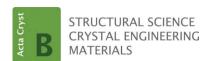


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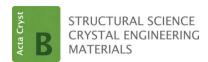


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CH₃NH₃Pbl₃: precise structural consequences of water absorption at ambient conditions

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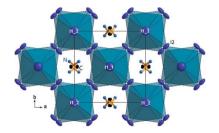
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The crystal structure of the pristine (I) and aged (II) crystals of CH₃NH₃PbI₃ (hereafter MAPbI₃) hybrid organic–inorganic lead iodide has been studied at 293 K with high-precision single-crystal X-ray diffraction using a synchrotron light source. We show that (I) and (II) are characterized by an identical tetragonal unit cell but different space groups: *I*422 for (I) and *P*4₂2₁2 for (II). Both space groups are subgroups of *I*4/*mcm*, which is widely used for MAPbI₃. The main difference between (I) and (II) comes from the difference in hydrogen bonds between the MA⁺ cation and the PbI₃ framework which is the direct consequence of H₂O insertion in the aged crystal (II).

1. Introduction

Organic-inorganic hybrid perovskite CH₃NH₃PbI₃ (MAPbI₃) is currently under precise investigation owing to its application in solar cells (Kojima et al., 2009; Im et al., 2011; Service, 2013; Snaith, 2013; Grätzel, 2014; Spina et al., 2015; Bi et al., 2016; Chang et al., 2016; Hodes & Cahen, 2014). The crystal structure of this compound contains a methyl ammonium (MA⁺) cation, $[H_3C-NH_3]^+$, in the cuboctahedral interstices of the framework formed by [PbI₆] octahedra. Only weak N-H···I hydrogen bonds fix this linear cation in the coordination of 12 I atoms. Hence, statistical disorder over different orientations and short-range correlations for some of them can be expected for the MA⁺ linear cation in such a coordination. These specific features result in a high flexibility of the structure's symmetry depending on conditions, both external (pressure, temperature) and internal (hydrogen bonds stabilizing by the electronic state of I atoms; Lee et al., 2015; Weller et al., 2015). Indeed, two temperature-dependent phase transitions were reported for MAPbI₃: cubic → tetragonal at 330 K and tetragonal → orthorhombic at 161 K (Stoumpos et al., 2013; Baikie et al., 2013). The different tetragonal space groups, such as I4/mcm (Kawamura et al., 2002; Yamada et al., 2015; Dang et al., 2015; Weller et al., 2015), I4cm (Stoumpos et al., 2013; Xie et al., 2015) and I4/m (Baikie et al., 2013), were reported even in the room-temperature phase. The hydrogen bonds have never been studied experimentally using the X-ray diffraction (XRD) technique. Weller et al. (2015) localized H atoms in the tetragonal phase based on powder neutron diffraction experiments at room temperature. However, the non-realistic C-N-H = 84, 84 and 70° angles and a too short N-H =0.67 Å distance called for more detailed investigations.

It is a well known fact that MAPbI₃ is structurally unstable at room temperature. Gradual decomposition can always be observed in air and its rate essentially scales with increasing



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Table 1
Experimental details.

