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## Characteristic time in quasispecies evolution

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## ABSTRACT

The time a phenotype takes to achieve a stationary state from an initial condition depends on multiple factors. In particular, it is a function of both its fitness and its mutation rate. We evaluate the average time, referred to as the characteristic time,  $T_c$ , that the system takes to reach a final steady state of simple models of populations formed by self-replicative sequences. The dependence of  $T_c$  on the mutation rate and on the fitness landscape is also studied. For simple fitness landscapes, e.g. single peak, the characteristic time can be analytically obtained as a function of the system parameters. In this case,  $T_c$  for obtaining the quasispecies distribution presents a maximum at a  $Q$ -value that depends on the initial conditions and decreases monotonously as the mutation rate tends to zero. For most of the complex landscapes handled in this paper, the characteristic time to achieve the quasispecies distribution picked around the fittest phenotype attains a local minimum for a given mutation rate between 0 and the  $Q$ -value at which  $T_c$  reaches its local maximum. Thus, in these cases, an optimum value for the mutation rate exists that corresponds to the lowest value of the characteristic time for quasispecies evolution.

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## 1. Introduction

The quasispecies model developed by Eigen (1971) is a useful general evolutionary model for error-prone self-replicative systems that has been applied in many different fields such as, for example, prebiotic self-replicating molecules (Schuster and Stadler, 2008), RNA viruses (Domingo et al., 2006), cancer cells (Solé and Deisboeck, 2004) and the immune system (Kamp et al., 2003). In all these problems, the question of how long the system takes to evolve is of great relevance. In particular, it is important to find out how this time depends on two of the main factors that govern evolution, namely the mutation rate and the fitness landscape.

In principle, as the mutation rate increases the time the system takes to evolve must decrease because the exploration through the sequences space is faster. However, the greater the value of the mutation rate, the higher the fraction of the less fitted mutant genotypes and, in consequence, the lower the average fitness of the population. In other words, the turnover of the whole population decreases, and therefore the evolution time increases. This trade-off between the generation of diversity and the fixation ability of advantageous mutants has been already described (Stich et al., 2007; Stich and Manrubia, 2011). For particular fitness landscapes, this balance is reflected in the appearance of a value for the

mutation rate at which the evolution time of the system presents a minimum. However, an explicit relationship between the optimum value of the mutation rate and the fundamental properties of the system, such as the characteristics of the fitness landscape, has not yet been exhaustively explored. Most of the models in which this problem has been examined are stochastic in nature. In this context different times have been defined, for instance, the searching time and fixation time (Traulsen et al., 2007; Gokhale et al., 2009; Stich et al., 2007; Stich and Manrubia, 2011), the evolution time (Krug and Karl, 2003) the adaptation time (Stich et al., 2007), the crossover time, the jump time, the residence time and the tunneling time (Jain and Krug, 2007; Krug, 2002).

Evolution is intrinsically a stochastic process. Phenotype existence depends on multiple factors that make it virtually impossible to be considered in its entirety. The birth and death of species occur according to probabilistic rules that, in the end, determine the population dynamics. Moreover, species interaction is also affected by randomness. Strictly speaking, mathematical models should include these stochastic factors to ensure a correct description of the population. However, it turns out that under particular conditions average variables can provide useful insights into the system's behavior. In his seminal paper (Eigen, 1971) Manfred Eigen described the evolution of self-replicative molecules in terms of ordinary differential equations. Explicitly, he was assuming that stochastic fluctuations, whether internal or external, were, at a first approximation, not relevant and therefore could be neglected. However, in the next section of his paper,

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he immediately went on to establish the limitation of this phenomenological description. In any case, the deterministic formulation brought about one of the most fruitful lines of research in evolution.

The deterministic modeling of populations formed by error-prone self-replicative sequences assumes that both the elementary process of mutation and the growth dynamics are free of stochasticity. Unfortunately, even under this approximation, finding the exact solutions for the phenotypes concentration is a very hard problem. Most of the mathematical analysis carried out on these dynamical systems is qualitative, i.e. it seeks to understand the asymptotic behavior of the system. It is implicitly assumed that (stable) equilibrium states are the unique observable outcome of actual systems. Nonetheless, this is not always true. During evolution we only observe transient regimes. The system is always evolving, although transient states can appear as metastables. In these models, time takes on a new meaning, not only as the continuous independent variable, but also as an observable of the system that deserves further attention. In particular, the time a dynamical system remains in a quasistationary state or the time taken to reach another quasistationary state are of special relevance. The problem arises when we want to characterize this time within a deterministic framework since, strictly speaking, this time is infinite because the trajectories approach the equilibrium points asymptotically. To measure this time, some authors have used the inverse of the largest eigenvalue or the inverse of the difference between the two largest eigenvalues, see for instance (Kamp et al., 2003; Nowak and Schuster, 1989). However, the application of these definitions even to simple continuous dynamical systems shows serious discrepancies.

The characteristic time, hereafter referred to as  $T_c$  (Llorens et al., 1999), was previously defined to capture the global evolutionary properties of dynamical systems. Based on a geometrical interpretation, the characteristic time provides the average time the system takes to change from one state to another under the action of a perturbation or a persistent variation. For particular linear dynamical systems, whose solution can be expressed as a linear combination of exponentials, the characteristic time corresponds to the average of the inverse of the exponents weighted by the pre-exponentials, real-valued constants that depend on the initial conditions and the matrix entries.

In general, the characteristic time depends on system parameters in a more complex way. In the case studied here, it also depends on the mutation rate. To study this functional dependence this paper is organized from simple to complex models. Perhaps the simplest, though still interesting, system is the error-prone replication in a single peak fitness landscape, where a unique fittest phenotype and its indistinguishable mutants exist. Fortunately, as we will see in Section 2, this model allows a complete analytic treatment and thus, the obtention of an expression for the characteristic times for any initial condition as a function of the mutation rate and the amplification factors. For more complex landscapes, the characteristic times will be numerically computed for different parameter setups in Section 3. Finally, these results are discussed in the last section.

## 2. Characteristic time for a simple replicator system

We start by studying the characteristic time of a population formed by two self-replicative sequences. Fortunately, in this case the characteristic time can be analytically calculated, allowing a complete study of the dependencies with both the system parameters and initial conditions.

