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4 Changes and drivers of freshwater mussel diversity and distribution in northern
5 Borneo.
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8

9 **Abstract**

10 Human activities are threatening Borneo's unique biodiversity, but little is known on the status
11 of freshwater invertebrates. We assessed changes in diversity and distribution of freshwater
12 mussels (Bivalvia: Unionida) in northern Borneo, and identified drivers of present distribution
13 and threats. Past distribution data were collected from literature and museum resources. Present
14 distribution data were collected from 21 river basins, and 47 water quality, climatic, landscape
15 and human variables explored as potential predictors of species presence/absence. Species
16 delimitations were identified by morphology and COI barcoding, and haplotype networks
17 generated. Our data indicate that over the past 50 years, four of originally five native species
18 have become very rare or possibly locally extirpated. Since these four species are endemic to
19 Borneo, other Bornean river basins should urgently be surveyed to identify any remaining
20 populations. In the same time span, the non-native *Sinanodonta woodiana* has become the most
21 widespread freshwater mussel in northern Borneo. The fifth native species was identified as
22 *Rectidens sumatrensis* and found in four Sarawakian river basins, thus contradicting previous
23 assumptions of an endemic Bornean *Rectidens* species. Although a number of stable *R.*
24 *sumatrensis* populations are retained across Sarawak, the species' strong spatial contraction in
25 mainland Sundaland and apparent low tolerance to eutrophication suggest that it is vulnerable
26 to further habitat alteration. Our results indicate that Borneo's (endemic) freshwater
27 invertebrate biodiversity is declining rapidly. Comprehensive surveys targeting an array of
28 invertebrate and vertebrate taxa are needed to identify Borneo's freshwater biodiversity
29 hotspots, where conservation efforts should be concentrated.

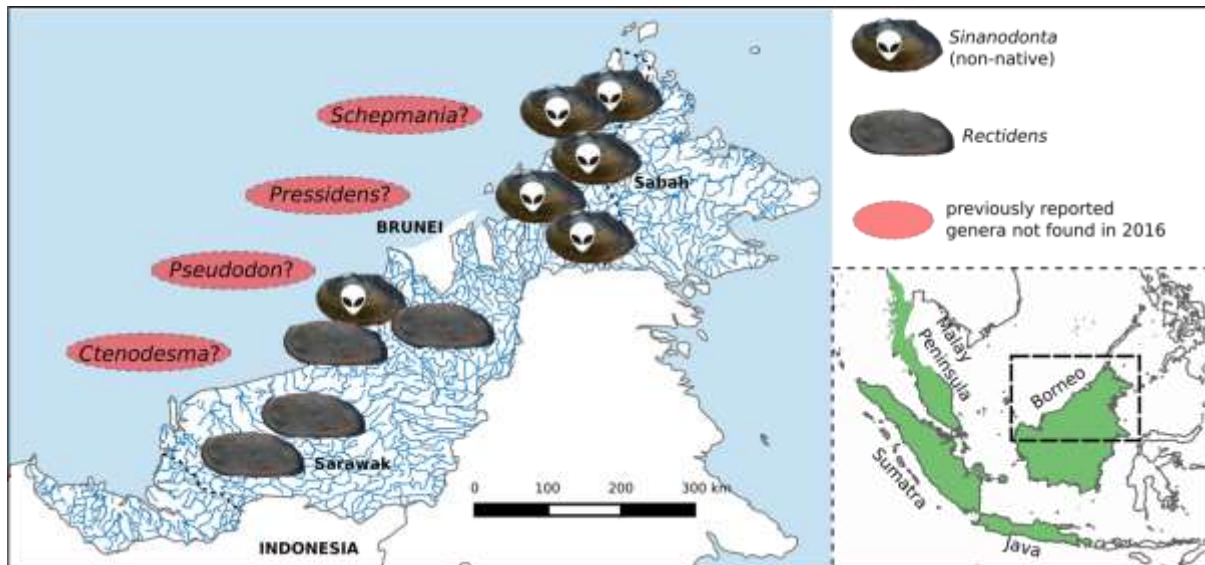
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31 **Keywords:** extinction; deforestation; endemic species; invertebrates; Sundaland; Unionidae

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34 Changes and drivers of freshwater mussel diversity and distribution in northern Borneo
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38 **Graphical abstract** Due to human pressure on freshwater habitats over the past 50 years, four
39 of originally five native, endemic freshwater mussel species in northern Borneo have become
40 extremely rare and possibly locally extirpated. In the same time span, the non-native
41 *Sinanodonta woodiana* has become the most widespread freshwater mussel in northern Borneo.
42 *Rectidens sumatrensis* is the only remaining native mussel that retains stable populations across
43 Sarawak but is vulnerable to further habitat alteration, such as eutrophication.

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46 **1. Introduction**

47 Freshwater biodiversity is declining at a rate far greater than terrestrial or marine
48 ecosystems (Sala et al., 2000; Dudgeon et al., 2006; Strayer and Dudgeon, 2010). Meaningful
49 conservation efforts, at the minimum, require knowledge on the diversity, distribution and
50 habitat requirements of species. However, data on freshwater biodiversity is poor, so that
51 undetected species extinctions are common, particularly for invertebrate taxa and in tropical
52 habitats (Harrison and Stiassny, 1999; Dudgeon et al., 2006). At the same time, available data
53 indicate that freshwater species-richness and levels of endemism peak in the tropics (Dudgeon
54 et al., 2006 and references therein). Nevertheless, in contrast to terrestrial systems, the world's
55 freshwater biodiversity hotspots “featuring an exceptional concentration of endemic species
56 and experiencing exceptional loss of habitat” have yet to be identified (Myers et al., 2000).

57 For the designated terrestrial tropical biodiversity hotspot Sundaland, which includes
58 the Malay Peninsula, Sumatra, Java and Borneo (Fig. 1), levels of freshwater biodiversity
59 richness and endemism appear to be similarly high as for the terrestrial taxa studied by Myers
60 et al. (2000). According to Mittermeier et al. (2005), this hotspot supports about 950 species of
61 freshwater fish, 350 of which (representing about 3% of global diversity) are endemic to the
62 region. Within Sundaland, Borneo hosts about 430 freshwater fish species, 164 of which are
63 endemic, thus illustrating the particular importance of this island to global biodiversity
64 conservation (Mittermeier et al., 2005; Abell et al., 2008). The region also appears to host a
65 particularly high number of species of freshwater invertebrates, including crabs (Cumberlidge
66 et al., 2009), Odonata (Kalkman et al., 2008) and Ephemeroptera (Sartori et al., 2003), although
67 data in this respect are incomplete.

68 Knowledge on freshwater molluscs and specifically, freshwater bivalves, is poor across
69 the tropics (Bogan, 2008; Zieritz et al., in press). The most species-rich freshwater bivalve
70 order, the Unionida (also referred to as “freshwater mussels”), comprises about 800 species

71 worldwide (Graf and Cummings, 2007), and has been identified as one of the most endangered
72 groups of organisms (Bogan, 1993; Lopes-Lima et al., 2014; IUCN, 2016). Based on the scarce
73 and outdated information available, Sundaland hosts about 35 Unionida species (4% of global
74 diversity), approximately two thirds of which are endemic to Sundaland and one third is
75 endemic to Borneo (Haas, 1969; Graf and Cummings, 2015; Zieritz et al., in press). For 75%
76 of these species, IUCN conservation status assessment has not yet been attempted or could not
77 be completed due to data deficiency (Zieritz et al., in press).

78 Present-day diversity and distribution of freshwater mussels in Sundaland differs
79 markedly from that suggested by historical data for a number of reasons (Zieritz et al., 2016,
80 in press): (1) lack of even historical data for much of the region; (2) the age of the vast majority
81 of records (>50 years), which pre-date the period of rapid and intense human-induced habitat
82 loss and alteration; and (3) lack of molecular data, which is required for reliable delimitation
83 of boundaries of freshwater mussel species with high morphological variability. Molecular
84 barcoding has, for example, led to the detection of a morphologically cryptic species and
85 revealed a number of misidentifications of museum specimens in a recent survey of the
86 freshwater mussels of Peninsular Malaysia (Zieritz et al., 2016). In addition, genetic sequence
87 data can be used to unravel the biogeographic history of species and identify conservation
88 management units within species (Palsbøll et al., 2007).

