_i(x))$ is then the available density of such items). To provide an illustrative example, the amount of resources available to each species is defined by two 23

simple functions: $E_1(x) = bExp(1-x)$ and $E_2(x) = bExp(x)$, such that the two species have a significant degree of niche overlap but they are not ecologically identical (species 2 is better at using large values of x). The overlap makes interspecific competition an important factor determining the densities of both species. The population density of species *i* is given as n_i and its dynamics can be described by the equation $n_i(t+1) = R(\overline{z_i})n_i(t)$, where *R* indicates average *per capita* population growth:

7
$$R_i(\overline{z_i}) = \int \frac{E_i(x)q(\overline{z_i})}{n_1 E_1(x) + n_2 E_2(x)} dx$$
(2)

8 The effect of density-dependence from within- and between-species competition is captured 9 by the denominator in equation 2: in the absence of species 2, the reproductive rate of species 10 1 is simply determined by the number of individuals in the population (i.e. it is density 11 dependent). In the presence of conflict with other individuals, the effect of wasteful selfishness by members of species i on resource availability is captured by $q_i(z_i)$, where q_i is 12 13 the fraction of the resource that remains useful to individuals of a given species, and selfishness (z) has a negative effect on this fraction, such that $\partial q_i(z_i)/\partial z_i < 0$, and $q(z_i)=(1-z_i)^{\beta}$. 14 A low value of β means that competition in a species is very wasteful, and therefore 15 16 population-wide reproduction suffers greatly from individual selfishness, making a species 17 more likely to go extinct with a small increase in z. A larger value confers the opposite effect. 18 Note that q does not appear in the denominator, indicating that resources inefficiently used by 19 species 1 are not available to the other species. This assumption makes our model 20 conservative because if resources wasted by species 1 could be used by species 2 then this 21 would further promote the advantage of the latter. A nice example of this possibility is 22 territoriality. If species 1 is highly territorial over space and leaves a lot of resources

unexploited in the environment, this will not only lower its growth rate (López-Sepulcre &
 Kokko, 2005) but also increase the resources available to competing species.

3

4 Model results

5 Figures 2 and 3 show the zero-growth isoclines of this two species system, along with the 6 respective population densities when species 1 has reached evolutionary stability. This 7 assumes that populations are always at their ecological equilibria i.e. that ecological processes 8 are much faster than evolutionary ones. First we consider the dynamics of species 1 if species 9 2 is absent (points along the x axis, Figure 2). Individual-level selection then has a fairly 10 minor effect on the population density of species 1 (compare the location of point I in Figure 11 2a with Figure 2b). Now consider coexistence if neither species has yet evolved selfishness 12 (point II in the left-hand figure); the different but symmetrical use of the resource gradient 13 results in coexistence where both species have equal population density.

14 When individual-level selection and between-species competition are considered in 15 combination, the outcome is dramatically different. Individual level selection for wasteful 16 resource use in species 1 reduces its ability to compete with species 2. As a result competitive 17 exclusion occurs and species 1 goes extinct (point II in the right-hand figure). For example, 18 this could mean that within-species fighting in species 1 reduces the population growth rate so 19 much that species 2 can drive it extinct. Or comparably, that within-group competition in a 20 slime mould slug limits its migration (Foster et al., 2002) so much that a second conflict-free 21 species is able to out-compete it by reaching resources more efficiently.

Figure 3 shows the isoclines of a case where the competitive incentive is lower, and both
species can coexist even after species 1 has undergone selection for increased selfishness.
Even here, the combination of individual-level selection and competition from species 2

combines to result in a greatly reduced population density of species 1, which in a stochastic
 world could imply increased vulnerability to extinction (Leigh, 1981, Lande, 1993). This may
 reflect the situation in the yellowjacket wasps where species with high levels of intracolony
 conflict over male production tend to have smaller colonies (Foster & Ratnieks, 2001).

5 2. EVOLUTION OF SELFISHNESS IN A MULTI-SPECIES SIMULATION

6 Our isocline model is a proof-of-principle that selfishness selected at the individual level can 7 have important consequences for the probability that a species will persist. We now use a 8 simulation to evaluate its macroevolutionary consequences in communities containing many 9 evolving species (our previous example only allowed one species to evolve). Our focus is on 10 the competitive incentive (α_i), which is the central parameter defining the level of selfishness 11 in a focal species. This is a species property or life-history character which drives the benefit 12 gained from investing in conflict. Low values of α mean that there is relatively little to be 13 gained from investing more in selfishness z, while higher values of α mean the opposite. We 14 examine its distribution before and after the simulation in order to ascertain whether species-15 level selection affects the overall selfishness of species. In addition, we compare community simulations to the case of a single species (isolated-species simulations) to examine whether 16 17 community interactions amplify any effects of species-level selection.

