1	Should I whine or should I bark? Qualitative and quantitative differences between the
2	vocalizations of dogs with and without separation-related symptoms
3	
4	Pongrácz, Péter ¹ , Lenkei, Rita ¹ , Marx, András ¹ and Faragó, Tamás ^{1,2}
5	
6	¹ Department of Ethology, Eötvös Loránd University, Budapest, Hungary
7	² MTA-ELTE Comparative Ethological Research Group, Budapest, Hungary
8	
9	Corresponding author:
10	Péter Pongrácz
11	Department of Ethology, Eötvös Loránd University
12	Pázmány Péter s. 1/c
13	1117 Budapest
14	Hungary
15	
16	Email: <u>peter.pongracz@ttk.elte.hu</u>
17	
18	Phone: +36 30 262 1284

19 Abstract

20 Separation-related disorder (SRD) is one of the most common behavioral problems of companion dogs, causing inconvenience and stress for dog owners and others living close by, 21 22 as well as being considered as a major contributor to poor animal welfare. Although excessive 23 vocalization is considered as one of the typical symptoms of SRD, until now there were no attempts to analyze and compare the vocal output of affected and non-affected dogs in a 24 systematic, empirical test. In a three-stage outdoor separation experiment we investigated the 25 26 vocal response of 25 family dogs with, and 20 family dogs without, owner-reported SRD symptoms to the (1) departure; (2) absence; and (3) return of the owner. After the analysis of 27 28 the occurrence and onset latency of barks and whines, we found that contrary to the commonly held view of excessive barking being one of the trademarks of SRD, dogs with 29 owner-reported SRD symptoms can be reliably characterized by the early onset and high 30 occurrence of whines during the departure and 2 min long absence of the owner, while barks 31 were affected mainly by the age of the dogs. Breed and neuter status may modify the vocal 32 reaction to separation, we found that more purebred dogs barked sooner, while breed and 33 34 neutering status affected the whines only during the departure of the owner, showing that 35 more mixed breeds and intact dogs whined in this phase. This is the first study that targeted directly the vocal response of family dogs to separation from the owner, and according to the 36 results, whines and barks reflect potentially different motivational/inner states of dogs during 37 a short isolation episode. Although the effect of other factors, such as sex, neuter status and 38 breed cannot be ignored, the owner reported SRD status of dogs showed a high coincidence 39 with the early onset of whining, which in turn proved to be a good indicator of high stress 40 levels of dogs in this situation. 41

42

43 Keywords: dog, separation related disorder, vocalization, whine, bark

44 Introduction

Dogs became increasingly popular as pets/companion animals in the urbanized world in the 45 last few decades (McConnell et al., 2011). The benefits of having a dog are well documented 46 from the side of recreational and emotional aspects (Archer, 1997), as well as the safety and 47 health of the owner (Cutt et al., 2007; Friedman et al., 1983). However, with a relatively large 48 proportion of the population involved directly or indirectly in coexisting with dogs, demands 49 of both human and animal welfare arise as well. As it is more and more common that 50 51 companion dogs spend longer periods of time alone while their owners are not at home, the 52 way dogs cope with situations of separation draws growing interest (Sherman and Mills, 2008). The apparent behavioral extremities in particular dogs accompanying the shorter-53 54 longer absence of the owner, form a rather coherent system of symptoms (destructiveness (King et al., 2000); inappropriate and unprovoked soiling in the building (Overall et al., 55 2001); hypersalivation (Sherman, 2008); and excessive vocalization (Schwartz, 2003)) which 56 have been called 'separation anxiety' (Flannigan and Dodman, 2001; Simpson, 2000), or 57 more recently separation-related disorder 'SRD' (Appleby and Pluijmakers, 2004). Such 58 59 symptoms are not only burdening the co-existence between dogs and humans (Lindell, 1997), 60 but represent a serious problem for the welfare of the animal, requiring veterinary (e.g. Gruen 61 and Sherman, 2008; Herron et al., 2008; Simpson et al., 2007) or therapeutic intervention 62 (King et al., 2000; Podberscek et al., 1999; Sherman et al., 2008; Takeuchi et al., 2000), and often resulting in the relinquishment of the dog to a shelter (Flannigan and Dodman, 2001; 63 Marston et al., 2004; Takeuchi et al., 2001). 64

Based on the theory of dog-human attachment, being separated from the owner causes
a manageable level of distress in each dog that belongs to a particular person or family (Topál

et al., 1998). During the diagnosis of SRD one should be able to distinguish between milder 67 68 cases of symptomatic behavior and the signs of 'ordinary' attachment (e.g. Flannigan and Dodman, 2001; Parthasarathy and Crowell-Davis, 2006). Veterinarians, behavioral therapists 69 and researchers often base their decision on surveying the owners with questionnaires, as it is 70 71 usually the owner who experiences the response of his/her dog to separation (e.g. Overall et al., 2001; Podberscek et al., 1999; Takeuchi et al., 2000). Especially for reasons of confirming 72 the presence of separation anxiety in particular canine patients, long-term video recordings 73 may be taken in the home of the dog and evaluated later (e.g. Palestrini et al., 2010). 74 Meanwhile this type of observation provides a valuable wealth of information about the 75 76 occurrence of various behavioral elements of affected dogs, the process is somewhat awkward 77 to perform and these studies usually lack the involvement of control groups of non-SRD dogs (e.g. Lund and Jørgensen, 1999; Palestrini et al., 2010). A different approach to testing of 78 79 separation-related behaviors concentrates on inducing experimentally separation-related stress with a short isolation of the dog from the owner in a controlled environment (e.g. Borg et al., 80 1991; Konok et al., 2011)., There are promising results where simple behavioral tests (such as 81 the 'separation & greeting' paradigm of Konok et al. 2011) could validate the reliability of 82 owner-based questionnaires about SRD in dogs. On the other hand, the evaluation of these 83 84 tests can be rather complicated because the observer/evaluator must record and analyze a 85 rather high number of behavioral variables, which may be rather subtle and hard to distinguish (see for example Konok et al., 2011; Palestrini et al., 2005; Palmer and Custance, 2008; Prato-86 87 Previde et al., 2003). Vocalizations on the other hand theoretically offer a rather straightforward method for evaluating the status of dogs regarding their response to 88 separation. Dogs often vocalize when they are isolated from or left alone by their owner 89 (Kobelt et al., 2003), and there is ample evidence that SRD can be characterized by 90 'excessive' vocal behavior (Juarbe-Díaz, 1997). 91

