

# Analytical Evaluation of Uncertainty on Active Antenna Arrays

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**An analytical method for evaluating the uncertainty of the performance of active antenna arrays in the whole spatial spectrum is presented. Since array processing algorithms based on spatial reference are widely used to track moving targets, it is essential to be aware of the impact of the uncertainty sources on the antenna response. Furthermore, the estimation of the direction of arrival (DOA) depends on the array uncertainty. The aim of the uncertainties analysis is to provide an exhaustive characterization of the behavior of the active antenna array associated with its main uncertainty sources. The result of this analysis helps to select the proper calibration technique to be implemented. An illustrative example for a triangular antenna array used for satellite tracking is presented showing the suitability of the proposed method to carry out an efficient characterization of an active antenna array.**

## I. INTRODUCTION

There are a number of uncertainty sources that make the actual performance of the antenna array differ from theory. Mechanical errors during the manufacturing process, temperature drift, components ageing will produce phase and amplitude errors, and mutual coupling, that will have an impact on the response of the antenna array, not only in the pointing direction but also in the sidelobe levels.

The characterization of the active antenna array response is a key issue in the implementation of array processing algorithms, especially if these antennas are designed to track moving targets as low Earth orbit (LEO) satellites. The a priori knowledge of the antenna array response brings a number of advantages to the system designer.

Several robust direction finding algorithms which perform well even in the presence of the uncertainties require a signal model that includes the uncertainty sources. There are many methods which safeguard against the worst case disturbances but make no assumption on the statistical nature of the errors to address the problem [1]. Other authors use methods to model the disturbance signals as random processes and apply the linear quadratic regulator or the Kalman filter [2].

Significant efforts have been made in order to improve the array response by selecting the most suitable methodology that minimizes the impact of the error sources. In the literature there is a large discussion on the analysis and compensation of the sensor location and phase errors, suggesting a wide range of methods to deal with them. In [3] and [4], authors use the Cramer-Rao inequality as a lower bound on the estimation errors of the unknown parameters, and explore the use of both far-field and near-field unknown sources in a 2D scenario. There are techniques used to predict the array response in [5], and other authors propose to compensate those error sources by using different techniques such as phase-multilateration [6], MUSIC-based eigenstructure [7, 8], and far field compensating reference sources [9] to find a 3D problem solution from the measured array response and the estimated array response.

It is clear that the imprecise knowledge of the active antenna uncertainty sources seriously degrades the system performance, so that the presence of uncertainties must be considered. Thus, a systematic analysis of the impact of random uncertainties on antenna array performance would help to make the proper choice about the calibration technique to be implemented and its requirements [10]. Another important issue is the sensitivity analysis of the array response to different uncertainty sources. Preceding works on sensitivity analysis deal with the problem only in the direction of the source applied

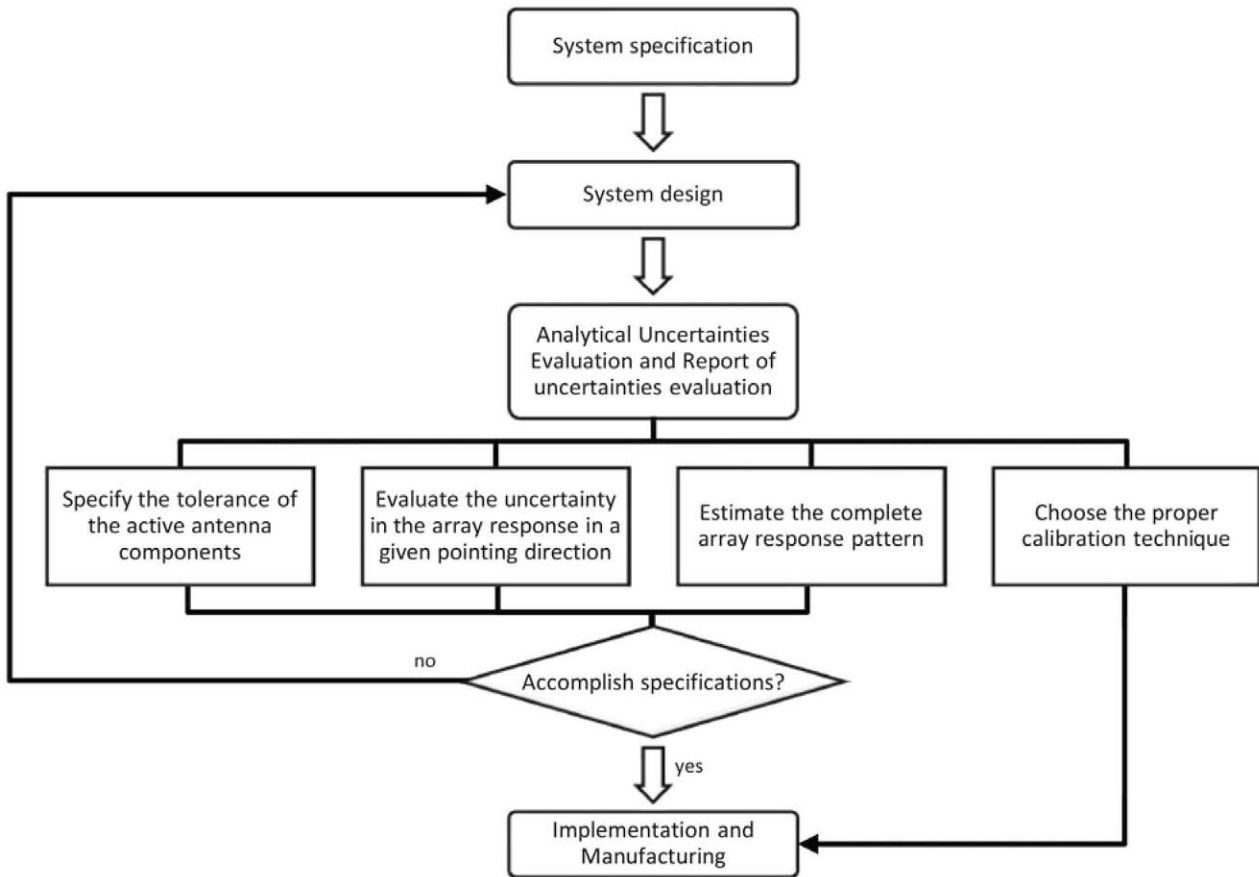


Fig. 1. Flowchart of uncertainties impact analysis.

to the MUSIC algorithm [11] and to the maximum likelihood algorithm [12]. Other authors make use of extensive Monte-Carlo simulation to analyze the combined effect of the uncertainties [13] and to perform sensitivity analysis for direction-of-arrival (DOA) estimation [14].

