DOI: 10.1002/ ((please add manuscript number))

Article type: Full Paper

Function Follows Form: Correlation Between the Growth and Local Emission of Perovskite Structures, and the Performance of Solar Cells

M. Ibrahim Dar, *Alexander Hinderhofer, Gwenole Jacopin, Valentina Belova, Neha Arora, Shaik Mohammed Zakeeruddin, Frank Schreiber, Michael Grätzel

Dr. M. I. Dar, Dr. N. Arora, Dr. S. M. Zakeeruddin, Prof. M. Grätzel Laboratory of Photonics and Interfaces, Institute of Chemical Sciences and Engineering, École Polytechnique Fédérale de Lausanne, CH-1015-Lausanne, Switzerland.

Dr. A. Hinderhofer, V. Belova, Prof. F. Schreiber Institut für Angewandte Physik, Universität Tübingen, 72076 Tübingen, Germany

Dr. G. Jacopin

Laboratory of Quantum Optoelectronics, Institute of Condensed Matter Physics, École Polytechnique Fédérale de Lausanne, Lausanne CH-1015, Switzerland

E-mail: ibrahim.dar@epfl.ch

Keywords: Perovskite solar cells, Cathodoluminescence, Electron microscopy, X-ray scattering, Photovoltage

Understanding the relation between the growth and local emission of hybrid perovskite structures, and the performance of the devices based on them demands attention. In this study, we have investigated the local structural and emission features of CH₃NH₃PbI₃, CH₃NH₃PbBr₃ and CH(NH₂)₂PbBr₃ perovskite films deposited under different yet optimized conditions using X-ray scattering and cathodoluminescence spectroscopy, respectively. X-ray scattering shows that CH₃NH₃PbI₃ film involving spin coating of CH₃NH₃I instead of dipping is composed of perovskite structures exhibiting a preferred orientation with [202] direction perpendicular to the surface plane. The device based on the CH₃NH₃PbI₃ film composed of oriented crystals yield a higher photovoltage. In case of CH₃NH₃PbBr₃, while the crystallinity decreases when the HBr solution is used in a single-step method, the photovoltage enhancement from 1.1 to 1.46 V seems largely stemming from the morphological improvements, i.e. a better connection between the crystallites due to a higher nucleation

density. Furthermore, a high photovoltage of 1.47 V obtained from CH(NH₂)₂PbBr₃ devices could be attributed to the formation of perovskite films displaying uniform cathodoluminescence emission. The comparative analysis of the local structural, morphological, and emission characteristics of the different perovskite films support the higher photovoltage yielded by the relatively better performing devices.

1. Introduction

Organic-inorganic metal halide perovskites exhibit the general formula ABX₃, where A stands for an organic cation, B stands for Pb or Sn, and X stands for a halide anion.^[1] In the recent past, the potential of perovskites as emerging materials in the photovoltaic technology has been demonstrated by certifying record efficiencies.^[2,3] The hybrid perovskites exhibit photophysical properties that are suitable for the development of low-cost light harnessing and emitting devices. ^[4] These salient optoelectronic properties are subservient to the dimensions and morphology of the perovskite structures. ^[5,6] Therefore, "function follows form" which is an inverse to the guiding principle in architectural endeavors, seems appropriate to explain the upsurge advancement in the performance of perovskite solar cells. ^[7,8]

The growth of perovskite structures has been tailored by optimizing the synthetic and processing approaches. ^[9] To understand the relation between the chemistry and growth of perovskite structures, various structural and morphological characterization techniques have been explored. The compositional engineering, which falls within the realm of chemistry has been exploited to optimize the bandgap and other desired properties of the hybrid perovskites. ^[10] However, a slight alteration in the precursor composition or the experimental conditions can influence the growth and formation of perovskite structures immensely. ^[3] Initially, it was reported that CH₃NH₃PbI₃ structures obtained in chloride ambience exhibit improved charge carrier dynamics. ^[11] Later, we observed that a definite amount of bromide in lead iodide

precursor plays a similar role, as the performance of the CH₃NH₃PbI₃ devices improved from 14 to >16%. [12] Therefore, for a given composition, it becomes imperative to optimize and understand the growth and crystallographic structure of resulting perovskite materials. [13] By changing the lead precursor from halides to acetates, an improvement in the photovoltaic performance has been realized, which was ascribed to the formation of ultra-smooth CH₃NH₃PbI₂ films. ^[14] Fundamentally, the texture of perovskite films depends on the nucleation and growth and to study these processes, X-ray diffraction (XRD) is undoubtedly the technique of choice. Based on the structural characterization by XRD, a strong link between the lateral dimensions of PbI_2 and perovskite crystals has been observed. ^[15] In further development, while using a real time XRD technique, it was reported that controlling the fractal penetration and orientational transformation observed during the crystallization process of CH₃NH₃PbI₃ would allow the fabrication of good quality perovskite films. ^[16] Furthermore, using in situ XRD to study the crystal growth, the evolution of structure in the CH₃NH₃PbI₃ films deposited by the vapor-assisted method was probed. ^[17] In addition, there are other reports regarding the structural characterization of perovskite structures deposited under various conditions. [18,19,20,21]

Despite these reports, which have mostly focused on a particular perovskite system, there is a dearth of studies providing detailed insights regarding the structural and photophysical properties of perovskite films with their impact on the photovoltaic performance of devices. To this end, herein, we examined the local morphology and orientation of three representatives of perovskites, i.e., CH₃NH₃PbI₃, CH₃NH₃PbBr₃ and CH(NH₂)₂PbBr₃ grains deposited onto mesoporous TiO₂ using field emission scanning electron microscopy and X-ray reciprocal space maps, which allows to determine the distribution of domain orientations. In addition, we studied the emission characteristics of various perovskite films using cathodoluminescence spectroscopy which holds a great potential of bringing out spectral features with a nanometer resolution. [22] Finally, we

fabricated devices based on the CH₃NH₃PbI₃, CH₃NH₃PbBr₃ and CH(NH₂)₂PbBr₃ perovskite films and correlated their performance with the orientation, morphology, and local emission characteristics of perovskite grains. Overall, this concise and comprehensive study contributes towards understanding the correlation between growth and emission features of perovskite structures with their effect on the efficiency of perovskite light-harnessing and -emitting devices.

2. Results and Discussion

Of the various techniques used for the deposition of perovskite films, the films obtained from the solution-based bottom up approaches have been involved in the fabrication of devices displaying record efficiencies. ^[10] In this study, we employed both single- and two-step solution based methods to deposit different perovskite films onto the mesoporous TiO₂ substrates and the conditions optimized for the synthesis are described in the experimental section. The single step method involves the deposition of a mixture of precursors onto a substrate, ^[23] whereas a two-step approach involves the conversion of lead halide films into perovskite phase by spin coating or dipping into a solution containing a definite amount of organic halide. ^[2]

Table 1. Photovoltaic characteristics. Summary of photovoltaic parameters derived from current-voltage curves (Figure S1, S2, and S3) of different perovskite devices based on FTO/compact-TiO₂/mesoporous-TiO₂/perovskite/spiro-OMeTAD/Au architecture measured under an illumination intensity of 100 mW cm⁻².