92 Although vocal behaviors are often mentioned among the symptoms of SRD (see for a 93 review Ogata, 2016), the detailed analyses of the vocal responses of dogs to separation are surprisingly rare, especially from the aspect of their possible applicability for diagnostic 94 purposes regarding SRD. Authors mostly list different types of vocalizations (howls, barks, 95 96 whines) as typical behaviors during separation (e.g. Horwitz, 2000), and in some cases they also provide a temporal analysis of the onset of vocal responses to separation. Lund and 97 Jorgensen (1999) found for example that (along other SRD-related behaviors) whining 98 reaches its peak intensity shortly after the owner's departure. However, until now by our 99 100 knowledge no attempt was made for the qualitative comparison of vocal patterns in SRD and 101 non-SRD dogs, with a specific interest towards the possible differences between the 102 communicative content of different types of canine vocalizations. It is already known that dogs that were left alone by their owners either in a room (Yin, 2002) or on the street tied to a 103 104 tree (Pongrácz et al., 2005; 2006; 2014) emit barks with clearly distinguishable acoustic structure (high fundamental frequency, high tonality, low pulse). Human listeners can 105 recognize these barks significantly above chance level (Molnár et al., 2010; Pongrácz et al., 106 2005; 2011); and they also characterize the barks of isolated dogs as showing high levels of 107 108 despair and fear (Pongrácz et al., 2005; 2006). Recently it was also found that barks that show 109 the acoustic characteristics of the vocalizations recorded during separation cause especially 110 strong nuisance effect among human listeners (Pongrácz et al., 2016). However, it has not been investigated yet whether patterns of isolation-related barking would differ between dogs 111 112 with or without SRD. Besides the barks that can be considered as medium-to-long distance calls and if emitted in isolation, there are also other vocalizations that can be relevant in the 113 analysis of SRD. In an earlier comparative work, Cohen and Fox (1976) listed whines and 114 howls in addition to barking, as vocalizations typical to dogs being left alone. Although barks 115 116 and howls definitely possess the intensity and duration to be detectable from larger distances,

one could hypothesize that the more elusive (i.e. less intense, and/or short distance) whines 117 118 could specifically signal the higher levels of distress in a dog affected by SRD. Some authors characterize whines as a typical form of vocalization in dogs that experience frustration and 119 other negative inner states (Custance and Mayer, 2012; Palestrini et al., 2010). Moreover, the 120 121 similarity of their acoustic structure to the general pattern of infant distress calls (Lingle et al. 2012) suggest that these vocalizations can be the remnants of infant contact calls functioning 122 123 in the adult dogs as a distress vocalization signaling the negative inner state of the dog to the owner. Accordingly, Lund and Jorgensen (1999) considered whines of SRD-dogs as 124 "attention-soliciting" behavior, which fits well to our hypothesis that meanwhile a large 125 126 proportion of dogs vocalizes during a separation episode, the emotional background of this 127 may differ between SRD and non-SRD dogs. According to this, subjects with separationrelated symptoms would emit mostly fear and distress-related vocalizations (including a 128 higher proportion of whines), non-SRD dogs could be rather characterized by vocalizations 129 related to protest and frustration (higher prevalence of barks). 130

In this paper we present the results of an experiment in which we compared the vocal 131 132 responses of dogs with or without owner-reported separation related problems during a short 133 outdoor separation episode. For the assessment of the SRD status of dogs, we used the validated questionnaire of Konok et al. (2011). In that study, authors set up a short indoor 134 135 separation situation for the assessment of whether the owners are able to recognize (via the completion of a questionnaire) their dog's separation related problems. The questionnaire 136 contained questions about the emotions of the owner when the dog is left alone and about the 137 general opinion of the owner about the stress level of the dog when it's left alone. It was 138 139 found that dogs with owner-reported SRD showed more stress-related behavior (e.g.: vocalizing, physical contact with the door, rearing on the wall or the door), they spent less 140 time near the owner's chair during separation, and showed more intense greeting activity than 141

dogs without SRD. Non- affected dogs' activity decreased with increasing separation
duration, but dogs with SRD did not show this change in their separation behavior. Based on
these results, in agreement with Konok et al., we can conclude that the owners can report
reliably their dog's separation related problems.

146 Our question was whether the vocalizations of dogs with owner-reported SRD show qualitative and quantitative differences compared to the vocalizations of dogs that do not 147 show SRD symptoms at home. We hypothesized that dogs with SRD will not only bark and 148 149 whine more abundantly than non-affected dogs (which could be expected based on the literature (e.g. Lund and Jørgensen, 1999)), but we expected that whines will be the more 150 prevalent vocalization of SRD (compared to barks), because we hypothesized that whining is 151 the vocal manifestation of the negative inner state evoked by the absence of the attachment 152 figure of the dogs. We also tested for the possible effect of age, sex, neuter status and breed 153 (mixed or purebred) of dogs on their vocal responses. Although there are sporadic reports that 154 the dogs' breed may affect their response to separation (i.e. mixed breed dogs more often 155 show SRD symptoms – Takeuchi et al., 2001), and behavioral problems are in general more 156 157 common in intact males than in female dogs (Takeuchi et al., 2001), there are also other 158 indications that occurrence of SRD is independent of breed and dogs' sex (i.e. Flannigan and Dodman, 2001; Wright and Nesselrote, 1987). Therefore we hypothesized that the actual SRD 159 160 status of a dog will have a stronger effect on the vocal responses to separation than the dogs' sex or purebred status. 161