Let us assume a dynamic system formed by two species  $I_1$  and  $I_2$ , and let  $x_1(t)$  and  $x_2(t)$  be their population at time  $t$ , respectively.

Without loss of generality we can assume a null death rate for both species. In the absence of any kind of constraint the time evolution of each of the population is given by the following system of ordinary differential equations (ODE):

$$\begin{aligned} \dot{x}_1 &= Q_1 A_1 x_1 + (1 - Q_2) A_2 x_2 \\ \dot{x}_2 &= Q_2 A_2 x_2 + (1 - Q_1) A_1 x_1 \end{aligned} \quad (1)$$

where  $A_1$  and  $A_2$  are the amplification factors of species  $I_1$  and  $I_2$ , respectively, and  $0 \leq Q_1 \leq 1$  and  $0 \leq Q_2 \leq 1$  are their respective quality factors (i.e.  $1 - Q_1$  and  $1 - Q_2$  are the mutation rates for  $I_1$  and  $I_2$ , respectively). Along this section, we will assume  $A_1 \geq A_2$ , so  $I_1$  could be considered as the master copy, and  $I_2$  as the error tail.

A complete description of the time evolution for each variable can be performed in terms of the molar fractions of each sequence. Let us define the molar fraction of the master sequence by

$$y_1 = \frac{x_1}{x_1 + x_2} \quad (2)$$

Note that  $y_1 \in [0, 1]$ , and that the corresponding molar fraction of the sequence  $I_2$  is given by  $y_2 = 1 - y_1$ . It is straightforward to find the differential equation that describes the time evolution of  $y_1$  from the previous Eq. (1)

$$\dot{y}_1 = (1 - Q_2) A_2 y_1 + y_1 (Q_1 A_1 - A_2 - (1 - Q_2) A_2) - y_1^2 (A_1 - A_2) \quad (3)$$

To complete the initial value problem we define the initial condition  $y_1(t=0) = y_1^0$ . Although non-linear, this equation is still solvable, which will allow an analytical evaluation of the characteristic time according with the following definition. The characteristic time to achieve the equilibrium point from a given initial condition  $0 < y_1^0 < 1$  can be computed straightforwardly using the equation

$$T_c = \frac{\int_0^\infty t |\dot{y}_1| dt}{\int_0^\infty |\dot{y}_1| dt} \quad (4)$$

For a complete explanation of the meaning of  $T_c$  see Llorens et al. (1999). According with this definition the characteristic time provides an average time to reach the equilibrium state from any initial condition along the trajectory. Let

$$g(t) = \frac{|\dot{y}_1(t)|}{\int_0^\infty |\dot{y}_1(t)| dt} \quad (5)$$

be the density function obtained from the trajectory of variable  $y_1$  from  $y_0$  at time  $t=0$  to the equilibrium point at  $t = \infty$ . Then, the characteristic time is the first moment of  $g$ , i.e.

$$T_c = \langle t \rangle = \int_0^\infty t g(t) dt \quad (6)$$

Contrary to the time obtained from the inverse of the eigenvalues of the corresponding linearized system that only considers the dynamics on the neighborhood of the equilibrium point, the characteristic time takes into account the complete path from the initial point to the equilibrium point. In this sense, it provides a more accurate measure of the time the system takes to change its current state. However, because its dependence on the initial conditions, in general, it does not define a unique time scale of the system, as given by the inverse of the eigenvalues. Finally, note that the analytic determination of the characteristic time requires the explicit expression of the time evolution of the molar fraction that can only be obtained in simple cases. Nonetheless, as it will be done later, it can be also obtained numerically (or either from empirical data).

The initial value problem associated with Eq. (3) can be solved analytically. If  $y_1(t=0) = y_0$ , the general solution reads

$$y(t) = \frac{\bar{y}_p - \bar{y}_n \left( \frac{y_0 - \bar{y}_p}{y_0 - \bar{y}_n} \right) e^{(\bar{y}_n - \bar{y}_p)t}}{1 - \left( \frac{y_0 - \bar{y}_p}{y_0 - \bar{y}_n} \right) e^{(\bar{y}_n - \bar{y}_p)t}} \quad (7)$$

where  $\bar{y}_p$  and  $\bar{y}_n$  are the equilibrium points of Eq. (3) (for simplicity the subindex 1 of the molar fraction is removed)

$$\bar{y}_{p,n} = \frac{1}{2} \frac{Q_1 A_1 - (1 + \epsilon) A_2 \pm \sqrt{(Q_1 A_1 - (1 + \epsilon) A_2)^2 + 4 \epsilon A_2 (A_1 - A_2)}}{A_1 - A_2} \quad (8)$$

Here,  $\epsilon = 1 - Q_2$  is the mutation rate back from species  $I_2$  to the master copy, i.e. the probability of the production of master copies from its error tail. As it can be easily checked, only the equilibrium point with the positive root  $\bar{y}_p$  is non-negative (assuming  $A_1 - A_2 > 0$ ) and then, has physical meaning. The characteristic time can be analytically evaluated using Eq. (4) for the molar fraction of the master copy to reach the equilibrium point  $\bar{y}_p$

$$T_c = \frac{1}{A_1 - A_2} \frac{1}{\bar{y}_p - y_0} \ln \left( \frac{\bar{y}_p - \bar{y}_n}{y_0 - \bar{y}_n} \right) \quad (9)$$

Fig. 1A shows the characteristic time as a function of the quality factor of the master copy  $Q_1$  and the initial conditions  $y_0$  when the back mutation rate is  $\epsilon = 10^{-2}$  and the amplification factors are  $A_1 = 10$  and  $A_2 = 2$ . As it can be seen,  $T_c$  exhibits a maximum for all values of the initial conditions  $y_0$  at a  $Q$ -value that will be referred as to  $Q_{1m}$  (see also Fig. 1B). The maximum value of  $T_c$ ,  $T_c^{max}$  and  $Q_{1m}$  depend on the initial conditions, as well as the rest of the parameters. Besides,  $T_c^{max}$  depends on the value of  $\epsilon$ . In the limit of negligible back mutation rate, i.e. when  $\epsilon$  tends to 0, then  $T_c^{max}$  tends to infinity at  $Q_{1m} = A_2/A_1$ , the  $Q$ -value that corresponds with the error threshold.

