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### Morphological Functions with Parallel Sets for the Pore Space of X-ray CT Images of Soil Columns

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Abstract-During the last few decades, new imaging techniques like X-ray computed tomography have made available rich and detailed information of the spatial arrangement of soil constituents, usually referred to as soil structure. Mathematical morphology provides a plethora of mathematical techniques to analyze and parameterize the geometry of soil structure. They provide a guide to design the process from image analysis to the generation of synthetic models of soil structure in order to investigate key features of flow and transport phenomena in soil. In this work, we explore the ability of morphological functions built over Minkowski functionals with parallel sets of the pore space to characterize and quantify pore space geometry of columns of intact soil. These morphological functions seem to discriminate the effects on soil pore space geometry of contrasting management practices in a Mediterranean vineyard, and they provide the first step toward identifying the statistical significance of the observed differences.

#### 23

#### 1. Introduction

24 One of the most pervasive features of natural soils 25 is its structure as expressed by the size, shape, and 26 arrangement of the soil particles and voids, including 27 both the primary particles to form compound parti-28 cles (i.e. soil aggregates) and the compound particles 29 themselves (BREWER, 1964). Soil structure plays a major role in soil functioning, including its contri-30 31 bution to accumulation and protection of soil organic 32 matter, to optimization of soil water and air regimes, 33 and to storage and availability of plant nutrients (Bossuyt et al., 2002; von Lützow et al., 2006). 34 Performance of many of these functions strongly 35 depends on pore space geometry. For example, it has 36 been shown that gradients of a number of soil char-37 acteristics exist inside soil. Among them are gradients 38 in oxygen concentrations of the soil air (SEXSTONE 39 et al., 1985), gradients in concentrations of a variety 40 of elements, including Ca, Mg, K, Na, Mn, K, Al, and 41 Fe (SANTOS et al., 1997; JASINSKA et al., 2006), and in 42 organic matter compositions (Ellerbrock and Gerke, 43 2004; URBANEK et al., 2007). These differences in turn 44 influence soil structure that is of particular impor-45 tance for processes such as soil carbon sequestration 46 (SIX et al., 2000; DENEF et al., 2001; CHENU and 47 48 PLANTE, 2006).

In this work, we propose a quantitative descrip-49 tion of geometrical characteristics of soil pore space 50 as volume, surface, shape, and connectivity within 51 the unified framework that provides mathematical 52 morphology (SERRA, 1982). Mathematical morphol-53 ogy includes a plethora of mathematical techniques to 54 analyze and parameterize the geometry of different 55 features of soil structure. These techniques belong to 56 well-established mathematical fields such as integral 57 geometry (SANTALÓ, 1976), stochastic geometry 58 (MATHERON, 1975), or digital topology and geometry 59 (KLETTE and ROSENFELD 2004). They make available a 60 sound mathematical background that guides the pro-61 cess from image acquisition and analysis to the 62 generation of synthetic models of soil structure (ARNS 63 64 et al., 2004) to investigate key features of flow and transport phenomena in soil (LEHMANN, 2005; MECKE 65 and ARNS, 2005). 66

X-ray computed tomography (CT) provides a 67 direct and non-destructive procedure to use three-68 dimensional information to quantify geometrical 69 features of soil pore space (Peyton et al. 1994; Perret 70

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72 LEHMANN et al. 2006; SAN JOSÉ MARTÍNEZ et al., 2010; 73 ZHOU et al., 2013). During the last few decades, 74 mathematical morphology has been successfully used 75 to analyze different characteristics of the rich three-76 dimensional geometrical information gained through 77 X-ray CT (MECKE and Stoyan, 2000; Banhart, 2008). 78 Among the tools of mathematical morphology, Min-79 kowski functionals (Arns et al., 2002; LEHMANN 80 et al., 2006), which belong to the mathematical theory of integral geometry (SANTALÓ, 1976), are 81 82 particularly worthy of consideration since they pro-83 vide computationally efficient means to measure four 84 fundamental geometrical properties of three-dimen-85 sional geometrical objects such as soil pore space. These properties are the volume, the boundary sur-86 87 face, the integral mean curvature, and the 88 connectivity of the object of interest. Hadwiger's 89 theorem (SANTALÓ, 1976) states that any functional 90 that assigns a number to any three-dimensional object 91 and meets some self-evident and natural geometrical 92 restrictions is a linear combination of these Min-93 kowski functionals. Then, these functionals are 94 powerful tools to describe quantitatively 3D geome-95 try. MECKE (1998) and ROTH et al. (2005) made use of Minkowski functions based on threshold variation of 96 Minkowski functionals to characterize two-dimen-97 98 sional porous structures. San José Martínez et al. 99 (2013) used the same methodology with the pore 100 space of columns of intact soil. Also, two-dimensional porous structures were investigated by MECKE 101 102 (2002) and VOGEL et al. (2005) with Minkowski functions based on dilations and erosions. ARNS et al. 103 104 (2002, 2004) considered the evolution of Minkowski 105 functionals with dilations and erosions to characterize 106

et al., 1999; Pierret et al., 2002; Mees et al., 2003;