162

163 Materials and methods

164 Subjects

The subjects (N=45) were adult family dogs (older than one year, mean age: $4 \pm$ years). Table 165 166 1 shows the breed and sex of the subjects. Dog owners were contacted and invited to the test on the basis of an online questionnaire about the vocal habits of dogs 167 (https://goo.gl/forms/RBWgsY008Ru9rIs63) - we chose dogs where the owner had indicated 168 169 that the dog vocalizes when left alone in a strange place. No other restrictions regarding the breed or sex of the dogs were made. Further assignment of the subjects into experimental 170 171 groups was done with the help of another questionnaire (Konok et al., 2011) – see the next paragraph. Owners of the dogs were informed about the goals and circumstances of the 172

experimental procedure a priori. Owners were present during the tests and we informed them

that they can interrupt the experiment and withdraw their dog from participation if by their

175 consideration the test was too stressful for their dog. The Animal Welfare Committee of the

176 Eötvös Loránd University reviewed and accepted the protocol of the experiment (Ref. no.:

177 PEI/001/1056-4/2015).

178

179 Experimental groups

Based on the owners' answers given to the questionnaire developed and validated by Konok et al. (2011), subjects were sorted into the SRD (N=25; 11 males and 14 females; 16 purebred and 9 mixed breed) or the non-SRD (N=20; 14 males and 6 females; 11 purebred and 9 mixed breed) group – see Table 1. Dogs were sorted to the SRD group if the owner answered 'yes' to the question "Does your dog have separation anxiety, or any behavioral problem in connection to being left alone?"

186

187 Experimental procedure

The setup of the testing environment is shown in Figure 1. Dogs were tested outdoors, at the campus site of the Eötvös Loránd University, Budapest. The experiments were conducted during daylight, on a flat, grassy area, with minimal to no disturbance from people passing by in the distance.

The owner tethered the dog to a tree with a 1.5 m long leash, then he/she left the dog (after saying a brief sentence such as: "Be good, I will be back soon" etc.) and walked away in a straight line, until he/she disappeared behind the corner of a building 45 m away. We gave a timer to the owners that they started when they left the dog. When 3 min had elapsed, the owner reappeared from behind the building and walked back straightly to the dog. When he/she arrived, they greeted and unleashed the dog and the test was over.

During the test, we recorded the behavior and vocalizations of the subjects with a 198 199 Panasonic HDC-SD10 video camera and a Sennheiser ME-66 shotgun microphone with K-6 power module connected to a Zoom H4n handheld audio recorder (PCM WAV 44.1 kHz, 16-200 bit). The devices were placed on tripods and handled by two experimenters (MA, LR and 201 occasionally FT) who stayed with the dog but avoided any kind of interaction with the 202 203 subject, including eye contact as well. One of the experimenters indicated verbally on the 204 recordings the moment when the owner disappeared and again when he/she reappeared from the building. 205

206

207 Data analysis

From the recorded audio and video material we extracted the latency of first occurrence and the frequency of barks and whines. Extraction and analysis were performed by a researcher who was not aware of the group assignment of the subjects. Data extraction was performed by Solomon Coder (beta 15.03.15, copyright by András Péter). An independent coder reanalyzed 12 randomly chosen videos for reliability testing. The coded latencies (Pearson's correlation,

213 barks - phase 1: r=0.999; p<0.001; phase 2: r=1; p<0.001; whines – phase 1: r=0.892;

p<0.001; phase 2: r=0.952; p<0.001) and frequencies (Pearson's correlation, barks - phase 1:

215 r=0.86; p<0.001; phase 2: r=0.873; p<0.001; whines – phase 1: r=0.936; p<0.001; phase 2:

r=0.918; p<0.001) showed strong correlation between the two coders thus we accepted the

coding to be reliable.

Both in case of barks and whines the occurrences and latencies were analyzed on a 0.2s time 218 219 basis. We considered two series of barks or whines as separate units if at least 0.4 s pause separated them. Each test was divided to three phases: departure (owner walks away from the 220 221 dog, until disappearance); absence (owner is behind the building); return (owner re-appears and walks back to dog). Barks and whines were coded separately within the three phases. We 222 first measured an overall latency of vocalizations during the separation (departure and 223 absence phase together). As the departure phase was qualitatively different from the real 224 separation as the owner was still visible during this phase, we also calculated and analyzed the 225 latencies for the departure separately. As in the return phase the majority of the subjects 226 227 remained silent, we omitted it from further analysis. Frequencies were measured separately in 228 the first two phases, however due to the high number of non-vocalizing dogs, models with 229 Poisson or negative binomial distributions showed low level of fit, we therefore decided to 230 use this data in a simplified way, marking only the presence or absence of whines/barks. For both types of vocalization the following fixed factors were used: SRD-status, sex, 231 neutered/spayed vs. intact, and breed (purebred vs. mixed breed) and age. All analyses were 232 performed in R (R Core Team, 2016). 233

The occurrence of barks and whines was analyzed with Generalized Linear Models with Binomial response with logit link (glm function of stats package). We performed model selection by step-wise combined elimination/addition of main effects (based on Akaike

Information Criterion, stepAIC function in MASS package). Latencies were analyzed with
Cox-regression (coxph function of the survival package), followed again by the same model
selection. In both cases results from the final models are reported (for details see Tables 2-3).

240

241 **Results**

242 Barks

243 We found a significant effect of age in case of the latency of barking: while the owner left and

remained hidden from sight, older dogs started to bark later (cox-regression (LR test):

245 $\chi^2(1)=4.321$; p=0.037; AIC= 165.166), while during the departure phase only (cox-regression

246 (LR test): $\chi^2(2)=10.05$; p=0.006; AIC=102.33) we found the age (($\chi^2(1)=8.13$; p=0.004) and

breed ($\chi 2(1) = 4.14$; p=0.042) of the dog significantly affecting the latency of barks: younger

248 dogs and purebreds bark sooner while the owner leaves.

In contrast, we found that only the age of the dogs had a significant negative effect on the

occurrence of barking behavior (binom GLM (LR test): $\chi^2(2) = 8.181$; p=0.016; AIC=

251 55.105). Older dogs barked significantly less during the departure of the owner (z=-2.109;

p=0.035 (Figure 2). While the owner was not visible for the dog, we found only a non-

significant trend effect of age (binom GLM (LR test): $\chi^2(1) = 3.816$; p=0.051; AIC= 62.367).

