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5 **Title:**

6 CRITICAL CATCHMENTS FOR FRESHWATER BIODIVERSITY CONSERVATION
7 IN EUROPE: IDENTIFICATION, PRIORITISATION AND GAP-ANALYSIS
8

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37 **Short Running Title:** Critical catchments for freshwater biodiversity

38 SUMMARY

- 39 1. The conservation of freshwater ecosystems has lagged behind that of marine and
40 terrestrial ecosystems and often requires the integration of large-scale approaches and
41 transboundary considerations. This study aims to set the foundations of a spatial
42 conservation strategy by identifying the most important catchments for the
43 conservation of freshwater biodiversity in Europe.
- 44 2. Using data on 1296 species of fish, mollusc, odonate and aquatic plant, and the Key
45 Biodiversity Area criteria (species Red List status, range restriction, and uniqueness
46 of species assemblages), we identified a network of Critical Catchments for the
47 conservation of freshwater biodiversity. Applying spatial prioritisation, we show how
48 the prioritised network differs from the ideal case of protecting all Critical
49 Catchments and how it changes when protected areas are included, and we also
50 identify gaps between the prioritised network and existing protected areas.
- 51 3. Critical Catchments (n = 8423) covered 45% of the area of Europe, with 766
52 qualifying (“trigger”) species located primarily in southern Europe. The prioritised
53 network, limited to 17% of the area of Europe, comprised 3492 catchments mostly in
54 southern and eastern Europe and species targets were met for at least 96% of the
55 trigger species.
- 56 4. We found the majority of Critical Catchments to be inadequately covered by protected
57 areas. However, our prioritised network presents a possible solution to augment
58 protected areas to meet policy targets while also achieving good species coverage.
- 59 5. *Policy implications:* While Critical Catchments cover almost half of Europe, priority
60 catchments are mostly in southern and eastern Europe where the current level of
61 protection is not sufficient. This study presents a foundation for a Europe-wide
62 systematic conservation plan to ensure the persistence of freshwater biodiversity. Our
63 study provides a powerful new tool for optimising investment on the conservation of
64 freshwater biodiversity and for meeting targets set forth in international biodiversity
65 policies, conventions and strategies.

66

67 **Key-words:** Alliance for Zero Extinction; dragonfly; fishing and fishery; Key Biodiversity
68 Area; Marxan; reserve design; snail, mussel and clam; systematic conservation planning;
69 threatened species; watershed management and restoration

70

71 **INTRODUCTION**

72

73 Freshwater ecosystems cover less than one percent of the Earth's surface and are among the
74 most diverse and threatened systems in the world (Strayer & Dudgeon, 2010). Freshwater
75 species and habitats are of high value to people's livelihoods as a food resource and serve
76 important functions such as water purification and flood regulation yet have not been
77 afforded the conservation focus required (Darwall *et al.*, 2011). More than 29% of the 25,872
78 freshwater species assessed for the IUCN Red List of Threatened Species™ ('Red List') are
79 globally threatened with extinction (IUCN, 2015). The overriding threat to freshwater
80 biodiversity is habitat loss and degradation (Allan, 2004; Darwall *et al.*, 2011). Consequently,
81 site-based approaches such as protected areas are an important tool for freshwater
82 conservation. However, protected areas have been rarely designated for the purpose of
83 conserving freshwater biodiversity (Abell *et al.*, 2007). For example, rivers are commonly
84 used to delineate the borders of a protected area rather than being the targets of conservation
85 themselves (Abell *et al.*, 2007). Even within protected areas, freshwater habitats often remain
86 exposed to pollution and other threats propagated from outside the protected area, and
87 migratory fish are rarely guaranteed passage or protection (Dudgeon *et al.*, 2006).

88

89 Identification of globally significant areas for the persistence of biodiversity, known as Key
90 Biodiversity Areas (KBAs) is an important and well-regarded conservation tool. KBAs can
91 help guide the improvement and expansion of protected area networks (Rodrigues 2004;
92 Langhammer *et al.* 2007) as they can serve as 'shadow lists' for site designation (IUCN,
93 2016). KBAs are also used to address the Aichi Biodiversity Targets 2, 4, 11, 12, 14 and 20
94 (IUCN & BirdLife International, 2013) and the corresponding European Union Biodiversity
95 Strategy targets (EC, 2011). KBAs also inform public and private sector environmental

96 policies via online databases such as the Integrated Biodiversity Assessment Tool (IUCN,
97 2016). The IUCN-led global consultative process to consolidate a standard for identifying
98 KBAs (IUCN, 2016) has raised the profile of this important tool.

99

100 Although some freshwater KBAs have been identified (Silvano *et al.*, 2007; Holland *et al.*,
101 2012; Darwall *et al.*, 2014), comprehensive and standardised knowledge about the spatial
102 distribution of the most important areas for freshwater biodiversity is lacking. Furthermore,
103 Alliance for Zero Extinction (AZE) sites, that contain the last or only populations of globally
104 threatened species (Ricketts *et al.*, 2005), are an important subset of KBAs and are in urgent
105 need of identification for freshwaters. In Europe, only one freshwater AZE site has been
106 identified to date for the amphibian *Calotriton arnoldi* in Spain (Carranza & Amat, 2005).