	(I) (pristine crystal)	(II) (aged crystal)
Crystal data		
Chemical formula	PbI ₃ (CH ₆ N)	PbI _{3 - x} (CH ₆ N) _{1 - x} (H ₂ O) _y [x = 0.05 (3); y = 0.09 (2)]
$M_{\rm r}$	619.97	616.41
Crystal system, space group	Tetragonal, I422	Tetragonal, $P4_22_12$
Temperature (K)	293	293
$a, c \stackrel{1}{(A)}$	8.88375 (18), 12.7010 (3)	8.8829 (3), 12.7008 (4)
$V(\mathring{A}^3)'$	1002.38 (5)	1002.17 (7)
Z	4	4
Radiation type	Synchrotron, $\lambda = 0.68362 \text{ Å}$	Synchrotron, $\lambda = 0.68362 \text{ Å}$
$\mu \text{ (mm}^{-1})$	23.28	23.23
Crystal size (mm)	$0.0025 \times 0.0020 \times 0.0015$	$0.0025 \times 0.0020 \times 0.0015$
Data collection		
Diffractometer	Dectris- <i>CrysAlis PRO</i> -abstract goniometer imported dectris images diffractometer	Dectris-CrysAlis PRO-abstract goniometer imported dectris images diffractometer
Absorption correction	Multi-scan	Multi-scan
T_{\min} , \hat{T}_{\max}	0.582, 1.000	0.399, 1.000
No. of measured, independent and observed $[I > 3\sigma(I)]$ reflections	4261, 773, 721	17 735, 4191, 1704
$R_{\rm int}$	0.029	0.071
$(\sin \theta/\lambda)_{\max} (\mathring{\mathbf{A}}^{-1})$	0.759	0.766
Refinement		
$R[F > 3\sigma(F)], wR[F > 3\sigma(F)], S$	0.012, 0.015, 1.19	0.041, 0.058, 1.52
No. of reflections	773	4191
No. of parameters	67	195
No. of restraints	1	2
H-atom treatment	H-atom parameters softly constrained	H-atom parameters softly constrained
$\Delta \rho_{\text{max}}, \Delta \rho_{\text{min}} (\text{e Å}^{-3})$	0.40, -0.36	0.66, -0.88
Absolute structure	305 of Friedel pairs used in the refinement	1031 of Friedel pairs used in the refinement
Absolute structure parameter	0.25 (13)	0.09 (5)

Computer programs: CrysAlisPro (Agilent, 2014), SUPERFLIP (Palatinus & Chapuis, 2007), JANA2006 (Petříček et al., 2014), DIAMOND (Brandenburg & Putz, 2005).

air humidity. A mechanism for the MAPbI₃ crystal structure transformation and its decomposition induced by its exposure to air is very important for understanding the compound's stabilization, its applications and crystal engineering. Xie *et al.* (2015) excluded oxidation as a reason for the decomposition. They show that the crystal structures of the pristine sample and the aged one in dry air are identical. Shirayama *et al.* (2016) investigated a phase transformation occurring near the surface of ultra-smooth MAPbI₃ layers in humid air. They show evidence that the MAPbI₃ degradation can be associated with the formation of PbI₂ and a MAPbI₃ hydrate. However, any structural study of the MAPbI₃ crystal aged in wet air has been missing up to now.

This article fills this gap. The synchrotron radiation XRD experiments performed with an aged crystal exposed to humidity and other high-quality freshly prepared pristine crystals uncover the symmetry changes, the hydrogen-bond patterns and the main differences between the two crystals.

2. Experimental

2.1. Synthesis and crystallization

The pristine CH₃NH₃PbI₃ single crystals (from 5 to 8 mm down to submicron size) were prepared by precipitation from a concentrated aqueous solution of hydriodic acid (57 w% in

 $\rm H_2O,~99.99\%~Sigma-Aldrich)$ containing lead(II) acetate trihydrate (99.999%, Acros Organics) and a corresponding amount of $\rm CH_3NH_2$ solution (40 w% in $\rm H_2O,~Sigma-Aldrich)$. A constant 328–315 K temperature gradient was applied to induce saturation of the solute in the low-temperature region of the solution. After 24 h, submillimeter-sized flake-like nuclei were floating on the surface of the solution. Large cubelike and rhombohedral MAPbI $_3$ crystals with 3–5 mm silvergrey facets were obtained after 7 d. The aged crystals were obtained after keeping the pristine ones in air of 98% $\rm H_2O$ humidity over 2 h at room temperature.