254 Whines

In the case of whine latencies, during the entire separation we found a strong effect of SRD status (cox-regression (LR test): $\chi^2(1)=4.699$; p=0.03; AIC= 238.498). Dogs with owner reported separation problems started to whine with two times higher probability than the non-SRD subjects (Exp(B)[95%CI]= 2.064 [1.061, 4.014]; p= 0.033) (Figure 3). During the

departure phase the final model showed a non-significant trend (cox-regression: χ2(1)= 2.761;
p=0.097; AIC= 187.847).

261	In the case of the occurrence of whines, our final model was also significant (binomial
262	GLM (LR test): $\chi^2(3)$ = 8.657; p=0.034; AIC = 59.01) and showed significant effect of SRD
263	(z= 2.091; p=0.037), neuter status (z= -1.974; p=0.048) and breed (z= 1.974; p=0.048) in the
264	departure phase. Significantly more dogs with SRD whine than non-SRD dogs (Figure 4), and
265	mixed and intact dogs also whine more. Similarly, the occurrence of whines was also affected
266	significantly by the SRD status of the dogs during the absent owner phase (binomial GLM
267	(LR test): $\chi^2(2) = 7.027$; p= 0.03; AIC= 41.094). Significantly more dogs with owner reported
268	separation problems whined during the absence of the owner than non-SRD dogs did ($z=2$.
269	168; P= 0.03).

270

271 **Discussion**

272 The experiments presented here revealed the complexity of vocal responses of dogs to an 273 outdoor separation episode from their owners. Dogs with owner-reported symptoms of separation related disorder (SRD) vocalized differently than non-SRD dogs during the 274 departure and the absence of their owners. Barks, as expected, were observed frequently in 275 these phases of the experiment, however, this type of vocalization was not influenced by the 276 277 SRD status, only by the age and breed of the dogs Whines on the other hand, were not only the other frequently encountered type of vocalization during the departure and absence phases 278 of the experiment, but the occurrence and onset of whining gave an excellent match with the 279 280 SRD status of the subjects. SRD-dogs start to whine sooner than dogs with no SRD symptoms, and more SRD-dogs whine than non-SRD dogs in both phases (departure and 281 absence) of the separation test. Whining was additionally affected by the neuter status 282

(neutered/spayed dogs start to whine sooner, but eventually more intact dogs whined), and ofthe breed (purebreds whine more).

The main goal of this study was to find out whether dogs with owner-reported SRD 285 symptoms vocalize differently than non-SRD dogs in a short episode of outdoor isolation 286 287 from the owner. Rather surprisingly, the results showed that excessive barking was not the most typical form of vocalization in SRD-dogs. Abundant ('excessive') barking is one of the 288 main and most noticeable symptoms of separation-related behaviors based on both 289 290 questionnaire and descriptive surveys (Juarbe-Diaz, 1997; Kobelt et al., 2003; Lund and Jørgensen, 1999; Parthasarathy and Crowell-Davis, 2006; Wells and Hepper, 2000). However, 291 292 in our experiment dogs that were reportedly affected by SRD did not bark more frequently or sooner than the non-affected subjects. Instead, dogs' age was the most influential factor on the 293 onset and abundance of barks – younger dogs started to bark sooner and barked more than 294 older dogs did. It should be noted that our sample did not include juvenile dogs and had only a 295 moderate fraction of old subjects (over 10 years of age). Therefore the found pattern can be 296 considered as characteristic for the adult companion dogs. Our results can be explained with 297 298 ontogenetic reasons – younger dogs are considered more active and excitable than older ones 299 (Siwak et al., 2002; Vas et al., 2007), meanwhile older dogs might became more experienced with shorter periods of isolation from their owner, therefore show less stress and start to bark 300 301 later and less than the younger dogs.

It is possible that barking becomes 'excessive' only after a longer separation from the owner (see for example Lund and Jorgensen (1999)) – although in other experimental studies researchers found behavioral differences between SRD and non-SRD dogs also relatively quickly (e.g. Konok et al., 2011; Mendl et al., 2010). Earlier it was also found that dogs bark readily when their owner leaves them alone on the street or in a park, therefore this particular 'alone' context was used regularly for collecting bark samples in many acoustic studies (e.g.

Maros et al., 2008; Molnár et al., 2009; Pongrácz et al., 2005; 2014). There is a possibility
that the barks of SRD-affected dogs show qualitative differences compared to the non-SRD
dogs. In a recent study (Pongrácz et al., 2016) we found that barks that show acoustic
structure typical to dogs in separation elicit the strongest nuisance effect in human listeners. If
the barking of SRD dogs is more annoying for the nearby audience, this can cause an overrepresentation of this behavior in the reports concerning symptoms of separation anxiety.

Regarding the role of other factors in determining the vocalization pattern of dogs 314 315 during separation from the owner, the purebred status of the subjects had a somewhat contradicting effect to the findings of Takeuchi et al. (2001). They reported that mixed breed 316 317 dogs were showing symptoms of SRD more often than purebred dogs, in contrast to our study where purebred subjects although barked sooner, but more mixed breeds whined than 318 purebred dogs when the owner left them behind. As in our sample barking behavior had no 319 320 connection with the owner reported SRD status, this also suggests that whining can be a better indicator of separation problems. Our results are in accordance with the recent findings of 321 Turcsán et al., (2017), who found in a large-scale questionnaire study that mixed breed dogs 322 323 exhibited more behavioral problems and they were less calm than purebreds – even if the 324 samples were controlled for possibly influential demographic factors (like the neuter status or age of the dog when it was adopted by the owner). 325

Based on the literature, a dog's sex is not among those factors that commonly influence the onset of SRD symptoms (e.g. Wright and Nesselrote, 1987; Flannigan and Dodman, 2001). Although other types of behavioral problems, such as different forms of aggression, are reported more frequently in intact male dogs (e.g. Borchelt, 1983), separation anxiety is found to be rather typical for the spayed/neutered dog population (Flannigan and Dodman, 2001). Accordingly, in our study dogs' sex did not have a decisive effect on the vocal behavior of the subjects, while the neuter status had an effect on the occurrence of

whining: more intact dogs whined during the departure of the owner. The connection between 333 334 neuter status and the onset of SRD symptoms is rather controversial in the literature – while Flannigan and Dodman (2001) found no effect of neutering on SRD, a later study (McGreevy 335 and Masters, 2008) mentioned that intact dogs showed a higher probability for SRD 336 337 symptoms than neutered/spayed ones. Regarding the results of our study, neuter status affected dogs' vocal behavior only in the departure phase (when the owner was still visible). 338 Regardless of their sex, a higher proportion of intact dogs emitted whines than 339 neutered/spayed dogs during this phase. 340

