Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

## Effects of Temperature and Coating Treatment on Gas Exchange of 'Braeburn' Apples

A thesis presented in partial fulfilment of the requirements

for the degree of

Master of Applied Science

at

Massey University New Zealand

Qingmin Cheng 1999

#### **Abstract**

Achieving modified atmosphere (MA) effects on fruit through the use of surface coatings relies upon a suitable degree of internal atmosphere modification, which is strongly dependent upon both respiration rate and skin permeance to gases. In this study, skin porosity, skin permeance, internal partial pressures of oxygen and carbon dioxide, and respiration rate were measured at 0°C, 10°C, 20°C and 30°C in non-coated 'Braeburn' apples. Variation in respiration rate, internal partial pressures of oxygen and carbon dioxide, skin permeance to oxygen and carbon dioxide, and the extent to which all of these gas exchange characteristics affected by temperatures of 0°C, 5°C, 10°C, 15°C, 20°C were characterised in both non-coated and coated 'Braeburn' apples. Coating treatments were 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times either a 2% (w/w) solution of hydroxypropylcellulose (HPC) in distilled water, or a commercial formulation of carnauba wax and shellac coating, achieved by mixing the full strength solutions with distilled water.

There was a 6- or 10-fold difference in respiration rate between fruit kept at 0°C and 20°C, or 0°C and 30°C, whilst the relative permeance to both O<sub>2</sub> and CO<sub>2</sub> differed only a factor of 1.7 or 1.5 in non-coated fruit. The differing effects of temperature upon these two variables were responsible for the depression of internal O<sub>2</sub> and elevation of internal CO<sub>2</sub> associated with increase in temperature from 0°C to 20°C or 30°C. There was no evidence that porosity was dependent on temperature, suggesting that the increasing permeance with higher temperatures may have resulted from increasing permeance of the cuticle. The modification of internal atmosphere composition in carnauba-coated fruit depended upon coating concentration and temperature. The effects of HPC coating on internal atmosphere, especially on

internal CO<sub>2</sub> were less marked than those of temperature.

In non-coated fruit, the magnitude of decline in internal O2 was slightly greater than the increase in internal CO<sub>2</sub> over the temperature range in the experiment. For apples that were respiring aerobically, this indicates that the fruit skin had a slightly higher permeance to CO<sub>2</sub> than to O<sub>2</sub>. Since O<sub>2</sub> diffuses through pores were readily than CO<sub>2</sub>. gas exchange of these fruit appeared not to be pore dominated. The suppression of gas exchange by shellac coating was consistent with the coating blocking pores on the fruit surface to an extent that depended on coating concentration. The less pronounced effects of HPC coating in both skin permeance and internal gases were consistent with a coating that loosely covered the fruit surface rather than blocking the pores. Low concentrations of shellac coating achieved low internal O2 levels at higher temperatures but had only slight effects on internal atmosphere composition at low temperatures. Higher concentrations that achieved MA benefit at low temperatures resulted in fermentation at higher temperatures. Given the natural variability in skin permeance, and the exacerbating effects of coating treatment and temperature, surface coatings appear unlikely to provide a reliable and safe means of achieving modified atmosphere benefits in 'Braeburn' apples.

#### **Acknowledgements**

I gratefully thank my chief supervisor, Professor Nigel Banks for his excellent supervision, understanding, his endless patience, his encouragement and his concern for my personal welfare throughout my study and the past three years. Without him, this work would not have been possible. I owe a great debt to him.

I also would like to express my sincere thanks to Dr. Bruce MacKay, my cosupervisor and Dr. Kate Maguire for their helpful support with the statistical analysis.

I am grateful to Sue Nicholson, Peter Jeffery and Anna Kingsley for their assistance with laboratory experiments and valuable help in developing my computer skills. Thanks are also extended to Jason Benge, Nancy Chen and many other post-graduate students in Plant Science for their helpful advice and discussion throughout my study.

My special thanks to my parents, brothers, husband and daughter for their love, understanding and encouragement, without which this study would have been impossible.