Sample	Current density (mA/cm²)	Open Circuit voltage (mV)	Fill factor (%)	Efficiency (%)
CH ₃ NH ₃ Pbl ₃ (dipping)	21.0	948	71	14.1
CH₃NH₃Pbl₃ (spining)	21.8	990	72	15.5
CH ₃ NH ₃ PbBr ₃ (without HBr)	0.73	1100	54	0.4
CH ₃ NH ₃ PbBr ₃ (with HBr)	1.5	1462	62	1.4
CH(NH ₂) ₂ PbBr ₃ (DMF)	7.1	1140	67	5.4
CH(NH ₂) ₂ PbBr ₃ (DMF+DMSO)	6.7	1470	69	6.8

2.1. CH₃NH₃PbI₃ (spin coating vs dipping)

Two different CH₃NH₃PbI₃ films were prepared by converting lead iodide films into CH₃NH₃PbI₃ phase by spin coating or by dipping into an isopropanol solution containing a definite amount of methylammonium iodide (MAI). [2,5] Based on the resulting CH₃NH₃PbI₃ films, the devices with an architecture FTO/compact TiO₂/meso-TiO₂/ CH₃NH₃PbI₃/spiro-OMeTAD/Au were fabricated to study the influence of synthetic methodology adopted for the deposition of CH₃NH₃PbI₃ films on the photovoltaic performance of the devices. The photovoltaic parameters extracted from current-voltage (J-V) curves (Figure S1) of CH₃NH₃PbI₃ devices are summarized in **Table 1**. Under an illumination of 100 mW cm⁻², the device based on CH₃NH₃PbI₃ film involving dipping of PbI₂ film into CH₃NH₃I solution, exhibits a short-circuit current density (J_{SC}) of 21 mAcm⁻², open-circuit voltage (V_{OC}) of 948 mV, and a fill factor (FF) of 0.71, resulting in an overall power conversion efficiency (η) of 14.1 %. On the other hand, the device based on CH₃NH₃PbI₃ film involving spin coating of CH₃NH₃I solution onto PbI₂ film, displayed a J_{SC} of 21.8 mAcm⁻², a V_{OC} of 990 mV, and a FF of 0.72, resulting in an overall power conversion efficiency (n) of 15.5 %. The higher efficiency achieved when spin coating strategy was employed arises mainly from a higher V_{OC} (by 42 mV), which is in agreement with the reported literature. ^[2,5]

To correlate the surface morphology of the perovskite films with the performance of devices, we examined both the CH₃NH₃PbI₃ films by field emission scanning electron microscopy (FESEM), which establishes that the surface coverage and morphology of the perovskite structures obtained by dipping (**Figure 1a**) or by spin coating (**Figure 1b**) is ostensibly quite similar. The morphological analysis also illustrated that both the perovskite films contain densely packed asymmetric CH₃NH₃PbI₃ structures with a broad size distribution.

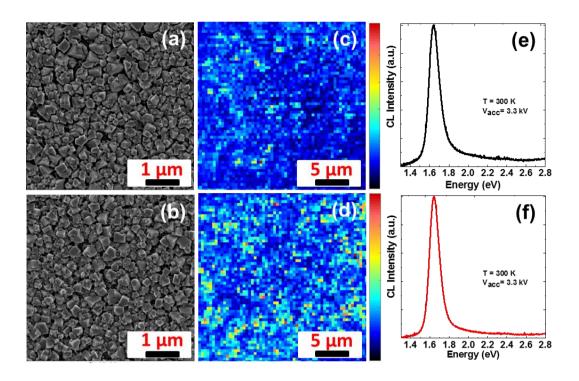


Figure 1. Morphological and cathodoluminescence studies of CH₃NH₃PbI₃ films obtained after dipping or spin coating of CH₃NH₃I solution. (a) SEM micrograph of CH₃NH₃PbI₃ film involving spin coating. (c) cathodoluminescence mapping acquired at 300 K on CH₃NH₃PbI₃ film involving dipping. (d) cathodoluminescence mapping acquired at 300 K on CH₃NH₃PbI₃ film involving spin coating. (e) cathodoluminescence spectrum obtained from CH₃NH₃PbI₃ film involving dipping at 300 K and (f) cathodoluminescence spectrum obtained from CH₃NH₃PbI₃ film involving spin coating at 300 K. (*cathodoluminescence intensity scale bar is from 0.1 to 1*)

From the comparative analysis of photovoltaic characteristics, the major difference observed in the performance stems from the photovoltage (**Table 1**). In principle, exploring emission characteristics CH₃NH₃PbI₃ films can provide direct clues regarding the improvement in V_{OC}. To examine the local emission characteristics of CH₃NH₃PbI₃ structures, we examined the perovskite films using cathodoluminescence (CL). ^[24] Cathodoluminescence spectroscopy holds a great potential of revealing emission characteristics at the nano-regime, which gives it an edge over other techniques, such as conventional photoluminescence. ^[25] **Figure 1c** and **Figure 1d** display CL maps obtained from the CH₃NH₃PbI₃ films, which were prepared by employing dipping and spin coating conditions, respectively. From CL maps, it is

evident that not the entire CH₃NH₃PbI₃ films radiate homogeneously, however the film obtained after spin coating CH₃NH₃I solution exhibits relatively a uniform CL emission. **Figure S4,5** displays a direct correlation between the morphological and emission features. Both the perovskite films exhibit strong and narrow emission spectra (**Figure 1e, f**) attributable to the band edge transition around 1.65 eV with a linewidth of 125 meV. The overall broadening of emission peaks in the perovskites can be explained by involving the temperature-independent inhomogeneous broadening and the interaction between charge carriers and the longitudinal optical phonons. ^[26] Furthermore, the absence of PbI₂ emission peak in the CL spectra (**Figure 1e,f**) clearly demonstrates the absence of degradation of CH₃NH₃PbI₃ material (during the measurement). ^[27]

2.1.1. Structural characterization

To further understand and rationally correlate the performance of devices with the orientation and structure of the CH₃NH₃PbI₃ grains comprising perovskite films, we employed X-ray scattering. CH₃NH₃PbI₃ exhibits three crystallographic phases. ^[28] At room temperature, the tetragonal phase (*I4/mcm*) of CH₃NH₃PbI₃ is thermodynamically the most stable phase, and transforms into the orthorhombic phase (*Pnma*) below 160 K, whereas above 330 K, CH₃NH₃PbI₃ stabilizes into a cubic phase (*Pm3m*). ^[29] The reciprocal space maps of both CH₃NH₃PbI₃ samples (**Figure 2**) show a diffraction pattern consistent with the tetragonal phase and a polycrystalline nucleation behavior. Bragg peak width and integrated intensities do not vary significantly between both samples leading to the conclusion that the crystal quality is very similar.

Figure S6 (Supporting Information) shows a cut through reciprocal space $(q_z = 0)$ for both samples and demonstrates that the crystal structure is identical. However, the angular distributions of Bragg peak intensities are strongly different, as shown exemplarily for the 110 ring $(q = 1.00 \text{ Å}^{-1})$ in **Figure 2c**.