The main finding in our study was that dogs with SRD symptoms whined 341 significantly sooner than non-SRD dogs and more SRD-dogs also whined during the first two 342 phases of the test than subjects with no reported symptoms of SRD. In other words, dogs that 343 whined sooner and in the first two phases of the test were the ones that the owners 344 characterized as being affected with separation anxiety in the questionnaire. Whine is a well-345 known manifestation of frustration and negative inner state in dogs (e.g. Custance and Mayer, 346 2012; Palestrini et al., 2010), however, as it is a relatively low-intensity sound, whining is 347 348 seldom noticed in the case of SRD-dogs, meanwhile the more robust (e.g. elimination, 349 destructive behavior) or longer distance (bark, howl) behaviors evoke stronger responses. Although whining was found as being included to the vocal output of SRD-dogs in some 350 earlier studies (e.g. Lund and Jorgensen, 1999), the possible specificity of this type of 351 352 vocalization to separation-related problems has not been directly addressed so far. The lack of attention-eliciting volume of dog whines warrants for the possibility of inaccurately diagnosed 353 separation-related symptoms in common veterinary practice, as dog owners concentrate 354 understandably on the more obvious symptoms. However, in case of need for quick 355 behavioral assessment, the early onset and dominant presence of whines may represent a 356 useful tool in determining the likelihood of a dog having problems with separation. 357

From the aspect of communicative relevance, barking can be considered as the 358 359 behavioral stress response of dogs protesting against being isolated from their owner, especially when left alone at a strange place. Several studies showed that left alone dogs often 360 bark and their barks are easy to recognize contextually (Pongrácz et al., 2005). Lund and 361 362 Jorgensen (1999) found that left alone dogs with SRD symptoms react easily with barking to external stimuli, and importantly, they keep on barking longer time, with a more and more 363 higher pitched bark that can be attributed to frustration. Wild relatives of dogs do not bark in 364 isolation (Cohen and Fox, 1976; Tembrock, 1976), and according to a theory, parallel with 365 domestication different acoustic variants of dog barking occupied several new communicative 366 367 'niches' related to dog-human communication (Pongrácz et al., 2010). As barks emitted in 368 isolation are considered by human listeners mostly as 'fearful' and 'desperate' (Pongrácz et al., 2011; Molnár et al., 2010), we can assume that these vocalizations may in turn elicit 369 370 helping/caregiving behavior from humans. Therefore when a dog barks when it is left alone at 371 an unknown place it can be considered as an adaptive communicative behavior. Contrary to this, whining is a form of vocalization that occurs in similar circumstances in dogs and their 372 close relatives (Tembrock, 1976), and can be considered as a footprint of negative inner states 373 374 - distress is signaled not only in dogs, but even in human infants (Green et al., 2011; Johnson 375 et al., 1975). The fact that in our study whining was characteristic to SRD dogs during the 376 separation episode shows that these dogs may emit this kind of subtle vocalization rather as a symptom of their negative arousal (distress) than of any kind of communicative relevance. 377 378 The function of such subtle, short-range vocalizations may be contact/comfort seeking in young puppies (Panskepp et al., 1978), therefore in adult dogs this stress-related behavior may 379 be re-directed towards the owner. 380

In conclusion, we emphasize that the quickly emerging whining cannot be
underestimated as a canine SRD-symptom, and additionally it is an easy to elicit and detect

behavioral response amid simple circumstances. Compared to dog barks that may convey a
wide spectrum of inner states (from aggression to fear, frustration and joy), the emotional
background of whines is simpler and more focused on negative states. Our results show that
the abundance and early onset of whines correlates well with owner-reported SRD symptoms
in family dogs, contrary to barking that appears both in SRD and non-SRD dogs during short
outdoor separation episodes.

389

390 Acknowledgements

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program (Grant Agreement No. 680040), the Stanton Foundation's Next Generation Canine Research Grant, the Office for Research Groups Attached to Universities and Other Institutions of the Hungarian Academy of Sciences and the Hungarian Academy of Sciences (MTA 01 031). The authors are thankful for the photograph and drawing on Figure 1 to Leéb Ádám, and to Celeste R. Pongrácz for proofreading the manuscript.

398

399 Literature cited

- 400 Appleby, D., Pluijmakers, J., 2004. Separation anxiety in dogs: The function of homeostasis
- 401 in its development and treatment. Clin. Tech. Small. An. P. 19, 205–215.
- 402 Archer, J., 1997. Why do people love their pets? Evol. Hum. Behav. 18, 237–259.
- 403 Borchelt, P.L., Lockwood, R., Beck, A.M., Voith, V.L., 1983. Attacks by packs of dogs
- 404 involving predation on human beings. Public. Health Rep. 98, 57.

- 405 van der Borg, J.A., Netto, W.J., Planta, D.J., 1991. Behavioural testing of dogs in animal
- 406 shelters to predict problem behaviour. Appl. Anim. Behav. Sci. 32, 237–251.
- 407 Cohen, J.A., Fox, M.W., 1976. Vocalizations in wild canids and possible effects of
- 408 domestication. Behav. Process. 1, 77–92.
- 409 Custance, D., Mayer, J., 2012. Empathic-like responding by domestic dogs (*Canis familiaris*)
- 410 to distress in humans: an exploratory study. Anim. Cogn. 15, 851–859.
- 411 Cutt, H., Giles-Corti, B., Knuiman, M., Burke, V., 2007. Dog ownership, health and physical
- 412 activity: A critical review of the literature. Health Place. 13, 261–272.
- Flannigan, G., Dodman, N.H., 2001. Risk factors and behaviors associated with separation
 anxiety in dogs. J. An. Vet. Med. A. 219, 460–466.
- 415 Friedmann, E., Katcher, A.H., Thomas, S.A., Lynch, J.J., Messent, P.R., 1983. Social
- 416 interaction and blood pressure: Influence of animal companions. J. Nerv. Ment. Dis. 171,
 461–465.
- 418 Green, J.A., Whitney, P.G., Potegal, M., 2011. Screaming, yelling, whining, and crying:
- 419 categorical and intensity differences in vocal expressions of anger and sadness in children's
 420 tantrums. Emotion. 11, 1124.
- 421 Gruen, M.E., Sherman, B.L., 2008. Use of trazodone as an adjunctive agent in the treatment
- 422 of canine anxiety disorders: 56 cases (1995–2007). J. Am. Vet. Med. A. 233, 1902–1907.
- Herron, M.E., Shofer, F.S., Reisner, I.R., 2008. Retrospective evaluation of the effects of
 diazepam in dogs with anxiety-related behavior problems. J. Am. Vet. Med. A. 233, 1420–
 1424.

