Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

INDICATORS AND PREDICTORS OF RETURN TO WORK OR EDUCATION FOLLOWING TRAUMATIC BRAIN INJURY

A thesis presented in partial fulfillment of the requirements for the degree of Master of Arts in Psychology

Olivia M Cassin

1998

ABSTRACT

The leading cause of brain damage in previously healthy young adults, many of whom are of working age, is traumatic brain injury (TBI). Research to date generally agrees that TBI can lead to unemployment. However, knowledge about the determinants of return to work following TBI in the New Zealand context is minimal. This is remarkable given that failure to return to work following TBI is associated with tremendous costs. These include economic implications such as contribution to the economy through work Vs the necessity of financial support through government and community funding (i.e. invalids benefits or long-term care facilities). Furthermore, work affects an individual's self-concept; it represents a major social role, offering self-esteem, social contact and social support. Therefore, a need exists to understand various predictors and indicators and their influence on a client's ability to return to work or education following TBI.

The present study was divided into two parts. Part one, was a retrospective analysis of a pre-existing database. In this part, the predictors: pre-injury employment status, job classification at TBI, age at TBI, years of post primary education at TBI, ethnicity, substance abuse after TBI and motor impairments, significantly influenced return to work/education and non return to work/education following TBI. The predictors: age at time of assessment, gender, substance abuse prior to TBI, injury severity, epilepsy, visual difficulties, hearing difficulties and speech difficulties, however, did not. In addition, the indicators: verbal IQ, verbal memory, attention, information processing speed and executive functioning, significantly influenced return to work/education and non return to work/education following TBI, however, the indicators: performance IQ, full scale IQ and visual memory did not.

Part two of the present study was a planned analysis. In this part, the predictors: pre-injury job classification, pre-injury job stability, tertiary qualifications and alcohol use after TBI, significantly influenced a persons job classification following TBI and/or the number of hours they were able to work per week following TBI. However, the predictors: pre-injury employment status,

age, years of post primary education, ethnicity, gender, substance use before TBI, criminal offending, injury severity, early post trauma sequelae, did not. In addition, the indicators; attention, services of a General Practitioner, the length of time a General Practitioners service was received, cognitive ability and activities of daily living as reported by a relative or close other, significantly influenced a persons job classification following TBI and/or the number of hours they were able to work per week following TBI. However, the remaining cognitive sequelae, rehabilitation information, emotional, behavioural sequelae, participant and relative/close other reports, did not.

Qualitative information was also provided by the participant and a relative or close other and the results presented.

In conclusion, the findings of both parts of the present study are discussed in relation to the findings of previous research, together with recommendations for future research.

ACKNOWLEDGEMENTS

I would like to thank my supervisor, Dr Janet Leathem, for her support, motivation and efforts in keeping me on track. In particular, I would like to thank her for her invaluable guidance and time, as well as for always having had an open door when I needed advice.

My thanks to those people who took the time to complete and return the questionnaires.

To my darling Simon, whose enduring love and support made this thesis possible. Thank you for all the hugs and encouragement that kept me going. Special thanks are also due to my Mum and Ken, for always being there in any way I needed you, over all the years I have been studying.

To my all friends, who offered so much reassurance and moral support during the past two years, especially Sonja and Duncan, I really appreciate you both.

TABLE OF CONTENTS

Abstract	
Acknowledgments	
Table of Contents	
List of Tables.	
List of Figures	
List of Appendices	XV
CHAPTER 1: INTRODUCTION	1
CHAPTER 2: TRAUMATIC BRAIN INJURY	3
Definitions	
Incidence	
Etiology	
Classification of Severity of Traumatic Brain Injury	
Sequelae after Traumatic Brain Injury	
Vocational Rehabilitation	
CHAPTER 3: RETURN TO WORK AFTER	
TRAUMATIC BRAIN INJURY	
Introduction	
Rates of Return to Work/Education after Traumatic Brain Injury	
Consequences of Non Return to Work/Education	17
Consequences of New York Laurence	
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF	
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF	20
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION	
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction	20
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors	20 21
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs	20 21
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour	20 21 21
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity	20 21 23 23
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae	20 21 23 23
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators	20 21 23 23 25
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae	20 21 23 23 25 26
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae	20 21 23 25 26 26
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation	20 21 23 25 26 26 29
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae	20 21 23 25 26 26 29
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary	20 21 23 25 26 26 29 31
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation	20 21 23 25 26 26 29 31 33
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study	20 21 23 25 26 26 31 33
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study. CHAPTER 6: METHOD (part one and two)	20 21 23 25 26 26 31 33 35
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study CHAPTER 6: METHOD (part one and two) Overview of the Research Desing	20 21 23 25 26 26 31 33 35 35
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study CHAPTER 6: METHOD (part one and two) Overview of the Research Desing Research Setting	20 21 23 25 26 26 29 31 33 35 35
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study CHAPTER 6: METHOD (part one and two) Overview of the Research Desing Research Setting Ethical Considerations	20 21 23 25 26 26 29 31 33 35 35
CHAPTER 4: REVIEW OF OUTCOME STUDIES OF VARIABLES INDICATIVE AND PREDICTIVE OF RETURN TO WORK OR EDUCATION Introduction Predictors Pre-injury characterisitcs Substance Abuse and Criminal Behaviour Injury Severity Early Post-trauma Sequelae Indicators Cognitive Sequelae Emotional and Behavioural Sequelae Rehabilitation Summary CHAPTER 5: PRESENT STUDY Rationale and Aim for the Study CHAPTER 6: METHOD (part one and two) Overview of the Research Desing Research Setting	20 21 23 25 26 26 31 33 35 35 37

