

## MONITORING THE VARIABILITY OF INTRINSIC ABSORPTION LINES IN QUASAR SPECTRA<sup>\*,†,‡</sup>

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### ABSTRACT

We have monitored 12 intrinsic narrow absorption lines (NALs) in five quasars and seven mini-broad absorption lines (mini-BALs) in six quasars for a period of 4–12 yr (1–3.5 yr in the quasar rest-frame). We present the observational data and the conclusions that follow immediately from them, as a prelude to a more detailed analysis. We found clear variability in the equivalent widths (EWs) of the mini-BAL systems but no easily discernible changes in their profiles. We did not detect any variability in the NAL systems or in narrow components that are often located at the center of mini-BAL profiles. Variations in mini-BAL EWs are larger at longer time intervals, reminiscent of the trend seen in variable BALs. If we assume that the observed variations result from changes in the ionization state of the mini-BAL gas, we infer lower limits to the gas density  $\sim 10^3\text{--}10^5\text{ cm}^{-3}$  and upper limits on the distance of the absorbers from the central engine of the order of a few kiloparsecs. Motivated by the observed variability properties, we suggest that mini-BALs can vary because of fluctuations of the ionizing continuum or changes in partial coverage while NALs can vary primarily because of changes in partial coverage.

*Key words:* quasars: absorption lines – quasars: individual (HE0130–4021, HE0940–1050, Q1009+2956, HS1700+6416, HS1946+7658, Q2343+125, UM675, Q1157+014, HE1341–1020, HE0151–4326, and HS1603+3820)

*Online-only material:* color figures

### 1. INTRODUCTION

Quasars are routinely used as background sources, allowing us to study the gaseous phases of intervening objects (e.g., galaxies, the intergalactic medium (IGM), clouds in the halo of the Milky Way, and the host galaxies of the quasars themselves) via absorption-line diagnostics. A fraction of these absorption lines are produced by gas ejected from the quasar central engine (i.e., an outflowing wind or gas from the host galaxy that is swept up by this wind) rather than by unrelated intervening structures. These absorbers that are *intrinsic* to the quasars themselves may be accelerated by magnetocentrifugal forces (e.g., Everett 2005; de Kool & Begelman 1995), radiation pressure in lines and continuum (Murray et al. 1995; Proga et al. 2000), radiation pressure acting on dust (e.g., Voit et al. 1993; Konigl & Kartje 1994), or a combination of the above mechanisms. The outflowing wind is an important component of a quasar central engine: it can carry angular momentum away from the accretion disk, facilitating accretion onto the black hole. Moreover, it may be the origin of the broad emission lines that are the hallmark of quasar spectra. In fact, the absorption-line and emission-line regions may be different layers/phases of the wind (e.g., Shields et al. 1995; Murray & Chiang 1997;

Flohic et al. 2012; Chajet & Hall 2013). Therefore, it is necessary to understand the properties of the wind in order to understand how quasar central engines work. An outflowing wind also has the potential to influence the evolution of the quasar host galaxy and its environs (e.g., Silk & Rees 1998; King 2003) by (1) delivering energy and momentum to the interstellar medium (ISM) of the host galaxy and to the IGM, thus inhibiting star formation (e.g., Springel et al. 2005), and (2) transporting heavy elements from the vicinity of the central engine to the IGM (e.g., Hamann et al. 1997a; Gabel et al. 2006). Therefore, to fully understand the role that quasars play in galaxy evolution, we need to understand the broader impact of outflows from their central engines.

In view of the importance of quasar outflows, we have been focusing our attention on rest-frame UV absorption lines from intrinsic absorbers, in order to probe their demographics and physical conditions. We have been primarily studying *narrow* absorption lines (NALs;  $\text{FWHM} \leq 500\text{ km s}^{-1}$ ) from intrinsic absorbers since these allow us to probe wind properties in a unique way (e.g., Hamann et al. 1997b, 1997a; Misawa et al. 2007b), unlike broad absorption lines (BALs;  $\text{FWHM} \geq 2000\text{ km s}^{-1}$ ; e.g., Weymann et al. 1991), whose UV resonance doublets are blended and may be saturated. Equally useful but more rare are mini-BALs, with intermediate widths between NALs and BALs. Intrinsic NALs and mini-BALs present a powerful way to determine the physical conditions of the outflowing gas. We are also interested in finding out how the gas that gives rise to NALs and mini-BALs is related to the gas producing the BALs.

The X-ray properties of quasars with intrinsic NALs suggest that the corresponding absorbers are viewed along different lines of sight to the central engine that those intercepting BAL gas (Misawa et al. 2008; Chartas et al. 2009, 2012; Hamann

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et al. 2013). In one possible picture emerging from the models and observations, the BAL gas has the form of a fast, dense, equatorial wind, following the models of Murray et al. (1995) and Proga et al. (2000), while the mini-BAL and NAL makes up the lower density portion of the wind at high latitudes above the disk (as suggested by Ganguly et al. 2001). In this context, NALs and mini-BALs are valuable probes of different regions, different phases, and different acceleration mechanisms of the wind. As noted by Hamann et al. (2013) the absence of strong X-ray absorption in mini-BALs suggests very strongly that there is no thick shielding along those particular lines of sight analogous to what is invoked for lines of sight along BALs (the equivalent hydrogen column density in the shield can only be up to  $10^{22} \text{ cm}^{-2}$ ). The absence of a thick shield then implies that the intensity of the ionizing continuum must be lower so as to avoid overionization of the gas and preserve the strong Si IV, C IV, and N V which are commonly observed in mini-BAL spectra. An important implication of this constraint is that the mini-BAL gas cannot be accelerated to high velocities (of the order of  $0.1\text{--}0.3c$ ) by radiative driving alone.

In an alternative picture, motivated by the models of Kurosawa & Proga (2009), the NAL gas may be gas from the host galaxy, at substantial distances from the quasar central engine, swept up by an accretion disk wind. This picture is bolstered by determinations of distances for the NAL gas in a few quasars, which range from a few hundred parsecs to many kiloparsecs from the source of the ionizing continuum (e.g., Hamann et al. 2001; Arav et al. 2013; Borguet et al. 2012, 2013). These determinations rely on constraints on the density of the absorber, obtained from observations of metastable absorption lines. If this is the appropriate picture, then NALs give us a view of the evacuation of the gas from the host galaxy by a quasar wind.

The variability of absorption lines is a very useful source of information about the absorbing gas and can be used to test models for the formation and structure of quasar winds. Thus, the variability of BALs has been studied extensively. The probability that BALs will vary over a given rest-frame time interval,  $\Delta t_{\text{rest}}$ , has been found to increase with  $\Delta t_{\text{rest}}$  and approach unity for  $\Delta t_{\text{rest}} \gtrsim$  a few years (e.g., Gibson et al. 2008; Capellupo et al. 2011, 2013; Filiz Ak et al. 2013). Variability is usually seen only in portions of BAL troughs (e.g., Capellupo et al. 2011, 2012), and detected more often in shallower troughs at larger outflow velocities (e.g., Lundgren et al. 2007; Gibson et al. 2008; Filiz Ak et al. 2013). In a relatively small number of cases, BALs have transformed into mini-BALs by becoming narrower or have disappeared completely (e.g., Hamann et al. 2008; Leighly et al. 2009; Krongold et al. 2010; Vivek et al. 2012; Filiz Ak et al. 2012). Possible origins of this time variability include (1) motion of the absorbing gas parcels across our line of sight (e.g., Hamann et al. 2008; Gibson et al. 2008), (2) changes in the ionization state of the absorber (e.g., Hamann et al. 2011; Misawa et al. 2007b; Trevese et al. 2013), and (3) redirection of photons around the parcels of gas that make up the absorber by scattering material of variable optical depth, resulting in time-variable dilution of the absorption troughs (e.g., Lamy & Hutsemékers 2004). None of these mechanisms are applicable to intervening absorbers because they have much larger sizes and lower densities compared to intrinsic absorbers as discussed in Narayanan et al. (2004).

All of the above scenarios still appear to be plausible for BALs, judging from their variability properties (Capellupo et al. 2011, 2012, 2013) and based on spectropolarimetric

observations (e.g., Goodrich & Miller 1995; Lamy & Hutsemékers 2004), although Filiz Ak et al. (2014) favor scenario (2) based on their results. In the case of mini-BALs, scenarios (1) and (3) are disfavored for at least one objects HS1603+3820. Scenario (1) is disfavored by the observation that all the troughs of the C IV mini-BAL in this quasar vary in concert (i.e., the depths of all troughs increase or decrease together) requiring an unlikely coincidence between the motions of the individual parcels of gas (Misawa et al. 2007b). Scenario (3) is disfavored because the polarization level ( $p \sim 0.6\%$ ) is not high enough throughout the spectrum to reproduce the variation of absorption strength (Misawa et al. 2010) and, more importantly, the polarization in the mini-BAL trough is the same as in the continuum. These results suggest that the most promising scenario for variability, which may be applicable to a wide variety of absorbers, is change of ionization conditions in the absorbing gas. Adopting this hypothesis, and associating the observed variability timescale with the recombination time in the absorbing gas, one can set a lower limit to the electron density of this gas and an upper limit to its distance from the source of ionizing radiation (see details in Section 3.1). A number of authors have carried out this exercise, obtaining lower limits on the electron density in the range  $2000\text{--}40,000 \text{ cm}^{-3}$  and upper limits on the distance from the source of ionizing radiation in the range  $100\text{--}7000 \text{ pc}$  (e.g., Hamann et al. 1997a; Wise et al. 2004; Narayanan et al. 2004; Rodríguez Hidalgo et al. 2012). These values are consistent with those derived by applying photoionization models to explain the strengths of absorption lines from excited or metastable levels such as Fe II, Si II (Korista et al. 2008; Dunn et al. 2010), and O IV (Arav et al. 2013). However, some of these lines could be arising not in the outflowing wind but in the ISM of the host galaxies because their distances ( $\sim$  several kpc) are quite large compared to the region of an accretion disk from which an outflow may be launched ( $\sim 10^{-3} \text{ pc}$  for a  $10^8 M_{\odot}$  black hole) and the radius of the broad-emission line region (BLR;  $\sim 0.2 \text{ pc}$  for a very luminous quasar of bolometric luminosity  $\sim 4 \times 10^{47} \text{ erg s}^{-1}$ ; Kaspi et al. 2007).

The physical mechanism for changing the ionization state of the absorbing gas is not known. An obvious choice would be variability of the quasar UV continuum. However, Gibson et al. (2008) monitored 13 BAL quasars over three to six years (in the quasar rest-frame) and found no significant correlation between the change in flux density and the strength of BALs. Moreover, mini-BALs generally vary considerably faster than the expected variability timescale of the UV continuum of luminous quasars (e.g., Giveon et al. 1999; Hawkins 2001; Kaspi et al. 2007). Nonetheless, the fast variability of mini-BALs can be explained if the variations of the ionizing continuum *seen by the absorber* is instead caused by a porous/clumpy screen of variable optical depth between it and the continuum source (Misawa et al. 2007b). This screen could be the inner part of the outflow, perhaps a “warm absorber” such as those detected in the X-ray spectra of BAL quasars (e.g., Gallagher et al. 2002, 2006). Since the ionization parameter and the total column density of warm absorbers are at least two orders of magnitude higher than those of UV absorbers (Arav et al. 2001, 2013), their variations should have a large impact on the continuum that is transmitted through them and illuminates UV absorbers. Indeed, significant variations in the warm absorbers and the soft X-ray flux that they transmit are detected (Chartas et al. 2007; Ballo et al. 2008; Giustini et al. 2010a, 2010b). This mechanism also allows for the possibility that the *effective* size of the background source can fluctuate, which will also lead to fluctuations in the apparent

equivalent widths (EWs) of the UV absorption lines due to a changing coverage fraction.

In this paper, we present results from a campaign to monitor the variability of NALs and mini-BALs, building on previous such efforts by other authors (Barlow & Sargent 1997; Wampler et al. 1995; Hamann et al. 1997a; Wise et al. 2004; Narayanan et al. 2004; Misawa et al. 2005; Hacker et al. 2013). We present the data and measurements of changes in the EWs on timescales from months to years in the absorber’s rest frame. We also discuss the conclusions that follow immediately from these data. A more detailed analysis of coverage fractions, profile variability, and comparison with photoionization models is referred to future papers. Our goals are to (1) constrain the mechanism that causes the variability, and (2) place limits on the density of the absorbers, which will then lead to limits on the distance of the gas from the central engine. The distance of the gas from the central engine, combined with other constraints, may also allow us to distinguish between possible models for the NAL gas (e.g., filaments in the accretion-disk wind versus gas in the host galaxy that has been swept up by the wind). Thus, our campaign focuses on 12 NALs and 7 mini-BALs in 11 quasars and employs high-resolution spectra ( $R > 36,000$ ), taken with 10 m class telescopes (i.e., Subaru, Keck, and Very Large Telescope (VLT)), covering several detected transitions. At high resolution it is possible to resolve internal narrower components in NALs and mini-BALs and monitor variability of the line profiles, in addition to the variability of the line strengths. Ultimately, this information can be used to look for the underlying cause of the variability and understand which of the intrinsic absorption lines are due to the quasar wind, and which are due to the circumgalactic medium of the host galaxy.

In Section 2, we describe the target selection, observations, and data reduction. Our results concerning NAL and mini-BAL variability are given in Section 3. In Section 4, we discuss our results and in Section 5 we summarize our conclusions. We use a cosmology with  $H_0 = 72 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_m = 0.3$ , and  $\Omega_\Lambda = 0.7$ .

## 2. OBSERVATIONS AND DATA ANALYSIS

### 2.1. Sample Selection

We have selected quasars with intrinsic NALs based on the partial coverage indicator: the dilution of absorption troughs by unocculted light (e.g., Wampler et al. 1995; Barlow & Sargent 1997) from the background sources. The basic test relies on the optical depth ratio of resonant, rest-frame UV doublets of lithium-like species (e.g., C IV, N V). When this ratio is inconsistent with 2:1, as dictated by atomic physics (for fully resolved unsaturated absorption profiles), the deviation is interpreted as dilution of the absorption troughs by an unocculted portion of the background source. The optical depth ratio provides a means of computing the fraction of background light occulted by the absorber (the “coverage fraction”):  $C_f = (R_1 - 1)^2 / (R_2 - 2R_1 + 1)$ , where  $R_1$  and  $R_2$  are the continuum-normalized intensities of the weaker and stronger components of the doublet. Recently, Misawa et al. (2007a) surveyed 37 quasars at redshift  $2 < z < 4$  and found that at least 43% had one or more intrinsic NALs as evidenced by partial coverage in the C IV, N V, and/or Si IV doublets, which implies that a substantial fraction of the solid angle around a substantial fraction of quasars is covered by material related to the quasar. It is more difficult to determine with certainty the origin of this material, more

specifically whether it originates in the host galaxy, or whether it is a part of the quasar wind itself.

Our systematic study is based on long-term monitoring observations of 11 quasars (at  $z_{\text{em}} \sim 2.00\text{--}3.08$ ) with at least one intrinsic NAL or mini-BAL. We select sample quasars from three sources; (1) quasars with intrinsic NALs that were identified in Misawa et al. (2007a), (2) quasars with mini-BALs that we identified from the VLT/UVES archive sample of Narayanan et al. (2007), and (3) quasars with mini-BALs already published in the literature (Hamann et al. 1995, 1997a; Dobrzycki et al. 1999). These intrinsic NALs and mini-BALs were identified through their partial coverage (as described above) or their broad absorption profile. Thus, our sample quasars are all bright enough for high dispersion spectroscopy, and classified into a category of very luminous quasars. Another essential selection criterion is that *at least two* previous high-signal-to-noise ratio (S/N), high-resolution ( $R = 35,000\text{--}45,000$ ) spectra already exist, taken at different epochs with either the Keck/HIRES, the VLT/UVES and/or the Subaru/HDS. The sample quasars that satisfy both criteria are summarized in Table 1.

### 2.2. Keck/HIRES Observations

Four of our quasars come from a quasar sample that was originally selected and observed for measuring the deuterium-to-hydrogen abundance ratio (D/H) in the Ly $\alpha$  forest (e.g., Tytler et al. 1996). The typical value of D/H is so small,  $2\text{--}4 \times 10^{-5}$  (O’Meara et al. 2001 and references therein), that we can detect only D I lines corresponding to H I lines with large column densities,  $\log(N_{\text{H I}}/\text{cm}^{-2}) \geq 16.5$ . Therefore the survey included 40 quasars, in which either damped Ly $\alpha$  systems or Lyman limit systems were detected. This target selection method does not directly bias our sample with respect to the properties of any intrinsic absorption-line systems that these spectra may contain. The observations were carried out by a group led by David Tytler, using Keck/HIRES with a 1’14 slit resulting in a velocity resolution of  $\sim 8 \text{ km s}^{-1}$  (FWHM). The spectra were extracted using the automated program, MAKEE, written by Tom Barlow. Misawa et al. (2007a) used the spectra of 37 of these quasars, after removing spectra of three quasars that cover only the Ly $\alpha$  forest region, and identified 39 candidate intrinsic systems (28 reliable and 11 possible systems) by partial coverage analysis. However, most spectra used in Misawa et al. (2007a) were produced by combining multi-epoch spectra in order to increase the S/N. In this paper, we used 4 NAL quasars (HE0130–4021, Q1009+2956, HS1700+6416, and HS1946+7658 out of the 37 quasars above because their original spectra (i.e., before combining data from multiple epochs) were available to us. We also used a spectrum of the mini-BAL quasar (UM675) in one epoch. The spectrum was obtained by Fred Hamann, using Keck/HIRES with a 1’15 (Hamann et al. 1997b), who kindly provided it to us.