3D images of Fontainebleau sandstone. Renard and
Allard (San José Martínez 2013) used the Euler
number as a function of erosion/dilation to explore
the role of connectivity for the characterization of
heterogeneous aquifers with 2D models.
In this work, we introduced two morphological

transformations, namely erosion and dilations, and
morphological functions built over Minkowski
functionals. These morphological functions take
account of the evolution of Minkowski functionals
as dilations and erosions are performed on the object
of interest, the pore space of soil columns imaged

with X-ray CT. In this way, different geometrical 118 objects are provided that can be seen as parallel sets 119 of the pore space. Then, the Minkowski functionals 120 of the new objects are computed and represented as 121 a function of the radius of the ball of the structuring 122 element of the corresponding dilation/erosion. We 123 observed that morphological functions of dilation/ 124 erosion seem to discriminate between two pore 125 structures in a Mediterranean vineyard subjected to 126 contrasting management practices: conventional 127 tillage and permanent cover crop of resident 128 129 vegetation.

#### 2. Morphology of Pore Space Volume 130

Morphological analysis mimics other scientific 131 procedures, and in some instances it can be seen as a 132 two-step process. To illustrate this point, let us con-133 sider, for instance, the procedure to determine 134 particle size distributions by sieving. This technique 135 first generates a series of subsets of primary mineral 136 particles, the oversize sets corresponding to each 137 sieve size; then, these oversize sets are weighted. In 138 morphological analysis, first, geometrical transfor-139 mations are applied to the object of interest in an 140 image, and then measurements are carried out. When 141 the granulometry of an image of grains of different 142 sizes shall be determined, successive morphological 143 operations are performed on the image. These oper-144 ations consist on the elimination of grains smaller 145 than a certain size with a suitable morphological 146 transformation (Fig. 1). Each one of these operations 147 148 is followed by the measurement of the area for 2D images or the volume for 3D images, of the grains left 149 (SERRA, 1982). Figure 1 illustrates this procedure in a 150 CT image of a packing of sand particles. Now we are 151 going to describe the basic morphological operations, 152 i.e. dilations and erosions. Finally, the notions of 153 Minkowski functionals and morphological functions 154 will be presented. 155

#### 3. Morphological Operations 156

Grains or pore space in a 3D CT image of soil will 157 be idealized as sets of points in three-dimensional 158

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Figure 1

Granulometric analysis of a section of a CT image of 15.4 mm side of a packing of sand particles by successive morphological operations

159 space. These types of geometrical objects will be the 160 mathematical objects of interest. In this work we will 161 focus on soil pore space as the geometrical object of 162 interest. Mathematically, an object is a closed and bounded set. A ball is a closed set if it contains the 163 points of the spherical surface that defines its 164 boundary. And it is a bounded set because it is con-165 166 tained in a sphere of finite radius. Dilation of an object expands it. This new object can be thought of 167 as being the union of all balls with a given radius r168 169 centered at points of the original object. If the ori-170 ginal object is a ball of radius  $r_0$ , the dilated object 171 by balls of radius r will be a new ball of radius  $r_0 + r$ .

172 We consider a generic object K and a ball B of 173 radius 1 whose center is located at the origin of 174 coordinates. Both K and B are objects, closed and 175 bounded sets, but K is the object of interest or simply an object that we scrutinize with the object B that is 176 177 called the structuring element. A ball of radius rcentered at the origin, rB, is obtained by multiplying 178 the coordinates of the points of B by r. In a ball of 179 180 radius 1, centered at point x,  $B_x$ , is obtained adding xto every point of B. Scalar multiplication by a posi-181 182 tive number r produces an expansion with scaling 183 factor r when r > 1, and a contraction with scaling 184 factor r when r < 1. Addition with a vector x produces a translation in the direction of the vector x185at a distance equal to the "length" of this vector, its186modulus. Then, we have the following mathematical187expressions that define the sets rB and  $B_x$  (OSHER and188MÜCKLICH 2000):189

$$rB = \{ry : y \in B\}$$
 and  $B_x = \{y + x : y \in B\}$ 

$$(1)$$

That is to say, rB is the set of points ry when y190 belongs to B, and  $B_x$  is the set of points y + x when y 192 belongs to B. In these expressions, ry stands for the 193 scalar multiplication of the scalar r and the vector  $\mathbf{y}$ , 194 and y + x represents the sum of two vectors, y and x. 195 Thus, the dilation (Fig. 2) of the object K by balls of 196 radius r, that is the union of all balls  $rB_x$  of radius r 197 centered at points x of K, will be another object  $K_r$ 198 defined as 199

$$K_r = \bigcup_{x \in K} r B_x. \tag{2}$$

The set  $K_r$  is also called the parallel body of K at a 200 distance r or r-parallel body to K. This is the set of all 202 points within a distance smaller than r from the 203 object K. In this work, the structuring element will be 204 a ball centered at the origin. Then, the dilation of an 205 object by a ball of radius r is equivalent to the r-206



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Figure 2 Effect of dilation  $K_r = \delta_{rB}(K)$  (grey plus black) and erosion  $K_{-r} = \varepsilon_{rB}(K)$  (black) of object K by the structuring element rB

parallel body to K. Roughly speaking, it is like a "skin" of thickness r is added to K.