In this work we quantify the losses of the active array response spectrum based on a novel technique by modeling uncertainty sources using manufacturing, measurements, and statistical information. An analytical method has been developed for evaluating the effect of the uncertainties on the response of active antenna arrays. The proposed analytical method is capable of figuring out the performance of the array in any spatial direction. The knowledge of the complete array pattern is an advantage to evaluate the impact of uncertainties in sidelobe levels, e.g. to evaluate if an antenna array fulfils the pattern mask for GEO communications.

Analytical expressions to evaluate the sensitivity of the antenna array response to the uncertainty sources are derived from the proposed methodology. These expressions are valid for any antenna array geometry.

The results of the presented method are useful for the system designer to evaluate the performance

of active antenna arrays, and gives information about the required tolerance and specification of the active antenna components such as amplifiers, phase shifters, couplers, etc. The a priori knowledge of component specifications can be used to select the most appropriate components and achieve a significant cost reduction in prototyping and mass market applications.

According to the discussed above, the presented analytical method for uncertainty evaluation can be applied to

- 1) evaluate the uncertainty in the array response in a given pointing direction,
- 2) choose the proper calibration technique,
- 3) estimate the complete array response pattern,
- 4) specify the tolerance of the active antenna components.

A guideline for the proposed analytical method for uncertainty evaluation is presented in the flowchart depicted in Fig. 1.

The paper is organized as follows. Section II introduces the signal model used in the derivation of the analytical method. Section III presents the uncertainty model and the analytical method to evaluate the impact of uncertainties on active antenna arrays. In Section IV several numerical examples

are exposed to clarify the applications and show the advantages of the proposed analytical evaluation method. Finally, Section V draws the conclusions of this paper.

## II. SIGNAL MODEL

In order to expand the analytical method some assumptions must be imposed. Since this work is focused on the analysis of a generic active array, the problem has a 3-dimensional formulation and also assumes the validity of the narrowband approximation and the fact that the number of sensors is higher than the number of sources.

In the scenario under study, we consider an uncalibrated array of  $M$  antenna elements in the presence of  $N$  narrowband signals, and  $N < M$ . As an extension to the model proposed in [15] the array output signal vector  $\underline{x}(t)$  can be expressed as

$$\underline{x}(t) = C G \odot A \underline{s}(t) + \underline{n}(t) \quad (1)$$

where  $\odot$  represents the Hadamard product,  $C$  is an  $M \times M$  matrix that represents the mutual coupling matrix,  $\underline{s}(t)$  is the  $N \times 1$  vector of impinging signals, and  $\underline{n}(t)$  is the  $M \times 1$  vector of uncorrelated additive white Gaussian noise sources.

$G$  is an  $M \times N$  matrix whose  $n$ th column represents the gain  $g$  and phase  $\phi$  of the array elements in the direction of the  $n$ th source  $(\theta_n, \varphi_n)$  as  $\underline{g}(\theta_n, \varphi_n) e^{j\psi(\theta_n, \varphi_n)}$ . Matrix  $A$  in (1) is an  $M \times N$  matrix whose  $n$ th column  $\underline{a}_n$  represents the ideal steering vector of the array in the direction of the  $n$ th source as  $\underline{a}_n = e^{-j(2\pi/\lambda)\bar{r}\hat{r}'_n}$ , where  $\bar{r}$  is the array location matrix,  $\hat{r}'_n$  is the unitary direction vector of the  $n$ th source,  $\hat{r}'_n = [(\sin\theta_n \cos\varphi_n \quad \sin\theta_n \sin\varphi_n \quad \cos\varphi_n)]^T$ , and  $\lambda$  is the wavelength.

In order to include uncertainty errors, (1) can be rewritten as

$$\underline{x}(t) = C(G + \Delta G) \odot (A + \Delta A) \cdot \underline{s}(t) + \underline{n}(t) \quad (2)$$

where  $\Delta G$  and  $\Delta A$  are  $M \times M$  matrices that represent the array gain and phase uncertainty matrix and the sensor location uncertainty matrix, respectively. This model can be extended to include the impact of RF uncertainty sources in the  $M$  array branches due to the presence of analog components such as amplifiers, phase shifters, etc., as

$$\underline{x}(t) = C(G_{\text{RF}} + \Delta G_{\text{RF}})(G + \Delta G) \odot (A + \Delta A) \cdot \underline{s}(t) + \underline{n}(t) \quad (3)$$

where  $G_{\text{RF}}, \Delta G_{\text{RF}}$  are a  $M \times M$  diagonal matrices whose complex elements represent the gain of the RF circuit and the effect of the gain and phase uncertainty sources due to the active antenna array components.

The aim of the uncertainties analysis is to provide a complete characterization of the active antenna array

response associated with its main uncertainty sources in any spatial direction  $(\theta, \varphi)$ .

