



A lignocellulosic ethanol strategy via nonenzymatic sugar production: Process synthesis and analysis



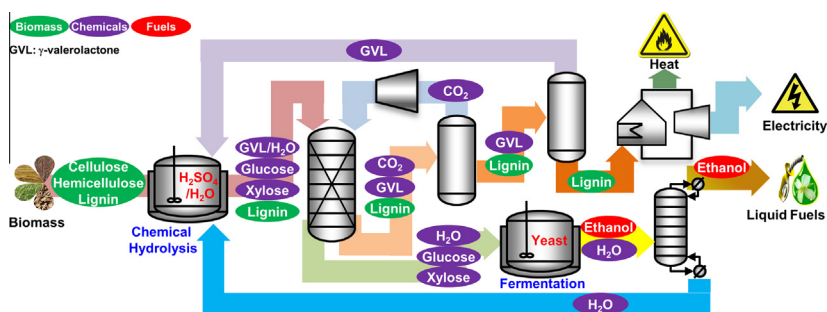
Jecheon Han¹, Jeremy S. Luterbacher, David Martin Alonso, James A. Dumesic, Christos T. Maravelias*

Department of Chemical and Biological Engineering, University of Wisconsin–Madison, Madison, WI 53706, USA

HIGHLIGHTS

- A process based on a new GVL-based biomass hydrolysis technology is studied.
- New separations for recovery of sugars, GVL, and lignin are developed.
- Separations are integrated with biomass-to-sugars and sugar-to-ethanol conversions.
- Proposed strategy is an attractive alternative to enzymatic lignocellulosic ethanol.
- Study identifies future research directions in conversions and separations.

GRAPHICAL ABSTRACT



ARTICLE INFO

Article history:

Received 3 December 2014
Received in revised form 28 January 2015
Accepted 30 January 2015
Available online 7 February 2015

Keywords:

Biofuels
γ-Valerolactone
Process design
Heat integration
Technoeconomic evaluation

ABSTRACT

The work develops a strategy for the production of ethanol from lignocellulosic biomass. In this strategy, the cellulose and hemicellulose fractions are simultaneously converted to sugars using a γ -valerolactone (GVL) solvent containing a dilute acid catalyst. To effectively recover GVL for reuse as solvent and biomass-derived lignin for heat and power generation, separation subsystems, including a novel CO_2 -based extraction for the separation of sugars from GVL, lignin and humins have been designed. The sugars are co-fermented by yeast to produce ethanol. Furthermore, heat integration to reduce utility requirements is performed. It is shown that this strategy leads to high ethanol yields and the total energy requirements could be satisfied by burning the lignin. The integrated strategy using corn stover feedstock leads to a minimum selling price of \$5 per gallon of gasoline equivalent, which suggests that it is a promising alternative to current biofuels production approaches.

© 2015 Elsevier Ltd. All rights reserved.

1. Introduction

The United States used about 13 billion gallons of renewable fuels in 2010, and this number is predicted to rise to 36 billion gallons by 2022 (Schnepf and Yacobucci, 2010). Lignocellulosic biomass can be a potential sustainable source for biofuel production

* Corresponding author. Tel.: +1 608 265 9026; fax: +1 608 262 5435.

E-mail address: christos@engr.wisc.edu (C.T. Maravelias).

¹ Current address: School of Chemical Engineering, Chonbuk National University, Jeonju 561-756, Republic of Korea.

to meet future renewable fuel demands (Daoutidis et al., 2013; Demirbas, 2007). Biofuels, such as bioethanol, biobutanol, and bio-gasoline, can be produced from lignocellulosic biomass via three different process platforms: (1) biochemical, using enzymatic or chemical hydrolysis, followed by microbial fermentation (Anex et al., 2010; Conde-Mejía et al., 2013; Gnansounou and Dauriat, 2010; Kazi et al., 2010; Uppugundla et al., 2014; Zhong et al., 2009); (2) thermochemical, using gasification/pyrolysis and subsequent catalytic upgrading or carbohydrate reforming (Anex et al., 2010; Corma et al., 2011; Huber et al., 2006; Lange, 2007; Pham et al., 2014; Swanson et al., 2010; Wright et al., 2010); and (3)

catalytic, using chemical hydrolysis and dehydration, followed by catalytic upgrading of platform chemicals (Alonso et al., 2010; Bond et al., 2014; Braden et al., 2011; Huber et al., 2006; Lange, 2007; Sen et al., 2012). Among the various competing biofuel technologies, biochemical ethanol production from lignocellulosic biomass has received the most attention in the literature (Humbird et al., 2011; Kazi et al., 2010). Several studies have focused on the synthesis and techno-economic evaluation of biorefineries based on the aforementioned platforms (Dale and Ong, 2014; Floudas et al., 2012; Martin and Grossmann, 2011; Pham and El-Halwagi, 2012; Sammons et al., 2008; Tao et al., 2011; Wright and Brown, 2007).

Cellulose and hemicellulose components of the lignocellulosic biomass are hydrolyzed to 5-carbon (C_5) and 6-carbon (C_6) sugars either by acids (Luterbacher et al., 2014) or enzymes (Kazi et al., 2010). The sugars are then co-fermented or fermented separately either by yeast (Kádár et al., 2007) or bacteria (Olsson and Hahn-Hägerdal, 1996) to produce ethanol. The biomass residues (primarily lignin) are generally used for producing process heat and electricity (Holladay et al., 2007; Kazi et al., 2010). Chemical (acid) hydrolysis may offer economic advantages because of the absence of expensive enzymes (15–20% of the total ethanol production cost) (Humbird et al., 2011; Kazi et al., 2010). However, there are two key challenges: (1) production of sugar degradation products (e.g., furfural, levulinic acid and humins) that leads to low sugar yields; and (2) high capital cost of reaction systems resulting from low sugar concentration (Lee et al., 1999).