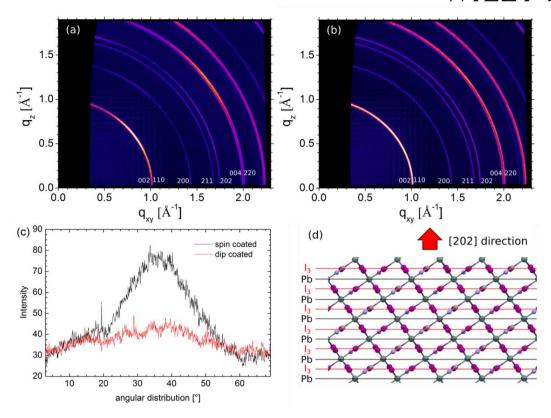


Figure 2. Reciprocal space maps from CH₃NH₃PbI₃ films obtained after dipping or spin coating of CH₃NH₃I solution: (a) spin coating. (b) dip coating. (c) Angular intensity distribution of the 110 Bragg reflection as extracted from (a) and (b), and (d) Sketch illustrating the preferred crystal orientation of the spin coated CH₃NH₃PbI₃ film which exhibits alternating layers of Pb⁺² and iodide. The [202] direction is perpendicular to the substrate.

The spin-coated sample has clearly a preferred orientation with the 110 plane aligned at 36° (FWHM: 22°) relative to the surface plane. In contrast, the dip-coated sample exhibits a nearly random orientation of crystallites without a clearly defined intensity maximum. From the analysis of intensity distributions of additional reflections, we can conclude that the preferred orientation in the spin coated sample is with the [202] direction perpendicular to the sample plane (**Figure 2d**). For this crystal orientation, we have alternating layers of Pb and I parallel to sample plane. Apparently, the CL emission characteristics and the crystallographic orientation of perovskite crystal structures seem to be correlated, which perhaps could explain a higher photovoltage obtained when spin coating conditions were used.

2.2. CH₃NH₃PbBr₃ (role of HBr)

We further extended our investigation towards CH₃NH₃PbBr₃ system, another representative of organic-inorganic lead halide perovskite family. Bromide-based perovskites exhibiting relatively wider bandgaps can be used in tandem solar cells and in driving electrochemical reactions which require high voltages. [30] Earlier, Im and co-workers demonstrated that a definite amount of HBr in the perovskite precursor solution could improve the coverage of CH₃NH₃PbBr₃ films, thus the photovoltaic performance of the devices. ^[31] Here, we also adopted a single-step approach and prepared CH₃NH₃PbBr₃ films with and without adding HBr to the precursor solutions while retaining the mesoscopic architecture. The currentvoltage (J-V) curves of CH₃NH₃PbBr₃ devices recorded under Air-Mass 1.5 global (AM 1.5G) illumination are shown in **Figure S2** (Supporting Information). The device containing the CH₃NH₃PbBr₃ film prepared in absence of HBr exhibits relatively low photovoltaic parameters, such as V_{OC} of 1100 mV (**Table 1**). On the contrary, the device based on CH₃NH₃PbBr₃ film involving HBr, exhibits a remarkable V_{OC} of 1462 mV. The J_{SC} obtained in our case is quite low as compared to that reported by Im and co-workers, [31] which probably stems from the low loading of the perovskite as we used a different device architecture. It is worth emphasizing that our study focuses on understanding the origin of high V_{OC}, which apparently is close to the reported values.

Furthermore, from high magnification SEM micrographs, it is quite evident that the coverage of CH₃NH₃PbBr₃ film obtained in the absence of HBr is relatively poor (**Figure 3a**), whereas the formation of a uniform CH₃NH₃PbBr₃ films was realized, when HBr was added to the precursor solution (**Figure 3b**). We assume that the presence of HBr increases the nucleation density which eventually promotes the formation of CH₃NH₃PbBr₃ films with improved surface coverage. Moreover, the photovoltaic parameters clearly display that the addition of HBr to the CH₃NH₃PbBr₃ precursor solution leads to the realization of significantly high photovoltage. Such an enhancement of V_{OC} from 1100 to 1465 mV

ostensibly could be ascribed to the uniform distribution of perovskite structures over the substrates. However, to further unravel the role of HBr, we recorded CL from the controlled and CH₃NH₃PbBr₃ films obtained in the presence of HBr. CL mapping revealed that the controlled CH₃NH₃PbBr₃ films exhibit a strong but highly non-uniform emission (**Figure 3c**), whereas CH₃NH₃PbBr₃ films obtained in the presence of HBr radiate more uniformly (**Figure 3d**). The CL emission completely follows the morphological features (**Figure S7**). Arguably, such a contrast in the emission features can translate into a higher photovoltage. Both perovskite films exhibit strong and narrow emission spectra (**Figure 3e,f**) around 2.3 eV with a linewidth of 115 meV, in agreement with the band gap of CH₃NH₃PbBr₃. As compared to CH₃NH₃PbI₃, the narrow linewidth observed in CH₃NH₃PbBr₃ could be due to the weaker electron-phonon interactions. [26]

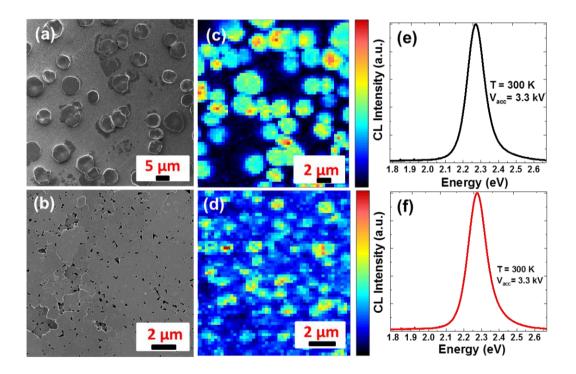


Figure 3. Morphological and cathodoluminescence studies of CH₃NH₃PbBr₃ film obtained in the absence or presence of HBr (a) SEM image of CH₃NH₃PbBr₃ film. (b) SEM image of CH₃NH₃PbBr₃ film involving HBr. (c) cathodoluminescence mapping acquired at 300 K on CH₃NH₃PbBr₃ film. (d) cathodoluminescence mapping acquired at 300 K on CH₃NH₃PbBr₃ film involving HBr. (e) cathodoluminescence spectrum obtained from CH₃NH₃PbBr₃ film at 300 K and (f) cathodoluminescence spectrum obtained from CH₃NH₃PbBr₃ film involving HBr at 300 K. (*cathodoluminescence intensity scale bar is from 0.1 to 1*)