In general the array manifold of the array in the direction  $(\theta, \varphi)$  can be obtained expressing  $\underline{G}$  and  $\underline{A}$  as  $M \times 1$  vectors representing the ideal pattern of the array elements and the ideal steering vectors, respectively, with  $\underline{\Delta G}$  and  $\underline{\Delta A}$  being their deviations due to the presence of uncertainties. The array manifold  $\underline{M}(\theta, \varphi)$  can be expressed as

$$\begin{aligned} \underline{M}(\theta, \varphi) &= C(G_{\text{RF}} + \Delta G_{\text{RF}})(\underline{G}(\theta, \varphi) + \underline{\Delta G}(\theta, \varphi)) \\ &\odot (\underline{A}(\theta, \varphi) + \underline{\Delta A}(\theta, \varphi)). \end{aligned} \quad (4)$$

Finally, the beamformed array pattern can be written in the presence of the uncertainty sources as

$$\underline{Y}(\theta, \varphi) = \underline{W}^H \underline{M}(\theta, \varphi) \quad (5)$$

where  $\underline{W}$  is an  $M \times 1$  vector whose  $m$ th term represents the complex beamforming weight for the  $m$ th antenna element and  $(\cdot)^H$  is the Hermitian operator.  $\underline{W}$  is calculated to synthesize an antenna pattern that satisfies an optimization criterion, such as tracking of moving targets, cancellation of interference sources, etc. In the case of beamsteering to a specified target in direction  $(\theta, \varphi)$ ,  $\underline{W}$  can be expressed as

$$\underline{W} = \exp[-j(2\pi/\lambda)\bar{r}\hat{r}'_n(\theta, \varphi) - \underline{\psi}(\theta, \varphi) - \underline{\Delta\psi}_{\text{RF}}] \quad (6)$$

where  $\underline{\Delta\psi}_{\text{RF}}$  represents the phase deviation vector in the array branches due to the active and phase shifter components.

In the case where no errors due to uncertainties are present, the expression for the ideal beamformed array pattern  $Y_o(\theta, \varphi)$ , can be rewritten as

$$\begin{aligned} Y_o(\theta, \varphi) &= \underline{W}_o^H (C G_{\text{RF}} \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)) \\ &= \underline{W}_o^H \underline{M}_o(\theta, \varphi). \end{aligned} \quad (7)$$

## III. ANALYTICAL MODEL FOR UNCERTAINTY EVALUATION

In this section we formulate the uncertainty problem about the difference of the expected (ideal) array pattern response and the array pattern response with uncertainty sources. We start with the theoretical formulation for the uncertainty sources and its influences on the measured or modeled output quantity of the antenna array under evaluation. The output quantity  $Y$  is related to the measurement result  $y$  through its combined standard uncertainty  $u_c(y)$  with a confidence interval as [16]

$$Y = y \pm u_c(y). \quad (8)$$

Note that in many practical measurement situations the measurand  $Y$  is not obtained directly, but can be generally obtained from  $L$  input quantities through a relation, and the estimation of the output quantity  $y$  is

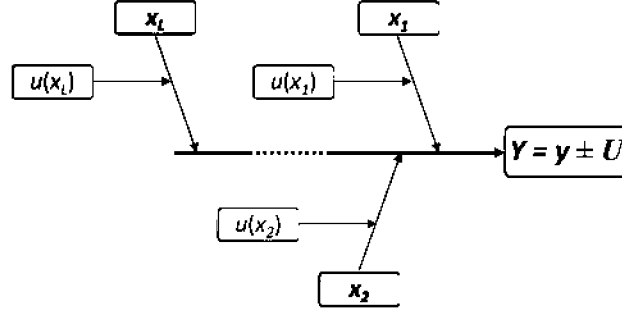


Fig. 2. Ishikawa diagram for output quantity.

related to  $L$  component magnitudes  $x_l$  which represent the estimated value of the  $L$  input quantities as

$$y = f(x_1, x_2, x_3, \dots, x_L). \quad (9)$$

In order to determine the level of confidence, an expanded uncertainty  $U$  can be computed by multiplying the combined uncertainty  $u_c(y)$  by a coverage factor  $K_p$  as [17]

$$U = K_p u_c(y). \quad (10)$$

Therefore, the result is usually declared as

$$Y = y \pm U. \quad (11)$$

The  $L$  component magnitudes  $X_l$  which represent the estimated value of the  $L$  input quantities are related to their own standard uncertainty  $u(x_l)$  as

$$X_l = x_l \pm u(x_l). \quad (12)$$

Henceforth, let us denote to the component magnitudes  $X_l$  as the uncertainty sources. The Ishikawa diagram in Fig. 2 shows an appropriate representation of the relation between the uncertainty sources, their standard uncertainty, and the antenna array measure or modeled output quantity.

The uncertainty sources present in an active antenna array can be classified in static, such as the sensor location input, sensor gain input, sensor phase input, sensor amplifier input, sensor phase shifter input, and sensor mutual coupling uncertainty sources, and dynamic, as those uncertainty sources that depend on temperature and ageing of components such as the sensor amplifier input uncertainty sources.

The characterization of the uncertainty sources must be done for each input quantity  $x_l$ , using measurements of the antenna array elements and active RF components, and specification of manufacturing equipments (e.g. precision).