89 The drivers of freshwater mussel species distribution and, thereby, environmental
90 requirements of freshwater mussels have been studied extensively in temperate freshwater
91 habitats of North America and Europe (Strayer, 2008). In general terms, freshwater mussels
92 are sensitive to (1) organic and inorganic pollution (Keller et al., 2007), (2) alteration of the
93 hydrological regime and substrate characteristics of habitats by dams, land-use change or other
94 means (Vaughn and Taylor, 1999; Strayer, 2008), (3) introduction of non-native species
95 (Paunovic et al., 2006) and (4) lack of suitable host fish, which mussel larvae require for

96 completion of their life cycle (Wächtler et al., 2001). In addition, climatic factors and over-
97 exploitation may affect the presence/absence of freshwater mussels (Dudgeon, 2000; Allen et
98 al., 2012; Zieritz et al., 2016).

99 The specific habitat requirements and threats differ considerably between freshwater
100 mussel species and across different regions of the world. For example, whilst a number of
101 endangered species in temperate Europe and North America are sensitive to sedimentation
102 (Ricciardi and Rasmussen, 1999; Österling et al., 2010), freshwater mussels in Peninsular
103 Malaysia were found to be affiliated with sites with high suspended sediment concentrations
104 (Zieritz et al., 2016). However, more data are needed to even begin to understand the
105 environmental requirements of, and threats to, freshwater mussels in Sundaland and other
106 tropical regions. This research is particularly timely considering the rapid rates of habitat loss
107 and alteration in this region caused by intense and widespread commercial logging and land-
108 use change (Mittermeier et al., 2005; Langner et al., 2007; Miettinen et al., 2011), controversial
109 hydroelectric projects (Lin 2003) and deliberate introduction of non-native species (Rahim et
110 al., 2013).

111 Considering the global importance of Borneo's biodiversity, lack of knowledge on
112 distribution patterns and potentially severe threats to this island's freshwater biodiversity,
113 particularly freshwater mussels, this study aims (1) to assess past and present freshwater mussel
114 diversity and distribution in northern Borneo; (2) to quantify genetic diversity and describe
115 population genetic patterns of northern Bornean species across Sundaland, thereby identifying
116 populations and freshwater bodies of particular conservation interest; and (3) to elucidate
117 present drivers of distribution and threats to freshwater mussels in northern Borneo.

118 **2. Materials and methods**

119 **2.1. Study area**

120 Species distribution and environmental data were collected across northern and central
121 Sabah and Sarawak in 2016, situated within the Sundaland biodiversity hotspot (Fig. 1). The
122 complete study area covers approximately 150,000 km² of northern Borneo, and spans from
123 the Rajang basin in Sarawak in the west to the upper Sembakung, upper Kinabatangan and
124 Bengkoka basins in Sabah to the east (Figs 1 and 2c, dashed lines). No sites within the borders
125 of the ministate Brunei were surveyed. Geological conditions in the study area are mainly
126 sedimentary and broadly characterised by tertiary sedimentary rocks in the lowland areas of
127 Sarawak, older Cretaceous turbidites and melange in the upland areas of Sarawak, and Miocene
128 flysch being prevalent in Sabah (Hutchison, 2005). The soils are predominantly peat and
129 alluvium in lowland regions and clay-rich Acrisols in the uplands. This results in generally
130 moderate pH (~6-7.5) and conductivity (~10-50 $\mu\text{S cm}^{-1}$) values in rivers of Sarawak (e.g.
131 Rajang (Ling et al., 2017b) and Baram (Ling et al., 2017a)), whilst in the rivers of Sabah, pH
132 (~6.5-8.5) and conductivity (~50-140 $\mu\text{S cm}^{-1}$) tend to be higher (Cleophas et al., 2013). The
133 study region includes a number of protected areas, most notably >3,000 km² of National Parks,
134 including Crocker Range and Kinabalu in Sabah, and Gunong Mulu, Logan Bunut, Rajang
135 Mangroves, Bukit Tiban, Similajau, Lambir Hills, Gunong Buda and Niah in Sarawak (UNEP-
136 WCMC and IUCN, 2017).

137 **2.2. Sampling and voucher specimens**

138 Historical data on freshwater mussel species distribution in the study area were gathered
139 from different sources: (1) literature (Brandt, 1974; Drouet and Chaper, 1892; Haas, 1910,
140 1923, 1969, Simpson, 1900, 1914); (2) seven museum collections (Academy of Natural
141 Sciences of Philadelphia; Florida Museum of Natural History; North Carolina Museum of
142 Natural Sciences; Smithsonian National Museum of Natural History; Lee Kong Chian Natural

143 History Museum Singapore; BORNEENSIS collection, Universiti Malaysia Sabah; Museum
144 of Zoology, University of Malaysia); (3) the Mussel-project database (Graf and Cummings,
145 2015), which provides photographs of a further 15 major collections; and (4) the Global
146 Biodiversity Information Facility (GBIF; <http://www.gbif.org/>).

147 We conducted present-day samplings of freshwater mussels in April, July and August
148 2016. We surveyed 72 sites, covering a diversity of freshwater habitats (rivers, streams, canals,
149 rice-paddy run-offs and ponds) and 21 river basins (Fig. 1, Table 2). Sites within a continuous
150 water body (e.g. river basin, lake) were at least 5 river-km apart from each other. At each site,
151 we initially approached indigenous people and other locals and asked about the presence of
152 freshwater mussels, which are widely used as a food source in the region (Zieritz et al., 2016).
153 Interviewees were shown pictures/shells of the ten unionoid species historically recorded from
154 northern Borneo and asked to identify the presence of particular species. Subsequently, we
155 searched for freshwater mussels visually and hand-sampling for a minimum of 30 and up to
156 240 person-minutes, typically covering about 100 m river length (following Cummings et al.,
157 2016). Although even 600 person-minutes of effort per site may be insufficient to detect some
158 rare freshwater mussel species in North American rivers (Metcalf-Smith et al., 2000; Huang
159 et al., 2011; Reid, 2016), rivers in Sundaland show much lower mussel species-diversity per
160 site and lack such extremely rare species (Zieritz et al., 2016).

161 Survey effort depended on a number of factors: (1) Pre-indication of freshwater mussels
162 (species) by historical records and/or locals (“pre-indicated” or “not pre-indicated”); (2)
163 Habitat visibility and sampling efficiency: clear visibility of substrate and lack of obstructions
164 facilitating efficient scanning for mussels of a large area (“efficient”) vs. low visibility and
165 presence of obstructions (e.g. dead wood) or other physical conditions that resulted in
166 inefficient surveying (“inefficient”); (3) Habitat homogeneity: discriminating up to three
167 generalised microhabitat types (mud/silt, sand/gravel and macrophytes). “Pre-indicated

168 efficient” sites were surveyed for a minimum of 90 person-minutes and either until respective
169 freshwater mussels (species) were found or up to 120 person-minutes. “Pre-indicated
170 inefficient” sites were surveyed for a minimum of 180 person-minutes and either until
171 respective freshwater mussels (species) were found or up to 240 person-minutes. “Not pre-
172 indicated efficient” sites were surveyed for 30 person-minutes per microhabitat. “Not pre-
173 indicated inefficient” sites were surveyed for 60 person-minutes per microhabitat.

174 We collected foot snips (non-lethal to the mussels; Spicer et al., 2007) from a maximum
175 of 25 specimens of each population and preserved in 100% ethanol. In addition, we preserved
176 up to six whole animals as voucher specimens of each population in 95% ethanol. Voucher
177 material from Sabah and Sarawak are deposited at the BORNEENSIS collection, Institute for
178 Tropical Biology and Conservation, Universiti Malaysia Sabah, and the collection of the
179 Department of Aquatic Science, Universiti Malaysia Sarawak, respectively.