Recently, Luterbacher et al. (2014) developed a novel chemical hydrolysis process for sugar production at high yields using γ -valerolactone (GVL) and water as a solvent containing dilute sulfuric acids (SA) as a catalyst. They reported that the sugars could be recovered and effectively concentrated by extraction using liquid CO_2 from the GVL/water solution. Subsequently, the concentrated sugars can be upgraded to ethanol at high yields by co-fermentation using engineered yeast strains (Lau and Dale, 2009). The process can potentially produce sugars more economically than other methods because it does not utilize expensive enzymes (cellulases). Furthermore, GVL is a biomass-derived renewable solvent which is more affordable than currently used enzymes. Finally, this technology offers great flexibility because it leads to sugar intermediates which are compatible with biological or chemical upgrading strategies to a range of higher value materials (e.g., fuels, fuels additives, and chemicals).

However, potential bottlenecks for the further development and commercialization of process based on this technology are (1) the downstream separations necessary to recover sugars (to be fermented to ethanol) from GVL, lignin and humins; and (2) the separation of GVL (to be recycled) from lignin and humins (to be used for heat and power generation). Accordingly, in this paper separation subsystems are developed to carry out the aforementioned separations. The nonenzymatic hydrolysis and separation subsystems have been integrated into a process, to perform a techno-economic analysis. The ultimate goal is to identify the major cost and technological drivers, thereby leading future research efforts in fundamental research in the area of catalysis and separations.

2. Methods

2.1. Process description

The strategy reported herein combines two conversion subsystems for the (1) chemical hydrolysis of hemicellulose and cellulose to soluble sugars; and (2) fermentative upgrading of sugars to ethanol as well as separation subsystems for (1) the separation of sugars (to be fermented to ethanol) from GVL, lignin and humins; and

(2) the separation of GVL (to be recycled) from lignin and humins (to be used for heat and power generation).

2.1.1. Nonenzymatic sugar production

Luterbacher et al. (2014) proposed a two-stage dilute acid reaction system (Fig. 1) for the chemical hydrolysis of hemicellulose and cellulose to C_5 and C_6 sugars. In a two-stage dilute acid reaction system. Corn stover is first treated in GVL–water (4:1 mass ratio) solvent containing 0.15 M SA for 1 h at relatively mild conditions (390 K) with a solvent to biomass mass ratio of 4 (R-1 in Fig 1). In the first reaction stage, hemicellulose is converted to C_5 sugars and furfural at 64.2% and 14.5% molar yields, respectively (reactions 1 and 2 in Table 1), whereas cellulose is converted to C_6 sugars, levulinic acid (LA) and 5-hydroxymethylfurfural (HMF) at 10.3%, 0.9% and 0.6% molar yields, respectively (reactions 3, 4 and 5 in Table 1). Most of the C_5 sugars and lignin are solubilized in the first reaction stage, and the resulting mixture is filtered to separate solid and liquid fractions (S-1). The insoluble materials (containing cellulose and remaining hemicellulose) separated from the liquid mixture (containing xylose, furfural, lignin and GVL/water) are sent to the second reaction stage (stream 4). The liquid mixture (stream 5) is sent to a holding tank (V-1) where it is mixed with the hydrolyzed slurry (stream 6) leaving the second reaction stage prior to being sent to a subsequent separation subsystem for recovering sugars.

The remaining solids (primarily cellulose and some hemicellulose) are then again treated in GVL–water (4:1 wt%) solution containing 5 mM SA for 0.5 h at higher temperatures (430–490 K) with a solvent to biomass mass ratio of 20 (R-2 in Fig 1). The second stage is designed as a vertical continuous countercurrent reactor, in which the liquid flows through the solids, which are advancing in the opposite direction. Therefore, soluble sugars are produced along with the progressive heating of the solids. The hot liquid (490 K) is driven down the reactor and it cools to 430 K, while the cold solids are progressively heated from 430 to 490 K with the solids moving upward. In the second reaction stage, cellulose is converted to C_6 sugars, LA and HMF at 66.5%, 11.2% and 5.4% molar yields, respectively, whereas hemicellulose is converted to C_5 sugars and furfural at 27.3% and 72.7% molar yields, respectively. Based on the liquid collected from both the first and second reactors, soluble C_5 and C_6 sugars are produced at 70% and 69% molar yields, respectively (reactions 1 and 3 in Table 1). Soluble degradation products, such as furfural, LA, HMF and humins, are also formed at 30%, 10.8%, 5.4% and 14.8% molar yields, respectively (reactions 2, 4, 5 and 6 in Table 1).

2.1.2. Separation subsystems

In order to separate the sugars from GVL, Luterbacher et al. (2014) proposed a separation system wherein the aqueous phase containing the sugars was rendered immiscible with GVL by addition of liquid CO_2 at 68 bar and 296 K. This phase separation leads to an increase in total soluble sugar concentration of up to 12.5 wt% and a decrease in GVL concentration down to 1.3 wt% as about 85% of the sugars remain in the aqueous (water) phase, while most of the GVL, lignin and sugar degradation products partition into the organic (CO_2) phase. Following this separation, CO_2 (to be reused in the extraction) has to be separated from GVL and biomass residues (lignin and humins). To accurately model a vapor–liquid equilibrium (VLE) unit operation for this task, the Peng–Robinson equation of state (EoS) was modified based on VLE experiments performed in the lab. For the separation of GVL (to be recycled to the biomass deconstruction system) from biomass residues (to be used for heat and power generation), an evaporation step was used following the suggestion of Han et al. (2014).

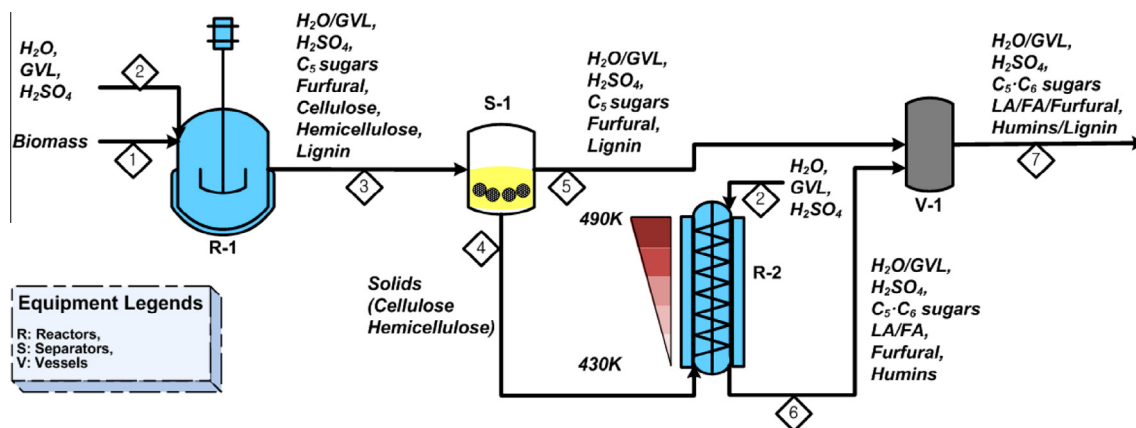


Fig. 1. Process flowsheet for two-stage reaction section.