2.2.1. Structural characterization

Unlike CH₃NH₃PbI₃ which crystallizes in a tetragonal structure, CH₃NH₃PbBr₃ exhibits a cubic phase (Pm3m) at room temperature, which could be ascribed to the difference in the ionic radii of halide ions. [32] By lowering the temperature, the cubic phase of CH₃NH₃PbBr₃ transforms into a tetragonal phase (L4/mcm) at 236 K and below 150 K, the existence of a low temperature orthorhombic phase (*Pna2*₁) is expected. [33] Reciprocal space maps of CH₃NH₃PbBr₃ prepared without HBr (**Figure 4a**) and with HBr (**Figure 4b**) exhibit diffraction patterns consistent with the cubic structure. In the sample without HBr, all rings show clearly a sub-structure of minima and maxima. This is a hint that the crystalline domains are relatively large in the range of the scattering volume. Each maximum belongs to one crystalline domain with a specific orientation and not all possible crystal orientations are present in the scattering volume. The scattering volume for the synchrotron beam on the film surface was in the range of 10⁶ µm³ leading to the assumption that each crystalline domain should have a size of several um. This leads to the assumption that the crystallites in **Figure 3a** are really single crystalline domains. By evoking the randomly oriented aggregation type growth mechanism, the formation of single crystalline domains of CH₃NH₃PbBr₃ prepared in the absence of HBr can be explained. [9]

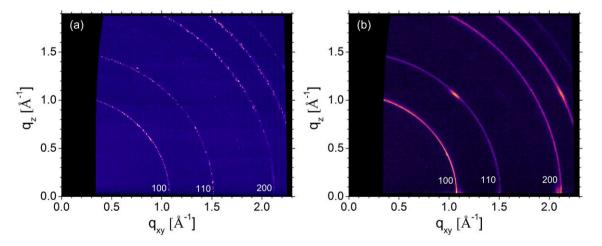


Figure 4. Reciprocal space maps from CH₃NH₃PbBr₃ deposited using different conditions: (a) without HBr and (b) with HBr.

By contrast, in the CH₃NH₃PbBr₃ film prepared with HBr, the crystallite size is much smaller as revealed by the continuous diffraction rings and the peak widths. Calculating the typical coherently scattering crystallite size l from the peak width via $l = 2\pi/\Delta q$ yields l = 18 nm for the sample with HBr and l > 40 nm for samples without HBr, which corresponds to the resolution limit of our measurement. The formation of sub 40 nm crystallites supports the assumption that HBr enhances nucleation density.

2.3. $CH(NH_2)_2PbBr_3$ (influence of solvent)

In solution based bottom-up approaches, solvent plays a critical role in achieving a rational control over the morphology and quality of the perovskite films. ^[8] To understand the effect of solvent on the growth, emission characteristics and the performance of devices, we prepared two CH(NH₂)₂PbBr₃ films from PbBr₂ (DMF) and PbBr₂ (DMF+DMSO) solutions using sequential deposition approach as reported in the literature. ^[34] Previously, it has been reported that DMSO solvent has a tendency to form an adduct with lead iodide and while employing such adducts, the fabrication of high-efficiency solar cells was reported. ^[12,35,36,37,38] Under standard illumination, devices based on CH(NH₂)₂PbBr₃ films prepared when a single solvent (DMF) was used for the deposition of PbBr₂, yielded a V_{OC} of 1140 mV, (Table 1), whereas, under similar conditions, devices based on CH(NH₂)₂PbBr₃, prepared when a stoichiometric mixture of DMF and DMSO solvents was used for the deposition of PbBr₂, displayed an outstanding V_{OC} of 1470 mV (Table 1), in agreement with our previous work. ^[34]

SEM analysis reveals the formation of elongated CH(NH₂)₂PbBr₃ structures (**Figure 5a**) from PbBr₂ (DMF) solution, whereas smooth and uniform CH(NH₂)₂PbBr₃ films (**Figure 5b**) were obtained from PbBr₂ (DMF+DMSO) solution. We further evaluated the role of solvent on the emission characteristics of CH(NH₂)₂PbBr₃ films using room temperature CL. Well-formed CH(NH₂)₂PbBr₃ crystals obtained when pure DMF was employed as a solvent, radiate quite strongly (**Figure 5c**). However, emission is more uniformly distributed in

smoother CH(NH₂)₂PbBr₃ films (**Figure 5d**), which perhaps justifies the higher photovoltage obtained from the devices based on them. In addition, strong and narrow CL spectra around 2.28 eV with a linewidth of 110 meV are exhibited by both CH(NH₂)₂PbBr₃ films (**Figure 5e,f**). The emission is slightly red-shifted as compared to those of CH₃NH₃PbBr₃, thus corroborating with their different band gaps. [39]

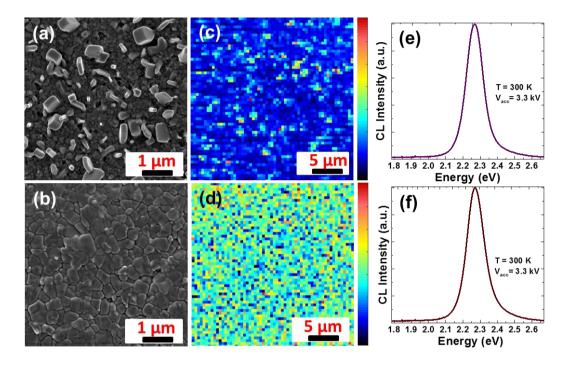


Figure 5. Morphological and cathodoluminescence studies of CH(NH₂)₂PbBr₃ films involving a single or a mixture of solvents (a) SEM image of CH(NH₂)₂PbBr₃ film involving DMF solvent. (b) SEM image of CH(NH₂)₂PbBr₃ film involving a mixture of solvents (DMF and DMSO). (c) cathodoluminescence mapping acquired at 300 K on CH(NH₂)₂PbBr₃ film involving DMF solvent. (d) cathodoluminescence mapping acquired at 300 K on CH(NH₂)₂PbBr₃ film involving a mixture of solvents (DMF and DMSO). (e) cathodoluminescence spectrum obtained from CH(NH₂)₂PbBr₃ film involving DMF solvent acquired at 300 K and (f) cathodoluminescence spectrum obtained from CH(NH₂)₂PbBr₃ film involving a mixture of solvents (DMF and DMSO) acquired at 300 K. (*cathodoluminescence intensity scale bar is from 0.1 to 1*)

2.3.1. Structural characterization

 $CH(NH_2)_2PbBr_3$ crystallizes in a pseudocubic phase (Pm3m) at room temperature. ^[39] Due to the relatively larger size of $CH(NH_2)_2^+$ as compared to $(CH_3)NH_3^+$, spacing between the $PbBr_6$ octahedra expands, which eventually increases the lattice constant of $CH(NH_2)_2PbBr_3$.

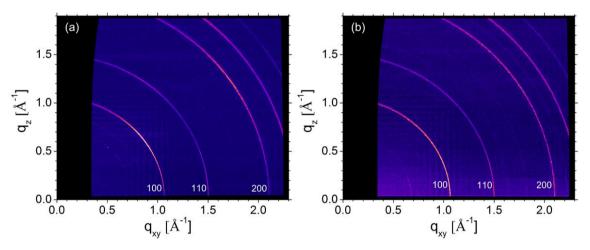


Figure 6. Reciprocal space maps from CH(NH₂)₂PbBr₃ films obtained from a single or a mixture of solvents: (a) with single solvent (DMF) and (b) with solvent mixture (DMF+DMSO).