The community simulation allows speciation to take place, with daughter species being ecologically similar to the immediate ancestor, and species going extinct if they fail to satisfy current conditions for ecological coexistence. We continually update the equilibrium population density for each evolved level of selfishness, and hence take every species to be at its ecological equilibrium density. As such, we assume a separation of ecological and evolutionary time-scales such that individual-level selection takes place at a much slower rate than the population dynamics (see appendix). The ecological and evolutionary dynamics

generate extinction events intermittently across the simulation whenever selfishness evolves
 to levels which result in population densities below a certain extinction threshold, *ε*. Full
 details of the simulation are provided in the appendix.

4 Model results

5 Figure 4 shows the initial distribution of the incentive for selfishness, and the distributions of the trait in the surviving species at the end of the simulation for both the isolated simulation 6 7 and the community simulation. Analysing the results of all simulations reveals that species-8 level selection has a strong effect on the distribution of species properties: the starting 9 distribution differed significantly with the distribution after individual-level selection in an 10 isolated species (Kolmogorov-Smirnov p < 0.0001 both when $\beta = 2$ and $\beta = 5$). Taking 11 interactions with other species into account in the community simulation revealed that 12 community ecology greatly intensified species-level selection: the distribution of surviving 13 species in the community simulation differed significantly from both the original distribution (Kolmogorov-Smirnov p < 0.0001 both when $\beta = 2$ and $\beta = 5$) and from the surviving species 14 15 in the single-species simulation (Kolmogorov-Smirnov p < 0.0001 both when $\beta = 2$ and $\beta = 5$). This is well illustrated by the medians of the incentive α , which were roughly halved from 16 17 their initial values in the single species simulation, and halved again in the community 18 simulation (figure 4). Means of distributions undergo changes of similar magnitude (not 19 shown).

We checked the robustness of our results against several alternative assumptions. The results of our model were qualitatively the same (i.e. shifts of distributions show similar patterns) if density-dependence of the incentive (see appendix) was removed. Likewise, the results remained qualitatively similar when (1) the daughter species had a low population size (set at a value slightly larger than the extinction threshold), as opposed to parent and daughter

1 species both taking half of the original population density, (2) for longer simulation times 2 (10,000 versus 3000 generations) were used and (3) for variation in the initial distribution of 3 α .

4

5 5. Discussion

6 There is a strong feeling in the evolutionary literature that adaptations should be primarily 7 viewed as a result of selection acting at the level of the individual (or further below, at the 8 gene, e.g. Keller, 1999, Burt & Trivers, 2006). It is a telling example that Haldane was 9 ridiculed for our opening quotation by Cronin (1993), who suggested that he was playing to 10 socialist ideology "rather than attempting to propagate a genuine Darwinian unorthodoxy". 11 Our model supports Haldane's (1939) argument. We know that the individual-level selection point of view can explain why species can be so short-sighted that they become extinct 12 13 (Matsuda & Abrams, 1994a, Gyllenberg & Parvinen, 2001, Rankin & López-Sepulcre, 2005). 14 Our model shows that this very shortsightedness necessarily creates conditions in which 15 higher levels of selection become important, and this applies particularly strongly in a 16 community context. We did not allow for kin or group selection to occur that might promote 17 cooperation, yet species with more cooperative habits (lower z) prevailed. If 'selfishness' 18 ultimately leads to population extinction, species in which individuals have a high incentive to 19 behave selfishly will eventually be removed. This will consequently affect the properties of 20 species that we see in nature.

A central finding from our model is that it is not required that species commit true
evolutionary suicide for species-level selection to work. Selfishness need only weaken a
species, such that it more easily falls victim to competitive exclusion (figure 2). This shows
that Hardin's competitive exclusion principle (Hardin, 1960) can function to make the effects

1 of his tragedy (Hardin, 1968) more severe. Competitive exclusion is a common finding in 2 ecological communities, which suggests that these processes have important consequences for 3 real communities. This is consistent with work on sexual and asexual populations of rotifers 4 (Ciros-Pérez et al., 2002). In single-species populations, costs associated with sex had no 5 effect on population density (Ciros-Pérez et al., 2002). However, in multispecies communities 6 the increased levels of sexual reproduction resulted in increased risk of competitive exclusion 7 and extinction, generating species-level selection against sexuality. Although the study by 8 Ciros-Pérez et al. (2002) did not consider selfishness per se, it clearly highlights the potential 9 effects of community interactions upon extinctions.