2.2. X-ray diffraction studies

Collections of experimental data of single-crystal diffraction intensities were obtained at room temperature with the radiation wavelength $\lambda=0.68362$ Å using the PILA-TUS@SNBL diffractometer at the Swiss–Norwegian Beam Lines, European Synchrotron Radiation Facility (Dyadkin *et al.*, 2016). *CrysAlisPro* (Agilent, 2014) and *JANA*2006 (Petříček *et al.*, 2014) were used for the experimental data processing and structural calculations, respectively. Other experimental details are listed in Table 1 and are available from the CIF in the supporting information.

2.2.1. Details of reciprocal space affecting the results of the structure refinements. Both the pristine (I) and aged (II)

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 Table 2

 Hydrogen-bond characteristics in the pristine (I) and aged (II) crystals.

Presence of the cation $MA^+ = [CH_3NH_3]^+$ (%)	(I) PbI ₃ (CH ₆ N)	(II) $PbI_{3-x}(CH_6N)_{1-x}(H_2O)_y [x = 0.05 (3); y = 0.09 (2)]$	
		33	59
$N-H\cdots A$	$N-H1n\cdots I2$	$N1-H1n1\cdots I1_2$	$N2-H1n2\cdots I1_2$
$H \cdot \cdot \cdot A \stackrel{\circ}{(A)}$	3.18	2.88	2.84
N-A (Å)	4.017 (17)	3.76 (5)	3.79 (4)
$A - H \cdot \cdot \cdot D$ angle (°)	141.93	146.48	158.44
$N-H\cdots A$	$N-H2n\cdots I2$	$N1-H2n1\cdots I1_1$	N2−H2 <i>n</i> 2···I1_1
$H \cdot \cdot \cdot A \ (\mathring{A})$	3.00	3.09	3.03
N-A (Å)	3.99 (2)	3.93 (5)	4.02 (4)
$A - H \cdot \cdot \cdot D$ (°)	173.69	142.64	167.76
$N-H\cdots A$	$N-H3n\cdots I2$	$N1-H3n1\cdots I2$	$N2-H3n2\cdots I2$
$H \cdot \cdot \cdot A \stackrel{\circ}{(A)}$	3.18	3.06	3.22
N-A (Å)	3.92 (2)	3.95	3.94
$A - H \cdot \cdot \cdot D \ (^{\circ})$	131.83	149.20	130.18
$N-H\cdots A$	$N-H3n\cdots I1_1$		
$H \cdot \cdot \cdot A \ (A)$	3.07		
N-A (Å)	3.85		
$A - H \cdot \cdot \cdot D$ angle (°)	135.68		

crystals show similar tetragonal unit-cell parameters (Table 1). Reciprocal space reconstructions of (I) demonstrate the perfect quality of the crystal studied (Fig. 1, left). The images of (II) (Fig. 1, right) contain reflections of six twin compo-

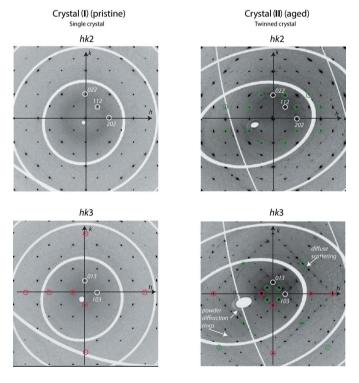


Figure 1 hk2 and hk3 reciprocal space sections of the pristine and aged crystals. Red circles surround some of the h03 and 0k3 reflections violating the condition h, k, l=2n for 0kl and h0l reflections. These reflections are incompatible with the alternating c and b glide planes in the tetragonal space groups l4/mcm of both the pristine and aged crystals. Green circles surround some reflections violating the condition h+k+l=2n. These reflections are incompatible with the l centring of the unit cell in the aged crystal. Diffuse scattering lines and powder diffraction rings are well visible in the aged crystal images.