The reciprocal space maps of $CH(NH_2)_2PbBr_3$ films (**Figure 6**) prepared by different solvents exhibit a diffraction pattern corresponding to the cubic structure with randomly oriented crystallites. ^[34] Line scans at $q_z \approx 0$ Å⁻¹ of both samples reveal that Bragg peak positions as well as peak intensities and widths coincide perfectly (**Figure S8**). With a single solvent (DMF), the crystallites have a preferred orientation with the [1-11] direction perpendicular to the substrate plane. This can be demonstrated from the angular intensity distributions of the diffraction rings (**Figure S9**). This orientation is similar as for $CH_3NH_3PbI_3$ sketched in **Figure 2d** with alternating layers of Pb and Br. Using a mixture of solvents (DMF + DMSO) for the preparation of $CH(NH_2)_2PbBr_3$ films, the preferred crystal orientation vanishes and we obtain a nearly isotropic angular distribution (**Figure S9**). ^[40] From CL analysis, we conclude that the smooth $CH(NH_2)_2PbBr_3$ films shows isotropic distribution of cathodoluminescence, whereas large and oriented $CH(NH_2)_2PbBr_3$ crystals

exhibit highly non-uniform emission. Such variations in the photophysical properties establish that the nature of the solvent employed for the deposition of the perovskite films is an important parameter. Overall, the favorable structural, morphological and emission features of the CH(NH₂)₂PbBr₃ film considerably improve the photovoltage of the resulting devices.

3. Conclusion

A comprehensive study based on X-ray scattering and cathodoluminescence was carried out on CH₃NH₃PbI₃, CH₃NH₃PbBr₃ and CH(NH₂)₂PbBr₃ perovskite films deposited using solution based approaches. The local emission characteristics of the various perovskite films explain the higher photovoltage yielded by the relatively better performing devices. Formation of CH₃NH₃PbI₃ structures exhibiting a preferred orientation with the (202) plane aligned perpendicularly to the surface plane was realized when spin coating of CH₃NH₃I was adopted whereas, the dip-coated film is composed of randomly orientated CH₃NH₃PbI₃ crystallites. From the comparative photovoltaic studies, we noticed that the preferred orientation of CH₃NH₃PbI₃ crystallites is beneficial for the device performance as the V_{OC} increased by 42 mV. While the crystal quality (in terms of structure) of CH₃NH₃PbBr₃ is drastically decreased in the presence of HBr, the photovoltage enhancement from 1.1 to 1.46 V arises largely from the morphological improvements, i.e. a better connection between the crystallites owing to higher nucleation density. In the absence of HBr, the domain size of CH₃NH₃PbBr₃ structures becomes too large which results in disconnected domains and a nonuniform film. Apparently, both factors are detrimental to the device performance. In case of CH(NH₂)₂PbBr₃, the smooth films display relatively a uniform cathodoluminescence and a V_{OC} as high as 1.47 V, whereas the randomly oriented CH(NH₂)₂PbBr₃ crystal formation led to the highly asymmetric CL emission and a V_{OC} of 1.1 V. In addition, the mixed solvent changes both orientation as well as domain size of CH(NH₂)₂PbBr₃ structures. We believe that the fundamental insights gained through our detailed studies will contribute towards further understanding of the hybrid perovskite materials.

4. Experimental Section

Materials: All solvents and lead halides salts were purchased from Sigma-Aldrich, and organic cation salts were purchased from Dyesol. All chemicals and solvents were used as received.

Photoanode preparation and device fabrication: The FTO (NSG 10, Nippon sheet glass, Japan) substrate was cleaned with a detergent, rinsed with de-ionized water and ethanol, and then treated with a UV/O₃ cleaner for 15 min. The aerosol spray pyrolysis was employed to deposit 30 nm-thick TiO₂ compact layer on the substrates at 450 °C using a commercial titanium diisopropoxide bis(acetylacetonate) solution (75% in 2-propanol, Sigma-Aldrich) diluted in ethanol (Sigma-Aldrich) (1:9, volume ratio) as precursor and oxygen as a carrier gas. The TiO₂ commercial paste (Dyesol 30NRD) was spin-coated onto a FTO glass containing spray deposited TiO₂ compact layer. After sintering through a series steps (325 °C for 5 min with 15 min ramp time, 375 °C for 5 min with 5 min ramp time, 450 °C for 15 min with 5 min ramp time, and 500 °C for 15 min with 5 min ramp time), the sintered mesoporous TiO₂ films were used as photoanodes.

Deposition of CH₃NH₃PbI₃ using sequential method: 1.2 M solutions of PbI₂ (99%, Aldrich) was prepared in *N*,*N*-dimethylformamide (DMF, Sigma Aldrich) solvent by constant stirring at 100 °C for 15 minutes. The TiO₂ photoanode films were then coated with PbI₂ by spin-coating at 6500 rpm for 30 s, and dried at 80 °C for 15 min. After cooling to room temperature, 200 μL solution of CH₃NH₃I in 2-propanol (8 mg mL⁻¹) was spin coated on the resulting PbI₂ films with a delay of 120 s for 30 s, and were dried at 80 °C for 15 min. Additionally, instead of spin coating CH₃NH₃I in 2-propanol solution, PbI₂ films were dipped into a solution of CH₃NH₃I in 2-propanol (8 mg mL⁻¹) for 30 seconds, and were dried at 80 °C for 15 min. Deposition of CH₃NH₃PbBr₃ using single-step method: One mole of each PbBr₂ and CH₃NH₃Br were dissolved in 1 mL of *N*,*N*-dimethylformamide solvent. After heating at 80 °C for 2 min, the reaction mixture was deposited onto TiO₂ photoanode films by spin-coating at

3000 rpm for 30 s, and the resulting films were annealed at 110 °C for 15 min. 10% by volume of HBr solution was added to the perovskite precursor solution to obtain CH₃NH₃PbBr₃ films. *Deposition of CH(NH₂)₂PbBr₃ perovskites using sequential method:* 1.2 M PbBr₂ precursor solutions were prepared in DMF and DMSO by constant stirring at 60 °C for 30 min. PbBr₂ (DMF), and PbBr₂ (DMF+DMSO in 1:1 volume ratio) solutions were spin coated onto the mesoporous TiO₂ films at 3000 rpm for 30 s. After annealing the films at 80 °C for 15 min, the cooled films were dipped into isopropanol solution of CH(NH₂)₂Br (50 mM) for 5 min at 60 °C, and dried at 80 °C for 30 min.

Deposition of spiro-OMeTAD: The spiro-OMeTAD was deposited by spin-coating (4000 rpm, 30 s) 40 μL of solution prepared by dissolving 72.3 mg (2,2',7,7'-tetrakis(N,N-di-p-methoxyphenylamine)-9,9-spirobifluorene) (spiro-OMeTAD), 17.5 μL of a stock solution of 520 mg ml $^{-1}$ bis(trifluoromethylsulphonyl)imide lithium-salt in acetonitrile, and 29 μL of a stock solution of 300 mg mL $^{-1}$ tris(2-(1H-pyrazol-1-yl)-4-tert-butylpyridine)cobalt(III) in acetonitrile, and 28.8 μL 4-tert-butylpyridine in 1 mL chlorobenzene. The device fabrication was carried out under controlled atmospheric conditions with humidity <1% and was finally completed by thermally evaporating 70 nm gold layer as a back contact.