107

108 Our first objective is to identify the freshwater catchments that contain sites likely to qualify
109 as freshwater KBAs. These catchments, hereafter called “Critical Catchments”, represent the
110 broader ecological context within which freshwater KBAs are located (Darwall & Vie 2005)
111 and should ideally be the primary targets for further conservation actions. Our second
112 objective is to identify a subset of Critical Catchments that adequately covers threatened
113 species, range-restricted species and unique assemblages of species at the lowest possible
114 cost and which also considers the existing protected area network. Given the constraints of
115 competing land uses and limited funds for conservation, spatial prioritisation is thus a
116 necessary step towards a pragmatic strategy (Juffe-Bignoli *et al.*, 2016). Spatial prioritisation
117 has been applied extensively in terrestrial and marine realms (Carwardine *et al.*, 2008b; Klein
118 *et al.*, 2008), but at relatively small geographical and taxonomic scales for freshwater systems
119 (Abell *et al.*, 2007; Linke *et al.*, 2011). Here we use data from geographical Europe and
120 follow recommendations by IUCN (2014, p62) to spatially prioritise the Critical Catchments.

121 Our final objective is to identify gaps in the spatial overlap between the Critical Catchments
122 and the current network of protected areas. Our approach ensures methodological consistency
123 with previous freshwater assessments and provides input to the global KBA standard (IUCN,
124 2016) and to stakeholder workshops where KBAs within Critical Catchments will
125 subsequently be identified and validated in line with the global KBA standard.

126

127

128 **MATERIALS AND METHODS**

129

130 **Study area and data**

131 We used distribution data on 1296 species of freshwater fish (n=511), molluscs (n=617),
132 odonates (n=73) and plants (n=95), each of which was globally assessed according to the
133 IUCN Red List process (IUCN, 2013). Species taxonomy, nomenclature and threat categories
134 used in this paper follow the Red List. Critically Endangered (CR), Endangered (EN) and
135 Vulnerable (VU) species are considered jointly as threatened species. We also included
136 species in all other Red List categories, Data Deficient (DD), Least Concern (LC) and Near
137 Threatened (NT) species but excluded all Extinct (EX) and Extinct in the Wild (EW) species
138 from the analysis. We also filtered species occurrences based on their degree of certainty and
139 origin (see Supplementary Methods in Supporting Information).

140

141 Species occurrence data were mapped to catchment units of HydroBASINS (Lehner & Grill,
142 2013), a global standardised hydrological database. Of the 12 hierarchical levels of
143 HydroBASINS, we used level 8, where our study area (Fig. 1; 10,128,044 km²) comprises
144 18,816 catchments or planning units (mean area 538.3 ± S.D. 649.45 km²).

145 We obtained data on existing protected areas both from the European Union's Natura 2000
146 system of protected areas (www.eea.europa.eu, December 2012, data on all sites) and the
147 World Database on Protected Areas (WDPA, www.wdpa.org, July 2013; IUCN categories I-
148 IV).

149

150 **Identification of Critical Catchments**

151 In the first step, we identified Critical Catchments based on three criteria and corresponding
152 thresholds (see below) examined in detail in Holland *et al.*, (2012). We applied the criteria to
153 the species in each catchment and if at least one criterion was met, the catchment qualified as
154 a Critical Catchment. Species satisfying the criteria are called 'trigger species' hereafter.

155

156 Criterion 1: A catchment is known or thought to hold one or more globally threatened
157 species.

158 Threshold: The presence of one or more threatened species will trigger the site as a Critical
159 Catchment. Critical Catchments thus included all potential AZE sites (Ricketts *et al.*, 2005).

160

161 Criterion 2: A catchment is known or thought to hold one or more species with restricted
162 ranges.

163 Threshold: A range smaller than 20,000 km² was considered restricted for fishes, plants and
164 molluscs, and a threshold of 50,000 km² was applied to odonates, where most species have
165 high dispersal ability and large ranges.

166

167 Criterion 3: A catchment is known or thought to hold a significant proportion of species that
168 are confined to an appropriate biogeographic unit.

169 Threshold: At least 25% of the species from a specific taxonomic group within the catchment
170 are restricted (endemic) to the biogeographic region in which the catchment is located. The
171 freshwater ecoregion (Abell *et al.*, 2008) is used as the biogeographic unit because, unlike
172 many other delineations, it is defined in large part by catchment boundaries. This Criterion
173 complements the species-based Criteria 1 and 2 and considers biogeographically unique
174 assemblages. Such areas usually have high proportions of endemic species, whose
175 confinement to certain ecoregions often predisposes them to become vulnerable to extinction.

176

177 **Prioritisation of Critical Catchments**

178 In the second step, we prioritised all catchments that qualified as Critical Catchments based
179 on Criteria 1-3 above, first with no consideration of protected areas (Scenario 1), then with
180 protected areas considered (Scenario 2). In addition, we also prioritised all catchments in
181 Europe regardless of whether they qualified as Critical Catchments or whether they contained
182 protected areas to provide a baseline for comparison (Scenario 3). We used Marxan (version
183 2.4.3, Ball *et al.* 2009) to identify the optimal network that meets the species targets specified
184 at the lowest possible cost and to prioritise catchments based on their irreplaceability. We
185 used catchment area (km²) as a proxy for cost (Moilanen *et al.*, 2008), and we set the
186 maximum total cost as 17% of the area of Europe. This value was based on Aichi Target 11
187 which specifies that 17% of terrestrial and inland water areas are to be protected by 2020
188 (<http://www.cbd.int/sp/targets/>).

189

190 In each of the three scenarios, we defined more stringent targets based on species
191 representation. We set up Marxan to cover 100% of the occurrences of CR species, at least
192 75% of the occurrences of EN species and at least 50% of the occurrences of VU species. For
193 all other species, two occurrences were specified as targets. These targets were based on

194 those tested for freshwater KBAs by Holland *et al.* (2012). To ensure that targets for
195 threatened species were met, we used a species penalty factor of 1,000,000 for CR, 1000 for
196 EN and 10 for VU species. The 1% of Critical Catchments (n = 99) that qualified under
197 Criterion 3 were included *a priori* ("locked in") in each scenario.