209 We will analyze binary (black and white) images 210 of soil. They contain two complementary phases: the 211 phase of voids (pores) and the phase of soil matrix (mineral particles). As we said previously, in this 212 study, the pore space is the object of interest and it 213 214 will be white, while the mineral matrix will form the 215 background and it will be black, as is customary in image analysis. Then, the erosion of one phase is 216 217 equivalent to the dilation of the complementary phase. Erosion of the pore space is dilation of the soil 218 219 matrix, and erosion of the soil matrix is dilation of 220 pore space. For an object K, the erosion by a ball of 221 radius r is defined as (ARNS et al., 2002).

$$K_{-r} = \{x : rB_x \subset K\}$$
(3)

223 Consequently, the erosion of an object K by a ball 224 rB corresponds to the set of all positions of their 225 centers within K where the structuring element rB fits 226 completely into K (Fig. 2). Roughly speaking, it is like a "layer" of thickness r is removed from K. 227 228 Therefore, we may generalize the notion of *r*-parallel 229 body so that  $K_r$  will be a dilation for r > 0, and erosion for r < 0 and the original object K for r = 0230 231 (ARNS et al., 2002).

#### 232 4. Measurements: Minkowski Functionals

What is the area of a two-dimensional object or the volume of a three-dimensional one when the object is dilated? Let us consider a simple object like a square or a cube with edges of size *a* and a disk or a ball of radius *r* as a structuring element. In the plane, 237 the area of the dilated object  $K_r$  of a square *K* by a 238 disk *rB* can easily be computed as (Fig. 3). 239

$$A(K_r) = A(\delta_{rB}(K)) = a^2 + 4a r + \pi r^2 = A(K) + L(K)r + A(B)r^2.$$
(4)

In this expression, A stands for the area and L 240 stands for the length of the perimeter of the square K. 242 Here, B is the disk centered at the origin with radius 243 1. In the space, we get 244

$$V(K_r) = V(\delta_{rB}(K)) = a^3 + 6a^2 r + 3\pi ar^2 + \frac{4}{3}\pi r^3$$
  
= V(K) + S(K)r + M(K)r^2 + V(B)r^3  
(5)

Here, V stands for the volume, S for the area of the boundary, and M for the mean breadth multiplied 247 by  $2\pi$  (it can be shown that the mean breadth of a 248



Figure 3 Dilation of a *square* with a disk as structuring element

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cube of edge *a* is 3a/2 (SANTALÓ, 1976). Here, *B* is the ball centered at the origin with radius 1.

Now, let us consider a general convex object in *d*dimensional linear space; then one has the Steiner
formula (OSHER and MÜCKLICH, 2000).

$$V(K_r) = \sum_{i=0}^d \binom{d}{i} W_i^{(d)}(K).$$
 (6)

**254** In this expression,  $W_i^{(d)}(K)$  are the Minkowski functionals. There are d + 1 Minkowski functionals in dimension d.

258 Minkowski functionals are a complete set of geometrical features as established by Hadwiger's 259 260 theorem (SANTALÓ, 1976). In simple terms, this theorem states that any functional that assigns a number 261 to any object of interest and fulfills some very natural 262 263 geometrical restrictions is a linear combination of the 264 Minkowski functionals with numbers as scalars of this linear combination. 265

There are three Minkowski functionals in theplane and four in space. In the plane (the two-dimensional linear space), one has

$$W_0^{(2)}(K) = A(K), W_1^{(2)}(K) = L(K) \text{ and } (7)$$
$$W_2^{(2)}(K) = A(B)\chi(K).$$

269In this expression, A stands for the area, L stands271for the length of the perimeter of K, and  $\chi(K)$  for its272Euler-Poincaré characteristic. Here, B is the disk273centered at the origin with radius 1. In space (the274three-dimensional, linear space), one has

$$W_0^{(3)}(K) = V(K), \quad W_1^{(3)}(K) = (1/3)S(K),$$
  

$$W_2^{(3)}(K) = (1/3)M(K) \text{ and } (8)$$
  

$$W_2^{(3)}(K) = V(B)\gamma(K).$$

276 Here, B is the ball centered at the origin with 277 radius one, V stands for the volume, S for the area of 278 the boundary, and M for the mean breadth multiplied 279 by  $2\pi$  (it can be shown that the mean breadth of a cube of edge a is 3a/2 (SANTALÓ, 1976). As before, 280  $\chi(K)$  is the Euler-Poincaré characteristic of the spa-281 282 tial object K. See Appendix 2 for more details on interpretation of these functionals. 283

Another important feature of Minkowski functionals is that they are easy to compute (MICHIELSEN
2001). For computational purposes, points of

geometrical objects are considered a voxel of a digital 287 image (i.e. the elements of regular lattice). Taking 288 into account the C-additivity property (see Appendix 289 1) and the fact that digital images are sets of cubes (or 290 voxels), their computation reduces to the computation 291 of the Minkowski functionals on cubes and their 292 intersections (vertices, edges, and faces) (LIKOS et al., 293 1995). 294