Materials characterization: A field-emission scanning electron microscope (FESEM, Merlin) was used to examine the morphology of the perovskite samples. An electron beam accelerated to 3 kV was used with an in-lens detector.

Source at the X04SA MS-Surf-Diffr beamline with a photon energy of 14 keV. [41] The reciprocal space maps (RSM) were measured under grazing incidence geometry with an angle of incidence of 0.15°. Each RSM is assembled from 31*31 small images measured subsequently with a PILATUS area detector at a sample-detector distance of 1340 mm.

Photovoltaic characterization: A 450 W xenon lamp (Oriel, USA) was used as the light source for photovoltaic (*J-V*) measurements. The spectral output of the lamp was filtered using a Schott K113 Tempax sunlight filter (Präzisions Glas & Optik GmbH, Germany) to reduce the mismatch between the simulated and actual solar spectrum to less than 2%. The current-voltage (*J-V*) characteristics of the devices were recorded with a Keithley model 2400 digital source meter (Keithley, USA). The photo-active area of 0.16 cm² was defined using a dark-coloured metal mask. All the (*J-V*) measurements were carried out at a scan speed of 0.02V/s.

Cathodoluminescence: Cathodoluminescence spectroscopy measurements were performed using an Attolight Alalin Chronos 4027 system, working in continuous operation mode. A probe current of 2 nA and acceleration voltage of 3.3 kV has been selected as a result of trade-off between spatial resolution and CL signal intensity. The dwell time of 50 ms at 300 K was chosen for acquiring the data.

Supporting Information

Current-voltage characteristics of difference devices, and XRD, SEM and cathodoluminescence data obtained from different perovskite films. Supporting Information is available from the Wiley Online Library or from the author.

Acknowledgements

M.I.D, S.M.Z and M.G. thank the King Abdulaziz City for Science and Technology (KACST) and Swiss National Science Foundation (SNSF) for financial support. M. I. D., S. M. Z. and M. G. thank funding from the European Union's Horizon 2020 programme, through a FET Open research and innovation action under grantagreement No. 687008. G.J. acknowledges financial support from the Swiss National Science Foundation under project no. 154853. We acknowledge the Paul Scherrer Institut, Villigen, Switzerland for provision of synchrotron radiation at beamline X04SA of the SLS and would like to thank N. Casati and P. Willmott for technical assistance.

Received: ((will be filled in by the editorial staff))

Revised: ((will be filled in by the editorial staff))

Published online: ((will be filled in by the editorial staff))

References

- [1] B. Saparov, D. B. Mitzi, Chem. Rev. 2016, 116, 4558-4596.
- [2] J. Burschka, N. Pellet, S.-J. Moon, R. Humphry-Baker, P. Gao, M. K. Nazeeruddin, M. Gratzel, *Nature* **2013**, *499*, 316-319.
- [3] D. Bi, W. Tress, M. I. Dar, P. Gao, J. Luo, C. Renevier, K. Schenk, A. Abate, F. Giordano, J.-P. Correa Baena, J.-D. Decoppet, S. M. Zakeeruddin, M. K. Nazeeruddin, M. Grätzel, A. Hagfeldt, *Sci. Adv.* **2016,** *2*, e1501170.
- [4] W.-J. Yin, T. Shi, Y. Yan, Adv. Mater. **2014**, 26, 4653-4658.
- [5] J.-H. Im, I.-H. Jang, N. Pellet, M. Grätzel, N.-G. Park, *Nat Nano* **2014**, *9*, 927-932.
- [6] M. I. Saidaminov, A. L. Abdelhady, B. Murali, E. Alarousu, V. M. Burlakov, W. Peng, I. Dursun, L. Wang, Y. He, G. Maculan, A. Goriely, T. Wu, O. F. Mohammed, O. M. Bakr, *Nat. Commun.* **2015**, *6*, 7586.
- [7] H. L. Sullivan, H. Louis The tall office building artistically considered. Lippincott'sMagazine, March 1896
- [8] N. J. Jeon, J. H. Noh, Y. C. Kim, W. S. Yang, S. Ryu, S. I. Seok, *Nat Mater* 2014, 13, 897-903.
- [9] M. I. Dar, N. Arora, P. Gao, S. Ahmad, M. Grätzel, M. K. Nazeeruddin, *Nano Lett.*2014, 14, 6991-6996.
- [10] N. J. Jeon, J. H. Noh, W. S. Yang, Y. C. Kim, S. Ryu, J. Seo, S. I. Seok, . *Nature* 2015, 517, 476-480.

- [11] M. M. Lee, J. Teuscher, T. Miyasaka, T. N. Murakami, H. J. Snaith, *Science* **2012**, *338*, 643-647.
- [12] M. Ibrahim Dar, M. Abdi-Jalebi, N. Arora, T. Moehl, M. Grätzel, M. K. Nazeeruddin, Adv. Mater. 2015, 27, 7221-7228.
- [13] M. I. Dar, M. Abdi-Jalebi, N. Arora, M. Grätzel, M. K. Nazeeruddin, *Adv. Energy Mater.* **2016**, *6*, 1501358
- [14] W. Zhang, M. Saliba, D. T. Moore, S. K. Pathak, M. T. Hörantner, T. Stergiopoulos, S.
 D. Stranks, G. E. Eperon, J. A. Alexander-Webber, A. Abate, A. Sadhanala, S. Yao, Y. Chen,
 R. H. Friend, L. A. Estroff, U. Wiesner, H. J. Snaith, *Nat. Commun.* 2015, 6, 6142.
- [15] J. Schlipf, P. Docampo, C. J. Schaffer, V. Körstgens, L. Bießmann, F. Hanusch, N. Giesbrecht, S. Bernstorff, T. Bein, P. Müller-Buschbaum, *J. Phys. Chem. Lett.* **2015**, *6*, 1265-1269.
- [16] T. Miyadera, Y. Shibata, T. Koganezawa, T. N. Murakami, T. Sugita, N. Tanigaki, M. Chikamatsu, *Nano Lett.* **2015**, *15*, 5630-5634.
- [17] B. Yang, J. Keum, O. S. Ovchinnikova, A. Belianinov, S. Chen, M.-H. Du, I. N. Ivanov, C. M. Rouleau, D. B. Geohegan, K. Xiao, *J. Am. Chem. Soc.***2016**, *138*, 5028-5035.
- [18] A. T. Barrows, S. Lilliu, A. J. Pearson, D. Babonneau, A. D. F. Dunbar, D. G. Lidzey, Adv. Funct. Mater. 2016, 26, 4934-4942.
- [19] S. Lee, J. Feldman, S. S. Lee, *Cryst. Growth Des.* **2016**, *16*, 4744-4751.
- [20] D. T. Moore, H. Sai, K. W. Tan, D.-M. Smilgies, W. Zhang, H. J. Snaith, U. Wiesner,L. A. Estroff, J. Am. Chem. Soc. 2015, 137, 2350-2358.