### 2.3. VLT/UVES Observations

Spectra of seven of the quasars studied here were obtained from the VLT/UVES archive. Narayanan et al. (2007) retrieved all  $R \sim 45,000$  spectra made available before 2006 June, and made a catalog of Mg II absorption systems in 81 quasar spectra. Since most of these quasars were originally observed for studies of intervening absorbers, they should not have a particular bias toward or against intrinsic NALs and mini-BALs. In this catalog, we found four mini-BAL systems in the spectra of HE0151–4326, Q1157+014, HE1341–1020, and Q2343+125,

**Table 1**  
List of Monitored Quasars

QSO	R.A. <sup>a</sup> (hh:mm:ss)	Decl. <sup>a</sup> (dd:mm:ss)	$m_V$ <sup>b</sup> (mag)	$z_{em}$ <sup>c</sup>	$z_{abs}$ <sup>c</sup>	$v_{shift}$ <sup>d</sup> (km s <sup>-1</sup> )	Class <sup>e</sup>	Variability <sup>f</sup>	Reference <sup>g</sup>
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
NAL									
HE0130–4021	01:33:01.9	–40:06:28	17.02	3.030	2.5597	37037	A	N	1
					2.9749	4129	A	N	1
					2.2316	65181	B	N	1
HE0940–1050	09:42:53.4	–11:04:25	16.90	3.080	2.8347	18578	B	N	1
Q1009+2956	10:11:55.6	+29:41:42	16.05	2.644	2.2533	33879	A	N	1
					2.6495	452	A	N	1
HS1700+6416	17:01:00.6	+64:12:09	16.17	2.722	2.7125	767	A	N	1
					2.7164	452	A	N	1
					2.4330	24195	B	N	1
					2.4394	23640	B	N	1
HS1946+7658	19:44:55.0	+77:05:52	16.20	3.051	3.0385	927	A	N	1
					3.0497	96	A	N	1
Mini-BAL									
UM675	01:52:27.3	–20:01:07	17.40	2.15	~2.13	~1900	A	Y	2
HE0151–4326	01:53:27.2	–43:11:38	16.80	2.78 <sup>h</sup>	~2.64	~11,300	A	Y	3
Q1157+014	11:59:44.8	+01:12:07	17.52	1.9997	~1.97	~3000	A	Y	4
HE1341–1020	13:44:27.1	–10:35:42	17.80	2.135	~2.12	~1300	A	Y	3
					~2.67	~8900	A	Y	3
HS1603+3820	16:04:55.4	+38:12:02	15.99	2.542 <sup>i</sup>	~2.43	~9500	A	Y <sup>i</sup>	5
Q2343+125	23:46:28.2	+12:49:00	17.00	2.515	~2.24	~24,400	A	N	6

**Notes.**

<sup>a</sup> Coordinates (J2000) from NASA/IPAC Extragalactic Database (NED).

<sup>b</sup>  $V$  magnitude from Véron-Cetty & Véron (2010).

<sup>c</sup> Quasar emission redshift and absorption redshift of NAL/mini-BAL system that we monitored. These are from the reference listed in column (10).

<sup>d</sup> Ejection velocity from the quasar emission redshift, calculated via  $v_{shift} = c[(1 + z_{em})^2 - (1 + z_{abs})^2] / [(1 + z_{em})^2 + (1 + z_{abs})^2]$ , where  $z_{em}$  and  $z_{abs}$  are the emission redshift of the quasar and the absorption redshift of the NAL/mini-BAL, respectively. A positive value indicates a blueshifted line.

<sup>e</sup> Reliability class: A and B mean reliable and possibly intrinsic absorption lines, respectively (see Misawa et al. 2007a).

<sup>f</sup> NAL/mini-BAL varies or not.

<sup>g</sup> References: (1) Misawa et al. 2007a; (2) Hamann et al. 1995; (3) Narayanan et al. 2007; (4) Wright et al. 1979; (5) Dobrzycki et al. 1999; (6) Hamann et al. 1997a.

<sup>h</sup> The emission redshift is listed as  $z_{em} = 2.74$  in Narayanan et al. (2007), but we adopt  $\sim 2.78$  because the Ly $\alpha$  forest starts to appear at  $\lambda \sim 4600 \text{ \AA}$ .

<sup>i</sup> From Misawa et al. (2007b).

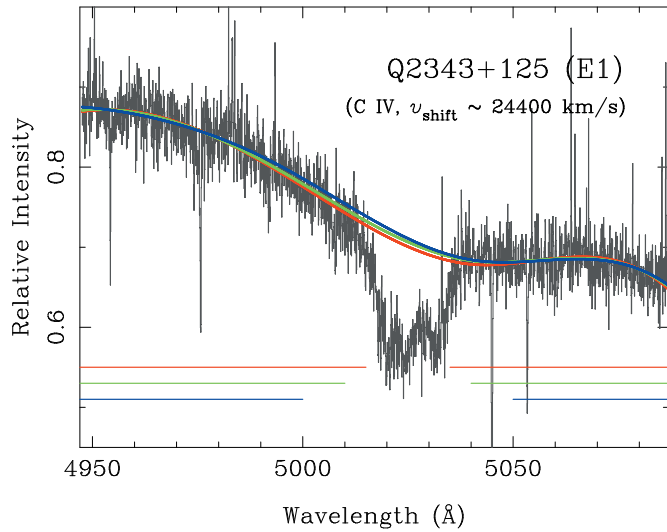
as well as intrinsic NAL systems in two quasars (HE0130–4021 and HE0940–1050). Some of the mini-BAL quasars above were already discovered in past work (Hamann et al. 1997a; Menou et al. 2001). In addition to these archival data, we re-observed three NAL quasars (HE0130–4021, HE0940–1050, and Q1009+2956) and four mini-BAL quasars (HE0151–4326, Q1157+014, HE1341–1020, and Q2343+125) in 2007, using VLT/UVES with appropriate standard setups for each target to cover the wavelength from Ly $\alpha$  to C IV  $\lambda\lambda 1548, 1551$  with only a few exceptions. We used a 1''0 slit (yielding  $R = 40,000$ ;  $7.5 \text{ km s}^{-1}$ ) and  $2 \times 2$  CCD binning. Neither the Atmospheric Dispersion Compensator (ADC) nor the image-slicer were used. We reduced the data following the procedure of Narayanan et al. (2007) using the European Southern Observatory provided MIDAS pipeline. We also performed helio-centric velocity correction and air-to-vacuum wavelength correction.

#### 2.4. Subaru/HDS Observations

We obtained high-resolution spectra of one NAL quasar (HS1700+6416) that was identified in Misawa et al. (2007a) and two mini-BAL quasars (UM675 and HS1603+3820) from the literature (Hamann et al. 1997b; Dobrzycki et al. 1999)

using Subaru/HDS. We chose these quasars because of their good visibility from the Subaru telescope and their brightness,  $m_V \leq 17$ . We used a 1''0 slit (yielding  $R \sim 36,000$ ,  $8.33 \text{ km s}^{-1}$ ), the ADC, and the red-sensitive grating. The CCD binning was set to  $2 \times 1$ . We used non-standard setups to cover Ly $\alpha$ , N V  $\lambda\lambda 1239, 1243$ , Si IV  $\lambda\lambda 1394, 1403$ , and C IV  $\lambda\lambda 1548, 1551$ . We reduced the data in a standard manner with the IRAF software.<sup>6</sup> Wavelength calibration was performed using the spectrum of a Th–Ar lamp. Because the blaze profile function of each echelle order changes with time, we could not perform flux calibration using the spectrum of a standard star. Therefore we directly fitted the continuum, which also includes substantial contributions from broad emission lines, with a third-order cubic spline function. Around heavily absorbed regions, in which direct continuum fitting was difficult, we used the interpolation technique introduced in Misawa et al. (2003). We have already confirmed the validity of this technique by applying it to a stellar spectrum.

<sup>6</sup> IRAF is distributed by the National Optical Astronomy Observatories, which are operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.



**Figure 1.** Example of a continuum fit to the VLT/UVES spectrum (gray histogram) with a cubic spline function. This spectrum covers the C IV mini-BAL at  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  in Q2343+125 and was obtained on 2002 August 18 (Epoch 1). Best-fitting continua are plotted with red, green, and blue curves whose fit regions are, 5015–5035 Å, 5010–5040 Å, and 5000–5050 Å, respectively, as shown with horizontal lines. Similar fits are applied for all VLT/UVES spectra, but the exact methodology differ for Keck/HIRES and Subaru/UVES spectra as discussed in Section 2 of the text.

(A color version of this figure is available in the online journal.)

### 2.5. Data Summary and Spectroscopic Analysis

To enhance the S/N of the spectra, all available observations of a particular target, taken within 2 weeks (14 days) of each other, were combined into a single spectrum, representing a single epoch. Although some BAL features show variability on very short timescales ( $\Delta t_{\text{rest}} \leq 0.1 \text{ yr}$ ), the variation probability is much smaller than that for longer time intervals (e.g., Capellupo et al. 2013). Therefore we prioritize increasing data quality above investigating short-term variability (i.e., combining as many spectra as possible to increase the S/N). In total, we have monitored 12 intrinsic NALs in 5 quasars and 7 mini-BAL in 6 quasars for 4–12 yr ( $\sim 1\text{--}3.5 \text{ yr}$  in the quasar rest-frame). Each was observed with one or more of the telescopes/spectrographs discussed above. The observations are summarized in Table 2.

For the data analyses in the following sections, we normalized all spectra as follows. We fit the VLT/UVES spectra (including both the continuum and the broad emission lines) with cubic spline functions and divided the original spectra by these functions. While fitting, we avoid absorbed regions so that the fit interpolates across them. An example of continuum fits around the C IV mini-BAL of the VLT/UVES spectrum of Q2343+125 (Epoch 1) is shown in Figure 1. Because the continuum level usually depends on the regions used for the fit, we experimented with three different fit regions. The uncertainty from the continuum placement will be discussed in Section 3.1. For Keck/HIRES and Subaru/HDS, we separately fit each echelle order of the spectra with a cubic spline function that includes the instrumental blaze function as well as the continuum and the broad emission lines. This was necessary because flux calibration was not applied to these spectra. (i.e., each echelle order is not smoothly connected to adjacent orders.)

The normalized profiles of Ly $\alpha$ , N V  $\lambda\lambda 1239, 1243$ , Si IV  $\lambda\lambda 1394, 1403$ , and C IV  $\lambda\lambda 1548, 1551$ , superimposed for all epochs of observation (listed in Table 2), of the 12 intrinsic

NALs and 7 mini-BALs are displayed in Figures 2–13, if they are covered by the observed spectra. The different epochs are represented by curves of different colors, with the first to sixth epochs shown as black, red, green, blue, light-blue, and purple, respectively. The velocity scale is relative to the flux-weighted center of a line, calculated by Equation (4) of Misawa et al. (2007a). For this display the mini-BAL spectra, only, were resampled every 0.3 Å because their S/N are relatively low and their profiles are broad enough that no information is lost. The Ly $\alpha$  and other metal absorption lines of mini-BALs are omitted from this plot if they are badly blended with the Ly $\alpha$  forest and/or if they are observed with Subaru/HDS, because in that case the continuum fit is too uncertain to allow a variability analysis.

## 3. MEASUREMENTS AND RESULTS

### 3.1. Methodology and General Results

Our initial inspection of the normalized spectra shown in Figures 2–13 did not reveal any substantial variations in the profiles of either the NALs or the mini-BALs, i.e., no changes in the profile asymmetries or the velocities of the troughs were discernible. However, changes in the strengths of the mini-BALs were quite evident. To quantify changes in line strengths, we measured the EWs of the blue components of NALs and the entire doublet regions of mini-BALs in each of the observed epochs for each transition, i.e., C IV, Si IV, and N V. We list these measurements in Table 3 along with their uncertainties. For the mini-BALs, the doublet members often suffer self-blending and cannot be reliably separated. We calculated the  $1\sigma$  error bar on the measured EW,  $\sigma_{\text{EW}}$ , as the sum of contributions from uncertainties in the intensities of individual pixels in the spectrum and from uncertainties in the placement of the continuum:  $\sigma_{\text{EW}} = (\sigma_{\text{pix}}^2 + \sigma_{\text{cont}}^2)^{1/2}$ . The first term was evaluated by summing in quadrature the EW error bars from the  $N$  individual pixels making up the absorption trough (see horizontal arrows in Figures 2–13)

$$\sigma_{\text{pix}}^2 = \sum_{i=1}^N (\sigma_i \Delta\lambda_i)^2, \quad (1)$$

where  $\sigma_i$  is the error in the normalized intensity at pixel  $i$  and  $\Delta\lambda_i$  is the width of that pixel (in Å). To compute the uncertainty resulting from the continuum placement, we assumed that it is proportional to the product of the width of the line near the continuum level,  $\lambda_{\text{max}} - \lambda_{\text{min}}$  (see horizontal arrows in Figures 2–13), and the characteristic uncertainty of the continuum next to the line,  $\sigma_f$ . In other words, we supposed that the fitted continuum level in the spectrum could fluctuate by an amount of the order of  $\pm\sigma_f$ , sweeping out an area of the order of  $\pm(\lambda_{\text{max}} - \lambda_{\text{min}})\sigma_f$ , which sets  $\sigma_{\text{cont}}$ . The fluctuations in the *normalized* continuum level are  $\sigma_f/f$ , where  $f$  is the observed flux density, which is the inverse of the S/N. Hence, we may write

$$\sigma_{\text{cont}} = A(\lambda_{\text{max}} - \lambda_{\text{min}}) \frac{\sigma_f}{f} = \frac{A(\lambda_{\text{max}} - \lambda_{\text{min}})}{S/N}. \quad (2)$$

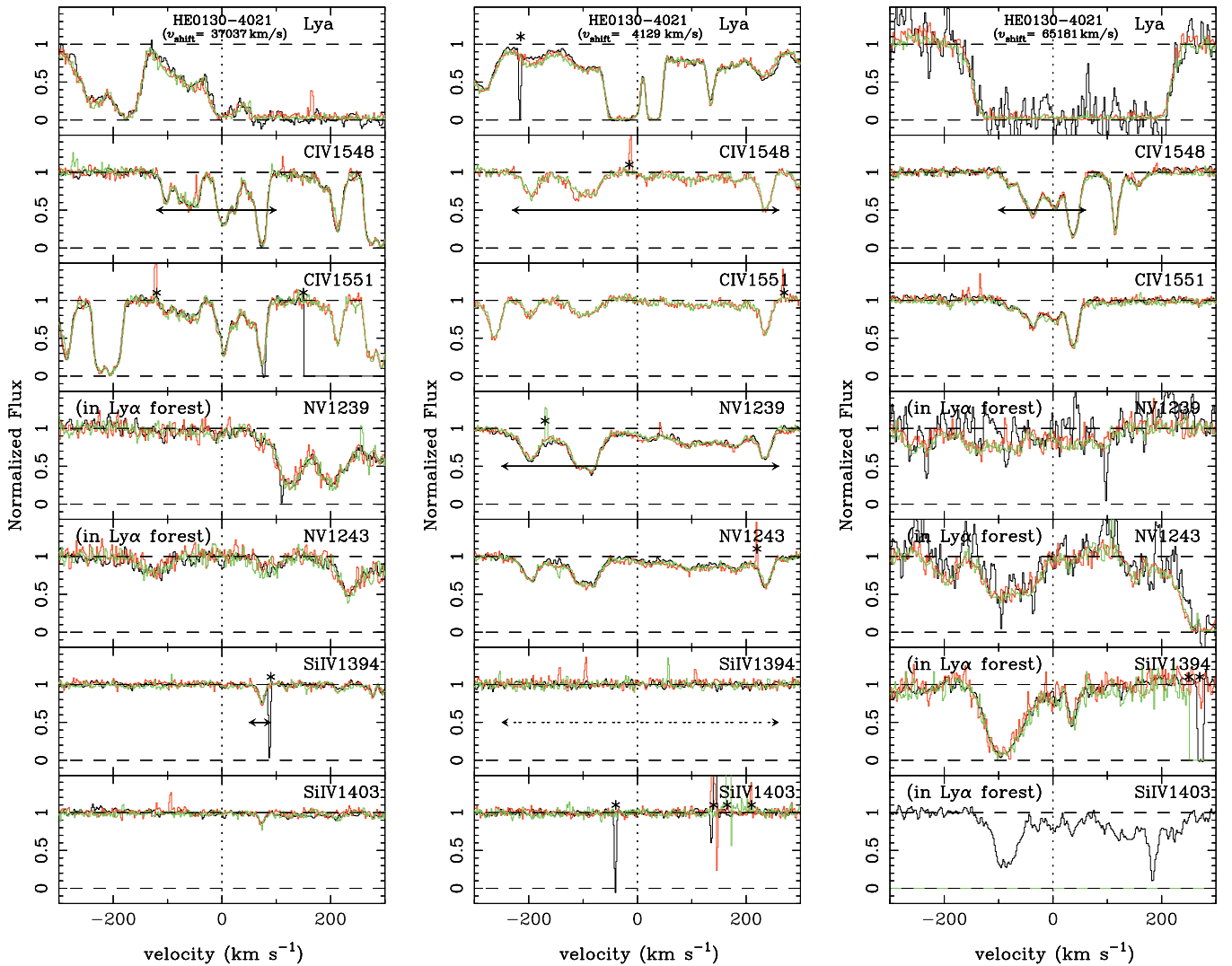
In the above expression, we have included a “calibration parameter,”  $A$ , whose value we found empirically as follows. We carried out several experiments in which we fitted the continuum around some NALs and mini-BALs multiple times, choosing different regions around them each time, so as to determine the amount by which the fitted level could fluctuate.