10 The evolutionary effects in our model are driven by the fact that species differ in traits 11 associated with selfishness (which is reflected in α). There are many ways that this can come 12 about, including basic differences in ecology: a species foraging on concentrated patchy 13 resources that can be defended might have a higher propensity for competition and selfishness 14 than one living on dispersed resources. Although not required, variation in the incentive for 15 selfishness will be also affected by factors that promote cooperation, such as the degree to 16 which individuals interact with relatives (Figure 1a,c; Hamilton, 1964, Griffin & West, 2003, 17 Ratnieks et al., 2006) and enforcement mechanisms (Wenseleers & Ratnieks, 2006a, 18 Wenseleers & Ratnieks, 2006b). Another mechanism, which can be associated with 19 enforcement (Foster *et al.*, 2007), is the degree of pleiotropy where one gene affects multiple 20 traits. This phenomenon is both extremely common in all genomes and highly variable in its 21 effects (Foster et al., 2004, Foster et al., 2007). When a pleiotropic relationship happens to be 22 present in the genome that ties a potential selfish trait to a personal cost, this will reduce the 23 incentive for selfishness (α). Our model predicts that this reduced incentive will increase 24 species persistence, and, therefore, that such pleiotropic relationships should commonly occur 25 in nature. An example can be found in a social amoeba, Dictyostelium discoideum, which

forms social aggregations where some cells die in an apparent act of altruism to form a stalk
that allows other cells to disperse as spores. Pleiotropy of the gene *dimA* links this altruistic
act of stalk production to the ability to make spores, thereby reducing the incentive to be
selfish and limiting the evolution of cheaters that produce fewer stalk cells (Foster *et al.*,
2004).

6 An associated assumption of our model is that, given that species differ in the traits associated 7 with selfishness, the variation is great enough to be important in species persistence. An 8 alternative explanation for the absence of traits that lead to 'too tragic' outcomes is that the 9 incentives to invest in intraspecific competition are simply never great enough to be an 10 important cause of extinctions. In our model this would correspond to values of the incentive 11 α that are always constrained to low values that have little effect on species persistence 12 compared to other traits or chance events. Evidence against this alternative come from a 13 number of studies that suggest that individual selection can drive population demise (Rankin 14 & López-Sepulcre, 2005). Analogously to D. discoideum, cells of the social bacterium *Myxococcus xanthus* form complex fruiting structures, where individuals in the fruiting body 15 16 are then released as spores (Fiegna & Velicer, 2003). Artificially selected cheater strains, 17 which produce a higher number of spores than wild-types, can invade wild-type strains under 18 laboratory conditions. However, although such cheaters do well in competition with the 19 wildtype, they can cause population extinction because their strategy compromises fruiting 20 body development and they are unable to produce spores alone (Fiegna & Velicer, 2003). 21 Further support that conflict can increase the risk of extinction comes from comparative 22 studies. For example, the intensity of sperm competition in birds (Morrow & Pitcher, 2003), 23 and larger genome size, associated with a higher prevalence of selfish DNA (Vinogradov, 24 2003), have been found to be associated with extinction risk.

1 Several studies, therefore, suggest that species-level selection can be important. Nevertheless, 2 it remains a challenge for future research to distinguish between our hypothesis that species-3 level selection drives down selfishness and the alternative that variation in species properties 4 (as shown by the effects on α in our model) is rarely important enough to cause extinctions. 5 Naturally, both predict that extant species should not exhibit values that do not allow 6 persistence. The observation that species introductions to new geographical areas are often 7 detrimental and can cause extinctions (Clavero & García-Berthou, 2005) provides a basis to 8 test the idea that strong intraspecific conflict predicts failure in novel situations of 9 interspecific competition. For example, previous work has predicted that, as species richness 10 increases, so does the extinction rate (Weatherby et al., 1998). We predict these extinctions to 11 depend not only on the degree of niche overlap, but also on how intense intraspecific conflicts 12 are in the species concerned. All else being equal, we predict that extreme forms of 13 intraspecific conflict are less likely to be observed in species-rich communities than in those 14 with low species richness.