- [21] A. Sharenko, M. F. Toney, J. Am. Chem. Soc. **2016**, 138, 463-470.
- [22] C. G. Bischak, E. M. Sanehira, J. T. Precht, J. M. Luther, N. S. Ginsberg, *Nano Lett.*2015, 15, 4799-4807.
- [23] H.-S. Kim, C.-R. Lee, J.-H. Im, K.-B. Lee, T. Moehl, A. Marchioro, S.-J. Moon, R. Humphry-Baker, J.-H. Yum, J. E. Moser, M. Grätzel, N.-G. Park, *Sci. Rep.* **2012**, *2*, 591.
- [24] O. Hentz, Z. Zhao, S. Gradečak, *Nano Lett.* **2016**, *16*, 1485-1490.
- [25] M. I. Dar, G. Jacopin, M. Hezam, N. Arora, S. M. Zakeeruddin, B. Deveaud, M. K. Nazeeruddin, M. Grätzel, *ACS Photonics* **2016**, *3*, 947-952.
- [26] A. D. Wright, C. Verdi, R. L. Milot, G. E. Eperon, M. A. Pérez-Osorio, H. J. Snaith, F. Giustino, M. B. Johnston, L. M. Herz, *Nat Commun.* 2016, 7, 11755.
- [27] C. Xiao, Z. Li, H. Guthrey, J. Moseley, Y. Yang, S. Wozny, H. Moutinho, B. To, J. J. Berry, B. Gorman, Y. Yan, K. Zhu, M. Al-Jassim, J. Phys. Chem. C 2015, 119, 26904-26911.
- [28] N. Onoda-Yamamuro, T. Matsuo, H. Suga, J. Phys. Chem. Solids 1992, 53, 935-939.
- [29] T. Baikie, Y. Fang, J. M. Kadro, M. Schreyer, F. Wei, S. G. Mhaisalkar, M. Graetzel,
 T. J. White, *J. Mater. Chem. A* 2013, *1*, 5628-5641.
- [30] M. Schreier, L. Curvat, F. Giordano, L. Steier, A. Abate, S. M. Zakeeruddin, J. Luo, M.T. Mayer, M. Grätzel, *Nat Commun.* 2015, 6, 7326.
- [31] J. H. Heo, D. H. Song, S. H. Im, Adv. Mater. **2014**, 26, 8179-8183.
- [32] J. H. Noh, S. H. Im, J. H. Heo, T. N. Mandal, S. I. Seok, *Nano Letters* **2013**, *13*, 1764-1769.

- [33] N. Onoda-Yamamuro, O. Yamamuro, T. Matsuo, H. Suga, *J. Phys. Chem. Solid* **1992**, 53, 277-281.
- [34] N. Arora, M. I. Dar, M. Hezam, W. Tress, G. Jacopin, T. Moehl, P. Gao, A. S. Aldwayyan, B. Deveaud, M. Grätzel, M. K. Nazeeruddin *Adv. Funct. Mater.* **2016**, *26*, 2846-2854.
- [35] Y. Wu, A. Islam, X. Yang, C. Qin, J. Liu, K. Zhang, W. Peng, L. Han, *Energy Environ*.
 Sci. 2014, 7, 2934-2938.
- [36] W. S. Yang, J. H. Noh, N. J. Jeon, Y. C. Kim, S. Ryu, J. Seo, S. I. Seok, *Science* 2015, 348, 1234-1237.
- [37] N. Ahn, D.-Y. Son, I.-H. Jang, S. M. Kang, M. Choi, N.-G. Park, J. Am. Chem. Soc.2015, 137, 8696-8699.
- [38] W. Li, J. Fan, J. Li, Y. Mai, L. Wang, J. Am. Chem. Soc. 2015, 137, 10399-10405.
- [39] F. C. Hanusch, E. Wiesenmayer, E. Mankel, A. Binek, P. Angloher, C. Fraunhofer, N. Giesbrecht, J. M. Feckl, W. Jaegermann, D. Johrendt, T. Bein, P. Docampo, *J. Phys. Chem. Lett.* **2014**, *5*, 2791-2795.
- [40] J. Cao, X. Jing, J. Yan, C. Hu, R. Chen, J. Yin, J. Li, N. Zheng, J. Am. Chem. Soc.2016, 138, 9919-9926.
- [41] P. R. Willmott, D. Meister, S. J. Leake, M. Lange, A. Bergamaschi, M. Boge, M. Calvi, C. Cancellieri, N. Casati, A. Cervellino, Q. Chen, C. David, U. Flechsig, F. Gozzo, B. Henrich, S. Jaggi-Spielmann, B. Jakob, I. Kalichava, P. Karvinen, J. Krempasky, A. Ludeke,

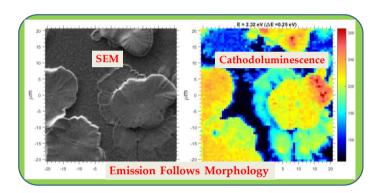
- R. Luscher, S. Maag, C. Quitmann, M. L. Reinle-Schmitt, T. Schmidt, B. Schmitt, A. Streun,
- I. Vartiainen, M. Vitins, X. Wang, R. Wullschleger J. Synchrotron Rad. 2013, 20, 667-682.

The comparative analysis of the local structural, morphological, and emission characteristics of the different perovskite films rationally justifies the higher photovoltage yielded by the relatively better performing devices.

Keywords: Perovskite solar cells, Cathodoluminescence, Electron microscopy, X-ray scattering, Photovoltage

M. Ibrahim Dar, * Alexander Hinderhofer, Gwenole Jacopin, Valentina Belova, Neha Arora, Shaik Mohammed Zakeeruddin, Frank Schreiber, Michael Grätzel

Function Follows Form: Correlation Between the Growth and Local Emission of Perovskite Structures, and the Performance of Solar Cells



Copyright WILEY-VCH Verlag GmbH & Co. KGaA, 69469 Weinheim, Germany, 2016.

Supporting Information

Function Follows Form: Correlation Between the Growth and Local Emission of Perovskite Structures, and the Performance of Solar Cells

M. Ibrahim Dar, *Alexander Hinderhofer, Gwenole Jacopin, Valentina Belova, Neha Arora, Shaik Mohammed Zakeeruddin, Frank Schreiber, Michael Grätzel

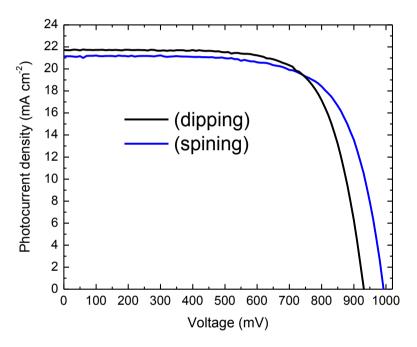


Figure S1. The current-voltage (J-V) curves of CH₃NH₃PbI₃ devices recorded under AM 1.5 global illumination.