The estimation of the standard uncertainties is associated with the  $l$ th input quantity  $x_l$ , whose values are estimated from  $Q$  independent observations  $X_{l,k}$  of  $X_l$  under the same measurement conditions, can be expressed as [18]

$$x_l = \bar{X}_l = \frac{1}{Q} \sum_{k=1}^Q X_{l,k}. \quad (13)$$

The standard uncertainty  $u(x_l)$  which is the estimated standard deviation of the mean, can be computed as

$$u(x_l) = \left[ \frac{1}{Q(Q-1)} \sum_{k=1}^Q (X_{l,k} - \bar{X}_l)^2 \right]^{1/2}. \quad (14)$$

The uncertainty of the array pattern at any spatial direction  $U(\theta, \varphi)$  can be expressed using an expansion of  $Y_o$  in (7) based on a first-order Taylor series approximation as

$$U(\theta, \varphi) = 1 + K_p \left( \sum_{l=1}^Q \Omega_l(\theta, \varphi) \right)^{1/2} \quad (15)$$

where  $K_p$  is the coverage factor that produces an interval corresponding to a well-defined level of confidence, and the standard uncertainty vector  $\Omega_l(\theta, \varphi)$  is a function of the standard uncertainty of the  $l$ th input quantity  $x_l$ , the sensitivity coefficients, and the covariance term of the correlated uncertainty sources. For a confidence level of 95%, the coverage factor  $K_p$  must be  $K_p \geq 2$  [17].

Finally, the expansion for the uncertainty of the beamformed array pattern with  $l \in \{G_{\text{RF}}, \Psi, \vec{r}\}$  can be expressed as

$$U(\theta, \varphi) = 1 + K_p \left[ \begin{array}{c} +(-u^2(\Psi)) \\ + (u^2(G_{\text{RF}})) \\ + \left( -u(\vec{r}) \frac{2\pi}{\lambda} (\sin \theta \cos \varphi + \sin \theta \sin \varphi + \cos \theta) \right)^2 \end{array} \right]^{1/2} \quad (16)$$

where  $G_{\text{RF}}$ ,  $\Psi$ , and  $\vec{r}$  are the gain, phase, and location uncertainty sources, respectively. From (16) it can be concluded that the uncertainties due to gain and phase are independent of  $(\theta, \varphi)$ . Also, note that in the absence of uncertainties, the standard uncertainty of the  $l$  input quantities are

$$u(G_{\text{RF}}) = u(\Psi) = u(\vec{r}) = 0. \quad (17)$$

In the Appendix we demonstrate the complete expansion of (16) making use of the presented signal

TABLE I  
Standard Uncertainties

$x_i$	$u(x_i)$
$r_x$ [mm]	$3.1345 \times 10^{-5}$
$r_y$ [mm]	$3.1345 \times 10^{-5}$
$G_{\text{RF}}$ [dB]	0.1121
$\Psi$ [°]	0.1497

model in (7) and according with the sources due to the antenna array elements and active RF components uncertainty, and specification of manufacturing equipments.

#### IV. NUMERICAL EXAMPLES

The numerical examples presented in this section are based on a triangular planar active array formed by 45 circular patches as antenna elements, uniformly spaced with  $d = \lambda/2$ . Each patch has its own RF section composed of a commercial low noise amplifier (LNA) and 6-states phase shifter based on microstrip lines. The selected uncertainty sources are sensor location errors, and gain and phase deviations of the RF branches. This panel under evaluation is part of the GEODA (geodesic dome array), a system to track LEO satellites in a frequency of 1.7 GHz [19].

As a representation of actual uncertainty values, Table I shows the standard uncertainties  $u(x_i)$  of the system under study. The standard uncertainties for  $G_{\text{RF}}$  and  $\Psi$  have been computed processing the measurements of the  $S_{21}$  coefficients, and the standard uncertainty for  $\vec{r} = r_x \hat{x} + r_y \hat{y}$  has been computed based on the specification of the manufacturing milling machine.

The uncertainty of the vector network analyzer (VNA) for the frequency of measurements is  $u(|S_{21}|) = 1.79 \times 10^{-4}$  [dB] and  $u(\angle S_{21}) = 1.39 \times 10^{-3}$  [°] for a confidence level of 95%. The measurements and uncertainty estimation have been carried out at 1.7 GHz,  $-10$  dBm of VNA I/O power, 10 kHz of IFBW (IF bandwidth) and the average factor equal to 10 [20]. Furthermore, TRM (through, reflected and matched) calibration of the VNA was done [21].

The numerical examples are organized in three cases. The first case is about the evaluation of the array pattern behavioral to specify the tolerance of the antenna components. The second case shows how the proposed uncertainty evaluation method of the array response in a given pointing direction can be used to choose the proper array calibration technique. Finally, the last case shows the uncertainty in the estimation of the complete array pattern.

Since the proposed model has a computed degree of freedom [16] higher than 100, for the presented

numerical examples we assume that the coverage factor is  $K_p = 2$  in order to get a confidence level of 95%.

##### A. Case 1. Specification of the Tolerances of Antenna Components

In this case, the following standard uncertainty values are used:  $u(x_i) = [0 \ 0.001 \ 0.002 \ 0.003 \ 0.004 \ 0.005]$ . The results presented in Fig. 3 have been obtained steering the beam to different  $\theta$  values and  $\varphi = 0$ . Note that the analysis can be performed in any spatial direction as stated in (16).

Figure 3 shows that the uncertainty in the position of antenna elements has a larger impact on array performance as compared with gain and phase deviations. This performance loss with positions increases when the mainbeam is steered to directions far from broadside. On the other side, uncertainty due to gain and phase errors are independent of  $\theta$ .

These results can be used by the system designer to specify the tolerance of the active antenna array components to guarantee a maximum performance loss of the array pattern in a given spatial direction. This information shall provide a reduction in prototyping and manufacturing costs, and serves as an aid in the selection of devices to enhance the pointing accuracy. Furthermore, once the expected deviation of the amplitude and phase response of the RF circuit is known by catalogue or is measured, the array pattern behavior can be evaluated to predict the loss.

##### B. Case 2: Uncertainty in the Pointing Direction and Selection of Calibration Techniques

This example evaluates the array pattern response to the uncertainties presented in Table I for several directions. The curves depicted in Fig. 4 show the uncertainty dependence of the array pattern for the phase, sensor location, and gain uncertainty sources on the pointing direction. As in case 1, the degradation of the array pattern with sensor location uncertainty increases with  $\theta$ .