180 **2.3. Measurement of water quality parameters**

181 We measured (1) pH and (2) total dissolved solids (TDS), using a WP-81 Handheld
182 TDS-pH-Temperature Instrumentation at each site before surveying for freshwater mussels. In
183 addition, 300 ml-water samples were taken, of which at least 200 ml were filtered through pre-
184 ashed GF/C filters, and filtered and unfiltered samples kept cool for subsequent determination
185 of following parameters in the laboratory: (3) organic suspended solids concentration (OSS),
186 measured by filtration of a given volume of water through a GF/C filter, and subsequent
187 weighing of the filter after drying and loss-on-ignition for 4 hours at 550°C; concentrations of
188 (4) total phosphorus (TP), (5) soluble reactive phosphorus (SRP), (6) total ammonia nitrogen
189 (TAN) and (7) Chlorophyll *a* (Chl *a*) were assessed using standard
190 spectrophotometric/colorimetric methods (Lorenzen, 1967; Mackereth et al., 1989).
191 Concentrations of (8) Ca²⁺, (9) K⁺ and (10) Cl⁻ were determined through ion chromatography
192 (IC) analysis using a Metrohm Basic 792 ion chromatography system (Metrosep A Supp 4-250

193 column, with 1 mmol sodium bicarbonate and 3.2 mmol of sodium carbonate eluent at 1.0 mL
194 min⁻¹).

195 **2.4. Species identification and population genetics**

196 Species identification through integrative morphological-molecular approach and
197 sequencing of the mitochondrial cytochrome c oxidase 1 gene (COI) followed the same
198 methodology as described in Zieritz et al. (2016). The F-type COI-gene (709 bp fragment) for
199 at least one specimen of each population (species-site occurrence) was sequenced, and
200 sequences deposited in GenBank. Haplotype networks were calculated for all sequences
201 obtained in this study as well as sequences of other Sundaland and Wallacean populations of
202 the same species available on Genbank as of November 2016 using TCS 1.21 (Clement et al.,
203 2000), applying a threshold of 95% and visualised with tcsBU (Murias dos Santos et al., 2016).
204 Sequence divergences (uncorrected p distance) were assessed using MEGA 7.0 (Tamura et al.,
205 2013).

206 **2.5. Associations of freshwater mussels with water quality, climatic, landscape and** 207 **human parameters**

208 As potential predictors of the presence/absence of freshwater mussels we explored a
209 total of 47 water quality (10), climatic (20), landscape (10) and human (7) variables (Tables S1
210 and S2, Supplementary Material). Apart from water quality parameters, which were measured
211 as explained above, we extracted the corresponding value of each potential predictor from each
212 of the 72 sampling sites using Geographic Information Systems (Q-GIS v 10.2) and
213 summarised the range of values observed in sampling sites inhabited by *R. sumatrensis*, *S.*
214 *woodiana*, and without any of these mussels. Significant differences between the three groups
215 were tested with non-parametric analyses of variance (Kruskal-Wallis test).

216 Given the high number of predictors initially considered, we first conducted analyses
217 to select a subset of predictors. Details of the selection process can be consulted in
218 Supplementary Material and consisted of three steps: 1-analyse pairwise Pearson correlations;
219 2-create a cluster of variables and select one or two predictors per cluster with correlation
220 $r < |0.75|$ (Figs. S1 and S2); 3-analyse the deviance in species presence/absence explained by
221 each predictor individually and select those able to explain >10% (Fig. S3). To investigate the
222 deviance explained by each individual factor, we used Generalised Additive Models with the
223 species presence/absence as response variable, each variable at a time as predictor, and a
224 binomial family (logistic link). GAM was chosen instead of other regression procedures
225 because of its ability to deal with nonlinear relationships between the response and the predictor
226 (Guisan et al. 2002). In this case, we fitted GAM with three degrees of freedom to allow for
227 linear, quadratic and cubic responses. Analyses and plots were conducted using packages
228 “ClustofVar”, “corrplot”, “mgcv” and “ggplo2” in R.

229 Next, we tested whether sites with native species, invasive species and without
230 freshwater mussels differed in their characteristics with a multivariate Redundancy Analysis
231 (RDA) on the 17 variables identified using the protocol described before. RDA was calibrated
232 using package “vegan” in R. We extracted the two first axes and used ANOVA and *post-hoc*
233 Tukey HSD to test for significant differences among sites inhabited by *R. sumatrensis*, *S.*
234 *woodinana* or none of them.

235 **3. Results**

236 **3.1. Historical data**

237 Previous data on Unionida from Borneo are scarce, of generally poor spatial resolution
238 and old age (Fig. 1a, Table 1). For the study area, we could identify merely three historic
239 species-site occurrences with a resolution <25x25 km cell size (i.e. *Rectidens lingulatus* at the

240 Niah River and the Niah Caves, and *Schepmania niewenhuisi* at Sepulut River), and another
241 eight above this resolution (Fig. 1a). Based on these records, Unionida from the study area
242 comprise five species and genera, *Pressidens exanthematicus*, *Pseudodon walpolei*, *R.*
243 *lingulatus*, *Schepmania niewenhuisi* and *Sinanodonta woodiana* (Fig. 1a, Table 1a). In
244 addition, *Ctenodesma borneensis* has been recorded from eastern Sabah (outside the study area)
245 as well as unspecified locations in "Sarawak" (Table 1a, Fig. 1a); at least some of these latter
246 locations are thus likely to fall inside our study area. With the exception of *S. woodiana*, which
247 is not native to Borneo, these five species are considered endemic to the island of Borneo (Haas,
248 1969; Graf and Cummings, 2015) (Table 1a). According to historical records, *P.*
249 *exanthematicus* and *R. lingulatus* are restricted to the study area (Table 1). Latest records of *C.*
250 *borneensis*, *P. exanthematicus* (only found in Brunei) and *P. walpolei* date back more than 50
251 years, whilst *R. lingulatus*, *S. niewenhuisi* and *S. woodiana* were last found in the study area
252 within the last 30 years (Table 1a).

253 Finally, four additional species have been recorded from Malaysian Borneo but only
254 from locations outside the study area. These are *Pressidens insularis* and *Simpsonella gracilis*
255 from Banggi Island off the Northeast coast of Sabah, *Pseudodon crassus* from the Sarawak
256 river, and *Schepmania parcesculpta* from the lower Kinabatangan river (Fig. 1a, Table 1b).

257 **3.2. Present species diversity**

258 Our survey in 2016 confirmed the presence of freshwater mussels at 27 of 72 sites and
259 nine of 21 river basins surveyed (Fig. 1b, Table 1a). Only one mussel species was present at
260 all but three sites, where two species were found. In total, this amounted to 30 freshwater
261 mussel populations (i.e. 30 species-site occurrences). For six of these populations, only recently
262 dead specimens were found but presence of live mussels within the past year (possibly
263 inaccessible at time of sampling) was confirmed by locals.

264 A total of 28 COI sequences were obtained from sampled specimens (Table 2).
265 Combined with the morphological identifications, all sampled freshwater mussel populations
266 (including dead shells) accounted for one native, *Rectidens sumatrensis*, and one non-native
267 species, *S. woodiana*.

268 **3.3. Present spatial distribution and genetic structure of populations**

269 *Rectidens sumatrensis* was found at 15 sites from four river basins in Sarawak (Table
270 1, Fig. 1). The 17 COI-sequences obtained from these populations combined with the only
271 other four available sequences from this species, all of which from the Perak river in Peninsular
272 Malaysia (Zieritz et al., 2016), represented seven unique haplotypes. Genetic geographic
273 structure was high among the main basins with low intra-basin diversity based on haplotype
274 network and *p*-distance values within and among populations (Fig. 2a, Table 2a). The only
275 exception was the Rajang basin, which appeared as divided into two clusters with haplotypes
276 from the upper Rajang being more similar to haplotypes from the Suai basin than with the
277 specimens from the lower Rajang (Figs. 2a and c). As expected, highest divergence was found
278 between the Perak population and all Sarawakian populations (Fig. 2a, Table 2a).

