

1 Skin conductance responses to masked emotional faces are modulated by hit rate but not  
2 signal detection theory adjustments for subjective differences in the detection threshold

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## Abstract

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The biological preparedness model has been interpreted to suggest that survival and social communication related visual cues can elicit physiological changes without awareness to enable us to instantly respond to our environment. Previous studies that tested this hypothesis using skin conductance have reported some evidence for physiological changes in response to masked emotional faces. In the current paper, we argue that this evidence is subject to possible methodological confounds. These include the use of a universal masked presentation threshold (e.g. 16.67 ms), the employment of possibly biased criteria such hit rates to measure meta-awareness and the assertion of overall guess-level target detection using non-significance. In the current report, we attempt to address these issues and test whether masked emotional faces can elicit changes in physiology. We present participants with subjectively adjusted masked angry, fearful, happy and neutral faces using hit rates and signal detection theory measures. We assess detection performance using a strict Bayesian criterion for guess-level target meta-awareness. Our findings reveal that hit rate adjustments in the detection threshold allow higher skin conductance responses to happy, fearful and angry faces but that this effect could not be reported by the same participants when the adjustments were made using unbiased signal detection measures. Combined these findings suggest that very brief biologically relevant stimuli can elicit physiological changes but cast doubt to the extent that this effect can occur in response to truly unconscious emotional faces.

## Introduction

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In the last 30 years psychological research achieved technological and methodological advancements that enabled the scientific exploration of a very old and very interesting question (Freud, 1915): Can we *experience* unconscious emotion? Contemporary research in the area (Öhman & Soares, 1994) typically includes the presentation of very brief (6.25 to 83.33 ms) emotional stimuli (van der Ploeg et al., 2017) that are masked by neutral stimuli to render the masked targets consciously imperceptible (Bachmann & Francis, 2013). Participant responses to these targets are considered evidence for unconscious processing (Axelrod et al., 2015).

The theoretical foundation for this unconscious processing stems from what psychologists term the biological preparedness model (Mineka & Öhman, 2002; LeDoux, 2003). According to this model when we encounter particularly threat-related cues such as a threatening animal or a fearful face (Brooks et al., 2012) we recruit a fast-subcortical processing pathway to the amygdala (Liddell et al., 2006) that disseminates autonomic nervous system arousal (van der Ploeg et al., 2017). The purpose of this pathway is to allow us to *instinctively* adapt to important signals in our environment that require an imminent response by eliciting automatic and involuntary physiological changes (van der Ploeg et al., 2017).

Previous research tested this theoretical model using a variety of masking techniques (Bachmann & Francis, 2013) and reported some evidence in support of this proposition (van der Ploeg et al., 2017). Most previous studies (Esteves et al., 1994a; 1994b; Morris et al., 1998; Lapate et al, 2014) employed skin conductance recordings (SCR) to assess the effect because SCR is a measure of sympathetic autonomic nervous system arousal (Carlson, 2014) that can record physiological responses that are not under conscious regulation (Öhman,

78 2005) - such as fight or flight responses (Flykt et al., 2007) - and is also relatively  
79 impenetrable to parasympathetic nervous system arousal artefacts (Cacioppo et al., 2007).

80 For example, Williams and colleagues (2006) reported a significance trend ( $p = .08$ )  
81 for higher SCR in response to backwards masked fearful faces compared to neutral faces  
82 when presented for 16.67 ms but several follow-up studies failed to replicate this trend  
83 (Nielsen & Kaszniak, 2006; Codispoti et al., 2009). In more recent studies, Najstrom and  
84 Jansson (2007) reported that police officers (Mann et al., 2004; Correll et al., 2006;  
85 McCasslin et al., 2006) experience higher SCR in response to backwards masked threatening  
86 pictures for 6 ms compared to neutral pictures for 6 ms and Lapate and colleagues (2014) also  
87 reported significant findings for higher SCR and decreased liking ratings for subsequently  
88 presented neutral targets (see also Winkielman et al., 2005) when participants were presented  
89 with fearful faces using dichoptic masking (Maehara & Goryo, 2005)

90 These findings provide support for unconscious emotional processing (LeDoux,  
91 2003) but pose several possible limitations (Lähteenmäki et al., 2015; p. 2-5). The most  
92 important possible confound in previous research is the employment of a universal threshold  
93 for masked stimuli presentation (Pessoa et al., 2005a; 2005b). Previous studies presented  
94 masked stimuli for 6.25 to 83.33 ms (van der Ploeg et al., 2017) relying on that other  
95 previous studies reported that overall target meta-awareness - the ability to respond if a target  
96 was presented in a post-experimental or post-trial task (Erdelyi, 2004) - was not significantly  
97 different than chance.

98 A possible issue with this approach is that previous research has also reported  
99 between stimuli types (Calvo & Lundqvist, 2008) and between participants (Pessoa et al.,  
100 2005a; 2005b) differences in the ability to detect masked stimuli. For example, the happy  
101 face superiority effect (Calvo & Lundqvist, 2008; p. 113-115) posits that positively valenced

102 masked faces such as happy faces are detected more accurately than other masked emotions  
103 because they portray more easily distinguishable facial characteristics. It is additionally  
104 possible that participants will report subjective differences in meta-awareness for the  
105 presented stimuli (Pessoa & Adolphs, 2010). Previous studies have reported substantial  
106 groups of *overachievers* - that could reliably discriminate the presence of a masked fearful  
107 face at 16.67 and 33.33 ms - and *underachievers* - that could not discriminate the presence of  
108 a masked fearful face even at 67 ms (Pessoa et al., 2005a; 2005b; 2017). This casts doubt to  
109 the extent that a universal threshold that is not adjusted for per participant and stimuli type  
110 differences in target meta-awareness is sufficient for unconscious stimuli presentation.

111 Another possible issue is that previous research has reached a consensus in respect to  
112 unconscious processing as the inability to perform different than chance in discriminating or  
113 detecting a masked target (Pessoa et al., 2005a; 2005b). In this context, chance-level  
114 performance indicates that participants were guessing - that they were in a sense performing  
115 “like a blind person would” (Erdelyi, 2004; p .79) - and were not aware whether a face was  
116 presented or not (Stanislaw & Todorov, 1999). The main problem with this guess-level  
117 criterion is that it is commonly assessed using hit rates (Brooks et al, 2012) and almost  
118 unanimously asserted using non-significance to chance-level detection performance (Dienes,  
119 2015).

120 The possible limitation with using hit rates is quite straight-forward (Lähteenmäki et  
121 al., 2015). Participants can employ subjective strategies for replying for target meta-  
122 awareness. These strategies can be overly conservative - such as replying having seen a face  
123 only when they are beyond a shadow of a doubt certain a face was presented - or overly  
124 liberal - such as replying that they saw a face even when they are quite unsure if one was  
125 presented. This makes reporting chance-level performance using hit rates possibly  
126 unrepresentative of realistic target meta-awareness and previous research has strongly

127 recommended the employment of unbiased signal detection theory measures that can provide  
128 a ratio between correct (hits) and incorrect (false alarms) responses (Stanislaw & Todorov,  
129 1999) for the assessment of detection and discrimination tasks (Pessoa et al., 2005a; 2005b).