The results above help to make the proper choice of the calibration technique required for the active antenna array. In the scenario under study and this case, the array pattern degradation is more sensitive to phase errors than to gain and sensor location errors. Thus, the proper calibration technique must be focused to compensate phase errors, e.g. the far-field compensating reference sources technique in [9].

For comparison, Fig. 4 also shows the uncertainty analysis using extensive Monte Carlo simulation based on the signal model of (5) for 1000 iterations. In Monte Carlo simulations, uncertainty sources are modeled as random variables with a normal distribution, mean values are equal to zero, standard

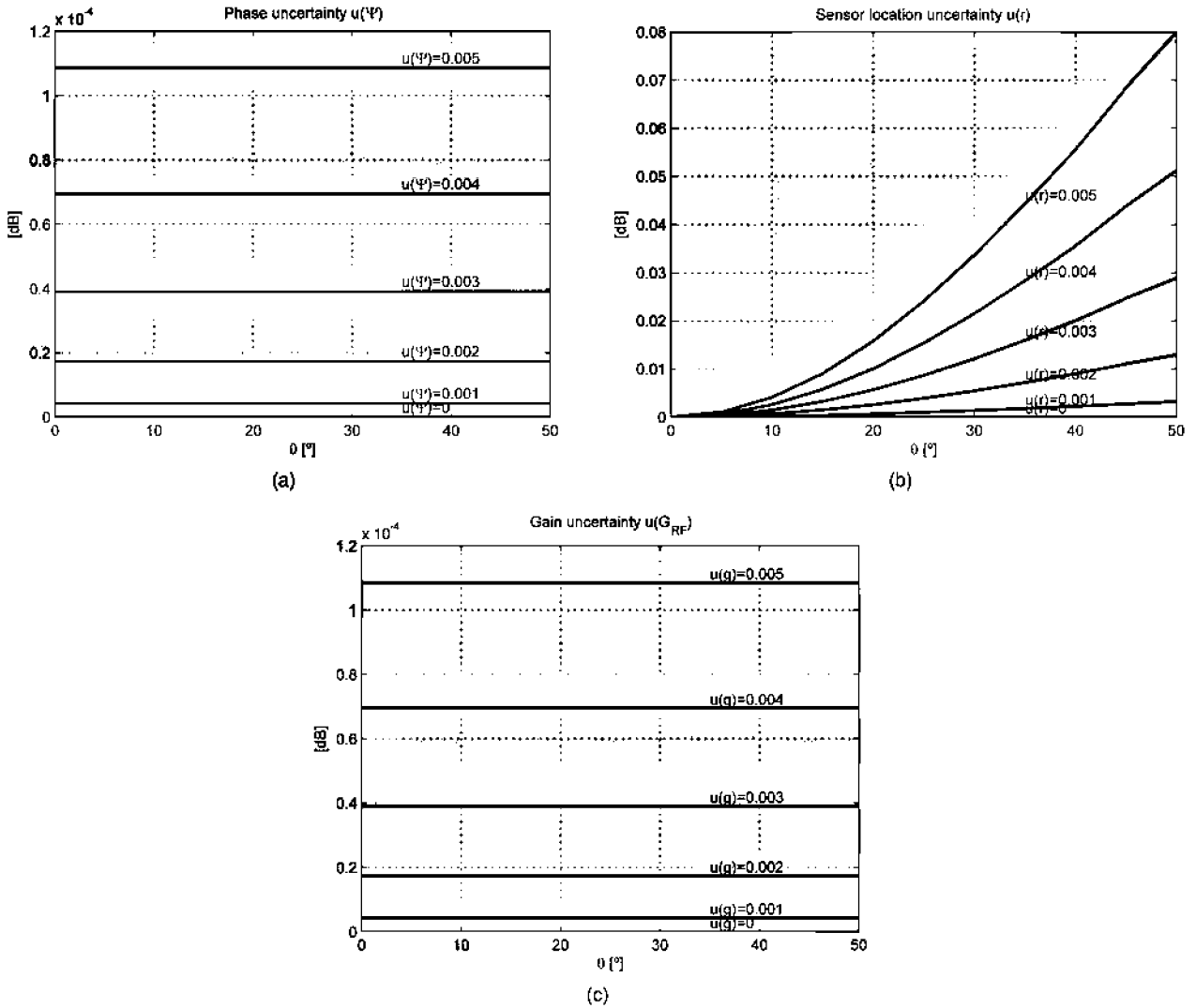


Fig. 3. Uncertainty [dB]. (a) Phase uncertainty source. (b) Sensor location uncertainty source. (c) Gain uncertainty source.

deviation in Table I, and initialized with a new seed per iteration. It can be seen that the results using the analytical formulation lie in the valid confidence interval given by the Monte Carlo simulation. Therefore, the proposed analytical method offers a significant reduction in computational complexity and time as compared with Monte Carlo using (16). To contrast uncertainty results to Monte Carlo simulations properly, the coverage factor is assumed  $K_p = 1$  in the separated uncertainty source simulations in Fig. 4.

The curve depicted in Fig. 5 shows the uncertainty dependence of the array pattern for the phase, sensor location, and gain as combined uncertainty on the pointing direction using uncertainty sources in Table I and (29). As explained in Section III, for a confidence level of 95% the coverage factor is assumed to be  $K_p = 2$ . Due to the precision of the figure, the total uncertainty in Fig. 5 for this simulation is 0.56876 dB plus the curve values as  $U_{\text{combined}} = \text{curve values} + 0.56876$  dB.

### C. Case 3: Uncertainty of the Complete Array Pattern

For this evaluation case we use  $u(\vec{r}) = 0.01$ . Figure 6 represents the uncertainty of the array pattern due to the uncertainty of the sensor locations. As in case 1, increasing the values of  $\theta$  from broadside to endfire, the antenna pattern shows increasing losses. The impact of the phase and gain uncertainty sources on the complete array response pattern is constant in  $\theta$  and  $\varphi$ .