198

199 In Scenario 1, no information on protected areas was used and only catchments qualifying
200 under Criterion 3 were locked in. In Scenario 2, we followed a pragmatic approach to
201 conservation and included catchments adequately covered by protected areas and AZE sites.
202 We considered Critical Catchments adequately protected if at least 70% of their area was
203 protected (Holland *et al.* 2012). The 70% threshold was based on previous estimates
204 suggesting that if disturbance in a catchment exceeds 30% of the catchment area, there is
205 often a notable decline in the quality of a river system (Allan, 2004). We also locked in
206 catchments with AZE sites as their loss would likely lead to the extinction of AZE species. In
207 total, in Scenario 2, we locked in 7% of Critical Catchments (n=587 catchments either
208 qualifying under Criterion 3 or protected in at least 70% of the area or containing AZEs)
209 while any of the remaining 93% of Critical Catchments could be selected in the prioritisation.

210

211 Finally, in Scenario 3, we prioritised all catchments in Europe and locked in only Criterion 3
212 catchments (n = 99), while all other catchments could be selected. This prioritisation ensured
213 the full use of complementarity, one of the key principles of spatial prioritisation, and
214 provided a reference to compare with results from Scenarios 1 and 2. If such a comparison
215 demonstrates little difference between scenarios, then prioritisation can reasonably progress
216 from a subset of catchments, as recommended in cases when there are data gaps, which is
217 often the case in large-scale prioritisations. In contrast, if there are substantial differences,
218 such an approach would not be recommended.

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Each Marxan run started with a random 10% of the selectable catchments and progressed with the main parameters of the simulated annealing algorithm set at their default values as recommended in Ardron *et al.* (2010). We ran each scenario 1000 times and used the number of times a catchment was selected in the optimal network (selection frequency) as a measure of its irreplaceability. We considered catchments selected in each of the 1000 runs as ‘irreplaceable’.

Our catchment database did not have a fully resolved topology of the hydrological relationships among catchments, which prevented us from using hydrological connectivity in the prioritization. However, some basic level of connectivity can be controlled in Marxan by the Boundary Length Modifier (BLM). This parameter controls the length of the boundaries of the selected network relative to the area selected for protection, with higher values leading to more clumped, less fragmented networks. To find an optimal BLM, we ran each scenario by varying the BLM at six levels (0.001, 0.01, 0.1, 1, 10 and 25). We then compared the total boundary length relative to the area protected and evaluated the results at each BLM level as recommended in Stewart & Possingham (2005). We found that a BLM of 10 was a suitable compromise between fragmentation, geographical representation and coverage of threatened species, and this value was used in all prioritisations.

Finally, we mapped two Marxan outputs, the minimum-cost network that best met the pre-defined targets for each scenario, and catchment irreplaceability measured by selection frequency. Furthermore, we present the number and proportion of threatened species for which targets were met for each scenario.

244 **Gap analyses**

245 We first conducted a gap analysis between all Critical Catchments and the protected area
246 network represented by the union of polygons from the WDPA and Natura 2000 databases.
247 Following Rodrigues *et al.* (2004), if protected areas overlapped any part of a Critical
248 Catchment it was considered to be ‘covered’ and did not constitute a ‘gap’. This approach is a
249 theoretical best case scenario since any arbitrary threshold of coverage is not necessarily an
250 accurate representation of effective protection. We then summarised the geographic
251 distribution and proportion of coverage of Critical Catchments, AZEs catchments and CR
252 trigger species. We similarly examined coverage by Ramsar sites.

253

254 Second, using the same method as above, we identified gaps in spatial overlap between either
255 the full or the prioritised Critical Catchment networks and the Natura 2000 protected areas.
256 We then identified the Critical Catchments, AZE catchments, CR/EN trigger species and, in
257 particular, the irreplaceable Critical Catchments not covered by Natura 2000 areas. We
258 highlight these gaps as potential targets for the expansion of Natura 2000 areas and for
259 conservation initiatives other than Natura 2000. All data preparation and analyses were
260 conducted using R version 2.15.2/3 (R Development Core Team, 2012), ArcGIS 10 and MS
261 Access 2010.

262

263 **RESULTS**

264

265 **Identification of Critical Catchments**

266 A total of 8423 Critical Catchments were identified covering 4,578,193 km² or 45% of
267 Europe (Fig. 1). These catchments are mainly located in southern Europe and were triggered
268 by 766 distinct species (Table 1). The catchment with the maximum number of trigger
269 species (n=69) was Lake Ohrid (western Balkans). The number of distinct species and
270 catchments across criteria and taxon groups is shown in Table 1 (see Figure S1 for Critical
271 Catchments per taxon group).

272

273 Ninety seven per cent of Critical Catchments qualified under Criterion 1 and 26% qualified
274 under Criterion 2 with all four taxon groups contributing trigger species. Only fishes and
275 molluscs triggered Criterion 3 (Table 1), with all 99 Critical Catchments located in three
276 ecoregions (Iceland – Jan Mayen, Northern British Isles and Southeast Adriatic Drainages).
277 Molluscs only triggered Criterion 3 within the Southeast Adriatic Drainages ecoregion, while
278 fishes triggered Criterion 3 within each of the three ecoregions.

279

280 Sixty five AZE catchments were identified (see Figure S2). Fishes, molluscs and plants
281 comprised the AZE species. There were 73 CR AZE species and 44 EN AZE species. The
282 AZE catchment with most AZE species (n=26) was Lake Ohrid. The majority of AZE
283 catchments contained only one AZE species (see Table S1).

