# Flow and fracture behaviour of FV535 steel at different triaxialities, strain rates and temperatures

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#### ABSTRACT

The new generation jet engines operate at highly demanding working conditions. Such conditions need very precise design which implies an exhaustive study of the engine materials and behaviour in their extreme working conditions. With this purpose, this work intends to describe a numerically-based calibration of the widely-used Johnson-Cook fracture model, as well as its validation through high temperature ballistic impact tests. To do so, a widely-used turbine casing material is studied. This material is the Firth Vickers 535 martensitic stainless steel. Quasi-static tensile tests at various temperatures in a universal testing machine, as well as dynamic tests in a Split Hopkinson Pressure Bar, are carried out at different triaxialities. Using ABAQUS/Standard and LS-DYNA numerical codes, experimental data are matched. This method allows the researcher to obtain critical data of equivalent plastic strain and triaxility, which allows for more precise calibration of the Johnson-Cook fracture model. Such enhancement allows study of the fracture behaviour of the material across its usage temperature range.

#### 1. Introduction

Jet engine certification involves assuring the manufacturer that in the case of an accidental blade-off event inside the turbine, any spoiled component as a consequence of this event, must be contained by the casing. This certification needs to pass an experimental procedure. This procedure is a real scale containment test, in which one turbine blade is intentionally manipulated to fail. These kinds of experimental procedures present financial difficulties. Many resources are focussed on improving and minimising the costs of such tests, so much that a future objective is to minimise costs by performing numerical simulations of these containment tests. Besides economic considerations, technical ones must also be taken into account. The aerospace industry requires lightweight materials with high strength: in other words, a high strength to weight ratio. The material used in a turbine casing, as well as the afore-mentioned characteristics, must have a high temperature resistance.

One of the most common materials used for jet engine turbine casings is 9–12% high chromium martensitic stainless steel, which has excellent mechanical properties at relatively high temperatures and good corrosion resistance. The exact material analysed in this study is the Firth-Vickers FV535 stainless steel. Unfortunately, the steel is not one of the most lightweight of materials. Numerical simulations of containment tests could help to optimise the thickness of these casings in order to reduce weight causing a great weight saving and thus reducing cost. In order to simulate with fidelity the real behaviour of the materials involved in the containment tests complete material model characterisation is mandatory.

#### Nomenclature equivalent Von Misses stress $\bar{\sigma}$ material constant of Johnson-Cook constitutive relation A В material constant of Johnson-Cook constitutive relation material constant of Johnson-Cook constitutive relation n material constant of Johnson-Cook constitutive relation C material constant of Johnson-Cook constitutive relation m $\frac{\overline{\varepsilon}_p}{\overline{\varepsilon}_p^*}$ equivalent or Von Misses plastic strain dimensionless plastic strain rate $\dot{\epsilon}_0$ user defined strain rate $\dot{\overline{\varepsilon}}_p$ $T^*$ plastic strain rate homologous temperature $T_r$ room temperature melting temperature $T_m$ Taylor-Quinney coefficient B P density specific heat $C_p$ damage parameter or indicator D $\bar{\epsilon}_p^f$ equivalent plastic strain to fracture stress triaxiality $\sigma_H$ hydrostatic stress material constant of Johnson-Cook fracture criterion $D_1$ material constant of Johnson-Cook fracture criterion $D_2$ $D_3$ material constant of Johnson-Cook fracture criterion material constant of Johnson-Cook fracture criterion $D_4$ material constant of Johnson-Cook fracture criterion D5 axisymmetric specimen initial radius r R axisymmetric specimen notch radius axisymmetric specimen diameter d $d_0$ axisymmetric specimen initial diameter $d_{f}$ axisymmetric specimen final or fracture diameter specimen cross section area A $A_0$ specimen initial cross section area specimen final or fracture cross section area $A_f$ incident strain $\varepsilon_i$ reflected strain $\varepsilon_r$ transmitted strain $\varepsilon_t$ specimen engineering stress $\sigma_s$ force applied by the Split Hopkinson Pressure Bar over the specimen $F_s$ SHPB input and output bar elastic modulus $E_b$ SHPB input and output bar cross section area $A_b$ specimen engineering strain $\varepsilon_s$ elastic wave propagation velocity inside SHPB input and output bar $C_0$ specimen initial length ls

Numerical simulations with a real jet engine model are carried out at the Department of Materials Science at the UPM. The non-linear explicit numerical code chosen for such a purpose is LS-DYNA in its 971 version. For the correct simulation of the complete impact phenomena produced inside the engine, it is necessary to include a material model that reproduces the blade-off event in the most accurate way possible. Previous works [1,2] have shown that the Johnson–Cook material model [3], already implemented in the LS-DYNA numerical code, works reasonably well. This work is focused on obtaining a valid Johnson–Cook model for the material proposed.

The accumulation of plastic strain is the basis of the majority of the fracture criteria. The Johnson–Cook (JC) failure criterion is also based on the same principle. The standard procedure to obtain the Johnson–Cook fracture model is detailed in [3] and has been used by Clausen et al. [4] and Børvik et al. [5], among others. This procedure is based on obtaining an equivalent plastic strain fracture envelope as a function of the stress triaxiality, strain rate and temperature. To obtain the JC fracture criterion constants, extensive experimental testing was carried out. This included the following:

 Quasi-static tensile tests of axisymmetric smooth and notched specimens which provided different values of the stress triaxiality.

- Dynamic tensile tests of axisymmetric smooth and notched specimens, in order to compare them with the previous ones
  and obtain the strain rate dependency.
- Quasi-static tensile tests at various temperatures provide the temperature dependency.

Using Bridgman's analysis [6] to evaluate the initial stress triaxiality and the equivalent plastic strain to fracture one is provided with an approximation of the fracture envelope. It has been demonstrated [7,8] that Bridgman's analysis incorporates notable errors, especially after specimen necking [8]. To avoid such errors, in this study authors consider that the actual numerical codes have the capability to reproduce the specimen tests and thus obtain more accurate data to calibrate the fracture model. This work details an improved methodology to the one used by Johnson and Cook [3] to calibrate their fracture model.

#### 2. Material model

Due to its almost standard character for dynamic behaviour and impact phenomena, the JC material model [3,9] becomes essential in describing materials used with containment purposes. Explicit numerical codes such as LS-DYNA, ANSYS/AUTO-DYN or ABAQUS/Explicit have the JC model already implemented internally. Having the model already implemented in the numerical code makes it very attractive to use. Avoiding the material modelling with user-defined material subroutines saves significant amount of time and effort. Thus, for the modelling of the FV535 the JC material model was chosen. The JC includes a constitutive relation, as well as a fracture criterion to predict fracture of a material under different stress states, different strain rates and temperatures. The constitutive relation and the facture criterion are uncoupled. This means that there is no material weakening due to failure.