279 *Sinanodonta woodiana* was the most widely distributed species, particularly common
280 across northern Sabah, but also found in the Suai basin in Sarawak. In total, the species was
281 found at seven river basins and 15 sites (Table 1, Figs 1 and 2c). The 11 sequences obtained
282 from these populations combined with 14 sequences for this species from across Peninsular
283 Malaysia (Zieritz et al., 2016) and two sequences from the island of Flores (Indonesia)
284 represented ten unique haplotypes. No genetic geographic structure was detected based on COI
285 haplotype network and *p*-distance values within and among populations (Fig. 2b and c, Table
286 2b). Of the five haplotypes occurring in the study area, all were present in Sabah. Three of
287 these were unique to Sabah, one was also found in Peninsular Malaysia, and one was found
288 both in Peninsular Malaysia and Sarawak, respectively.

289 **3.4. Present associations of freshwater mussels with water quality, climatic, landscape,**
290 **soil and human parameters**

291 All of the *R. sumatrensis* populations were found in rivers, whereas 40% of *S. woodiana*
292 populations were sampled from lakes or ponds. Out of 47 potential predictors of
293 presence/absence of freshwater mussels, 17 variables were selected for modelling in a three-
294 step process as explained in the Methods section. The selected predictors were seven indicators
295 of water quality (Chl *a*, TDS, OSS, water pH, SRP, K and TAN), three climatic (annual mean
296 and range of temperature, precipitation of the wettest quarter), three landscape (organic carbon
297 content and % silt in soils, topographic roughness) and four human-related (% of anthropogenic
298 land cover in a 10x10km cell, distance to transportation networks, dams and human
299 populations).

300 High concentrations of chlorophyll *a* (Chl *a*), total dissolved solids (TDS), total
301 ammoniacal nitrogen (TAN) and pH in the water were associated negatively with the
302 occurrence of the native species and positively with the non-native in univariate GAMs (Fig.
303 3). Conversely, high precipitation and organic content of soils favour the native species over
304 the non-native. Finally, human activities represented by the proportion of anthropogenic land
305 cover, proximity to transportation networks (i.e. roads) and human populations increase the
306 probability of presence of *S. woodiana* and decrease the probability of presence of *R.*
307 *sumatrensis*.

308 R^2 was 0.49 ($R_{adj.} = 0.33$) in the RDA model, with the first two axes accounting for 97%
309 variation in the dataset (Fig. 4). RDA1 was predominantly related to K^+ -concentration in the
310 water, separating sampling sites void of mussels (low K^+ -concentrations) from sites inhabited
311 by either native or non-native mussels (high K^+ -concentrations; Tukey HSD test, $P < 0.0001$).
312 RDA2, on the other hand, was positively associated with water quality (negative correlation
313 with Chl *a*, TAN, TDS, water pH), proportion of silt in the soil, precipitation, and distance to

314 transportation and human populations. This axis clearly separated sites inhabited by native and
315 non-native mussels, respectively (Tukey HSD test, $P < 0.001$).

316 The land-use of sites currently occupied by *R. sumatrensis* has considerably changed
317 over time: in 1950, 100% of sites were located on forested lands, but in 2000 53% have been
318 converted into croplands. The change is even more intensive for sites colonised by *S. woodiana*,
319 predominantly transformed to croplands (60%) and urban areas (20%).

320

321 **4. Discussion**

322 **4.1. Past and present freshwater mussel diversity and distribution in northern Borneo**

323 Based on historical records, at least five freshwater mussel species are native to the
324 study area in northern Borneo, which additionally has been colonised by the non-native *S.*
325 *woodiana* (Table 1). However, despite intensive and wide-ranging sampling efforts in 2016,
326 four of the five native species (*Ctenodesma borneensis*, *Pressidens exanthematicus*, *Pseudodon*
327 *walpolei* and *Schepmania niewenhuisi*) were not detected, indicating that these species have
328 become very rare or even extirpated in the study area. All these species are considered endemic
329 to Borneo.

330 More survey work, which should ideally include the deeper parts of rivers and lakes
331 using grabs, dredges and/or scuba diving, is required to confirm the absence of these species in
332 and beyond the study area. That said, local extirpation of one or more of these Bornean endemic
333 freshwater mussel species would not be surprising. Historical records for these species are very
334 scarce and old, dating back at least 50 years, with the exception of one record of *S. niewenhuisi*
335 from 2003 (Table 1, Fig. 1). This suggests that these species may not have been particularly
336 common even before any significant alterations to their habitats had occurred. Since the 1970s,
337 the primary forests of Sarawak and Sabah have been logged at an unprecedented rate (Marsh

338 and Greer, 1992; Reynolds et al., 2011). As a result, in 2013, merely 8% and 3% of land area
339 in Sabah and Sarawak, respectively, were covered by intact forests (Bryan et al., 2013).
340 Lowland areas, which provide important habitats for freshwater mussels in Peninsular
341 Malaysia (Zieritz et al., 2016), have been particularly badly affected due to their accessibility.
342 Deforestation in Borneo is known to have major effects on river ecosystems by increasing bank
343 erosion and sediment yield, particularly of fine sediments, as well as altering pH, oxygen and
344 other water quality parameters (Douglas et al., 1992; Chappell et al., 1999; Nor Zaiha et al.,
345 2015). This has been shown to result in a decrease of both abundance and diversity of the
346 benthic fauna and flora (Iwata et al., 2003; Lorion and Kennedy, 2009a). In the same time span,
347 the hydrological regime of several rivers in the region, such as the Padas and the Rajang, have
348 been substantially altered by hydroelectric dams (Hakim, 2009). Considering the known effects
349 of impoundments, deforestation and land-use change on sedimentation and physico-chemical
350 characteristics of rivers, particularly in the tropics (Douglas et al., 1992; Chappell et al., 1999;
351 Nor Zaiha et al., 2015), it is conceivable that the profound alteration of their habitat has led to
352 local extirpation of these mussel species.

353 The only native freshwater mussel species of northern Borneo that retained a
354 considerable number of populations belongs to the genus *Rectidens*. However, contrary to
355 previous assumptions that the Bornean *Rectidens* populations represent an endemic species,
356 *Rectidens lingulatus* (Haas, 1969; Graf and Cummings, 2015), molecular data showed that all
357 the Bornean populations belong to the same species that inhabits parts of the Perak river in
358 Peninsular Malaysia, *Rectidens sumatrensis* (Zieritz et al., 2016). Consequently, there is no
359 *Rectidens* species endemic to Borneo, and *Rectidens lingulatus* (Drouet & Chaper, 1892) has
360 to be synonymised with *Rectidens sumatrensis* (Dunker, 1852). Our work represents first
361 records of *R. sumatrensis* in the Rajang, Kemena and Suai basins (Table 1).

362 Besides *R. sumatrensis*, the non-native *S. woodiana* was the only other freshwater
363 mussel species we could confirm for northern Borneo. Contrary to most native species, *S.*
364 *woodiana* has spread considerably across northern Borneo over the past decades. Previously
365 recorded only once from a market in Sabah about 10 years ago (Bogan and Schilthuizen, 2005),
366 it is now present in at least six Sabahan and one Sarawakian river basins (Table 1). A similarly
367 rapid spread of this species has been observed in Peninsular Malaysia (Zieritz et al., 2016). The
368 ongoing spread of *S. woodiana* across Malaysia and probably much of remaining Sundaland
369 has, in all likelihood, been driven by intentional introductions as a food source and for
370 ornamental purposes (pers. obs.).

371 **4.2. Phylogeographic patterns and conservation genetics**

372 *Rectidens sumatrensis* COI haplotypes showed a strong geographic structure across
373 river basins, with relatively low diversity within basins, as would be expected for populations
374 inhabiting basins that have been separated for millions of years (de Bruyn et al., 2014). The
375 fact that *R. sumatrensis* from the upper Rajang basin were more similar to haplotypes from the
376 Suai basin than to those from the lower Rajang might suggest that the Suai basin used to be
377 connected in the past with the middle reaches of the Rajang (Fig. 2c). The relatively high
378 divergence between Peninsular Malaysian and Bornean populations might suggest the
379 existence of two subspecies. However, sampling and sequencing of populations from the
380 western range of this species' distribution, Sumatra and Java, will be required to fully answer
381 this question.