130         The issue with non-significance is that - irrespectively of using hit rates or signal  
131 detection theory - chance-level performance is asserted based on insufficient statistical  
132 analysis (Dienes, 2015). In simple terms, the methodological approach in previous research  
133 (Brooks et al., 2012) is the calculation of overall hit rate performance or signal detection  
134 theory performance ( $d'$ ,  $A'$ ,  $A''$ ,  $A$ ) and its comparison against absolute chance ( $HR = 50\%$ ,  
135  $d' = .0$ ,  $A' = .5$ ). In case of non-significant findings, the researchers claim unconscious  
136 processing. The problem with this approach is that overall performance being not  
137 significantly different to chance - lack of evidence for the alternate hypothesis - is interpreted  
138 as significantly at-chance - evidence for the null (Dienes, 2014; 2015). Further Bonferonni  
139 corrected pairwise comparisons are non-sensical because the alpha corrections operate in  
140 favour of unawareness (Overgaard et al., 2013). Previous research has suggested that  
141 Bayesian analysis should be undertaken to directly compare the null - evidence for chance  
142 level processing ( $B < 1/3$ ) - to the alternate hypothesis - significantly different than chance ( $B$   
143  $> 3$ ) in addition to frequentist approaches (Dienes, 2015) but research in the current field has  
144 not employed this method of assessment yet to assert unconscious processing (Van der Ploeg,  
145 2017).

146         Given these possible limitations the aim of the current study was to introduce the  
147 necessary methodological developments to establish unconscious presentation of emotional  
148 faces and test if unconscious emotional faces can elicit changes in physiology. To meet these  
149 objectives, we pre-experimentally adjusted for subjective differences in the detection  
150 threshold (Pessoa et al., 2005a; 2005b) using hit rate and non-parametric signal detection  
151 theory measures (Van der Ploeg et al., 2017) and assessed detection performance using

152 combined frequentist and Bayesian criteria for meta-awareness (Dienes, 2015). Then we used  
153 the pre-experimentally defined thresholds for masked stimuli presentation and explored if  
154 masked angry, fearful, happy and neutral faces can elicit changes in physiology using skin  
155 conductance recording.

## 156 **Methods**

### 157 **Participants**

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159 Twenty-five (fourteen female) participants gave informed consent to participate in the  
160 current study. Mean age was 32.9 (SD = 7.2). The exclusion criteria for the current study  
161 were history of head trauma, current or previous psychiatric diagnosis (self-report), and  
162 current or previous diagnosis of drug or alcohol abuse; self-report. The participants were  
163 screened with the Sphere-12 mood questionnaire (Hickie et al., 2001). Participants with  
164 scores at or below 1.0 were included. The participants were also screened using an on-line  
165 Alexithymia-Emotional Blindness questionnaire (Alexithymia, 2017) and participants with  
166 scores that indicated possible traits ( $P > 94$ ) or diagnosis ( $P > 112$ ) for alexithymia were  
167 excluded; data from a single participant were excluded from the study. We were able post-  
168 experimentally to contact several of the participants to acquire ethnic backwards information  
169 via mail. Most of the participants that took part in the pilot (British: 70.59%; Greek: 17.64 %;  
170 not responded: 11.76 %) and main experimental (British: 79.17 %; Italian: 12.5 %; Greek:  
171 8.33 %) stages were white Caucasians recruited and were tested in the university of  
172 Nottingham. The experiment was approved by the University of Nottingham, School of  
173 Psychology Ethical Research Committee.

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176 **Facial Stimuli**

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178           The facial stimuli were taken from the facial set created by Gur and colleagues  
179 (2002). A total of one-hundred photos per emotional category (angry, fearful, happy and  
180 neutral) were resized to a standard 1024x768 resolution, converted to greyscale and framed  
181 into pure white within a cropped circle (Height: 6 cm, Width: 4 cm). A total of 20 pattern  
182 blurs were also created, converted to greyscale, framed into pure white and framed within a  
183 cropped circle with the same dimensions using photoshop. Luminescence was averaged  
184 across all stimuli using Matlab SHINE.

185 **Stimuli pre-Selection**

186           The processed facial stimuli were preselected during a pilot pre-experimental stage.  
187 Processed faces were presented to a separate set of participants (n = 17) at fixation for one  
188 second preceded by a fixation cross for three seconds. Pretarget baseline and maximum  
189 deferral skin conductance (1-3 seconds) were recorded during the presentation. Seven  
190 seconds after each trial participants were assigned a stimuli classification, a stimuli intensity  
191 and a stimuli ambiguity engagement task. They were allowed six seconds to choose what  
192 emotion the presented face was expressing. They made this response using their keyboard,  
193 choosing from an on-screen list – angry (a), fearful (f), happy (h), surprised (s), neutral (n), or  
194 other (o). Subsequently, they were asked to rate from one (not at all) to ten (extremely) the  
195 ambiguity and intensity of the presented faces. The order of stimuli was randomised and  
196 participants were allowed six seconds to perform each task. An inter-trial blank screen period  
197 of eight seconds was used to allow skin conductance responses to return to baseline.

198           We ran two different stages of stimuli pre-selection. We selected angry, fearful, happy  
199 and neutral stimuli that produced strict alpha significance criterion ( $p \leq .01$ ) for correct  
200 classification of emotional valence. Surprised facial expressions (Tottenham et al., 2009)



201 were initially intended to be part of this study (Duan et al., 2010). These were not included  
 202 because the stimuli number that produced a statistically significant emotional type  
 203 recognition effect ( $n = 14$ ) during the first stimuli pre-selection stage was smaller than the  
 204 required number of stimuli ( $n = 30$ ). We chose from the available subset the thirty angry,  
 205 fearful and happy stimuli that reported the highest scores in a self-developed percentage  
 206 based metric (I.F. (%): Impact Factor) that took under equal consideration (50%) reports for  
 207 stimuli ambiguity and intensity, and maximum deferral skin conductance arousal (Appendix  
 208 1.1):

$$209 \text{ I.F. (\%)} = \left( \frac{(10 - \text{Amb}^1) + (\text{Int}^2)}{2} \right) * 50 + \left( \frac{\text{SCR Maximum Deferral}^3}{\text{Max \{SCR Maximum Deferral for Stimuli Type}^4\}} \right) * 50$$

210 The final stimuli set comprised of 30 angry, fearful and happy stimuli and a total of 60  
 211 Neutral faces. The faces were from both male (52.67%) and female actors (47.33 %). The  
 212 dataset (Gur et al., 2002) did not contain ethnic and cultural origin labels. The selected  
 213 stimuli were therefore, post-experimentally assessed using Noldus, Face Reader 6.1 (Noldus,  
 214 2017). The facial set comprised of Caucasian (58%), African (17.33 %) and Asian (15.33 %)  
 215 actors. A small number of the stimuli (9.33%) were reported as unknown-other or did not  
 216 provide a sufficient certainty report ( $\geq 85$  %) for ethnic origin. No further analysis was  
 217 conducted to explore cultural and ethnic origins effects for the current study (Tsikandilakis et  
 218 al., 2018; in preparation).

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<sup>1</sup> Amb: Ambiguity using a one (not at all) to ten (extremely) scale. This item is reversed (10 - x).

<sup>2</sup> Int: Intensity using a one (not at all) to ten (extremely) scale.

<sup>3</sup> SCR Maximum Deferral: Highest unambiguous increase of a phasic skin conductance response one to three second post stimulus with respect to pretarget baseline for the specific stimuli.

