



22 **1. Abstract**

23 The Actively Heated Fiber Optic (AHFO) method is shown to be capable of  
24 measuring soil water content several times per hour at 0.25 m spacing along cables of  
25 multiple kilometers in length. AHFO is based on distributed temperature sensing  
26 (DTS) observation of the heating and cooling of a buried fiber optic cable resulting  
27 from an electrical impulse of energy delivered from the steel cable jacket. The results  
28 presented were collected from 750 m of cable buried in three 240 m co-located  
29 transects at 30, 60, and 90 cm depths in an agricultural field under center pivot  
30 irrigation. The calibration curve relating soil water content to the thermal response of  
31 the soil to a heat pulse of  $10 \text{ W m}^{-1}$  for 1 minute duration was developed in the lab.  
32 This calibration was found applicable to the 30 and 60 cm depths cables, while the 90  
33 cm depth cable illustrated the challenges presented by soil heterogeneity for this  
34 technique. This method was used to map with high resolution the variability of soil  
35 water content and fluxes induced by the non-uniformity of water application at the  
36 surface.

37

## 38 2. Introduction

39 Soil moisture is highly variable in time and space, and is the most important factor in  
40 controlling the spatio-temporal variability of surface water and energy balances  
41 [Western *et al.*, 2003; 2004]. Quantification of these dynamic spatial patterns have  
42 been difficult to obtain, holding back the understanding of soil moisture dynamics and  
43 interacting hydrological processes [e.g., Western *et al.*, 2001, 2003; Wilson *et al.*,  
44 2004].

45

46 Processes such as infiltration [Flury *et al.*, 1994; Raats, 2001] and plant-water  
47 dynamics [Porporato *et al.*, 2004] are fundamentally controlled by soil water content  
48 at the point scale. Such processes are of a particular importance in agricultural systems  
49 management. Detailed information on soil moisture is needed for applications  
50 including improved yield forecasting and irrigation scheduling [Shmugge, 1980].

51

52 Sayde *et al.* [2010] provided a laboratory demonstration of the feasibility of the  
53 Actively Heated Fiber Optics (AHFO) method for distributed, 0.25-10,000 m scale  
54 measurement of soil moisture content. This approach is based on observing the heating  
55 and cooling of a buried fiber optic cable through the course of a pulse application of  
56 energy as monitored by a distributed temperature sensing (DTS) system.

57 The ability of DTS to report the temperature each meter along fiber optic cables in  
58 excess of 10,000 m in length at high temporal frequency has opened many important  
59 opportunities in environmental monitoring [e.g., Selker *et al.*, 2006a; 2006b; Tyler *et*

60 *al.*, 2009], including the estimation of the surface water content and evapotranspiration  
61 under suitable conditions from computing the energy balance of the soil using  
62 temperature measurements at several depths [*Steele-Dunne et al.*, 2010].

63

64 The use of actively heated fiber optics for observation of subsurface water movement  
65 has been mentioned previously [e.g., *Weiss*, 2003; *Perzmaier et al.*, 2004; *Aufleger et*  
66 *al.*, 2005; and *Perzmaier et al.*, 2006; *Streig and Loheide*, 2012] and our team  
67 demonstrated the feasibility of using AHFO for accurate distributed measurement of  
68 soil water content [*Sayde et al.*, 2010]. Most recently The AHFO method has been  
69 used to monitor water wetting bulbs formation around drip emitters in a laboratory  
70 experiment [*Gil-Rodriguez et al.*, 2012] and water distribution inside a lysimeter  
71 [*Ciocca et al.*, 2012]. In these applications the fiber optic is encased in a stainless steel  
72 capillary tube surrounded by copper windings or a molded aluminum encasement, all  
73 of which are enclosed in an electrical insulation sufficient for the voltage employed  
74 and appropriate for direct burial. The metallic component of the fiber optic cable is  
75 used as an electric resistance heater to inject heat concentric to the fiber optic sensing  
76 element into the surrounding soil, while the optical fiber is used as a thermal sensor to  
77 monitor the resulting temperature changes. The soil thermal properties are a function  
78 of soil texture, bulk density, temperature, and soil moisture content. Under ambient  
79 temperature conditions, soil moisture content can be inferred by analysis of thermal  
80 responses of specific soils to the heat pulse. *Sayde et al.* [2010] presented a novel  
81 approach to the interpretation of these heat pulse signals which was optimized for use

82 with DTS. Here, the thermal response of the soil is calculated in the form of an  
83 integral of the temperature increase over time in the presence of energy input, which  
84 represents the product of change in temperature and lapsed time ( $T_{cum}$ ) from the start  
85 of the heat pulse. Soil moisture content is computed via  $T_{cum}$  through a calibration  
86 equation. The theory is that higher water content will reduce the change in temperature  
87 relative to drier soil, reducing this integral. This procedure yielded relatively accurate  
88 estimation of soil moisture content. *Sayde et al.* [2010] found that the absolute  
89 accuracy of the soil water content measurements varied approximately linearly with  
90 water content. At volumetric moisture content of  $0.05 \text{ m}^3 \text{ m}^{-3}$  the standard deviation of  
91 the readings was  $<0.01 \text{ m}^3 \text{ m}^{-3}$ , and at  $0.41 \text{ m}^3 \text{ m}^{-3}$  volumetric moisture content the  
92 standard deviation was  $0.046 \text{ m}^3 \text{ m}^{-3}$ . *Sayde et al.* [2010] indicated that this error could  
93 be further reduced by increasing the signal-to-noise ratio which could be accomplished  
94 by: averaging several heat-pulse results; using a more precise DTS unit; increasing the  
95 heating intensity; or increasing the duration of the heating. In a small scale field test of  
96 the AHFO method, *Streig and Loheide* [2012] reported a RMSE of  $0.016 \text{ m}^3 \text{ m}^{-3}$  for  
97 soil moisture content  $\leq 0.31 \text{ m}^3 \text{ m}^{-3}$ , and a RMSE of  $0.05 \text{ m}^3 \text{ m}^{-3}$  for higher soil  
98 moisture content values. The results of both experiments were obtained using DTS  
99 with approximately ten fold lower precision than those currently available, suggesting  
100 that more precise soil moisture measurements are now feasible, although calibration of  
101 the method to specific soils will be required to realize this potential.

102 The objective of this work is to evaluate the performance and the applicability of this  
103 technology under field conditions. In this work, we test the ability of the AHFO

104 method to capture small scale (<1 m) variation in soil water content and fluxes as  
105 imposed by controlled spatially variable water application at the soil surface. We will  
106 also discuss methods to improve the calibration procedure and the quality of the  
107 AHFO outputs.

108

### 109 **3. Materials and Methods**

#### 110 **3.1 Site description**

111 The study site is located on a farm near Echo, OR. The 26 ha agricultural field was  
112 irrigated by a center pivot system designed to deliver water up to 4 cm d<sup>-1</sup>. The  
113 spacing between consecutive emitters decreased with distance from the center while  
114 their discharge rates increased, as required to ensure a spatially even application depth  
115 (Appendix 1).

116

117 The field was planted with corn on March 17<sup>th</sup>, 2009 and harvested on September 15<sup>th</sup>,  
118 2009. The soil is sandy loam and the average bulk density, determined from 26 non-  
119 disturbed soil samples at four locations from soil surface to 90 cm depth, was 1.67 g  
120 cm<sup>-3</sup> with a standard deviation of 0.12 g cm<sup>-3</sup>.

121

### 122 3.2 Field installation and data collection procedure

123 In October 2007, three Fiber Optic (FO) cables were installed below the tillage depth  
124 along a 240 m transect (Figure 1) at 30, 60, and 90 cm below the surface. A plow  
125 system was designed and built for this installation. The plow was made of a 2.54 cm  
126 thick steel blade with trailing-edge tubes through which the cables were introduced  
127 underneath the soil surface (Figure 2). By ganging the three tubes along the trailing  
128 edge of the plow, we installed three sets of cables at the three depths in a single pass.  
129 The most rapid possible re-establishment of native soil conditions surrounding the  
130 installed cables was critical to our considerations; therefore, the plow blade was held  
131 at a 45 degree angle from vertical, so that the weight of the soil would assist in closing  
132 the cut made in the soil. The first and the last 8 m ends of each of the three FO cables  
133 sets were submerged in an ice bath for calibration and validation of the DTS readings.  
134 The FO cable (BruSteel® manufactured by Brugg Cable, Brugg, Switzerland)  
135 deployed in the field had an outer diameter (OD) of  $3.8 \times 10^{-3}$  m and is composed of  
136 four optical fibers encased in a central stainless steel capillary tube (OD  $1.3 \times 10^{-3}$  m;  
137 inner diameter ID  $1.07 \times 10^{-3}$  m) surrounded by 12 stainless steel strands (OD  $4.2 \times$   
138  $10^{-4}$  m stainless steel wires), all of which were enclosed in a  $7.3 \times 10^{-4}$  m thick nylon  
139 jacket. The metallic components of the cable had an electrical resistance of  $0.365 \Omega \text{ m}^{-1}$   
140 <sup>1</sup> at 20 °C.

141

142 By splicing the end of an optical fiber at one depth to the end of an optical fiber at the  
143 following depth, The FO cables were optically connected between the three depths to

144 form a continuous optical light-path allowing simultaneous temperature reading along  
145 the whole installation. A DTS unit (SensorTran DTS 5100 M4, Houston, TX),  
146 connected to the FO system, recorded temperature every 0.5 m along the fiber-optic  
147 cable, with a spatial resolution of 1 m for each single measurement. The average  
148 temperature reading frequency was 0.2 Hz.

149

150 The high voltage power supply available at the center pivot system provided an  
151 average of 490 VAC to heat one of the three sections with an average power intensity  
152 of  $11 \text{ W m}^{-1}$ . A series of timers and relays insured that each of the three cable section  
153 was heated separately for 1 minute duration every hour. A voltmeter located at the  
154 center pivot, was employed to measure the applied voltage.