It is worthy to compare the characteristic time  $T_c$  with the time scale given by the inverse of the eigenvalue of Eq. (3) (solid line in Fig. 1B)

$$\tau = \frac{1}{\lambda} = \frac{1}{(A_1 - A_2)(\bar{y}_p - \bar{y}_n)} \quad (10)$$

Obviously,  $\tau$  is independent of the initial conditions and attains its maximum at a  $Q$ -value, named as  $Q_{1c}$ , that is given by

$$Q_{1c} = \frac{A_2}{A_1} (1 + \epsilon) \quad (11)$$

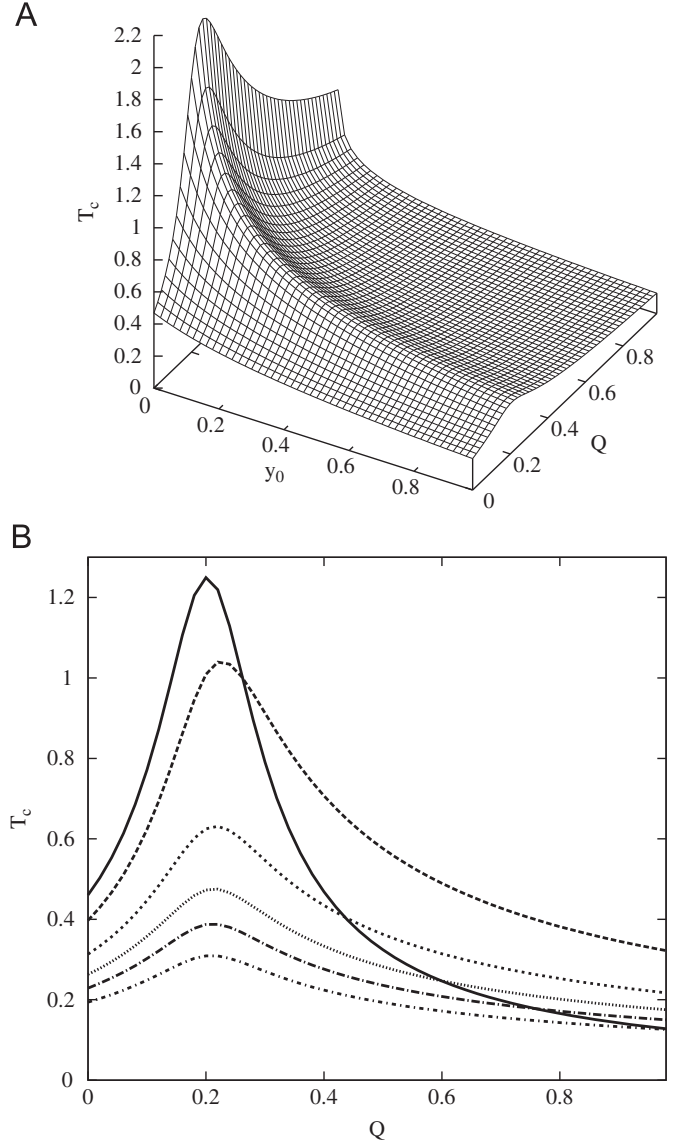
Note that  $Q_{1c} \leq Q_{1m}$  for all initial conditions  $y_0$ . As matter of fact,  $Q_{1m} \rightarrow Q_{1c}$  when  $y_0 \rightarrow 1$ . Moreover, contrary to what occurs with the more complex landscapes we are going to investigate in the next sections, neither  $T_c$  nor  $\tau$  exhibit a minimum for  $Q$ -values larger than  $Q_{1c}$  (i.e. both are monotonous decreasing function of  $Q_1$  in this range). The value of  $T_c$  at  $Q_1 = 1$  is given by

$$T_c(Q_1 = 1) = \frac{1}{A_1 - A_2} \frac{\ln \left( \frac{A_1 - A_2(1 - \epsilon)}{A_1 y_0 - A_2(y_0 - \epsilon)} \right)}{1 - y_0} \quad (12)$$

that tends to the same value as  $\tau$  when  $y_0 \rightarrow 1$  (see Fig. 1B)

$$T_c(Q_1 = 1, y_0 = 1) = \tau(Q_1 = 1) = \frac{1}{A_1 - A_2(1 - \epsilon)} \quad (13)$$

The replicator model we have qualitatively analyzed in this section can be used to measure the characteristic time for several interesting processes that appear in quasispecies theory. In particular, (A) the selection of one of the species in a double peak landscape without mutation (when  $Q_1 = Q_2 = 1$ ), (B) the dynamic of two neutral species with different mutation rates ( $A_1 = A_2$ ), (C) the quasispecies formation from an error-prone replicator



**Fig. 1.** Characteristic time for the fittest species in a model of two replicators. (A) Three dimensional plot of the characteristic time  $T_c$  as a function of the quality factor  $Q_1$  and the initial condition  $y(0) = y_0$ . As can be seen, the surface present a maximum at a point  $Q_{1m}$  for each initial condition  $y_0$ . Both, the maximum value of  $T_c$  and the  $Q$ -value at which it is achieved depend on  $y_0$ . The rest of the parameter values are:  $\epsilon = 0.01$ ,  $A_1 = 10$  and  $A_2 = 2$ . (B) From top to bottom, the curve of the inverse of the eigenvalue,  $\tau$  (solid line), and the characteristic time  $T_c$  as a function of the quality factor  $Q_1$  for the initial condition:  $y_0 = 0.1$ ,  $y_0 = 0.3$ ,  $y_0 = 0.5$ ,  $y_0 = 0.7$ , and  $y_0 = 1$ . The rest of the parameter values are as in (A).

without back mutations in a single peak landscape (when  $Q_2 = 1$  and  $Q_1 > Q_{1c}$ ), and (D) the displacement of the master copy by the error tail beyond the error threshold ( $Q_2 = 1$  and  $Q_1 < Q_{1c}$ ). The explicit expressions of the characteristic time for all these cases as well as for the general problem (E) are summarized in Table 1.