284

285 **Prioritisation of Critical Catchments**

286 Our spatial prioritisation identified the 17% of the area of Europe that was most important for
287 preventing the loss of freshwater biodiversity (Fig. 2). In comparison to the full set of Critical

288 Catchments (Fig. 1), the priority catchments selected in the three scenarios (Fig. 2) were
289 mostly in southern and eastern Europe. Critical Catchments missing from the prioritised
290 networks were those containing one or two trigger species in north-western or north-eastern
291 Europe. The prioritisation selected 3401 Critical Catchments in Scenario 1, 3492 in Scenario
292 2 and 3776 in Scenario 3, corresponding to 40%, 41% and 45% of the total number of
293 Critical Catchments (n=8423), respectively. Sixty-five per cent of Critical Catchments
294 selected (n=2719) were shared by Scenarios 1 and 2, and 682 of the Critical Catchments were
295 unique to Scenario 1 and 773 were unique to Scenario 2 (see Figure S3), and 718 Critical
296 Catchments were shared by all three Scenarios.

297

298 A visual examination revealed little difference among the three scenarios (Fig. 2). The
299 proportion of Critical Catchments returned as Irreplaceable was highest in Scenario 2 (1408
300 catchments or 40% of 3401 catchments), lower in Scenario 1 (902 or 27% of 3492) and
301 lowest in Scenario 3 (741 or 20% of 3776). There was a slightly higher emphasis on northern
302 catchments (e.g. Finland, northern Russia, Sweden), south-western catchments (southern
303 Portugal, southern France) and south-eastern catchments (lower Danube) in Scenario 2
304 compared to Scenario 1. This was not surprising because in Scenario 2, the prioritisation was
305 started with the best protected 5% of Critical Catchments (n=435) locked in and Marxan
306 tends to select areas neighbouring locked-in catchments as it aims to minimise boundary
307 costs.

308

309 The proportion of threatened (CR, EN, VU) species for which targets were met was 97.1% in
310 Scenario 1, 98.2% in Scenario 2 and 96.8% in Scenario 3 (total n = 556 threatened species).
311 The number of threatened species for which targets were not met was 16 in Scenario 1, 10 in
312 Scenario 2 and 18 in Scenario 3 (Table 2). However, for almost all of these species, many of

313 which were charismatic, locally rare fish with large distribution ranges (e.g. sturgeons
314 *Acipenser* spp.), at least 100,000 km² of the native range and/or at least 60% of the native
315 range was covered by the best network (Table 3). We thus concluded that the optimal
316 network identified by Marxan adequately covered the ranges of the large majority of
317 threatened species in each scenario.

318

319 **Gap analyses**

320 In our first gap analysis, we found that 23% of Critical Catchments (n=8423) were not
321 spatially covered by protected areas, and 73% had less than 20% overlap with protected
322 areas. Only about 6% of Critical Catchments, including 11 AZE catchments, had more than
323 70% coverage by protected areas. Critical Catchments representing gaps in protected area
324 coverage are mostly located in the Balkans and eastern Europe (Fig. 3). The Drin AZE
325 catchment in Montenegro, home to the last population of the mollusc *Saxurinator*
326 *orthodoxus*, has no protected area coverage. In contrast, Lake Vistonis AZE and Lake
327 Ioannina AZE in Greece, home to the only populations of fish species *Alosa vistonica* and
328 *Pelagus epiroticus* respectively, are 100% covered by protected areas. A total area of 15,916
329 km² of Critical Catchments is overlapped by Ramsar sites. The area of Critical Catchments
330 covered by Ramsar sites but not covered by Natura 2000 is 3,941 km². These are mainly
331 located in the Balkans, Switzerland and small areas of Portugal, Norway and Monaco.

332

333 In our second gap analysis, we found that 44% of the full set of Critical Catchments we
334 identified (n=8423) had no spatial overlap with any protected area. In Scenario 1 where the
335 best Critical Catchments were chosen, 42% were not covered by any protected area. In
336 Scenario 2 where Critical Catchments with at least 70% spatial overlap with protected areas
337 were locked in, the percentage of gaps dropped slightly to 38%. In Scenario 2, over half

338 (58%) of the Critical Catchments had less than 10% spatial overlap with Natura 2000 areas
339 (see Table S2 for country results). There were 87 CR (n=42) or EN (n=45) species that had
340 no coverage by Natura 2000 areas, comprising 28 fishes, 58 molluscs and 1 plant species (see
341 Table S3). Similarly, 20% of the 65 AZE catchments and 31% or 435 of the irreplaceable
342 catchments did not overlap with Natura 2000 areas. Seventy one per cent (n=2486) of the
343 Critical Catchments selected in Scenario 2 contained fewer than 5 trigger species. Of those
344 with more than 5 trigger species, 37% had no spatial coverage by Natura 2000 areas,
345 including all but one of the 17 Critical Catchments with the most trigger species.

346

347

348 **DISCUSSION**

349

350 Our study highlights the spatial mis-match between freshwater biodiversity and the protected
351 areas of Europe. Our findings suggest that protected areas do not currently provide sufficient
352 coverage to the most important Critical Catchments. With no improvements to the current
353 configuration and perhaps management, European countries are unlikely to meet international
354 obligations to reverse the loss of biodiversity.

355

356 We suggest several ways in which our results can be utilised to identify threats to freshwater
357 biodiversity and shortfalls in conservation and management. First, the trigger species we
358 identified (i.e. threatened, restricted-range and ecoregion-restricted species) should become
359 the focus of/require conservation and/or management. With minimum estimates of 44% of
360 freshwater mollusc species, 37% of freshwater fish species, 15% of dragonflies and 7% of
361 aquatic plants threatened in Europe (Cuttelod *et al.*, 2011), it is crucial that the freshwater

362 species we identified are targets for conservation (see "Data accessibility" for trigger species
363 lists).