**Table 2**  
Log of Monitoring Observations

QSO	Type	Epoch	Obs. Date	Instrument	$\lambda$ -coverage <sup>a</sup> (Å)	Exp. Time (s)	R	Note <sup>b</sup>
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
HE0130–4021	NAL	1	1995 Dec 28	Keck+HIRES	3700–6062	14400	36,000	<sup>c</sup>
		2	2003 Jan 13	VLT+UVES	3523–4519 4782–5751 5839–6264	3065	~40,000 <sup>d</sup>	70.B-0522(A)
		3	2007 Sep 5	VLT+UVES	3508–4507 4629–5593 5680–6349 <sup>e</sup>	6000	~40,000 <sup>d</sup>	079.B-0469(A)
HE0940–1050	NAL	1	2000 Apr 3	VLT+UVES	3601–3870 4783–5756 5837–6428 <sup>e</sup>	3600	~40,000 <sup>d</sup>	65.O-0474(A)
		2	2001 Feb 2–14	VLT+UVES	3605–5750 5847–6428 <sup>e</sup>	28800	~40,000 <sup>d</sup>	166.A-0106(A)
		3	2007 Jun 6, 7	VLT+UVES	3588–4505 4630–5591 5683–6428 <sup>e</sup>	6000	~40,000 <sup>d</sup>	079.B-0469(A)
Q1009+2956	NAL	1	1995 Dec 29	Keck+HIRES	4303–5517	12200	36,000	<sup>c</sup>
		2	1998 Dec 15	Keck+HIRES	4350–4612	14260	36,000	<sup>c</sup>
		3	1999 Mar 9	Keck+HIRES	4300–4642	14200	36,000	<sup>c</sup>
		4	2007 Jun 5	VLT+UVES	4169–5158 5238–5741 <sup>e</sup>	3000	~40,000 <sup>d</sup>	079.B-0469(A)
HS1700+6416	NAL	1	1994 Apr 7	Keck+HIRES	4490–5850 <sup>e</sup>	6750	36,000	<sup>c</sup>
		2	1995 May 10	Keck+HIRES	4460–5864 <sup>e</sup>	11500	36,000	<sup>c</sup>
		3	2005 Jul 7	Subaru+HDS	3547–4900 4991–5864 <sup>e</sup>	3600	36,000	S05A-041
		4	2005 Aug 19	Subaru+HDS	3547–4897 4992–5864 <sup>e</sup>	5400	36,000	S05A-041
HS1946+7658	NAL	1	1994 Jul 31	Keck+HIRES	4860–6296 <sup>e</sup>	25200	36,000	<sup>c</sup>
		2	1998 Sep 25	Keck+HIRES	4800–5047	8000	36,000	<sup>c</sup>
		3	1998 Oct 26–28	Keck+HIRES	4850–5020	24000	36,000	<sup>c</sup>
UM675	Mini-BAL	1	1994 Sep 24, 25	Keck+HIRES	3750–4963 <sup>e</sup>	18000	34,000	<sup>f</sup>
		2	2005 Aug 19, 20	Subaru+HDS	3600–4963 <sup>e</sup>	23000	36,000	S05A-041
HE0151–4326	Mini-BAL	1	2001 Sep 18–22	VLT+UVES	3160–5752 5836–5893 <sup>e</sup>	18000	~40,000 <sup>d</sup>	166.A-0106(A)
		2	2001 Oct 9, 10	VLT+UVES	3161–3869 4785–5755 5842–5893 <sup>e</sup>	14400	~40,000 <sup>d</sup>	166.A-0106(A)
		3	2001 Nov 16–19	VLT+UVES	3161–5752 5846–5893 <sup>e</sup>	28800	~40,000 <sup>d</sup>	166.A-0106(A)
		4	2007 Sep 5	VLT+UVES	3486–4504 4789–5753 5855–5893 <sup>e</sup>	3000	~40,000 <sup>d</sup>	079.B-0469(A)
Q1157+014	Mini-BAL	1	2000 Apr 30	VLT+UVES	3640–3870 4542–4727 <sup>e</sup>	7200	~40,000 <sup>d</sup>	65.O-0063(B)
		2	2001 Jun 14, 15	VLT+UVES	3636–4411	10800	~40,000 <sup>d</sup>	67.A-0078(A)
		3	2002 Jan 23	VLT+UVES	3715–4507	1800	~40,000 <sup>d</sup>	68.A-0461(A)
		4	2006 Jan 23	VLT+UVES	3643–4727 <sup>e</sup>	1960	~40,000 <sup>d</sup>	076.A-0860(A)
		5	2007 Jun 6, 7	VLT+UVES	3762–4727 <sup>e</sup>	6000	~40,000 <sup>d</sup>	079.B-0469(A)
HE1341–1020	Mini-BAL	1	2001 May 2–12	VLT+UVES	3251–4938 <sup>e</sup>	43200	~40,000 <sup>d</sup>	166.A-0106(A)
		2	2007 Aug 4, 5	VLT+UVES	3490–4510 4629–4938 <sup>e</sup>	6000	~40,000 <sup>d</sup>	079.A-0469(A)
HS1603+3820	Mini-BAL	1	2002 Mar 23	Subaru+HDS	5080–5581 <sup>e</sup>	2700	~45,000	S01B-057
		2	2003 Jul 7	Subaru+HDS	3520–4850 4930–5581 <sup>e</sup>	6000	~45,000	Eng. Time.
		3	2005 Feb 26	Subaru+HDS	3520–4855 4925–5581 <sup>e</sup>	7100	~36,000	S04B-003
		4	2005 Jun 29	Subaru+HDS	3520–4855 4925–5581 <sup>e</sup>	3600	~45,000	S05A-041
		5	2005 Aug 19	Subaru+HDS	3520–4850 4920–5581 <sup>e</sup>	3600	~36,000	S05A-041
		6	2006 May 31–Jun 1	Subaru+HDS	4320–5581 <sup>e</sup>	9000	~45,000	S06A-153S

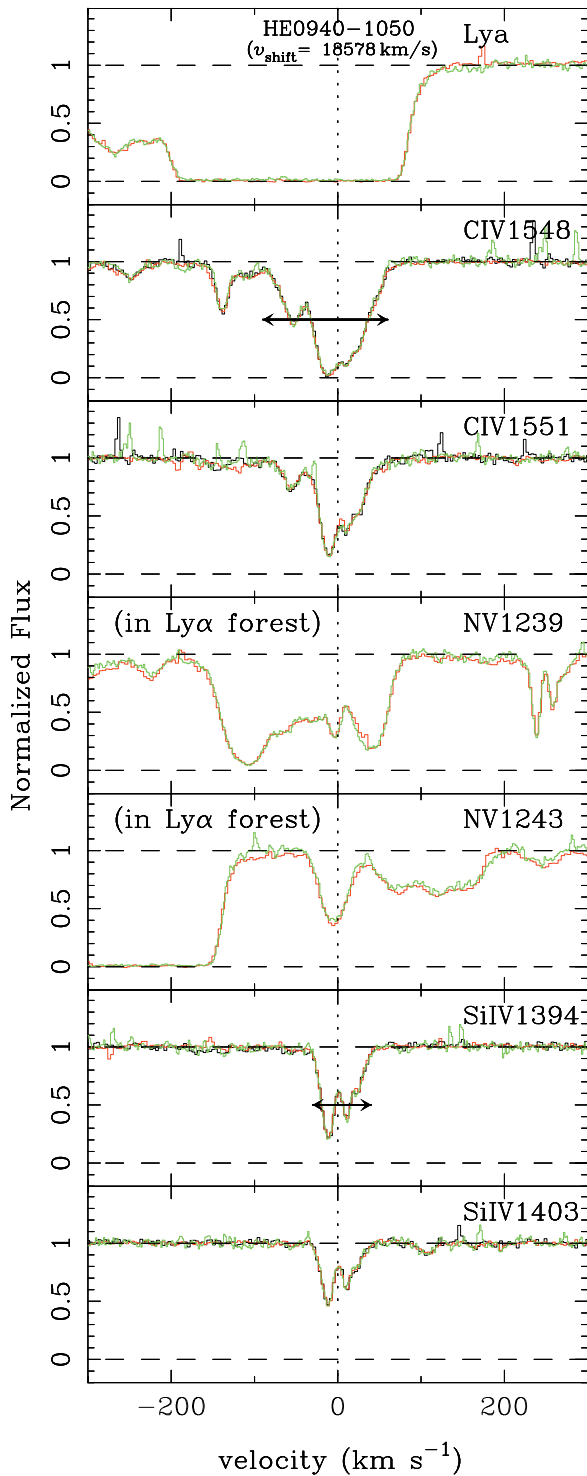
**Table 2**  
(Continued)

QSO	Type	Epoch	Obs. Date	Instrument	$\lambda$ -coverage <sup>a</sup> (Å)	Exp. Time (s)	$R$	Note <sup>b</sup>
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Q2343+125	Mini-BAL	1	2002 Aug 18	VLT+UVES	3409–3872 4785–5538 <sup>e</sup>	7200	$\sim 40,000^d$	69.A-0204(A)
		2	2003 Oct 29, 30	VLT+UVES	3526–4507 4801–5538 <sup>e</sup>	7200	$\sim 40,000^d$	072.A-0346(A)
		3	2007 Sep 16	VLT+UVES	4192–5157 5240–5538 <sup>e</sup>	3000	$\sim 40,000^d$	079.B-0469(A)

**Notes.**<sup>a</sup> Wavelength range covered by the observed spectrum. Small gaps of  $\leq 20$  Å that are sometimes seen in Keck/HIRES spectra are ignored.<sup>b</sup> Proposal ID of the relevant observing program.<sup>c</sup> Provided by David Tytler.<sup>d</sup> Resolving power-slit products (i.e.,  $R \times \Delta\theta$  (arcsec)) are 41,400 at 4500 Å on Blue Arm and 38,700 at 6000 Å on Red Arm. If we use the 1'0 slit, the resolving power is  $\sim 40,000$ .<sup>e</sup> Maximum effective wavelength, which corresponds to 5000 km s<sup>-1</sup> redward from C IV emission line. At higher wavelengths than this limit, no C IV, Si IV, or N V NALs/mini-BALs are expected to exist.<sup>f</sup> Provided by Fred Hamann.

**Figure 2.** Normalized spectra around the Ly $\alpha$ , C IV, N V, and Si IV absorption lines of intrinsic systems at  $v_{\text{shift}} = 37,037$  km s<sup>-1</sup> (left),  $4129$  km s<sup>-1</sup> (middle), and  $65,181$  km s<sup>-1</sup> (right) in the spectrum of HE0130–4021. The velocity scale is relative to the flux-weighted center of a line, calculated by Equation (4) of Misawa et al. (2007a). The first to sixth epoch spectra are shown with black, red, green, blue, light-blue, and purple histograms, respectively. Wavelength regions used for EW measurements are marked with solid arrows. If lines are not detected with  $>5\sigma$  level, upper limits of EWs are measured for the regions with dashed arrows. False patterns, such as cosmic rays, bad columns, and order gaps, are marked with asterisks on the spectra.

(A color version of this figure is available in the online journal.)



**Figure 3.** Same as Figure 2, but for the system at  $v_{\text{shift}} = 18,578 \text{ km s}^{-1}$  in the HE0940–1050 spectrum.

(A color version of this figure is available in the online journal.)

We then compared the variation in EW measured after different continuum fits to that given by Equation (2) and found that  $A \approx 0.5$  very uniformly (see Figure 1). Thus we adopted Equation (2) with  $A = 0.5$  as an estimate of the EW uncertainty resulting from continuum placement errors. We find that the continuum placement errors are the dominant source of uncertainty in the measured EWs.

To assess the significance of observed variations in the EW we computed the change in EW between two epochs as

$\Delta\text{EW} = \text{EW}_2 - \text{EW}_1$  (where Epoch 1 is earlier than Epoch 2) and its uncertainty as  $\sigma_{\Delta\text{EW}} = (\sigma_{\text{EW}_1}^2 + \sigma_{\text{EW}_2}^2)^{1/2}$  and defined the *variation significance* as

$$S_{\text{EW}} \equiv \frac{\Delta\text{EW}}{\sigma_{\Delta\text{EW}}} = \frac{\text{EW}_2 - \text{EW}_1}{(\sigma_{\text{EW}_1}^2 + \sigma_{\text{EW}_2}^2)^{1/2}}. \quad (3)$$

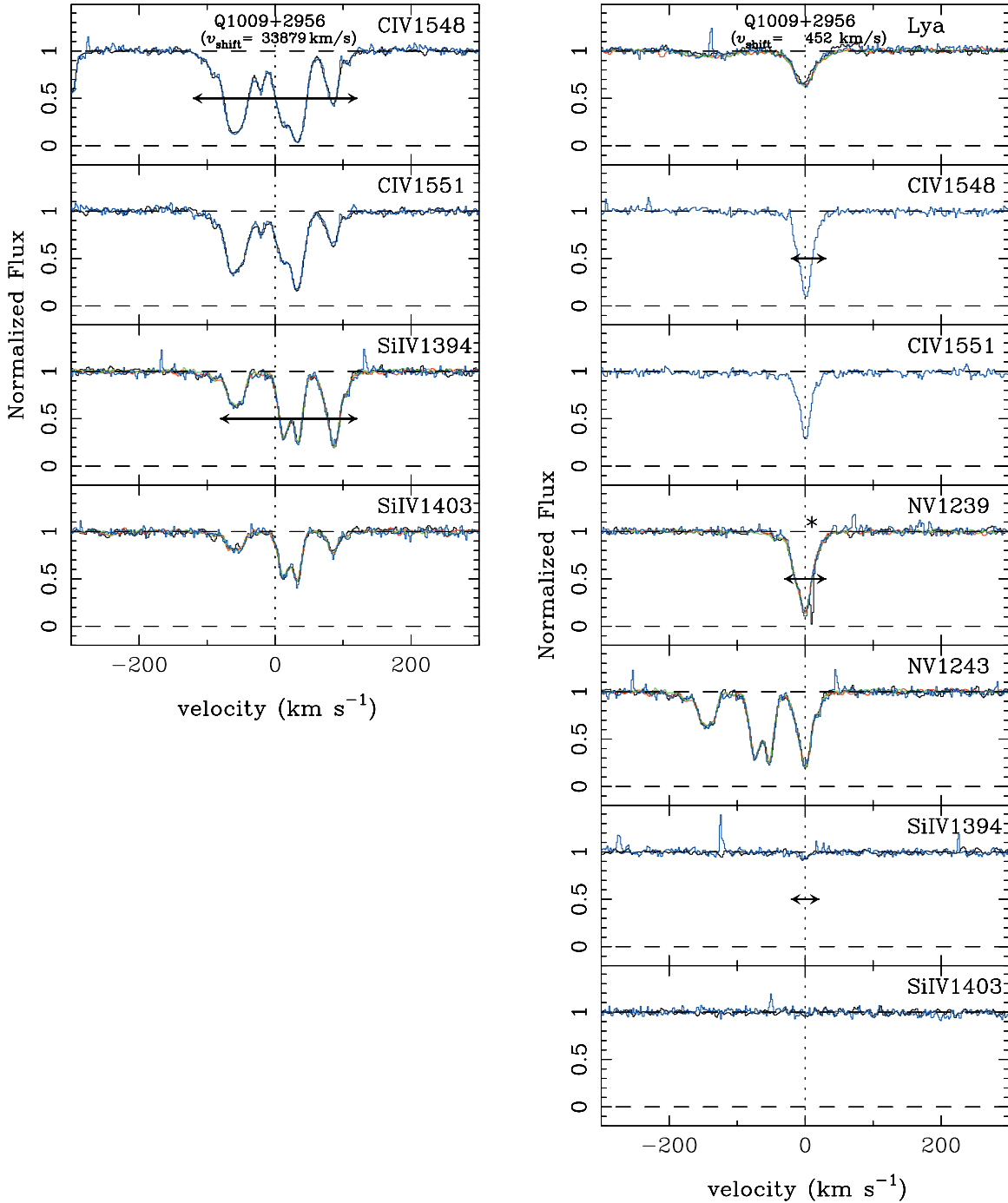
Significant variability is seen preferentially in the mini-BAL systems (see Figures 14–19), but not in NALs. The greatest changes for NALs are seen from E1  $\rightarrow$  E2 of N v in the  $v_{\text{shift}} \sim 452 \text{ km s}^{-1}$  system of Q1009+2956 and from E2  $\rightarrow$  E4 of N v in the  $v_{\text{shift}} \sim 767 \text{ km s}^{-1}$  system of HS1700+6416. In both of these cases, the variation significance is between  $1.5\sigma$  and  $2\sigma$ , and this is consistent with expectations in a sample of this size that does not experience variability. It is also consistent with variations in the EWs of intervening NALs caused by measurement errors. We tabulate the measured variation significance for mini-BALs in Table 4 for the shortest time intervals where a variation was detected (in the case of Q2343+125 we only detect variability at a significance level of  $< 2\sigma$  but we include this object in the table for completeness). Distributions of absolute variation significance,  $|S_{\text{EW}}|$ , as a function of EW and time interval are shown in Figures 20–23 for NALs and mini-BALs, respectively. Histograms of  $|S_{\text{EW}}|$ , measured for all unique pairs of observing epochs, are also shown in Figures 24 and 25.

Five of the six mini-BAL systems in our sample show significant variability at greater than  $|S_{\text{EW}}| > 2$  in at least one transition (in the sixth quasar, Q2343+125, the C iv line varied at  $|S_{\text{EW}}| < 2$ ; see Table 4). Out of 52 unique pairs of epochs plotted in the histogram of Figure 25, four are in the range  $3 < |S_{\text{EW}}| < 5$  while another 6 are in the range  $|S_{\text{EW}}| > 5$ . For NALs, we compare our sample with intervening NALs that are detected in the same spectra but are classified as intervening NALs with coverage fractions of unity (Misawa et al. 2007a). In Figure 24, we compare the distribution of  $|S_{\text{EW}}|$  of intrinsic NALs to that of intervening NALs. This comparison shows the two distributions to be very similar and reinforces our conclusion that none of the intrinsic NALs in our sample have varied significantly.

In Figure 26, we plot the change in EW of mini-BALs for every unique pair of observing epochs,  $|\Delta\text{EW}|$ , as a function of the rest-frame time interval,  $\Delta t_{\text{rest}}$ . The EW changes are larger for longer time intervals for lines from all observed ions, C iv, N v, and Si iv. The trend persists if we normalize the EW changes by the average EW as shown in Figure 27. In other words, both the absolute and the fractional change in EW increase over longer time intervals (fractional variations of 50% or more occur on time intervals of a year or longer). Such a behavior has been noted for C iv BALs (Gibson et al. 2008; Capellupo et al. 2011, 2013; Filiz Ak et al. 2013). We find an analogous result, namely, when we detect significant variability in two transitions at the same time in the same system the EWs change in the same direction. We illustrate this behavior in Figure 28 where we plot the change in the Si iv or N v EW against that of C iv (see caption for error bars and other specific information). It is noteworthy, however, that we have never observed these three strong lines vary at the same time because one of them is either saturated or not detected. By comparing the data points in this figure with the straight line of unit slope included for reference, we conclude that the fractional variations of EW of lines in the same system are not necessarily proportional to each other.