155

156 Spatial variability in soil water content and flux was imposed by varying the water  
157 application pattern at the soil surface. The center pivot was programmed to repeatedly  
158 pass back and forth covering a  $21^\circ$  angle sector of the center pivot circle such that only  
159 the 3 outer sections of the cable transect (described below) were covered by the center  
160 pivot path, while the section nearest the pivot was not irrigated. The center pivot  
161 operation and the discharging emitters' location and spray geometry were modified to  
162 apply four distinct but simultaneous water application treatments along the FO cables  
163 transect location as follows:

- 164     ▪ Section 1: From 0 to 55 m radial position. No water was applied over or  
165         immediately adjacent to section 1.



- 166       ▪ Section 2: From 55 to 110 m radial position. The emitters were shrouded in  
167           open plastic sleeves such that water was applied directly below the emitters  
168           instead of the typical circular pattern (Figure 2 of the supplementary material).  
169           This insured a high application rate directly below the emitters while the inter  
170           emitters locations were kept dry. The last sprinkler in section 2 (sprinkler # 19)  
171           was turned off to insure separation of treatment with section 3. After 20  
172           minutes from the irrigation start time, the plastic sleeve of sprinkler # 13 burst  
173           and this sprinkler was turned off for the remaining of the experiment.
- 174       ▪ Section 3: Radially from 110 to 158 m. Of the 12 emitters covering this  
175           section, the inner-most was turned off (to create separation of treatments); the  
176           next discharged at its regular position; while the next ten were grouped into  
177           five sets of paired emitters (Figure 3 of the supplementary material).
- 178       ▪ Section 4: From 158 to 240 m. Alternating application. Of the 21 emitters  
179           covering this section, 10 were turned off and the remaining emitters were  
180           applying water at their regular positions, either as isolated individual emitters,  
181           or in pairs of emitters. (see Table 1 and Figure 4 of the supplementary  
182           material).
- 183
- 184   Water was applied for 7 h. Heat pulses were applied every hour for 48 h starting 6 h  
185   prior to water application.

### 186 3.3 Data interpretation

187 The heat pulse signals were interpreted using the methodology described in *Sayde et*  
 188 *al.* [2010] wherein the thermal response of the soil is calculated as an integral  
 189 temperature change relative to the pre-heated temperature due to energy input over  
 190 time:

$$191 \quad T_{cum} = \int_0^{t_0} \Delta T dt \quad \text{Eq. 1}$$

192 Where  $T_{cum}$  ( $^{\circ}\text{C s}$ ) is the integral of  $\Delta T$  ( $^{\circ}\text{C}$ ), the DTS reported temperature change  
 193 from the pre-pulse temperature due to energy input during the total time of integration  
 194  $t_0$ (s). The soil moisture content is inferred from  $T_{cum}$  through a calibration equation  
 195 which under laboratory conditions yielded  $\pm 1.5\%$  errors in estimation of soil  
 196 moisture content [*Sayde et al.*, 2010].

197

### 198 3.4 Lab calibration

199 The soil specific calibration of the equation relating the thermal response ( $T_{cum}$ ) to soil  
 200 water content  $\theta$  was obtained from a laboratory experiment. This was carried out using  
 201 the same field fiber optic cable but installed in a cylindrical plastic barrel of 0.51 m  
 202 diameter and 0.91 m height of repacked soil from the experimental site prepared to  
 203 reproduce the average bulk density observed in the field. An outlet was installed 0.1 m  
 204 above the bottom and a 0.012 m diameter perforated hose was fitted to the inside of

205 the drainage port and wound in a tight spiral which covered the bottom of barrel to  
206 provide an easily controlled lower boundary condition.

207

208 Within the column, 10 m of BruSteel® FO cable in a helicoidal geometry was  
209 supported by three vertical steel rods  $6.4 \times 10^{-3}$  m in diameter. The cable made eight  
210 0.3 m diameter helical coils, spaced 0.1 m vertically, starting 0.05 m from the bottom  
211 and ending at the surface of the soil (0.9 m from the bottom). The soil was collected  
212 from the soil surface to the 70 cm depth at two locations near the fiber optic cable in  
213 the field. The soil was air-dried before being added to the column in 20 kg lifts. After  
214 each lift, the soil was compacted to the volume that corresponds to the prescribed soil  
215 bulk density. No settling was observed during the experiment.

216

217 From the 17 m continuous section of the FO cable, a 4-m unheated section was placed  
218 in a temperature monitored water bath for calibration and validation purposes. The  
219 next 11.4 m of cable (including the section in the soil column) was heated by  
220 connecting the stainless steel windings to variable voltage AC current source (Staco®  
221 Variable Autotransformer Type 3PN1010). The ~ 0.1% drop in voltage along the 12  
222 AWG copper connecting wires was negligible in our calculations. A digital timer with  
223 a precision of  $\pm 0.01$  % (THOMAS® TRACEABLE® Countdown Controller  
224 97373E70) controlled the duration of heat pulses.

225

226 The calibration data were obtained in three phases: Phase I)  $\theta$  ranging from 0.23 to  
227  $0.15 \text{ m}^3 \text{ m}^{-3}$ ; Phase II)  $\theta$  ranging from 0.11 to  $0.05 \text{ m}^3 \text{ m}^{-3}$ ; and Phase III)  $\theta$  at  
228 saturation ( $0.40 \text{ m}^3 \text{ m}^{-3}$ ). The conditions for phase I were established by saturating the  
229 soil column from its bottom. Then, this column was gravity drained for 3 days with its  
230 top covered to reduce evaporation. At this point, DTS measurements of 6 s resolution  
231 were taken during 1 minute,  $10 \text{ W m}^{-1}$  heat pulses. Three replicates with the same  
232 combinations of power intensity and pulse duration were applied. Following the final  
233 DTS measurements in the drained column, 14 volumetric samples were collected at  
234 seven depths from the soil surface downwards to 10 cm from the column bottom.

235

236 In phase II, the top cover of the column was removed, and the column was left  
237 exposed to the ambient room environment for three months to generate a smooth  
238 transition from air-dry soil at the column top to nearly saturated conditions at the  
239 column base. After DTS measurements, 32 soil samples were gathered for water  
240 content determination. These were collected following 12.5 cm spans along the cable  
241 moving from the soil surface up to 50 cm from the bottom.

242 In phase III, the remaining 50 cm of the soil column that had not yet been excavated  
243 was saturated from the bottom up.

244

245 Two DTS instruments were used during the lab calibration:

- 246 • SensorTran DTS 5100 M4 in phase I: This DTS unit recorded temperature  
247 every 0.5 m along the fiber-optic cable, with a spatial resolution of 1 m for

248 each single measurement. The average reading frequency was 0.17 Hz. The  
249 manufacturer reported temperature resolution at 2.5 km, 1 m spatial resolution,  
250 and 0.17 Hz is 0.53 °C.

251 • Silixa Ultima (Silixa, London, England) in phase II and III: This DTS unit  
252 recorded temperature every 0.125 m along the fiber-optic cable, with a spatial  
253 resolution of 0.29 m. The average reading frequency was 1 Hz. The  
254 manufacturer reported temperature resolution at 2.5 km, 0.29 m spatial  
255 resolution, and 1 Hz is 0.3 °C, which is consistent with the results we observed  
256 for our much shorter cable.

257

### 258 3.5 Thermal properties of the soil column

259 Measurement of soil thermal properties were made to allow comparisons of the  
260 calibration equations obtained from the lab experiments to the ones from either  
261 analytical or numerical solutions of the heat transport models. Thermal conductivity  
262 and specific heat were measured with an accuracy of 5% using a dual-needle probe  
263 (Decagon KD2-Pro® equipped with SH-1® dual-needle) in nine undisturbed soil  
264 samples and for soil water contents ranging from saturation ( $0.40 \text{ m}^3 \text{ m}^{-3}$ ) to air-dry  
265 conditions. The nine samples were randomly chosen from the set of 14 non-disturbed  
266 soil samples used for the determination of soil water content distribution across the  
267 soil column in phase I of the lab calibration. For the air-dry conditions, the previously  
268 oven dried samples were kept exposed to ambient air for a period of two months

269 before thermal properties were measured. For the saturated conditions, the same set of  
270 samples was submerged in water for 24 hours period prior to measurements. For soil  
271 water content between saturation and air-dry conditions, the saturated samples were  
272 placed in a pressure chamber for three days to reach equilibrium at each of the four  
273 pressure levels (0.07, 0.33, 0.66, and 1.0 bar), after which soil water content was  
274 determined gravimetrically and soil thermal properties measured as described above.  
275 Subsequently, the samples were exposed to ambient air conditions for 48 h and then  
276 covered for another 48 h before the soil water content was determined gravimetrically  
277 and the soil thermal properties were measured. Finally, all the samples were oven  
278 dried to 105 °C and left covered for 12 h in ambient room temperature to cool down  
279 prior to the measurement of thermal properties.

280

### 281 **3.6 Adjusting for the variation in the applied power intensity**

282 In the field deployment, the actual applied power may vary between heat pulses due  
283 to: 1)  $\pm 2$  V fluctuation in the applied voltage, and 2) thermal dependency of the  
284 electrical conductivity of the FO cable's heating element (the stainless steel  
285 component). For a constant resistance power is proportional to the square of applied  
286 voltage, thus the fluctuation on the nominal 480 V supply contributed to a 0.9%  
287 uncertainty in the applied energy. Changes in the electrical resistance of the FO  
288 heating element were a function of the cable temperature. Thus, it could be accurately  
289 estimated via the DTS measured cable temperature.

290

291 Since the soil thermal heat flow and heat storage processes in this system are linear,  
292 the temperature increase, and in consequence value of  $T_{cum}$ , are proportional to the  
293 power applied, as seen in both the cylindrical source transient and the line source  
294 transient methods [see *Blackwell*, 1954; *de Vries and Peck*, 1958; *Jaeger*, 1965;  
295 *Shiozawa and Campbell*, 1990; *Bristow et al.*, 1994]. Thus the effects of temporal  
296 variation in the power can be eliminated by linearly scaling observed temperatures to  
297 those that would have been obtained at a common reference power intensity.