364

365 Second, at the time of writing, 23 member states are yet to complete the EC requirement for
366 identifying and designating new Natura 2000 areas (Crofts, 2014). We suggest there is now
367 an opportunity for member states and the European Environment Agency to utilise our results
368 to guide the strategic expansion of Natura 2000 areas. As well as designating new sites, gaps
369 may be addressed by expanding existing sites to include nearby freshwater features (Juffe-
370 Bignoli *et al.*, 2016). Ideally, a conceptual shift away from the terrestrial focus is necessary
371 when managing freshwater ecosystems (Abell *et al.*, 2007). Catchment-scale management of
372 both biodiversity and human activities is required (Moss, 1999; Nel *et al.*, 2009). This
373 concept directly aligns with the principles of 'wider countryside measures' of the EU
374 Habitats Directive and the provisions for whole catchment management in the EU Water
375 Framework Directive (WFD) (Crofts, 2014). Our prioritisation and gap analysis can
376 contribute to improvements in coverage.

377

378 Third, once delineated within Critical Catchments, the recognition of freshwater KBAs (for
379 instance on <https://www.ibatforbusiness.org/>), especially those that are not covered by
380 protected areas, may facilitate environmental safeguards to be met by the private and public
381 sectors. Raising the awareness of stakeholders that affect the water quality and flow regime
382 of the Critical Catchments will be as key to protecting freshwater biodiversity as the integrity
383 of a protected area network.

384

385 Fourth, we found that about 94% of Critical Catchments have less than 30% spatial overlap
386 with protected areas. We thus propose that a good starting point for identifying potential

387 restoration targets could be those Critical Catchments that are irreplaceable and have limited
388 spatial overlap with protected areas. Critical Catchments can thus help to address the Aichi
389 Biodiversity Target 15 and Target 2 of the EU Biodiversity Strategy to 2020 which aim to
390 restore “at least 15% of degraded ecosystems”. This also aligns with the objective of the
391 WFD to achieve ‘good ecological status’ for all surface waters by 2015, although
392 questionable implementation of the WFD habitat monitoring requirements is hampering the
393 achievement of this goal (Moss, 2008; EC, 2012). Highlighting Critical Catchments for
394 potential restoration may help to focus the WFD’s habitat monitoring and to guide restoration
395 efforts to those catchments where favourable outcomes could be greatest while also
396 contributing to the implementation of the EU Blueprint to Safeguard Europe's Water
397 Resources. This is especially important for improving habitat quality and connectivity for
398 catchments outside the Natura 2000 network. Future studies could integrate restoration into
399 prioritisation. For example, Linke *et al.* (2012) focused on conservation targets in the
400 catchments in the best condition by integrating area scaled by threat into a cost metric such
401 that area was discounted if the threat level was low.

402

403 Our framework for the conservation of European freshwater biodiversity can be developed
404 further in several ways. The Critical Catchments we identified represent the management
405 zones for future freshwater KBAs that are of importance for the global persistence of
406 freshwater biodiversity. However, some Critical Catchments may be sub-optimal for
407 protection due to intensive land use, urbanisation or altered hydromorphology (e.g. dams)
408 within catchments. Thus prioritisation trading off catchments based on conservation
409 feasibility, catchment vulnerability and opportunity-costs would help to further refine
410 “conservation” priorities. In addition, an approach that includes common species that may be
411 threatened in the future, environmental gradients acting as coarse filters to capture poorly

412 sampled species and habitats or ecosystems necessary to maintain threatened species would
413 also be desirable (Khoury et al. 2010). We therefore recommend that future studies apply
414 systematic conservation planning (SCP) to build on this study. It is important to note that
415 spatial prioritisation provides only possible outcomes of scenarios and not the final answer to
416 a conservation planning problem. Prioritisation is usually a place to start SCP, and needs to
417 be iterated as better knowledge on model parameters and stakeholder input becomes available
418 during the process (Margules & Pressey, 2000). For example, future studies could incorporate
419 socioeconomic data to achieve the same biodiversity targets while minimising conflict or
420 opportunity costs with human activities such as mining, forestry and agriculture (Carwardine
421 *et al.*, 2008a). Furthermore, ecosystem services targets and their overlap with biodiversity
422 targets can be used to build a stronger economic case for catchment protection. Moreover,
423 incorporating species distribution shifts expected under different climate scenarios into the
424 prioritisation would allow detecting catchments that are suitable for climate change
425 adaptation (Groves et al., 2012; Markovic et al., 2014). Finally, species-based approaches
426 may have limitations, for example, by focusing on threatened species only. More proactive
427 approaches that use alternative methods could focus on ecosystem status or condition or on
428 species assemblages representative of different regions before they become threatened (e.g.
429 Khoury et al. 2010). For example, hierarchical methods can represent species and ecosystems
430 across both regional environmental gradients and species assemblages by the stratification of
431 species occurrences across gradients (Higgins et al. 2005). However, the inclusion of
432 information on ecosystem status or condition may identify an alternative set of catchments
433 which may lead to results that are more realistic for conservation actions but are poorer for
434 species representation (Heiner et al. 2011).