Table 1
 A summary of reaction yields for the conversion of hemicellulose and cellulose.

Reaction			After 1st-stage (%)	After 2nd-stage (%)	
Hemicellulose	<chem>C1C(C(C(C(O1)O)O)O)O</chem> + H ₂ O	Xylose	(Reaction 1)	64.2	70.0
Hemicellulose	<chem>C1C(C(C(C(O1)O)O)O)O</chem>	Furfural	(Reaction 2)	14.5	30.0
Cellulose	<chem>C1C(C(C(C(C(O1)O)O)O)O)O</chem> + H ₂ O	Glucose	(Reaction 3)	10.3	69
Cellulose	<chem>C1C(C(C(C(C(O1)O)O)O)O)O</chem>	HMF	(Reaction 4)	0.6	5.4
Cellulose	<chem>C1C(C(C(C(C(O1)O)O)O)O)O</chem>	LA	(Reaction 5)	0.9	10.8
Cellulose	<chem>C1C(C(C(C(C(O1)O)O)O)O)O</chem>	FA	(Reaction 6)		14.8
Cellulose	<chem>C1C(C(C(C(C(O1)O)O)O)O)O</chem>	Humins			

2.1.3. Fermentative ethanol production

To achieve microbial fermentation to ethanol, SA needs to be neutralized and GVL concentrations reduced, because they can inhibit microbial growth (Luterbacher et al., 2014). Once this has been achieved, the soluble C₅ and C₆ sugars can be upgraded biologically to 5 wt% of ethanol with 87% molar yields on engineered yeast strains at 303 K for 6 days of fermentation (reactions 7 and 8 in Table 2) (Lau and Dale, 2009; Luterbacher et al., 2014). Note that the nonenzymatic sugar production step can be integrated with other conversion technologies that lead to different fuels, fuel additives, or chemicals. However, we choose to integrate with a sugars-to-ethanol process in order to draw comparisons with the

“benchmark” NREL lignocellulosic ethanol process used widely in the literature.

2.2. Design basis and assumptions

Based on the experimental data and simulation results, the cost for the units in four sections (extraction, CO₂ separation, GVL production, and biomass residues separation) was estimated using Aspen Process Economic Analyzer. The equipment cost of the remaining sections was estimated using an exponential scaling expression based on the equipment size and cost data in the NREL’s design (Humbird et al., 2011; Kazi et al., 2010). The equipment and

Table 2
A summary of reaction yields for the conversion of sugars into ethanol.

Reaction	Yields (%)
$ \begin{array}{c} \text{Glucose} \\ \begin{array}{c} \text{CH}_2\text{OH} \\ \\ \text{C}_1 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_2 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_3 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_4 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_5 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_6 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{CH}_2\text{OH} \end{array} \end{array} \longrightarrow 2 \text{CH}_3\text{CH}_2\text{OH} + 2\text{CO}_2 $	(Reaction 7) 87.0
$ \begin{array}{c} \text{Xylose} \\ \begin{array}{c} \text{CH}_2\text{OH} \\ \\ \text{C}_1 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_2 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_3 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_4 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{C}_5 \\ / \quad \backslash \\ \text{O} \quad \text{O} \\ \quad \\ \text{OH} \quad \text{OH} \\ \\ \text{CH}_2\text{OH} \end{array} \end{array} \longrightarrow 5 \text{CH}_3\text{CH}_2\text{OH} + 5\text{CO}_2 $	(Reaction 8) 87.0

utility costs of the heat exchangers network were also calculated using Aspen Energy Analyzer. To compare the strategy reported herein with previous techno-economic analyses of lignocellulosic biofuel production, enzymatic/biochemical ethanol production (Kazi et al., 2010) and catalytic alkenes production (Han et al., 2014), the same feedstock and processing rate (2000 dry tons of corn stover per day) were selected. The total ethanol production is 50.6×10^6 gal of ethanol yr⁻¹. All the equipment cost is indexed to year 2007, using the Chemical Engineering Plant Cost Index (Lozowski, 2012).

3. Results and discussion

3.1. Process synthesis

Based on the technologies outlined in the previous section, a process for producing a liquid biofuel (ethanol) from lignocellulosic biomass was synthesized. Fig. 2 shows the proposed process which consists of ten main sections: (1) biomass handling, (2) two-stage reaction, (3) extraction, (4) CO₂ separation, (5) GVL production, (6) GVL recovery, (7) fermentation, (8) ethanol purification, (9) wastewater treatment, and (10) heat and power generation.

After physical size reduction (Kazi et al., 2010) and chemical hydrolysis of lignocellulosic biomass (stream 7), GVL removal from the liquid hydrolyzed mixture using liquid CO₂ takes place at 296 K and 68 bar with a CO₂ to feed mass ratio of 0.46. After 5 extraction stages (E-1 in Fig 2), 99.6% of GVL, 99.4% of furfural, 95.1% of LA and 52.0% of HMF are recovered in the CO₂-expanded GVL phase with the liquid CO₂ (stream 10), whereas 85.5% of sugars remain in the SA-containing aqueous phase, which is sent to the fermentation section (stream 8).