# 2.1. The Johnson-Cook constitutive relation

The JC constitutive model is defined as a mathematical expression with three separate products or factors (see Eq. (1)). The first factor is a power law which defines the quasi-static equivalent stress vs. equivalent plastic strain curve. The second factor adds the strain rate influence and the third, the thermal softening. Modified versions of this equation, such as the one proposed by Camacho and Ortiz [10] can be found in the literature. Some additional work on this expression has been carried out by Børvik et al. [11]. The original JC constitutive relation for the equivalent stress  $\bar{\sigma}$  reads:

$$\bar{\sigma} = [A + B\bar{\varepsilon}_p^n][1 + C\ln \dot{\bar{\varepsilon}}_p^*][1 - T^{*m}] \tag{1}$$

where A, B, n, C and m are material constants,  $\bar{\sigma}_p$  is the equivalent plastic strain and  $\dot{\varepsilon}_p^* = \dot{\varepsilon}_p/\dot{\varepsilon}_0$  is a dimensionless strain rate where  $\dot{\varepsilon}_0 = 5 \times 10^{-4} \, \text{s}^{-1}$  is a user-defined reference strain rate. The homologous temperature is  $T^* = (T - T_r)/(T_m - T_r)$ , where T is the current temperature,  $T_0$  is the room temperature and  $T_m$  is the melting temperature.

As has been assumed adiabatic conditions, the temperature increase in the material can be defined as:

$$\dot{T} = \frac{\beta}{\rho C_p} \bar{\sigma} \dot{\bar{c}}_p \tag{2}$$

where  $\beta \approx 0.9$  is the Taylor-Quinney empirical constant,  $\rho$  is the density of the material and  $C_p$  is the specific heat of the material.

This constitutive relation calibration for the FV535 steel has been performed previously by Gálvez et al. [12] (see Table 2).

# 2.2. The Johnson-Cook fracture criterion

In the JC fracture criterion defines a damage parameter D [3] which is based on the accumulation of plastic strain. The damage parameter D is defined as:

$$\dot{D} = \frac{1}{\bar{\epsilon}_p^f(\sigma^*, \dot{\bar{\epsilon}}_p, T)} \dot{\bar{\epsilon}}_p \tag{3}$$

where  $\overline{\mathcal{E}}_p^f$  is the equivalent plastic strain to fracture. The material will fail when the accumulation of equivalent plastic strain reaches the equivalent plastic strain to fracture. In other words, damage variable D will increase until it reaches the unity. At this moment the material will fracture.

Johnson and Cook [3] proposed an expression of the equivalent plastic strain to fracture as function of stress triaxiality, strain rate and temperature. The equivalent plastic strain to fracture  $\vec{e}_p^f$  is defined once again with three separate products. The first term in the JC fracture criterion is based on Rice and Tracey's [13] original formulation, although Hancock and Mackenzie [14] did some additional work on the expression. The second and third are homologous to those of the constitutive relation. The equivalent plastic strain to fracture is defined as follows:

$$\bar{\varepsilon}_{n}^{f} = [D_{1} + D_{2} \exp(D_{3}\sigma^{*})][1 + D_{4} \ln \dot{\tilde{\varepsilon}}_{n}^{*}][1 + D_{5}T^{*}] \tag{4}$$

where  $D_1$ ,  $D_2$ ,  $D_3$ ,  $D_4$  and  $D_5$  are material constants,  $\sigma^* = \sigma_H/\bar{\sigma}$  is the stress triaxiality and  $\sigma_H$  is the hydrostatic pressure.

According to Eq. (4) the stress triaxiality plays a strong role in the fracture behaviour, hence the importance of testing notched specimens which provide different stress triaxialities. Other models like the ones presented by Becker et al. (GTN model) [15], Xue and Wierzbicki [16] or Bai and Wierzbicki [17], also included the effect of the stress triaxiality.

#### 3. Experiments

In order to obtain the constants for the calibration of the JC fracture criterion, three groups of experiments are carried out. The first group (I) of experiments is composed by quasi-static tensile tests of axisymmetric smooth and notched specimens, the second (II) consists of dynamic tensile tests of axisymmetric smooth and notched specimens; and the third (III) includes quasi-static tensile tests of flat specimens at various temperatures.

Groups I and II include axisymmetric smooth and notched specimens with the geometry and dimensions specified in Fig. 1. The specimen ID is addressed in Table 3. The axisymmetric notched specimens were machined with notch radii of 4 mm, 2 mm and 1 mm.

According to Bridgman's analysis [6], the initial stress triaxility ( $\sigma^*$ ) for axisymmetric rounded specimens can be obtained with the subsequent expression:

$$\sigma^* = \frac{1}{3} + \ln\left(1 + \frac{r}{2R}\right) \tag{5}$$

where r is the radial coordinate in the minimum cross section area of the specimen and R is the notch radius. The axisymmetric specimens provide us with different initial stress triaxilities, merely by varying the initial notch radius. The tensile tests of specimens with different stress triaxialities give fracture strain values, enabling the construction of a fracture envelope in the stress triaxiality vs. equivalent plastic strain space.

According to Eq. (5) the initial stress triaxiality of the smooth axisymmetric specimen is 1/3. Having notched specimens with the same initial diameter in the minimum cross section area, lower values of the notch radius give greater stress

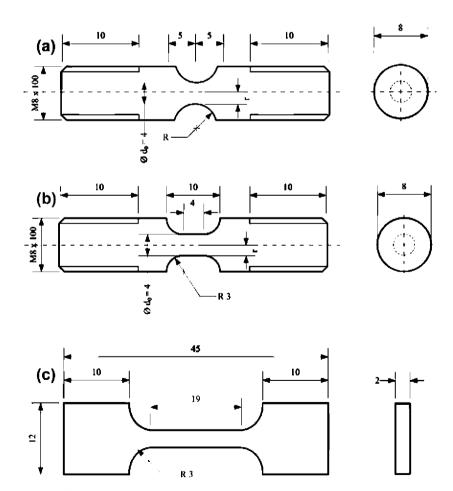


Fig. 1. Geometry and dimensions of (a) axisymmetric notched specimens (R = 4 mm, R = 2 mm and R = 1 mm), (b) smooth axisymmetric specimens and (c) flat "dog bone" type specimens.

triaxialities (see Eq. (5)). In summary, for different specimens' notch radii, Bridgman's analysis gives different values for the initial stress triaxiality.