435

436 We acknowledge that gap analysis based on protected area coverage alone does not
437 necessarily reflect efficacy. For instance, Geiger *et al.* (2014) suggest that fish species *Alosa*
438 *vistonica* and *Pelasgus epiroticus* may have recently gone extinct, despite 100% of their lake
439 habitats being protected in Greece. This demonstrates that site protection alone is insufficient
440 to safeguard freshwater biodiversity. Furthermore, many Natura 2000 sites in freshwater
441 ecosystems are in ‘bad’ condition (Eionet, 2009) suggesting a poor outlook for freshwater
442 biodiversity despite the overlap with protected areas. We further caution that our estimates of
443 gaps were likely underestimated, as overlap of part of a Critical Catchment does not
444 necessarily mean overlap of the freshwater features of interest. We suggest review of
445 management plans in addition to coverage to obtain a more in-depth evaluation of the
446 benefits provided by each protected area (Thieme *et al.*, 2016). Finally, the gap thresholds
447 can also be tailored to the specific requirements of different species (see Rodrigues *et al.*
448 (2004) for examples of species specific considerations of thresholds for gap species). Our
449 approach is justifiably conservative – the level of effective protection for freshwater
450 biodiversity is likely to be far less than assumed here. Nevertheless, we use this study to
451 indicate a theoretical best case scenario since any arbitrary threshold of coverage is not
452 necessarily an accurate representation of protection, if any. For instance, many protected
453 areas could be ‘paper parks’ or they could have management plans with little, if any, focus on
454 freshwater biodiversity. Generally, it is increasingly acknowledged that enlarging protected
455 areas may not be sufficient to protect freshwater biodiversity and to meet the ambitious goals
456 of international policies (Thieme *et al.*, 2016). Often there is a need for additional
457 conservation actions.

458

459 Hydrological connectivity among catchments is an important issue for freshwater
460 ecosystems, both across and within country borders (Hermoso *et al.*, 2011). Incorporating

461 connectivity would allow for spatial clumping along connected river networks scaled by
462 distance to the selected catchment with closer catchments having a higher penalty factor.
463 Incorporating connectivity would likely change our results by increasing the irreplaceability
464 of a larger number of suitable catchments within only a few river systems, resulting in a
465 spatially more compact solution (Hermoso *et al.*, 2011). Connectivity based on upstream,
466 downstream or bi-directional connectivity is possible to specify in Marxan (Beger *et al.*,
467 2010) and Zonation (Moilanen *et al.*, 2008) if a fully resolved topology of the river network
468 is available. For simplicity, Linke *et al.* (2012) applied upstream connectivity only, while a
469 heuristic whole-catchment approach was taken in Linke *et al.* (2007). Although the BLM
470 used in our prioritisations provides an approximation to connectivity, it does not consider the
471 river network, and clumping may take place across unconnected catchment boundaries. For
472 these reasons, we recommend inclusion of connectivity in future studies to ensure adequate
473 upstream protection of Critical Catchments.

474

475 The identification of Critical Catchments, and their component KBAs, provides a powerful
476 new tool for focusing greater investment on the conservation of freshwater species and their
477 habitats and for meeting international conservation targets such as in the CBD and the EU
478 Biodiversity Strategy (EC, 2011). We show how Critical Catchments for freshwater
479 biodiversity are distributed across Europe and that there are opportunities to strengthen
480 protection at these sites. We proposed an initial step in how Europe could prioritise globally
481 important Critical Catchments to meet the Aichi 17% protection target while making best use
482 of existing protected areas, and identified where such catchments might alternatively provide
483 a focus for habitat restoration targets. Our study highlights the potential areas where this
484 approach could work effectively in developing solutions through the science-policy-interface
485 and we hope it will serve as a model for others to follow. Efforts are now needed to engage

486 EU stakeholders in fine-tuning and ultimately implementing a strategy that addresses the
487 ongoing loss of freshwater biodiversity in Europe. This study represents an important first
488 step in this direction.

489

490

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492

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496 Innovation Office of Hungary (OTKA K106133, GINOP 2.3.3-15-2016-00019).

497

498

499 **DATA ACCESSIBILITY**

500

501 • Critical Catchment factsheets including trigger species lists:

502 <http://www.birdlife.org/datazone/freshwater>

503 • HydroBASINS layer (‘Format 2’): <http://hydrosheds.org/page/hydrobasins>

504 • The species distribution data are available from:

505 <https://www.iucn.org/theme/species/our-work/freshwater-biodiversity/what-we->

506 [do/biofresh-0](https://www.iucn.org/theme/species/our-work/freshwater-biodiversity/what-we-do/biofresh-0)

507

508

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678

679 **SUPPORTING INFORMATION**

680

681 Additional Supporting Information may be found in the online version of this article.

682 **Supplementary Methods**

683 **Table S1.** AZE catchments and species in Europe.

684 **Table S2.** Critical catchment area and proportion of coverage by Natura 2000 areas in EU

685 member states.

686 **Table S3.** List of CR and EN species not covered by Natura 2000 areas.

687 **Figure S1.** Critical Catchments for fishes, molluscs, aquatic plants and odonates.

688 **Figure S2.** Location of AZE catchments.

689 **Figure S3.** Critical Catchments common in Scenarios 1 and 2 and specific to Scenario 1 or 2.

690 **TABLES**

691

692 Table 1. Number of trigger species and number of triggered catchments for threatened species
 693 (C1), restricted range species (C2), and ecoregion restricted communities (C3) and all criteria
 694 (C1-3) for each taxon group. Note: the Total for catchments is the number of *distinct*
 695 catchments and is thus not the sum of the rows.