382 In contrast to *R. sumatrensis*, *S. woodiana* did not exhibit any clear geographic structure
383 of haplotypes. Two haplotypes present in Borneo were shared with Peninsular Malaysian
384 populations. This pattern indicates that this species may have been repeatedly introduced to
385 Borneo (and Peninsular Malaysia) and moved around within the island. Whilst *S. woodiana* is
386 generally believed to be native to the Yangtze basin in China, the available Sundaland

387 sequences do not closely match any of the *S. woodiana* sequences available on Genbank to
388 date. The question of the exact origin of these introduced populations as well as whether this
389 tropical invasive lineage in fact represents a separate species to the temperate invasive lineage
390 (*sensu* Bolotov et al., 2016) thus remains unanswered.

391 **4.3. Environmental requirements and present threats to mussel species in northern**

392 **Borneo**

393 Zieritz et al. (2016) recently showed that over the past 50 years, *R. sumatrensis* suffered
394 a substantial contraction in distribution in Peninsular Malaysia. Whilst *R. sumatrensis* used to
395 inhabit the Pahang as well as the Perak basins on the peninsula, surveys in 2015 confirmed the
396 species' presence only from a single site in Perak (Zieritz et al., 2016). The present dataset does
397 not indicate a similar range contraction of *R. sumatrensis* in Borneo, though this may in part
398 be an artefact due to the restricted historical distribution data from the island. Our dataset
399 significantly adds to our understanding of the ecological requirements of *R. sumatrensis*, which
400 appears to be restricted to lowland rivers, is particularly sensitive to eutrophication and requires
401 a pH close to 7 (Figs 3 and 4). Eutrophication, acidification and alkalisation of freshwaters
402 are linked to ongoing human disturbances in the region, such as deforestation, pollution, land-
403 use change and fertilisation (Rodhe et al., 1988; Dudgeon, 2000; Luke et al., 2017). As a result,
404 the probability of presence of *R. sumatrensis* populations increases with increasing distance to
405 human activities (represented in Figs 3 and 4 by anthropogenic land-use, and proximity to
406 transportation networks and human populations). It thus appears that the same factors that have
407 apparently led to a substantial decrease and potential extirpation of up to four endemic Bornean
408 freshwater mussels (see above) are continuing to threaten the remaining populations of *R.*
409 *sumatrensis* in Borneo. Whilst our data suggest that *R. sumatrensis* may not be adversely
410 affected by dams, this observation needs to be more thoroughly tested in higher-resolution
411 studies at the reach scale. A better understanding of the effects of dams on mussels in tropical

412 rivers is particularly urgent considering the number of large, controversial dams that are
413 planned for the region despite the fact that the majority of the existing dams are not operating
414 at full capacity due to moderate local energy demands (Hakim, 2009).

415 As in Peninsular Malaysia (Zieritz et al. 2016), *S. woodiana* occurs in a wide variety of
416 habitats in Borneo, ranging from concrete ponds with very high nutritional content to fishing
417 ponds, small streams and medium-sized rivers. The species showed a clear affinity to human
418 activities, and populations were generally located close to roads and villages (Figs 3 and 4).
419 Environmental data sampled at sites in northern Borneo confirmed the wide ecological niche
420 and tolerance to pollution of this species, which was found at pH levels up to 9.2, turbidity
421 levels up to 140 mg L⁻¹, organic suspended solids up to 42 mg L⁻¹, Chlorophyll *a* up to 140 µg
422 L⁻¹, dissolved phosphate up to 700 µg L⁻¹ and total ammoniacal nitrogen up to 1020 µg L⁻¹
423 (Table S2).

424 Contrary to Europe and North America, where efforts are in place to minimise the
425 spread and effects of harmful invasive non-native species (Gallardo et al., 2016; Zieritz et al.,
426 2017 and references therein), no similar initiatives exist in Sundaland and most other parts of
427 Asia. The rate of – mainly deliberate - introduction of non-native fish species to Malaysia has
428 greatly increased over recent decades, causing serious ecological and economic harm (Rahim
429 et al., 2013). Since most freshwater mussels require a fish host during their larval stage, the
430 associated loss of native fish populations have been shown to indirectly lead to the loss of
431 native freshwater mussel populations (Douda et al., 2013).

432 The threat posed by *S. woodiana* to native *R. sumatrensis* populations may currently be
433 limited due to the distinct distribution and ecological niches of the two species. However,
434 intentional spread such as we observed in Sabah could pose a significant threat to *R.*
435 *sumatrensis* in the future. *R. sumatrensis* populations in the Suai river are already threatened

436 by sympatrically living *S. woodiana*, which can outcompete native mussel populations in
437 disturbed habitats (Paunovic et al., 2006; Sousa et al., 2014).

438 **4.4. Conclusions**

439 The present study indicates that northern Borneo's freshwater mussel fauna is severely
440 threatened, with four out of five native species being very rare and possibly already extirpated
441 from the study area. In order to identify any remaining populations of these species, all of which
442 are considered endemic to Borneo, detailed surveying of river basins within our study area that
443 we were not able to survey in the current work, as well as those adjacent to our study area (e.g.
444 middle and lower reaches of the Kinabatangan and Sarawak rivers), is urgently needed.
445 Freshwater habitats in Brunei, which has retained unlogged forest across about 54% of its land
446 area (Bryan et al., 2013), might be of particular importance in this respect. Considering the
447 difficult sampling conditions in Northern Borneo, novel molecular tools such as environmental
448 DNA (Jerde et al., 2011) might be crucial in detecting rare species. If surviving populations of
449 these species are found in the future, the respective reaches and river basins should be placed
450 under immediate protection. This should be accompanied by in depth studies on the species'
451 habitat requirements, including the identification of host fish species.

452 *Rectidens sumatrensis* is the most abundant native freshwater mussel species of
453 northern Borneo. Nevertheless, the species is currently known from only one river basin in
454 Peninsular Malaysia and four basins in Borneo, respectively. Comparison with historical data
455 for this species, which include records from the Pahang river in eastern Peninsular Malaysia as
456 well as an undefined location in Singapore, indicates a severe decrease in range of *R.*
457 *sumatrensis* in mainland Sundaland. Combined with its apparent sensitivity to eutrophication
458 and elevated turbidity levels, efforts should be directed towards minimising these disturbances
459 to remaining *R. sumatrensis* populations. Based on the molecular data available to date, we

460 propose four distinct management units for this species: (1) Perak, (2) lower Rajang/Kemena,
461 (3) Suai and upper Rajang, and (4) Baram basins.

462 In the above mentioned basins, riparian buffers should be established for rivers passing
463 through agricultural and residential lands, as they have shown to be effective in considerably
464 reducing loads of nutrients and sediment from runoff (Lee et al., 2000; Gomi et al., 2006;
465 Lorion and Kennedy, 2009b). Buffers have been shown to at least partly maintain fish species
466 richness and functional diversity in a palm plantation in Kalimantan (Giam et al., 2015).
467 Measures to mitigate adverse effects of dams on river fauna include modifying dam operations
468 to provide more natural flows (Bednarek and Hart, 2005; Williams, 2008). The Suai basin unit
469 is of particular concern due to its location within a palm oil plantation and the sympatric
470 presence of *S. woodiana*. Halting or slowing down the spread of *S. woodiana* would require a
471 campaign to inform the public about the potential threats this and other non-native species
472 might pose to Borneo's unique freshwater biodiversity.