- 426 Horwitz, D. F., 2000. Diagnosis and treatment of canine separation anxiety and the use of
- 427 clomipramine hydrochloride (Clomicalm). J. Am. Anim. Hosp. Assoc. 36, 107-109.
- 428 Johnson, J.E., Kirchhoff, K.T., Endress, M.P., 1975. Altering children's distress behavior
- 429 during orthopedic cast removal. Nurs. Res. 24, 404–410.
- 430 Juarbe-Diaz, S.V., 1997. Assessment and treatment of excessive barking in the domestic
- 431 dog. Vet. Clin. N. Am.-Small. 27, 515–532.
- 432 King, J.N., Simpson, B.S., Overall, K.L., Appleby, D., Pageat, P., Ross, C., Chaurand, J.P.,
- Heath, S., Beata, C., Weiss, A.B., Muller, G., 2000. Treatment of separation anxiety in dogs
- 434 with clomipramine: results from a prospective, randomized, double-blind, placebo-controlled,
- 435 parallel-group, multicenter clinical trial. Appl. Anim. Behav. Sci. 67, 255–275.
- 436 Kobelt, A.J., Hemsworth, P.H., Barnett, J.L., Coleman, G.J., 2003. A survey of dog
- 437 ownership in suburban Australia—conditions and behaviour problems. Appl. Anim. Behav.
 438 Sci. 82, 137–148.
- 439 Konok, V., Dóka, A., Miklósi, Á., 2011. The behavior of the domestic dog (*Canis familiaris*)
- 440 during separation from and reunion with the owner: A questionnaire and an experimental
- 441 study. Appl. Anim. Behav. Sci. 135, 300–308.
- Lindell, E.M., 1997. Diagnosis and treatment of destructive behavior in dogs. Vet. Clin. N.
 Am.-Small. 27, 533–547.
- Lingle S, Wyman MT, Kotrba R, Teichroeb LJ, Romanow CA. 2012 What makes a cry a cry?
- 445 A review of infant distress vocalizations. Curr. Zool. 58, 698-726.
- 446 Lund, J.D., Jørgensen, M.C., 1999. Behaviour patterns and time course of activity in dogs
- 447 with separation problems. Appl. Anim. Behav. Sci. 63, 219–236.

- Maros, K., Pongrácz, P., Bárdos, Gy., Molnár, Cs., Faragó, T., Miklósi, Á. 2008. Dogs can
 discriminate barks from different situations. Appl. Anim. Behav. Sci. 114, 159–167.
- 450 Marston, L.C., Bennett, P.C., Coleman, G.J., 2004. What happens to shelter dogs? An
- 451 analysis of data for 1 year from three Australian shelters. J. Appl. Anim. Welf. Sci. 7, 27–47.
- 452 McConnell, A.R., Brown, C.M., Shoda, T.M., Stayton, L.E., & Martin, C.E., 2011. Friends
- with benefits: on the positive consequences of pet ownership. J. Pers. Soc. Psychol. 101,1239-1252.
- 455 McGreevy, P.D., Masters, A.M., 2008. Risk factors for separation-related distress and feed-
- 456 related aggression in dogs: additional findings from a survey of Australian dog owners. Appl.
- 457 Anim. Behav. Sci. 109, 320–328.
- Mendl, M., Brooks, J., Basse, C., Burman, O., Paul, E., Blackwell, E., Casey, R., 2010. Dogs
 showing separation-related behaviour exhibit a 'pessimistic' cognitive bias. Curr. Biol. 20,
 839–840.
- 461 Molnár, Cs., Pongrácz, P., Faragó, T., Dóka, A., Miklósi, Á., 2009. Dogs discriminate
- between barks: The effect of context and identity of the caller. Behav. Proc. 82, 198-201.
- 464 Molnár, Cs., Pongrácz, P., Miklósi, Á., 2010. Seeing with ears: sightless humans' perception
- of dog bark provides a test for structural rules in vocal communication. Q. J. Exp. Psychol.
- **466 63**, 1004–1013.
- 467 Ogata, N., 2016. Separation anxiety in dogs: What progress has been made in our
- understanding of the most common behavioral problems in dogs? J. Vet. Behav. 16, 28-35.

- 469 Overall, K.L., Dunham, A.E., Frank, D., 2001. Frequency of nonspecific clinical signs in dogs
- 470 with separation anxiety, thunderstorm phobia, and noise phobia, alone or in combination. J.
- 471 Am. Vet. Med. Assoc. 219, 467–473.
- 472 Palmer, R., Custance, D., 2008. A counterbalanced version of Ainsworth's Strange Situation
- 473 Procedure reveals secure-base effects in dog–human relationships Appl. Anim. Behav.
- 474 Sci. 109, 306–319.
- 475 Palestrini, C., Previde, E.P., Spiezio, C., Verga, M., 2005. Heart rate and behavioural
- 476 responses of dogs in the Ainsworth's Strange Situation: a pilot study. Appl. Anim. Behav.
- 477 Sci. 94, 75–88.
- Palestrini, C., Minero, M., Cannas, S., Rossi, E., Frank, D., 2010. Video analysis of dogs with
 separation-related behaviors. Appl. Anim. Behav. Sci. 124, 61–67.
- 480 Panksepp, J., Herman, B., Conner, R., Bishop, P., Scott, J. P., 1978. The biology of social
- 481 attachments: opiates alleviate separation distress. Biol. Psychiatry 13, 607-618.
- 482 Parthasarathy, V., Crowell-Davis, S.L., 2006. Relationship between attachment to owners and
- 483 separation anxiety in pet dogs (*Canis lupus familiaris*). J. Vet. Behav. 1, 109–120.
- Podberscek, A.L., Hsu, Y., Serpell, J.A., 1999. Evaluation of clomipramine as an adjunct to
 behavioural therapy in the treatment of separation-related problems in dogs. Vet. Rec. 145,
 365.
- 487 Pongrácz, P., Miklósi, Á., Molnár, Cs., Csányi, V., 2005. Human listeners are able to classify
- 488 dog barks recorded in different situations. J. Comp. Psychol. 119, 136–144.
- 489 Pongrácz, P., Molnár, Cs., Miklósi, Á., 2006. Acoustic parameters of dog barks carry
- 490 emotional information for humans. Appl. Anim. Behav. Sci. 100, 228–240.