Mathematical morphology offers a powerful 296 description of objects in terms of functions. This 297 technique is similar to the process that provides 298 particle size distributions by morphological analysis 299 of soil images (SERRA, 1982; SOILLE, 2002; VOGEL, 300 2002). 301

Consider a 3D binary image of soil where the void302phase K is the object of interest. Let  $K_r$  be, as before,303the dilation of K by balls of radius r when r > 0 and304the erosion of K by balls of radius r when r < 0. Then,305consider any Minkowski functional, say M, and the306function307

$$f(r) = M(K_r) \tag{9}$$

This family of functions built over the Minkowski 309 functionals provides a way to investigate the mor-310 phology of the pore space K as it is dilated and 311 eroded with balls of increasing radius r. VOGEL et al. 312 (2005) used this approach on 2D images to describe 313 crack dynamics in clay soil. Roth et al. (2005) make 314 use of opening (i.e. erosion followed by dilation) to 315 build Minkowski functions to quantifying permafrost 316 patterns with aerial photographs. These functions add 317 new information to that provided by Minkowski 318 functionals as they yield the pore size distribution of 319 the porous structure. ARNS et al. (2004) characterized 320 disordered systems and matched model reconstruc-321 tions to 3D images of Fontainebleau sandstone with 322 Minkowski functions based on dilations and erosions. 323 VOGEL et al. (2010) took advantage of Minkowski 324 functions based on openings to quantify soil structure 325 of arable soil and of repacked sand using 3D images 326 from X-ray tomography of samples of different sizes 327 recorded at different resolutions. 328

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329 MECKE (1996) considered a different type of Minkowski function. In this case, the original 2D 330 331 image is a grayscale image before segmentation. A 332 series of binary images were obtained when the 333 threshold varied from the minimum value of the 334 grayscale to its maximum. Minkowski functionals 335 were evaluated on each binarized image of the series, 336 and four Minkowski functions were defined when the Minkowski functionals evolved as a function of 337 338 threshold. ROTH et al. (2005) also made use of this 339 type of functions to quantify permafrost patterns 340 obtained from aerial 2D photographs.

In this work, we will investigate, in a threedimensional setting, how Minkowski functions based
on parallel sets of binary 3D X-ray CT images of soil
columns can be used to characterize soil pore structure of cultivated soil.

#### 346

#### 6. Materials and Methods

#### 347 6.1. Soil Columns: Sample Collection

The columns were collected at the experimental 348 farm "Finca La Grajera", a property of La Rioja 349 region government, northern Spain, Latitude, 350 42°26'34 18"N; longitude 2°30'53 07"W, in Decem-351 352 ber 2010. The field slope was about 10.2 % with 353 west-east orientation. The soil was classified as fine-354 loamy, mixed, thermic Typic Haploxerepts according to the USDA soil classification (Soil Survey Staff, 355 2006), and contained 230 g kg<sup>-1</sup> clay, 433 g kg<sup>-1</sup> silt, 337 g kg<sup>-1</sup> sand, 9.3 g kg<sup>-1</sup> organic matter, and 356 357 149 g kg<sup>-1</sup> carbonates, with pH 8.62 and electrical 358 conductivity 0.17 dS m<sup>-1</sup> at the Ap horizon 359 (0-20 cm). Climate in the area is semiarid according 360 361 to the UNESCO aridity index (UNESCO, 1979), with 362 heavy winter rains and summer drought conditions. 363 For the period 2005–2009, the average annual 364 precipitation was 470 mm, average annual temperature was 13 °C, and average annual potential 365 evapotranspiration (FAO-Penman) was 1,132 mm. 366

In this study, we considered four columns
collected between rows of the vineyard that was
established in 1996 with *Vitis vinifera* L. "Tempranillo", grafted onto 110-R rootstock. Two types of soil
cover management in between rows were undertaken:

(T) conventional tillage management between rows, 372 which consisted of a soil tillage of 15-cm depth by 373 cultivator once every 4-6 weeks, as required for 374 weed control during the grapevine growth cycle; 375 (C) permanent cover crop of resident vegetation, 376 which was dominated by annual grass and forbs 377 common to La Rioja vineyards (see PEREGRINA et al., 378 2010, for more details). Columns were extracted 379 vertically by percussion drilling between rows, within 380 PVC cylinders of 7.5 cm interior diameter and 30 cm 381 height from the upmost part of soil profile. As a 382 consequence, only the upper half of the column was 383 affected by tillage that was undertaken 3 months 384 before the collection of samples. 385

#### 6.2. Image Acquisition, Filtering, and Segmentation 386

Soil columns were scanned at Fraunhofer ITWM 387 facilities (Germany) with a PerkinElmer amorphous 388 silicon (a-Si) detector with  $2.048 \times 2.048$  pixels and 389 a Feinfocus FXE 225.51 microfocus beam source 390 tube. It was operated at 190 kV (53 µA) acceleration 391 voltage and 20 W target power. The tube had a 392 tungsten target installed. In addition, a collimator to 393 reduce stray radiation and a 200-µm steel filter in 394 front of the target was used. Only the upper half of 395 the column was scanned to image the tilled part of the 396 columns from tilled soil, and the region between 6.5 397 and 15 cm was selected to have a resolution of 398 50 µm. In this way, soil macro-pore structure impor-399 tant for intense renewal of air and serving to transport 400 and distribute water in soil (BREWER, 1964) was 401 imaged. 402