473 Our observation on declining freshwater mussel diversity in Borneo is in line with
474 previous observations on declining fish and crab diversity in the region, whilst data on other
475 invertebrate groups are rare (Dudgeon, 2000; Cumberlidge et al., 2009). Considering that
476 freshwater mussels are recognised ecosystem engineers and predictors of benthic invertebrate
477 diversity (Chowdhury et al., 2016), the observed decline in mussel biodiversity is likely to
478 coincide with a loss of diversity in other taxonomic groups. Protection of the remaining
479 freshwater biodiversity on this unique island will require a better understanding of the spatial
480 distribution of this diversity and endemic species across taxa. This could be achieved through
481 comprehensive surveys targeting an array of invertebrate and vertebrate taxa, which would
482 allow identification of freshwater biodiversity hotspots, where conservation efforts should be
483 concentrated.

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494

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Tables

Table 1. Conservation status and distribution data of freshwater mussel species that have been recorded in (a) the study area in Northern Borneo or (b) only from other parts of Malaysian Borneo.

Species name	IUCN Conservation status	Number of records in Borneo (historic / 2016)	Presence outside Borneo	Presence in different regions of Borneo and approximate decade sampled last before this study					Presence in each river basin (from west to east, see Fig. 2(c) for location of basins)															
				Sarawak	Sabah (mainland)	Brunei*	Banggi Island (Sabah)*\$	Kalimantan*\$	Sg. Sarawak*\$	Sg. Rajang	Sg. Kemena	Sg. Suai	Sg. Niah*	Sg. Baram	Sg. Limbang*	Sg. Padas	Sg. Sembakung	Sg. Padang	Sg. Tuaran	Sg. Waru	Sg. Bongan	Sg. Kinabatangan	Sg. Tungku*	
(a) Species recorded from the study area (see Fig. 1 for extent of study area)																								
<i>Ctenodesma borneensis</i> (Issel, 1874)	NA	10/0	-	H ¹⁹⁴⁰	H ¹⁹⁶⁰			H ¹⁸⁶⁵																H
<i>Pressidens exanthematicus</i> (Küster, 1861)	NA	2/0	-			H ¹⁹²⁰																		
<i>Pseudodon walpolei</i> (Hanley, 1871)	NA	15/0	-	H ¹⁹⁵⁰		H ¹⁹²⁰			H															

<i>Rectidens sumatrensis</i> ¹ (Dunker, 1852)	DD	22/15	PM, SU, JA	B ¹⁹⁸⁸			C	C	C	H	B	H							H	
<i>Schepmania niewenhuisi</i> (Schepman, 1898)	NA	6/0	-		H ²⁰⁰³		H ¹⁹⁰⁰						H						H	H
<i>Sinanodonta woodiana</i> (Lea, 1834)	LC	1/15	wide-spread non-native	C	B ²⁰⁰³				C				C	C	C	C	C	C		

(b) Species not recorded from study area but other parts of Malaysian Borneo

<i>Pressidens insularis</i> (Drouet, 1894)	NA	5/0	-				H ¹⁹³⁰													
<i>Pseudodon crassus</i> Drouet & Chaper, 1892	NA	2/0	-	H ¹⁸⁹²					H											
<i>Schepmania parcesculpta</i> (Martens, 1903)	NA	3/0	-		H ¹⁹⁶⁴		H ¹⁹⁰⁸													H
<i>Simpsonella gracilis</i> (Lea, 1850)	NA	1/0	PH				H ¹⁸⁵⁰													
Total		67/30																		

* not surveyed in 2016

\$ outside of study area

¹ Bornean populations previously considered to represent a separate species *Rectidens lingulatus* (see text)

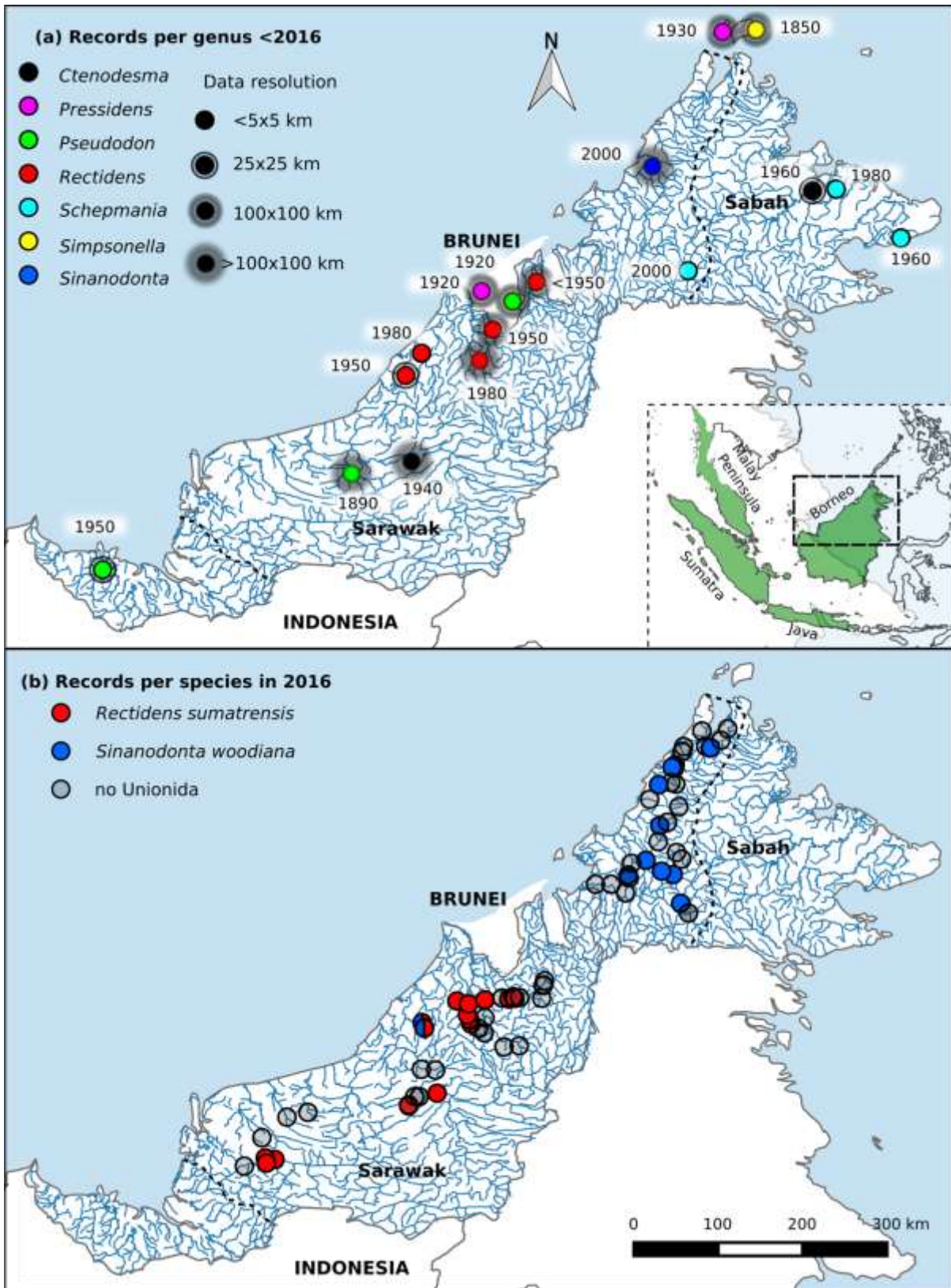
Abbreviations: B, taxon recorded both historically and in current assessment; C, taxon recorded only in current assessment; DD, data deficient; H, taxon recorded only historically; IUCN, International Union for Conservation of Nature; JA, Java; LC, least concern; NA, not assessed; PH, the Philippines; PM, Peninsular Malaysia; SU, Sumatra

Table 2. Pairwise genetic COI gene fragment distance matrix of (A) *Rectidens sumatrensis* and (B) *Sinanodonta woodiana* within and between river basins in Malaysia listed from west to east with Peninsular Malaysian and Bornean Malaysian basins separated by dashed line (uncorrected *p*-distance first column and below diagonal, and standard deviation second column and above diagonal, respectively).