1 Conclusion

2 Despite the plethora of recent work demonstrating that individual-level selection can lead to 3 extinction (Matsuda & Abrams, 1994a, Matsuda & Abrams, 1994b, Muir & Howard, 1999, 4 Gyllenberg & Parvinen, 2001, Gyllenberg et al., 2002, Dercole et al., 2002, Dieckmann & 5 Ferrière, 2004, Howard et al., 2004), the macroevolutionary consequences of such extinctions 6 have remained unexplored. Our model demonstrates that such 'evolutionary suicide' can have 7 strong effects on the distribution of traits in nature. Importantly, we show that species-level 8 selection can operate through competitive exclusion whenever selfishness weakens the 9 competitive ability of a species, even in the absence of true evolutionary suicide. This 10 principle may indeed explain why species "are not quite such ruthlessly efficient strugglers" 11 (Haldane, 1939) as they might be.

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1 Appendix – Multi-species simulation

For the simulation, we assume that evolutionary and ecological dynamics occur over separate timescales, such that the ecological equilibria (population densities) are approached much faster than evolutionary changes occur. The notation of the isocline model is here modified to yield an individual performance function $f_i(z_{ij}, \alpha_i)$, that is used to calculate the fitness w_{ij} for individual *j* of species *i*, according to its share of the resources available to the whole species:

7
$$W_{i,j}\left(z_{ij},\overline{z}_{i}\right) = \frac{f_{i}(z_{ij},\alpha_{i})}{f_{i}(\overline{z}_{i},\alpha_{i})}R_{i}\left(\overline{z}_{i}\right)$$
(A1)

8 The function *R*(*z*) is based on equation (1), and describes the total *per capita* resource 9 available to species *i*, extended to include the niche use by all members of the community 10 (note that the sum includes species *i*):

11
$$R_i(\overline{z}_i) = \int_x \frac{E_i(x)q(\overline{z}_i)}{\sum_i n_j E_j(x)} dx, \qquad (A2)$$

12 The first part of the RHS of equation (A1) defines the share of available resources that focal 13 individual gets as a function of its competitiveness, and the second part weights this by the 14 overall amount of resources available (from equation 1), which is a function of both intra- and 15 interspecific competition. We assume that there is relatively little variation in *z* at any point in 16 time, such that $f_i(\overline{z_i}, \alpha_i)$ can be used as a good approximation of the mean of $f_i(z_{ij}, \alpha_i)$, taken 17 over different values of z_{ij} used in the population. We use the function

18 $f_i(z_i, \alpha_i) = z_i \exp(-z_i / \alpha_i m(n_i))$ in our examples. This function allows us to consider cases 19 where 'too selfish' behaviour simply brings about costs (e.g., superfluous aggression) to the 20 individual while no longer increasing the benefits gained (Knowlton & Parker, 1979, Foster,

1 2004). From this function, it follows that
$$\frac{\partial f_i(z_{ij}, \alpha_i)}{\partial z_{ij}} = c \exp(-z_i / \alpha_i m(n_i))(1 - z_i / \alpha_i m(n_i)),$$

2 and therefore selfish gains from competitive behaviour peak at $z_i = \alpha_i m(n_i)$ and decline after 3 that.

4 The incentive to be selfish is likely to be small at lower population densities than at higher 5 population densities, which will tend to reduce the potential for selfishness to drive extinction 6 (e.g. Rankin, 2007). To use our earlier example of fighting, there will be less incentive to 7 compete aggressively for resources when the population density is so low that many resources 8 remain undefended (Kokko et al., 2006), which will make the incentive positively density-9 dependent. In order to be conservative, therefore, we include such density dependence in our model. The function $m(n_i)$ describes the relationship between the overall incentive and 10 population density. We assume that $m(n_i)$ reaches its highest possible value α_i when the 11 12 population is very dense, and declines with lowering density; the speed of this decline is scaled by the parameter γ_i , the density-dependence of the incentive. In our examples we use 13 the function $m(n_i) = (1 - \exp(-n_i / \gamma_i))$. Note that positive density-dependence of the incentive 14 15 is a distinct process from the negative density-dependence that affects population growth (which is represented in the function R(z)), which also has to be included in our model in 16 17 order to regulate population sizes.