Carbon dioxide and GVL have to be separated so that GVL can be reused in the reaction (biomass hydrolysis) and CO₂ can be reused in the extraction. To achieve these tasks, the GVL-rich mixture (stream 10) is first sent to a CO₂ separation system consisting of five vapor–liquid separators (S-2–S-6) connected in series which undergo an increase in temperature (S-2 in Fig 2) and reduction in pressure (S-3–S-6) leading to CO₂ vapor streams. 99% of CO₂ is separated from the GVL-rich stream and recompressed (C-1–C-4) before is sent to the extraction section. Following the CO₂ separation, 33% of the GVL-rich stream (stream 17) is diverted to be vaporized in a gas–solid separator (S-7) for separating and cleaning the GVL from soluble biomass residues (lignin and humins). To make up the loss of GVL, a further 33% of the GVL-rich stream (stream 18) is sent back to the reaction section only after going

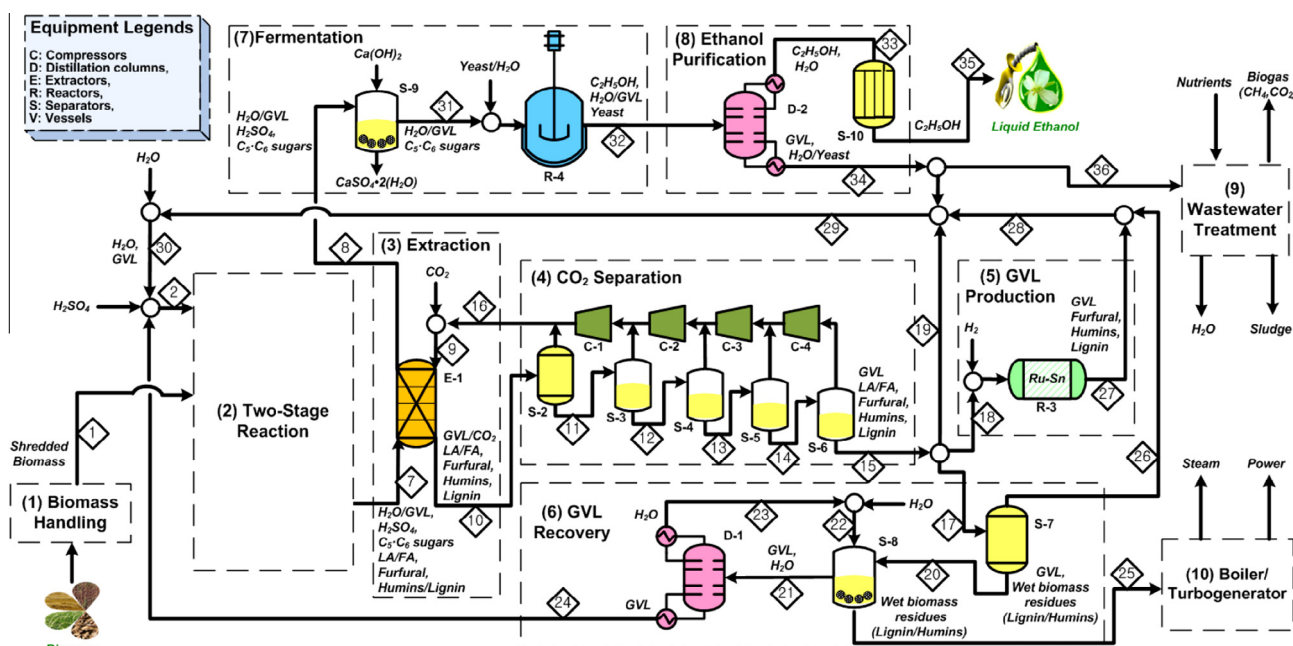


Fig. 2. Process flowsheet for the integrated conversion strategy.

through a catalytic stage where LA is upgraded to GVL using H₂ from FA decomposition over RuSn₄/C catalysts (R-3). The remaining GVL-rich stream (stream 19), containing also lignin and humins, is recycled directly to the two-stage reaction section to reduce the heat load for the evaporation of GVL.

Following the evaporation of GVL (S-7), most of the GVL remaining in the biomass residues is recovered by a liquid–solid separator with addition of water (S-8) followed by a distillation step to recycle the water (D-1) (stream 24), whereas the biomass residues and non-recovered GVL are burned to produce process heat and electricity in the boiler/turbogenerator (stream 25).

Prior to ethanol production, the aqueous stream containing sugars, small amounts of GVL, and SA (stream 8) from the extractor (E-1) is neutralized using Ca(OH)₂, and the precipitate (gypsum) is removed by filtration (S-9), which is assumed to remove 99.5% of the precipitated gypsum and the solids are assumed to contain 20% liquid, as described in the NREL report (Kazi et al., 2010). Sugars present in the neutralized stream are diluted with a water to feed mass ratio of 0.2 and then converted to 5 wt% of ethanol over engineered yeast strains in a fermenter (R-4) at a molar yield of 87% (stream 32). After ethanol–H₂O distillation, 92.5 wt% of ethanol is obtained at the top of the distillation column (D-2) at 366 K and 2 bar (stream 33) and is further dehydrated to 99.5 wt% by vapor-phase molecular sieve adsorption (S-10, stream 35). 47.5% of the water and GVL separated from the distillation bottoms liquid (stream 34) is recycled to the two-stage reaction section and the remaining fraction is sent to the waste water treatment section.

3.2. Heat integration and energy efficiency

A potential drawback of the proposed strategy is the large energy requirement for (1) the recompression of CO₂ to critical pressure (CO₂ separation section); and (2) GVL evaporation (GVL recovery section). These duties are high because of the large solvent:biomass ratio (14:1) in the two-stage reaction system and the large CO₂ requirement for the separation of sugars (GVL-rich solution:CO₂ mass ratio = 2.2:1). In particular, when 2000 tons of corn stover are processed per day (358 MW of energy content) the total heating requirement is 242 MW, while the electricity requirement is estimated to be 14 MW (Fig. 3). Since the energy content of biomass residues is estimated to be 165 MW, the total heating and electricity requirements could not have been satisfied by burning the solids alone.

To address this challenge, heat integration including integration between process streams and unit operations was performed. The heat exchanger network (HEN) requires 31 heat exchangers and results in significant energy recovery (168 MW), thereby reducing the heating (cooling) requirements of the process to 74.4 MW (27.2 MW) as shown in Fig. 4. The electricity requirement of the process is estimated to be 14 MW. This means that the total heating and electricity requirements (88 MW) could be satisfied by burning the biomass residues, assuming that the efficiencies of heat and electricity generation are 71% and 30%, respectively (Kazi et al., 2010). Also, 4.1 MW of net surplus electricity could be sold to the grid. The heat exchanger for transferring heat from the feed stream of the extraction section to the product stream has the largest heat load (32.4 MW).