If we assume that the observed changes in EW result from changes in the ionization state of the gas (see discussion in

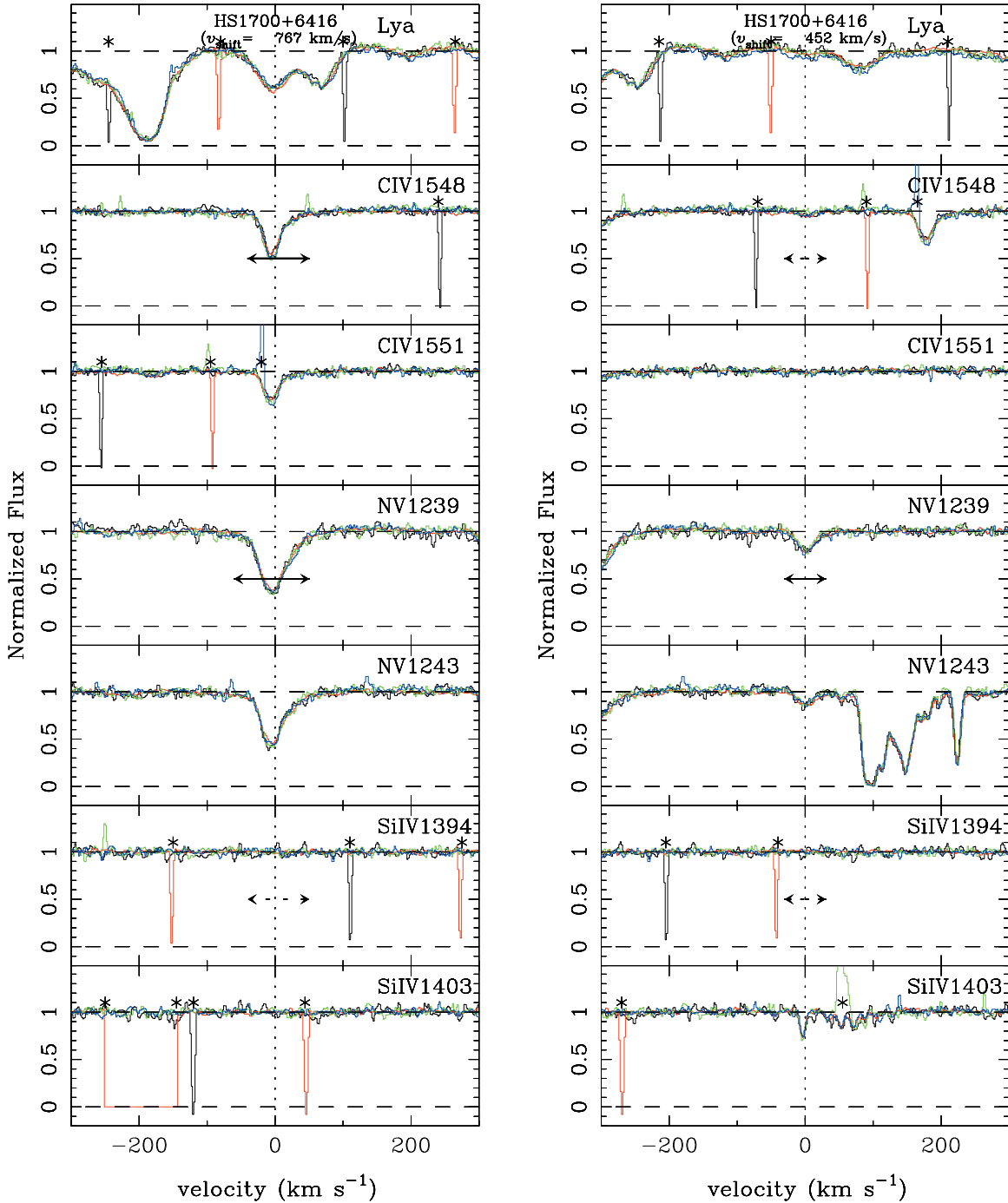




**Figure 4.** Same as Figure 2, but for the systems at  $v_{\text{shift}} = 33,879 \text{ km s}^{-1}$  (left) and  $452 \text{ km s}^{-1}$  (right) in the Q1009+2956 spectrum. (A color version of this figure is available in the online journal.)

Section 1), we can set a lower limit to the electron density by taking the variability timescale,  $\Delta t_{\text{rest}}$ , to be an upper limit to the recombination time of the relevant ion, i.e.,  $n_e \gtrsim (\alpha \Delta t_{\text{rest}})^{-1}$ , where  $n_e$  is the electron density and  $\alpha$  is the recombination coefficient of the transition in question. We use the recombination coefficients for a gas temperature of 20,000 K (Arnaud & Rothenflug 1985; Hamann et al. 1995). It is not straightforward to infer a density from recombination to/from Si IV (Arnaud & Rothenflug 1985), therefore we perform the calculations only for CIV and NV. If the EWs have increased, we assume that  $\text{C V} \rightarrow \text{C IV}$  and  $\text{N V} \rightarrow \text{N V}$ , while if the EWs have decreased, we assume that  $\text{C IV} \rightarrow \text{C III}$  and  $\text{N V} \rightarrow \text{N IV}$ ,

based on the following argument. In all cases (with a single exception; see previous paragraph) of variable mini-BAL systems, absorption lines from all ions (i.e., Si IV, CIV, and NV, with ionization potentials of 45.1, 64.5, and 97.9 eV, respectively) get stronger and weaker together. In order for all three lines to increase and decrease together, the ionization parameter should be either  $\log U \lesssim -2.5$  or  $\log U \gtrsim -1.5$  (Hamann 1997). The regime of low  $U$  can be rejected because we do not detect any absorption lines from low ionization species with ionization potentials between 14 and 33 eV corresponding to any mini-BAL systems (e.g., O I  $\lambda 1302$ , Fe II  $\lambda 2344$ , Fe II  $\lambda 2383$ , Si II  $\lambda 1260$ , Si II  $\lambda 1527$ , Al II  $\lambda 1671$ , C II  $\lambda 1335$ ,



**Figure 5.** Same as Figure 2, but for the systems at  $v_{\text{shift}} = 767 \text{ km s}^{-1}$  (left) and  $452 \text{ km s}^{-1}$  (right) in the HS1700+6416 spectrum. (A color version of this figure is available in the online journal.)

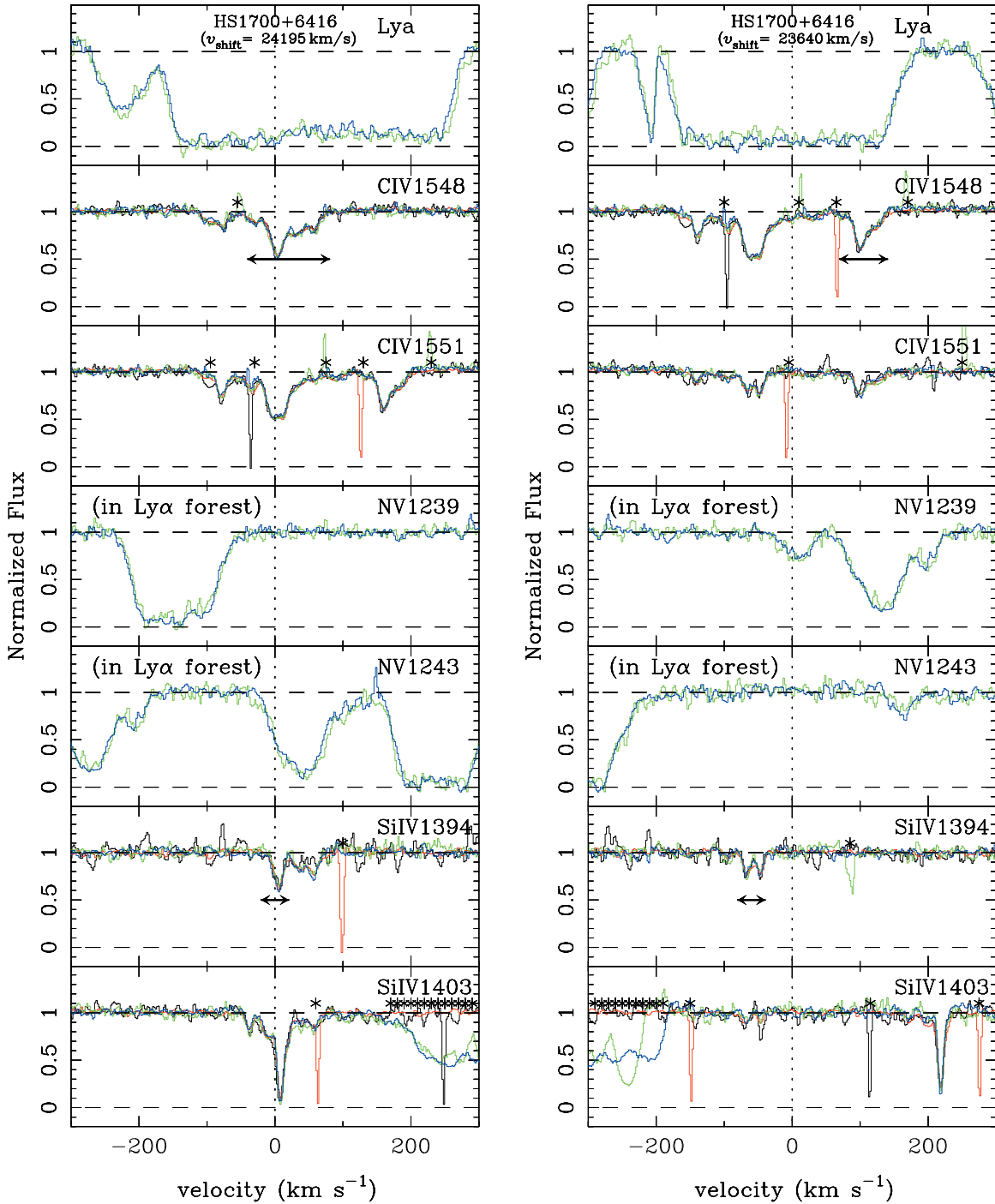
Al III  $\lambda 1855$ , Al III  $\lambda 1863$ , and Si III). This suggests that  $\log U \gtrsim -1.5$  and that as the ionization parameter varies, the main ionization states of silicon, carbon, and nitrogen vary as follows: Si IV  $\rightleftharpoons$  Si V, C IV  $\rightleftharpoons$  C V, and N V  $\rightleftharpoons$  N VI. Under these conditions, we estimate lower limits on the electron density in the range  $1.7 \times 10^3$ – $4.0 \times 10^5 \text{ cm}^{-3}$ . We are only able to derive such limits for mini-BALs; since the NALs in our sample did not show significant variability, we are not able to apply this method to them. We list the results for individual objects in Table 4 and discuss them in more detail in Section 3.2

From the lower limits on the density of the mini-BAL gas, we then estimate upper limits to the distance of the absorber

from the source of ionizing radiation by making use of the definition of the ionization parameter as the ratio of ionizing photon density to hydrogen number density at the illuminated face of the cloud:

$$U \equiv \frac{n_\gamma}{n_H} = \frac{Q(H)}{4\pi r^2 c n_H}, \quad (4)$$

where  $Q(H)$  is the emission rate of hydrogen-ionizing photons by the central engine and  $r$  is the distance of the absorber from that source. We assume that  $\log U > -1.5$  so that C IV is the dominant ionization stage of carbon (Hamann et al. 1995, 1997b) and to satisfy the constraint that all the observed



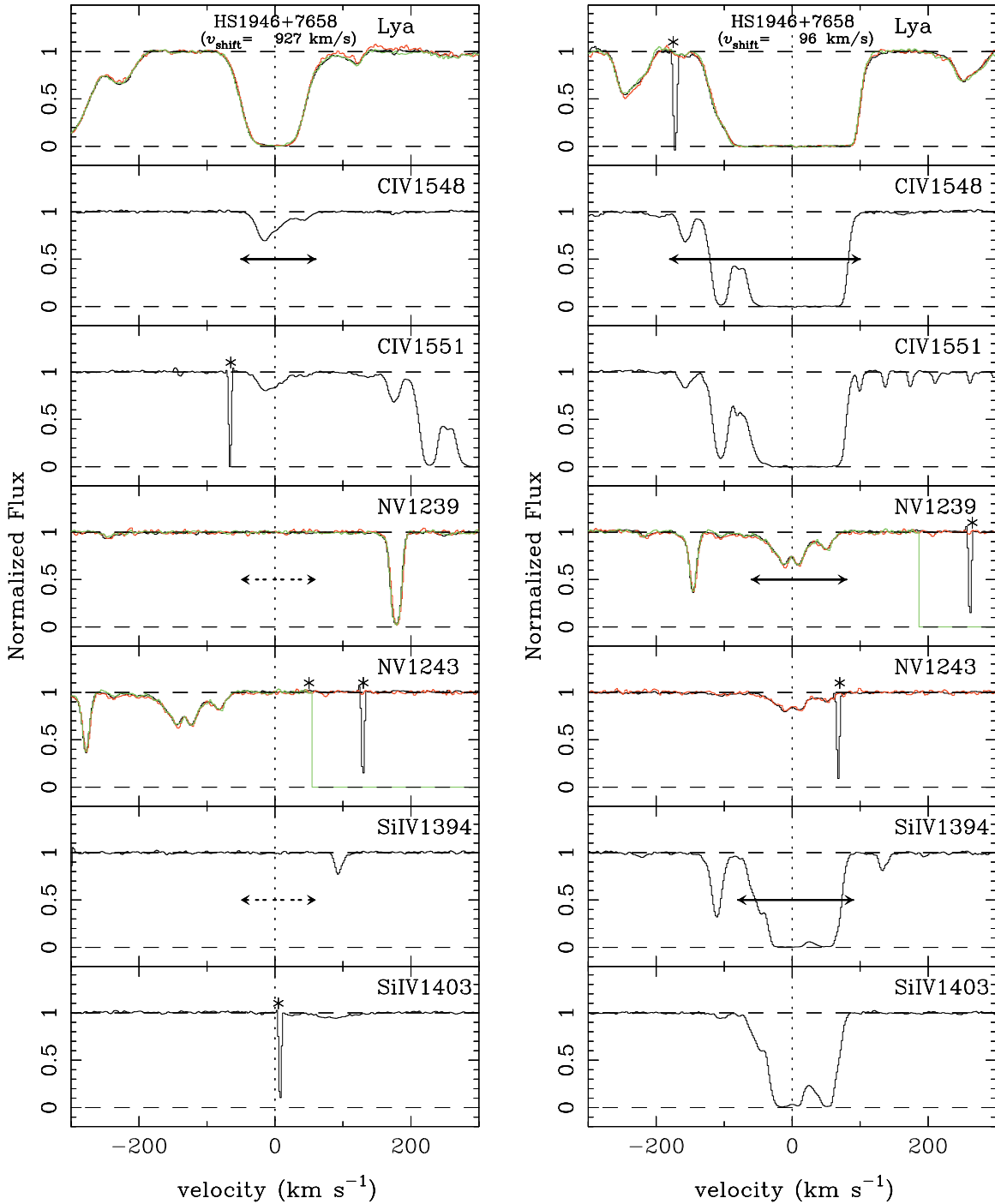
**Figure 6.** Same as Figure 2, but for the systems at  $v_{\text{shift}} = 24,195 \text{ km s}^{-1}$  (left) and  $23,640 \text{ km s}^{-1}$  (right) in the HS1700+6416 spectrum. (A color version of this figure is available in the online journal.)

absorption lines increase and decrease together. We also assume that  $n_e \sim n_H$ . Thus, we obtain  $Q(H)$  by scaling the bolometric luminosity, which we compute via  $L_{\text{bol}} = 4.4 \lambda L_\lambda$  at  $\lambda = 1450 \text{ \AA}$ , based on the spectral energy distribution (SED) of Richards et al. (2006). This SED is a segmented power law of the form  $L_\lambda \propto \lambda^\alpha$  with  $\alpha = -1.6$  from  $1000 \text{ \AA}$  to  $10 \mu\text{m}$ ,  $\alpha = -0.4$  from  $10$  to  $1000 \text{ \AA}$ , and  $\alpha = -1.1$  from  $0.1$  to  $10 \text{ \AA}$ , following Zheng et al. (1997) and Telfer et al. (2002). By integrating this SED, we find that  $Q(H) = 10^{58.2} \text{ s}^{-1}$  for a quasar with a bolometric luminosity of  $10^{48} \text{ erg s}^{-1}$ . Inserting the expression for  $Q(H)$  and limits on  $n_e$  and  $\log U$  into Equation (4)

and rearranging, we arrive at the following expression for the distance of the absorber from the continuum source:

$$r \leq 1.18 L_{48}^{1/2} n_5^{-1/2} \text{ kpc}, \quad (5)$$

where  $L_{48}$  is the bolometric luminosity in units of  $10^{48} \text{ erg s}^{-1}$  and  $n_5$  is the electron density in units of  $10^5 \text{ cm}^{-3}$ . Using Equation (5), we estimate upper limits on the distance of the absorber to be in the range 3–6 kpc (see Table 4 and Section 3.2). These values decrease by a factor of  $\sim 4$  if we use the SED of a typical radio-loud quasar (Mathews & Ferland 1987) or a high luminosity radio-quiet quasar (Dunn et al. 2010).



**Figure 7.** Same as Figure 2, but for the systems at  $v_{\text{shift}} = 927 \text{ km s}^{-1}$  (left) and  $96 \text{ km s}^{-1}$  (right) in the HS1946+7658 spectrum. (A color version of this figure is available in the online journal.)

### 3.2. Notes on Individual Mini-BAL Systems

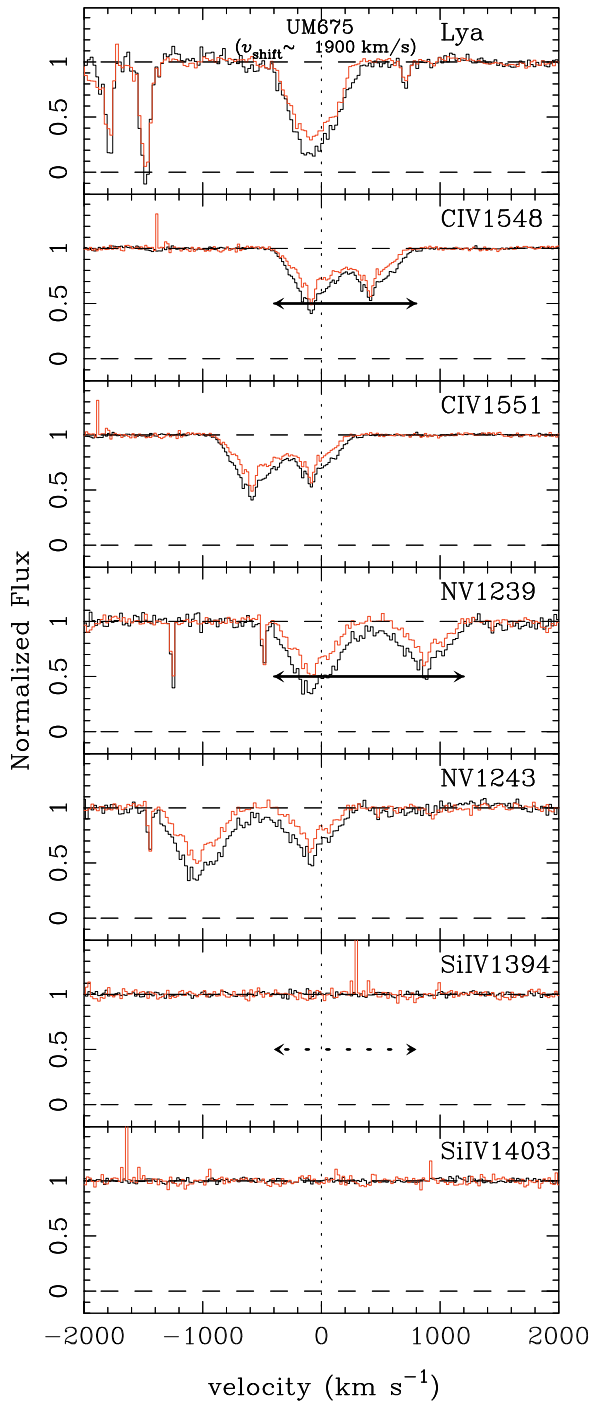
Here we discuss the variable mini-BALs in more detail before moving on to a general discussion about their properties and the possible causes of variability.