The analysis of the complete array pattern can be applied to evaluate if an antenna array fulfils the radiation mask for GEO communications as the sidelobe level uncertainty can be obtained in a straightforward manner using the analytical expression.

## V. CONCLUSIONS

In this work we have presented an analytical method for evaluating the uncertainty of the

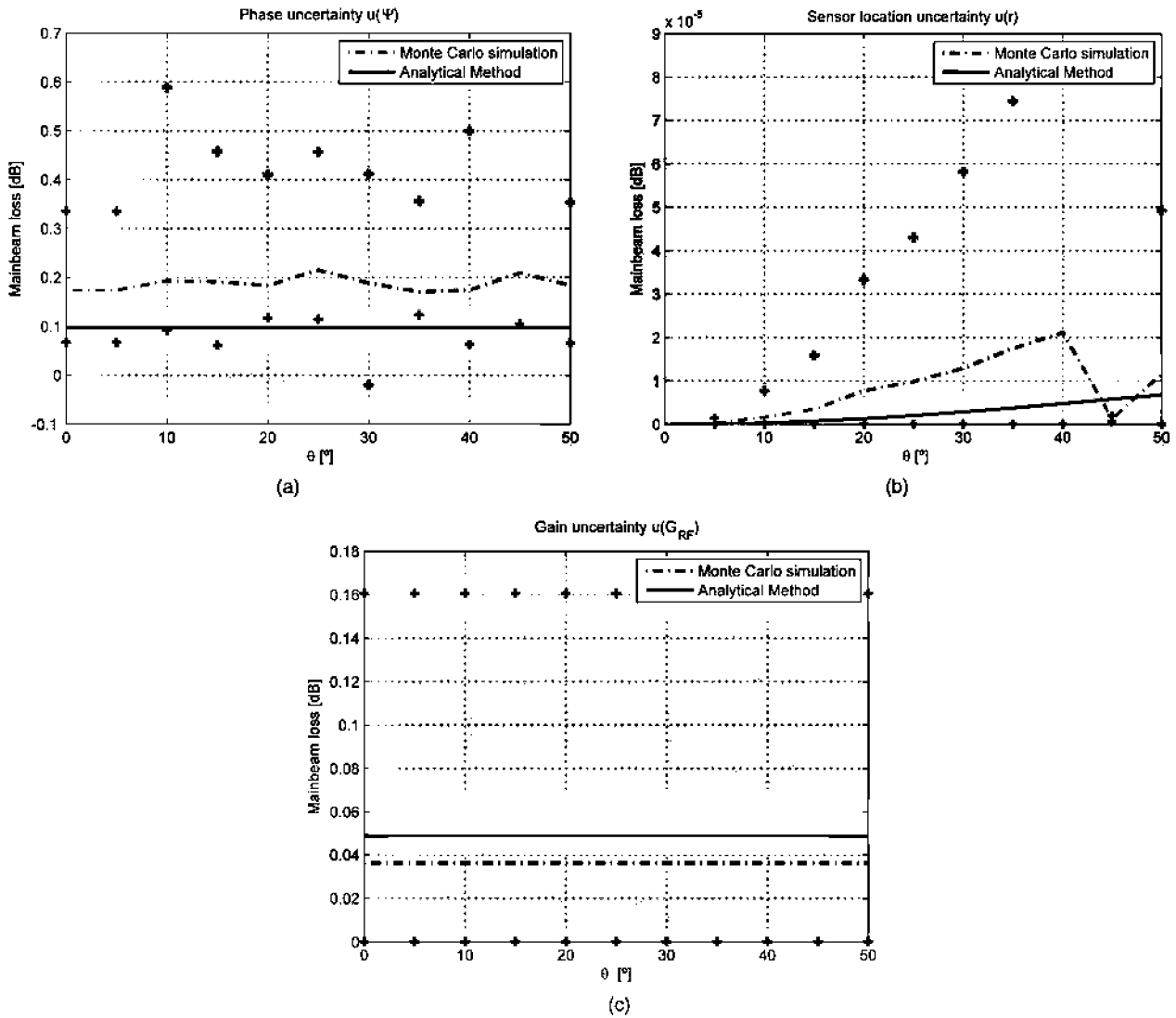


Fig. 4. Uncertainty of array response as function of pointing direction [dB]. (a) Phase uncertainty source. (b) Sensor location uncertainty source. (c) Gain uncertainty source. + markers represent maximum and minimum values of Monte Carlo simulation.

performance of active antenna arrays based on the first-order Taylor series expansion of the array pattern. The presented model can be used to predict the degradation of the array pattern in the presence of uncertainties. The uncertainty sources under analysis are sensor location errors, the gain and phase deviations in the array elements, and RF branches.

The analytical method does not impose constraints on the array geometry and is valid for any beamforming scheme. As an extension to other existing methods focused on the direction finding case, the proposed analysis provides information of the array pattern degradation in every spatial direction. The uncertainty analysis method can be applied to a number of scenarios using antenna arrays such as radar, satellite tracking, mobile communications, etc.

For space applications the proposed analytical method is helpful to analyze the complete array response pattern and evaluate the sidelobe increase

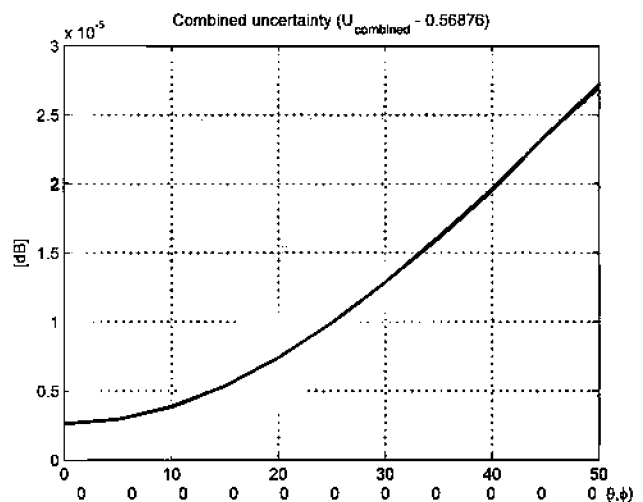


Fig. 5. Combined uncertainty of array response as function of pointing direction [dB].