nents. Three of them are linked by the threefold axis of the perovskite-type unit cell, which is quite common for related compounds of lower symmetry. The centre of symmetry links the three others. According to our final structure refinement, the twin components have the following mass-matrix description: $27\% - (1\ 0\ 0/0\ 1\ 0/0\ 0\ 1); \quad 13\% - (\frac{1}{2}\ \frac{1}{2}\ 1/\frac{1}{2}\ \frac{1}{2} - 1/\frac{1}{2}$ $-\frac{1}{2}\frac{1}{2}0$; $16\% - (\frac{1}{2} - \frac{1}{2}1/-\frac{1}{2}\frac{1}{2}1/-\frac{1}{2} - \frac{1}{2}0)$; 9% - (-100/0 - 10/0) $0\ 0\ -1$); $12\% - \left(-\frac{1}{2} - \frac{1}{2} - \frac{$ $\frac{1}{2} - \frac{1}{2} - \frac{1}{2} \frac{1}{2} = 0$). Unlike (I), crystal (II) displays the image which clearly shows additional powder diffraction rings (Fig. 1, right). Those rings suggest that some additional nucleation centres have been formed, mostly on the surface of crystal (II). The nucleation is associated with the partial decomposition of MAPbI₃ accompanied by the formation of a polycrystalline PbI₂ phase, traces of which have been detected in our powder diffraction experiments performed for the aged crystals. Diffuse scattering lines (Fig. 1, right) indicate some structural disorder, which can be associated with the insertion of the H₂O molecules in the crystal structure of (II). The presence of the powder rings, the diffuse scattering and twinning explain the somewhat lower quality of the refinement for (II) (R =0.0411) in comparison to (I) (R = 0.112).

2.2.2. Space-group determination, structure refinement and chemical composition of the pristine crystal. The collection of experimental data contains no reflections violating the *I*-centring of the unit cell. The Laue class I4/mmm is confirmed by $R_{\rm int}=0.029$. In the data collection, 66/267 observed/all reflections violate the I4/mcm and I4cm space groups commonly considered for (I). These reflections are incompatible with the c-glide planes; the red circles in Fig. 1, left panel, indicate some of them. Hence, only two space groups, I422 and I42m, can be considered as subgroups of I4/mcm in the I4/mmm Laue class. The best results have been obtained in I422. The absence of any forbidden reflections, low R indices [R(F)=0.0112, wR(F)=0.0115] and small variability of residual electron density values ($\Delta \rho_{\rm max}=0.40, \Delta \rho_{\rm min}=-0.36$ e Å $^{-3}$, which are observed in the 2 Å vicinity of the I

and Pb positions) confirm the I422 space group of (I) (Table 1).

The atomic parameters of Pb and I are identical in both tested space groups. However, the selected I422 space group allows the localization of a split position of the $MA^+ = [H_3C -$ NH₃]⁺ cation. C and N have been localized from a series of residual electron-density calculations. Figs. 2 and 3(a) illustrate the localization. The distances C-N = 1.40 (3) Å were softly constrained in the final refinement. The H atoms were found near N and C from the residual electron density calculated after refinement of Pb, I, C and N. The distances C-H = 1.0(1) Å and N-H = 1.0(1) Å were softly constrained in the final refinement together with the tetrahedron angles: N-C-H1c = H1c-C-H2c = H1c-C-H3c= H2c-C-H3c = C-N-H1n = H1n-N-H2n = H1n-N- $H3n = H2n - N - H3n = 109.47^{\circ}$. Four different orientations of one $MA^+ = [H_3C - NH_3]^+$ cation are statistically distributed around the site 4d: $(0\frac{1}{2}\frac{1}{2})$ with a probability of 25% for each orientation fitting the sum occupancy $o(MA^+) = 4 \times 25 =$ 100%. These orientations are shown in Figs. 4(a) and (b). As expected, the main hydrogen bonds between the cation and I

atoms correspond to the NH₃···I connections. The characteristics of these bonds are listed in Table 2.