	Number of Trigger Species				Number of Triggered Catchments			
	C1-3	C1	C2	C3	C1-3	C1	C2	C3
Fishes	260	186	218	18	7547	7320	856	99
Molluscs	479	349	465	53	2724	2269	1621	1
Odonates	7	6	5	0	642	632	119	0
Plants	20	15	12	0	988	979	85	0
Total	766	556	700	71	8423	8144	2207	99

696

697 Table 2. Number of species for which targets were met or not in the three scenarios.

Red List status	Scenario 1		Scenario 2		Scenario 3	
	met	not met	met	not met	met	not met
CR	144	8	147	5	142	10
EN	141	5	144	2	142	4
VU	255	3	255	3	254	4
NT	96	11	96	11	97	10
LC	521	33	521	33	535	19
DD	60	19	60	19	62	17
Total	1217	79	1223	73	1232	64

698

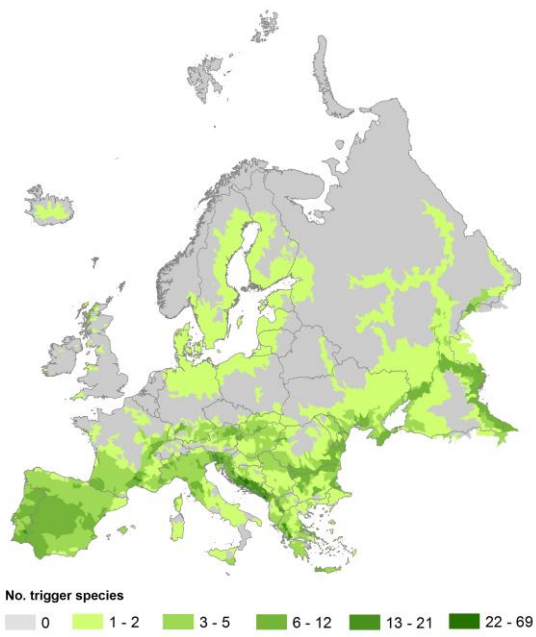
699 Table 3. Number of occurrences (“No. occ.”), area and percent of range covered by the best Marxan solution for threatened species (CR, EN, VU) for which targets were not
700 met. Empty cells indicate that targets were met.

Species name	Red List status	Scenario 1			Scenario 2			Scenario 3				
		Native range		No. occ. covered	Range covered		No. occ. covered	Range covered		No. occ. covered	Range covered	
		No. occ.	km ²		km ²	%		km ²	%		km ²	%
<i>Acipenser gueldenstaedtii</i>	CR	675	376,908	648	339,747	90.1	629	327,476	86.9	616	291,577	77.4
<i>Acipenser nudiiventris</i>	CR	126	91,634	123	87,358	95.3	124	89,449	97.6	120	81,001	88.4
<i>Acipenser persicus</i>	CR	213	162,252	189	129,834	80.0	187	124,698	76.9	183	114,496	70.6
<i>Acipenser stellatus</i>	CR	767	431,860	681	351,503	81.4	662	340,118	78.8	674	309,485	71.7
<i>Acipenser sturio</i>	CR	50	34,681	48	31,113	89.7				43	22,782	65.7
<i>Coregonus trybomi</i>	CR	30	11,192							28	9,198	82.2
<i>Huso huso</i>	CR	334	201,237	327	191,981	95.4				324	178,989	88.9
<i>Iberochondrostoma lusitanicus</i>	CR	45	29,024	40	22,692	78.2				40	22,937	79.0
<i>Margaritifera auricularia</i>	CR	153	64,066	128	48,298	75.4	145	58,467	91.3	131	48,560	75.8
<i>Pyrrhosoma elisabethae</i>	CR	25	18,959							24	17,482	92.2
<i>Boyeria cretensis</i>	EN	9	8,657	8	5,394	62.3						
<i>Bythinella viridis</i>	EN	6	5,450	5	3,967	72.8						
<i>Cobitis calderoni</i>	EN	386	203,908	282	119,515	58.6	289	131,018	64.3	311	126,993	62.3
<i>Hucho hucho</i>	EN	222	143,913	171	100,866	70.1				155	88,062	61.2
<i>Squalius lucumonis</i>	EN	55	41,042							45	28,513	69.5
<i>Theodoxus transversalis</i>	EN	703	387,681	497	241,054	62.2	496	240,831	62.1	533	234,013	60.4
<i>Acipenser ruthenus</i>	VU	1659	842,414	839	371,937	44.2	752	335,353	39.8	885	353,955	42.0
<i>Alisma wahlenbergii</i>	VU	111	58,433							80	27,573	47.2
<i>Coregonus maraena</i>	VU	1868	864,090	166	61,885	7.2	260	84,543	9.8	285	77,395	9.0
<i>Cyprinus carpio</i>	VU	2201	1,305,623	1189	537,980	41.2	1054	480,012	36.8	1206	491,621	37.7