403 Raw data from tomography correspond to a stack of 1,706 two-dimensional, 16-bit grayscale images 404 with a pixel size of 50 µm. These horizontal sections 405 are disks of 7.5 cm diameter, 50 µm apart from one 406 another. Thus, the 3D image is made up of voxels of 407 50 µm. Light values of the grayscale designate voxels 408 corresponding to low densities of the soil column, 409 whereas high values indicate voxels of high density 410 parts of the column. The original 2D projections were 411 filtered by a  $3 \times 3$  median filter before reconstruction 412 in order to reduce random noise from the detector. It 413 is a nonlinear smoothing method used to reduce 414 isolated noise without blurring sharp edges (WANG 415 and LAI, 2009). 416

<u>Author Proof</u>

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417 The segmentation process provides a way to 418 separate the object of interest from the background, in 419 this case, the pore space from the soil matrix. This 420 process produces binary images when a threshold is 421 selected, and every voxel with a grayscale value 422 lower than the selected threshold is considered part of 423 the pore space and set to 1 (white), while every voxel 424 with a grayscale value higher than the selected threshold is considered part of the soil matrix and set 425 426 to 0 (black). ImageJ version 1.47v, a public domain 427 program developed at the National Institutes of 428 Health, was used for image processing. We selected 429 a global method as we focused primarily on the analysis of geometrical features evolutions. The 430 431 modes method of thresholding was chosen to gener-432 ate binary images (SONKA et al., 1998) for its 433 performance (IASSANOV et al., 2009). In this proce-434 dure, the histogram is iteratively smoothed until there 435 are only two local maxima. Then, the threshold is chosen at the midpoint between these local maxima. 436 437 Figure 4 illustrates image binarization, and Fig. 5 shows the view of 3D reconstruction of pore space in 438 439 a binary image. The plot of histograms with logarithmic scale on the vertical axis is displayed (Fig. 4) 440 441 to show the two maxima. Notice the different pore structures that display a typical sample from soil 442 under cover crop of resident vegetation and from soil 443 under conventional tillage (Fig. 5). The homogeneity 444 445 of the pore space produced by tillage is obvious (T 446 samples) as compared to the much more heterogeneous result of the cover resident vegetation crop (C 447 448 samples).

#### 449 6.3. Computing Minkowski Functions for Parallel 450 Sets

We will consider binary images segmented with the 451 modes method procedure. In these images, the pore 452 453 space will be the object of interest while the soil matrix 454 will be the background. Now, to study pore structure, 455 we will investigate the evolution of Minkowski functionals as successive erosions, and dilations with balls 456 457 of increasing radius are performed on the binary images 458 (ARNS et al., 2002; VOGEL et al. 2005).

459 We follow the procedure developed by MECKE (1996) and the code published by MICHIELSEN (2001) 460 461 to compute Minkowski functionals. For the sake of



Figure 4

Segmentation process on a horizontal section of  $960 \times 960$  pixels of column C1: a gray-scale image, b histogram with (black) and without (grey) logarithmic scales, and the resulting threshold marked with a vertical red line, and c segmented image (white voids, black solid)

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Figure 5

3D reconstructions of the pore geometry (*white*) in each soil column in a box that is 8.5 cm high (z axis) and 1.7 cm long (x axis) and wide (y axis)

462 clarity, let us illustrate this procedure in 2D images 463 made up of pixels that geometrically are squares. The 464 object of interest, K, is a finite union of squares (compact and convex object). Each square is consid-465 ered to be decomposed into the four points of its four 466 vertices, the four open segments of its four edges, and 467 468 the rest of the square, i.e. its interior. Then, the square of each pixel is the union of nine disjoint sets: four 469 points, four open segments, and the interior of the 470 471 square. As a consequence, we only need to know the Minkowski functional of these three types of sets (a 472 473 point, an open segment, and an open square), and 474 then use C-additivity extended to the union of an 475 arbitrary amount of sets. If  $n_s$  is the number of squares of the object,  $n_e$  the number of edges, and  $n_v$ 476 the number of vertices of the pixels of the object of 477 478 interest are counted once, it is easy to verify that 479 (MICHIELSEN 2001).

$$A(K) = n_s, \quad L(K) = -4n_s + 2n_e \quad \text{and} \quad (10)$$
  
$$\chi(K) = n_s - n_e + n_v.$$

480 For three-dimensional objects, a similar argument 482 shows that (MICHIELSEN 2001).

$$V(K) = n_c, \quad S(K) = -6n_c + 2n_f, \pi^{-1}M(K) = 3n_c - 2n_f + n_e \text{ and } (11) \chi(K) = -n_c + n_f - n_e + n_v$$

In this expression,  $n_c$  is the number of cubes and 483 $n_f$  is the number of faces of the voxels of the object 485K, counted once. 486