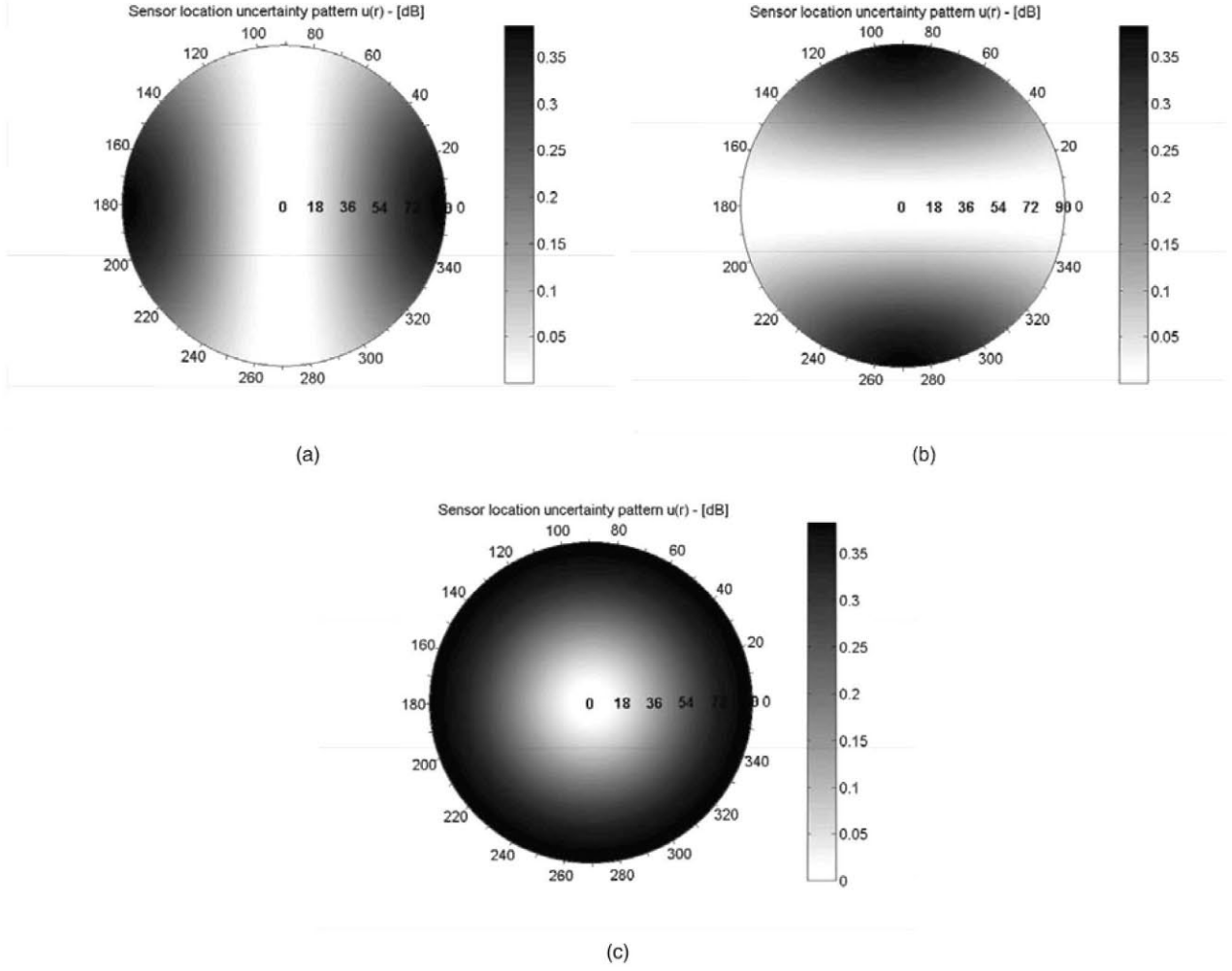


Fig. 6. Uncertainty or degradation on active antenna array pattern [dB] valid for any  $(\theta, \varphi)$  due to: (a) sensor location uncertainty in X coordinate, (b) sensor location uncertainty in Y coordinate, (c) sensor location uncertainty in both X and Y coordinates.

of antenna arrays used to communicate with GEO satellites. As well, the increase in antenna arrays for space communications makes the presented analysis a useful and efficient method to evaluate the uncertainty of future systems.

The proposed analytical method aids the system designer to specify the tolerance of array components for a given acceptable degradation. The method also provides information about the most appropriate calibration technique that must be applied to the antenna array. This contribution added to the complete array pattern analysis represents an important contribution for the reduction of cost and complexity in the prototyping and manufacturing of antenna arrays.

The method has been validated with numerical examples. It is important to mention that in contrast to extensive Monte Carlo simulations, the analytical approach provides important savings in the time required to evaluate the array pattern degradation in the presence of uncertainties.