- 491 Pongrácz, P., Molnár, Cs., Miklósi, Á., 2010. Barking in family dogs: An ethological
 492 approach. Vet. J. 183, 141–147.
- 493 Pongrácz, P., Molnár, C., Dóka, A., Miklósi, Á., 2011. Do children understand man's best
 494 friend? Classification of dog barks by pre-adolescents and adults. Appl. Anim. Behav.
 495 Sci. 135, 95–102.

- 497 Pongrácz, P., Szabó, É., Kis, A., Péter, A., Miklósi, Á., 2014. More than noise?—Field
 498 investigations of intraspecific acoustic communication in dogs (*Canis familiaris*). Appl.
 499 Anim. Behav. Sci. 159, 62–68.
- 500 Pongrácz, P., Czinege, N., Haynes, T.M.P., Tokumaru, R.S.T., Miklósi, Á., Faragó, T.,
- 501 2016. The communicative relevance of auditory nuisance: Barks that are connected to
- negative inner states in dogs can predict annoyance level in humans. Interact. Stud. 17, 19–40
- 503 Prato-Previde, E., Custance, D.M., Spiezio, C., Sabatini, F., 2003. Is the dog-human
- relationship an attachment bond? An observational study using Ainsworth's strange
- situation. Behaviour. 140, 225–254.
- 506 R Core Team 2016. R: A language and environment for statistical computing. R Foundation
- 507 for Statistical Computing, Vienna, Austria. URL https://www.R-project.org/.
- Schwartz, S., 2003. Separation anxiety syndrome in dogs and cats. J. Am. Vet. Med. Assoc.
 222, 1526–1532.
- 510 Sherman, B.L., 2008. Separation anxiety in dogs. Compendium. 30, 28–31.
- 511 Sherman, B.L., Mills, D.S., 2008. Canine anxieties and phobias: an update on separation
- anxiety and noise aversions. Vet. Clin. N. Am.-Small. 38, 1081–1106.

- 513 Simpson, B.S., 2000. Canine separation anxiety. Comp. Cont. Educ. Pract. 22, 328–339.
- 514 Simpson, B.S., Landsberg, G.M., Reisner, I.R., Ciribassi, J.J., Horwitz, D., Houpt, K.A.,
- 515 Kroll, T.L., Luescher, A., Moffat, K.S., Douglass, G., Robertson-Plouch, C., 2007. Effects of
- reconcile (fluoxetine) chewable tablets plus behavior management for canine separation
- 517 anxiety. Vet. Ther. 8, 18.
- 518 Siwak, C.T., Murphey, H.L., Muggenburg, B.A., Milgram, N.W., 2002. Age-dependent
- decline in locomotor activity in dogs is environment specific. Physiol. Behav. 75, 65-70.
- 520 Takeuchi, Y., Houpt, K.A., Scarlett, J.M., 2000. Evaluation of treatments for separation
- 521 anxiety in dogs. J. Am. Vet. Med. A. 217, 342–345.
- 522 Takeuchi, Y., Ogata, N., Houpt, K.A., Scarlett, J.M., 2001. Differences in background and
- 523 outcome of three behavior problems of dogs. Appl. Anim. Behav. Sci. 70, 297–308.
- 524 Tembrock, G., 1976. Canid vocalizations. Behav. Process. 1, 57–75.
- 525
- 526 Topál, J., Miklósi, Á., Csányi, V., 1998. Attachment behaviour in dogs: a new application of
- 527 Ainsworth's (1969) Strange Situation Test. J. Comp. Psychol. 112, 219–229.
- Turcsán, B., Miklósi, Á., Kubinyi, E., 2017. Owner perceived differences between mixedbreed and purebred dogs. PLOS ONE, 12:e0172720.
- Yin, S., 2002. A new perspective on barking in dogs (*Canis familaris*). J. Comp. Psychol.
 116, 189.
- 532 Vas, J., Topál, J., Péch, É., Miklósi, Á., 2007. Measuring attention deficit and activity in dogs:
- a new application and validation of a human ADHD questionnaire. Appl. Anim. Behav.
- 534 Sci. 103, 105-117.

- 535 Wells, D.L., Hepper, P.G., 2000. Prevalence of behaviour problems reported by owners of
- dogs purchased from an animal rescue shelter. Appl. Anim. Behav. Sci. 69, 55–65.
- 537 Wright, J.C., Nesselrote, M.S., 1987. Classification of behavior problems in dogs:
- distributions of age, breed, sex and reproductive status. Appl. Anim. Behav. Sci. 19, 169–178.