## **Table of Contents**

Abst	Abstractii				
Ackr	nowle	dgementsi			
Tabl	Table of Contentsv				
		<b>ures</b> vii			
LIST	or syn	nbols and abbreviationsxi			
Cha <sub>l</sub>	pter 1	General Introduction			
Cha	pter 2	Literature Review			
2.1	Introdu	iction			
2.2	Respir	ation rate of the fruit ( $r_{CO_2}$ )			
	2.2.1	Physiological state			
	2.2.2	Temperature effect on the respiration			
	2.2.3	O <sub>2</sub> and CO <sub>2</sub> effects			
2.3	Skin p	ermeance of the fruit			
	2.3.1	Law of diffusion			
	2.3.2	The structure of the skin			
	2.3.3	Variation in permeance			
	2.3.4	Routes for gas exchange through the skin			
2.4	Gas di	ffusion in fruit flesh			
2.5	Edible	coatings			
	2.5.1	Types of coating			
		2.5.1.1 Lipids and resins			
		2.5.1.2 Polysaccharides			
		2.5.1.3 Proteins			

		2.5.1.4	Composite and bilayer coatings26
	2.5.2	Internal	atmosphere of coated fruit
		2.5.2.1	Type of coating
		2.5.2.2	Pore blockage
		2.5.2.3	Relative humidity ( RH)29
		2.5.2.4	Temperature30
Chap	ter 3		Materials and Methods33
3.1	Experi	ment 1	33
	3.1.1	Gas mea	surements and analysis35
	3.1.2	Respirati	ion rate
	3.1.3	Skin per	meance to gases
3.2	Experi	ment 2	36
Chap	ter 4		Results41
4.1	Porosit	y change	s41
4.2	Tempe	rature eff	ect on respiration rate45
	4.2.1	Non-coa	ted fruit45
	4.2.2	Coated f	ruit
4.3	Tempe	rature eff	ect on skin permeance50
	4.3.1	Non-coa	ted fruit50
	4.3.2	Coated f	ruit
4.4	Tempe	rature eff	ects on internal gas composition57
	4.4.1	Non-coa	ted fruit57
	4.4.2	Coated f	ruit
4.5	Respira	ation and	internal CO <sub>2</sub> vs internal O <sub>2</sub> 65

5.1	Porosity	68
5.2	Respiration	69
5.3	Permeance	70
5.4	Internal gases	72
5.5	Conclusions	75
Ref	erences	77

## **List of Figures**

Figure 2.1	Relationship between internal partial pressure of $O_2$ ( $p_{O_2}^i$ )
	and rate of aerobic respiration and fermentation (Dadzie,
	1992)
Figure 2.2	Diagram of changes in respiration rate with time before
	and after harvest (Watada et al., 1984)
Figure 2.3	Temperature effect on the respiration rate of 'Cox's
	Orange Pippin' and 'Braeburn' apples (Yeasley et al.,
	1997)
Figure 2.4	Transport models for lipid membranes. Model 1: porous
	membrane. Model II: Solubility membrane. Liquid vapour
	interface indicated by double arrows (Schonherr and
	Schmidt, 1979)
Figure 3.1A	Canulated fruit for internal atmosphere sampling and
	porosity measurement
Figure 3.1B	Transverse section of a canulated fruit for internal
	atmosphere sampling and porosity measurement
Figure 3.2	System for measuring porosity of cannulated 'Braeburn'
	apples
Figure 4.1	A typical plot of pressure changes with time after injecting
	5 mL of air into a 'Braeburn' apple
Figure 4.2	Relationship between porosity and skin permeance to $O_2$ of
	'Braeburn' apples
Figure 4.3	Variation in porosity and internal O2 and CO2 of
	'Braeburn' apples