Bridgman [7] showed that the triaxility ratio is far from being constant during a tensile test of axisymmetric specimens. During tensile tests the notch radius is not constant, hence stress triaxiality either. Eq. (5) should not be used when the notch shape stops being circular (typically when reaching necking point). For post necking analysis of stress triaxiality different approaches [8,18,19] can be used.

Assuming volume conservation, equivalent plastic strain can be obtained as function of the cross section area (A) or diameter (d) as follows:

$$\bar{\varepsilon}_p = 2 \ln \left( \frac{d_0}{d} \right) = \ln \left( \frac{A_0}{A} \right) \tag{6}$$

where the subscript "0" means initial. In an uniaxial tensile test, the measure of the axial strain coincides with the equivalent plastic. Equivalent plastic strain to fracture  $\mathcal{E}_f^f$  can be defined simply by substituting A or d in the Eq. (6) for  $A_f$  and  $d_f$ . The minimum cross section area and diameter of the fractured specimen are  $A_f$  and  $d_f$  respectively.

# 3.1. Material description

One of the most widely-used materials for turbine casing is martensitic stainless steel. The steel studied has the commercial name FV535 and was shipped by a renowned jet engine manufacturer. It is a high chromium (9–12%) martensitic steel (see Table 1). The received piece was a cross section slice of an original jet engine low pressure turbine casing, which was machined to obtain all the specimens tested.

The received piece of FV535 had the subsequent heat treatment:

- Solution treatment. Pre-heated to 700 °C due to its relatively low thermal conductivity.
- Temperature rise to 1170 °C and maintained 2 h.
- · Oil quench.
- Tempering 620 °C 4 h.
- · Air cooled.

After the heat treatment, a fully-tempered martensitic microstructure with no apparent delta ferrite microstructure should be observed according to the received material certification data sheet. As general rule of thumb these types of materials are not intended for use above tempering temperature.

# 3.2. Quasi-static tensile tests. Group I

In this group axisymmetric smooth and notched specimens were tested. The axisymmetric notched specimens were machined with three different notch radii, R = 4 mm, R = 2 mm and R = 1 mm. The quasi-static tensile tests were carried out in an INSTRON servo hydraulic universal testing machine. The tests were conducted at room temperature under a strain rate of  $5 \times 10^{-4} \, \text{s}^{-1}$ . The specimens were instrumented with an extensometer in order to have axial strain measurements. The extensometer gauge length was 12.5 mm and its extension range  $\pm 2.5$  mm.

The initial triaxility obtained with Bridgman's formulation (see Eq. (5)), as well as the equivalent plastic strain to fracture obtained after the tests (see Eq. (6)), are summarised in Table 3. The fracture cross section area was perfectly circular as expected (see Fig. 3). Some studies have encountered [4] non-circular fracture areas due to material anisotropy. Hence, there was no need to measure the entire fracture area. The fracture area diameter was enough to obtain proper values of equivalent strain to fracture. Note that specimens of Fig. 3 corresponded to the dynamic tests. Nevertheless, the same behaviour was observed for the specimens tested in quasi-static regime. The diameters corresponding to initial and fracture situations were measured with an optical profilometer.

The stress increase in notched specimens came as a consequence of the superimposed circumferential stress state created by the notches (see Fig. 2). In the tensile tests, lower notch radius of the specimens was, larger the increases of the stress were registered. On the other hand, the strain to failure decreased remarkably with the decrease of the notch radius. This showed what has been stated previously; that is to say, the dependency of stress triaxiality on the continuum fracture criteria, regardless of whether they are micro- [15] or macro-mechanical [16,17] based models. The accumulation of plastic strain until the specimens fracture was clearly geometry-dependent as can be seen in Fig. 3. In summary, it was noted that the amount of plastic strain necessary to fracture a notched specimen decreased when a smaller notch radius is machined.

**Table 1**Certificated chemical composition in %wt. of FV535 stainless steel.

C	Si	Mn	S	Cr	Mo	Н	В	Co	N	Nb	V
0.094	0.38	0.77	0.0015	10.28	0.7	0.39	0.007	5.68	0.012	0.31	0.21

**Table 2**Johnson-Cook material model constants for FV535, according to [12] and the proposed fracture criterion calibration.

Density (Kg/m <sup>3</sup> )	Elastic modulus (GPa)	Poisson's ratio	Specific Heat (J/Kg K)	$T_m$ (°C)
7850	210	0.28	460	870
A (MPa)	B (MPa)	n	C	m
1035	190	0.3	0.006	4.5
$D_1$	$D_2$	$D_3$	$D_4$	$D_5$
0.1133	2.11	-1.65	0.0125	0.9768

**Table 3** Diameter reduction  $(d_0/d_f)$ , initial stress triaxiality (Eq. (5)) and equivalent plastic strain to fracture (Eq. (6)) for axisymmetric smooth and notched specimens.

90	1981	20 CAS 25 20		25 26 6 36	31	70
Specimen ID	Notch radius (mm)	Test regime	$d_f$ (mm)	$(d_0/d_f)$	Initial triaxiality	$\bar{arepsilon}_p^f$
SE01	Smooth	Quasi-static	2.580	1.550	1/3	0.877
SE02		Quasi-static	2.665	1.501		0.812
SE03		Dynamic	2.517	1.589		0.926
SE04		Dynamic	2.537	1.577		0.911
R401	4.0	Quasi-static	3.135	1.276	0.556	0.462
R402		Quasi-static	3.240	1.235		0.484
R403		Dynamic	2.968	1.348		0.566
R404		Dynamic	2.904	1.377		0.597
R201	2.0	Quasi-static	3.290	1.216	0.739	0.391
R202		Quasi-static	3.260	1.227		0.409
R203		Dynamic	3.129	1.278		0.495
R204		Dynamic	3.269	1.224		0.441
R101	1.0	Quasi-static	3.475	1.151	1.026	0.281
R102		Quasi-static	3.535	1.132		0.247
R103		Dynamic	3.671	1.090		0.172
R104		Dynamic	3.658	1.093		0.179

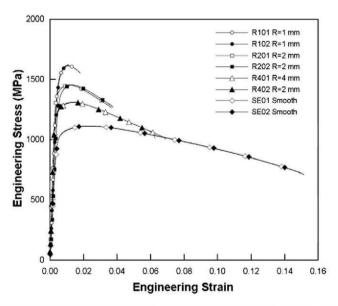


Fig. 2. Engineering stress-strain curves obtained from quasi-static tensile tests of smooth and notched specimens.