*UM675* ( $z_{\text{em}} = 2.15$ ), mini-BAL system at  $v_{\text{shift}} \sim 1900 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 2.13$ ).

Time variability of the C IV and N V doublets over  $\leq 2.9$  yr in the quasar rest frame was discovered in the mini-BAL absorber at  $z_{\text{abs}} \sim 2.13$  (i.e.,  $v_{\text{shift}} \sim 1900 \text{ km s}^{-1}$ ) in this radio-quiet quasar (Hamann et al. 1995). Additional

observations indicated that the different ions can have different coverage fractions:  $C_f \sim 0.45$  for C IV,  $C_f \geq 0.83$  for Ly $\alpha$ , and  $C_f \geq 0.6$  for O VI (Hamann et al. 1997b).

We collected two spectra taken with Keck/HIRES and Subaru/HDS in 1994 and 2005, respectively. As shown in Figure 8, N V and C IV got weaker at a  $>5\sigma$  significance over  $\sim 3.5$  yr in the quasar rest frame. Ly $\alpha$  also showed the same trend. The variation occurs across the entire profile, though perhaps fractionally less in the central narrower component, as seen in the spectrum of Q2343+125 (Hamann et al. 1997a). We cannot distinguish between a



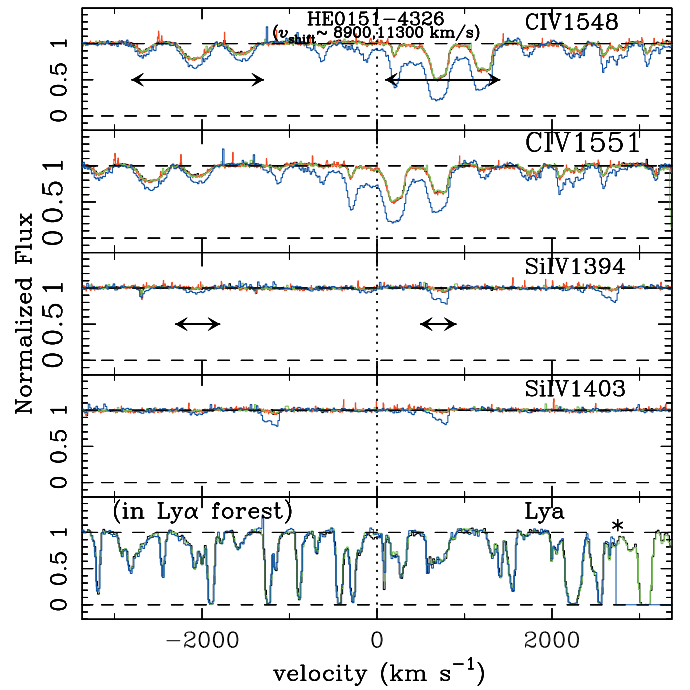
**Figure 8.** Same as Figure 2, but for the system at  $v_{\text{shift}} \sim 1900 \text{ km s}^{-1}$  in the UM675 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ .

(A color version of this figure is available in the online journal.)

change in ionization and a change in coverage fraction as the cause of the variability.

*Summary.* The C IV and N V profiles have weakened on timescales of several years in the quasar rest frame. This places constraints on density ( $n_e \geq 3.3 \times 10^3 \text{ cm}^{-3}$ ), and thus distance ( $r \leq 4.0 \text{ kpc}$ ). The detection of Ne VIII (Beaver et al. 1991) also suggests proximity to the quasar, although this line is not covered by our optical spectra.

*HE0151–4326* ( $z_{\text{em}} = 2.78$ ), mini-BAL system at  $v_{\text{shift}} \sim 11,300$  and  $8900 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 2.64$  and  $2.67$ ).



**Figure 9.** Same as Figure 2, but for the system at  $v_{\text{shift}} \sim 11,300 \text{ km s}^{-1}$  and  $8900 \text{ km s}^{-1}$  in the HE0151–4326 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ .

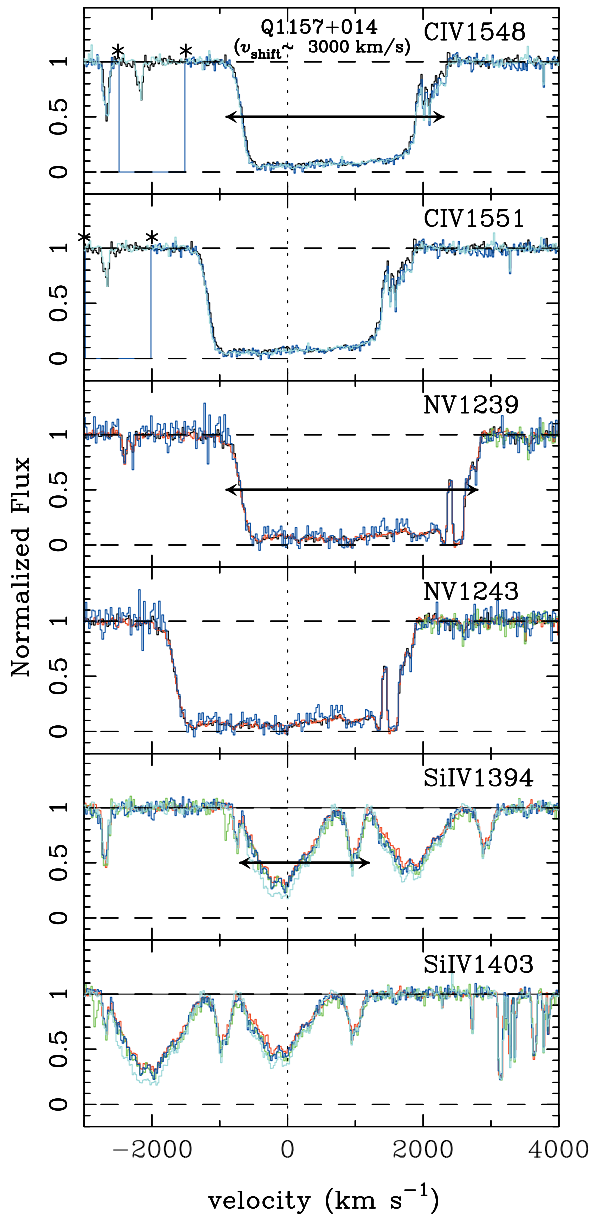
(A color version of this figure is available in the online journal.)

This system has not yet been studied in detail as an intrinsic absorption system. There are two distinct absorption systems at  $v_{\text{shift}} \sim 8900 \text{ km s}^{-1}$  (corresponding to  $\Delta v \sim 0 - 1000 \text{ km s}^{-1}$  in Figure 9) with C IV and Si IV detected, and at  $v_{\text{shift}} \sim 11,300 \text{ km s}^{-1}$  ( $\Delta v \sim -2800$  to  $-1800 \text{ km s}^{-1}$ ) detected in C IV. In addition to these two systems, there is likely to be a weaker system at  $v_{\text{shift}} \sim 6400 \text{ km s}^{-1}$  ( $\Delta v \sim 1500 - 3000 \text{ km s}^{-1}$ ) with detected C IV.

This mini-BAL system spans more than  $5000 \text{ km s}^{-1}$  in velocity space, although it does not satisfy the criterion for being a BAL (which requires that the observed spectrum falls at least 10% below the model of continuum plus emission lines over a contiguous velocity interval of at least  $2000 \text{ km s}^{-1}$ ).

The first three epochs of observation are within one month, and neither C IV nor Si IV showed variation in EW at  $>5\sigma$  level, except for E2  $\rightarrow$  E3 of C IV for the  $v_{\text{shift}} \sim 8900 \text{ km s}^{-1}$  system. The last epoch is separated from those first three by  $\sim 2 \text{ yr}$  in the quasar rest frame. The absorption is significantly stronger in the last epoch, again over the entire velocity range of observation. The Si IV is either not detected or very weak in the first three epochs, but then has appeared by the fourth epoch of observation in the component at  $\Delta v \sim 800 \text{ km s}^{-1}$  (see Figure 9). This suggests that there has been an ionization change in this component at least, and not just a change in coverage fraction. To adequately test this hypothesis, we would need high quality spectra covering some higher ionization ions such as N V and O VI.

*Summary.* The C IV profile for this absorption complex, which spans  $\sim 5000 \text{ km s}^{-1}$ , has increased in strength between observations separate by  $\sim 2 \text{ yr}$  in the quasar rest frame. Si IV has also appeared in one component. This is



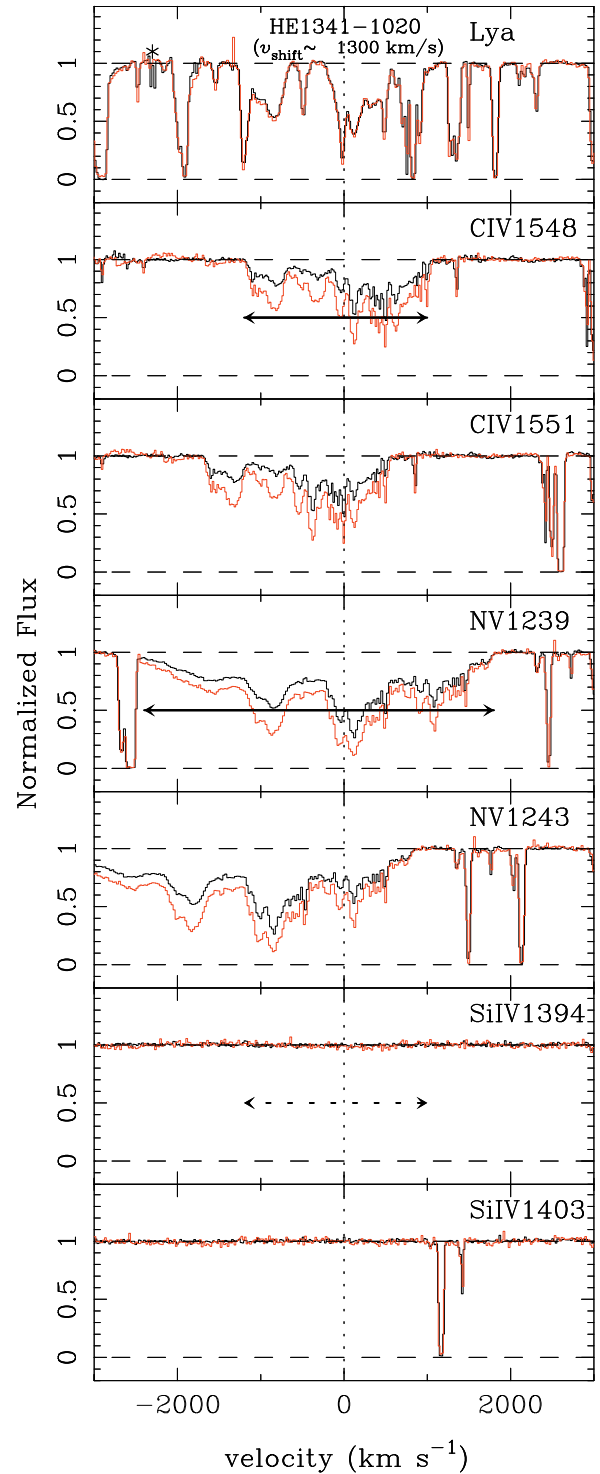
**Figure 10.** Same as Figure 2, but for the system in the  $v_{\text{shift}} \sim 3000 \text{ km s}^{-1}$  of Q1157+014 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ . (A color version of this figure is available in the online journal.)

consistent with a lower degree of ionization at the later time, and places constraints on densities ( $n_e \geq 3.9 \times 10^3 \text{ cm}^{-3}$ ), and thus distances ( $r \leq 6.3 \text{ kpc}$ ) for the  $v_{\text{shift}} \sim 11,300 \text{ km s}^{-1}$  and  $8900 \text{ km s}^{-1}$  systems.

*Q1157+014* ( $z_{\text{em}} = 2.00$ ), *mini-BAL system at  $v_{\text{shift}} \sim 3000 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 1.97$ ).*

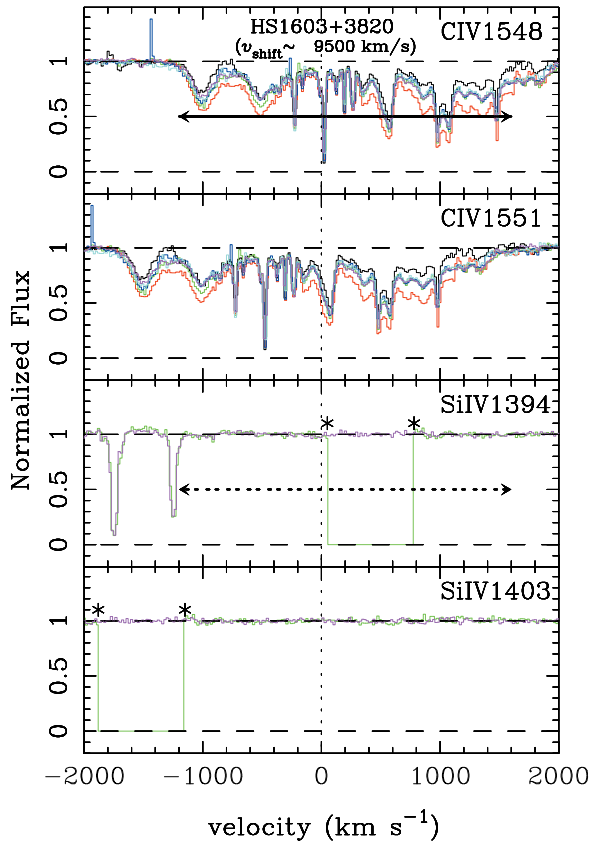
Wright et al. (1979) first studied the spectrum of this quasar in detail, and noted that it has C IV and C III] emission lines (although the Ly $\alpha$  emission line is absent or extremely weak) and several BALs such as Ly $\alpha$ , N V, and C IV. This system is classified as a BAL by the traditional definition because of the broad absorption profiles of C IV and N V. However, we regard it as a mini-BAL in this study because Si IV is not so strong as in typical BALs.

We collected five epochs of observations with VLT/UVES from 2000 to 2007, covering C IV, Si IV, and N V



**Figure 11.** Same as Figure 2, but for the system in the  $v_{\text{shift}} \sim 1300 \text{ km s}^{-1}$  of HE1341-1020 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ . (A color version of this figure is available in the online journal.)

at multiple epochs. The profiles are shown in Figure 10. The Si IV varies significantly on a timescale of  $\leq 223$  days ( $\leq 80$  days in the quasar rest frame). The N V appears to get a bit weaker and the C IV to get a bit stronger over the interval, but the change is at less than a  $5\sigma$  level except for E1  $\rightarrow$  E4 for C IV. The C IV and N V are clearly saturated over most of the profile, and so are not subject to variations in column density. A variation in coverage fraction would



**Figure 12.** Same as Figure 2, but for the system at  $v_{\text{shift}} \sim 9500 \text{ km s}^{-1}$  in the HS1603+3820 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ . (A color version of this figure is available in the online journal.)

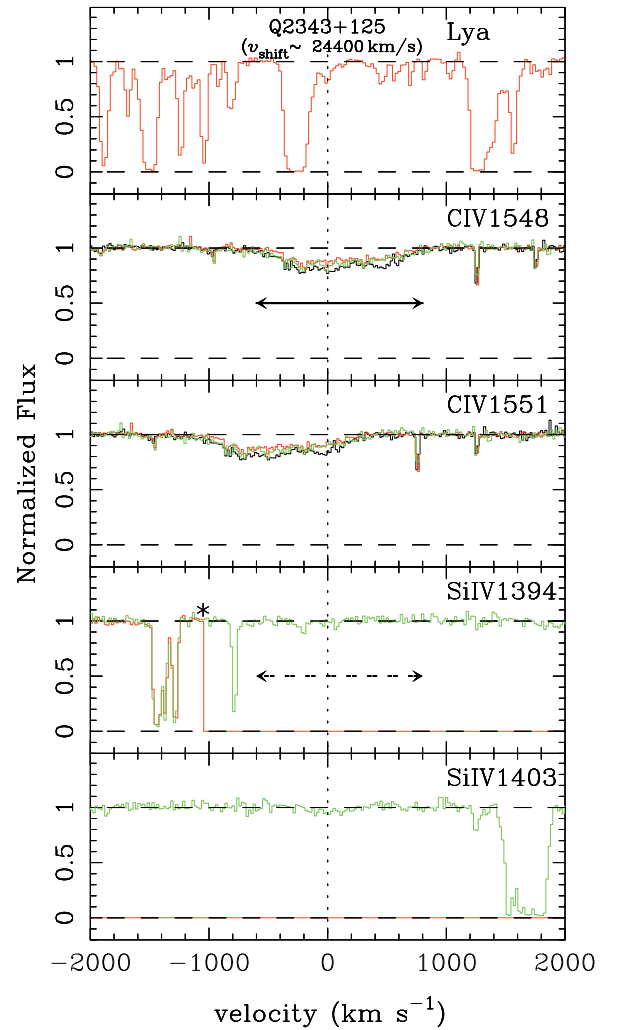
lead to a significant change in those EWs, since non-unity coverage fraction would cause these saturated profiles not to be black. Thus the lack of variability in the saturated CIV and N v suggests that an ionization change is responsible for the variability of the Si iv profile in this system.

*Summary.* This system shows variability, but mostly in the weaker Si iv absorption, and not in the saturated CIV and N v. The most plausible explanation for this is that the coverage fraction remains similar, but that the ionization state changes, because a change in the CIV and N v column density won't make a significant difference in the profile if it is saturated (e.g., Capellupo et al. 2012). This would be consistent with these profiles having changed only in their unsaturated parts. Any strict constraints cannot be placed on density and distance because recombination from/to Si iv is not simple.

*HE1341–1020* ( $z_{\text{em}} = 2.134$ ), *mini-BAL system at  $v_{\text{shift}} \sim 1300 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 2.12$ ).*

We found this mini-BAL system with broad absorption features of CIV and N v in the catalog of Narayanan et al. (2007). As far as we know, this system has not been previously studied in detail as an intrinsic absorption system.