The nominal chemical composition has been confirmed by refinements of the atomic position occupancies, which show the values $o(I1_1) = o(I1_2) = 1.02(2)$, o(I2) = 1.01(1) and $o(MA^{+}) = 1.02$ (4) with fixed o(Pb) = 1. These values have been fixed as 1.0 during the final refinement. The atomic displacements of Pb and I have been refined in the anharmonic approximation using a tensor of the 5th rank. All refined atomic parameters of (I) are deposited in CIF format in the supporting information.

2.2.3. Space-group determination, structure refinement and chemical composition of the aged crystal. The experimental data collection contains 368/4550 (about 20%) observed/all reflections violating the *I* centring of the unit cell. This estimation takes into account the effect of the twinning described above. Similar to the pristine crystal (I), an essential set of reflections is incompatible with the c-glide plane of symmetry. Some of them are marked in Fig. 1, right panel. No reflections contradict the 4_2 and the coordinate 2_1 symmetry axes. Hence, the space group $P4_22_12$ has been considered for the structure refinement of (II) (Table 1). The low enough R

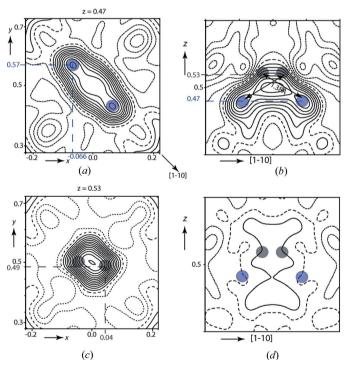


Illustration of the N (blue) and C (grey) atoms' localization with the residual electron density mapping in the pristine crystal structure. The shown sections are calculated in the 4 Å vicinity of the (0 0.5 0.5) point of the unit cell. The solid dashed and striped lines correspond to positive, negative and zero contours, respectively, drawn with a step of 0.05 e Å⁻³ (a) and (b) The maps were calculated after the refinement of Pb and I atoms. The starting atomic coordinates of one symmetrically independent N atom are indicated in blue. The two shown N positions are connected by the twofold axis parallel to c. (c) The indicated starting coordinates of C have been checked after refinement of N, Pb and I atoms. (d) The map obtained after refinement of Pb, I, N and C atoms contains no maxima, which justifies the correct localization of N and C.

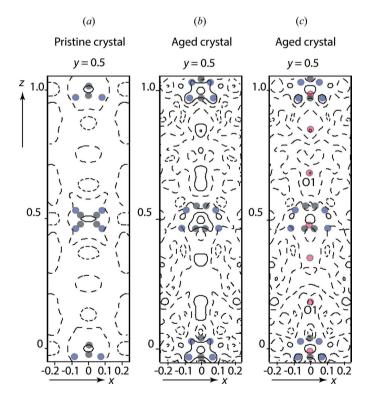


Figure 3 Illustration of the O (H₂O) localization in the aged crystal using the electron density mapping. The shown section is calculated in the vicinity of the 4_2 axis $(0\frac{1}{2}z)$ for (a) the pristine and (b), (c) aged crystals. The sections (a) and (b) calculated after refinements of Pb, I, C, N and H differ by extra maxima in the 4_2 axis of the aged crystal. The section (c) was calculated after fitting those maxima by partially occupied O (red circles). O1 indicates the most probable position of 0.088 (18) refined occupancy. The solid dashed and striped lines correspond to positive, negative and zero contours, respectively, drawn with a step of 0.2 e Å The projections of C (grey) and N (blue) atoms are shown as well.

indices [R(F) = 0.0411, wR(F) = 0.058] and small variability of the residual electron density values ($\Delta \rho_{\text{max}} = 0.66, \Delta \rho_{\text{min}} = -0.88 \text{ e Å}^{-3}$, which is observed in the 2 Å vicinity of I and Pb atoms), justify this space group.