# 3.3. Dynamic tensile tests. Group II

The testing of axisymmetric smooth and notched specimens was carried out with a tensile Split Hopkinson Pressure Bar (SHPB) at room temperature. The tensile SHPB geometry and configuration used for the dynamic tensile tests is detailed in Fig. 4. The tensile SHPB comprised an input and output bar, both of steel (elastic modulus  $E_b$  = 200 GPa and Poisson ratio = 0.3). Input bar was 4500 mm in length and the output 1500 mm. The diameter was 22 mm in both cases. The projectile or striker bar was a steel tubular cylinder of 400 mm length. The striker bar was launched with compressed air inside a

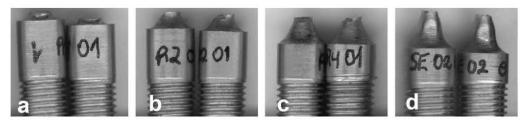


Fig. 3. Fractured smooth and notched axisymmetric specimens after quasi-static tests. R101 (a), R201 (b), R401 (c) and SE02 (d).

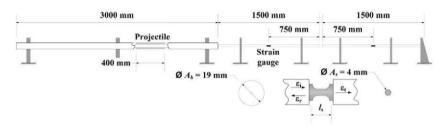


Fig. 4. Schematic view of the tensile SHPB used to carry out the dynamic tests. Detailed view of the specimen with input and output bars showing incident, reflected and transmitted strain directions.

tubular cannon of 3000 mm, in the opposite direction of the wave propagation. This configuration of the SHPB provided a maximum pulse length of about 180 µs. The wave propagation inside the input and output bars had been considered unidimensional. Therefore, specimen stress and strain were measured according to the theory of the unidimensional elastic wave propagation [20] and their application to the SHPB (Eqs. (7)–(10)). According to this:

$$\varepsilon_i + \varepsilon_r = \varepsilon_t$$
 (7)

where  $\varepsilon_i$  is the strain corresponding to the incident wave,  $\varepsilon_r$  is the strain of the reflected wave and the  $\varepsilon_t$  the strain of the transmitted wave. All the strain history was recorded by the strain gauges attached to the input and output bars (see Fig. 4). The specimen stress  $\sigma_s$  is:

$$\sigma_s = \frac{F_s}{A_0} = \frac{E_b A_b}{A_0} \varepsilon_t \tag{8}$$

where  $F_s$  is the force applied over the specimen by the SHPB,  $E_b$  is the elastic modulus of the input and output bars and  $A_b$  is the input and out bar cross section area. The specimen strain  $\varepsilon_s$  and strain rate  $\dot{\varepsilon}_s$  were:

$$\varepsilon_s = -\frac{2c_0}{l_s} \int_0^t \varepsilon_r \, dt \tag{9}$$

$$\dot{\varepsilon}_{\rm s} = -\frac{2c_0}{l_{\rm c}}\varepsilon_{\rm r} \tag{10}$$

where  $c_0$  is the elastic wave propagation velocity inside input and output bars, and  $l_5$  is the specimen initial length.

The dynamic tensile tests were recorded using a Phantom v12 high speed camera. It was set to record at 190,476 frames per second. Filming such experiments allowed the researchers to know the evolution of the notch during the tests. The low exposure time of the high speed camera, 4–5 µs per image, required a massive amount of light. The most reliable solution was to focus the specimens from the rear in order to obtain sufficient contrast. The result of focusing the specimens from the rear was a black and white image (see Fig. 5). The instantaneous value of the diameter in the minimum cross section was measured for each one of the images acquired with the high speed camera. Phantom v12 camera control software performed this operation in a highly user-friendly way, Matlab computing software was used to make additional measurements over the images. The diameter reduction and thus the equivalent plastic strain, according to Eq. (6), were computed for all the specimens tested. Data obtained for these tests is summarised in Table 3.

Fig. 5 contains four sets of black and white images ordered in columns which corresponded to each one of the axisymmetric specimens tested. Each one of the columns labelled from (a) to (d) shows the evolution of the specimen during the dynamic tensile test. Column (a) corresponds to the smooth specimen and (b)–(d) correspond to the axisymmetric specimens notched with radius R = 4 mm, R = 2 mm and R = 1 mm respectively. The images of Fig. 5 have a 120  $\mu$ s delay with respect to the incident wave signal. This delay was the time that incident wave took to reach the specimen. In order to obtain synchronised data between SHPB output data and the high speed camera, this delay should be removed.

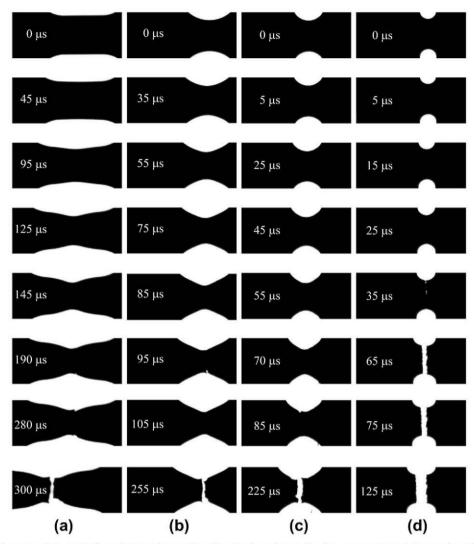


Fig. 5. High speed camera photographs for axisymmetric smooth and notched specimens. Fig. shows one representative specimen for each one of the different geometries tested. Specimens' failure at 190 μs for SE04 (a), at 95 μs for R404 (b), at 85 μs for R203 (c) and at 35 μs for R103 (d).