298

### 299 **3.7 Calculating water front travel time**

300 To compare the soil water content response for the different wetting regimes in the  
301 field, a time-lagged cross-correlation analysis was performed between the time series  
302 of soil moisture change at each particular position along the FO cable installed at 30  
303 cm depth and those of its corresponding position along the FO cable at the 60 cm  
304 depth. The cross-correlation method has been employed successfully to study time-lag  
305 relationship between soil moisture content at variable depths [*Georgakakos et al.*,  
306 1995; *Mahmood and Hubbard*, 2007; *Mahmood et al.*, 2012].

307

308 The Matlab function “Xcorr” was used to calculate the cross-correlation coefficient,

309  $\hat{R}_{xy}(m)$ , associated with each time lag ( $m$ ) tested as follows:

$$\widehat{R}_{xy}(m) = \begin{cases} \sum_{n=0}^{N-m-1} x_{n+m} y_n & m \geq 0 \\ \widehat{R}_{yx}(-m) & m < 0 \end{cases} \quad \text{Eq. 2}$$

310 Here  $x$  and  $y$  are soil water content at the 30 and the 60 cm depth, normalized by their

311 initial value ( $m = 0$ ).  $N$  is the length of the  $x$  and  $y$  vectors.

312 The maximum correlation coefficients value is used to identify the appropriate time

313 lag to represent the wetting-front travel time at each location (see Table 2 in the

314 supplementary material for a list of maximum correlation coefficient per location and

315 its corresponding time lag value).

### 316 **3.8 Calculating Water Fluxes**

317 For each particular location ( $i$ ) along the fiber optic cables and for each particular

318 depth ( $d$ ) a wetting front velocity ( $V_{id}$ ) and a flux ( $F_{id}$ ) can be calculated as follows:

$$319 \quad V_{id} = D_{id} l_{id}^{-1} \quad \text{Eq. 3}$$

320 and

$$321 \quad F_{id} = V_{id} \Delta\theta max_{id} \quad \text{Eq. 4}$$

322

323 Where:

324     ▪  $D_{id}$  is the distance between two successive depths,  $D_{id} = 30$  cm in our case,

325     ▪  $l_{id}$  is the time period elapsed between the wetting front arrival at two

326 successive depths (h),

327     ▪  $\Delta\theta max_{id}$  is the maximum change in volumetric water content ( $\text{m}^3 \text{m}^{-3}$ ).



328

**3.9 Assessing the impact of the convective heat transfer from moving water**

329 The calibration equation to translate  $T_{cum}$  measurements into soil water contents was  
330 developed in the laboratory under hydrostatic conditions. One concern is the validity of  
331 this calibration curve under convective heat transfer conditions from moving water i.e.  
332 when water infiltrates at a velocity that is significant in comparison to the velocity of  
333 the heating front. A common practice to assess if the convective heat transfer from  
334 moving fluid can be omitted from the heat transfer calculation is to evaluate the Peclet  
335 number ( $Pe$ ).  $Pe$  compares the relative strength of convective to diffusive transport of  
336 the same physical quantity, applicable to heat and mass transport processes. The  
337 critical value of  $Pe$  depends upon the application. It is common to employ the criteria  
338 of  $Pe < 1$  to delineate transport processes dominated by diffusion (e.g.,  
339 ONDRAF/NIRAS, 2002 as cited in *Huysmans and Dassargues, 2004*). However, this  
340 is not universal, for instance *de Marsily (1986)* took mass transport processes to be  
341 controlled by diffusion for  $Pe < 2$ . *Wilson et al. (1993)* took the transition between  
342 diffusion controlled and advection controlled mass transport to occur  $1.5 < Pe < 15$  (as  
343 cited in *Huysmans and Dassargues, 2004*). We will take the most conservative value  
344 since we seek to identify where the laboratory diffusion-only results are applicable to  
345 the field, and assume that diffusion dominates for  $Pe < 1$ .

347

348 For heat transfer in porous media,  $Pe$  can be calculated as (*Bear, 1972; Hopmans et*  
349 *al., 2002*):

350 
$$Pe = V_{conv}L/\kappa \quad \text{Eq. 5}$$

351 with

352 
$$V_{conv} = v \theta C_w/C_{bulk} \quad \text{Eq. 6}$$

353

354 Where  $V_{conv}$  is the convective heat pulse velocity in a porous media ( $\text{m s}^{-1}$ ) i.e. the heat  
 355 flow by the moving liquid phase,  $v$  is the average pore water velocity ( $\text{m s}^{-1}$ ),  $\theta$  is the  
 356 soil volumetric water content ( $\text{m}^3 \text{ m}^{-3}$ ),  $C_w$  is the water volumetric heat capacity ( $\text{J m}^{-3}$   
 357  $\text{K}^{-1}$ ),  $C_{bulk}$  the soil volumetric heat capacity ( $\text{J m}^{-3} \text{ K}^{-1}$ ), and  $L$  is the characteristic  
 358 length (m). For a heat pulse probe application, *Hopmans et al.* [2002] defined  $L$  as  
 359 being the characteristics length of the porous media approximated by the medium  
 360 grain size. We will follow a more conservative approach here and define  $L$  as the  
 361 effective distance traveled by the convective water front during the heating time  $t$  ( $t=$   
 362 60 s in our case) such as:

363 
$$L = v t \theta \quad \text{Eq. 7}$$

364 Substituting  $V_{conv}$  by (2) and  $L$  by (3) in (1) we get:

365

366 
$$Pe = (v \theta)^2 t C_w/(C_{bulk}\kappa) \quad \text{Eq. 8}$$

367

## 368 4. Results

### 369 4.1 Lab calibration results and system performance

370 A calibration equation was fitted to the data relating measured soil water content to  
371 measured  $T_{cum}$  (Figure 3). The gravimetric samples from the soil column had an  
372 average bulk density ( $\rho_b$ ) of  $1.63 \text{ g cm}^{-3}$  with a standard deviation ( $\sigma_b$ ) of  $0.06 \text{ g cm}^{-3}$ ,  
373 in the range of values found in the field ( $\rho_b=1.67 \text{ g cm}^{-3}$  and  $\sigma_b=0.12 \text{ g cm}^{-3}$ ) and those  
374 published for this soil by the Natural Resources Conservation Service NRCS (1.15-  
375  $1.70 \text{ g cm}^{-3}$  range; Table 1).

376

377  $T_{cum}$  became insensitive to variation in soil water content at high water content ( $S >$   
378  $0.4$ ; Figure 3). The shape of the calibration curve for very dry soil conditions (e.g.,  $S <$   
379  $0.1$ ) also suggests that  $T_{cum}$  is insensitive to variation in soil water content in this  
380 range. In the later case, this can be explained by observing the behavior of the soil  
381 thermal conductivity ( $\lambda$ ) at low soil water content. In fact,  $\lambda$  has been shown to be  
382 nearly constant from water contents ranging from zero to a critical value ( $\theta_{cr}$ ) (Figure  
383 4a). This could be explained by the water geometry transitions from pendular to  
384 funicular [*de Vries*, 1963; *Tarnawski and Leong*, 2000]. The value of  $\theta_{cr}$  tends to be  
385 dependent on the clay content of the soil [*Tarnawski and Leong*, 2000; *McInnes*,  
386 1981]. The observed  $\theta_{cr}$  value ( $0.03 \text{ m}^3 \text{ m}^{-3}$ ) is in agreement with *de Vries*' [1963]  
387 recommendation of using  $\theta_{cr}$  values of  $0.03 \text{ m}^3 \text{ m}^{-3}$  for coarse soils. This behavior is  
388 also observed in the thermal diffusivity curve (Figure 4b).

389 For soil water content ranging from 0.04 to 0.40  $\text{m}^3 \text{m}^{-3}$  ( $0.1 < S < 1$ ) the slope in the  
390 relationship relating  $\theta$  to  $T_{cum}$  decreases with soil water content (Figure 3) indicating  
391 that error in soil water content estimation is expected to increase with increasing soil  
392 water content as observed in *Sayde et al.* [2010] and *Gil-Rodriguez et al.* [2012].

393 The error in  $T_{cum}$ ,  $\sigma_{Tcum}$ , was determined by measuring the variability in  $T_{cum}$  over  
394 repeated measurements at constant soil moisture content, as in *Sayde et al.* [2010].

395 Under the lab conditions, with a Silixa Ultima-S, 85% of the variability in  $\sigma_{Tcum}$  (3.18  
396  $^{\circ}\text{C s}$ ) was due to instrument noise when 1s and 0.12 m sampling resolutions were  
397 employed. The remaining 15% is believed to have been caused by voltage fluctuation  
398 during heating and spatial variability of soil thermal properties in the soil column.

399 However, the noise in  $T_{cum}$  obtained with the SensorTran 5100 unit was 12.6  $^{\circ}\text{C}$ s for  
400 the 6 s and 0.5 m sampling resolutions conditions, a level at which any other source of  
401 error was undetectable. The maximum error in soil water content determination was  
402 observed at saturation (Figure 5). This error was 0.03  $\text{m}^3 \text{m}^{-3}$  and 0.11  $\text{m}^3 \text{m}^{-3}$  for the  
403 Silixa Ultima-S and the SensorTran 5100, respectively.

## 404 **4.2 Field test results**

### 405 **4.2.1 Soil water content**

406 The calibration equation developed in section 2 (Figure 3), was used to translate  $T_{cum}$   
407 values observed over the three depths cables in the field to soil water content. The  
408 slope of the calibration curve is high for near saturated soil and low at low soil water  
409 content. As pointed out by *Sayde et al.* [2010] this implies the method is less sensitive

410 in wet conditions. Furthermore, if for any reason the values of  $T_{cum}$  are biased low,  
411 then it is possible to compute values that are not in the range of the calibration results,  
412 and therefore yield undefined soil moisture. On the other hand, if the calibration curve  
413 is biased high, then the soil moisture estimates from  $T_{cum}$  will not include high water  
414 contents.