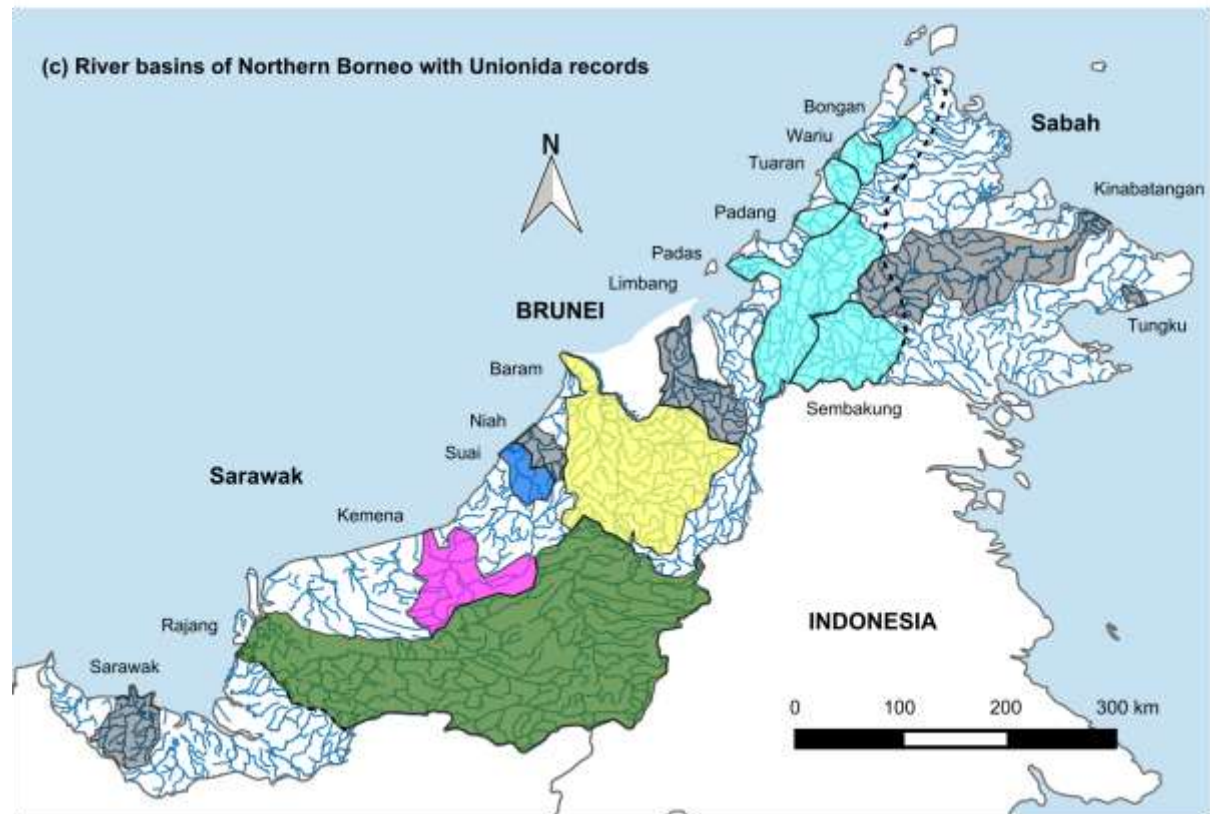
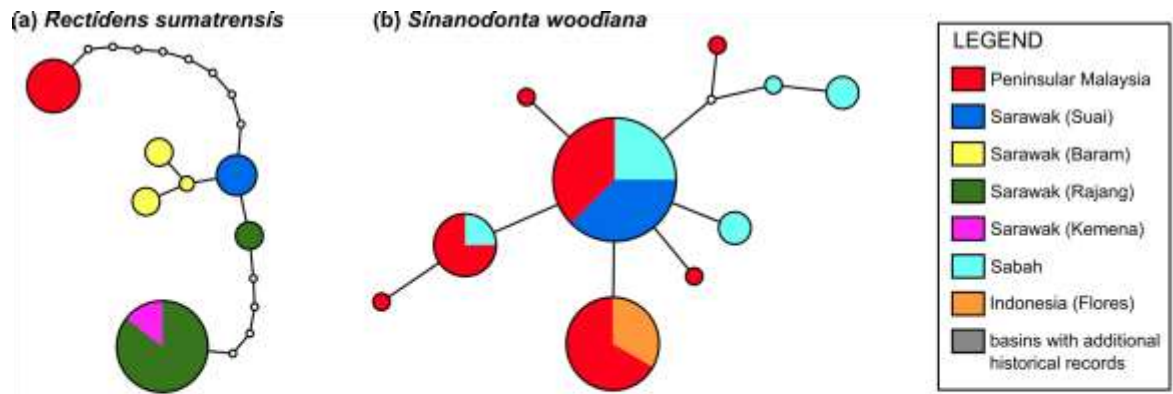
(a) <i>Rs</i>	Genbank Accession Number(s)	Intra-basin			Inter-basin			
		<i>p</i> -dis	SD	Perak	Rajang	Kemena	Suai	Baram
Perak	KX051312-14, KX822664	0	0		0.005	0.006	0.005	0.005
Rajang	MG591492-99	0.004	0.001	0.021		0.001	0.003	0.004
Kemena	MG591500	-	-	0.022	0.002		0.004	0.004
Suai	MG591501-03	0	0	0.015	0.008	0.010		0.002
Baram	MG591504-08	0.001	0.001	0.017	0.010	0.012	0.002	

(b) <i>Sw</i>	Genbank Accession Number(s)	Intra-basin				Inter-basin													
		<i>p</i> -dis	SD	Perlis	Kedah	Perak	Tengi	Selangor	Langkat	Kesang	Semerak	Terenngganu	Pahang	Suai	Padas	Tuaran	Wariu	Sembakung	Bongan
Perl.	KX051323, KX051326, KX051327	0.002	0.002		0.002	0.002	0.001	0.004	0.001	0.001	0.001	0.004	0.002	0.001	0.003	0.002	0.001	0.003	0.001
Keda.	KX051322	-	-	0.002		0.000	0.003	0.005	0.003	0.003	0.003	0.005	0.002	0.003	0.005	0.000	0.003	0.005	0.003
Pera.	KX051319	-	-	0.002	0.000		0.003	0.005	0.003	0.003	0.003	0.005	0.002	0.003	0.005	0.000	0.003	0.005	0.003
Tengi	KX051325	-	-	0.001	0.004	0.004		0.003	0.000	0.000	0.000	0.003	0.002	0.000	0.003	0.003	0.000	0.003	0.000

Selan.	KX051315	-	-	0.005	0.007	0.007	0.004		0.003	0.003	0.003	0.005	0.004	0.003	0.005	0.005	0.003	0.005	0.003
Lang.	KX051324	-	-	0.001	0.004	0.004	0.000	0.004		0.000	0.000	0.003	0.002	0.000	0.003	0.003	0.000	0.003	0.000
Kesa.	KX051318, KX051321	0	0	0.001	0.004	0.004	0.000	0.004	0.000		0.000	0.003	0.002	0.000	0.003	0.003	0.000	0.003	0.000
Seme.	KX051316	-	-	0.001	0.004	0.004	0.000	0.004	0.000	0.000		0.003	0.002	0.000	0.003	0.003	0.000	0.003	0.000
Teren.	KX051328	-	-	0.005	0.007	0.007	0.004	0.007	0.004	0.004	0.004		0.004	0.003	0.005	0.005	0.003	0.005	0.003
Paha.	KX051317, KX051320	0.004	0.003	0.002	0.002	0.002	0.002	0.005	0.002	0.002	0.002	0.005		0.002	0.004	0.002	0.002	0.004	0.002
Suai	MG591509- 11	0	0	0.001	0.004	0.004	0.000	0.004	0.000	0.000	0.000	0.004	0.002		0.003	0.003	0.000	0.003	0.000
Pada.	MG591512- 14	0	0	0.005	0.007	0.007	0.004	0.007	0.004	0.004	0.004	0.007	0.005	0.004		0.005	0.003	0.000	0.003
Tuar.	MG591515	-	-	0.002	0.000	0.000	0.004	0.007	0.004	0.004	0.004	0.007	0.002	0.004	0.007		0.003	0.005	0.003
Wari.	MG591516- 17	0	0	0.001	0.004	0.004	0.000	0.004	0.000	0.000	0.000	0.004	0.002	0.000	0.004	0.004		0.003	0.000
Semb.	MG591518	-	-	0.005	0.007	0.007	0.004	0.007	0.004	0.004	0.004	0.007	0.005	0.004	0.000	0.007	0.004		0.003
Bong.	MG591519	-	-	0.001	0.004	0.004	0.000	0.004	0.000	0.000	0.000	0.004	0.002	0.000	0.004	0.004	0.000	0.004	