<sup>4</sup> Max {SCR Maximum Deferral for Stimuli Type}: The score for the stimuli with the highest unambiguous increase in phasic skin conductance response one to three second post stimulus with respect to pretarget baseline for the specific emotional stimuli category (angry, fearful or happy).

## 220 **Equipment and Programming**

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222           Two computers were used during the experimental stages; one for stimuli presentation  
223 and one for recording physiological arousal. The two computers were connected using a PCI  
224 parallel port adapter (latency < .1 s). Stimuli presentation was coded using the builder and  
225 code components in Psychopy v1.83 (Peirce, 2007). Stimuli were presented on an HD LED  
226 LENOVO monitor with 120 Hz (8.33 ms) refresh rate. An IO platform transmitted five-volt  
227 binary signals in five digital channels that distinguished stimuli type following signal onset.

## 228 **Stimuli Presentation Validation Testing**

229           A 4.17 ms refresh rate CANON G16 camera recorded a pilot run of the experiment  
230 and the presentation content was assessed frame by frame. No instances of dropped frames  
231 were found. A dropped frame report script with one frame (8.33 ms) tolerance threshold was  
232 coded in Python and two pilot experimental diagnostic sessions were run. The presenting  
233 monitor reported no dropped frames and the prognostic dropped frame rate was 1 in 5000  
234 trials. Experimental stages were subsequently run using dropped frames diagnostics and  
235 frame rate performance diagnostics of the stimuli presenting monitor. At no point during the  
236 running of the experiment were there any reports of dropped frames.

## 237 **Skin Conductance Recording and Analysis**

238           Skin conductance responses were measured from the left hand (index/first and  
239 middle/second fingers; Banks et al., 2012) of each participant using skin conductance  
240 electrodes with Biopac (Gel 101) skin conductance gel. The signals were received by a  
241 BIOPAC Systems, EDA100C preamplifier in units of microSiemens and recorded in  
242 *AcqKnowledge* (Braithwaite et al., 2013). We used the higher end of recommended

243 specification for recording skin conductance (EDA channel sample rate: 2 Khz; acquisition  
244 rate: 2000 samples/per-second; gain: x1000).

245 To make our data comparable with previous research that reported trends for  
246 significance or significant results in response to masked emotional faces (van der Ploeg et al.,  
247 2017) we used the exact same analysis parameters. The presence of a phasic skin  
248 conductance response was defined as an unambiguous increase (.01  $\mu$ S) with respect to each  
249 pretarget baseline occurring 1-3 seconds post stimuli offset. The raw signal was processed  
250 using the Derive Phasic EDA from Tonic and Dirac Delta ( $\delta$ ) functions. The data did not  
251 require additional smoothing, filtering or transformations (Braithwaite, 2013; p. 1027-29).  
252 Non-responders were included in the analysis.

### 253 **Stage One: Per Participant and Stimulus Type Detection Threshold**

254 Participants were invited in a laboratory space with controlled lighting and  
255 temperature. They were informed that they will be presented with brief emotional faces and  
256 they will be asked to decide how many faces were presented after each trial. During this  
257 stage, we presented a fixation cross for 3 ( $\pm$ 1) seconds in the middle of the screen. After the  
258 cross, an angry, fearful, happy, or neutral face or a matched for luminescence pattern blur  
259 was presented for 8.33 or 16.67 or 25 ms with backwards masking to a 108.33 ms neutral  
260 face. Twenty emotional faces for each duration, eighty pattern blur trials and fifteen neutral  
261 masks showing actors who were not part of the masked stimuli subset were presented in total.  
262 All stimuli were presented in randomised order. Five seconds after each trial an on-screen  
263 message asked participants to decide how many faces were presented on screen: “How many  
264 faces did you see? Please press 1 for one or 2 for two”. Participants were asked to reply  
265 using the keyboard with their right hand. This stage was performed seven days before and at  
266 the exact same time of day as stage two.

267 **Stage One: Data Processing**

268           The individual per stimulus type detection threshold was calculated separately using  
269 hit rates (percentage of true positives) and non-parametric signal detection theory (Zhang &  
270 Mueller, 2005). For each participant, the duration of presentation (8.33 or 16.67 or 25ms) that  
271 produced the smallest negative or positive overall detection performance difference to chance  
272 per stimulus type was imported separately for hit rates and signal detection theory measures  
273 to the main experiment (i.e. the duration for which the value of  $[0.5 - P_{\text{threshold}}]$  was closest to  
274 .5). When participants reported an equal distance to chance between two thresholds (e.g.  
275 16.67 ms: .45 and 35 ms: .55) the briefer duration was imported in the main stage.

276 **Stage Two: Physiological Arousal in Response to Hit rate and Sensitivity index adjusted**  
277 **Faces**

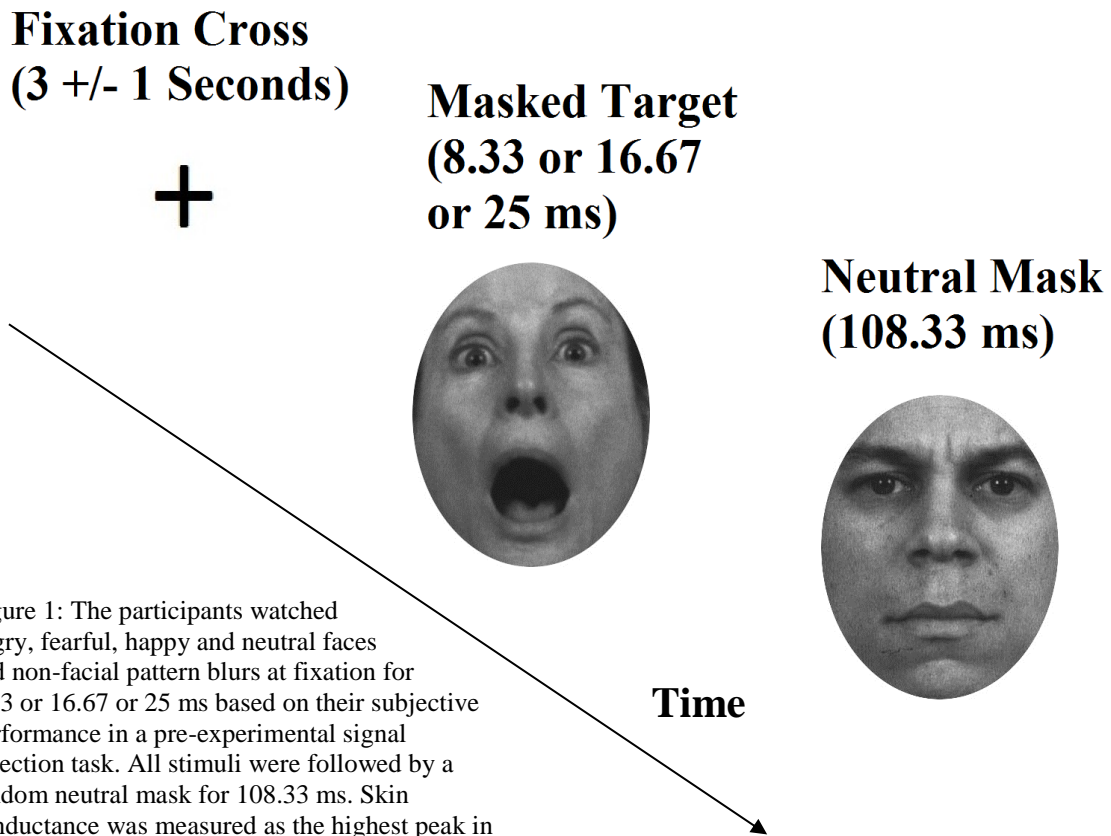
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279           Participants were invited to the same laboratory space under identical experimental  
280 conditions, including the same presenting monitor, response equipment, room temperature  
281 and room luminance. They were informed that they will be presented with brief emotional  
282 faces while their physiology is measured. They were asked to complete two fifteen-minute  
283 sessions with a five-minute interval break. In one of the sessions, participants watched  
284 masked emotional stimuli that were adjusted using hit rates for the duration of the masked  
285 targets. In the other session, participants watched masked emotional stimuli that were  
286 adjusted using signal detection theory for the duration of the masked targets. Session order  
287 was randomised.