415

416 The 90 cm depth soil water contents, as estimated using the calibration curve of Figure  
417 3, clearly showed the characteristics of a high- bias. Though the changes in  $T_{cum}$  at the  
418 90 cm depth were of same magnitude and with similar spatial patterns as those  
419 observed at the 30 and the 60 cm depths (Figure 6), these did not result in significant  
420 soil water content changes as were observed with the 30 and the 60 cm depths. The  
421 calibration challenges are discussed with further details in section 5.

422 The 30 and the 60 cm DTS estimated soil water content corresponded to those  
423 expected from the four patterns of spatial variability imposed at the soil surface.  
424 Section 1 (between 0 and 55 m) was not irrigated, and as expected, no significant  
425 water change was detected at either depth (Figure 7 and 8). Between 55 and 110 m  
426 (Section 2), the nine constraining sleeves imposed high-rate ( $0.35 \text{ l s}^{-1}$ ) water  
427 application directly below each emitter, as seen at the nine locations with high soil  
428 water content change in this section (Figure 7 and 8). The average total water applied  
429 over the nine wetted locations was 72 mm. In section 3 (between 110 m and 158 m),  
430 the four wide strips of high soil water content change observed at both 30 and 60 cm  
431 depths (Figure 7 and 8) correspond to the expected patterning of the paired emitters.

432 In section 4 (from 158 m to the end), at the 30 cm depth the highest soil water content  
433 increases were observed at the locations of the operating emitters (Figure 8a). For the  
434 60 cm depth cable, the pattern was the same but the variation in soil water content was  
435 more modest than under the other treatments (Figure 8b), as expected due to the lower  
436 water application. The average total water applied over section 4 was 32 mm while  
437 this value was 72 mm and 54 mm over the wetted locations of section 2 and section 3  
438 respectively.

439

#### 440 **4.2.2 Soil Water flux density**

441 To calculate the water front travel time from depth 30 cm to depth 60 cm, the data  
442 obtained along the fiber optic cable were separated into two groups based on the  
443 maximum change in soil water content observed at the 30 cm depth locations. The first  
444 group of data represents data retrieved from locations where  $\Delta\theta > 0.05 \text{ m}^3 \text{ m}^{-3}$  at the  
445 30 cm depth, with the second group being the remaining locations (see Figure 9a).

446 For the first group, the average time lags were 0.64 h (standard deviation of 0.97 h),  
447 2.55 h (standard deviation of 1.21 h), and 3.46 h (standard deviation of 2.91 h) for  
448 sections 2, 3, and 4, respectively. This variation follows the pattern of water  
449 application at the soil surface for the three treatment sections: section 2 received the  
450 highest application rate for all locations in group 1, and section 4 the lowest  
451 application rate.

452

453 The same method was used to calculate the wetting front travel time from depth 60 cm  
454 to depth 90 cm. Since the  $T_{cum}$  to moisture content calibration developed for the upper  
455 soil was observed unsuitable for the 90 cm depth, the time series of change in  $T_{cum}$   
456 (from pre-irrigation conditions) for both 60 and 90 cm depths are employed instead of  
457 the time series of change in soil water content. As before, the calculated time lag was  
458 separated into two groups; Group 1 includes the time lag for location where  $\Delta\theta$  at 60  
459 cm was  $> 0.05 \text{ m}^3 \text{ m}^{-3}$ , and Group 2 for where  $\Delta\theta$  at 60 cm was  $< 0.05 \text{ m}^3 \text{ m}^{-3}$  (Figure  
460 9b). For the Group 1, the average time lags were 0.93 h (standard deviation of 1.72 h),  
461 3.33 h (standard deviation of 1.49 h), and 5.89 h (standard deviation of 1.83 h) for  
462 sections 2, 3, and 4, respectively. On average, the wetting front movement was 32%  
463 faster between the 30 and the 60 cm depths than between the 60 and the 90 cm depths.

464

465 Readers should be aware of the high uncertainty associated with the use of the time lag  
466 to estimates the wetting front traveling time for section 2 of the fiber optic cable  
467 location. In section 2, about half of the time lag values calculated for the different  
468 positions at the 30 cm depth and for a lesser extent at the 60 cm depth have either  
469 negative or zero values. This is a clear indication that the transit times were not long  
470 enough to be accurately quantified based on 1-h measurement intervals between  
471 moisture content measurements at the highest fluxes. Thus, the results of section 2  
472 were considered non-reliable to estimate the water front traveling time and will not be  
473 used in the further analysis.

474

475 The estimates of the wetting front traveling times in sections 3 and 4 allow calculation  
476 of the wetting front velocity and associated flux.

477

478 As expected, larger water fluxes were computed below the locations that showed  
479 higher increase in water content (Figure 10, and Table 2), which in turn are associated  
480 with the locations of the discharging emitters as discussed in a previous section. The  
481 fluxes diminish with depth following the pattern of water application. Average flux  
482 was reduced by 41% over section 3, and 71% over section 4 (see Table 2). This was  
483 expected in section 3 compared to section 4, as the applied discharge rate was the  
484 highest, and localized over a smaller wetted area.

485

486 To assess if the convective heat transfer from moving water in the soil was large  
487 enough to bias  $T_{cum}-\theta$  calibration, an average  $Pe$  was calculated for each section. For  
488 section 3, the average time lag observed over the locations with  $\Delta\theta > 0.05 \text{ m}^3 \text{ m}^{-3}$  was  
489 2.55 hr between the 30cm and 60cm depths and 3.3 hr between the 60cm and 90cm  
490 depths (an average time lag of 2.9 hr over all depths). For section 4, the average time  
491 lag observed over the locations with  $\Delta\theta > 0.05 \text{ m}^3 \text{ m}^{-3}$  was 3.64 hr between the 30 cm  
492 and 60 cm depths and 5.89 hr between the 60 cm and 90 cm depths (an average time  
493 lag of 4.8 hr over all depths). This yields an average water front velocity of 0.029 mm  
494  $\text{s}^{-1}$  for section 3 and 0.017 mm  $\text{s}^{-1}$  for section 4. For each of these velocities, Equation  
495 8 was used to calculate  $Pe$ . The values for  $k$  were obtained from the laboratory  
496 measurements described in section 3.5 (see Figure 4b). Equation 8 yielded  $Pe= 0.013$



497 for section 3, and  $Pe= 0.0048$  for section 4. Even for section 2 where the water front  
498 velocity was considered overestimated and unreliable  $Pe= 0.18$ . These results indicate  
499 that the effect of the flowing water convective heat transfer on the  $T_{cum}$  based  
500 estimated  $\theta$  can be considered negligible for the conditions of these experiments.

501

## 502 **5. Discussion**

503 The calibration relating DTS measured  $T_{cum}$  to soil water content (Figure 3) was  
504 determined in a rather laborious laboratory experiment. The soil column was only  
505 representative of the top 70 cm of the soil, the maximum depth in the field from which  
506 soil was collected. In keeping with unpublished observations of a textural transition  
507 observed during the installation of neutron probe tubes, beyond 70 cm depth the soil  
508 had different thermal properties and thus the calibration equation obtained in  
509 laboratory experiment was not directly applicable to the 90 cm depth cable. These  
510 results illustrate that a more practical calibration methodology will be needed for the  
511 method to find broad adoption, and ideally this would be an in situ approach given the  
512 complexity of typical soils.

513 Another disadvantage of the calibration conducted in laboratory that even if the soil  
514 was collected in situ and repacked to original bulk density, it has been disturbed  
515 during this process. In this case, the grain to grain contact might be different which  
516 can also affect the water bridges formations. The soil restructuring can lead to  
517 deviation in the measured thermal properties of the soil. That said, this experiment was  
518 conducted in an agricultural field that was subject to periodic plowing up to 90 cm

519 depth. The effect of this plowing process and the post plowing soil recovery on  
520 homogenizing the plowed profile and reshuffling the grain to grain contact is expected  
521 to be of similar magnitude of preparing the soil column in the laboratory.

522

523 The most direct, although time intensive, calibration method is to simultaneously  
524 measure  $T_{cum}$  and soil moisture content over the full range of soil moisture conditions  
525 at as many locations as there are differing soil conditions and then use the water  
526 content and  $T_{cum}$  values as in Figure 3. Alternatively one could measure thermal  
527 conductivity, diffusivity and water content over the full range of soil moisture  
528 conditions either in the field or in undisturbed soil samples (similar to figure 4) at as  
529 many locations as there are differing soil conditions. One could then use heat transport  
530 numerical simulation models to generate calibration curve relating  $T_{cum}$  to soil water  
531 content for that particular cable and soil. In either case, measuring thermal properties  
532 of soil and soil water content over the full range of soil water content at all location  
533 presents a daunting challenge.

534

535 Practical insights can be gained from looking at the relationship between thermal  
536 conductivity ( $\lambda$ ) and soil water content ( $\theta$ ). Most models relating  $\lambda$  to  $\theta$  assume that the  
537 fundamental shape is universal, and simply scaled for each soil [Johansen 1975;  
538 Campbell 1985; Cote and Konrad 2005; Lu et al. 2006]. The scaling parameters are  
539 generally obtained by optimizing the model fit to  $\lambda$  and  $\theta$  measurements. For the

540 model described by *Campbell* [1985] one only needs a value for measurements of bulk  
541 density and two measurements of  $\lambda$  for wet and dry conditions.

542

543 In principle, calibration curves relating  $T_{cum}$  to soil water content would be expected to  
544 share the same basic shape; steep slope toward high water content and flat toward low  
545 soil water content, as observed in this work, in *Sayde et al.* [2010], and in *Gil-*  
546 *Rodriguez et al.*, [2012]. This suggests that calibration curves for different soil types  
547 could be scaled from few reference curves using measurements from the field  
548 representing end members of water content. The only fundamental difference in shape  
549 that we might expect between curves of different soil types is the  $\theta_{cr}$  value below  
550 which  $T_{cum}$  is held nearly constant (see section 4.1).