We collected two epochs of observations with VLT/UVES in 2001 and 2007, which are shown in Figure 11. With the two available epochs we find that both lines show time variability on a timescale of  $\sim 2 \text{ yr}$  in the quasar rest frame. Both the CIV and the N v are stronger at the later epoch at more than  $5\sigma$  significance level, and they are



**Figure 13.** Same as Figure 2, but for the system at  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  in the Q2343+125 spectrum. The spectrum is resampled every  $0.3 \text{ \AA}$ . (A color version of this figure is available in the online journal.)

stronger over the entire profile. Si iv is not detected at either epoch. The profiles again have the appearance of narrower profiles superimposed on broad ones.

*Summary.* The CIV and N v profiles have varied on a timescale of  $\sim 2 \text{ yr}$  in the quasar rest frame, with both ions becoming stronger over the full range in velocity. This is certainly consistent with a change in coverage fraction, but an ionization change also cannot be ruled out with only two ions available as constraints. If an ionization change is the cause of variability, we can place constraints on density ( $n_e \geq 3.0 \times 10^3 \text{ cm}^{-3}$ ), and distance ( $r \leq 3.5 \text{ kpc}$ ). The absorption profiles show narrow components superimposed on broader ones.

*HS1603+3820* ( $z_{\text{em}} = 2.542$ ), *mini-BAL system at  $v_{\text{shift}} \sim 9500 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 2.43$ ).*

This system was first discovered by Dobrzycki et al. (1999), and then monitored with high-resolution spectra for  $\sim 1.2 \text{ yr}$  in the quasar rest frame (Misawa et al. 2005, 2007b). The CIV mini-BAL shows both partial coverage (Misawa et al. 2003) and time variability (Misawa et al. 2005, 2007b). The CIV profile changes across the entire range of velocity,  $\sim 2000 \text{ km s}^{-1}$ , at once (see Figure 12).

Among three possible scenarios for the variation, (1) a gas motion, (2) a variable scattering material, and (3) a change of ionization condition, the first two cannot be applicable for this quasar (see Section 1). The preferred explanation of changing ionization parameter is likely to be caused by variable shielding material between the continuum source and the absorber, since a quasar of this luminosity does not vary enough to explain the change. Warm absorbers are a candidate for the shielding material as evidenced by X-ray observations of this quasar (Misawa et al. 2010; Rozanska et al. 2013).

*Summary.* There is significant variability of C IV over the full velocity range on timescales as short as months in the quasar rest frame. The variability is likely to be caused by changing ionization parameter due to variable shielding material that modulates the quasar flux. We place constraints on the electron density ( $n_e \geq 1.6 \times 10^4 \text{ cm}^{-3}$ ) and the absorber’s distance from the flux source ( $r \leq 4.0 \text{ kpc}$ ).

*Q2343+125* ( $z_{\text{em}} = 2.515$ ), *mini-BAL system at  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  ( $z_{\text{abs}} \sim 2.24$ ).*

Previously, Hamann et al. (1997a) detected C IV, N V, and Si IV mini-BALs at  $z_{\text{abs}} \sim 2.24$  (corresponding to  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$ ) in this radio-quiet quasar spectrum. These lines showed both time variability (the lines weakened and then strengthened again by a factor of  $\sim 4$  on a timescale of  $\leq 0.3 \text{ yr}$  in the quasar rest frame) and partial coverage ( $C_f \leq 0.2$  for C IV).

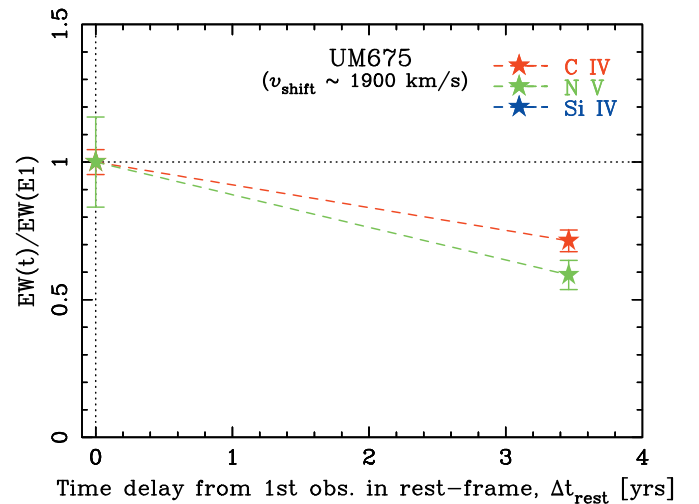
We collected additional spectra with VLT/UVES at three different epochs from 2002 to 2007. Because of the different wavelength coverage of the different observations, only C IV has multiple observations (Figure 13). Ly $\alpha$  and N V are blended with the Ly $\alpha$  forest. The EW measurements for C IV 1548 were  $3.29 \pm 0.69 \text{ \AA}$ ,  $2.04 \pm 0.44 \text{ \AA}$ , and  $2.69 \pm 0.63 \text{ \AA}$  for observations 1, 2, and 3, respectively, indicating only negligible variability at less than the  $2\sigma$  level. We do not detect any Si IV features, while Hamann et al. (1997a) found a weak Si IV mini-BAL. Several narrow components inside the broader absorption profiles of C IV (Hamann et al. 1997a) are not apparent in our lower-S/N spectra.

*Summary.* In this  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  mini-BAL, C IV gets slightly weaker, and then stronger, with changes taking place in only 124 and 403 days in the quasar rest frame, but in  $< 2\sigma$  level. More detailed analysis is not possible due to there being multiple epoch observations only of C IV.

## 4. DISCUSSION

### 4.1. Comparison of BAL and Mini-BAL Variability and Assessment of Physical Mechanisms

The observational results of our monitoring campaign, combined with earlier case studies (e.g., Misawa et al. 2007b; Hamann et al. 2011; Rodríguez Hidalgo et al. 2012 and references therein), suggest some similarities between the variability patterns observed in mini-BALs and BALs. In particular, we have found that the EWs of all mini-BALs in our sample vary on rest-frame timescales of months to years and have observed the following patterns (1) when different transitions in a mini-BAL system vary together, their EWs change in the same direction, (2) absolute and relative changes in EWs are larger over longer



**Figure 14.** Monitoring results for EWs of C IV (red), N V (green), and Si IV (blue) lines in the mini-BAL at  $v_{\text{shift}} \sim 1900 \text{ km s}^{-1}$  in the UM675 spectra. The horizontal axis is the time delay from the first epoch in the quasar rest frame (in years). The vertical axis is the observed EW (with  $1\sigma$  errors) normalized to that of the first observed epoch. If the observed spectrum does not cover a particular line, that epoch is not plotted for that line. If lines are covered but not detected, upper limits are marked with downward arrows.

(A color version of this figure is available in the online journal.)

rest-frame time intervals, and (3) variations in the EWs of the lines are not accompanied by discernible changes in the profiles, i.e., different troughs in the profile vary together. In comparison (1) more than 50% of BALs vary over timescales of the order of a year in the quasar rest-frame with the probability of variation approaching unity on multi-year timescales (e.g., Capellupo et al. 2011, 2013), and (2) the EWs of the UV absorption lines from C IV, Si IV, and N V in BAL systems vary together and in the same direction, although not necessarily in proportion to each other (e.g., Filiz Ak et al. 2013). However, BAL profiles do vary as the EWs vary (e.g., Lundgren et al. 2007; Gibson et al. 2008; Capellupo et al. 2011; Filiz Ak et al. 2012, 2013) in contrast to what is observed for mini-BAL troughs. In view of the similarities, we consider the two variability mechanisms often discussed for BALs as explanations for the observed mini-BAL variability, gas motion across our sightline and change of ionization conditions (see Section 1 for references and more details)

To evaluate the likelihood that the mini-BAL variations result from motion of the absorbing gas across the line of sight, we estimate the timescale for a parcel of gas to cross the cylinder of sight that encompasses either the continuum source or the BLR. We take the transverse speed of the absorber to be of the same order as the observed outflow speed (both are related to the free-fall speed, the only velocity scale in the problem), i.e.,  $v \sim 10^4 \text{ km s}^{-1}$ . We assume that the observed UV continuum is emitted from the inner parts of the accretion disk within a radius  $a_{\text{cont}} \sim 10 r_g$  from the center, where  $r_g \equiv GM_{\bullet}/c^2$  is the gravitational radius and  $M_{\bullet}$  is the mass of the black hole, i.e.,  $a_{\text{cont}} \sim 1.5 \times 10^{15} M_9 \text{ cm}$ , where  $M_9 = M_{\bullet}/10^9 M_{\odot}$ . Using the bolometric luminosities from Table 4 and assuming that the accretion rates are close to the Eddington limit, we estimate the black hole masses to be in the range:  $M_9 = 2\text{--}15$ , which leads to continuum source radii in the range  $a_{\text{cont}} \sim 3 \times 10^{15}\text{--}2.3 \times 10^{16} \text{ cm}$  and crossing times  $t_{\text{cross}}(a_{\text{cont}}) \sim 0.09\text{--}0.7 \text{ yr}$ . For the radius of the BLR, we adopt the relation between it and the continuum luminosity given by



**Table 3**  
Observed Frame Equivalent Width

QSO	$v_{\text{shift}}$ (km s $^{-1}$ )	Epoch	$\Delta t^a$ (days)	EW $_{\text{obs}}$		
				N v ( $\text{\AA}$ )	Si iv ( $\text{\AA}$ )	C iv ( $\text{\AA}$ )
(1)	(2)	(3)	(4)	(5)	(6)	(7)
HE0130–4021	65181	1	0	b	b	0.99 $\pm$ 0.05
		2	2573	b	b	0.99 $\pm$ 0.07
		3	4269	b	b	1.017 $\pm$ 0.04
	37037	1	0	b	0.057 $\pm$ 0.008 <sup>f</sup>	1.49 $\pm$ 0.08
		2	2573	b	0.059 $\pm$ 0.013	1.46 $\pm$ 0.12
		3	4269	b	0.054 $\pm$ 0.007	1.45 $\pm$ 0.07
	4129	1	0	1.87 $\pm$ 0.14	<0.23	-
		2	2573	1.82 $\pm$ 0.18	<0.28	1.17 $\pm$ 0.25 <sup>f</sup>
		3	4269	1.73 $\pm$ 0.11 <sup>f</sup>	<0.17	1.15 $\pm$ 0.15
HE0940–1050	18578	1	0	-	0.538 $\pm$ 0.028	1.62 $\pm$ 0.06
		2	311	b	0.533 $\pm$ 0.014	1.65 $\pm$ 0.03
		3	2620	b	0.542 $\pm$ 0.021	1.61 $\pm$ 0.05
Q1009+2956	33879	1	0	-	0.900 $\pm$ 0.030	1.73 $\pm$ 0.03
		2	1082	-	0.908 $\pm$ 0.003	-
		3	1166	-	0.895 $\pm$ 0.014	-
		4	4176	-	0.926 $\pm$ 0.048	1.72 $\pm$ 0.06
	452	1	0	0.434 $\pm$ 0.008 <sup>f</sup>	0.019 $\pm$ 0.014	-
		2	1082	0.392 $\pm$ 0.005	-	-
		3	1166	0.405 $\pm$ 0.004	-	-
		4	4176	0.399 $\pm$ 0.016	0.018 $\pm$ 0.009	0.430 $\pm$ 0.015
HS1700+6416	24195	1	0	-	0.102 $\pm$ 0.019	0.406 $\pm$ 0.033
		2	398	-	0.104 $\pm$ 0.006	0.424 $\pm$ 0.014
		3	4109	b	0.084 $\pm$ 0.015	0.430 $\pm$ 0.036 <sup>f</sup>
		4	4152	b	0.106 $\pm$ 0.01	0.417 $\pm$ 0.027
	23640	1	0	-	0.087 $\pm$ 0.017	0.196 $\pm$ 0.019 <sup>f</sup>
		2	398	-	0.100 $\pm$ 0.007	0.216 $\pm$ 0.007 <sup>f</sup>
		3	4109	b	0.085 $\pm$ 0.015	0.189 $\pm$ 0.018 <sup>f</sup>
		4	4152	b	0.089 $\pm$ 0.014	0.197 $\pm$ 0.012
	767	1	0	0.501 $\pm$ 0.027	<0.02	0.291 $\pm$ 0.016
		2	398	0.465 $\pm$ 0.009	<0.007	0.295 $\pm$ 0.007
		3	4109	0.500 $\pm$ 0.031	<0.02	0.328 $\pm$ 0.021
		4	4152	0.504 $\pm$ 0.022	<0.01	0.318 $\pm$ 0.015
	452	1	0	0.108 $\pm$ 0.015	<0.015	<0.011
		2	398	0.101 $\pm$ 0.006	<0.006	<0.005
		3	4109	0.084 $\pm$ 0.015	<0.02	<0.02
		4	4152	0.109 $\pm$ 0.012	<0.01	<0.01
HS1946+7658	927	1	0	<0.01	<0.01	0.286 $\pm$ 0.007
		2	1517	<0.01	-	-
		3	1549	<0.01	-	-
	96	1	0	0.366 $\pm$ 0.008	2.318 $\pm$ 0.01	4.164 $\pm$ 0.02
		2	1517	0.384 $\pm$ 0.014	-	-
		3	1549	0.371 $\pm$ 0.012	-	-
UM675	~1900	1	0	6.15 $\pm$ 1.01	<0.4	5.38 $\pm$ 0.24
		2	3982	3.63 $\pm$ 0.33	<0.3	3.84 $\pm$ 0.21
HE0151–4326	~11300	1	0	b	<0.07	1.96 $\pm$ 0.26
		2	19	-	<0.10	2.12 $\pm$ 0.37
		3	58	b	<0.10	2.22 $\pm$ 0.36
		4	2176	b	0.24 $\pm$ 0.16	3.69 $\pm$ 0.53
	~8900	1	0	b	0.09 $\pm$ 0.04	4.07 $\pm$ 0.21
		2	19	-	0.10 $\pm$ 0.06	4.22 $\pm$ 0.30
		3	58	b	0.08 $\pm$ 0.06	3.92 $\pm$ 0.32
		4	2176	-	0.59 $\pm$ 0.09	10.42 $\pm$ 0.43
Q1157+014	~3000	1	0	38.38 $\pm$ 1.28	-	37.12 $\pm$ 1.23
		2	410	38.27 $\pm$ 1.17	9.16 $\pm$ 0.52 <sup>c</sup>	-
		3	633	-	10.31 $\pm$ 1.23 <sup>c</sup>	-
		4	2094	37.7 $\pm$ 4.5	9.77 $\pm$ 1.12 <sup>c</sup>	38.17 $\pm$ 2.33
		5	2593	-	11.26 $\pm$ 0.71 <sup>c</sup>	38.53 $\pm$ 1.05

**Table 3**  
(Continued)

QSO (1)	$v_{\text{shift}}$ ( $\text{km s}^{-1}$ ) (2)	Epoch (3)	$\Delta t^a$ (days) (4)	EW <sub>obs</sub>		
				N v ( $\text{\AA}$ ) (5)	Si iv ( $\text{\AA}$ ) (6)	C iv ( $\text{\AA}$ ) (7)
HE1341–1020	~1300	1	0	$15.39 \pm 0.47$	<0.3	$6.86 \pm 0.24$
		2	2280	$21.63 \pm 0.79$	<0.7	$12.40 \pm 0.45$
HS1603+3820	~9500	1	0	-	-	$10.12 \pm 1.15$
		2	471	b	d	$17.95 \pm 1.84$
		3	1071	b	<0.8 <sup>e</sup>	$13.62 \pm 0.79$
		4	1194	b	d	$13.03 \pm 1.43$
		5	1245	b	d	$12.69 \pm 0.84$
		6	1530	-	<0.5	$13.34 \pm 0.61$
Q2343+125	~24400	1	0	-	-	$3.29 \pm 0.69$
		2	437	b	-	$2.04 \pm 0.44$
		3	1855	-	<0.76	$2.69 \pm 0.63$

**Notes.**<sup>a</sup> Time delay from the first epoch in the observed frame.<sup>b</sup> This line is blended with the Ly $\alpha$  forest.<sup>c</sup> Parameters are measured using only blue component, because doublet lines are not self-blended and can be fitted separately.<sup>d</sup> This line is significantly affected by bad columns.<sup>e</sup> Spectral region is partially truncated.<sup>f</sup> This line is affected by a data defect that could slightly alter the EW measurement.

- This line is not covered by the observed spectrum.

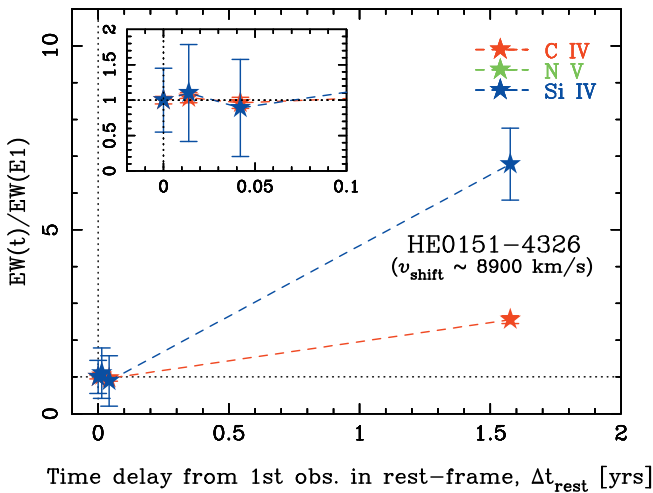
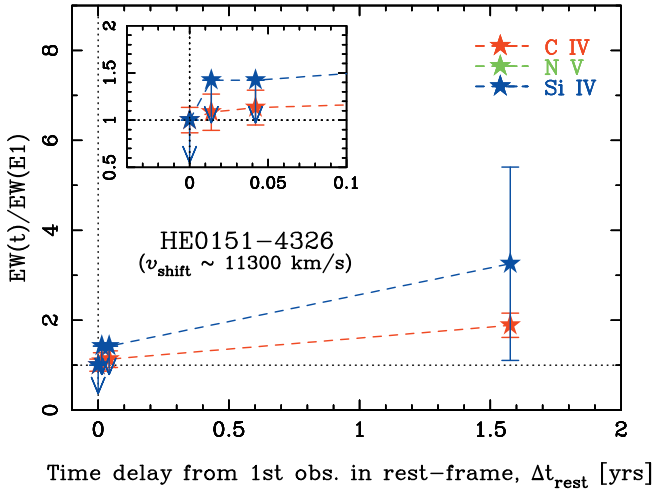
Kaspi et al. (2007), which leads to  $a_{\text{BLR}} \sim 0.22\text{--}0.63$  pc and crossing times of  $t_{\text{cross}}(a_{\text{BLR}}) \sim 20\text{--}60$  yr. The long crossing times of the BLR lead us to disfavor gas motion across the BLR cylinder of sight as the cause of variability. Moreover, a substantial fraction of the mini-BAL troughs in our sample are found at large outflow velocities,  $|v_{\text{shift}}| \gtrsim 5000$  km s<sup>-1</sup>, so that they do not overlap with the broad emission lines (i.e., the mini-BAL gas absorbs only continuum photons and the continuum source crossing time is the more relevant timescale). These considerations make this particular version of the scenario very unlikely. The crossing times of the continuum source for the more luminous quasars in our sample are on a par with the observed variability timescales and the amplitude of EW variations is largest for timescales just over a year, which is comparable to the time required to traverse the continuum source completely. However, for the less luminous quasars in our sample, we would have expected the EW variations to be faster. Moreover, all troughs in a mini-BAL system vary together when the EW varies, which is difficult to orchestrate unless the parcels of gas producing the mini-BAL troughs in a given system all cross the cylinder of sight to the continuum source at the same time. Thus, we disfavor this version of the scenario as the cause of variability, although we cannot decisively rule it out (see also the discussion in Rodríguez Hidalgo et al. 2011).