288           In both sessions, we presented a fixation cross for  $3 (\pm 1)$  seconds in the middle of the  
289 screen. After the cross, an angry or fearful or happy or neutral face or a pattern blur was  
290 presented at fixation with backwards masking to a 108.33 ms neutral face (Figure 1). Five  
291 novel stimuli per emotional category and twenty pattern-blur trials were presented in total.

292 Fifteen neutral masks were presented in total showing actors who were not part of the masked  
293 stimuli subset for either neutral or emotional masked faces for stage one or two of the  
294 experimental process. All stimuli were presented in randomised order and skin conductance  
295 responses were measured during the presentation. The participants were not assigned with an  
296 engagement task during this stage. After each trial, an eight seconds blank interval screen was  
297 presented to allow physiology to return to baseline.

298 Figure 1: Example of Stimuli Sequence with Fearful Masked Target



299 Figure 1: The participants watched  
angry, fearful, happy and neutral faces  
and non-facial pattern blurs at fixation for  
8.33 or 16.67 or 25 ms based on their subjective  
performance in a pre-experimental signal  
detection task. All stimuli were followed by a  
random neutral mask for 108.33 ms. Skin  
conductance was measured as the highest peak in  
300 electrodermal response one to three seconds post  
301 stimuli offset.

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## Results

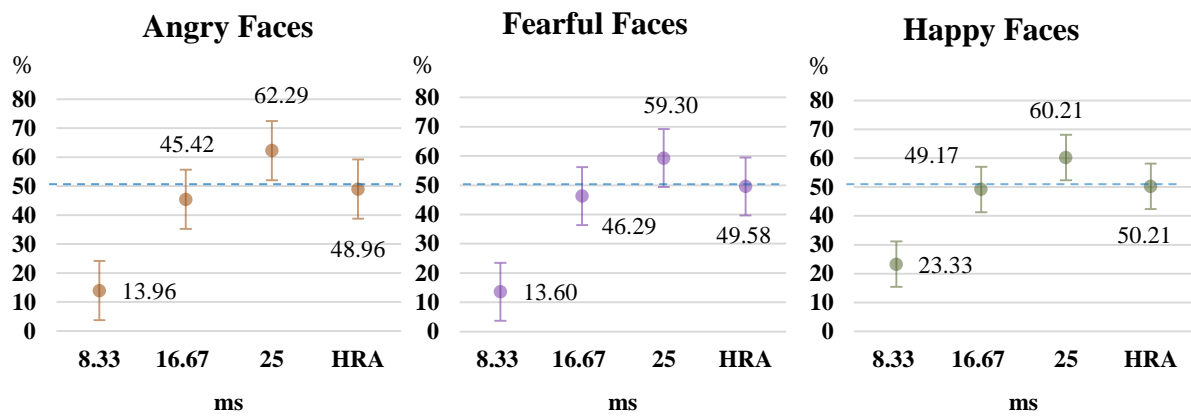
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### Stage One: Hit Rate Thresholds

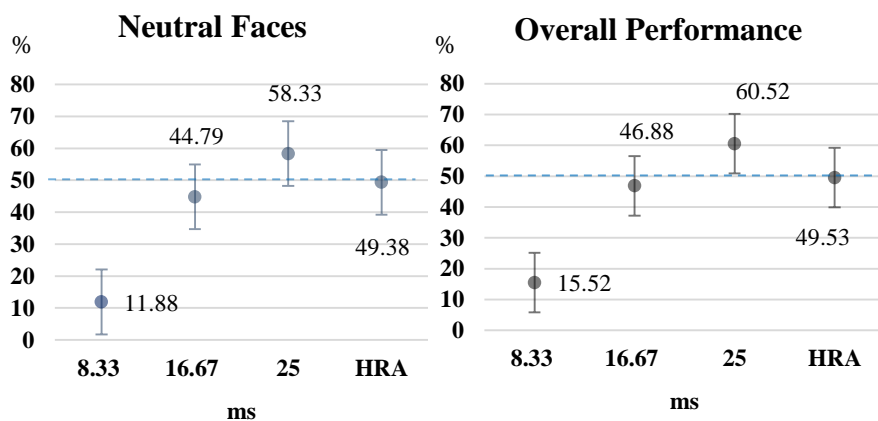
To explore if masked faces using hit rates were not-significantly different to chance we run one-sample t-tests against absolute chance-level performance (50%) for overall and per stimuli type target meta-awareness. Overall hit rate adjusted emotional faces ( $M = 49.53\%$ ,  $S.D. = 1.84\%$ ) were not significantly different to chance ( $t(23) = 1.25$ ;  $p = .22$ ). The same effect was reported separately for angry ( $M = 48.96\%$ ,  $S.D. = 4.89\%$ ;  $t(23) = 1.05$ ;  $p = .31$ ), fearful ( $M = 49.58\%$ ,  $S.D. = 3.88\%$ ;  $t(23) = .53$ ;  $p = .6$ ), happy ( $M = 50.21\%$ ,  $S.D. = 4.29\%$ ;  $t(23) = .29$ ;  $p = .81$ ) and neutral faces ( $M = 49.38\%$ ,  $S.D. = 3.39\%$ ;  $t(23) = .9$ ;  $p = .38$ ).

To further explore these results, a uniform Bayesian analysis corrected for degrees of freedom ( $df < 30$ ;  $SE = (SE \times (1 + \frac{20}{df \times df}))$ ) (Berry, 1996) was run using the Dienes calculator (2014; 2015). We set the higher and lower bounds for chance-level hit rate performance to a conservative  $-.5$  (45%) and  $.5$  (55%) criterion with 0 representing absolute chance-level performance. Overall hit rate performance ( $S.E. = .37$ ;  $B = .2$ ) was significantly at-chance. The same effect was reported for fearful faces ( $S.E. = .79$ ;  $B = .23$ ), happy faces ( $S.E. = .89$ ;  $B = .23$ ), neutral faces ( $S.E. = .8$ ;  $B = .26$ ) but not angry faces ( $S.E. = 1$ ;  $B = .43$ ) suggesting that the latter was the only type that was insensitive to both competing hypothesis (Figure 2; Individual Thresholds in Appendix 2.1).