The atomic coordinates of Pb and I in the aged crystal (II) are very similar to those in the pristine one. However, their atomic displacement parameters are essentially higher in (II). These displacements were therefore refined with the anharmonic tensor of the 6th rank. The localization of C, N and H atoms of two symmetrically non-equivalent MA+ cations was performed similarly to that in (I). Similar to (I), the distances C-N = 1.40 (6), C-H = 1.0 (1) and N-H = 1.0 (1) Å were softly constrained in the final refinement. In contrast to the pristine crystal (I), the residual electron density calculated after the localization shows some extra maxima for (II) (Figs. 3a and b), which can be associated with a disordered distribution of H₂O. These maxima are observed in the (0.5 z) 4₂axis (Fig. 3b), which runs through the centres of the MA⁺ cations. The occupancy of the most probable position of O (Fig. 3c) has been refined to 0.088 (18). The occupancy of other O positions is within one standard deviation.

The chemical composition of (II) has been estimated by refinements of the atomic position occupancies. Fixing o(Pb) = 1.0, occupancies of three I and two MA⁺ symmetrically non-equivalent positions were refined. One I position showed $o(I1_1) = 0.96$ (2) < 1, while others appear as fully occupied. The refined occupancies of two MA⁺ non-equivalent cations

Figure 4 Comparison of the pristine (I) and the aged (II) specific features of their crystal structures. The ellipsoids (100% probability) of I atoms and orientations of MA^+ cations (lines connecting C and N) are shown. In (I), (a) and (b), four different orientations (yellow lines) are statistically present with a probability of 25% at each centre of I12-cuboctahedron. In (II), (c) and (d), two of four orientations are present with 29.5% probability (red lines) and two others are present with 16.5% probability (yellow lines). The most probable position of O (H_2O) is shown in red for (II).

differ as 0.167 (12) and 0.295 (12). It means that four orientations of the cation are distributed non-randomly around each centre of its location. The sum occupancy $o(\text{MA}^+) = 2 \times 0.167 (12) + 2 \times 0.295 (12) = 0.92 (5) < 1$. These orientations are shown in Figs. 4(*c*) and (*d*). Finally, the chemical composition of (II) can be written as $PbI_{3-x}(CH_6N)_{1-x}(H_2O)_y$, where x = 0.05 (3) and y = 0.09 (2).

Similar to the pristine crystal (I), the main hydrogen bonds between the cations and I atoms correspond to the $(NH_3)\cdots I$ connections. The characteristics of these bonds are listed in Table 2 separately for two non-equivalent cation positions. All refined atomic parameters of (II) are deposited in CIF format and shown in Table S2 of the supporting information.

3. Results and discussion

Despite the newly defined space groups, the unit-cell parameters of both (I) and (II) are very similar to the published ones by Kawamura *et al.* (2002), Yamada *et al.* (2015), Dang *et al.* (2015), Weller *et al.* (2015), Stoumpos *et al.* (2013), Xie *et al.* (2015) and Baikie *et al.* (2013) for the room-temperature tetragonal phase. Atomic positions of Pb and I found in our study in the unit cell are also practically identical to all of these publications. Similar to Weller *et al.* (2015), we found that the MA⁺ cation is statistically disordered over four of its different orientations. Unlike Weller *et al.* (2015), which processed the structure refinement in the *I4/mcm* space group, we found

reasonable positions not only for C and N, but also for H atoms connected to them in the pristine crystal (I) at room temperature using the proven space group I422. It could be mentioned that for the powder, even the neutron diffracdata cannot distinguish between centrosymmetric and noncentrosymmetric space groups. For the first time, the crystal structure of the aged crystal (II) has also been solved. That allows us to shed light on the MAPbI₃ decomposition in wet air conditions.