As argued by Misawa et al. (2007b), the fact that all troughs in a mini-BAL system vary together strongly suggests that the variability is the result of changes in the ionization state of the absorber, presumably because of a variable ionizing continuum. Filiz Ak et al. (2014) suggest that this mechanism may also operate in BALs. But since the continuum variability timescales of such luminous quasars are considerably longer than the observed variability of mini-BALs (e.g., Giveon et al. 1999; Hawkins 2001; Kaspi et al. 2007), one is led to invoke variable obscuration of the ionizing continuum seen by the absorbers by shielding material of variable column density. This screen could be the X-ray warm absorber (Gallagher et al. 2002, 2006),

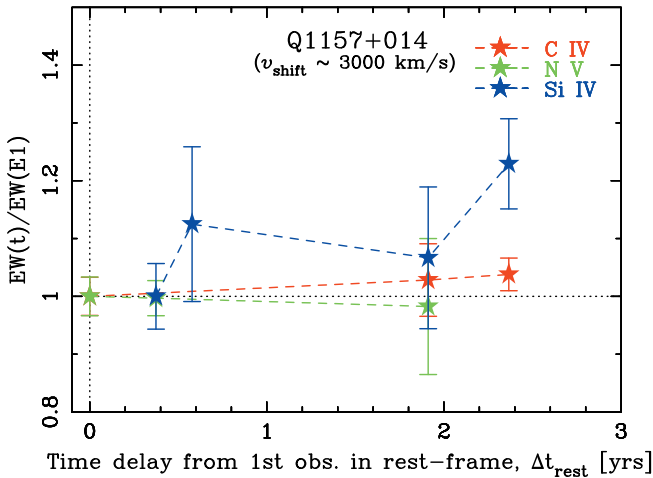
and indeed some X-ray absorbers toward mini-BAL quasars show this particular behavior (e.g., Giustini et al. 2011). In this scenario, an X-ray warm absorber lies along sight lines toward mini-BAL and BAL systems but not toward NAL absorbers. This conclusion is based on the measurement of high column densities from the X-ray spectra of quasars with BALs and mini-BALs but not from the spectra of quasars with intrinsic NALs (e.g., Chartas et al. 2009). Recent radiation-MHD simulations by Takeuchi et al. (2013) reproduce variable clumpy structures in warm absorbers with typical sizes or order  $20 r_g$  and typical optical depth of the order of unity. They appear above heights of  $\sim 500 r_g$  from the plane of the accretion disk but, interestingly, they are not found at very high latitudes, where sight lines towards intrinsic NAL absorbers have been suggested to be by Ganguly et al. (2001) and Misawa et al. (2008). The fact that these clumps have sizes comparable to the size of the continuum source, suggests that they can modulate the apparent size of the continuum source and, by extension, the coverage fraction of the absorbers. Thus, such clumpy structures provide a plausible explanation for the frequent variability of mini-BALs and the lack of frequent variability in intrinsic NALs.

#### 4.2. Variability of NALs and Narrow Components in Mini-BAL Systems

It is noteworthy that the intrinsic NALs we have monitored in this program did not show any variability. These were identified as intrinsic systems based on the fact that their C iv, N v, or Si iv doublets show the signature of partial coverage of the background source. Although the same signature is seen on some rare occasions in low ionization lines from intervening absorbers (presumably arising in cold and compact parcels of gas; see Kobayashi et al. 2002; Jones et al. 2010; Chen & Qin 2013), it has never been seen in high-ionization lines, such as C iv and N v, from intervening absorbers. Therefore, we are confident that the systems we have targeted are intrinsic. Moreover, variability has been observed in NALs in other

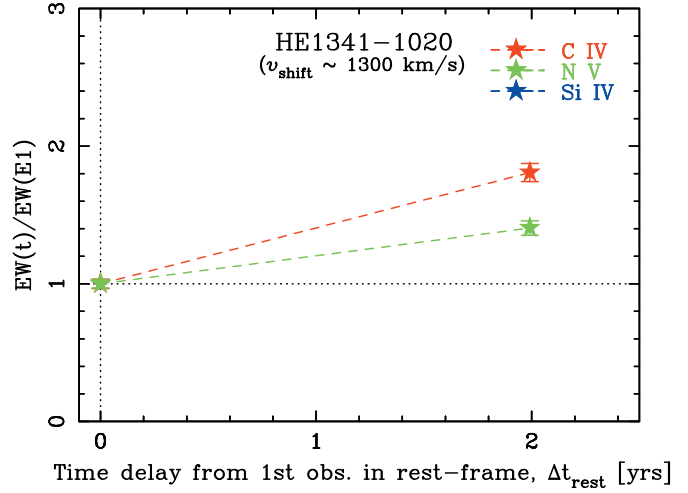


**Figure 15.** Same as Figure 14, but for a mini-BAL at  $v_{\text{shift}} \sim 11,300 \text{ km s}^{-1}$  (top) and  $8900 \text{ km s}^{-1}$  (bottom) in the spectra of HE0151-4326. (A color version of this figure is available in the online journal.)

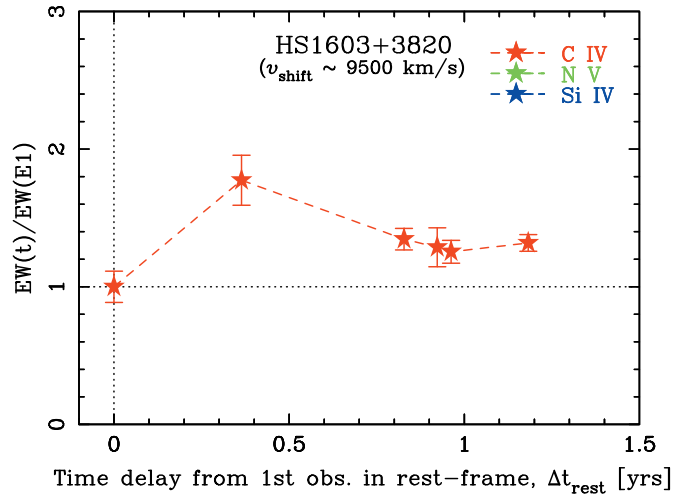


**Figure 16.** Same as Figure 14, but for a mini-BAL at  $v_{\text{shift}} \sim 3000 \text{ km s}^{-1}$  in the spectra of Q1157+014. (A color version of this figure is available in the online journal.)

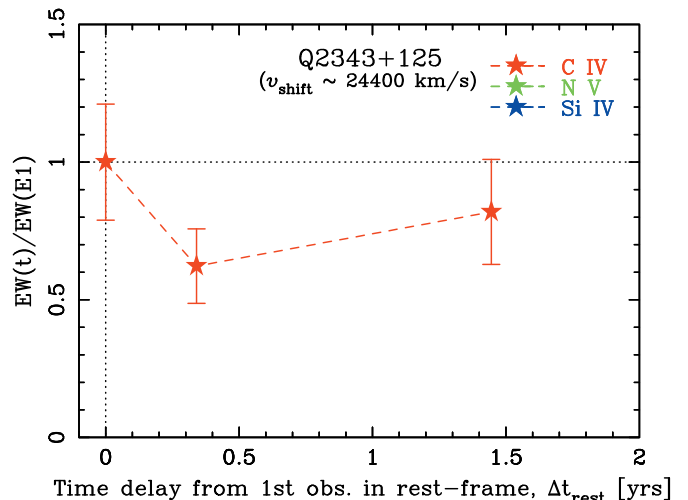
monitoring campaigns, both at high and low redshifts, by Narayanan et al. (2004) and Wise et al. (2004). The NAL variability timescales and resulting lower limits on electron densities from those two studies are comparable to what we have found here for mini-BALs.



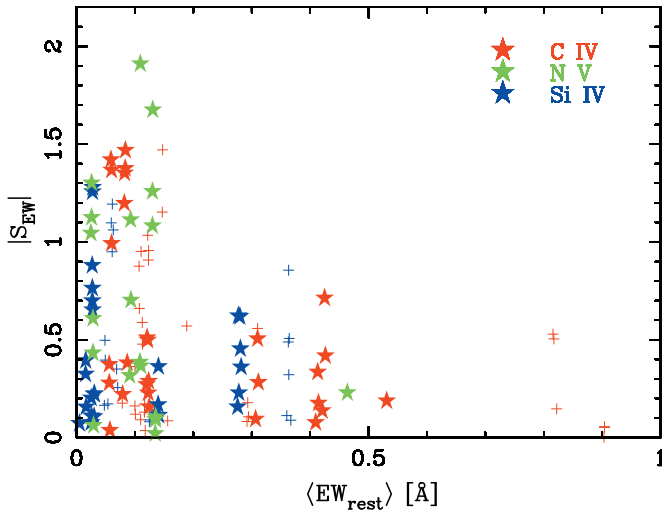
**Figure 17.** Same as Figure 14, but for a mini-BAL at  $v_{\text{shift}} \sim 1300 \text{ km s}^{-1}$  in the spectra of HE1341-1020. (A color version of this figure is available in the online journal.)



**Figure 18.** Same as Figure 14, but for a mini-BAL at  $v_{\text{shift}} \sim 9500 \text{ km s}^{-1}$  in the spectra of HS1603+3820. (A color version of this figure is available in the online journal.)

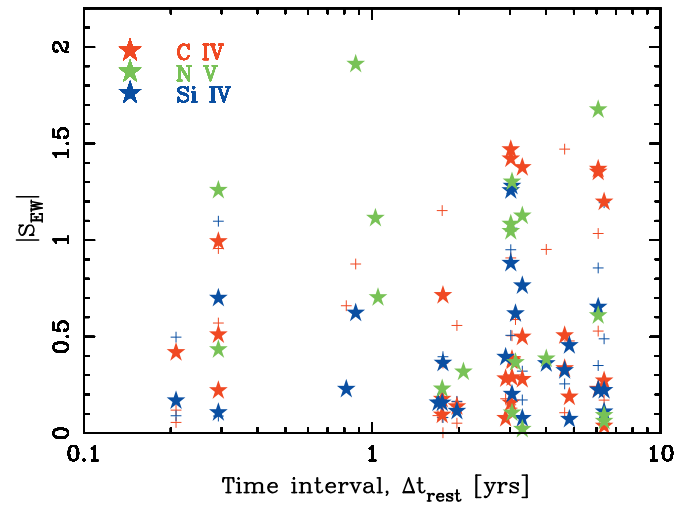


**Figure 19.** Same as Figure 14, but for a mini-BAL at  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  in the spectra of Q2343+125. (A color version of this figure is available in the online journal.)



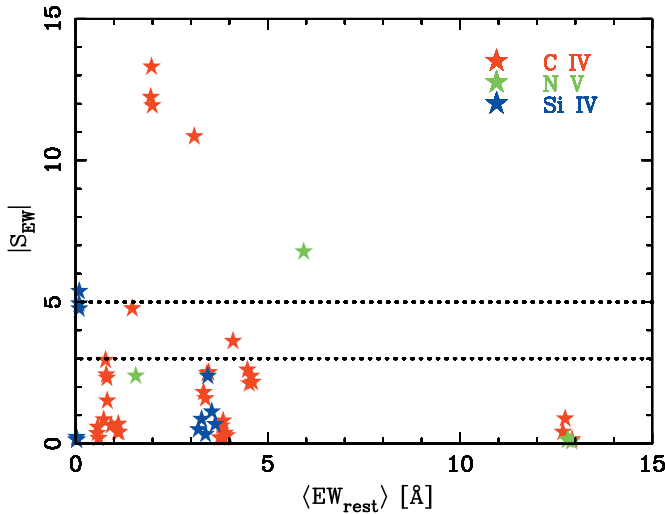
**Figure 20.** Variation significance,  $|S_{EW}| \equiv |\Delta EW|/\sigma_{\Delta EW}$  (see Equation (3)), as a function of the average, rest-frame EW for NALs. Intrinsic C IV, N V, and Si IV NALs are marked with red, green, and blue stars, while intervening NALs with full coverage are marked with crosses and included for comparison.

(A color version of this figure is available in the online journal.)



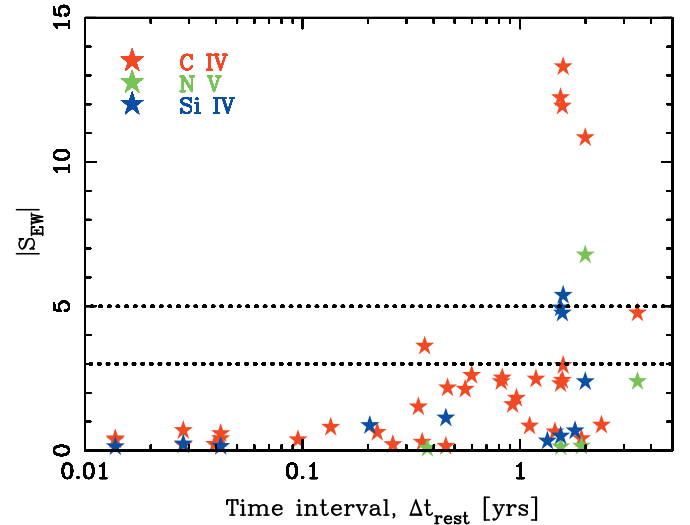
**Figure 22.** Variation significance,  $|S_{EW}| \equiv |\Delta EW|/\sigma_{\Delta EW}$  (see Equation (3)), as a function of time interval for NALs. Intrinsic C IV, N V, and Si IV NALs are marked with red, green, and blue stars, while intervening NALs with full coverage are marked with crosses for comparison.

(A color version of this figure is available in the online journal.)



**Figure 21.** Same as Figure 20, but for mini-BALs. The  $|S_{EW}| = 3$  and 5 thresholds are marked with horizontal dotted lines.

(A color version of this figure is available in the online journal.)



**Figure 23.** Same as Figure 22, but for mini-BALs. The  $|S_{EW}| = 3$  and 5 thresholds are marked with horizontal dotted lines.

(A color version of this figure is available in the online journal.)

Narrow kinematic components are sometimes also found near the centers of mini-BALs. Examples in our sample can be seen at  $v_{\text{shift}} \sim 24,400 \text{ km s}^{-1}$  in the C IV mini-BAL of HE1341–1020, at  $\sim 1300 \text{ km s}^{-1}$  in the C IV and N V mini-BALs of UM675, and at  $\sim 3000 \text{ km s}^{-1}$  in the Si IV mini-BAL of Q1157+014. Among these, we can monitor the narrow components in UM675 without complications from noise and line blending. The narrow C IV components of UM675 in Epochs 1 and 2 are shown in Figure 29, in which broader absorption troughs are fitted out by high-order spline functions. The total EWs (including both C IV  $\lambda 1548$  and C IV  $\lambda 1551$ ) of Epochs 1 and 2 are measured to be  $EW(E1) = 0.58 \pm 0.02$  and  $EW(E2) = 0.56 \pm 0.01$ . Thus, they show no variability over a time interval of  $\Delta t_{rest} \sim 3.5 \text{ yr}$ . Hamann et al. (1997a) noted a similar behavior for the narrow core of the C IV mini-BAL in Q2343+125 and suggested the narrow components may not be physically associated to the quasar itself, but arising in the quasar host galaxy or foreground galaxy (although this quasar

is one of our targets, we cannot monitor the variability of the narrow components because our spectra have lower S/N than those of Hamann et al. 1997a). However, narrow components appear to always coincide with the centers of mini-BAL profiles, which suggests a physical association between the absorbing media. One possible explanation for the behavior of the narrow cores of mini-BALs is that they originate in dense clumps of high optical depth, embedded in the mini-BAL flow. When the ionizing continuum varies, the broad mini-BAL troughs show an easily discernible response but the highly saturated, narrow cores do not.

#### 4.3. Possible Geometry and Locations of Mini-BAL and NAL Gas

The variability properties of mini-BALs and NALs reported here, in combination with previous reports in the literature (see references above), lead us to suggest the following possibilities

**Table 4**  
Variability and Physical Properties of Mini-BAL Absorbers

QSO	$v_{\text{shift}}$ ( $\text{km s}^{-1}$ )	$t_{\text{var}}^{\text{a}}$ (days)	$S_{\text{EW}}^{\text{b}}$	Ion	Ionization Change	$L_{\text{bol}}^{\text{c}}$ ( $\text{erg s}^{-1}$ )	$n_e^{\text{d}}$ ( $\text{cm}^{-3}$ )	$r^{\text{e}}$ (kpc)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
UM675	~1900	3982 (E1 → E2)	-2.4	N v	N v → N iv	$3.8 \times 10^{47}$	$\geq 1.66 \times 10^3$	$\leq 5.6$
			-4.8	C iv	C iv → C iii		$\geq 3.27 \times 10^3$	$\leq 4.0$
HE0151-4326	~11300	2118 (E3 → E4)	+2.3	C iv	C v → C iv	$1.1 \times 10^{48}$	$\geq 3.90 \times 10^3$	$\leq 6.3$
	~8900	2118 (E3 → E4)	+4.9	Si iv	Si v → Si iv		g	g
	~8900	2118 (E3 → E4)	+12.2	C iv	C v → C iv		$\geq 3.90 \times 10^3$	$\leq 6.3$
Q1157+014	~3000	2183 (E2 → E5)	+2.4	Si iv	Si v → Si iv	$2.9 \times 10^{47}$	f	f
HE1341-1020	~1300	2280 (E1 → E2)	+6.8	N v	N vi → N v	$2.6 \times 10^{47}$	$\geq 1.75 \times 10^3$	$\leq 4.5$
			+10.8	C iv	C v → C iv		$\geq 3.00 \times 10^3$	$\leq 3.5$
HS1603+3820	~9500	471 (E1 → E2)	+3.6	C iv	C v → C iv	$1.9 \times 10^{48}$	$\geq 1.64 \times 10^4$	$\leq 4.0$
Q2343+125	~24400	1855 (E1 → E3)	$\leq 2.0$	C iv	C iv	$7.5 \times 10^{47}$	g	g

**Notes.**

<sup>a</sup> Time interval in the observed frame in units of days. We list only the shortest time intervals on which variability was detected since these yield the most stringent limit on the density of the absorbing gas (see Section 3.1).