328 Figure 2: Overall, per threshold and per Stimulus Type Detection Performance for Hit Rates  
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331 Figure 2: Overall and per stimulus type hit rate percentage performance for 8.33, 16.67, 25 ms and hit rate  
 332 adjusted faces (HRA). Midline indicates chance-level performance. Error bars for each score indicate Standard  
 333 Error of the mean.  
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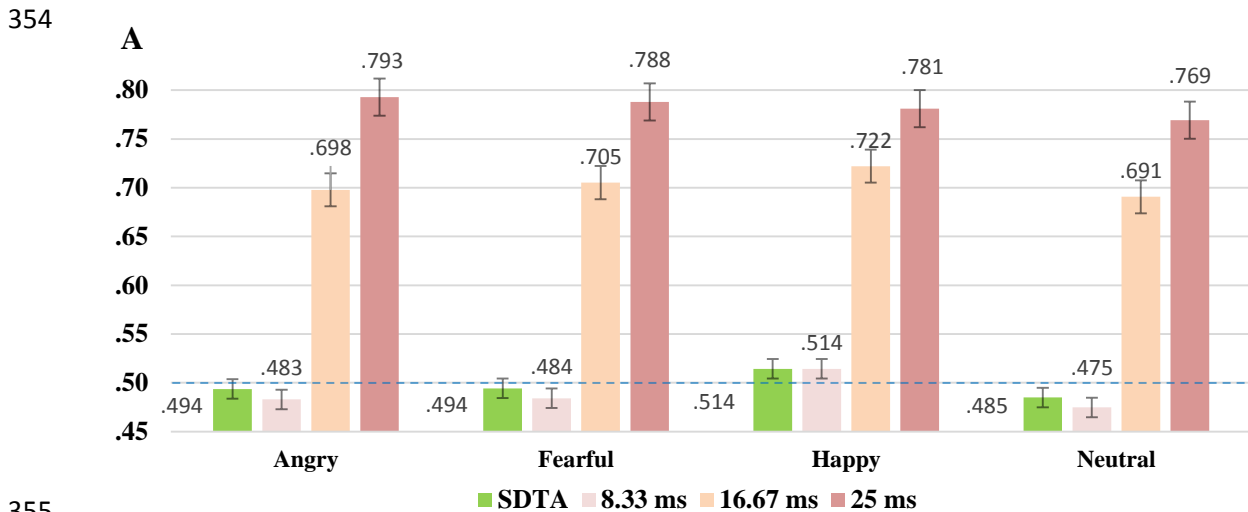
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336 **Stage One: Signal Detection Theory Thresholds**

337 To explore if masked faces using signal detection theory were not-significantly  
 338 different to chance we run one-sample t-tests against absolute chance-level performance (.5)  
 339 for overall and per stimuli type target meta-awareness. Overall signal detection theory  
 340 adjusted faces ( $M = .496$ ,  $S.D. = .037$ ) were not significantly different to chance ( $t(23) = .49$ ;  
 341  $p = .63$ ). The same effect was reported for angry ( $M = .494$ ,  $S.D. = .062$ ;  $t(23) = .55$ ;  $p =$   
 342  $.59$ ), fearful ( $M = .494$ ,  $S.D. = .061$ ;  $t(23) = .53$ ;  $p = .6$ ), happy ( $M = .514$ ,  $S.D. = .042$ ;  $t(23)$   
 343  $= 1.62$ ;  $p = .12$ ) and neutral faces ( $M = .485$ ,  $S.D. = .06$ ;  $t(23) = 1.22$ ;  $p = .24$ ).

344 To further explore these results, a uniform Bayesian analysis corrected for degrees of  
 345 freedom ( $df < 30$ ;  $SE = (SE \times (1 + \frac{20}{df \times df}))$ ) (Berry, 1996) was run using the Dienes calculator  
 346 (2014; 2015). We set the higher and lower bounds for chance-level signal detection theory  
 347 performance to a conservative -.5 and .5 criterion with 0 representing absolute chance-level  
 348 performance. Overall signal detection theory performance (S.E. = .008; B = .22) was  
 349 significantly at-chance. Fearful faces (S.E. = .013; B = .37) and angry faces (S.E. = .013; B =  
 350 .38) showed trends for at-chance level processing and happy faces (S.E. = .009; B = .73), and  
 351 neutral faces (S.E. = .013; B = .64) were insensitive to both competing hypothesis (Figure 3;  
 352 Individual Thresholds in Appendix 2.2).

353 Figure 3: Signal Detection Theory Performance per Emotion and for Adjusted Faces



356 Figure 3: Participant threshold for each masked emotional stimulus for the signal detection theory session in  
 357 stage two. SDTA refers to faces adjusted using signal detection theory (A) for the duration of masked stimuli  
 358 presentation.

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361 **Stage Two: Skin Conductance Responses**

362 To explore if hit rate adjusted emotional faces produced differences in skin  
 363 conductance a one-way repeated measures ANOVA was run with independent variable  
 364 Stimulus Type (angry, fearful, happy, neutral and pattern blur) and dependent variable  
 365 maximum deferral (1-3 seconds) skin conductance ( $\mu S$ ) for hit rate adjusted faces. A main



366 effect of Stimulus Type was reported ( $F(1.64, 37.72) = 57.69$ ,  $p < .001$ ;  $\eta^2 = .72$ ; Greenhouse-  
367 Geiser corrected). Bonferroni adjusted pairwise comparisons reported that SCR scores were  
368 significantly higher for angry faces ( $M = .034$ ,  $SD = .015$ ) than for happy ( $M = .018$ ,  $SD =$   
369  $.007$ ;  $p < .001$ ,  $d = 1.36$ ) and neutral faces ( $M = .01$ ,  $SD = .007$ ;  $p < .001$ ,  $d = 2.05$ ) and for  
370 the pattern blur condition ( $M = .01$ ,  $SD = .003$ ;  $p < .001$ ,  $d = 1.34$ ). SCR scores were also  
371 significantly higher for fearful faces ( $M = .045$ ,  $SD = .022$ ) than for angry ( $p < .01$ ,  $d = .58$ ),  
372 happy ( $p < .001$ ,  $d = 1.65$ ), neutral faces ( $p < .001$ ,  $d = 2.14$ ) and for the pattern blur condition  
373 ( $p < .001$ ,  $d = 2.22$ ). Happy faces were also higher for SCR than neutral faces ( $p = .001$ ,  $d =$   
374  $1.14$ ) and the pattern blur condition ( $p < .001$ ,  $d = 1.49$ ). Skin conductance responses were not  
375 significantly different between different stimulus types for signal detection theory adjusted  
376 emotional faces ( $F(2.47, 56.84) = 1.24$ ,  $p = .3$ ;  $\eta^2 = .05$ ; Greenhouse-Geiser corrected)  
377 suggesting that only hit rate adjusted angry, fearful and happy faces elicited higher skin  
378 conductance scores in the current experimental setup (see also Appendix 3.1).

379

## Discussion

380 In the current experimental design, we tested if subjective adjustments in the  
381 threshold of presentation for masked emotional faces can elicit skin conductance responses.  
382 We used hit rate and signal detection theory adjustments in the threshold of presentation and  
383 we also implemented a combined frequentist and Bayesian assessment of chance-level  
384 detection performance. The frequentist analysis of detection performance showed that overall  
385 and per stimulus type masked faces were not processed significantly different to chance.  
386 Bayesian analysis of the same data revealed that both hit rate and signal detection theory  
387 adjusted faces were overall significantly at-chance. Hit rate adjusted angry faces and signal  
388 detection theory adjusted happy and neutral faces were insensitive to both competing  
389 hypothesis. For the physiological assessment our analysis revealed evidence for higher skin

390 conductance for masked angry, fearful and happy faces that were adjusted using hit rates.  
391 Masked targets that were adjusted using signal detection theory measures did not report  
392 significant differences in skin conductance between different emotional faces.