The Euler-Poincaré characteristic-Euler number, 487 for short-describes the connectivity of an object. In 488 order to reconcile this global topological point of 489 view with the local counterpart that displays the 490 computation of this number in terms of numbers of 491 cubes, faces, edges, and vertices, it is necessary to 492 define when voxels are connected, or equivalently, 493 when are they neighbors. In the plane, a common 494 choice is to consider that two black pixels are 495 connected when they have an edge or a vertex in 496 common. In the three-dimensional space, it is 497 customary to consider two black voxels connected 498 when they have a face, an edge, or a vertex in 499 common. This implies that any voxel is connected to 500 26 voxels or it has 26 neighbors (MICHIELSEN and DE 501 RAEDT, 2001). 502

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Figure 6 Image porosity as a function of diameter of erosion/dilatation

#### 503 7. Results and Discussion

To evaluate Minkowski functionals, each column 504 was divided into five consecutive cubes that shared a 505 face, from top to bottom. The cubes had 340 voxels 506 507 per edge and they were centered on the axes of the column in order to avoid voxels belonging to the 508 509 container or voxels representing soil near the sampling tube that might have been damaged during 510 511 sampling. The pore space in each cube was eroded/ 512 dilated to yield parallel sets. Diameters of balls took 513 19 different values for erosions and 19 for dilation, as 514 well; it was incremented from 0 in steps of the voxel 515 size (i.e. 50 µm). As Minkowski functionals are additive, their values for each column were obtained 516 by simply adding the corresponding values of the 517 518 cubes of the column. We considered densities of 519 Minkowski functionals. Thus, we had volume frac-520 tion or image porosity, specific boundary surface 521 area, specific integral of mean curvature, and specific Euler number of the pore space. 522

Figures 6, 7, 8, 9 display the evolution of these
geometrical densities as functions of erosion/dilation
diameter (*R*). As stated above, dilations of pore space
produce an increase of its volume. Let us remark that

this effect is more pronounced when there are tunnels 527 of soil materials through voids because dilations 528 reduce them, even if it also depends on the com-529 plexity of the pore-solid interface as measured by 530 surface area and integral of mean curvature. Roughly 531 speaking, dilations turn some voxels of the soil 532 matrix into voxels of its pore space. Hence, this 533 morphological operation expands the void part of the 534 sample. Erosion produces the inverse process. Dif-535 ferences between soil samples under natural resident 536 vegetation cover (C) and samples under conventional 537 tillage (T) are noticeable even if samples T2 and C2 538 have a similar evolution for dilations. Nevertheless, 539 the evolution of image porosity (Fig. 6) and specific 540 boundary surface (Fig. 7) with erosions diverges. 541 This suggests that geometrical features of sample T2 542 are smaller than three voxels as they vanish with 543 erosions of diameter smaller than that size. The 544 opposite behavior is observed on sample C1. The 545 erosion with the larger ball still left an important 546 amount of porosity in this sample. Overall, samples 547 with natural resident vegetation cover (C) store a 548 greater amount of volume fraction and specific sur-549 face at any diameter of the balls used to erode/dilate 550 as compared to samples from tilled soil (T). This is 551



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Specific surface area (voxel-edges<sup>-1</sup>) as a function of diameter of erosion/dilatation

consistent with results reported by PEREGRINA *et al.*(2010).

554 Figures 8 and 9 depict the evolution of the specific 555 integral of mean curvature-mean curvature, for short-and connectivity. Let us remember that the 556 557 connectivity is evaluated as the number of connected 558 components of the object of interest minus its tunnels 559 plus its cavities (see Appendix 2). Tunnels are redundant loops or handles, as torus-like holes 560 561 through the object of interest. As we are dealing with images of a natural soil, we may assume that there are 562 563 no soil materials completely surrounded by voids and, as a consequence, the Euler number corresponds to the 564 number of connected components of the pores space 565 minus the number of tunnels of solid materials 566 through the pore space. The morphological functions 567 568 of the specific mean curvature (Fig. 8) and connectivity (Fig. 9) seem to indicate that conventional 569 tillage and resident vegetation cover produces two 570 different pore structures; this difference is especially 571 572 apparent when comparing samples C1 and T1. Sample C1 yields more specific mean curvature than sample 573 574 T1 when dilated with balls smaller than nine voxels. In this range of diameters, mostly small voids con-575 576 necting soil matrix should populate sample C1 as

577 compared to sample T1, as is apparent from Fig. 5. High Euler numbers of sample C1 at small diameters 578 seem to suggest this behavior. But large diameters 579 decrease specific mean curvature and Euler number of 580 sample C1, producing negative values. Nevertheless, 581 in the case of T1, these geometrical measurements 582 have lower growth. In the case of connectivity, it is 583 negative for the largest diameter of dilations. This 584 suggests that the pore structure of sample C1 contains 585 a great amount of small features as the number of 586 small voids (i.e. connected components) exceeds the 587 number of tunnels of solid materials through them; 588 therefore, high values of the specific mean curvature 589 from these small features of the C1 pore space might 590 be explained by the regularity of the surface that 591 enclosed them, and they are also compatible with their 592 small size. Moreover, C1 seems to display a rich 593 structure as compared to sample T1. Between diam-594 eters 8 and 9, the graphs of both samples intersect at a 595 positive specific mean curvature, but sample C1 has 596 negative Euler characteristic. Therefore, it suggests 597 that geometrical features similar in size should dom-598 inate sample T1, while the dilations of sample C1 599 show a more complex structure highly connected with 600 tunnels through it, as it seems to indicate negative 601