<sup>b</sup> Variation significance, defined as  $S_{\text{EW}} \equiv \Delta\text{EW}/\sigma_{\text{EW}}$ ; see Equation (3). We have included a low-significance measurement for Q2343+125 for completeness since we have not detected more significant variability in this object.

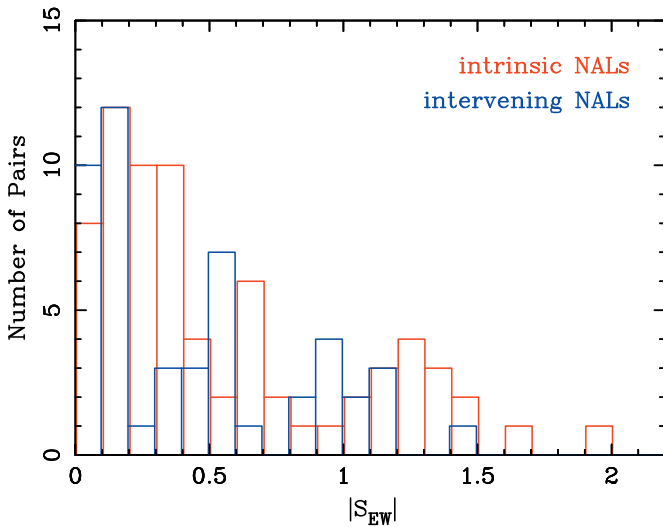
<sup>c</sup> Bolometric luminosity, calculated as  $L_{\text{bol}} = 4.4 \lambda L_{\lambda}(1450 \text{ \AA})$ .

<sup>d</sup> Electron density, calculated by assuming that the variation timescale is the recombination time.

<sup>e</sup> Absorber's distance from the flux source, calculated by assuming the quasi-static photoionization change. These numbers become smaller by a factor of  $\sim 4$  if we use the SED of typical radio-loud quasar (Mathews & Ferland 1987) or high luminosity radio-quiet quasars (Dunn et al. 2010).

<sup>f</sup> No constraints can be placed because recombination processes to/from Si iv do not lead to constraints on the density in straightforward way (Arnaud & Rothenflug 1985).

<sup>g</sup> No constraints can be placed because of no time variation.

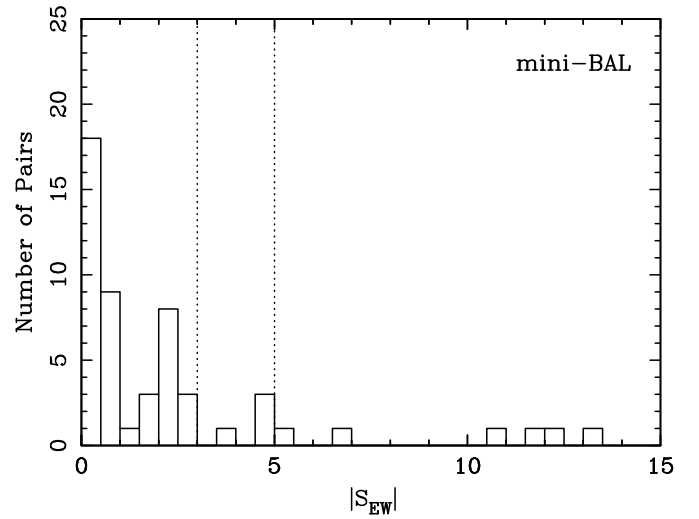


**Figure 24.** Distribution of variation significance,  $|S_{\text{EW}}| \equiv |\Delta\text{EW}|/\sigma_{\Delta\text{EW}}$  (see Equation (3)) for intrinsic NALs (red histogram) and intervening NALs (blue histogram). We include all unique pairs of observing epochs.

(A color version of this figure is available in the online journal.)

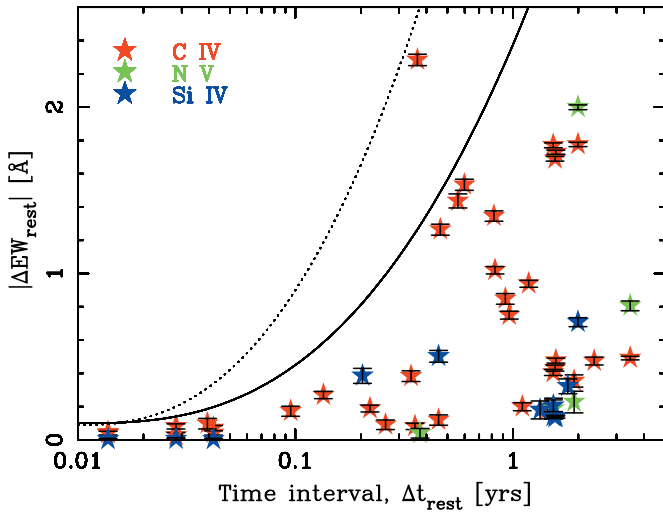
for locations of the absorbing gas. These are inspired by numerical simulations of quasar outflows (see references in Section 1) and are a refinement of the picture suggested by Ganguly et al. (2001).

In the first scenario, both types of absorbers are located in an accretion-disk wind, as illustrated in Figure 30. The mini-BAL gas is in the form of filaments rising from the fast component of the outflow. They make up the interface between the dense BAL wind at low latitudes above the disk and a hotter, much more tenuous medium in the polar direction. As such, the mini-



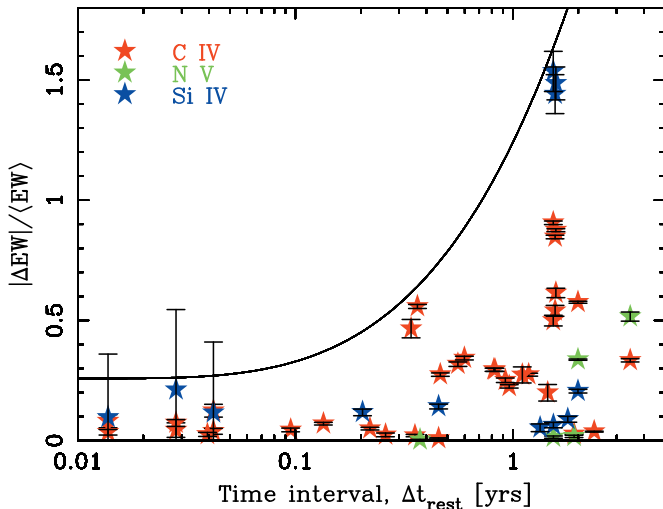
**Figure 25.** Same as Figure 24, but for mini-BALs. The  $|S_{\text{EW}}| = 3$  and 5 thresholds are marked with vertical dotted lines.

BAL filaments have a large outflow speed, comparable to that of the BAL gas and a substantial velocity gradient. Within those filaments there may exist small, dense clumps that are sometimes observed as narrow cores in mini-BAL troughs. Variability of the ionizing continuum incident on the mini-BAL filaments can change the optical depth of the mini-BAL troughs arising in the filaments but not those of their narrow cores which arise in the dense clumps with C iv optical depths of  $\tau_{\text{C iv}} \gg 1$  (assuming their predicted hydrogen column densities of  $\gtrsim 10^{20} \text{ cm}^{-2}$ ,  $\log U \sim -1.5$ , and solar abundances). In this scenario, the NALs and the narrow cores of mini-BALs arise in higher-density, smaller filaments in the same region of the



**Figure 26.** Change in the absolute rest-frame EW of mini-BALs as a function of rest-frame time interval for every unique pair of observing epochs. C IV, N V, and Si IV data are shown with red, green, and blue stars with  $1\sigma$  errors, respectively. The dashed and solid curves denote upper envelopes of the distribution with and without an outlying point at  $|\Delta EW_{\text{rest}}| > 2 \text{ \AA}$ . They are included only as a guide to the eye. The outlying point corresponds to the system at  $v_{\text{shift}} \sim 9500 \text{ km s}^{-1}$  in HS1603+3820 (E1  $\rightarrow$  E2). Note that the horizontal axis is logarithmic.

(A color version of this figure is available in the online journal.)

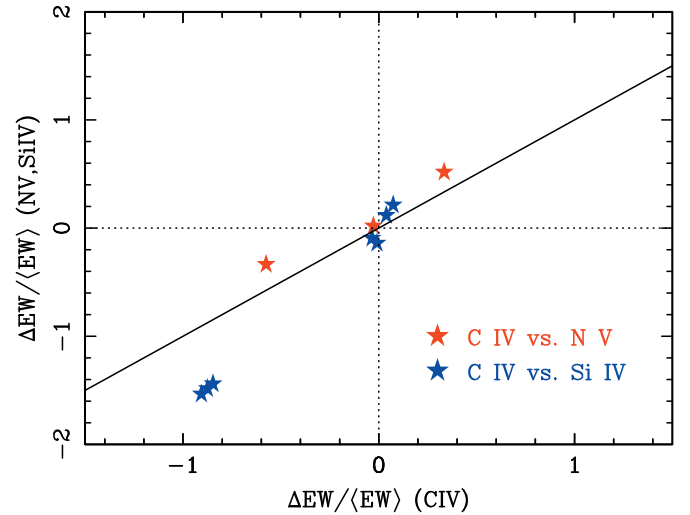


**Figure 27.** Change in the fractional equivalent width ( $|\Delta EW|/\langle EW \rangle$ ) as a function of rest-frame time interval. The vertical axis is the observed EW (with  $1\sigma$  errors) normalized to the average EW in the observed-frame. The solid curve denotes an upper envelope of the distribution and is included only as a guide to the eye. The symbols have the same meaning as in Figure 26 and the horizontal axis is logarithmic.

(A color version of this figure is available in the online journal.)

wind or at higher latitudes. It is possible that the NAL filaments are denser than the mini-BAL filaments thus the NALs are less susceptible to fluctuations in the ionizing continuum. However, NALs could vary because of changes in the coverage fraction, which could come about as the apparent size of the background source changes because of fluctuations in the structure of the inner screen (see discussion at the end of Section 4.3).

Alternatively, the NAL gas may be located in the host galaxy, at large distances from the center, as we noted in Section 1. In this scenario, small NAL filaments may form from the interaction of the wind's blast wave with cold clouds in the host galaxy, as proposed by Faucher-Giguère et al. (2012). Radiative shocks



**Figure 28.** Fractional variations of the observed EWs of the S IV and N V mini-BALs plotted against the corresponding fractional variations of the C IV mini-BALs in the same systems. The EW error bars are not plotted as they are smaller than the size of the symbols (see Figure 26). In all but one case, different lines from the same system vary together, in the same direction. The mini-BAL of Q1157+014, marked by the red star closest to the origin, appears to be an exception (the EW of N V appears to decrease while the other two lines appear to get stronger) but the statistical significance is very low. We also plot a straight solid line with unit slope for reference.

(A color version of this figure is available in the online journal.)

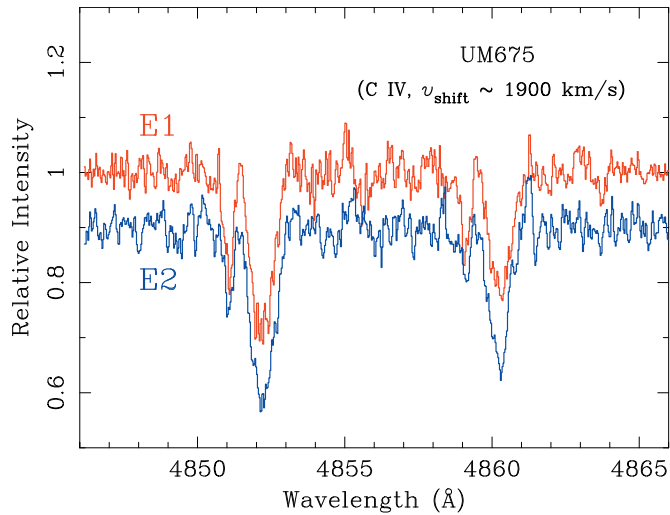
resulting from this interaction can create thin, dense filaments whose properties are consistent with what is inferred from photoionization models for the NAL absorbers (e.g., Wu et al. 2010; Borguet et al. 2013) and are likely to have  $\tau_{\text{C IV}} \gg 1$ . Thus the variability of the NALs arising in these filaments is more likely to result from fluctuations in the coverage fraction than fluctuations in the ionizing continuum. This scenario is more likely to apply to *associated* NALs, with  $v_{\text{shift}} \leq 5000 \text{ km s}^{-1}$ , as this range of blueshifts better matches the prediction of numerical models for the interaction of quasar outflows with the ISM of the host galaxy (Kurosawa & Proga 2009). NALs found at  $v_{\text{shift}} \gg 5000 \text{ km s}^{-1}$  (e.g., 20,000–65,000  $\text{km s}^{-1}$ ; see Misawa et al. 2007a) are better explained by the previous scenario.

The underlying hypotheses in the above scenarios are that (1) NAL variations are more rare than those of mini-BALs (although when NALs do vary they do so on the same timescales as mini-BALs), and (2) that NAL variability is caused primarily by fluctuations in the coverage fraction while the variability of mini-BALs can be caused by fluctuations in both the coverage fraction and the ionizing continuum. Both of these hypotheses warrant further testing, which can be done by a more detailed analysis of the data we have presented in this paper as well as data collected from denser monitoring campaigns on select targets.

## 5. SUMMARY

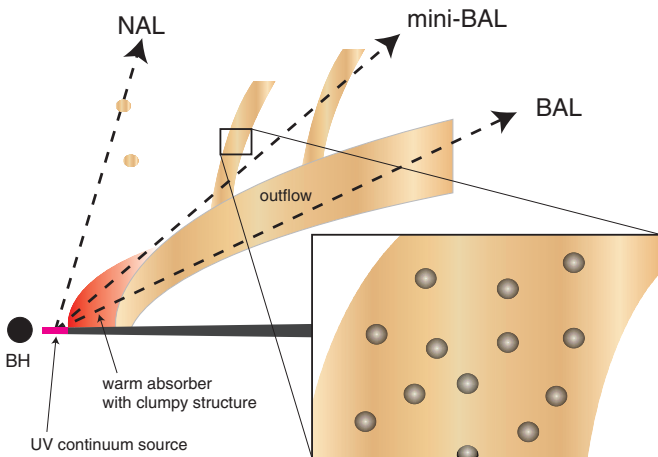
We have monitored 12 intrinsic NALs (selected by their partial coverage signature) and 7 mini-BALs in quasars of  $z_{\text{em}} \approx 2-3$  for  $\sim 4-12 \text{ yr}$  ( $\sim 1-3.5 \text{ yr}$  in the quasar rest-frame). Using high-dispersion spectra, we have measured the line EWs as a function of time and have looked for substantial variations in the line profiles. Our main results are as follows.

1. We detect significant variability in the EWs of mini-BALs without any discernible changes in their profiles. However,



**Figure 29.** Absorption profiles of the kinematic narrow components at  $v_{\text{shift}} \sim 1900 \text{ km s}^{-1}$  of the mini-BAL of UM675. The red curve corresponds to Epoch 1 and the blue curve to Epoch 2. The latter is shifted downwards by 0.1 for clarity. The broader, mini-BAL trough is removed by fitting it high order spline function. Both members of the C  $\nu$   $\lambda\lambda 1548, 1551$  doublet are shown.

(A color version of this figure is available in the online journal.)



**Figure 30.** Possible geometry of the locations of NAL, mini-BAL, and BAL absorbers in the accretion-disk wind scenario (see text in detail).

(A color version of this figure is available in the online journal.)

we do not detect any significant variability in the NALs or in the narrow cores that are sometimes present in mini-BAL profiles.

2. The EW variability of mini-BALs is larger on longer timescales, a behavior that has also been seen in BALs.
3. When we are able to measure variations in the EW of more than one transition at the same time (C IV, Si IV, N V), the changes occur in the same direction. This pattern has also been observed in BALs and is consistent with a scenario in which the variations result from changes in the ionizing continuum.
4. If we assume that the variability timescale is the recombination time, then we can place lower limits on the electron density of the mini-BAL gas of  $\sim 10^3\text{--}10^4 \text{ cm}^{-2}$ . These imply upper limits on the distances of the absorbers in the range 3–6 kpc, which could be reduced by a factor of  $\sim 4$  for a different choice of quasar SED.
5. The observational results lead us to suggest two possible scenarios for the structure and location of the mini-BAL

and NAL gas. In the first scenario, both types of absorber are associated with the high-latitude portion of an accretion-disk wind while in the second, the NAL gas is at distances  $\gtrsim 100 \text{ pc}$  from the quasar central engine.

The next stage of this work will entail a more detailed analysis of the variability data to study the behavior of the coverage fractions and the relative strengths of different lines, and interpret these with the help of photoionization models. Further progress in characterizing and interpreting the variability properties of mini-BALs and NALs can be made via dense monitoring campaigns of select targets in which we can observe changes on shorter timescales than we were able to probe here and associate them with changes in the ionizing continuum (via X-ray and UV observations). The data from such campaigns can also help us place better constraints on the scenario in which variability is caused by parcels of gas crossing the cylinder of sight to the continuum source.

It would also be extremely useful to quantify the properties that the variable shield we have invoked must have in order to produce the desired behavior. There are a number of constraints that such a shield must satisfy: it must reproduce the low column densities observed in the X-ray spectra, the continuum it transmits must lead to the observed ionization state of the UV absorber, and its structure must allow for variations in far-UV transparency and apparent source size to vary in order to reproduce the observed mini-BAL variability. It is also worth considering further different geometric arrangements of the shield, the absorber, and the background source(s) relative to the cylinder of sight, specifically whether the source of ionizing photons, the shield, and the mini-BAL gas must all be aligned.

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