393         The biological preparedness theory (Mineka & Öhman, 2002) suggests that  
394 particularly fear is an evolutionary important, encapsulated module. Fear responses according  
395 to this model are elicited in response to preferentially pre-technological (Seligman, 1971)  
396 survival threats that have phylogenetic and neural evolutionary precedence and are therefore,  
397 impenetrable to the more recent emergence of cognitive control (see also Lapate et al., 2014).  
398 These threats include angry faces - as a mean for ingroup social submission - and fearful  
399 faces - as an indication of unseen environmental danger - (Öhman, 2009), and elicit automatic  
400 and involuntary physiological responses before cognitive analysis of the fear-related stimulus  
401 using a dedicated subcortical neural pathway (Brooks et al., 2012). A number of previous  
402 studies (van der Ploeg et al., 2017) have tested this model using masked emotional faces and  
403 suggested that physiological changes to biologically relevant stimuli can also occur without  
404 conscious target meta-awareness (Pessoa & Adolphs, 2010).

405         The current data support that at least the latter is not the case (van der Ploeg et al.,  
406 2017). As mentioned in the introduction, in the current report we addressed a number of  
407 possible confounds in previous research including subjective differences in the detection  
408 threshold (Pessoa et al., 2005a; 2005b) and per stimuli type differences in the detection  
409 threshold (Calvo & Lundqvist, 2008). We particularly noted that masked neutral faces for set  
410 presentation thresholds (8.33 or 16.67 or 25 ms) were detected less accurately than other  
411 stimuli types (Figure 2) possibly as a function of emotional congruence with the neutral mask  
412 (Kim et al., 2010). Irrespectively of stimulus type, post the adjustments in the detection  
413 threshold all masked targets were not significantly different to chance-level meta-awareness  
414 and most stimuli types were significantly at-chance (Figure 2 and 3). This means that in the

415 current report, participants had approximately equal visual accessibility for different  
416 emotional stimuli and that this accessibility was as close to chance as the experimental  
417 parameters allowed using hit rates and signal detection theory.

418 As Erdelyi (2004) posits unconscious or masked or implicit or subliminal processing  
419 (Dehaene et al., 2006) is based on empirical evidence using a dissociation paradigm where  
420 availability ( $\epsilon$ ) exceeds accessibility ( $\alpha$ ) such as that for  $\alpha = 0$ ,  $\epsilon > \alpha$ . In the current context,  
421 our results suggest that when visual accessibility is equal to zero using hit rates angry, fearful  
422 and happy faces elicited higher skin conductance responses than neutral and non-facial  
423 pattern stimuli. When visual accessibility was equal to zero using unbiased signal detection  
424 theory measures there were no significant differences in skin conductance responses between  
425 different emotions. In simple terms, when participants individually and objectively responded  
426 ‘like a blind person would’ (Erdelyi, 2004; p. 79) we could not report evidence for *subliminal*  
427 or unconscious physiological responses.

428 In respect to the biological preparedness model this suggests that - even if masked  
429 targets are physiologically processed before cognitive analysis (Mineka & Öhman, 2002) -  
430 they cannot be physiologically processed without conscious meta-awareness (Pessoa et al.,  
431 2005a; 2005b). These results also suggest that previous findings in the area (van der Ploeg et  
432 al., 2017) that have reported that target meta-awareness is not a necessary condition for  
433 physiological responses to masked emotional faces might have been the outcome of  
434 insufficient target masking (Kim et al., 2010) and that further methodological developments  
435 such as signal detection theory (Pessoa et al., 2005a) subjective adjustments (Calvo &  
436 Lundqvist, 2008) and analysis for chance-level significance (Dienes, 2015) were required to  
437 properly assess and assert unconscious processing.

438 Our report also poses a number of additional limitations that should be further  
439 addressed (Tsikandilakis, Chapman & Peirce, 2017; in print). A basic limitation of the current

440 design is that we need to factor time as a possible variable in signal detection (Erdelyi, 2004).  
441 Pre-experimentally defining chance-level processing is indicative for participant meta-  
442 awareness but it does not imply that the implemented threshold might not vary from the  
443 threshold definition to the physiological assessment stages. Physiological correlates of  
444 awareness by condition such as further analysis of hits and misses (Pessoa et al., 2005a;  
445 2005b) and subjective detection confidence reports (Overgaard et al., 2013) during the  
446 physiological assessment stage are needed to further assess unconscious processing (Lau,  
447 2008). The current results are also limited by our method of assessment and cannot address  
448 whether further physiological measures such as heart rate or EMG, neural responses or  
449 behavioural responses will report the same effect when controlled for individual differences  
450 in signal detection (Brooks et al., 2012; Lapate et al., 2014; van der Ploeg et al., 2017)

451

## **Conclusions**

452 The current study is to our knowledge the first attempt in implementing subjective  
453 adjustments and Bayesian analysis for chance-level detection performance for the assessment  
454 of physiological responses to masked emotional faces. Our findings suggest that brief angry,  
455 fearful and happy emotional faces can elicit changes in skin conductance but that when these  
456 emotional faces are adjusted for subjective differences in target detection using unbiased  
457 signal detection theory measures there are no differences in skin conductance responses  
458 between different emotions. These findings cast doubt to the extent that we can  
459 physiologically respond to truly unconscious targets.

460

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604

605

## Appendix

606 1.1:

607



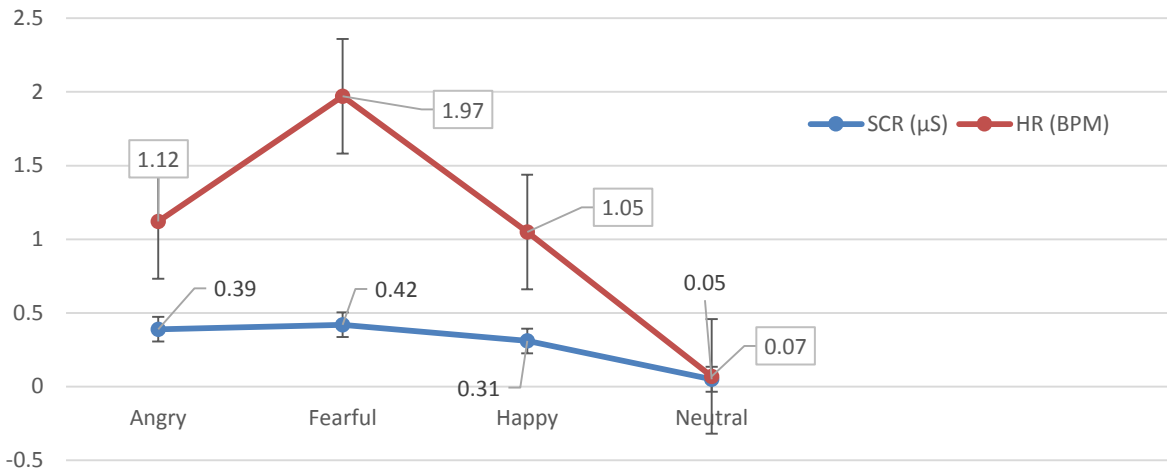
608 Angry (M: 87.82, S.D.: 1.97), fearful (M: 87.91, S.D.: 1.92) and happy (M: 86.61, S.D.:  
 609 1.97) that were included in the final selection were not significantly different ( $F = 1.41$ ,  $p =$   
 610  $.19$ ) in I.F. (%) scores.