Fig. 4 shows an energy flow diagram which represents how 100 units (358 MW) of energy input (biomass energy content) are converted into various energy outputs (liquid fuels and electricity) after heat integration. The energy efficiency, which is the ratio of the energy output to the energy input, for the conversion of the biomass energy content into fuels and electricity is estimated to be 42.4%. The energy (heat and electricity) required to run the process is 24.7 units (88.3 MW). As expected, the most energy

intensive section is biomass residue separation by GVL evaporation (44.4 MW, 12.4 units).

3.3. Capital and operating costs

The capital costs of all process sections are shown in Table 3. In addition, the capital requirements of the enzymatic production of ethanol, reported by NREL (Kazi et al., 2010), and the catalytic production of alkene oligomers, reported by Han et al. (2014), are included for comparison. The total installed equipment cost is estimated to be \$225.8 million for the nonenzymatic ethanol production, whereas the total project investment, which includes other direct (e.g., warehouse and site development) and indirect (e.g., engineering, supervision, construction expenses, and contingency) costs, is \$517.2 million. The total installed equipment cost for the nonenzymatic ethanol production is 32.4% higher than that of the catalytic production of alkene oligomers (\$170.5 million) mainly because the catalytic conversion strategy does not use as much solvent due to the absence of a flow-through reaction and does not require extraction/CO₂ separation steps for sugar production and recovery (\$91.9 million of difference), although the cost of biochemical conversions (\$7.2 million) is lower than that of catalytic conversions (\$29.8 million). The cost of biochemical conversions for the strategy reported herein is lower (by \$14.6 million) due to smaller fermentation reactors resulting from lower ethanol production, compared to that of the NREL process. However, the total installed equipment cost for our strategy is higher (\$61.7 million) than that of the NREL because the non-enzymatic strategy (1) has a larger reaction system (\$49.5 million of difference) resulting from lower overall solids loading (7 wt%), compared to that of the NREL process (30 wt%); and (2) requires a CO₂-based extraction and a CO₂ separation section (\$42.8 million of difference).

The total operating cost is estimated to be \$83.9 million yr⁻¹. Feedstock cost is the most significant component not only in the non-enzymatic strategy (69% of the total operating cost), but also in the other strategies, as shown in Table 3. The fixed operating cost (e.g., labor, overhead, insurance and maintenance costs) for the non-enzymatic strategy is \$12.1 million yr⁻¹, which is slightly higher than the other strategies because it is calculated based on the total installed equipment cost. However, the total operating cost for the non-enzymatic strategy is significantly (35.3%) lower than that of the NREL process (\$129.7 million yr⁻¹) because

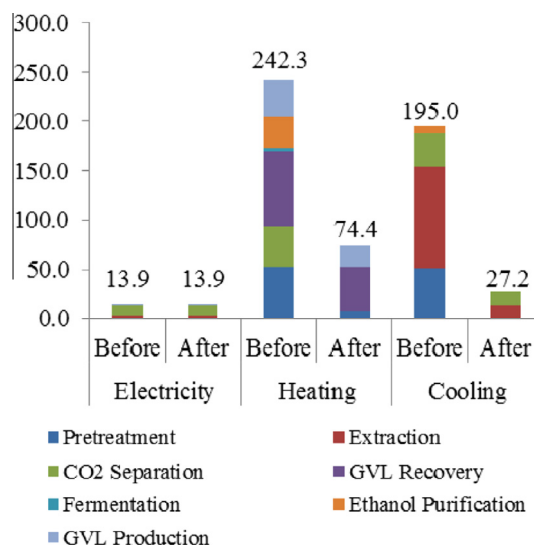


Fig. 3. Energy requirements (MW) of each process section before and after heat integration.