Demographic Characterisitcs	
Employment Characteristics	39
Injury Characteristics	40
Measures	41
Independent Predictor Variables	
Pre-injury Characterisitcs	
Substance Abuse	
Injury Severity	
Post-trauma Sequelae	
Independent Indicator Variables	
Cognitive Sequelae	
Dependent Measure	
Procedure	
Part Two	
Participants	46
Demographic Characterisitcs	
Employment Characteristics	
Injury Characteristics	
Measures	
Independent Predictor and Indicator Variables	
Participant Questionnaire	
Relative/Close Other Questionnaire.	
Emotional and Behavioural Sequelae	
Participant and Relative/Close Other Report	
Dependent Variable	
Participant and Relative/Close Other Commentary	
Procedure	
CHAPTER 7: RESULTS	57
Statistical Analysis	57
Part One - Predictors	
Pre-injury charactersitics	59
Substance Abuse	
Injury Severity	
Early Post-trauma Sequelae	
Part One - Indicators	
Cognitive Sequelae	64
Part Two - Predictors	
Pre-injury Characteristics	66
Substance Abuse	
Criminal Offending	
Injury severity	
Early Post-Trauma Sequelae	
Part Two - Indicators	
Cognitive Sequelae	71
Rehabilitation	
Emotional and Behavioural Sequelae	
Participant and Relative/Close Other Report	
Part Two - Qualitative Information	
Paricipant and Relative/Close Other Commentary	76
i minipatri mini i termini e eleve e tilor e elimitettal juliumi i i i i i i i i i i i i i i i i i i	

CHAPTER 8: DISCUSSION	79
Limitations of the Study (Part One)	
Composition of the Sample	
Pre-existing Database	
Discussion (Part One)	
Pre-injury Characteristics	
Substance Abuse	
Injury Severity	84
Physical Sequelae	
Cognitive Sequelae	85
Limitations of the Study (Part Two)	87
Composition of the Sample	87
Instruments	88
Discussion (Part Two)	89
Pre-injury Characterisitcs	89
Substance Abuse	91
Criminal Offending	92
Injury Severity	92
Length of Unconsciousness	93
Early Post-trauma Sequelae	93
Cognitive Sequelae	93
External	93
Emotional and Behavioural Sequelae	94
Participant and Relative/Close Other Report	95
Participant and Relative/Close Other Commentary	96
Summary	97
Conclusions and Suggestions for Future Research	98
REFERENCES	.101

LIST OF TABLES

Table 1	PTA Duration	.6
Table 2	Symptoms after Mild Traumatic Brain Injury	.7
Table 3	Psychosocial/Emotional Symptoms after Moderate and Severe Traumatic Brain Injury	.9
Table 4	Cognitive Symptoms after Moderate and Severe Traumatic Brain Injury	10
Table 5	Physical Symptoms after Moderate and Severe Traumatic Brain Injury	11
Table 6	Summary of Return to Work Predictors	26
Table 7	Summary of Return to Work Indicators	32
Table 8	Demographic Characteristics	39
Table 9	Demographic Characteristics of Participants According to Employment status at time of TBI	10
Table 10	Traumatic Brain Injury Characteristics	41
Table 11	Participant Demographic Characteristics	17
Table 12	Demographic Characteristics of Participants According to Employment status at time of TBI	18
Table 13	Participants Injury Characteristics	19
Table 14	Respondents Demographic Characteristics	19
Table 15	Participant Questionnaire	50
Table 16	Respondent Questionnaire	52
Table 17	Dimensions Measured by the Symptom Checklist 90 Revised	53
Table 18	The Four Areas of Competency Assessed by the Patient Competency Rating Scale	54
Table 19	Chi Square Analysis of Pre-Injury Employment Status	59