551

552 Another factor that will significantly impact the measurements' quality using the  
553 AHFO method is the DTS instrument performance. The two instruments employed in  
554 this study resulted in a 3.5 times difference in the determination of soil moisture error  
555 (Figure 5) for the same heat pulse characteristics and soil water conditions. The large  
556 difference in measurements' quality is due to the instruments' temperature  
557 measurement error. This error was computed for the instruments' finest spatial  
558 resolution, which differed between the instruments (see section 3.4 for more details).  
559 Note that the DTS reported temperature is calculated from the ratio of the magnitudes  
560 of anti-Stokes to Stokes scattered light, which are a function of total number of  
561 reflected photons. By the law of large numbers, the number of observed photons

562 follows a normal distribution with a standard deviation decreasing by the square root  
563 of the total number of photons observed [Selker *et al.*, 2006a]. Since the number of  
564 photons observed is a 1:1 function of the fiber volume from which photons are  
565 scattered, the noise level is inversely proportional to the square root of the  
566 measurement spatial length (see Selker *et al.*, 2006 for more details). If the spatial  
567 resolution for both DTS instruments used in this work is set equal, the error in soil  
568 moisture determination would have decreased by an additional factor of two when  
569 employing the higher performance Silixa Ultima-S DTS.

570

571 Other factors may also impact the quality of the DTS measurements such as the  
572 temporal drift of the soil temperature due to the diurnal temperature cycle or water  
573 fluxes propagation through the soil. One way to evaluate the impact of the temporal  
574 variability of the soil temperature is to look at the soil temperature temporal trend  
575 during a 5 min period directly before the start of the heat pulse. For each heat pulse,  
576 the average slope for the linear regression of temperature vs. time for this 5 min period  
577 has been calculated for each of the four sections. The highest deviation from zero  
578 slope was  $-0.44 \cdot 10^{-3} \text{ }^{\circ}\text{C s}^{-1}$ . It was observed at 1 hour before the start of irrigation in  
579 section 4. Over a heating period of 60 s, this slope value might have caused a drop of  
580  $0.0264 \text{ }^{\circ}\text{C}$  in the soil temperature which is equivalent to less than 5% of the instrument  
581 noise i.e. in this experiment the effect of the soil temperature temporal variability is  
582 negligible when compared to overall instrument error.

583

## 584 6. Conclusions

585 AHFO method is capable of capturing a complex spatial pattern of soil water content,  
586 reporting from many hundreds of points simultaneously. These data, for instance,  
587 allowed estimation of local soil water flux. delineation of these patterns with point-  
588 measurement instruments would not be feasible. Larger scale measurements  
589 techniques, such as Cosmic-Ray probes [Zreda *et al.*, 2008] and remote sensing, might  
590 be able to provide an average picture of the change in soil water content, but, do not  
591 capture the 1-1000 m scale processes observed in this experiment which are of  
592 importance in irrigated agriculture, and natural systems (e.g. preferential flows and  
593 contaminant transport).

594

595 The results showed that soil moisture contents and fluxes can be measured and  
596 monitored at a range of values (ranging from dry to saturated conditions) that is  
597 significantly larger than the  $<0.06$  range  $\text{m}^3 \text{m}^{-3}$  reported by Weiss [2003] and more  
598 informative than the qualitative “dry, wet or saturated” assessment reported by  
599 Perzmaier *et al.* [2004; 2006]. This improvement is mainly due to the use of a data  
600 interpretation method (i.e. *The time integral of temperature deviation* developed by  
601 Sayde *et al.* [2010]) that is appropriate to the DTS method wherein precision of  
602 temperature reporting is a direct function of the interval of photon integration.

603

604 AHFO applications allow operator control over the heat signal that is injected into the  
605 soil. At the expense of added power and complexity, this provides certain advantages

606 over the diurnal cycle driven heat signal employed by the passive distributed  
607 temperature sensing method for soil moisture estimation described by *Steele-Dunne et*  
608 *al.* [2010]. Specifically, it may be applied at any depth and any time whereas the  
609 passive heat signal attenuates with depth so that it is generally only applicable <30 cm  
610 depth under conditions of significant diurnal heat flux (e.g. not under dense vegetative  
611 canopy, or on cloudy or winter days).

612

613 Error in soil water content estimates due to instrumentation was reduced considerably  
614 (from 0.11 to 0.03 m<sup>3</sup> m<sup>-3</sup> at saturation) when a DTS with better performance was  
615 employed in the laboratory experiment. A generally applicable Peclet Number  
616 approach showed that water content estimates were shown to be independent of soil-  
617 water flux for the conditions employed here.

618

619 The calibration of the AHFO method remains challenging. Though yet to be  
620 developed, in principle, a calibration procedure could take advantage of the expected  
621 similarity between the relationships between  $T_{cum}$  and  $\theta$  to that of thermal conductivity  
622 and  $\theta$ .

623

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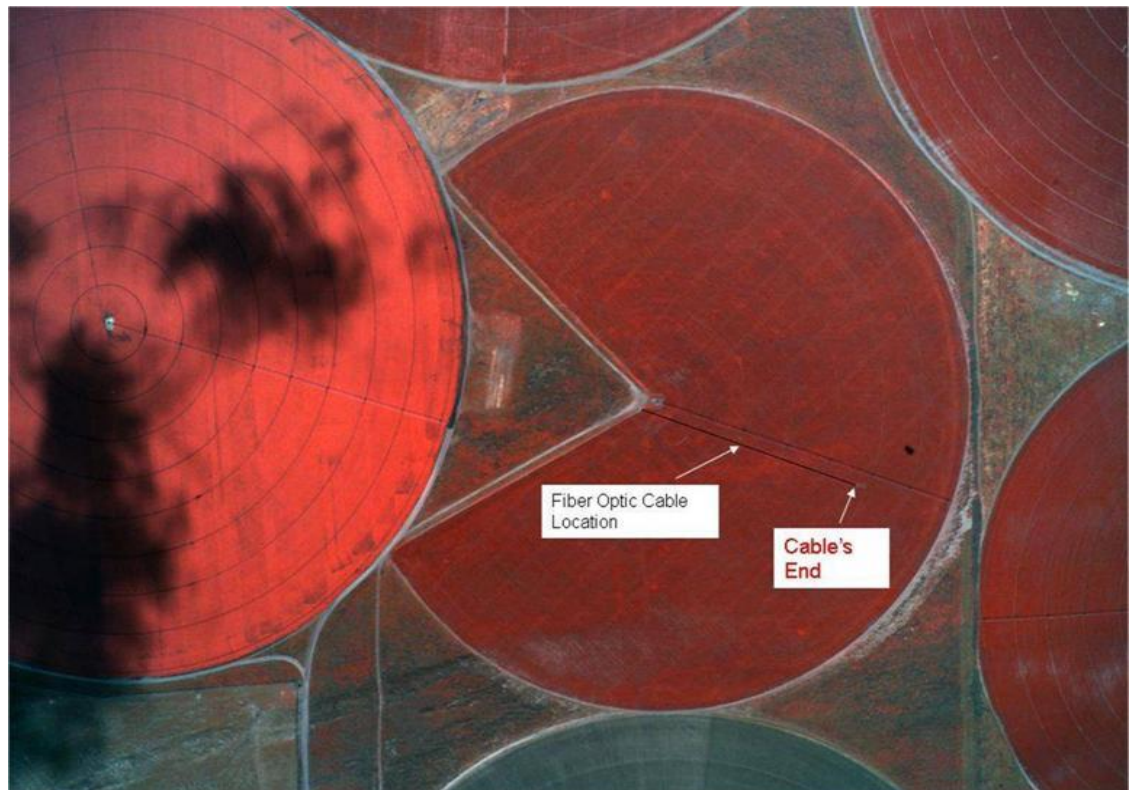
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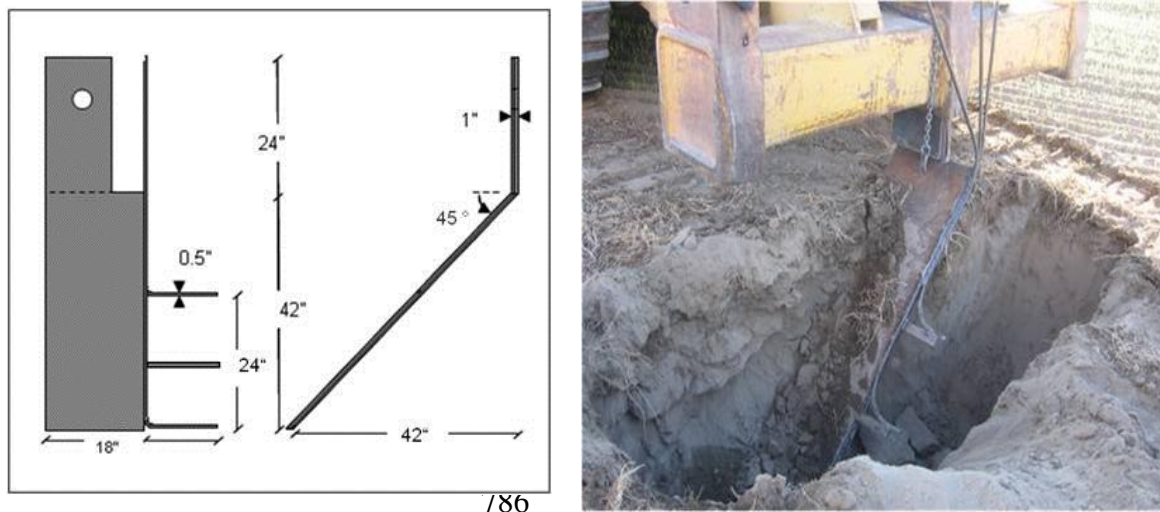
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785 **Figure 1** Fiber Optic transect location in the field.