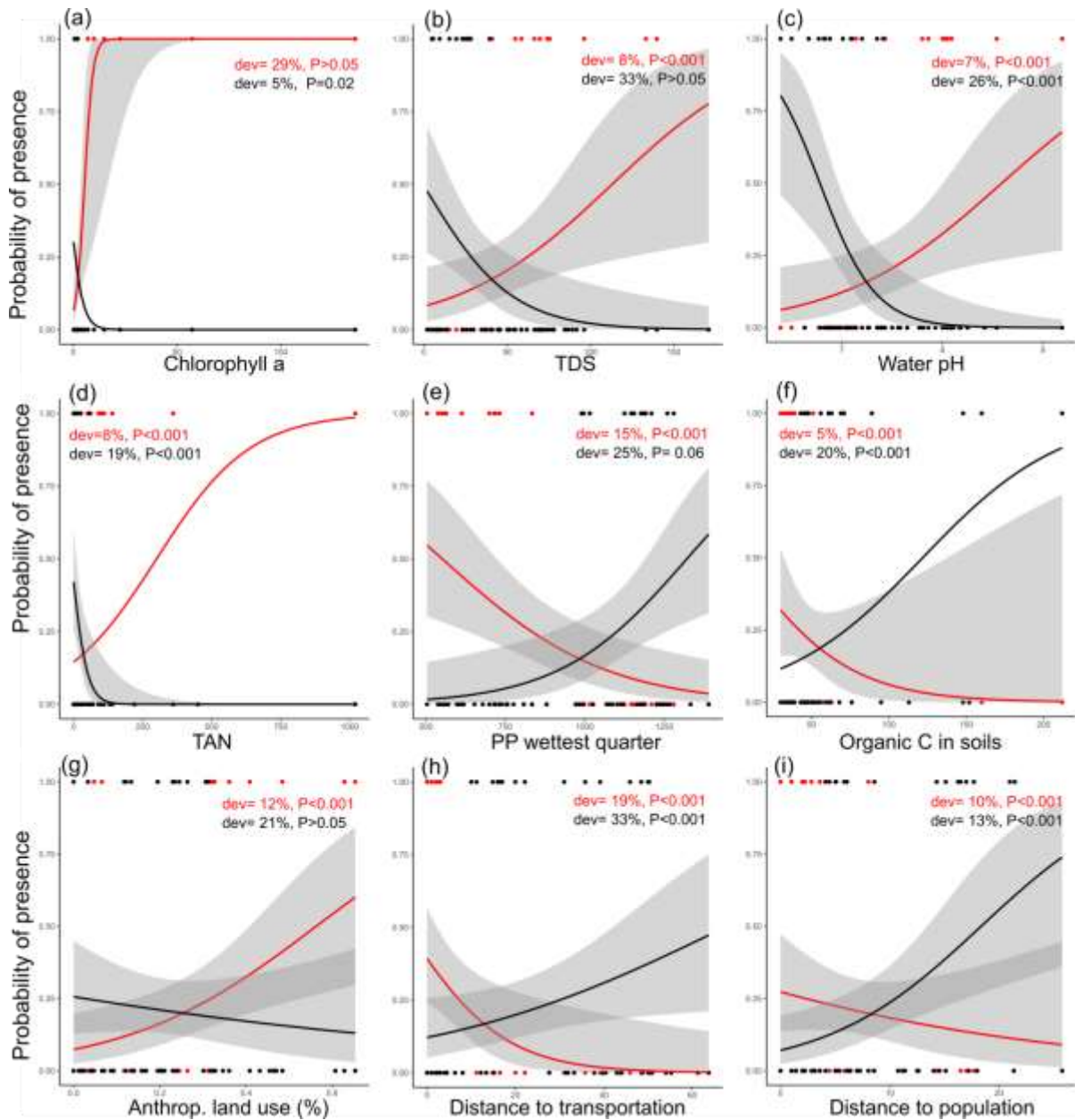
275 **Figure 1.** Distribution records per freshwater mussel genus/species across Malaysian Borneo
276 collected (a) before 2016, including decade of most recent record, and (b) in the course of the
277 present study in April, July and August 2016. Insert shows position of study region within
278 Sundaland (green area). Dashed lines indicate western and eastern borders of study area.
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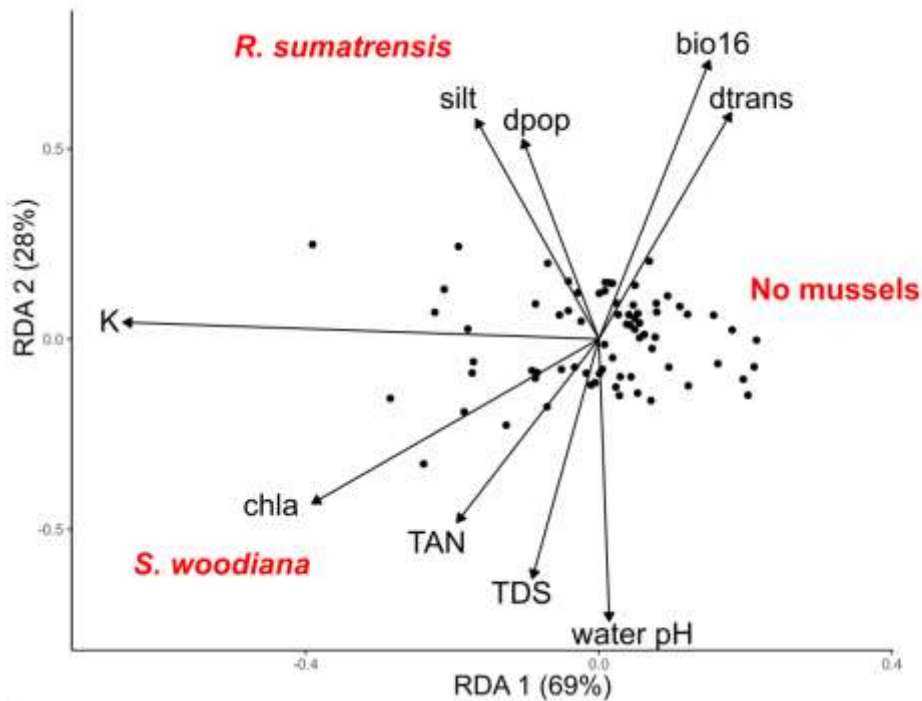
281 **Figure 2.** COI-Haplotype networks of (a) *Rectidens sumatrensis* (21 sequences) and (b)
 282 *Sinanodonta woodiana* (27 sequences) populations of Sundaland and Wallacea. Circle size is
 283 proportional to the observed haplotype frequencies, and white circles represent unobserved
 284 haplotypes and potential intermediates. (c) Location of northern Bornean river basins of
 285 analysed specimens and additional historical records. Dashed lines indicate western and eastern
 286 borders of study area. [colour should be used in print]

287



288

289 **Figure 3.** Response of the presence/absence of *Rectidens sumatrensis* (in black) and
 290 *Sinanodonta woodiana* (in red) to most important individual predictors identified in Fig. S3.
 291 The deviance explained and significance of each individual model is indicated in the upper
 292 right or left corner of each graph. See abbreviations of variables in Table S2.



293

294 **Figure 4.** Redundancy analysis showing the influence of water quality, environmental and
 295 human factors on species composition in Borneo waterbodies. Sampling sites are represented
 296 by black dots. The probability of presence of species increases in the direction of their
 297 respective centroids. Only variables showing a weight $\geq |0.40|$ in RDA1 or RDA2 are shown.

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