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Figure 8 Specific curvature (voxel-faces $^{-1}$ ) as a function of diameter of erosion/dilatation



Specific Euler number (voxel<sup>-1</sup>) as a function of diameter of erosion/dilatation

Euler numbers. The low variation of specific mean
curvature and Euler numbers of sample T1 is compatible with a pore structure made up with irregular

geometrical features of similar sizes that collapse as605diameter of dilation increases and do not generate a606complex and highly connected structure.607

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608 These results open the door to new investigations to identify statistically significant differences in soil 609 610 structure due to contrasting management practices. It was the necessary first step towards further research 611 612 that should include a richer sample. Then, the trends that suggest this study would be the hypothesis of 613 those new investigations. Therefore, this might pro-614 615 vide the basis for new projects that are likely to be lengthy and costly, as there is the need for a greater 616 amount of 3D tomograms of large soil columns. 617

It has been reported that different land use and 618 management practices significantly affect directions 619 620 and magnitudes of the soil processes by contributing 621 different quantities and qualities of biomass inputs, 622 generating different levels of soil disturbance, influ-623 encing soil temperature and moisture regimes. These differences generate notable changes in soil physical 624 and hydraulic properties, including changes in soil 625 626 organic matter content, soil porosity, hydraulic conductivity, and water retention (WANG et al., 2012; 627 628 Zhou et al., 2013). Our results suggest that the evo-629 lution of morphological features with dilation/erosion is a suitable indicator of soil structure for cultivated 630 631 soil, and it seems to describe the influence of two 632 different soil management practices (i.e. conventional 633 tillage and natural cover crop) on soil structure in a Spanish Mediterranean vineyard. It is worth noting 634 here how these results reflect the different pore 635 structures as depicted by Fig. 5. The homogeneity of 636 637 the pore space produced by tillage is obvious as compared to the heterogeneity of samples under 638 639 resident vegetation cover. Similar geometrical features seem to dominate samples T2 and C2, but big 640 641 structures discriminate between them and explain the behavior of the morphological functions of image 642 643 porosity and specific boundary surface when sample 644 T2 is eroded. These results are consistent with pre-645 vious studies on the impact of land use on soil structure (KRAVCHENKO et al., 2011; WANG et al., 646 647 2012) when they remarked on the homogeneity of the 648 pore structure of conventional tillage as compared with no-till. 649

Soil structure is regarded as one of the main
providers of physical protection of soil organic matter
and carbon sequestration by soils (Six *et al.*, 1998).
One of the mechanisms of such protection is a
reduced access of organic material inside soil voids to

decomposing microorganisms. The differences that 655 we are observing in the porosity patterns between C 656 and T samples hint at their potentially different 657 effectiveness for protecting carbon. Clearly, T sam-658 ples with their network of bigger voids will be 659 offering greater microbial access, thus poorer pro-660 tection than the C samples that have more porosity 661 connected with smaller features. Observations of 662 ANANYEVA et al. (2013) support this hypothesis. 663

8. Conclusions 664

In this work, we have introduced the essential 665 tools of mathematical morphology in order to quan-666 tify the geometrical morphology of soil structure. We 667 made use of 3D images from X-ray CT of soil col-668 umns collected at the experimental farm "Finca La 669 Grajera", property of the La Rioja region govern-670 ment, northern Spain. In this study, we considered 671 four columns collected between rows of the vinevard 672 that was established in 1996 with Vitis vinifera L. 673 "Tempranillo". Two types of soil management in 674 between rows were undertaken: (T) conventional 675 tillage management between rows, which consists of 676 a soil tillage of 15-cm depth by cultivator once every 677 4-6 weeks, as required for weed control during the 678 grapevine growth cycle; (C) permanent cover crop of 679 resident vegetation, which was dominated by annual 680 grass and forbs common to La Rioja. 681

We have presented the building blocks of math-682 ematical morphology, the morphological operations 683 of dilation, erosion. We have dealt with the Min-684 kowski functionals (i.e. volume, boundary surface, 685 curvature, and connectivity) and the Minkowski 686 functions that take account of the evolution of the 687 Minkowski functionals as morphological operations 688 are performed on the 3D object of interest with balls 689 of increasing diameter. 690

Our results suggest that the evolution of mor-691 phological features with dilation/erosion is a suitable 692 indicator of soil structure for cultivated soil and it 693 seems to describe the influence of two different soil 694 management practices (i.e. conventional tillage and 695 natural cover crop) on soil structure in a Spanish 696 Mediterranean vineyard. It is worth noting here how 697 these results reflect the different pore structures as 698





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699 depicted by Fig. 5. The homogeneity of the pore space produced by tillage is obvious as compared to 700 701 the heterogeneity of samples under resident vegeta-702 tion crop. Similar geometrical features seem to 703 dominate samples T2 and C2, but big structures dis-704 criminate between them and explain the behavior of 705 specific image porosity and boundary surface when 706 sample T2 is eroded.