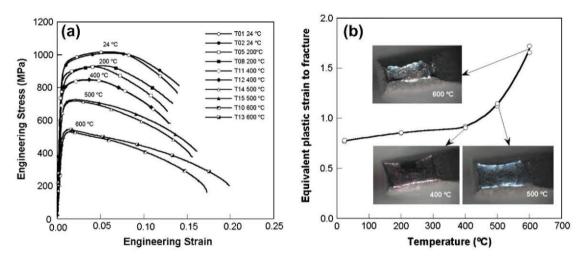
As has been pointed out before, when the specimens start necking they change their shape and stop being circular (see column (a) from Fig. 5). Other researchers [21] have addressed the same effects in SHPB tensile tests. Observing Fig. 5, it can be noted that the notch radius changed from its initial "U" shaped form to a "V" shaped form when the specimen was close to fracture. The time in which the fracture occurred diminished quite rapidly with a decreasing notch radius. The smooth specimen fracture occurred at about  $185-190 \, \mu s$ . Fracture for the axisymmetric specimens with notch radius  $R=4 \, \text{mm}$ ,  $R=2 \, \text{mm}$  and  $R=1 \, \text{mm}$  occurs at  $90-95 \, \mu s$ ,  $80-85 \, \mu s$  and  $30-35 \, \mu s$  respectively (see Figs. 5 and 11). A dynamic test fracture time analysis can give an idea of the complexity when trying to extract valid data from these experiments, especially from the specimens with smallest notch radius.

# 3.4. Quasi-static tensile tests at various temperatures. Group III

Quasi-static tensile tests of flat specimens with "dog-bone" shape were carried out at room temperature, 200 °C, 400 °C, 500 °C and 600 °C in a universal INSTRON servo hydraulic testing machine. The tests were performed at a strain rate of  $5 \times 10^{-4} \, \rm s^{-1}$ . The flat specimens were tested inside a temperature chamber made "in house". The temperature chamber was equipped with two heat resistant glasses in order to observe the interior of it while the test was ongoing. A thermocouple in contact with the specimens was used to control the temperature chamber. In such a way, specimens were set to desired temperatures. Note that temperature in the chamber might not have been the same as that of the specimens. A digital camera was used to record images during the tensile tests. In order to measure flat specimen strains, digital image correlation software was used. The software provided by correlated solutions used for this purpose was VIC 2D digital image correlation software.

**Table 4**Area reduction ratio  $(A_0/A_f)$  and equivalent plastic strain to fracture according to Eq. (6) for tests on temperature test specimens.

Specimen identification	Temperature (°C)	Test regime	$A_f(\text{mm}^2)$	$(A_0/A_f)$	$ar{\mathcal{E}}_p^f$
T01	24	Quasi-static	3.707	2.158	0.770
T02			3.668	2.181	0.780
T05	200	Quasi-static	3.409	2.347	0.853
T08			3.404	2.350	0.855
T11	400	Quasi-static	3.242	2.503	0.918
T12			3.196	2.468	0.903
T14	500	Quasi-static	2.609	3.066	1.120
T15			2.546	3.142	1.145
T10	600	Quasi-static	1.434	5.580	1.719
T13			1.527	5.240	1.656



**Fig. 6.** (a) Engineering stress–strain curves of quasi-static tests tensile tests carried out at 24 °C, 200 °C, 400 °C, 500 °C and 600 °C. (b) Evolution of the equivalent plastic strain to fracture with increasing temperature including fracture surfaces of specimens tested.

In order to obtain the equivalent plastic strain to fracture of the specimens (see Eq. (6)), measurements of the cross section fracture areas were taken (see Table 4). Using optical microscopy images of the fracture cross section areas were taken for all the specimens (see Fig. 6b). Specimen fracture cross section areas were measured with image-processing software. The calibration measures needed by the image-processing software were taken with an optical profilometer.

The stress dropped with the temperature increase (see Fig. 6a), especially above 500 °C when it did so significantly. Note that the fracture strain did not vary much until 400 °C. The point when the temperature increased above 400 °C is when substantial changes were noted. When plotting temperature vs. equivalent plastic strain to fracture (Fig. 6b), such behaviour could be observed quite clearly. The first part of the curve presented an almost linear increase on equivalent plastic strain to fracture with the temperature with a small slope. The second part of the curve, exceeding 400 °C, presented a curved shape with a rather marked increase on equivalent plastic strain to fracture with the temperature.

# 4. Calibration of the Johnson-Cook fracture criterion

Previous works [7,8,22] have established that calibrating the JC fracture criterion by using only the initial stress triaxiality value provided by Bridgman's formulation (Eq. (5)) may involve significant errors. Given that Johnson and Cook [3] and Johnson [23] were aware of this, they performed a series of numerical simulations to "correct" such errors. From the point of view of the authors, a more precise calibration could be made. Therefore the subsequent calibration methodology is proposed:

- Compute numerical simulations of the tested specimens with a suitable non-linear finite element code and a proper constitutive relation. It should be noted that there is no need to use fracture criterion.
- Obtain the initial stress triaxiality value (just for axisymmetric smooth and notched specimens) (Eq. (5)) and equivalent plastic strain to fracture (Eq. (6)) of the specimens tested (Tables 3 and 4).
- Find the time step in which the minimum cross section diameter (or area) of the finite element model in the numerical simulation has the same value as the fracture diameter (or area) measured in the specimen.

- Obtain, at this time step, stress triaxility and equivalent plastic strain histories in the most critical elements. The authors understand critical elements as those for which the values of stress triaxiality and equivalent plastic strain values are the most unfavourable. It should be noted that for the original JC calibration [3], stress triaxility and equivalent plastic strain histories are averaged over the minimum cross section area.
- Obtain two sets of points in stress triaxility vs. equivalent plastic strain to fracture space. The first set of points includes initial stress triaxility from Bridgman's approach and equivalent plastic strain to fracture obtained from fracture diameter (or area) measurements (Eq. (6)). On the other hand, the second set of points is constructed with the stress triaxiality and equivalent plastic strain to fracture taken from most unfavourable elements of the numerical simulations.

The objective of this calibration methodology is to average two sets of points. One of these sets is the "upper" fracture limit and the other is the "lower". The points belonging to the "upper" fracture limit are the ones calculated with numerical simulations. The reason for choosing the most unfavourable elements for obtaining the stress triaxiality and equivalent plastic strain histories, instead of averaging the value of them over the minimum cross section area, is linking a physical meaning to the set of points that authors have designated as "upper" fracture limit. The critical elements in the specimen minimum cross section area should correspond, theoretically to the fracture initiation point, as shown later in the article. The points corresponding to the "lower" fracture limit are those calculated with Bridgman's analysis. This set of points is an approximation to the real fracture behaviour, because of the error committed in assuming initial triaxiality constant (see previous section). This "lower" fracture limit can be considered as conservative, ensuring component safety when designing.

It should be noted that the difference between the original JC fracture criterion calibration [3] and the proposed one is only an "upper" fracture limit set of points. The values of stress triaxiality and equivalent plastic strain are also obtained from the numerical simulations. The difference is that these values are averaged over the minimum cross section area of the finite element model of the specimen.