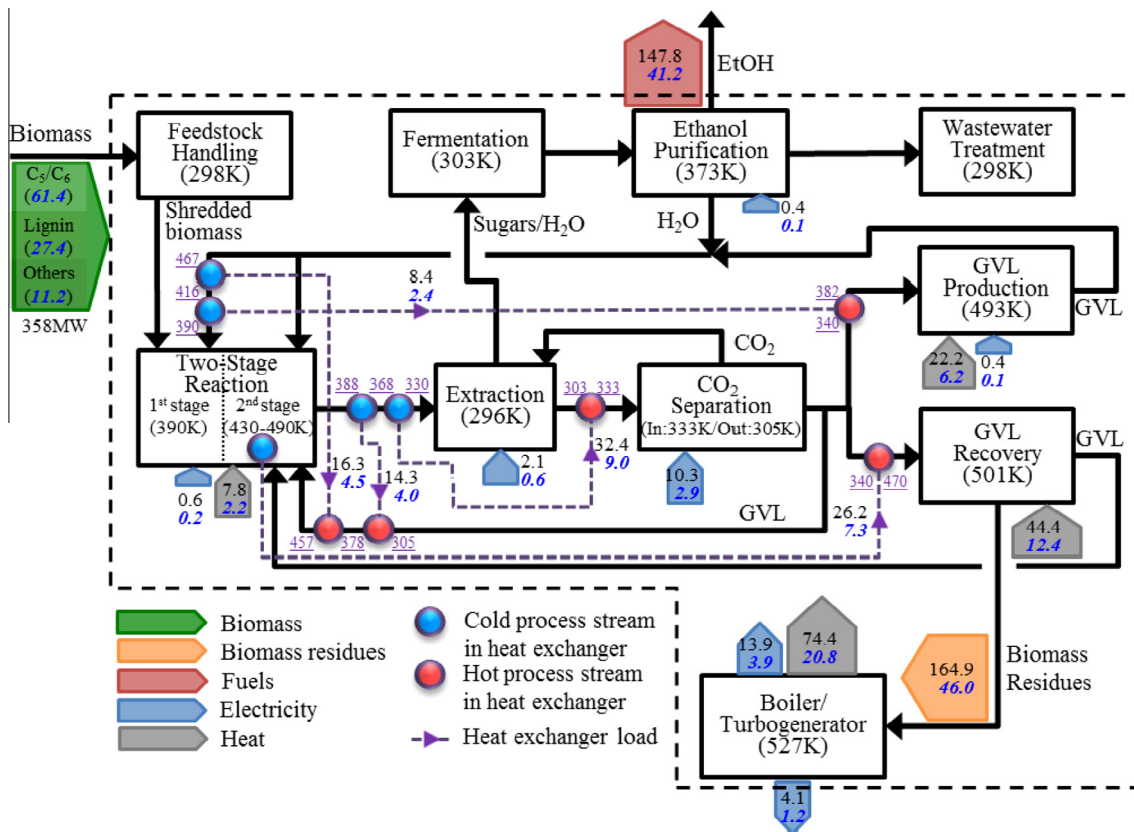


Fig. 4. Energy flow diagram after heat integration. Five major heat loads recovered by heat exchange are also shown. Black numbers refer to MW, blue (bold and italic) numbers refer to % units, and purple (underlined) numbers denote temperatures (K). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Table 3
Capital and operating costs for the non-enzymatic ethanol production strategy, the catalytic alkenes production strategy and the enzymatic ethanol production strategy.

	Capital cost (MM\$)			Operating cost (MM\$/year)		
	Non-enzymatic ethanol production	Catalytic alkenes production (Han et al., 2014)	Enzymatic ethanol production (Kazi et al., 2010)	Nonenzymatic ethanol production	Catalytic alkenes production (Han et al., 2014)	Enzymatic ethanol production (Kazi et al., 2010)
Pretreatment/biomass conversion	85.7	36.6	36.2	Enzyme		37.1
Extraction/CO ₂ separation	42.8			CO ₂ makeup	1.5	
Biochemical conversions	7.2		21.8	Sulfuric and makeup	1.6	0.2
Catalytic conversions	2.0	29.8		Other raw materials	5.4	2.7
Distillation/solids recovery	15.9	17.1	26.1	Waste disposal*	5.0	6.8
Feedstock handling	10.9	10.9	10.9	Catalyst regeneration	0.3	3.5
Wastewater treatment	2.9	8.8	3.5	Utilities usage	0.2	1.2
Boiler/turbogenerator	48.5	55.5	56.1	Fixed costs	12.1	10.1
Utilities	6.9	8.7	6.3	Feedstock	57.9	57.9
Storage	3.1	3.2	3.2			
Total	225.8	170.5	164.1	Total	83.9	77.7

* Waste disposal costs include gypsum disposal following the sulfuric acid neutralization during fermentation and other solid waste disposal (e.g., ash) during boiler/turbogenerator.

material costs (sulfuric acid and CO₂ costs, \$3.1 million yr⁻¹) are lower than those of the NREL process (sulfuric acid and enzyme costs, \$39.5 million yr⁻¹). The total operating cost for the non-enzymatic strategy, on the other hand, is slightly (7.9%) higher than that of the catalytic production of alkene oligomers due to

higher fixed operating costs and material costs including other raw materials (e.g., lime, boiler chemicals, wastewater chemicals) resulting from the fact that the catalytic conversion strategy has no sugar production/recovery steps and higher overall solids loading (16 wt%) in biomass deconstruction reactor (Han et al., 2014).

Table 4
Comparison of costs and revenues of the non-enzymatic ethanol production strategy with the catalytic alkenes production strategy and the enzymatic ethanol production strategy (\$/GGE).

	Non-enzymatic ethanol production		Catalytic alkenes production (Han et al., 2014)		Enzymatic ethanol production (Kazi et al., 2010)	
	Revenues	Costs	Revenues	Costs	Revenues	Costs
Production		2.50		3.00		3.66
Capital depreciation		0.67		0.66		0.46
Average income tax		0.55		0.56		0.40
Average ROI		1.32		1.30		0.93
Electricity income	0.06		0.09		0.33	
Fuel income	4.99		5.43		5.13	

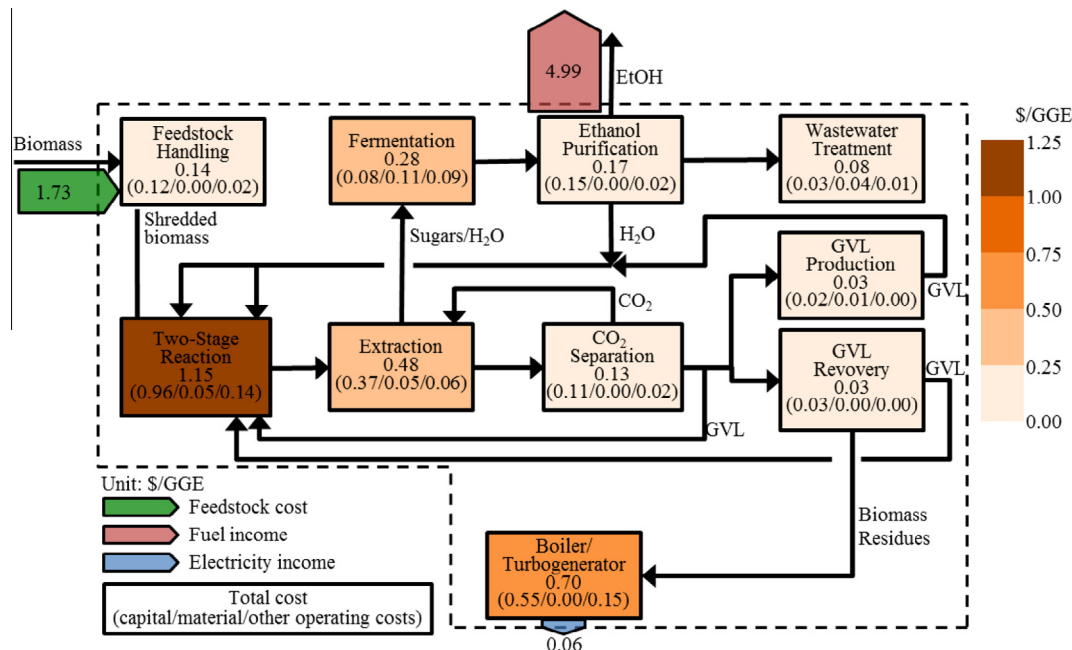


Fig. 5. Cost contribution per process section (\$ GGE⁻¹). The total cost (\$5.05 GGE⁻¹) includes also utilities (capital = \$0.08 GGE⁻¹, operating = \$0.01 GGE⁻¹) and storage (capital = \$0.03 GGE⁻¹, operating = \$0.01 GGE⁻¹).