### 3. Characteristic time for the evolution in more complex fitness landscape

In the previous section we have treated a case that is analytically solvable, but real cases respond to more complex fitness landscapes, whose study requires numerical solutions. This section examines the characteristic time ( $T_c$ ) of a population of replicators that evolve in different fitness landscapes of increasing complexity.

**Table 1**  
 Characteristic time ( $T_c$ ) for a quasispecies model of two replicators with different assumptions in relation with the value of both the mutation and the amplification factor matrix (A, B, C and D), as described in the text (Section 2). (A)  $T_c$  depends not only on the difference between the amplification factors but also on the initial conditions. (B)  $T_c$  corresponds to the inverse of the eigenvalue of the linear Eq. (1). It is a decreasing function of both  $Q_1$  and  $Q_2$ . (C)  $T_c$  tends to infinity when  $Q_1$  tends to  $Q_c$  from above. It is a decreasing function of  $Q_1$  and tends to  $1/(A_1 - A_2)$  as  $Q_1$  approaches 1. (D)  $T_c$  tends to infinity when  $Q_1$  tends to  $Q_c$  from below. It is an increasing function of  $Q_1$ . (E)  $T_c$  reaches a finite maximum value at  $Q_{1m}$  that depends on  $y_0$ . Besides, it is a decreasing function of  $Q_1$  for  $Q > Q_{1m}$ .

Case	Assumptions	Initial value problem for molar fraction $y$	Characteristic time ( $T_c$ )
(A)	$Q_1 = Q_2 = 1$ $A_1 > A_2$	$\dot{y} = (A_1 - A_2)y(1 - y)$ $y(0) = y_0$	$T_c = \frac{1}{A_1 - A_2} \ln \frac{y_0}{y_0 - 1}$
(B)	$A_1 = A_2 = A$	$\dot{y} = (1 - Q_2) + y(Q_1 + Q_2 - 2)$ $y(0) = y_0$	$T_c = \frac{1}{A} \frac{1}{2 - Q_1 - Q_2}$
(C)	$Q_2 = 1$ $A_1 > A_2$ $Q_1 > (A_2/A_1) = Q_c$	$\dot{y} = (A_1 - A_2)y \left( \frac{Q_1 A_1 - A_2}{A_1 - A_2} - y \right)$ $y(0) = 1$	$T_c = -\frac{\ln \left( \frac{Q_1 A_1 - A_2}{A_1 - A_2} \right)}{A_1(Q_1 - 1)}$
(D)	$Q_2 = 1$ $A_1 > A_2$ $Q_1 < (A_2/A_1) = Q_c$	$\dot{y} = (A_1 - A_2)y \left( \frac{Q_1 A_1 - A_2}{A_1 - A_2} - y \right)$ $y(0) = 1$	$T_c = -\frac{1}{A_1 - A_2} \ln \left( \frac{Q_1 A_1 - A_2}{A_1(Q_1 - 1)} \right)$
(E)	$A_1 > A_2$	$\dot{y} = (1 - Q_1)A_2 + y(Q_1 A_1 - A_2 - (1 - Q_2)A_2) - y^2(A_1 - A_2)$	$T_c = \frac{1}{A_1 - A_2} \frac{1}{y_p - y_0} \ln \left( \frac{y_p - y_n}{y_0 - y_n} \right)$

3.1. A two peak separated by a neutral valley fitness landscape

Let us assume a mutation matrix  $Q = [Q_{ij}]$  that results from considering a classification of the sequence space according to the Hamming distance to the master copy  $I_1$ . We consider a landscape formed by two peaks separated by a degenerate valley. The two master copies  $I_1$  and  $I_2$  are the two complementary bit string, i.e. those formed by all 0 and all 1 digits, respectively. Their amplification factors are  $A_1$  and  $A_2$ , respectively. The rest of the sequences have the same amplification factors,  $A_e$ . It is assumed that  $A_2 > A_1 > A_e$ .

The probability that a sequence of the Hamming class  $l$ ,  $I_l$ , gives rise to a sequence of the Hamming class  $k$ ,  $I_k$ , is given by Nowak and Schuster (1989)

$$Q_{k,l} = \sum_{i=l-v+k}^{\min(k,l)} \binom{k}{i} \binom{v-k}{l-i} q^v \left( \frac{1-q}{q} \right)^{k+l-2i} \tag{14}$$

where  $v$  is the length of the sequences and  $q$  is the quality factor per digit. Since we are considering binary sequences, the total number of different sequences in the population is  $2^v$  and they are grouped into  $v+1$  Hamming classes.

The time evolution of the concentration of the Hamming class  $I_j$ ,  $x_j$ , is described by

$$\frac{dx_j}{dt} = A_j Q_{jj} x_j + \sum_{k \neq j} A_k Q_{jk} x_k \tag{15}$$

The corresponding differential equation for the molar fraction

$$y_j = \frac{x_j}{\sum_i x_i}$$

is

$$\frac{dy_j}{dt} = y_j \left( A_j Q_{jj} - \sum_i A_i y_i \right) + \sum_{k \neq j} A_k Q_{jk} y_k \tag{16}$$

If the quality factor  $q$  is large enough, this system has a unique steady state formed by a distribution of sequences that surrounds the fittest sequence  $I_2$  (Nowak and Schuster, 1989). Our intention is to compute the characteristic time for the molar fraction of the copy  $I_2$  to achieve the steady state from an initial population formed exclusively by copies of species  $I_1$ . That is, we assume that  $y_1(t=0) = 1$ .