# 4.1. Numerical simulations of axisymmetric smooth and notched specimens

Full 3D numerical simulations of quasi-static tensile tests of flat and axisymmetric smooth and notched specimens were carried out with ABAQUS/Standard v6.8 implicit non-linear finite element code. Nine different finite element models were simulated: four corresponding to the axisymmetric smooth and notched specimens; and five corresponding to the different temperatures of the flat specimens. The material was modelled as elastic-plastic with isotropic hardening. The numerical simulations for the specimens tested at room temperature had the same stress-strain curve. For numerical simulations of flat specimens, an additional four different stress-strain curves, one for each temperature, were needed. These curves were obtained from the experiments previously carried out by Gálvez et al. [12]. The numerical simulations were performed with only the constitutive relation. No fracture criterion was introduced, the aim of the simulations was not a validation process, but only a calibration.

The meshes of the finite element models used for axisymmetric smooth and notched specimens are shown in Fig. 7. The mesh of the axisymmetric smooth specimen had 115,089 elements. The axisymmetric specimens with notch radius R = 4 mm, R = 2 mm and R = 1 mm, had 109,181, 91,200 and 88,800 elements respectively. All the models were computed with C3D8R eight node linear elements with reduced integration ABAQUS elements, in order to reduce the calculation time.

The numerical simulations for the axisymmetric smooth and notched specimens showed good agreement with the experimental force-displacement register, as can be seen in Fig. 8. Nonetheless, the numerical solution for the specimen with the smallest notch radius (R = 1 mm) appeared to be marginally above that expected. In order to obtain the stress triaxiality and equivalent plastic strain to fracture histories from the most critical elements, the minimum cross section area in the fracture time step was analysed. Fig. 9 shows the variation of the stress triaxiality and equivalent plastic strain along the diameters of the minimum cross section areas of axisymmetric smooth and notched specimens. For all the specimens simulated, the stress triaxiality was stronger in the centre of the minimum cross section area, as expected [15]. The equivalent plastic strain showed the same tendency as the stress triaxiality. Nevertheless, the gradient between the borders and the centre of the specimens was not as marked as the stress triaxiality values, especially for the notched specimens. The variation of the equivalent plastic strain along the minimum cross section diameter of smallest notch radius specimen (R = 1 mm) showed an opposite behaviour. The difference between the border and the centre values of the equivalent plastic strain values was

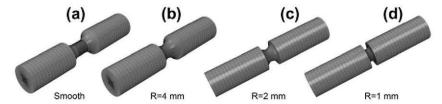


Fig. 7. 3D finite element model meshes of smooth (a) and notched specimens with notch radius of 4 mm (b), 2 mm (c) and 1 mm (d) for ABAQUS/Standard and LS-DYNA numerical simulations.

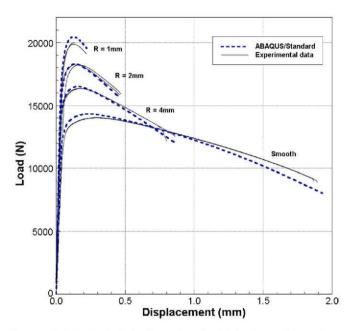


Fig. 8. Load-displacement curves from quasi- static tensile tests of smooth and notched axisymmetric specimens compared with ABAQUS/Standard numerical simulations.

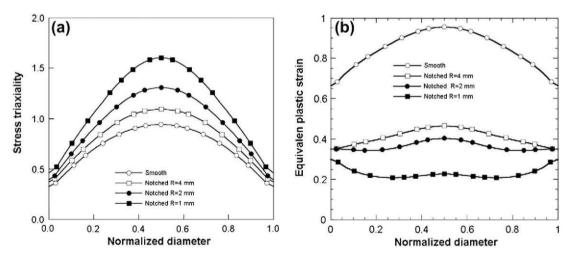


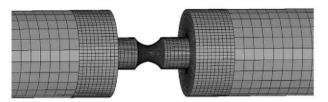
Fig. 9. Stress triaxiality ratio (a) and equivalent plastic strain (b) over the normalised radius of the minimum cross section for smooth and notched axisymmetric specimens obtained from ABAQUS/Standard numerical simulations.

very low, remaining almost constant. Summarising, the critical element (as previously shown) were in the centre of the minimum cross sections area.

The dynamic tensile tests were simulated with LS-DYNA v971 explicit non-linear finite element code. The same 3D finite element model meshes as those shown in Fig. 7 were used. In order to simulate the tensile SHPB tests of axisymmetric smooth and notched specimens properly, input and output bars were also modelled. The boundary condition was modelled as prescribed velocity in the free end cross section nodes of the input bar with BOUNDARY\_PRESCRIBED\_MOTION [24]. The incident wave strain can be transformed in velocity according to the unidimensional elastic wave propagation theory as:

$$v(t) = \frac{E_b}{\rho C_0} \varepsilon_i(t) \tag{11}$$

The incident wave signals were recorded from the strain gauges located in the input bar (Fig. 4) for each one of the specimens tested. An average value of the incident strain  $\varepsilon_i(t)$  was used as boundary condition to compute the numerical simulations. The CPU time for these numerical simulations was obviously higher than those computed with ABAQUS/Standard. In order to reduce the calculation time a coarser mesh was used for the input and output bars (Fig. 10). The specimen material



**Fig. 10.** Finite element model of a tensile SHPB test for LS-DYNA non-linear finite element code. The specimen in the figure is a notched axisymmetric specimen with notch radius equal to 4 mm. Input and output bars are modelled with coarser elements in order to reduce calculation time.

was modelled with MAT\_015 [24], JC material model. Only the constants for the JC constitutive relation were introduced (see Table 2), no fracture criterion was used. This material model must be consequent with the quasi-static models simulated in the ABAQUS/Standard.

Good agreement was obtained between the experimental diameter reduction and that from LS-DYNA, as shown in Fig. 11. The same analysis as that carried out for the numerical simulations of the quasi-static tensile tests was performed. The same conclusions emerged: the most critical elements of the minimum cross section area immediately before fracture were located in its centre. Hence, stress triaxiality and equivalent plastic strain histories were obtained from the elements located in the centre of the minimum cross section area.