3.4. Minimum selling price

The minimum selling price (MSP) of ethanol (i.e., the price that leads to zero net present value) was calculated (see Table 4) based on the capital and operating costs using the economic parameters, assumptions and discounted cash flow methodology used by NREL (Kazi et al., 2010). Although the average total annualized cost of the non-enzymatic ethanol production process (\$169 million yr⁻¹) is 18.3% higher than that of the catalytic alkenes production process (\$143 million yr⁻¹), the MSP of ethanol for the non-enzymatic strategy (\$4.99/gal of gasoline equivalent (GGE)) is 44¢ per GGE lower than the catalytic alkenes production process (\$5.43 GGE⁻¹), because the ethanol yield of the non-enzymatic strategy is 29.3% higher, leading to significantly higher fuel production (33.5×10^6 vs. 25.9×10^6 GGE yr⁻¹). Also, the MSP of ethanol for the non-enzymatic strategy is 14¢ per GGE lower than that of the NREL process (\$5.13 GGE⁻¹) because of 14.4% lower average total annualized cost resulting from the absence of expensive enzymes, despite 5.6% lower ethanol production. The electricity credit obtained in the non-enzymatic strategy is \$0.06 GGE⁻¹, which is 1.1% of the overall cost of ethanol (\$5.05 GGE⁻¹) that is equal to the sum of MSP of ethanol and electricity credit.

Fig. 5 shows the contribution to the MSP by process section. The feedstock (corn stover) cost is the highest contributor (\$1.73 GGE⁻¹, 34.7%) while the two-stage reaction section is the second highest contributor (\$1.15 GGE⁻¹, 23.0%). The contribution

of capital cost (83.5%) to the reaction cost is significantly higher compared to those of material (4.3%) and other operating costs (12.2%).

3.5. Sensitivity analysis

We studied the sensitivities of the MSP with respect to key economic and process parameters (Fig. 6a). The variation in the selected parameters reflect the values reported recently by NREL (Humbird et al., 2011). The feedstock cost appears to be the dominant cost driver, while the overall sugars-to-ethanol molar yields have moderate impact. When feedstock cost was reduced from \$83/ton (base case scenario) to \$60/ton, the MSP decreased by 9.5%. When the overall sugars-to-ethanol molar yields increased from 87% (base case scenario) to 91%, the MSP decreased by 3.6%. If both parameters are adjusted simultaneously, the MSP decreases by 13.1% to \$4.33 GGE⁻¹ which is close to conventional fuel. Furthermore, recent studies suggest that the molar yield of sugars-to-ethanol can be as high as 96%. Thus, using higher overall ethanol yield and reduced feedstock cost decreases the MSP of ethanol to \$4.13 GGE⁻¹.

The potentially transformative characteristic of the proposed strategy is the use of a biomass-derived solvent (GVL) instead of expensive enzymes for the deconstruction of biomass to sugars. Nevertheless, based on the currently available experimental results, the overall solvent:biomass mass ratio in the reactor

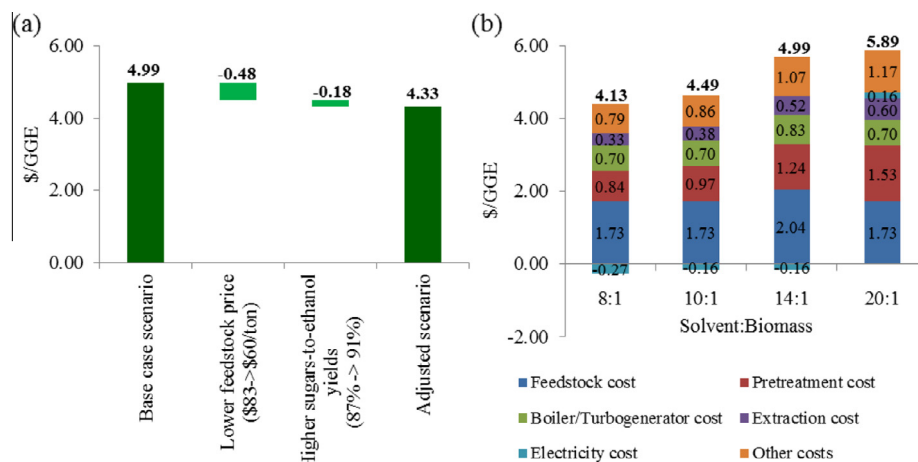


Fig. 6. (a) Impact of adjusting the key economic/process parameters to those of NREL (2011). (b) Impact of GVL:biomass ratio on the MSP of ethanol.

system is 14:1 which leads to: (1) large flow of GVL moving through the system, which in turn leads to increased capital investment; and (2) large energy requirements for the separation of GVL from sugars (extraction) and the separation of GVL from biomass residues (evaporation). Since this technology is at its infancy, however, it is reasonable to expect significant improvements (reductions) in the amount of GVL necessary to achieve effective biomass deconstruction.

To assess the economic impact of such potential improvements, the impact of the solvent:biomass ratio to the MSP was studied (Fig. 6b). When the ratio was reduced from 14:1 to 10:1 (8:1), the MSP decreased by 10.0% (17.1%) to \$4.49 GGE⁻¹ (\$4.13 GGE⁻¹), mainly due to a 16.4% (27.5%) reduction in the reaction cost. Furthermore, the total energy (heating and electricity) requirements after heat integration decreased by 15.2% (34.1%) to 74.9 MW (58.2 MW) which results in an increase in the electricity credit from \$0.06 GGE⁻¹ to \$0.16 GGE⁻¹ (\$0.27 GGE⁻¹). This suggests that the solvent:biomass ratio is indeed a key parameter and that future research efforts should focus on the development of systems that utilize a smaller amount of solvent and/or alternative solvents.