The numerical integration of the ODE system was performed by a Runge–Kutta method provided by the MATLAB platform. The stop control was set to keep the difference between successive steps of variable  $I_2$  at less than  $10^{-4}$  during 200 steps. The characteristic time was then calculated, as described in Llorens et al. (1999), by computing the quotient between the area, computed by the classical trapezoid rule, over the trajectory of  $I_2$  and below the horizontal asymptote that corresponds to its stationary value and the height of this asymptote. Fig. 2 shows the dependence of the  $T_c$  on the  $Q$  value for different  $v$  values (10, 20, and 50). This characteristic time  $T_c$  varies with the quality factor per sequence  $Q = q^v$  in a different way as described in the previous section. When  $q$  tends to 1, then  $T_c$  rises to infinity. In addition,  $T_c$  exhibits a unique maximum at a  $Q$ -value that, as before, will be referred to as  $Q_m$ . Between these two values,  $Q = Q_m$  and  $Q = 1$ , can be found a value of  $Q$ , referred to as  $Q_{op}$ , where the characteristic time reaches a local minimum (characterized by a null first derivative). The dependence of both  $Q_{op}$  and  $T_c$  at  $Q_{op}$  on the value  $A_2$  and  $A_1$  is shown in Fig. 3 for  $v = 10$ . In all cases,  $A_1 = 4$  and  $A_e = 1$ , whereas  $A_2$  takes the values: 10, 20, 40, 80, 100, 200, 300, and 400. As can be observed, as  $A_2$  is increased  $T_c(Q_{op})$  decreases. However, the functional dependence of  $Q_{op}$  on  $A_2$  is more complex, exhibiting a maximum value at an intermediate value of  $A_2$ .

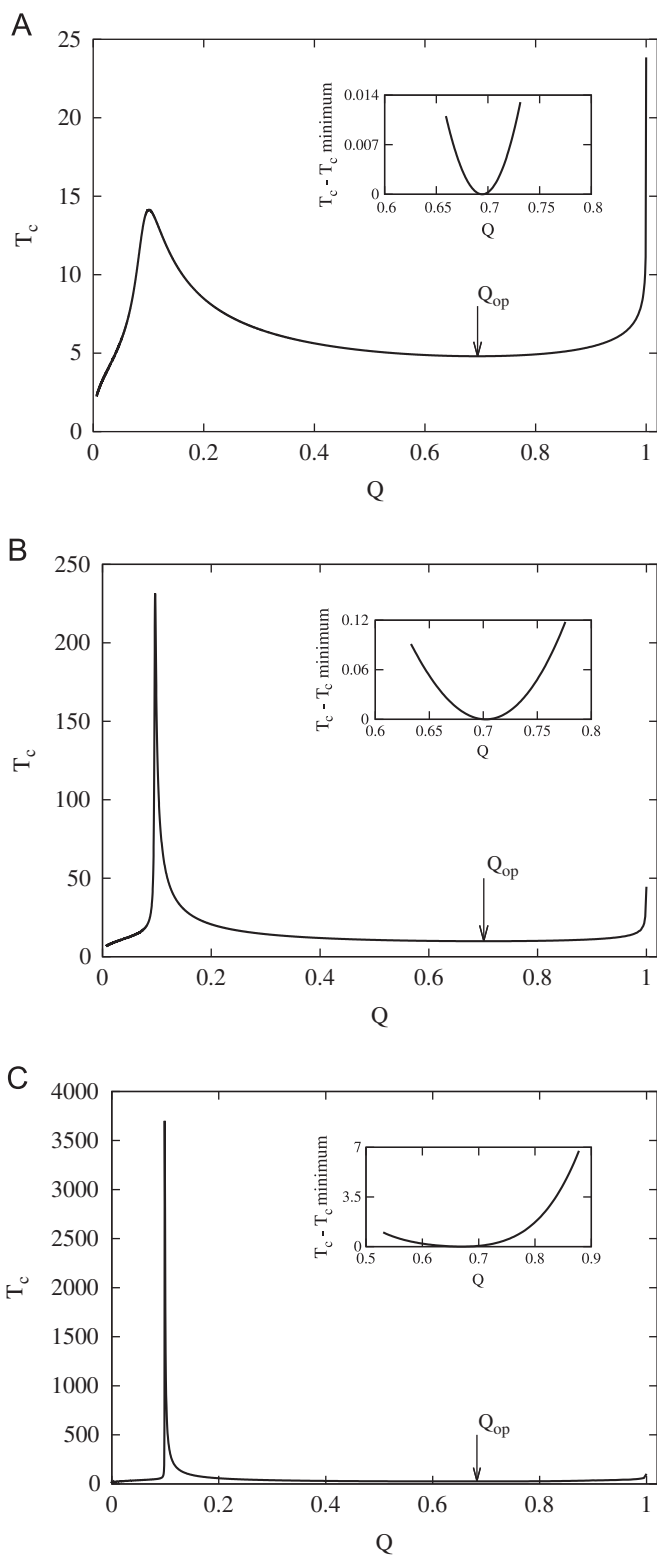
3.2. Multiplicative fitness with two peaks

Multiplicative fitness landscapes have been largely used in the framework of quasispecies theory (Woodcock and Higgs, 1996). In this section, we compute the characteristic time for the evolution of the fittest sequence in the multiplicative fitness defined as follows. The amplification factor of each sequence at a Hamming distance  $i$  from the sequence  $I_0$  whose digits are all 0 is given by

$$A_i = \left( \frac{i(1-s)^{(v-i)}}{v} \right) 9 + 1 \quad i = 1, \dots, v$$

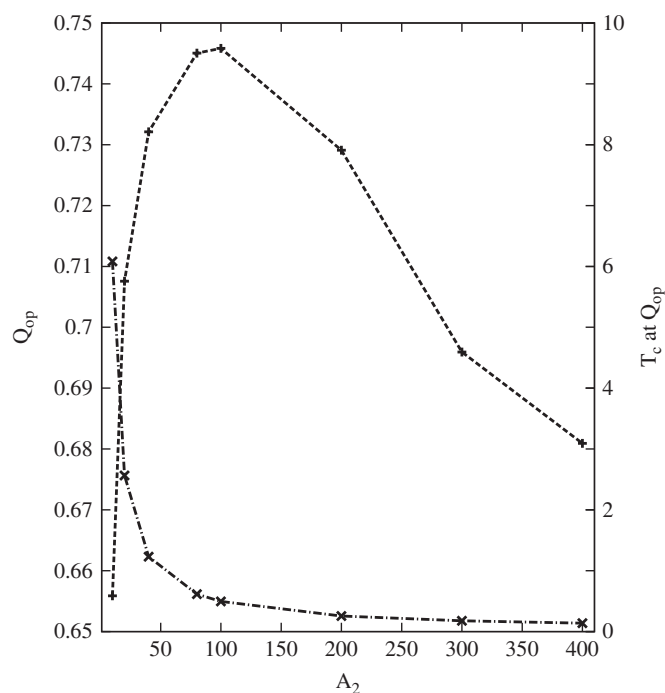
$$A_0 = 2 \tag{17}$$

where  $v$  is the chain length and  $s \in [0, 1]$  allows to tune the effect that each mutation has on the fitness. In this way, the sequence whose digits are all 1, referred as  $I_v$ , has an amplification factor  $A_v = 10$ . Note that, for the special case  $s = 1$ , this fitness landscape