The "lower" and "upper" fracture limit sets of points (the first corresponding to the Bridgman's analysis and the second to the numerical simulations of the critical elements), are plotted in the stress triaxiality vs. equivalent plastic strain to fracture

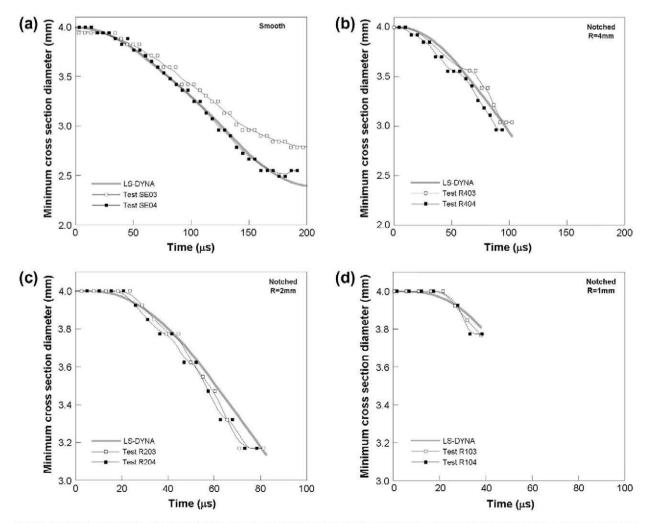


Fig. 11. Minimum cross section diameter reduction from tensile SHPB tests of smooth and notched axisymmetric specimens with notch radius equal to 4 mm, 2 mm and 1 mm, compared with LS-DYNA numerical simulations.

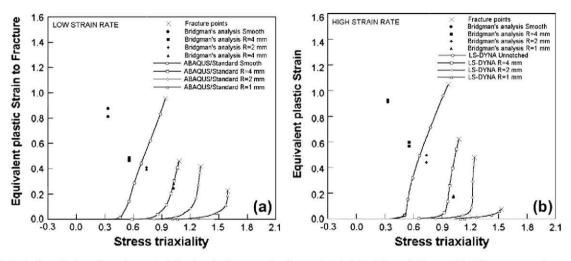


Fig. 12. Equivalent plastic strain vs. Stress triaxiality showing fracture points for quasi-static (a) and dynamic (b) cases. Black lines correspond to numerical simulation analysis and dotted lines correspond to Bridgman's analysis.

space in Fig. 12. The point sets corresponding to the quasi-static tensile tests and the sets corresponding to the dynamic tensile tests are plotted in Fig. 12a and b respectively. With regard to the quasi-static tensile tests, while the stress triaxiality remains constant in the set of points corresponding to Bridgman's analysis the set of points belonging to the numerical simulations, shows increasing stress triaxiality with the equivalent plastic strain until fracture occurs (see Fig. 12a). This effect is more marked for specimens with larger initial stress triaxialities. The same conclusion can be made for the dynamic case (see Fig. 12b).

#### 4.2. Numerical simulations of flat specimens

The numerical simulations of the flat "dog-bone" shaped specimens were carried out using ABAQUS/Standard non-linear finite element code. The 3D finite element model mesh used has 13,309 elements. The elements used were the same as those in simulating axisymmetric smooth and notched specimens, the C3D8R ABAQUS elements. Five numerical simulations were run, at room temperature, 200 °C, 400 °C, 500 °C and 600 °C. The material was modelled as elastic-plastic with isotropic hardening.

The agreement between experimental and numerical load–displacement (see Fig. 13a) curves was satisfying; nevertheless, the numerical simulation ran at 600 °C was challenging. The elements were subjected to extremely large strains; thus, the elements suffered significant distortions, which led to some degree of uncertainty. In addition, the experimental results

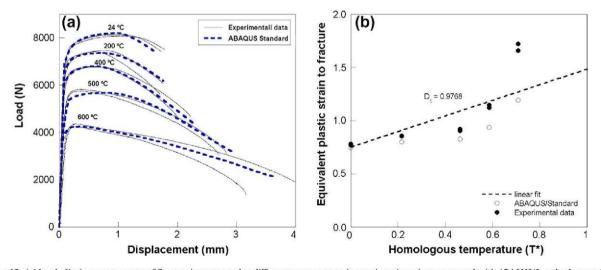


Fig. 13. (a) Load–displacement curves of flat specimens tested at different temperatures in quasi-static regime compared with ABAQUS/Standard numerical simulations. (b) Evolution of the equivalent plastic strain to fracture with the homologous temperature. Hollow circles correspond to ABAQUS/Standard numerical simulations and black circles to the experimental results.

from quasi-static tensile tests at 600 °C were somewhat imprecise due to the large deformations of the specimens and air diffraction inside the temperature chamber. The authors are aware of the uncertainties that these effect might have caused in the values of the equivalent plastic strain to fracture.

The analysis of the minimum cross section at fracture time step was more complex than that conducted for the axisymmetric specimens. The lack of axial symmetry, due to the rectangular shape of the cross section area, implied that the evolution of it would not be uniform. In order to assess the equivalent plastic strain on the minimum cross section area, two imaginary axes were traced: the first one designated as "long axis" and the second one as "short axis" (see Fig. 14). The equivalent plastic strain variation in the short axis was less than in the long one, though the maximum value of both coincided at the same position, the centre of the specimen. Hence, it can be concluded that the most critical elements were again in the centre of the specimen.

#### 4.3. IC fracture criterion constant identification

The calibration of the JC fracture criterion implies that five constants must be identified (see Eq. (4)). Such calibration was carried out using the two data sets, Bridgman's analysis ("lower" fracture limit) and values from critical elements of the numerical simulations. Based on the calibration method used by Johnson and Cook [3], an average of the two data series were used for the model calibration (see Table 2).

The first part of the calibration used the results from the axisymmetric smooth and notched specimens, which had provided information of the values of equivalent plastic strain to fracture as function of stress triaxiality and strain rate. Hence, the first four material constants,  $D_1$ ,  $D_2$ ,  $D_3$  and  $D_4$ , were identified in this part of the calibration.  $D_1$ ,  $D_2$  and  $D_3$  constants belong to the first factor of the Eq. (4), which is triaxiality dependent and  $D_4$  belongs to the second factor of Eq. (4), which is strain-rate dependent. All the axisymmetric specimens were tested at room temperature. Temperature dependency of the equivalent plastic strain is then ignored for the time being. Eq. (4) can be rewritten in this case just with the first two factors only:

$$\bar{\mathcal{E}}_{p}^{f} = [D_{1} + D_{2} \exp(D_{3}\sigma^{*})][1 + D_{4} \ln \dot{\bar{\mathcal{E}}}_{p}^{*}]$$
(12)