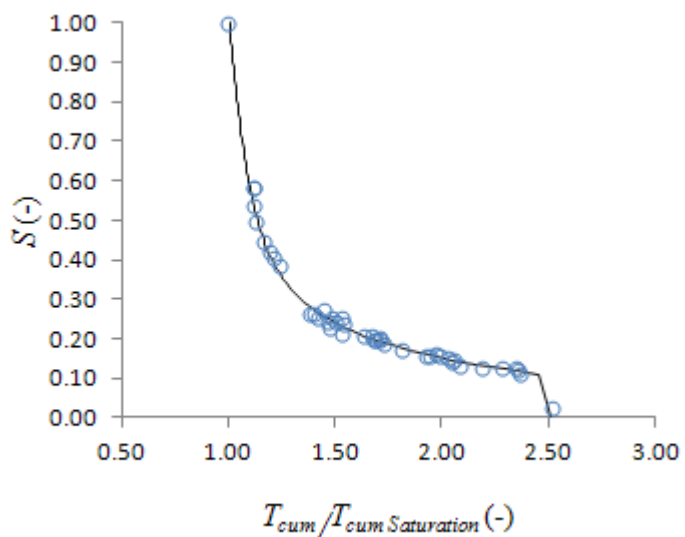


787

(a)

(b)

788 Figure 2 (a) 45-degree “lift-plow” cable insertion tubes design (b) 45-degree “lift-  
789 plow” cable insertion tubes.



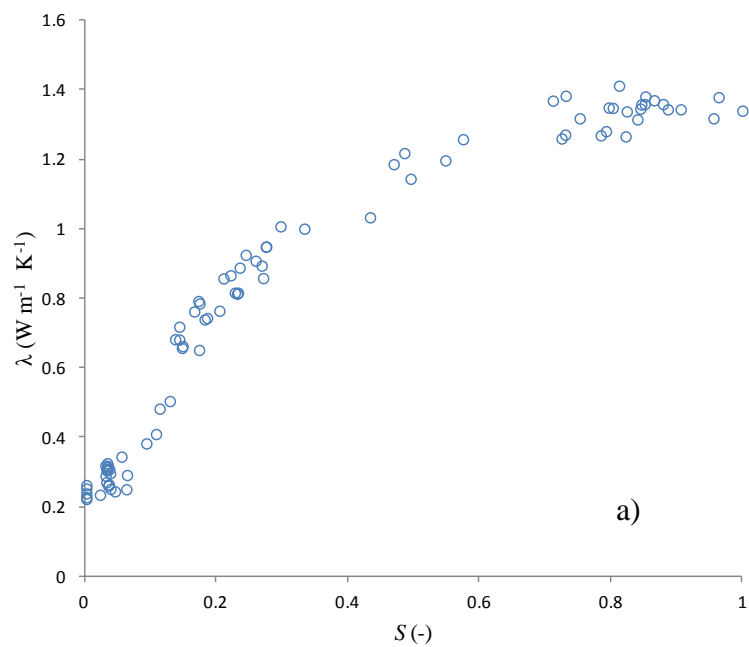
790

791 **Figure 3** Calibration curve relating the degree of saturation ( $S$ ) to  $T_{cum}$  normalized by its value at  
792 saturation integrated over 180 seconds for the 1-minute duration heat pulses. The calibration curve has  
793 the following form:

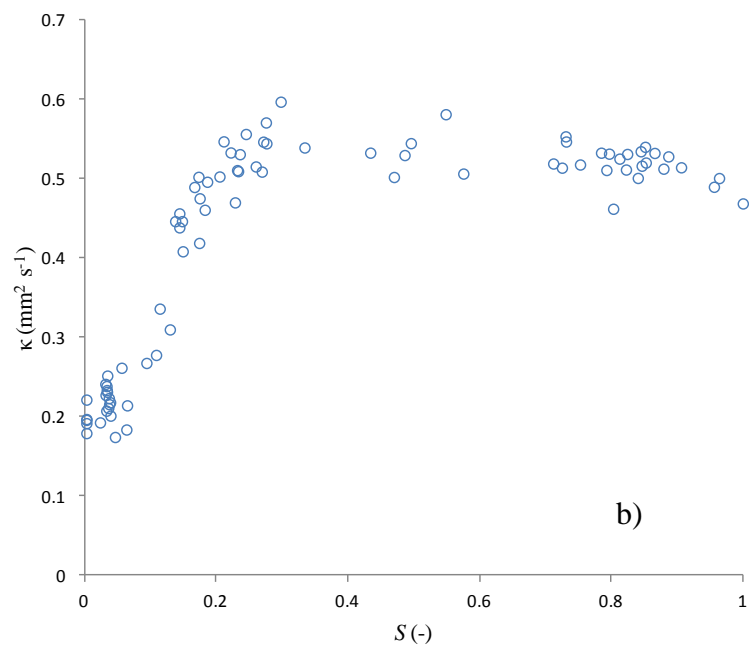
794  $S = 0.0467 + (1.42 + T_{cum}/T_{cum\ Saturation})/(-7.57 + 10.1 T_{cum}/T_{cum\ Saturation}^2)$  for  $S \geq 0.1$

795 and

796  $S = -0.5 T_{cum}/T_{cum\ Saturation} + 2.51$  for  $S < 0.1$



797



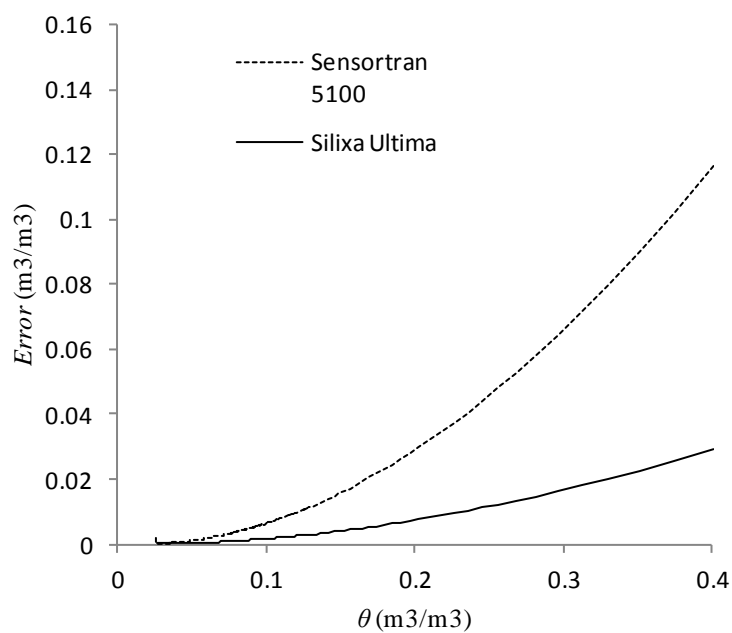
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799 **Figure 4** Degree of saturation ( $S$ ) vs. a) thermal conductivity ( $\lambda$ ) and b) thermal diffusivity ( $\kappa$ )  
800 measured from non-disturbed samples collected from the calibration soil column. After saturation, the  
801 samples were drained in a pressure chamber to allow measurement of  $\lambda$  at different level of soil water  
802 content using a KD2 Pro sensor.

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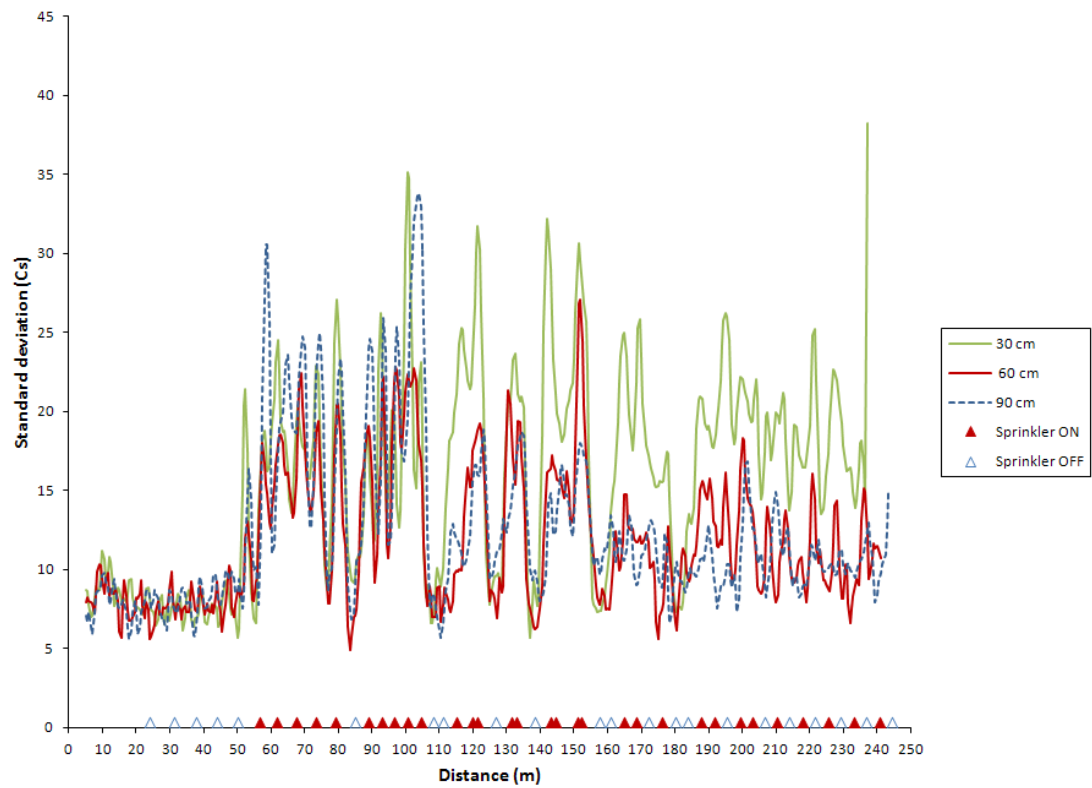


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806 **Figure 5** Estimated error in soil water content estimation due to the DTS system performance.