**Fig. 2.** Characteristic time of  $I_2$  in a double peak with a neutral valley as a function of the quality factors per sequence  $Q$  ( $Q=q^v$ ) for several values of sequence lengths  $v$ : (A)  $v=10$ , (B)  $v=20$ , and (C)  $v=50$ . In all cases,  $A_1=2$ ,  $A_e=1$ , and  $A_2=10$ . The insets clearly show the existence of the local minimum at  $Q_{op}$ .

reduces to the degenerate fitness landscape assumed in the previous section (where all the sequences between the  $I_0$  and  $I_v$  are assigned the same amplification factor, 1). As the value of the parameter  $s$  decreases the change of the amplification factor tends to be linear with the Hamming distance  $i$  (Fig. 4, inset A).



**Fig. 3.**  $Q_{op}$  (dashed line) and the characteristic time of  $I_2$  at  $Q_{op}$  (dashed-dotted line) versus  $A_2$  for a double peak with a neutral valley. In all cases,  $A_1=4$  and  $A_e=1$ .

Fig. 4 shows the dependence of the  $T_c$  on  $Q$  for different multiplicative fitness landscapes and  $v=20$ . Using Eqs. (14) and (16), we determine the ODE systems that govern the time evolution of each species. These systems are numerically solved and then, the characteristic time  $T_c$  for  $I_v$ , is estimated (all calculations are performed in MATLAB) (Fig. 4). As it can be seen, for the values of  $s$  larger than 0.3,  $T_c$  exhibits two maxima, one for  $Q=1$  and the other for a low  $Q$ -value (approx. 0.1), and, as a consequence, a minimum at  $Q_{op}$ .

The insets B, C and D depict the classical figure of equilibrium population of the different Hamming classes (Schuster and Swetina, 1988) for the values  $s=0.3, 0.6$  and  $1$ , respectively. Note that only for large values of  $s$ , e.g.  $s=0.6$  and  $s=1$ , the steady populations change abruptly for a  $Q$ -value around 0.1, below which all sequences are equally populated. This  $Q$ -value corresponds to the error threshold,  $Q_c$ . It is also worthy to remark that the value of  $Q_c$  estimated in these cases  $s=0.6$  and  $s=1$  approximately coincides with the  $Q$ -value for which  $T_c$  reaches its largest value ( $Q_m$ ).

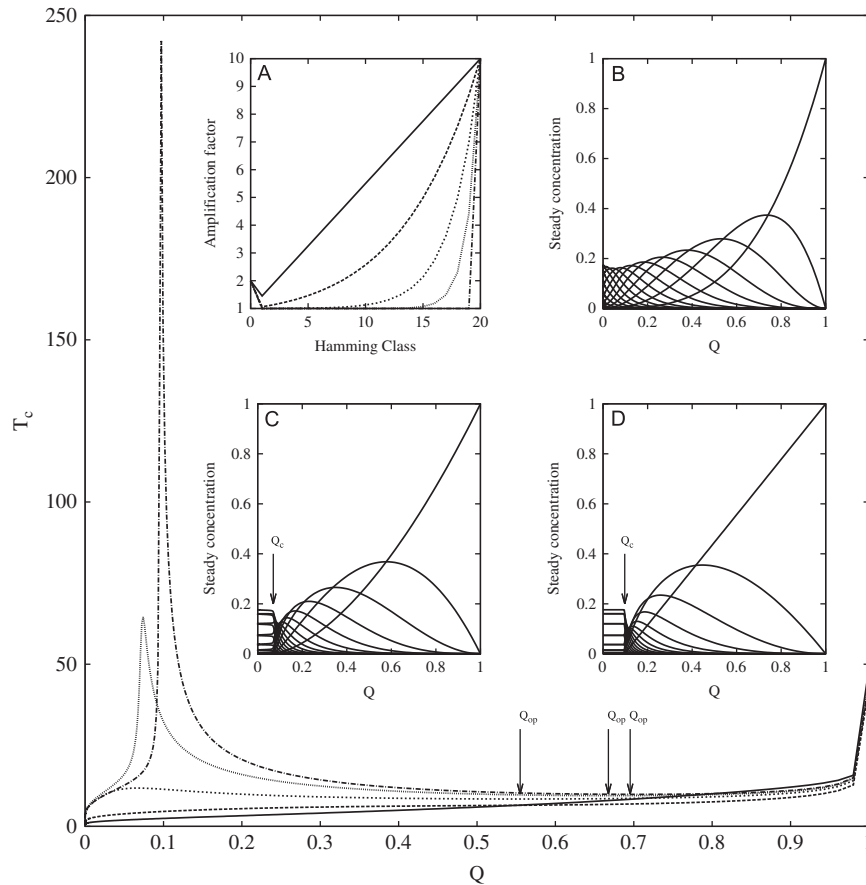
### 3.3. Binary rugged fitness landscape

Real fitness landscapes are rugged (Kauffman and Levin, 1987; Kauffman and Johnsen, 1991; Schuster, 1997). In order to rise the ruggedness of the fitness landscape, let us now consider a population of binary sequences  $I_k$ ,  $k=1, \dots, 2^v$  whose fitness is related to the natural number codified in the sequence. Specifically, let us assign to sequence  $I_k$  the amplification factor

$$A_k = \left( \frac{N_k + 1}{2^v} \right)^p \quad (18)$$

Here,  $N_k$  represents the natural number codified by  $I_k$  and  $p$  is an arbitrary positive natural number. This landscape is similar to that described in Nuño et al. to study adaptive evolution of replicators (Nuño et al., 2010). Note that with this definition  $1/2^v \leq A_k \leq 1$  for all  $k=1, \dots, 2^v$ . The largest amplification factor  $A=1$  corresponds to the sequence whose digits are all 1. The parameter  $p$  determines the steepness of the landscape, i.e. as the





**Fig. 4.** Characteristic time of the fittest sequence in a multiplicative fitness landscape as a function of the quality factor per sequence  $Q$  for different values of  $s$  (from top to bottom  $s = 1, 0.6, 0.3, 0.1$  and  $0$ , respectively). Inset (A) fitness landscapes for different values of  $s$  (from top to bottom  $s = 0, 0.1, 0.3, 0.6$  and  $1$ , respectively). In insets (B), (C) and (D) steady concentrations as a function of  $Q$  for  $s$  equal to  $0.3, 0.6$  and  $1$ , respectively. In all cases  $v = 20$ .