Comparative analysis of (I) and (II) shows their topological similarity and differences (Fig. 4), which can be understood as a direct consequence of H_2O insertion in the aged crystal (II). As can be deduced from Fig. 5, inserting the H_2O moves the I2 atoms slightly apart and transforms the system of hydrogen bonds between MA^+ cations and a set of I atoms. Some of the $N-H\cdots I$ hydrogen bonds ($\sim 9\%$) in the pristine crystal (I) switch to a very short interaction distance $H-O(H_2O)=1.16~\mbox{Å}$ in

the aged crystal (II). This can be considered as a reason for the pristine crystal decomposition in the following way. Comparing the H₂O and MA⁺ position occupancies (Table S2 in the supporting information), one can say that their sum is equal to 1 within one standard deviation. This can be interpreted as the fact that H₂O replaces an MA⁺ cation. Such a replacement destroys the structure owing to an imbalance in charge. Indeed, replacement of an MA⁺ cation by a neutral H₂O molecule requires the removal of the corresponding amount of I⁻ anions. This leads to nucleation of PbI₂ and this phase can be identified in the powder diffraction rings in the reciprocal space reconstructions (Fig. 1). In other words, the

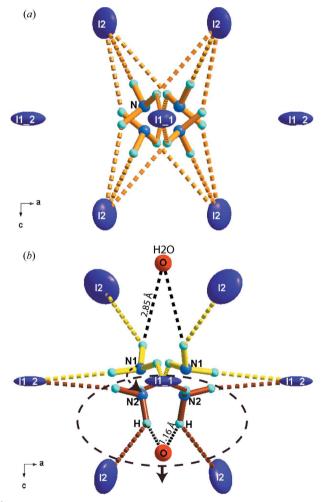


Figure 5 Comparison of the hydrogen bonds in (a) the pristine (I) and (b) the aged (II) crystals. The system of the $N-H\cdots I$ hydrogen bonds connecting the [CH₃NH₃]⁺ cation with the (Pb,I)-framework is shown. The four shown N atoms correspond to the four orientations of the cation. Three corresponding H atoms are shown for each N position. In (I) (a), all 12 hydrogen bonds (orange lines) are statistically present with a probability of 25% for each one. In (II) (b), six bonds (brown lines) corresponding to cation 2 (N2) are present with 29.5% probability and six bonds (yellow lines) corresponding to cation 1 (N1) are present with 16.5% probability for each. The O-H interactions (black dotted lines) are present with a probability of 8.8%. The probabilities of the bonds are defined by the probability of the cation orientations and the O occupancy. The dashed black contour indicates the most probable part of the structure, which leads to its decomposition. The dashed black arrow between N1 and N2 indicates the compensation of the leaving part.

replacement of an MA⁺ cation by a neutral H₂O molecule initiates and supports a process for the compound distortion. This decomposition can be described by the following chemical reaction: $CH_3NH_3PbI_3 + (H_2O) = CH_3NH_2\uparrow + PbI_2\downarrow + (H^+ + I^- + H_2O)$. The asymmetrically inserted H₂O interacts with the MA⁺ cation. This creates different preferences for its four orientations (Fig. 5b). The difference in the four orientations leads to the change of the space group from I422 (I) to $P4_22_12$ (II). The partial structure distortions and decomposition consequently affect atomic displacements of all the atoms especially of (I), which can be observed an increase of their atomic displacement ellipsoids (Figs. 4 and 5). The observation of rods of diffuse scattering indicates a disorder of planar objects; such a disorder indicates that H₂O inserts are not random but correlated (Fig. 1b).

Summarizing the contribution of the present study, we found that the difference between the pristine and the crystal aged in wet air appears as a direct consequence of H_2O insertion in the aged crystal. The H_2O inserts change the system of hydrogen bonds between MA^+ cation and I atoms. This is accompanied by the change of the I422 space group, characteristic of the pristine crystal, into $P4_22_12$, characteristic of the aged crystal. We also found that a partial occupancy of the H_2O positions induces vacancies observed for the MA^+ cation and I atoms in the aged crystal. We also note short-range correlations in the disordered $P4_22_12$ form as manifested in the structured diffuse scattering. These results provide the solid experimental ground necessary for the understanding of the degradation processes in this new family of solar cell materials.

Acknowledgements

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