18 The effect of selection on the evolution of selfishness (z)

19 To investigate the effect of selection on selfishness z we assume constant heritabilities of z20 across species, and calculate the selection gradient as

21
$$\Delta \overline{z}_{i} = \delta_{i} \frac{\partial w_{ij}(z_{ij}, \overline{z}_{i})}{\partial z_{ij}}, \qquad (A3)$$

1 Here, the factor δ_i is proportional to σ_{Aij}^2/w_{ij} where σ_{Aij}^2 is additive genetic variance for *z*. Our 2 assumption that evolutionary change is slow compared to ecological change is reflected in 3 low values of δ_i . We can write the change in *z* over time as $\overline{z_i}(t+1) = \overline{z_i}(t) + \Delta \overline{z_i}$, where

$$4 \qquad \frac{\partial w_{ij}(z_{ij},\overline{z})}{\partial z_{ij}} = \frac{R_i(\overline{z}_i)}{f_i(\overline{z}_i,\alpha_i)} \frac{\partial f_i(z_{ij},\alpha_i)}{\partial z_{ij}}$$
 is obtained by evaluating the right-hand side of equation

5 A3.

To follow the evolutionary and ecological dynamics of a species, we define the maximum amount of resource available to a given species, $E(x) = v(x, \mu_i, \sigma_i^2)$, where the niche distribution $v(x, \mu_i, \sigma_i)$ follows a normal distribution evaluated at *x*, with mean μ_i and variance σ_i^2 . In other words, species *i* uses resources that match its niche midpoint, $x = \mu_i$, with the highest efficiency.

11 Ecological and evolutionary dynamics

12 To link evolution and population dynamics, we assume 'fast-slow' dynamics (Matsuda & Abrams, 1994a, Dieckmann et al., 1995), such that ecological processes happen considerably 13 14 faster than evolutionary ones. Thus, to derive the ecological equilibria, we may assume fixed behaviour $\{\overline{z_i}, ..., \overline{z_k}\}$ of all species. The population dynamics of the *i*th species 15 is $n_i(t+1) = n_i(t)R_i(\overline{z_i}, \beta_i)$. Here we are assuming that the per capita resources R_i determine 16 17 population growth. Due to the low value of δ_i , the population dynamics are assumed to 18 change at a faster rate than the evolutionary dynamics. It is important to note that extinctions 19 are a result of both ecological and evolutionary processes, so the rate of extinctions is not defined *a priori* but is an emergent property of the simulation. 20

21 Starting values

1 The functions described above are made species-specific by giving each species different 2 properties of competitive incentive (α_i). Initial, positive, values of α_i were chosen from 3 exponential distributions to avoid artificial constrains, while making lower, more realistic, 4 values more likely. However, our results remained qualitatively identical if a normal 5 distribution was used in place of our exponential distribution (not shown) or if we used 6 substantially higher or lower starting values of α_i . Each simulation started with niche parameters $\mu_i = 0$, $\sigma_i^2 = 0.01$, and an initial low value of $z_i = 0.01$. Every time step, the 7 8 dynamics were updated to calculate the population density and current value of z for all 9 species in the community. Then the properties of each species were shifted proportionally to 10 the selection gradient given by equation A3, which is a discretised approximation of a 11 separation in ecological and evolutionary time-scales.

12 Speciation and extinctions

13 In the community simulations, new species were added by speciation. At each time step, a 14 species could speciate with a small probability, $p_{\rm S}$. We assume a simple 'point mutation' 15 mode of speciation (Hubbell, 2001); the population was split in half, and the daughter species mutated to take different values of α , and also of the niche parameters μ and σ^2 . The new 16 value of a trait after mutation, u', was calculated with the formula 17 $u' = \exp(\log(u) + \psi(M, V))$, where u is the original value of either the incentive to invest in 18 competition or the niche overlap (i.e. α_i or σ_i^2) and ψ is a normally distributed random 19 20 number with mean M and variance V (taken to be 0 and 0.1, respectively). A normal 21 distribution is required in this case because in this case any individual species may experience 22 competition from either side on the niche axis (this is in contrast to the two species model, 23 where an exponential was used to allow tractability). This scales properly in our setting, 24 ensuring values remain positive. The mean of the niche can take negative values, and

1 therefore the niche mean (μ_i) was mutated by adding a normally distributed small random 2 number (with mean 0, and variance 0.01) to the original value of μ_i .

We ran simulations with no speciation ($p_s = 0$, isolated-species simulation) as well as with a speciation rate of $p_s = 0.05$ (community simulation) in order to investigate the influence of community structure on species-level selection. Additionally, we ran a considerable number of simulations providing sensitivity checks with some of the assumptions altered (i.e. length of simulation run, initial distributions of α_i , and the details of the speciation process; details provided in the results).