These geometrical descriptors that seem to discriminate between these two types of samples could
be used as inputs for morphological models of natural
soil structures. But further investigations are needed
to establish quantitatively the statistical significance
of the observed impact of contrasting management
practices on soil structure.

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# Appendix 1

725 Let us be more precise and specify the objects of interest and the geometrical conditions of Hadwiger's 726 theorem. A class of objects to which this theorem 727 728 applies is the class of sets that can be viewed as the 729 union of a finite number of convex objects. An object 730 K is convex when it contains any point of the seg-731 ment that joins two of its points. The class of objects 732 made up of finite unions of convex sets is worth considering as any three-dimensional binary image 733 734 can be considered an element of this class. Binary 735 images are sets of voxels which may be thought of as 736 being cubes, and then any geometrical structure of 737 interest in a binary image is a finite union of convex objects, which are the voxels. 738

There are three geometrical conditions that a 739 functional to which Hadwiger's theorem applies must 740 fulfill. The first one is motion invariance: the number 741 assigned by a functional must be independent of the 742 position of the object in space when the object is 743 translated or rotated. The second one is *C*-additivity: 744

$$\mathcal{F}(K_1 \cup K_2) = \mathcal{F}(K_1) + \mathcal{F}(K_2) - \mathcal{F}(K_1 \cap K_2) \quad (12)$$

746 That is to say, the number assigned by a functional  $\mathcal{F}$  to the union of two objects  $K_1$  and  $K_2$  equals 747 the value of the functionals over those two objects 748 minus parts counted twice. And the third condition is 749 continuity. Consider a sequence of objects  $\{K_n\}$  that 750 approaches the object K as n tends to infinity. An 751 example of this is the sequence of r-parallel bodies of 752 an object K; it is clear that the sequence of r-parallel 753 bodies  $\{K_n\}$  with r = 1/n, approaches K as n goes to 754 infinity or, equivalently, as r goes to zero. Then, the 755 continuity condition is fulfilled if  $\mathcal{F}(K_n)$  tends to 756  $\mathcal{F}(K)$  as n goes to infinity. Under these conditions 757 there are d + 1 numbers  $c_i$  such that 758

$$\mathcal{F}(K) = \sum_{i=0}^{d} c_i W_i^{(d)}(K) \tag{13}$$

where  $W_i^{(d)}(K)$  are the Minkowski functionals that 760 assign to any object a number and *K* belongs to the *d*-761 dimensional linear space. 762

Appendix 2 763

When the boundary surface of a three-dimensional 764 object is smooth, the third functional, the surface integral 765 of the mean curvature, M(K), may be interpreted as the 766 mean breadth of the object (OSHER and MÜCKLICH, 767 2000). This functional might also be an indicator of the 768 surface boundary shape. Points on the boundary sur-769 face of an object with positive curvatures settle on 770 convex parts (protrusions) while points with negative 771 curvatures belong to concave parts (hollows). Hence, 772 the mean curvature of convex points will be positive 773 while it will be negative for concave points. Taking 774 into account that the surface integral of the mean cur-775 vature over a certain boundary region of K may be 776 interpreted as the average of the mean curvature over 777 this surface region, the third functional, M(K), should 778



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779 be positive for convex parts of the boundary surface while it should be negative for concave parts. 780 781

When the object of interest K corresponds to the pore space P, the Euler-Poincaré characteristic  $\gamma(P)$ is an index of the topology of the pore phase and it quantifies pore connectivity (Vogel and KRETZSCH-MAR, 1996). In the plane, Euler-Poincaré can be computed subtracting the number of holes of the object, H(K), from the number of connected components, CC(K) (MECKE, 1998):

$$\chi(K) = \operatorname{CC}(K) - H(K) \tag{14}$$

790 In this context, a connected component of an object 791 is any part of it whose points are connected to one 792 another by curves of points contained in the object. 793 Then, a disk has Euler-Poincaré characteristic equal to 1 794 because it has one connected component and no holes. A 795 punctured disk has Euler-Poincaré number equal to 0, a 796 disk punctured twice, -1, and so on. If the object is just 797 the union of *n* separated grains on an image, the Euler-Poincaré characteristic equals n. This object has n798 connected components. Similar definitions and rela-799 800 tions hold in space though distinction between two 801 kinds of holes must be made. In space, the Euler-802 Poincaré characteristic can be computed as the sum of 803 the number of connected components, CC(K), and the 804 number of cavities of the object, C(K), subtracted by 805 the number of tunnels, T(K) (MECKE, 1998):

$$\chi(K) = \operatorname{CC}(K) - T(K) + C(K)$$
(15)

806 Cavities are holes completely surrounded by the 808 object, while tunnels are handles or redundant loops as 809 torus-like holes through the object connected with the exterior or background. If the object is just a separate 810 811 union of *n* grains of an image, the Euler-Poincaré 812 characteristic equals n. Then, a solid ball has Euler-Poincaré characteristic equal to 1, a ball with a cavity 813 814 in it, 2, a ball with two cavities, 3, and so on. But, if 815 the ball has a tunnel that goes through it, the Euler-816 Poincaré characteristic is 0, two tunnels gives a 817 Euler-Poincaré characteristic equal to -1, and so on.

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