Figure 4.4	4 Variation in porosity of individual 'Braeburn' apple fruit	
	with temperature between 0 and 30°C. Each panel (A, B, C	
	or D) presents data from 10 fruit	. 44
Figure 4.5	Respiration rate of 'Braeburn' apples held at between 0	
	and 20°C	. 46
Figure 4.6	Respiration rate of 'Braeburn' apples held at between 0	
	and 30°C.	. 47
Figure 4.7	Variation in respiration rate $(r_{CO_2}, \text{ mol kg}^{-1} \text{ s}^{-1})$ of	
	'Braeburn' apples associated with temperature and A) HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times 2%	
	HPC solution, and B) carnauba wax at 0, 0.2, 0.4, 0.6, 0.8	
	and 1.0 times commercial formulation	. 49
Figure 4.8	Changes in skin permeance to A), O2; and B), CO2; and	
	relative permeance to C), $O_2$ ; and D), $CO_2$ of 'Braeburn'	
	apples held at between 0 and 20°C	. 51
Figure 4.9	Predicted relationships between $P_{CO_2}$ and $P_{O_2}$ of	
	'Braeburn' apples held at between 0 and 20°C	. 52
Figure 4.10	Changes in skin permeance to A), O2; and B), CO2 of	
	'Braeburn' apples held at between 0 and 30°C.	. 53
Figure 4.11	Variation in skin permeance to $O_2$ ( $P_{O_2}$ , mol s <sup>-1</sup> m <sup>-2</sup> Pa <sup>-1</sup> )	
	of 'Braeburn' apples associated with temperature A) HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times 2%	
	HPC solution, and B) carnauba wax at 0, 0.2, 0.4, 0.6, 0.8	
	and 1.0 times commercial formulation	. 55
Figure 4.12	Variation in skin permeance to $CO_2(P'_{CO_2}, mol s^{-1} m^{-2} Pa^{-1})$	
	of 'Braeburn' apples associated with temperature A) HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times 2%	

	HPC solution, and B) carnauba wax at 0, 0.2, 0.4, 0.6, 0.8	
	and 1.0 times commercial formulation	56
Figure 4.13	Variation in A, internal $O_2(p_{O_2}^i, Pa)$ ; and B, internal $CO_2$	
	partial pressures ( $p_{\text{CO}_2}^i$ , Pa) of 'Braeburn' apples held at	
	between 0 and 20°C.	58
Figure 4.14	Variation in A, internal $O_2(p_{O_2}^i, Pa)$ ; and B, internal $CO_2$	
	partial pressures ( $p_{\text{CO}_2}^i$ , Pa) of 'Braeburn' apples held at	
	between 0 and 30°C.	59
Figure 4.15	Relationships between internal O2 and CO2 partial	
	pressures in 'Braeburn' apples held at between 0 and 20°C	60
Figure 4.16	Relationships between and $P_{\mathrm{O_2}}^{'}$ with A, internal $\mathrm{O_2}\left(p_{\mathrm{O_2}}^{i},\right.$	
	Pa); and B, internal $CO_2$ partial pressures ( $p_{CO_2}^i$ , Pa) of	
	'Braeburn' apples held at between 0 and 30°C	61
Figure 4.17	Variation in internal $O_2$ partial pressure $(p_{O_2}^i, Pa)$ of	
	'Braeburn' apples associated with temperature A) HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times $2\%$	
	HPC solution, and B) carnauba wax at 0, 0.2, 0.4, 0.6, 0.8	
	and 1.0 times commercial formulation	63
Figure 4.18	Variation in internal $CO_2$ partial pressure ( $p_{CO_2}^i$ , Pa) of	
	'Braeburn' apples associated with temperature A) HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times $2\%$	
	HPC solution, and B) carnauba wax at 0, 0.2, 0.4, 0.6, 0.8	
	and 1.0 times commercial formulation	64
Figure 4.19	Variation in respiration rate $(r_{CO_2}, \text{ mol kg}^{-1} \text{ s}^{-1})$ , internal	
	$CO_2$ partial pressure ( $p_{CO_2}^i$ , Pa) of 'Braeburn' apples	
	associated with temperature between 0 to 20°C with HPC	
	surface coatings at 0, 0.2, 0.4, 0.6, 0.8 and 1.0 times 2%	

	HPC solution, and carnauba wax at 0, 0.2, 0.4, 0.6, 0.8 and	
	1.0 times commercial formulation	
Figure 5.1	Schematic diagram for the effects of temperature and	
	surface coaitngs on gas exchange and internal O2 and CO2.	
	Arrow thickness is proportional to the magnitude of effect	
	on permeance 67	