Other authors [2,11] have fitted similar expressions minimising the residuals with success. The mathematical expression to minimise is the following:

$$\sum_{i=1}^{N_i} \sum_{j=1}^{N_j} \left\{ \bar{\varepsilon}_p^f \{i, j\} - [D_1 + D_2 \exp(D_3 \sigma^* \{i\})] \left[ 1 + D_4 \ln \frac{\dot{\bar{\varepsilon}}_p \{j\}}{\dot{\varepsilon}_0} \right] \right\}^2$$
(13)

where  $\overline{\varepsilon}_p^f\{i,j\}$  is a matrix with dimension  $i \times j$  and  $\sigma^*\{i\}$  and  $\dot{\varepsilon}_p\{j\}$  are  $1 \times i$  and  $1 \times j$  column matrices respectively. The  $1 \times i$  column matrix records the stress triaxiality values and the  $1 \times j$  column records the strain rate values.  $N_i$  and  $N_j$  are the maximum number of rows and columns of the matrices. The  $i \times j$  matrix contains the values of the equivalent plastic strain to fracture corresponding to rows of the column matrices of the stress triaxiality and strain rate values.

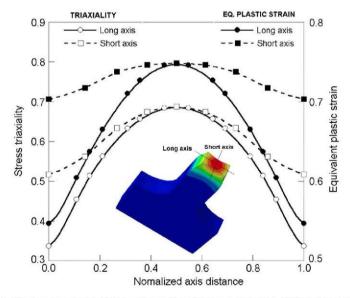


Fig. 14. Equivalent plastic strain profile along two perpendicular axes over the minimum cross section. Profiles obtained from ABAQUS/Standard numerical simulations of room temperature quasi-static tensile test of a flat specimen.

The second part of the calibration consisted in identifying the last material constant,  $D_5$ . In this part only the information provided by the quasi-static tensile tests of flat specimens was used. The equivalent plastic strain to fracture of the specimen tested at room temperature  $[\overline{z}_p^f]_{RT}$  was used as a reference. These specimens were conducted at strain rate of  $5 \times 10^{-4} \, \mathrm{s}^{-1}$ , making the second factor equal to the unity. Due to the low strain rate at which the specimens were conducted, adiabatic heating was not taken into account for  $D_5$  material constant identification. It should be noted that the adiabatic heating effect was introduced by Johnson and Cook in their original calibration [3], because their specimens were tested in a SHPB, where this effect could be neglected.

The Eq. (4) can be now rewritten as:

$$\bar{\mathcal{E}}_{p}^{f} = [\bar{\mathcal{E}}_{p}^{f}][1 + D_{5}T^{*}] \tag{14}$$

Plotting equivalent plastic strain to fracture as function of the homologous temperature, a linear fit with  $D_5$  as the slope could be made (see Fig. 13b).

# 5. Conclusions

In this work a combined calibration methodology has been developed for the calibration of the Johnson–Cook fracture criterion. Even though this calibration is based on Johnson and Cook's original paper [3], it includes some novel considerations. In order to have a more physically meaningful approach, two data sets were defined:

- The first one is the data set designated as "lower" fracture limit. This data set considers initial triaxiality calculated with Bridgman's analysis (Eq. (5)), as constant. This hypothesis leads to a more "conservative" fracture criterion (see Fig. 15). The final (or fracture) values of minimum cross section diameter (or area) gave the equivalent plastic strain to fracture (Eq. (6)).
- The second is the data set designated as "upper" fracture limit. Stress triaxility and equivalent plastic strain values were obtained from numerical simulations. Original JC fracture criterion's calibration averages these values over the entire minimum cross section area of the specimens. With the new approach proposed, the authors sought to relate the numerical simulations with the crack initiation process. In order to obtain the stress triaxialty and the equivalent plastic strain histories that would correspond to the theoretical fracture initiation point, a careful analysis of the minimum cross section area was performed. The most critical elements, located in the centre of the minimum cross section area, were selected and post-processed.

The material constant identification was made by averaging the two data sets. Nevertheless, the authors have considered that also calibrating JC fracture criterion for the "lower" limit data set and "upper" limit data set (see Table 5) could be useful for the reader.

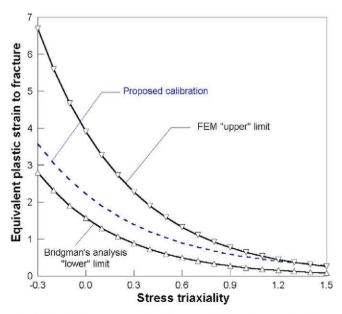


Fig. 15. Equivalent plastic strain to fracture as function of stress triaxiality.

**Table 5**JC fracture criterion calibrations for Bridgman's analysis and numerical simulations.

D <sub>1</sub>	$D_2$	$D_3$	$D_4$	D <sub>5</sub>
Bridgman ("lower" 0.0005	limit) 1.57	-1.93	0.0064	1.1663
Numerical simulati 0.0000	ons ("upper" limit) 3.90	-1.80	0.0070	0.6684

The calibration methodology proposed was applied for the specific case of the FV535 stainless steel. Some difficulties were encountered to perform correct recordings of strain when testing at 600 °C. The authors are aware of the possible errors that might have been committed in the calibration process. The dispersion of the results can be determinant when matching the simulations with the experiments. More careful measurements must be taken in the future for similar experimental procedures. It is intention of the authors to apply the similar calibration methods for different kinds of materials involved in containment tests.

For the case of the FV535 stainless steel the equivalent plastic strain to fracture is not linear with the temperature. The material conserves the linearity until 400 °C, according to that established by Johnson and Cook [3]. However, after exceeding this temperature the evolution of the equivalent plastic strain to fracture with the temperature stops being linear. Some correction should be included in the future for JC fracture criterion to include such a type of behaviour.

This work shows the extensive experimental process necessary to perform the calibration of the JC fracture criterion. In order to improve the calibration methodology of this type of fracture criteria, a deep finite element method analysis was performed. Relating the numerical simulation top a physical process like fracture initiation offers a better understanding of the problem. The final objective of this study is to check the validity of this calibration methodology. To do so, the authors are at the present carrying out ballistic impact tests, which are a good indicator of the correct behaviour of the model.

It must be pointed out that only tensile tests have been used for the JC fracture criterion calibration. Previous studies [16,25] have shown that the plastic flow and fracture behaviour of ductile materials may be J3 (the third invariant of the deviatoric stress tensor) dependent. In other words, the behaviour of the materials might not be the same in tension, compression or shear. Such behaviour has not been addressed in the presenting case of the material here, though it is taking into account in the current research carried out by the authors [26].

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