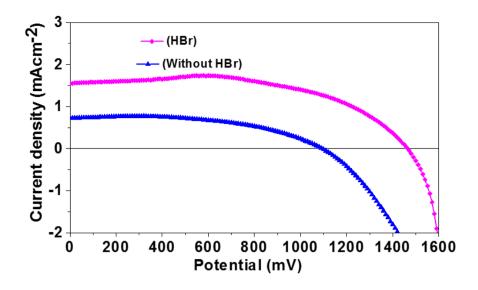


Figure S2. The current-voltage (J-V) curves of CH₃NH₃PbBr₃ devices recorded under AM 1.5 global illumination.

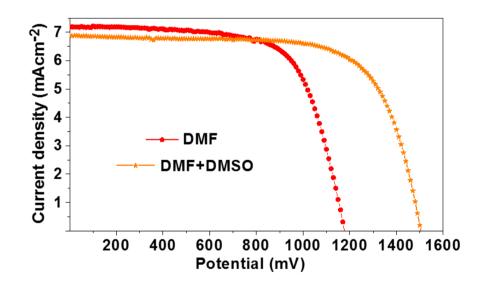


Figure S3. The current-voltage (J-V) curves of CH(NH₂)₂PbBr₃ devices recorded under AM 1.5 global illumination.

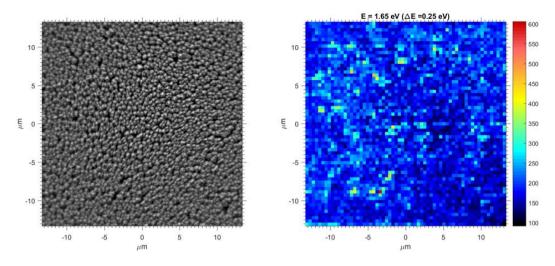


Figure S4. SEM micrograph (left side), and cathodoluminescence mapping (right side) acquired at 300 K from CH₃NH₃PbI₃ film involving dipping.

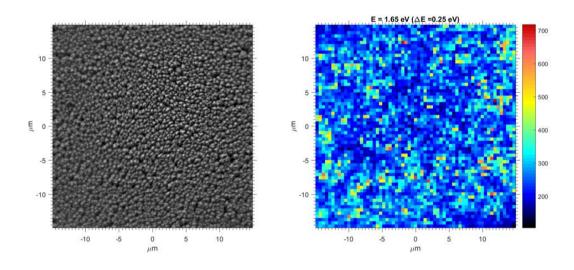


Figure S5. SEM micrograph (left side), and cathodoluminescence mapping (right side) acquired at 300 K from CH₃NH₃PbI₃ film involving spin coating.

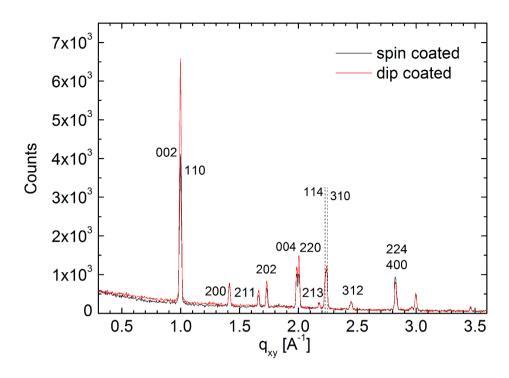


Figure S6. GIXD in-plane scan at $q_z \approx 0 \ \text{Å}^{-1}$ from $CH_3NH_3PbI_3$ involving spinning of MAI and dipping into MAI solution.

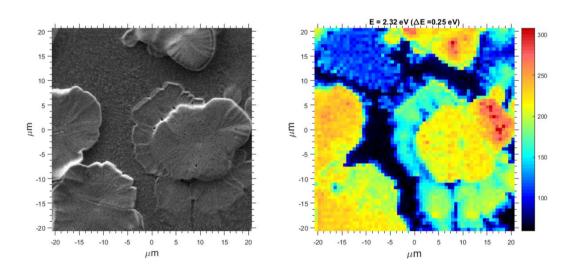


Figure S7. SEM micrograph (left side), and cathodoluminescence mapping (right side) acquired at 300 K on CH₃NH₃PbBr₃ film (without HBr).

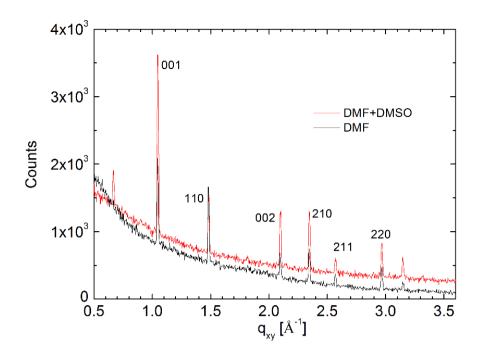


Figure S8. GIXD in-plane scan at $q_z \approx 0 \text{ Å}^{-1}$ from of CH(NH₂)₂PbBr₃ prepared with a single solvent (DMF) and with solvent mixture (DMF+DMSO).

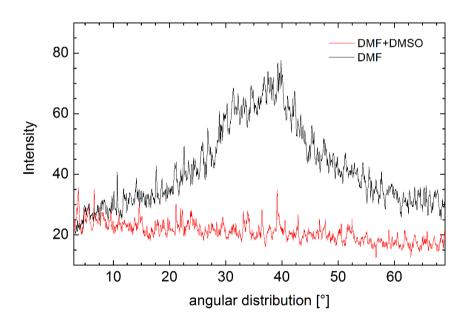


Figure S9. Angular intensity distribution of the 001 Bragg reflection of CH(NH₂)₂PbBr₃ as extracted from Figure 6a and 6b. (a) with single solvent (DMF) (b) with solvent mixture (DMF+DMSO).

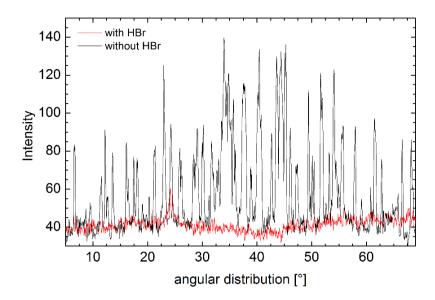


Figure S10. Angular intensity distribution of the 001 Bragg reflection of CH₃NH₃PbBr₃ as extracted from Figure 4a and 4b. (a) without HBr (b) with HBr.

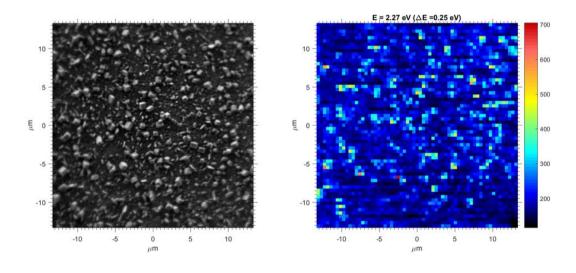


Figure S11. SEM micrograph (left side), and cathodoluminescence mapping (right side) acquired at 300 K on $CH(NH_2)_2PbBr_3$ film involving DMF as a solvent.