# List of symbols and abbreviations

-a	=	parameter representing proportional rate of decline in
		total gas pressure (s <sup>-1</sup> )
A	=	surface area of fruit (m <sup>2</sup> )
CA	=	controlled-atmosphere
$CO_2$	=	carbon dioxide
$D_{j,k}$	=	diffusion constant of $j$ in medium $k$
$\Delta p_j$	=	difference in partial pressure of gas j between internal and
		external atmospheres (Pa)
$\Delta p^0$	=	total initial pressure difference between internal and
		external atmospheres (Pa)
$\Delta p^{tot}$	=	total pressure difference between internal and external
		atmospheres at time $t$ (Pa).
$\Delta x$	=	film thickness (m)
K	=	parameter representing coefficient of the equation.
$K_m$	=	parameter analagous to a Michaelis-Menten constant (Pa)
M	=	fruit mass (kg)
n	=	absolute amount of gas in a given sample (mol)
$O_2$	=	oxygen
$p^{tot}$	=	total pressure in the external atmosphere (Pa)
$p_{j}^{i}$	=	partial pressure of gas $j$ in the internal atmosphere (Pa)
$p_j^e$	=	partial pressure of gas $j$ in the external atmosphere (Pa)
$p_{\text{CO}_2}^i$	=	partial pressure of CO <sub>2</sub> in the internal atmosphere (Pa)
$p_{O_2}^i$	=	partial pressure of O2 in the internal atmosphere (Pa)
P	=	permeability (mol s <sup>-1</sup> m m <sup>-2</sup> Pa <sup>-1</sup> )

```
permeability to gas j (mol s<sup>-1</sup> m m<sup>-2</sup> Pa<sup>-1</sup>)
P_{i}
                               permeance to gas i (mol s<sup>-1</sup> m<sup>-2</sup> Pa<sup>-1</sup>)
P_j^{'fruit}
                               skin permeance of fruit to gas j (mol s<sup>-1</sup>m<sup>-2</sup> Pa<sup>-1</sup>)
P_i^{',comb}
                               combined permeance of skin and coating to gas j
                               (mol s-1m-2 Pa-1)
P_i^{',coat}
                               permeance of a coating barrier (mol s<sup>-1</sup>m<sup>-2</sup> Pa<sup>-1</sup>)
                               permeance to CO<sub>2</sub> (mol s<sup>-1</sup>m<sup>-2</sup> Pa<sup>-1</sup>)
P_{\rm CO}
                     =
                               permeance to O<sub>2</sub> (mol s<sup>-1</sup>m<sup>-2</sup> Pa<sup>-1</sup>)
                               porosity of the fruit skin (mol s<sup>-1</sup> m<sup>-2</sup> Pa<sup>-1</sup>)
Q_{10}
                               temperature quotient for respiration
                               gas constant (8.3134 m<sup>3</sup> Pa mol<sup>-1</sup> K<sup>-1</sup>)
R
R^2
                               square of the correlation coefficient (r), or proportion of
                               the total variability in the y-values that van be accounted
                               for by the independent variable x.
                               specific rate of transfer of gas j between internal and
r_{i}
                               external atmospheres (mol kg-1 s-1)
                               specific rate of transfer of CO2 between internal and
                    =
r_{\rm CO_2}
                               external atmospheres (mol kg-1s-1)
                               respiration rate at T°C (mol kg-1 s-1)
r_{0}^{T}
                               r_{\rm O_2} at 0°C when oxygen is non-limiting (mol kg<sup>-1</sup> s<sup>-1</sup>)
r_{O_2}^{\max,T}
                               inherent maximum r_{0_2} at T^{\circ}C when oxygen is non-
                     =
                               limiting (mol kg<sup>-1</sup> s<sup>-1</sup>)
                               relative humidity
RH
                    =
                               respiratory quotient when O2 is non-limiting.
RQ^{\infty}
                               solubility coefficient of j in medium k (mol m^{-3} Pa<sup>-1</sup>)
S_{i,k}
t
                               time (s)
T
                               temperature (°C)
```

=

 $V^a$  = added gas volume before injection (m<sup>3</sup>)

 $V^i$  = volume of internal atmosphere within the fruit  $(m^3)$