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810 **Figure 6** Observed standard deviation of  $T_{cum}$  at the 30, 60 and 90 cm depths during the 48 hr duration  
 811 of the experiment.

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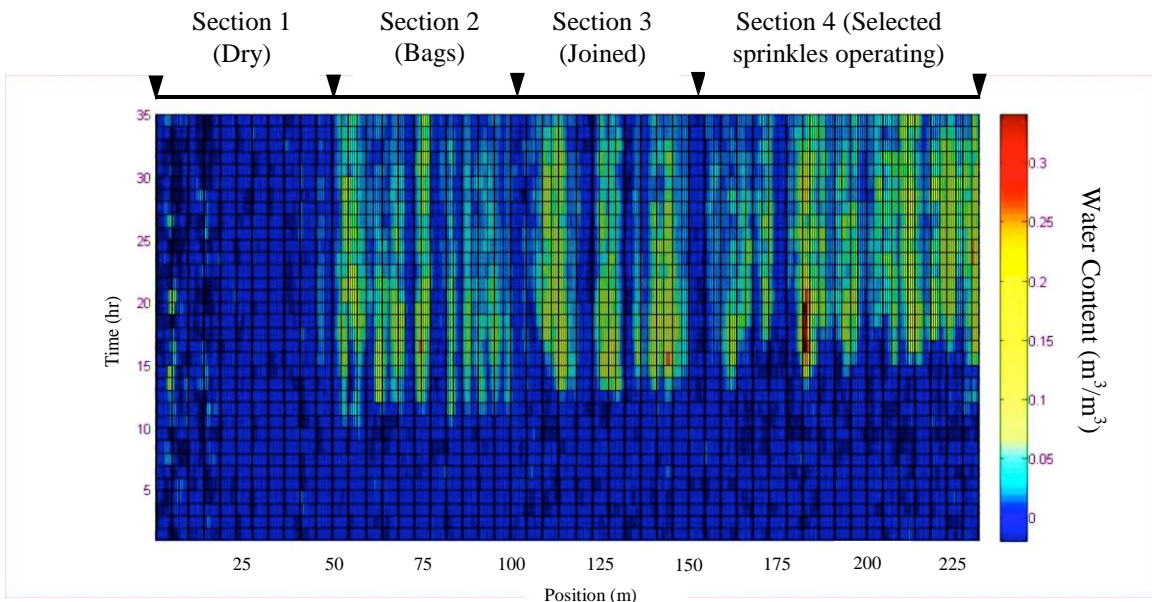
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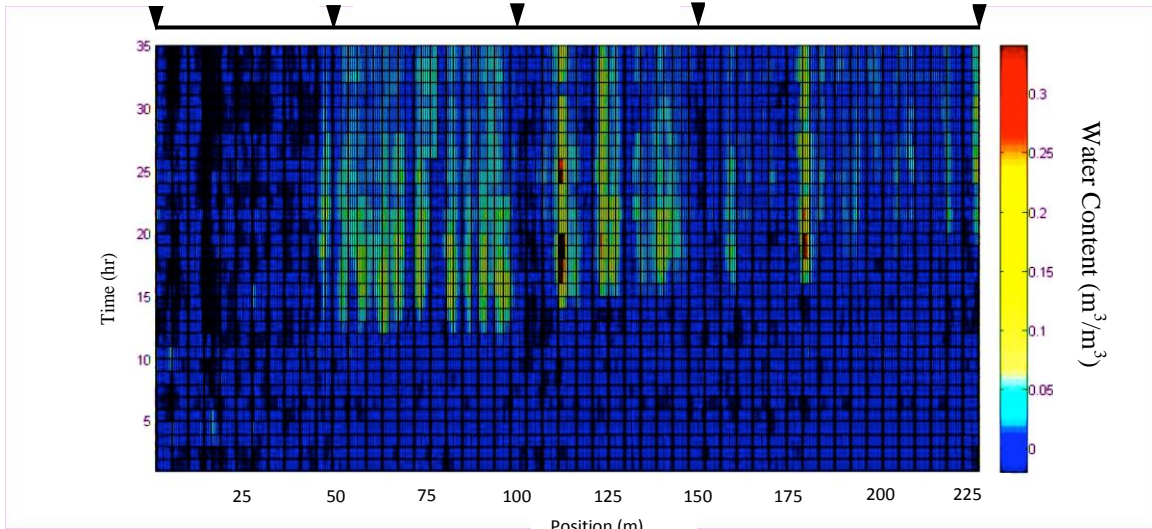
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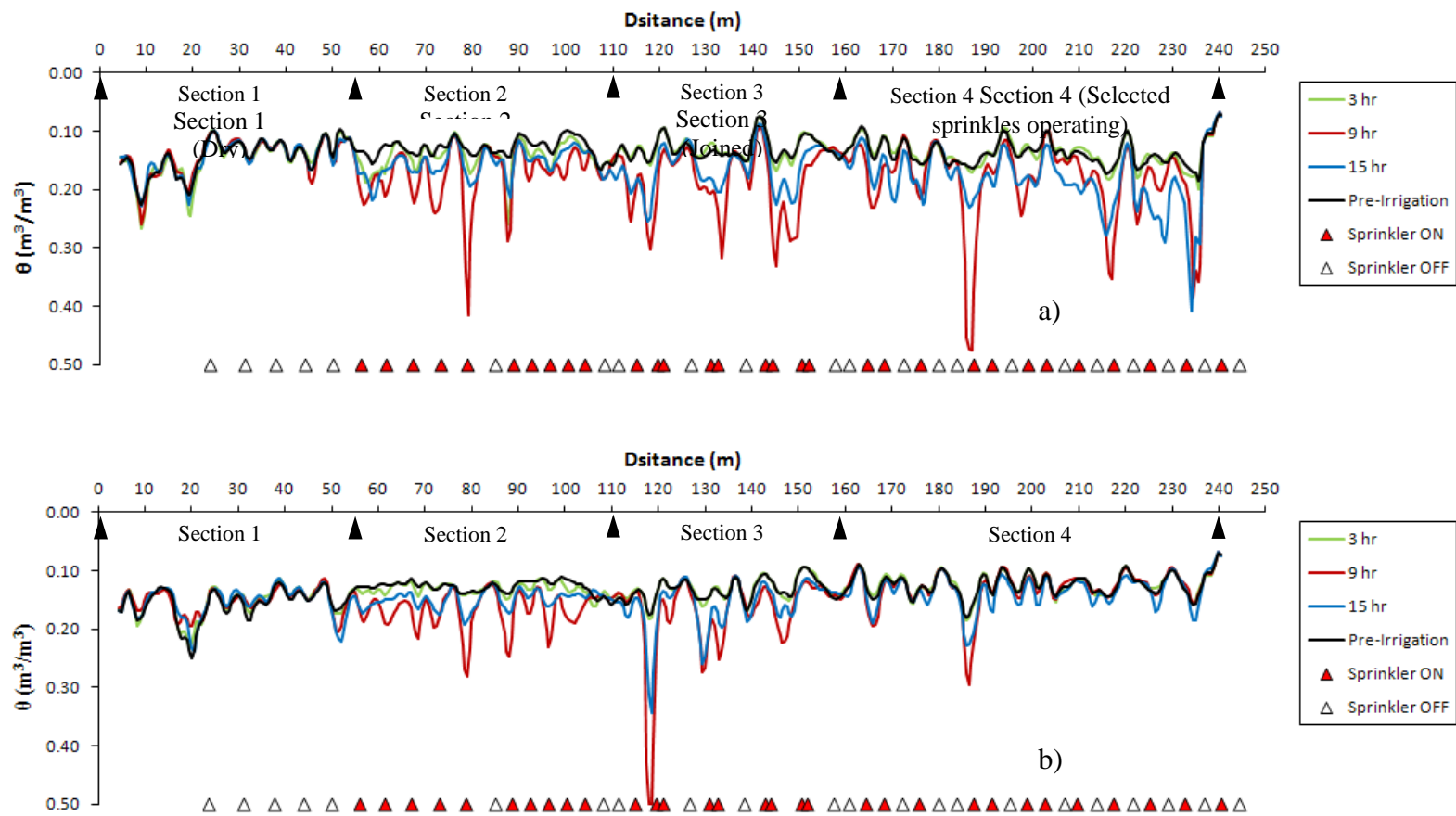
Figure 7 Soil water content change at the 30 cm (top figure) and 60 cm depths (bottom figure).