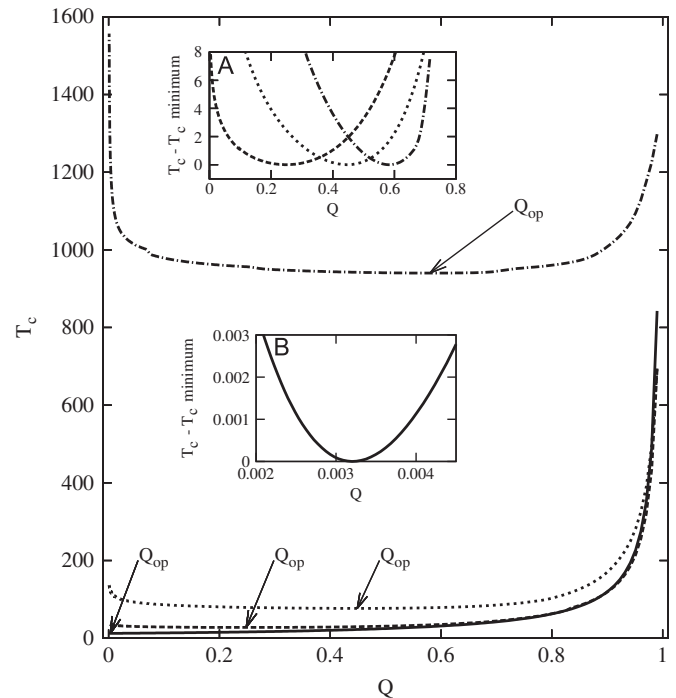
$p$  value increases, the fitness landscape approaches a single peak landscape. In contrast to the fitness landscape studied in the previous section, different amplification factors now exist within the same Hamming class sequences. This fact prevents a simplification of the mutation matrix similar to that used in Eq. (14). The probability of obtaining the sequence  $I_i$  during the error-prone self-replication of the sequence  $I_j$  is now calculated from the general formula

$$Q_{ij} = (1-q)^n q^{v-n} \quad (19)$$

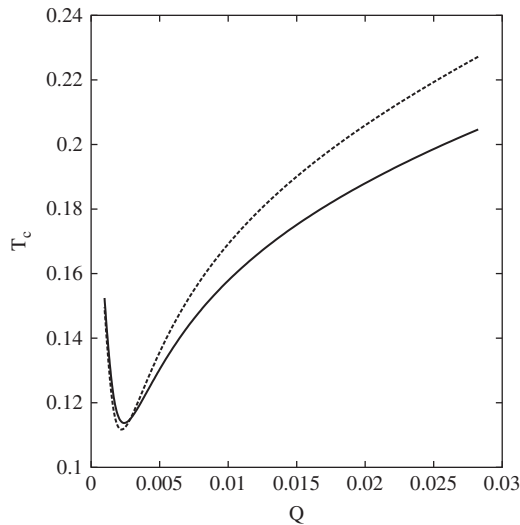
where  $n$  is the number of digits in which both sequences differ. This fitness landscape is rugged since sequences that differ in, for instance, only one digit can possess very different amplification factors, that is to say, they depend critically on the position of the digits.

The dynamics of the molar fraction of each sequence of the population is also governed by Eq. (16). The simulations were performed using the same algorithm in a MATLAB platform as described in Section 3.1. In all cases, a sequence length  $v = 10$  was used. Thus, in this case, the dynamical system represented by Eq. (16) has 1024 ordinary differential equations and, each time, the variables satisfy  $\sum_k y_k(t) = 1$ . As in the previous section, initially all the population is formed by sequences of 0, i.e.  $y_0 = 1$ , and whose amplification factor is  $A_0 = (1/2^{10})^p$ . The system (16) was numerically solved for several values of  $q$ , ranging from  $0.5$  to  $1$ , and for each value we computed the characteristic time associated with the molar fraction of the fittest sequence.

The results are shown in Fig. 5 for several values of the exponent  $p$ . As can be seen, the more relevant facts that appear in double peak landscape are reproduced. In particular, a value of  $Q$  at which the value of  $T_c$  attains its minimum is observed. As the



**Fig. 5.** The characteristic time for the evolution of  $I_{1024}$  as a function of the quality factor per sequence  $Q$  in a binary rugged fitness landscape. The parameter  $p$  is:  $10$  (dashed-dotted line),  $4$  (dotted line),  $2$  (dashed line), and  $1$  (solid line). Inset (A) shows the existence of the local minimum at  $Q_{op}$  for the values of  $p$   $10, 4$  and  $2$ . Inset (B) shows the local minimum at  $Q_{op}$  for  $p=1$ .



**Fig. 6.** The characteristic time for the evolution of fittest sequence as a function of the quality factor per sequence  $Q$  in the NK fitness landscape. for  $N=10$  and  $K=2$  (solid line) and  $K=3$  (dashed line). Note the scale use in the  $Q$ -axis:  $1/2^v < Q < 0.03$ .

value of  $p$  increases, the  $T_c$  value increases. This is a straightforward consequence of the fact that the average productivity of the populations decreases with  $p$  at any  $q$  value. Moreover, the  $Q_{op}$ -value also increases with  $p$ . This fact does not have an evident explanation since as  $p$  increases the average productivity of the population decreases, while the apparent superiority of the fittest sequence increases. So, the compromise between the searching time and fixation time must vary.