540 Table 1 – Basic information of the dogs participating in our study. All dogs were family pets.

541 SRD-status was established on the basis of a questionnaire, completed by the dog owners.

name	breed	age (month)	breed status	sex	neuter status	SRD status
Berci	mixed	153	mixed	male	neutered/spayed	non-SRD
Bogyó	Pumi	33	purebred	male	intact	non-SRD
	English					
	Cocker					
Barka	Spaniel	39	purebred	male	neutered/spayed	SRD
Plútó	mixed	26	mixed	male	neutered/spayed	SRD
Foltos	Beagle	76	purebred	female	neutered/spayed	non-SRD
Bolygó	mixed	23	mixed	female	intact	SRD
Miro	Beagle	47	purebred	male	intact	non-SRD
	Transylvanian					
Appia	Hound	52	purebred	female	neutered/spayed	SRD
Brownie	Basset Hound	32	purebred	male	intact	SRD
Csikó	Whippet	54	purebred	male	neutered/spayed	SRD
Tappancs	Tibetan Terrier	80	purebred	female	intact	SRD
Helyes	Greyhound	73	purebred	male	neutered/spayed	SRD
Joda	mixed	129	mixed	male	neutered/spayed	non-SRD
Pimpa	mixed	51	mixed	female	neutered/spayed	non-SRD
Remi	Mudi	64	purebred	female	neutered/spayed	SRD
Csicsi	Mudi	39	purebred	female	intact	SRD
Borisz	Borzoi	39	purebred	male	intact	SRD
Mása	mixed	40	mixed	female	neutered/spayed	non-SRD
Nelson	Groenendael	131	purebred	male	intact	SRD
Bob	Border Collie	116	purebred	male	intact	non-SRD
Mazsola	mixed	<u>69</u>	mixed	female	neutered/spayed	non-SRD
Mila	Border Collie	54	purebred	female		non-SRD
Ivilla	Bichon	34	purebrea	Temale	intact	IIOII-SKD
Guszti	Havanese	68	purebred	male	intact	non-SRD
Panna	Sheltie	51	purebred	female	intact	SRD
Athos	Bordeaux dog	66	purebred	male	intact	non-SRD
Brúnó	mixed	10	mixed	male	intact	non-SRD
Agima	Groenendael	82	purebred	female	neutered/spayed	SRD
Zsömi	mixed	29	mixed	male	neutered/spayed	SRD
L301111	Hungarian	<i>LJ</i>	IIIACU	male	neuter spayed	JND
	Vizsla					
Fickó	(wirehaired)	47	purebred	male	neutered/spayed	non-SRD
	Hungarian			-		-
	Vizsla					
Dijon	(wirehaired)	47	purebred	male	intact	non-SRD
Monty	mixed	73	mixed	male	neutered/spayed	SRD
	Yorkshire					
Fredó	Terrier	60	purebred	male	intact	non-SRD

Tessa	mixed	85	mixed	female	neutered/spayed	SRD
Panka	Dachshund	22	purebred	female	neutered/spayed	SRD
Szusi	mixed	12	mixed	male	intact	non-SRD
	Russian Black					
Szláva	Terrier	22	purebred	female	intact	SRD
Lotte	Boxer	10	purebred	female	intact	non-SRD
Kefír	mixed	15	mixed	female	intact	SRD
Velúr	mixed	20	mixed	male	neutered/spayed	non-SRD
	Yorkshire					
Ashley	Terrier	30	purebred	female	neutered/spayed	SRD
Ori	mixed	76	mixed	male	neutered/spayed	SRD
	Hungarian					
Zara	Vizsla	21	purebred	female	neutered/spayed	SRD
Chandler	mixed	51	mixed	male	neutered/spayed	SRD
Koda	mixed	113	mixed	male	neutered/spayed	non-SRD
Mignon	mixed	34	mixed	female	neutered/spayed	SRD

Table 2 – The details of the final cox-regression models. Significant effects highlighted withbold.

Overall separation							
coef	exp(coef)	se(coef)	Z.	Pr(> z)			
-0.013937	0.98616	0.007239	-1.925	0.0542			
0.7246	2.0639	0.3394	2.135	0.0328			
	Departu	re phase					
-1.21598	0.29642	0.63912	-1.903	0.0571			
-0.03390	0.96667	0.01423	-2.382	0.0172			
0.6597	1.9343	0.4085	1.615	0.106			
	-0.013937 0.7246 -1.21598 -0.03390	coef exp(coef) -0.013937 0.98616 0.7246 2.0639 Departu -1.21598 0.29642 -0.03390 0.96667	coef exp(coef) se(coef) -0.013937 0.98616 0.007239 0.7246 2.0639 0.3394 Departure phase -1.21598 0.29642 0.63912 -0.03390 0.96667 0.01423	coef exp(coef) se(coef) z -0.013937 0.98616 0.007239 -1.925 0.7246 2.0639 0.3394 2.135 Departure phase -1.21598 0.29642 0.63912 -1.903 -0.03390 0.96667 0.01423 -2.382			

		Departure phas	se	548
Barks	Estimate	Std. Error	z value	Pr(> z)
(Intercept)	1.33148	0.87336	1.525	0.1274
breed	-1.14504	0.77558	-1.476	0.1398
age	-0.03347	0.01587	-2.109	0.0349
Whines				
(Intercept)	4.511e-16	5.974e-01	0.000	1.0000
neut	-1.723	8.729e-01	-1.974	0.0483
breed	1.723	8.729e-01	1.974	0.0483
SRD	1.647e+00	7.876e-01	2.091	0.0365
		Absence phase	e	
Barks				
(Intercept)	1.15144	0.63135	1.824	0.0682
Age	-0.01869	0.01028	-1.818	0.0690
Whines				
(Intercept)	1.4283	0.6469	2.208	0.0272
sex2	-1.6243	0.9433	-1.722	0.0851
SRA1	2.1702	1.0008	2.168	0.0301

547 Table 3 – The details of the final binomial models. Significant effects highlighted with bold.

552 Figure captions

Figure 1 – On the left: schematic arrangement of the outdoor testing area. On the right: actual
photograph of a subject (tethered to a tree) with the video camera and the shotgun microphone
in the foreground. Photo credit: Leéb Ádám.

556

Figure 2 – The occurrence of barks during the departure phase. Older dogs bark less likely
while the owner leaves them. The dots represent the individuals, the blue line is the binomial
fit with the confidence intervals.

560

Figure 3 – The occurrence of whines as a function of their latencies during the entire
separation event (owner leaves, then stays out of sight of the dog). SRD dogs start to whine
with significantly higher chance, and sooner than non-SRD dogs. Red line: non-SRD dogs;
Blue line: SRD dogs. The graph shows how the cumulative ratio of whining dogs changes
over time in the tested sample.

566

Figure 4 - The occurrence of whines during the departure of the owner. Significantly more

568 SRD dogs whine than non-SRD dogs already when the owner leaves but is still visible.