Stimuli Type	Accuracy (%)	Stimuli Type	Intensity (1 - 10)	Stimuli Type	Ambiguity (1 - 10)
Angry	78.67 (8.49)	Angry	6.68 (1.15)	Angry	5.12 (.99)
Fearful	79.74 (8.38)	Fearful	6.89 (1.13)	Fearful	5.45 (.92)
Happy	82.67 (8.66)	Happy	5.91 (1.19)	Happy	5.14 (1)
Neutral	89.05 (8.19)			Neutral	3.55 (1.42)

611  
 612 To explore the effect of emotional stimuli on skin conductance a repeated measures ANOVA  
 613 was run with independent variable Stimuli Type (angry, fearful, happy and neutral) and  
 614 dependent variable SCR (maximum deferral). The model reported a significant effect of  
 615 Stimuli Type ( $p < .01$ ;  $\eta^2 = .56$ ) An additional repeated measures ANOVA was run with  
 616 independent variable Stimuli Type (angry, fearful, happy and neutral) and dependent variable  
 617 HR<sup>5</sup> (maximum deferral BPM) scores. The model reported a significant effect of Stimuli  
 618 Type ( $p < .01$ ;  $\eta^2 = .67$ ).

Adjusted P values	SCR			HR			619
	Fear	Happy	Neutral	Fear	Happy	Neutral	
Anger	.15	.21	.00	.14	.52	.01	<sup>620</sup>
Fear		.18	.00		.09	.00	<sup>621</sup>
Happy			.01			.03	

<sup>5</sup> Heart Rate was measured during the preselection stage, but was not used in the analysis because heart rate responses were not included in the main experimental stage.



622

623 2.1:

624 **Thresholds Hit Rates**

625

Column 1	Angry	Column 2	Fearful	Column 5	Happy	Column 8	Neutral	Column 11
	HR Threshold	Performance	HR Threshold	Performance	HR Threshold	Performance	HR Threshold	Performance
1	16	45	16	50	16	55	25	50
2	16	45	16	45	16	50	25	50
3	16	50	16	50	16	50	16	45
4	16	40	16	45	16	55	16	55
5	16	45	16	50	16	50	16	45
6	25	55	16	40	16	45	25	50
7	16	50	16	50	16	50	25	50
8	25	55	25	50	16	55	25	55
9	25	60	16	50	16	55	16	45
10	16	45	16	55	16	40	16	45
11	16	40	16	55	25	50	16	50
12	16	50	16	50	16	50	16	50
13	16	55	16	45	16	45	16	45
14	16	55	16	50	16	55	16	45
15	16	50	16	55	16	45	25	50
16	16	50	16	45	16	45	25	55
17	16	45	16	50	16	50	25	50
18	16	50	16	50	16	55	25	55
19	16	45	16	45	16	55	16	50
20	16	50	16	55	16	50	16	50
21	16	45	16	50	16	50	16	50
22	16	50	16	50	16	50	16	50
23	16	50	16	55	16	45	16	45

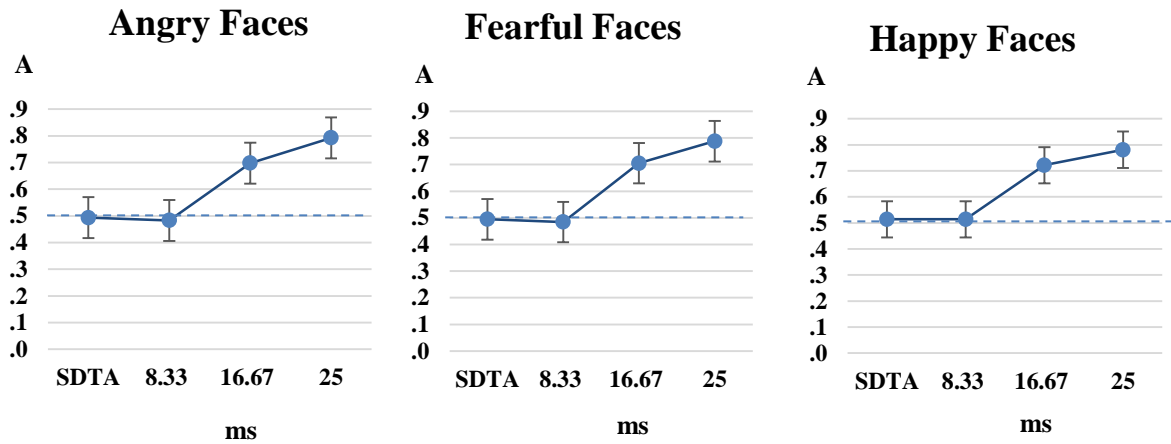
24	25	50	25	50	25	55	16	50
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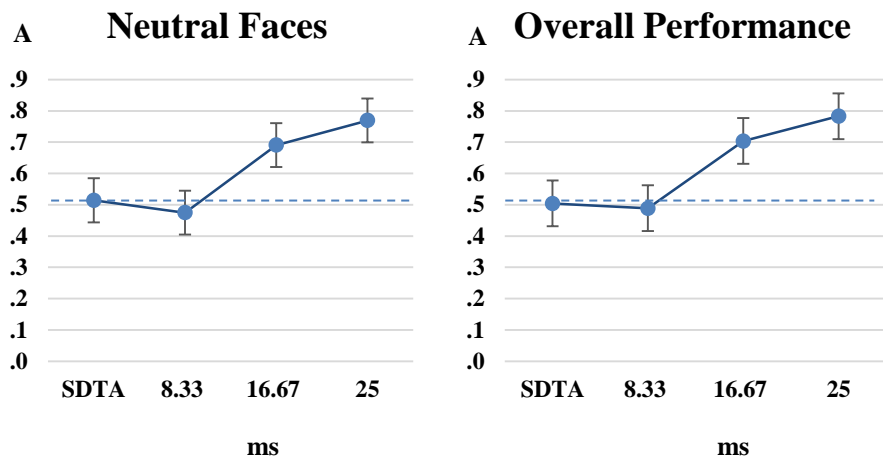
628 2.2:

	Angry		Fearful		Happy		Neutral	
	Threshold (ms)	A	Threshold (ms)	A	Threshold (ms)	A	Threshold (ms)	A
<b>1</b>	8.33 ms	.40	8.33 ms	.44	8.33 ms	.44	8.33 ms	.40
<b>2</b>	8.33 ms	.42	8.33 ms	.45	8.33 ms	.53	8.33 ms	.49
<b>3</b>	8.33 ms	.52	8.33 ms	.52	8.33 ms	.52	8.33 ms	.48
<b>4</b>	8.33 ms	.56	8.33 ms	.53	8.33 ms	.56	8.33 ms	.53
<b>5</b>	8.33 ms	.47	8.33 ms	.44	8.33 ms	.47	8.33 ms	.44
<b>6</b>	8.33 ms	.46	8.33 ms	.46	8.33 ms	.50	8.33 ms	.46
<b>7</b>	8.33 ms	.52	8.33 ms	.45	8.33 ms	.52	8.33 ms	.45
<b>8</b>	8.33 ms	.42	8.33 ms	.45	8.33 ms	.49	8.33 ms	.42
<b>9</b>	8.33 ms	.46	8.33 ms	.46	8.33 ms	.54	8.33 ms	.46
<b>10</b>	8.33 ms	.44	8.33 ms	.47	8.33 ms	.51	8.33 ms	.51
<b>11</b>	16.67 ms	.66	8.33 ms	.45	8.33 ms	.48	8.33 ms	.48
<b>12</b>	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.49
<b>13</b>	8.33 ms	.57	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54
<b>14</b>	8.33 ms	.53	8.33 ms	.53	8.33 ms	.53	8.33 ms	.49
<b>15</b>	8.33 ms	.50	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54
<b>16</b>	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.49
<b>17</b>	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54	8.33 ms	.50
<b>18</b>	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.53
<b>19</b>	8.33 ms	.54	8.33 ms	.46	8.33 ms	.54	8.33 ms	.50
<b>20</b>	8.33 ms	.44	8.33 ms	.44	8.33 ms	.44	8.33 ms	.44
<b>21</b>	8.33 ms	.42	8.33 ms	.49	8.33 ms	.49	8.33 ms	.42
<b>22</b>	8.33 ms	.54	8.33 ms	.46	8.33 ms	.46	16.67 ms	.70
<b>23</b>	8.33 ms	.41	16.67 ms	.72	8.33 ms	.45	8.33 ms	.45
<b>24</b>	8.33 ms	.46	8.33 ms	.46	8.33 ms	.50	8.33 ms	.43

629 Three participants (11, 22 and 23) scored zero for one stimulus type (angry, fearful and neutral) for 8.33 ms and  
630 the next available duration was imported in stage 2 (Zhang & Mueller, 2005).



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633 Signal detection performance per available threshold including signal detection theory adjusted faces (SDAT).  
 634 Midline represents chance-level performance. Bars show standard error of the mean.

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636 3.1 Factorial ANOVA Analysis

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**Descriptive Statistics**

	Mean	Std. Deviation	N
Angry	.0336	.01508	24
Fear	.0448	.02161	24
Happy	.0177	.00738	24
Neutral	.0104	.00675	24
Bubble	.0085	.00316	24
AngryA	.0056	.00517	24
FearA	.0055	.00518	24
HappyA	.0046	.00182	24
NeutralA	.0036	.00257	24
BubbleA	.0050	.00253	24

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**Mauchly's Test of Sphericity<sup>a</sup>**

Measure: MEASURE\_1

Within Subjects Effect	Mauchly's W	Approx. Chi-Square	df	Sig.	Epsilon <sup>b</sup>		
					Greenhouse-Geisser	Huynh-Feldt	Lower-bound
Adjustment	1.000	.000	0	.	1.000	1.000	1.000
Stimuli_Type	.071	56.714	9	.000	.483	.527	.250
Adjustment *							
Stimuli_Type	.061	59.812	9	.000	.411	.438	.250

Tests the null hypothesis that the error covariance matrix of the orthonormalized transformed dependent variables is proportional to an identity matrix.

a. Design: Intercept

Within Subjects Design: Adjustment + Stimuli\_Type + Adjustment \* Stimuli\_Type

b. May be used to adjust the degrees of freedom for the averaged tests of significance. Corrected tests are displayed in the Tests of Within-Subjects Effects table.

**Tests of Within-Subjects Effects**

Measure: MEASURE\_1

Source	Type III Sum of Squares	df	Mean Square	F	Sig.	Partial Eta Squared	
Adjustment	Sphericity Assumed	.020	1	.020	98.611	.000	.811
	Greenhouse-Geisser	.020	1.000	.020	98.611	.000	.811
	Huynh-Feldt	.020	1.000	.020	98.611	.000	.811
	Lower-bound	.020	1.000	.020	98.611	.000	.811
	Sphericity Assumed	.005	23	.000			
Error(Adjustment)	Greenhouse-Geisser	.005	23.000	.000			
	Huynh-Feldt	.005	23.000	.000			
	Lower-bound	.005	23.000	.000			
	Sphericity Assumed	.013	4	.003	50.613	.000	.688
Stimuli_Type	Greenhouse-Geisser	.013	1.933	.007	50.613	.000	.688
	Huynh-Feldt	.013	2.107	.006	50.613	.000	.688
	Lower-bound	.013	1.000	.013	50.613	.000	.688
	Sphericity Assumed						

Error(Stimuli_Type)	Sphericity	.006	92	6.313E-			
	Assumed			005			
	Greenhouse-Geisser	.006	44.453	.000			
	Huynh-Feldt	.006	48.457	.000			
	Lower-bound	.006	23.000	.000			
Adjustment * Stimuli_Type	Sphericity	.011	4	.003	52.407	.000	.695
	Assumed						
	Greenhouse-Geisser	.011	1.643	.007	52.407	.000	.695
	Huynh-Feldt	.011	1.753	.006	52.407	.000	.695
	Lower-bound	.011	1.000	.011	52.407	.000	.695
Error(Adjustment*Stimuli_Type)	Sphericity	.005	92	5.233E-			
	Assumed			005			
	Greenhouse-Geisser	.005	37.792	.000			
	Huynh-Feldt	.005	40.315	.000			
	Lower-bound	.005	23.000	.000			

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#### Pairwise Comparisons

Measure: MEASURE\_1

(I) Adjustment	(J) Adjustment	Mean Difference (I-J)	Std. Error	Sig. <sup>b</sup>	95% Confidence Interval for Difference <sup>b</sup>	
					Lower Bound	Upper Bound
1	2	.018*	.002	.000	.014	.022
2	1	-.018*	.002	.000	-.022	-.014

Based on estimated marginal means

\*. The mean difference is significant at the .05 level.

b. Adjustment for multiple comparisons: Bonferroni.

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#### Pairwise Comparisons

Measure: MEASURE\_1

(I) Stimuli_Type	(J) Stimuli_Type	Mean Difference (I-J)	Std. Error	Sig. <sup>b</sup>	95% Confidence Interval for Difference <sup>b</sup>	
					Lower Bound	Upper Bound
1	2	-.006*	.002	.027	-.011	.000
	3	.008*	.001	.000	.004	.013
	4	.013*	.002	.000	.007	.018
	5	.013*	.002	.000	.008	.018

2	1	.006*	.002	.027	.000	.011
	3	.014*	.002	.000	.008	.020
	4	.018*	.002	.000	.011	.025
	5	.018*	.002	.000	.011	.025
3	1	-.008*	.001	.000	-.013	-.004
	2	-.014*	.002	.000	-.020	-.008
	4	.004*	.001	.002	.001	.007
	5	.004*	.001	.000	.002	.007
4	1	-.013*	.002	.000	-.018	-.007
	2	-.018*	.002	.000	-.025	-.011
	3	-.004*	.001	.002	-.007	-.001
	5	.000	.001	1.000	-.002	.002
5	1	-.013*	.002	.000	-.018	-.008
	2	-.018*	.002	.000	-.025	-.011
	3	-.004*	.001	.000	-.007	-.002
	4	.000	.001	1.000	-.002	.002

Based on estimated marginal means

\*. The mean difference is significant at the .05 level.

b. Adjustment for multiple comparisons: Bonferroni.

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