#### APPENDIX. EXPANSION FOR THE DERIVATIVES OF THE ANALYTICAL METHOD FOR UNCERTAINTY EVALUATION

Regarding the case with no errors of the array pattern in (7) defined by

$$Y_o(\theta, \varphi) = \underline{W}^H (C \underline{G}_{RF} \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)) = \underline{W}^H \underline{M}_o(\theta, \varphi) \quad (18)$$

where  $\underline{G}$  is an  $M \times 1$  vector whose  $M$  element represents the gain  $g$  and phase  $\psi$  of the array element patterns as  $(\theta, \varphi)$  matrices.  $\underline{A}$  is the  $M \times 1$  ideal steering vector of the array whose elements are expressed as

$$a_m = e^{-j(2\pi/\lambda)\vec{r}_m^T \hat{r}_n^T} \quad (19)$$

where  $\vec{r}$  is the array location matrix and  $\hat{r}_n^T$  is the unitary direction vector,  $\hat{r}_n^T = [(\sin \theta_n \cos \varphi_n \quad \sin \theta_n \sin \varphi_n \quad \cos \varphi_n)]^T$ .

Now, the model of the uncertainty of the array pattern which represents how much the array is affected by the uncertainties, and is computed by



the expansion of a first-order Taylor series of  $Y_o$  to evaluate the uncertainty of the array at any spatial direction  $U(\theta, \varphi)$ , was defined in (15) as

$$U(\theta, \varphi) = 1 + K_p \left( \sum_{l=1}^Q \underline{\Omega}_l(\theta, \varphi) \right)^{1/2} \quad (20)$$

where  $K_p$  is the coverage factor and the standard uncertainty vector  $\underline{\Omega}_l(\theta, \varphi)$  is a measure of the correlation between the array response and the uncertainty sources based on the standard uncertainty  $u(x_l)$  of the  $l$ th input quantity  $x_l$ , the sensitivity coefficients, and the covariance term of the correlated uncertainty sources. The standard uncertainty vector can be expressed as

$$\underline{\Omega}_l(\theta, \varphi) = \left( \frac{\partial Y_o}{\partial x_l} u(x_l) \right)^2 + 2 \left( \frac{\partial Y_o}{\partial x_l} \frac{\partial Y_o}{\partial x_{l-1}} \right) u(x_l, x_{l-1}). \quad (21)$$

The partial derivatives  $\partial Y_o / \partial x_l$  are the partial derivatives with respect to the component magnitudes  $X_l$  evaluated at  $X_l = x_l$ . Note that when there are correlated input quantities as  $x_l$  and  $x_{l+1}$ , it must be computed as the second term of the equation above which denotes the correlated uncertainty associated to the correlation coefficient as

$$u(x_l, x_{l+1}) = u(x_l)u(x_{l+1})r(x_l, x_{l+1}). \quad (22)$$

The correlation coefficient is a measure of the dependency between  $x_l$  and  $x_{l+1}$ , where  $r(x_l, x_{l+1}) = r(x_{l+1}, x_l)$  and  $-1 \leq r(x_{l+1}, x_l) \leq 1$ . If there are uncorrelated input quantities  $x_l$  and  $x_{l+1}$ ,  $r(x_{l+1}, x_l) = 0$  [17, 22].

From now on let us center the attention on those uncertainty sources due to the antenna array elements and active RF components uncertainty, and specification of manufacturing equipments; the partial derivatives with respect to the component magnitudes should be those with respect to  $G_{\text{RF}}$ ,  $\Psi$ , and  $\vec{r}$ , denoted in Section II as the gain, phase, and location uncertainty sources, respectively.

The expression to evaluate the sensitivity of the array pattern to the phase uncertainties can be obtained by the partial derivative of  $Y_o$  with respect to  $\Psi$  as

$$\frac{\partial Y_o}{\partial \Psi}(\theta, \varphi) = \frac{\partial \underline{W}^H}{\partial \Psi} (C \underline{G}_{\text{RF}} \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)) \quad (23)$$

$$\frac{\partial Y_o}{\partial \Psi}(\theta, \varphi) = (-j) \underline{W}^H (C \underline{G}_{\text{RF}} \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)). \quad (24)$$

Similarly, the gain uncertainties from the RF circuit, array elements, and components can be evaluated as gain errors in the array pattern by the derivative of  $Y_o$  with respect to  $G_{\text{RF}}$ , and the array pattern  $Y_o$  depends on  $G_{\text{RF}}$  through  $\underline{M}_o(\theta, \varphi)$ . Thus,

the expression for the derivative of  $Y_o$  with respect to  $G_{\text{RF}}$  can be written as

$$\frac{\partial Y_o}{\partial G_{\text{RF}}}(\theta, \varphi) = \underline{W}^H (C \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)). \quad (25)$$

The sensor location uncertainties of the array elements can be evaluated by the derivative of  $Y_o$  with respect to  $\vec{r}$  and expressed by

$$\frac{\partial Y_o}{\partial \vec{r}}(\theta, \varphi) = (-jK r') \underline{W}^H (C \underline{G}_{\text{RF}} \underline{G}(\theta, \varphi) \odot \underline{A}(\theta, \varphi)) \quad (26)$$

where  $r'$  is the sum of the unitary direction vector terms as

$$r' = \sum_{v=1}^3 \hat{r}'_v. \quad (27)$$

Finally, the standard uncertainty vector  $\underline{\Omega}_l(\theta, \varphi)$  with  $l \in \{G_{\text{RF}}, \Psi, \vec{r}\}$  can be expressed as

$$\underline{\Omega}(\theta, \varphi) = \frac{1}{Y_o^2} \begin{bmatrix} \left( \frac{\partial Y_o}{\partial \Psi}(\theta, \varphi) u(\Psi) \right)^2 \\ \left( \frac{\partial Y_o}{\partial G_{\text{RF}}}(\theta, \varphi) u(G_{\text{RF}}) \right)^2 \\ \left( \frac{\partial Y_o}{\partial \vec{r}}(\theta, \varphi) u(\vec{r}) \right)^2 \end{bmatrix}. \quad (28)$$

In decibels representation can be written as

$$U_{\text{dB}}(\theta, \varphi) = 20 \log_{10} U(\theta, \varphi). \quad (29)$$

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