701

702 **FIGURES – COLOUR FOR ONLINE VERSION ONLY**

703



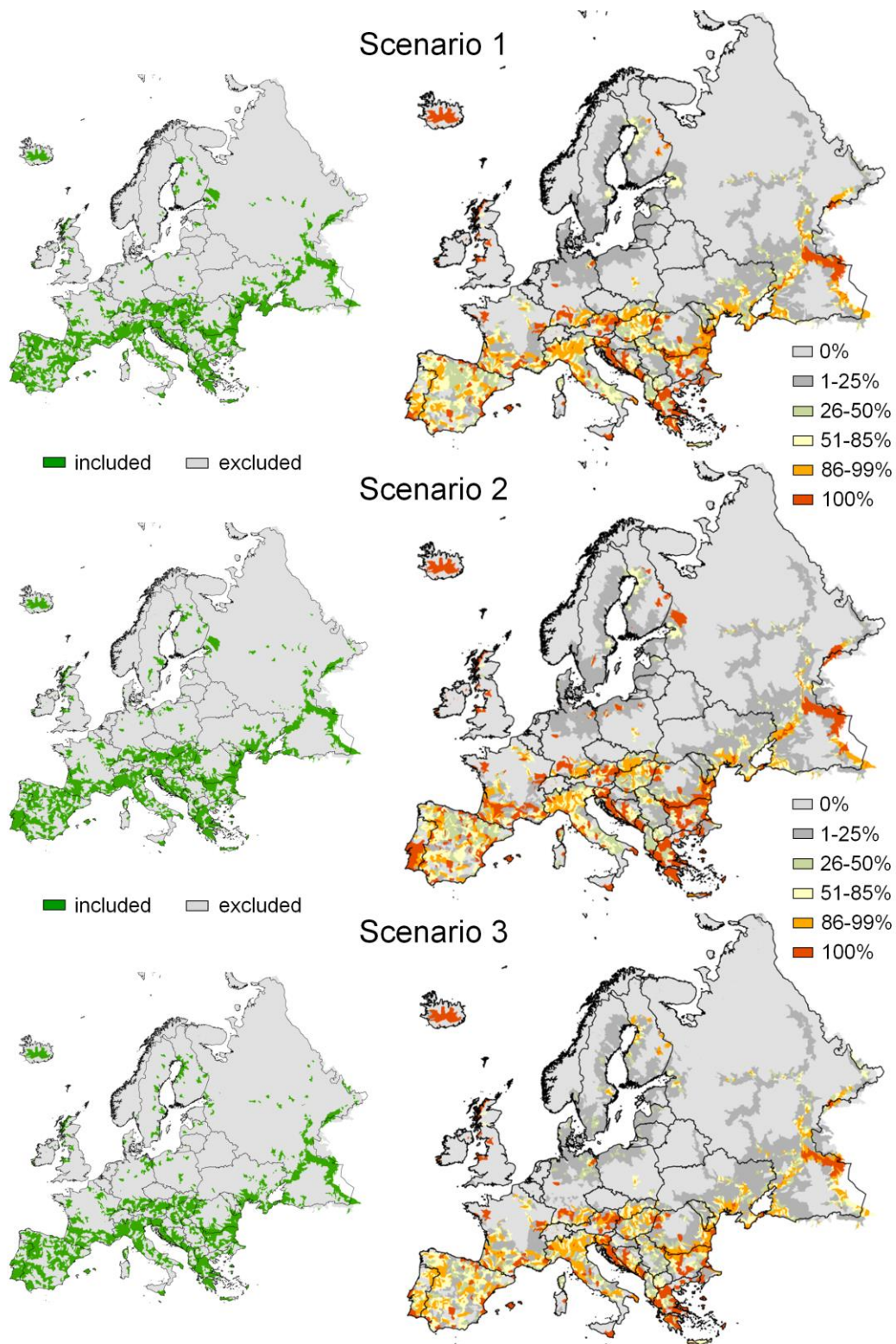
704

705

706 Figure 1. Critical Catchments for fishes, molluscs, odonates and aquatic plants, with

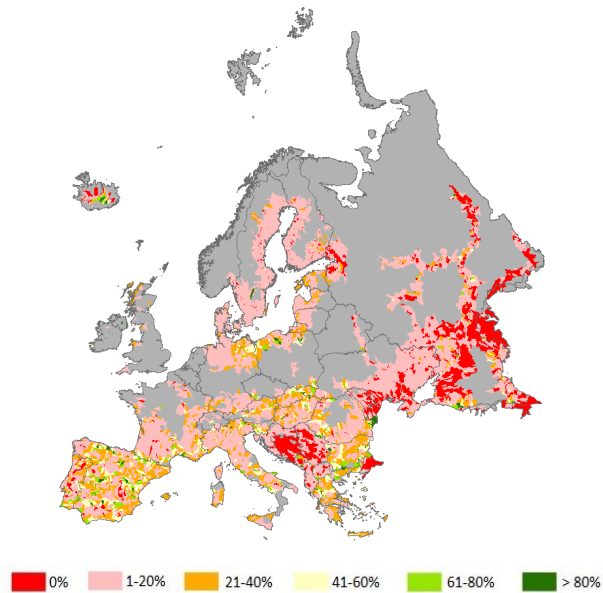
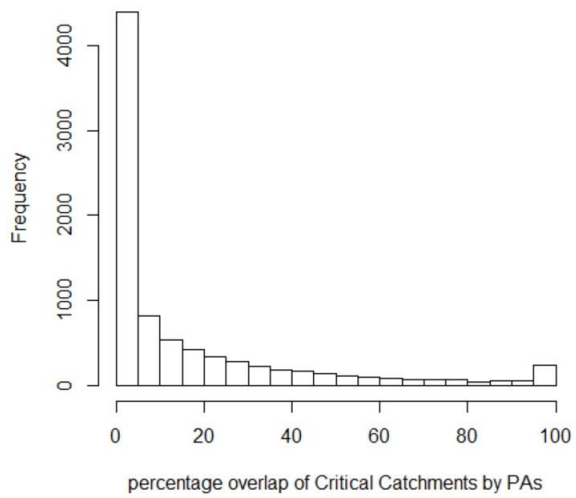
707 catchments shaded by the number of distinct trigger species.

708



709

710 Figure 2. Catchments included in the best solution of 1000 Marxan prioritisations (left
 711 column) and catchment irreplaceability as estimated by selection frequency (%) in 1000 runs
 712 of Marxan (right column) in the three scenarios.



728

729 Figure 3. Frequency distribution and spatial patterns in the percentage of overlap of Critical

730 Catchments by protected areas (PAs).