#### 3.4. NK fitness landscape

The NK model was introduced by Stuart Kauffman in the beginning of the nineties to study coevolution in simple organisms (Kauffman and Weinberger, 1989; Kauffman and Johnsen, 1991). Here,  $N$  corresponds to the chain length  $v$ , i.e. the number of positions of each sequence, whereas  $K$  ( $0 < K < N$ ), represents the number of positions that influence on the contribution of a given position to the sequences fitness. This model generates fitness landscapes whose ruggedness increases with  $K$ .

In the classical version, the  $K$  positions that are related to each position are randomly assigned. Therefore, each position can provide  $2^{K+1}$  values of fitness, that are computed at random, depending on its actual state and the state of the  $K$  positions that interact with it. The fitness of each species (sequence) is obtained from the average of the fitness of its  $N$  digits. As a consequence of these “long distance” interactions among the positions, sequences that belong to the same Hamming class could have very different fitness values, giving rise to rugged fitness landscapes.

Fig. 6 depicts the dependence of the characteristic time  $T_c$  on the quality factor  $Q$  in two NK fitness landscapes for  $N=10$  and  $K=2$  and  $K=3$ . The population dynamics (as in the previous section, there are 1024 sequences) is again driven by Eq. (16), with the entries of the mutation matrix given by Eq. (19). As can be seen, in both cases the characteristic time  $T_c$  exhibits a local minimum at  $Q$ -values close to the natural error threshold (located at  $Q = 1/2^v$ ), respectively,  $Q_{op} = 0.0024$  for  $K=2$  and  $Q_{op} = 0.0022$  for  $K=3$ .

## 4. Discussion

In this paper, we have studied the dependence of the characteristic time on the mutation rate for different fitness

landscapes. It is expected that, as a consequence of the trade-off between the searching process inherent to any error-prone system and the rate of fixation of new fitter mutants a value of  $Q$  at which the evolutionary time is minimum must exist. This opens interesting questions in evolutionary theory (Stich et al., 2007, 2010a,b; Stich and Manrubia, 2011).

The problem of evaluating this time is enormous. On the one hand, controlling transient events in complex systems is extremely difficult. On the other hand, ensuring that the system achieves a new stationary state is also risky. The time scale in continuous dynamical systems has been defined as the inverse of the module of the largest eigenvalue or, in some cases, as the inverse of the module of the difference between the two largest eigenvalues (Nowak and Schuster, 1989). However, its validity must be reconsidered since these definitions provide bad estimations even in simple linear dynamical systems since they only yield information about the approximation to a final state from its vicinity, and they do not take into account the initial conditions of the system. The characteristic time, on the contrary, takes into account the complete trajectory of the system, from an initial condition to the final stationary state. Therefore, the characteristic time is an average time and so, like any other average, can hide information relevant to the study of the system, such as the variation of the higher order moments. We are currently investigating the possibility of decomposing the characteristic time in different contributions (for example, searching time and fixation time) to avoid this limitation.

In Section 2, we have studied a population formed by two replicators with non-null back mutation rate and an explicit solution for  $T_c$  has been obtained Eq. (9). This analysis has provided the functional dependence of the characteristic time on the mutation rate and amplification factors for different initial conditions.  $T_c$  depends inversely on the selection coefficient of species  $I_1$  over species  $I_2$ ,  $s = A_1 - A_2$ , a result similar to that obtained by Johnson (1999) using a completely different approach. Contrary to the time obtained from the inverse of the eigenvalue,  $T_c$  also depends on the initial condition  $y_0$ . Naturally, as the initial condition is closer to the equilibrium point,  $T_c$  approaches the time provided by the inverse of the eigenvalue (Fig. 1B). When  $T_c$  is plotted as a function of the quality factor  $Q$  it exhibits a global maximum at a  $Q$ -value,  $Q_{1m}$ , that depends on  $y_0$  and that tends to the error threshold  $Q_c$  as  $y_0$  tends to 1.

Unfortunately, analytical solutions can only be found for simple systems. Notwithstanding this, although analytical expressions cannot be obtained in more complex systems, a numerical approach can be used. The characteristic time can be numerically obtained as its computation only requires knowledge of the trajectory, without an explicit definition of the dynamical system. In other words, the characteristic time can be applied in a semiempirical approach to study evolutionary processes described by arbitrary complex models, if a numerical solution is provided. Using this approach we have studied the dynamics of adaptation in some more complex systems in Section 3.

It is well known that, independently of the fitness landscape, an equal distribution of the sequences of the population in the equilibrium of selection is obtained for  $Q = 1/2^v$ . For some fitness landscapes this equidistribution of sequences occurs for a  $Q > 1/2^v$ . This  $Q$ -value is usually called error threshold and denoted as  $Q_c$ . As can be deduced from the results obtained in this paper, in the situations when an error threshold exists, e.g. the single-peak landscape and the multiplicative landscape for high enough values of  $s$ , the characteristic time  $T_c$  always exhibits a local maximum at a  $Q$ -value  $Q_m$  close to  $Q_c$ . In those cases where there is not evidence of the existence of an error catastrophe,  $T_c$  can present or not a largest value at  $Q = 1/2^v$ . As a matter of fact, while in the multiplicative fitness studied in Section 3.2 for low

values of  $s$ ,  $T_c$  does not exhibit a maximum, this local maximum of  $T_c$  appears in the rugged fitness landscapes used in Sections 3.3 and 3.4.

When a second maximum of  $T_c$  appears as  $Q$  tends to 1, e.g. in fitness landscapes with more than one peak, a lowest value of the characteristic time is attained at  $Q_{op}$ , in between  $Q_m$  and  $Q=1$ . This lowest value depends on the fitness landscape and, in particular, on the size of the sequence and on the superiority of the master phenotype over the rest of the phenotypes (see Fig. 3). In the NK fitness landscape this minimum occurs very near the natural limit  $Q = 1/2^N$  and then its relevance for evolution is questioned since the concentration of the fittest copy is very low. In the other cases, however, the minimum is achieved at larger values of  $Q$ .

Note that, despite the flat appearance of the curve of  $T_c$  as a function of  $Q$  in the neighborhood of  $Q_{op}$  (Fig. 2), small differences in the value of  $T_c$  could have strong consequences on the competitive dynamics of populations (as occurs with the tiny difference in the amplification factors). The question of how natural selection magnifies these small differences in finite size populations is currently under study.

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