Table 20	Chi Square and Independent t Test Analysis of Demographic Characteristics	61
Table 21	Chi Square Analysis of Substance Abuse	63
Table 22	Chi Square Analysis of Physical Sequelae	.64
Table 23	Independent t Test Analysis of Cognitive Test Scores	.65
Table 24	Chi Square Analysis of Pre-injury Work History Before Traumatic Brain Injury	.67
Table 25	Chi Square Analysis of Demographic Characteristics	.68
Table 26	ANOVA Analysis of Demographic Characteristics	.69
Table 27	ANAOVA Analysis of Substance use after Traumatic Brain Injury	70
Table 28	ANOVA Analysis of Cognitive sequelae	.71
Table 29	Percentage of Service Received by Participants after Traumatic Brain Injury	.73
Table 30	ANOVA Analysis of Rehabilitation Services Received	.74
Table 31	Spearman's Rank-Order Correlation Analysis of the Patient Competency Rating Scale	.76
Table 32	Percentage of Participants and Respondents Ratings of Traumatic Brain Injury Interference with Return to Work	76
Table 33	Percentage of Participants and Respondents Ratings of Ability to Return to Work	.77
Table 34	Percentage of Factors that Helped with Return to Work or Education	.77
Table 35	Percentage of Factors that Hindered Return to Work or Education	.78

LIST OF FIGURES

Figure 1	Percentage distribution of persons with brain injury by major categories of external forces	5
Figure 2	Rates of return to work/education and non-return to work/education according to job classification at the time of traumatic brain injury	60
Figure 3	Rates of return to work/education and non-return to work/education according to education	62
Figure 4	Rates of return to work/education and non-return to work/education according to ethnicity	62

LIST OF APPENDICES

Appendix A	Information Sheet110
Appendix B	Participant Consent Form112
Appendix C	Client's Questionnaire
Appendix D	Relative/Close Other Questionnaire
Appendix E	Patient Competency Rating Scale130
Appendix F	Symptom Checklist 90 Revised134

CHAPTER 1 INTRODUCTION

Due to a substantial reduction in the mortality rate from traumatic brain injuries, an increasing number of persons, many of working age, most with the majority of their working lives ahead of them, have begun to survive traumatic brain injury (TBI) and fail to return to work or education (RTW/E). Failure to RTW/E can significantly impact on the lives of those surviving TBI, in terms of: loss of financial independence, personal autonomy, self-esteem and social contact. Return to productive employment, however, represents a significant challenge after TBI. Successful RTW/E can restore a sense of direction, self worth and provide the foundation for long term personal, family and social readjustment (Tyerman, 1996).

Vocational rehabilitation came into focus in the 1970's as a field that faced the challenge of returning injured persons to productive employment. As technology and medical procedures have continued to expand the numbers of those persons who survive TBI, the rehabilitation industry has faced the need to expand to meet the growing demands placed upon it by survivors of TBI. Vast arrays of short and long-term deficits now challenge the rehabilitation team. Accompanying the process of recovery is often the desire to return to a productive role within the community, and therefore, the need to determine the potential of successful RTW/E and guide the process with care becomes necessary.

Although a relatively large body of research has focused on the predictors and indicators of return to work following TBI, less is known about them in the New Zealand context. The present study aims to add to the research in the area of predictors and indicators of RTW/E in the New Zealand context.

Chapter 2 introduces the concept of TBI and the associated incidence, etiologies, methods of classification and sequelae. Where possible, figures are reported for the New Zealand population, however, due to limited information, figures from overseas are also included.

Chapter 3 outlines the rates of RTW/E as reported by overseas studies as well as by a limited number of studies carried out in New Zealand and Australia. In addition the importance of work is discussed along with accompanying consequences of non-RTW/E.

Chapter 4 discusses the findings of an examination of the current literature in the area of RTW/E following TBI. The various predictors and indicators are systematically reviewed and findings from the respective studies reported and then summarised.

Chapter 5 describes the aims and rationale for the present study, and chapter 6 outlines the methodology for part one and two of the present study. Chapter 7 summarises the results and chapter 8 discusses the relevant findings, their implications and suggestions for further research.