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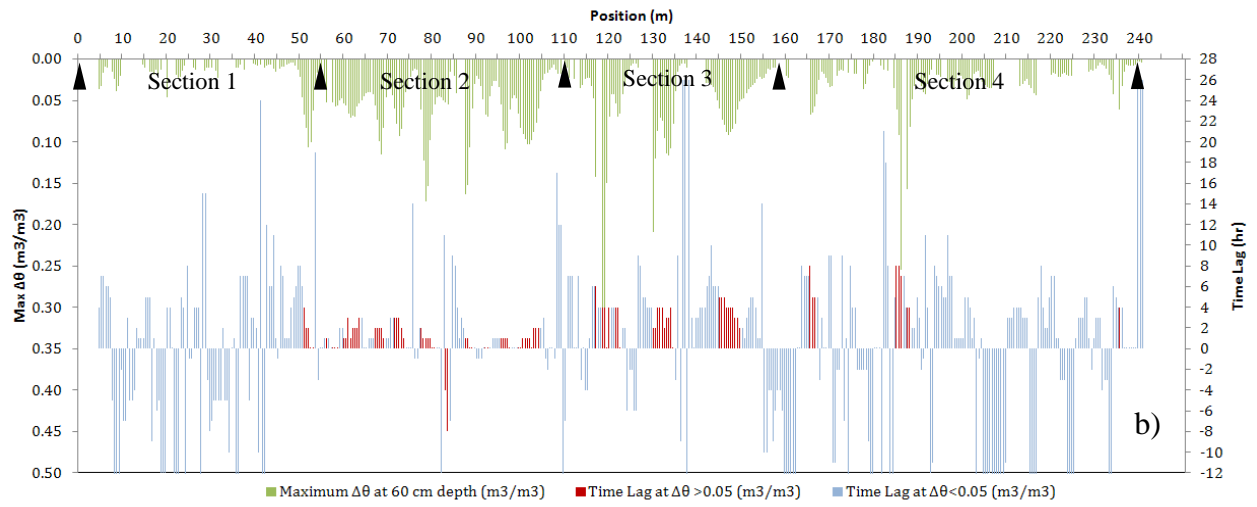
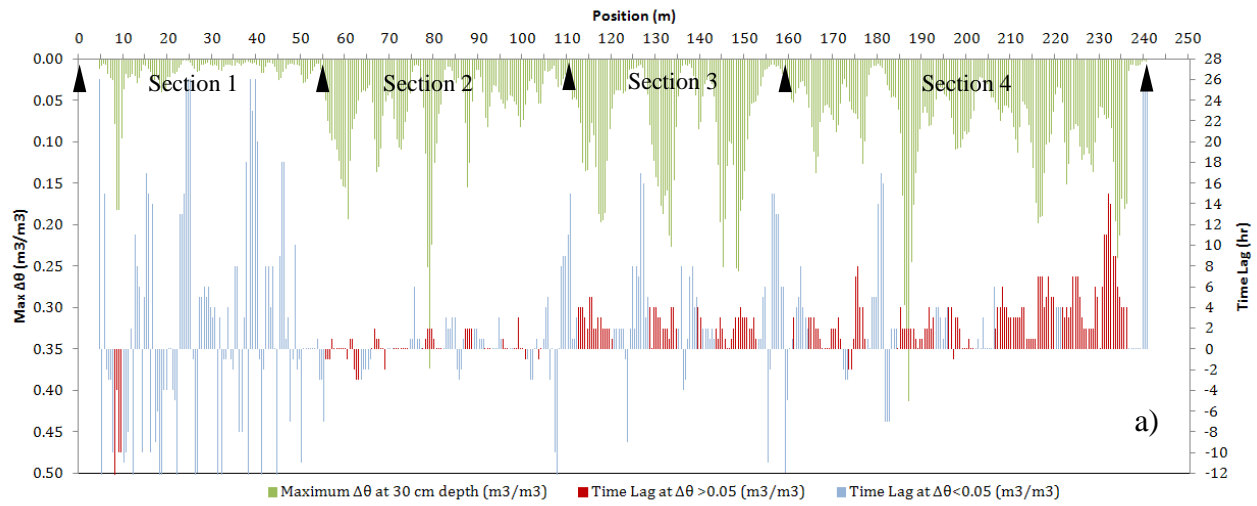
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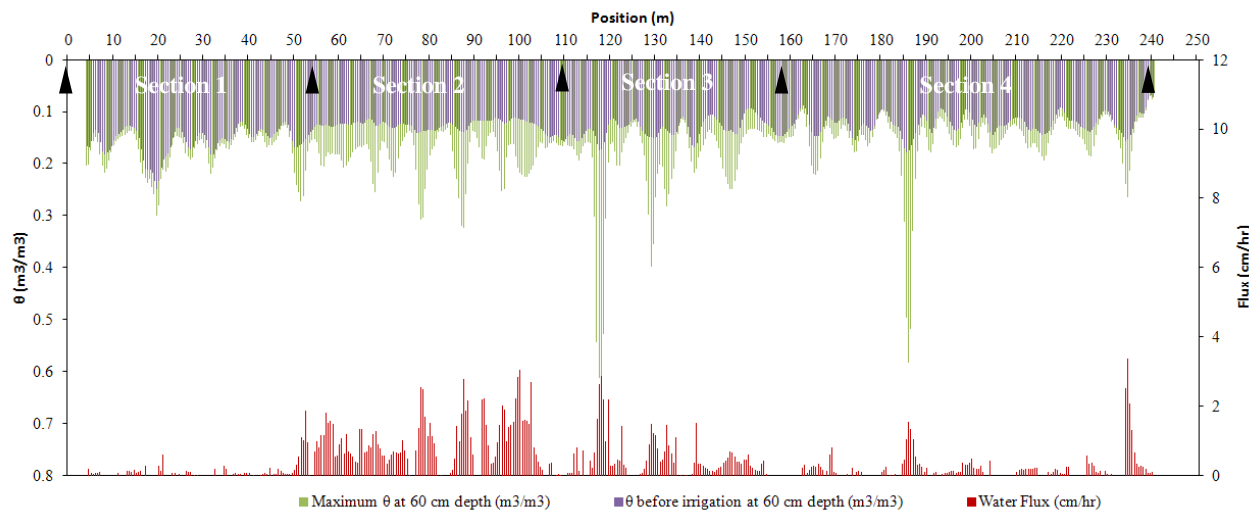
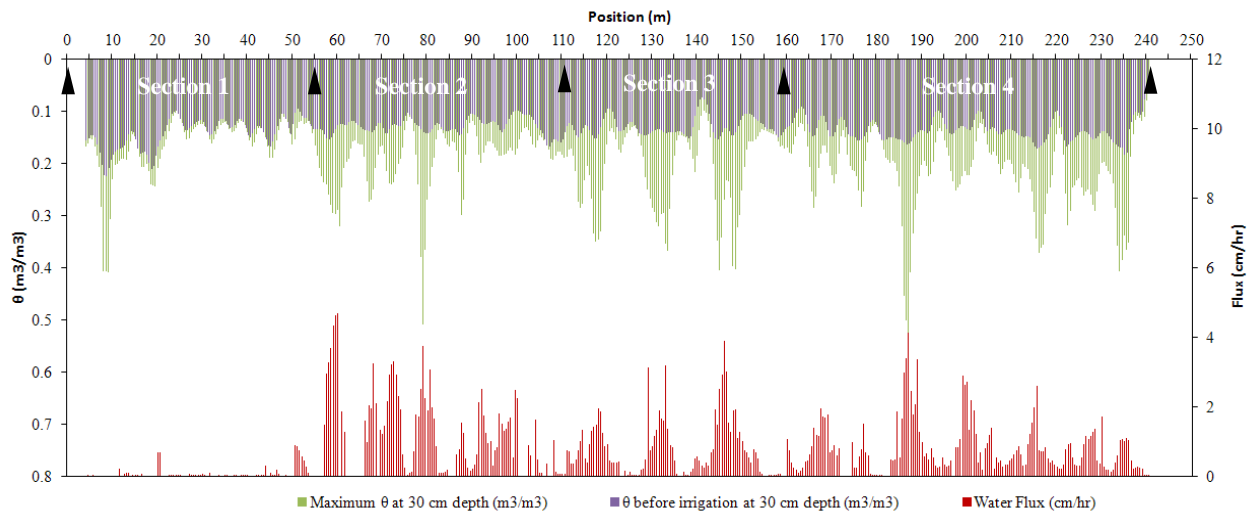
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**Figure 8** DTS estimated soil water content at a) 30 cm depth and b) 60 cm depth with emitter positions shown before , and at 3, 9, and 15 hours after the 7 hr irrigation set started.



**Figure 9** Time lag at the highest time-lagged correlation value a) between  $\Delta\theta$  at 30cm and  $\Delta\theta$  at 60 cm and b) between  $\Delta T_{cum}$  at 60cm and  $\Delta T_{cum}$  at 90 cm



**Figure 10** Water flux, pre-irrigation soil water content, and maximum soil water content at the 30 cm depth (top figure), and at the 60 cm depth (bottom figure)



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2 **Table 1** Soil physical and hydraulic properties (USDA Natural Resources Conservation Service, 2006).

| Depth<br>(cm) | Bulk density<br>(g cm <sup>-3</sup> ) | Sat. Hydr.                        | Available   | Organic matter |
|---------------|---------------------------------------|-----------------------------------|---|----------------|
|               |                                       | Conductivity<br>m s <sup>-1</sup> | Water capacity<br>(cm <sup>3</sup> cm <sup>-3</sup> ) | (%)            |
| 0-10          | 1.15-1.30                             | 14.4-50.4 10 <sup>-6</sup>        | 0.14-0.17   | 0.7-1.0        |
| 10-89         | 1.20-1.50                             | 14.4-50.4 10 <sup>-6</sup>        | 0.14-0.17   | 0.0-1.0        |
| 89-152        | 1.40-1.70                             | 14.4-50.4 10 <sup>-6</sup>        | 0.14-0.17   | 0.0-1.0        |

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5 **Table 2** Lower bounds on averages fluxes (cm h<sup>-1</sup>), by section, at 30 and 60 cm depths.

|  | Section 3   |  |                  | Section 4   |  |                  |
|--|---|--|------------------|---|--|------------------|
|  | $\Delta\theta \geq 0.5$<br>m <sup>3</sup> m <sup>-3</sup> | $\Delta\theta < 0.5$<br>m <sup>3</sup> m <sup>-3</sup> | All<br>locations | $\Delta\theta \geq 0.5$<br>m <sup>3</sup> m <sup>-3</sup> | $\Delta\theta < 0.5$<br>m <sup>3</sup> m <sup>-3</sup> | All<br>locations |
| Average Flux at 30 cm<br>depth (cm h <sup>-1</sup> )         | >1.3  | >0.2   | >0.8             | >0.9  | >0.2   | >0.8             |
| Average Flux at 60cm<br>depth (cm h <sup>-1</sup> )          | >1.1  | >0.3   | >0.5             | >1.0  | >0.1   | >0.2             |
| Average Flux applied<br>at the surface (cm h <sup>-1</sup> ) | -   | -  | 1.0              | -   | -  | 0.8              |

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