9 Extinction occurred if the density of a species fell below a certain, small, threshold ε . At each 10 time step all species with population densities below this threshold were removed from the 11 community. Using such thresholds for extinction make use of the assumption that very low 12 population sizes will be driven extinct due to stochastic processes (Matsuda & Abrams, 13 1994a, Dieckmann & Ferrière, 2004). We recorded the values of α for all species which 14 survived at the end of the simulation. Unless all species went extinct, the simulation 15 proceeded until a set time was reached (3000 time steps in this model). In both the isolated 16 species model, and the community model, the complete simulation was repeated until we 17 obtained 500 simulations in which at least one species remained at the end. The values of α 18 were recorded for all surviving species. The results of each simulation were pooled for 19 analysis. A Kolmogorov-Smirnov test was used to see if the distribution of α differed 20 between the isolated-species and the community simulation, as well as from the original 21 exponential distribution.

22

1 Figure and Table Legends

2 Figure 1. Examples where wasteful within-species conflict may affect the probability of 3 species persistence. a) Worker laying in a small-colony yellow jacket species Dolichovespula 4 saxonica. Laying by workers directs resources away from work into male production that 5 conflicts with the queen (Ratnieks et al., 2006). b) Skull of the extinct Irish Elk Megaloceros 6 giganteus showing the massive antlers. Although far from certain (Stuart et al., 2004), these 7 may have contributed to the species' demise. c) Migrating slug of the slime mould 8 Dictyostelium discoideum, chimeric slugs containing multiple clones migrate poorly 9 compared to pure clones, suggesting that conflict inhibits their dispersal (Foster et al., 2002). 10 All photos by KRF.

11 Figure 2. Extinction driven by the synergistic effects of individual-level selection for 12 selfishness and competitive exclusion on population density. (a) There is no extinction 13 without individual-level selection for selfishness ($z_1 = 0$). Zero-growth isoclines are shown for 14 the two competing species (solid line is species 1). Long arrows on the graph show the 15 trajectory of population growth. Open circles represent equilibrium population densities for 16 the focal species (species 1): I is population density without species 2, II is with species 2. 17 Closed circles represent the equilibrium density of species 2 without species 1. (b), When 18 species 1 is allowed to evolve towards its ESS level of selfishness, it is driven extinct before it 19 can reach it. The grey line in (b) represents the isocline of species 1 in the absence of any 20 selfishness ($z_1=0$). This example assumes an incentive for selfishness ($\alpha = 0.1, c = 1, \beta=1$), 21 which in the single species case results in an an ESS for species 1 at $z_1 = 0.0909$.

- 23 Figure 3. Individual-level selection for selfishness and ecological competition without
- 24 extinction. Zero-growth isoclines of two competing species when the incentive to invest in

1 competition is low ($\alpha = 0.05$, c = 1, $\beta = 1$). The grey line represents the zero-growth isocline of 2 species 1 when there is no selfishness $(z_1 = 0)$, the solid line represents the zero growth 3 isocline of species 1 when the population is allowed to evolve towards an ESS and the dashed 4 line represents the zero-growth isocline of species 2. Closed circles indicate the equilibrium 5 density of species 2 in the absence of species 1. Open circles represent different equilibria of 6 species 1, indicated by roman numerals. I corresponds to the equilibrium density under 7 interspecific competition, when there is no selfishness $(z_1 = 0)$. II corresponds to the 8 equilibrium density when species 1 is in isolation, with no selfishness. III represents the 9 equilibrium density once z has evolved to an ESS. IV corresponds to the ESS of z when 10 species 1 does not face interspecific competition. 11 Figure 4. The effects of species-level selection on the degree of wasteful competition within a species in the simulation. Solid lines represent the original (exponential) distribution, dotted-12 13 dashed lines represent the distribution of properties of surviving species in the isolated-14 species model, and dashed lines represent the distribution of properties of surviving species in 15 the community model. Medians are given for the distributions of the respective species 16 properties for the original distribution from which the properties are drawn, the results of the 17 isolated-species model and the results of the community model, respectively. Note that the 18 tails of the distributions have not been included in the graphs. Other parameters: $\gamma = 0.02$, $\delta_i =$ 19 $0.001, p_{\rm S} = 0.05, \varepsilon = 0.05.$

1 Figures

2 Figure 1

- 3
- 4