4. Conclusions

An integrated biofuels production strategy employing non-enzymatic sugar production using GVL as a solvent was developed. It was shown that the strategy has high biomass-to-ethanol yields, but requires the design of effective separation subsystems for the recycle of GVL as well as effective heat integration. The total heat and electricity requirements can be satisfied by burning lignin and biomass residues, while 67.1% of the energy content of cellulose and hemicellulose can be transformed into ethanol. A preliminary techno-economic analysis suggests that this strategy could become an economically competitive alternative to current biofuels production approaches.

Acknowledgements

This work was funded by the DOE Great Lakes Bioenergy Research Center (DOE BER Office of Science DE-FC02-07ER64494).

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.biortech.2015.01.135>.

References

- Alonso, D.M., Bond, J.Q., Dumesic, J.A., 2010. Catalytic conversion of biomass to biofuels. *Green Chem.* 12, 1493–1513.
- Anex, R.P., Aden, A., Kazi, F.K., Fortman, J., Swanson, R.M., Wright, M.M., Satrio, J.A., Brown, R.C., Daugaard, D.E., Platon, A., Kothandaraman, G., Hsu, D.D., Dutta, A., 2010. Techno-economic comparison of biomass-to-transportation fuels via pyrolysis, gasification, and biochemical pathways. *Fuel* 89 (Suppl. 1), S29–S35.
- Bond, J.Q., Upadhye, A.A., Olcay, H., Tompsett, G.A., Jae, J., Xing, R., Alonso, D.M., Wang, D., Zhang, T., Kumar, R., Foster, A., Sen, S.M., Maravelias, C.T., Malina, R., Barrett, S.R.H., Lobo, R., Wyman, C.E., Dumesic, J.A., Huber, G.W., 2014. Production of renewable jet fuel range alkanes and commodity chemicals from integrated catalytic processing of biomass. *Energy Environ. Sci.* 7, 1500–1523.
- Braden, D.J., Henao, C.A., Heltzel, J., Maravelias, C.T., Dumesic, J.A., 2011. Production of liquid hydrocarbon fuels by catalytic conversion of biomass-derived levulinic acid. *Green Chem.* 13, 1755–1765.
- Conde-Mejia, C., Jiménez-Gutiérrez, A., El-Halwagi, M.M., 2013. Assessment of combinations between pretreatment and conversion configurations for bioethanol production. *ACS Sustainable Chem. Eng.* 1, 956–965.
- Corma, A., de la Torre, O., Renz, M., Villandier, N., 2011. Production of high-quality diesel from biomass waste products. *Angew. Chem. Int. Ed.* 50, 2375–2378.
- Dale, B.E., Ong, R.G., 2014. Design, implementation, and evaluation of sustainable bioenergy production systems. *Biofuels, Bioprod. Biorefin.* 8, 487–503.
- Daoutidis, P., Marvin, W.A., Rangarajan, S., Torres, A.I., 2013. Engineering biomass conversion processes: a systems perspective. *AIChE J.* 59, 3–18.
- Demirbas, A., 2007. Progress and recent trends in biofuels. *Prog. Energy Combust. Sci.* 33, 1–18.
- Floudas, C.A., Elia, J.A., Baliban, R.C., 2012. Hybrid and single feedstock energy processes for liquid transportation fuels: a critical review. *Comput. Chem. Eng.* 41, 24–51.
- Gnansounou, E., Dauriat, A., 2010. Techno-economic analysis of lignocellulosic ethanol: a review. *Bioresour. Technol.* 101, 4980–4991.
- Han, J., Sen, S.M., Alonso, D.M., Dumesic, J.A., Maravelias, C.T., 2014. A strategy for the simultaneous catalytic conversion of hemicellulose and cellulose from lignocellulosic biomass to liquid transportation fuels. *Green Chem.* 16, 653–661.
- Holladay, J., Bozell, J., White, J., Johnson, D., 2007. Top value-added chemicals from biomass. Volume II—Results of Screening for Potential Candidates from Biorefinery Lignin. Report prepared by members of NREL, PNNL and University of Tennessee.
- Huber, G.W., Iborra, S., Corma, A., 2006. Synthesis of transportation fuels from biomass: chemistry, catalysts, and engineering. *Chem. Rev.* 106, 4044–4098.
- Humbird, D., Davis, R., Tao, L., Kinchin, C., Hsu, D., Aden, A., Schoen, P., Lukas, J., Olthof, B., Worley, M., 2011. Process Design and Economics for Biochemical Conversion of Lignocellulosic Biomass to Ethanol: Dilute-Acid Pretreatment and Enzymatic Hydrolysis of Corn Stover. National Renewable Energy Laboratory (NREL), Golden, CO.
- Kádár, Z., Máltha, S.F., Szengyel, Z., Réczey, K., De Laat, W., 2007. Ethanol fermentation of various pretreated and hydrolyzed substrates at low initial pH. *Appl. Biochem. Biotechnol.* 137, 847–858.
- Kazi, F.K., Fortman, J.A., Anex, R.P., Hsu, D.D., Aden, A., Dutta, A., Kothandaraman, G., 2010. Techno-economic comparison of process technologies for biochemical ethanol production from corn stover. *Fuel* 89 (Suppl. 1), S20–S28.
- Lange, J.-P., 2007. Lignocellulose conversion: an introduction to chemistry, process and economics. *Biofuels, Bioprod. Biorefin.* 1, 39–48.
- Lau, M.W., Dale, B.E., 2009. Cellulosic ethanol production from AFEX-treated corn stover using *Saccharomyces cerevisiae* 424A(LNH-ST). *Proc. Natl. Acad. Sci.* 106, 1368–1373.

- Lee, Y., Iyer, P., Torget, R.W., 1999. Dilute-acid hydrolysis of lignocellulosic biomass. In: *Recent Progress in Bioconversion of Lignocellulosics*. Springer, pp. 93–115.
- Lozowski, D., 2012. Chemical engineering plant cost index (CEPCI). *Chem. Eng.* 119, 84.
- Luterbacher, J.S., Rand, J.M., Alonso, D.M., Han, J., Youngquist, J.T., Maravelias, C.T., Pfleger, B.F., Dumesic, J.A., 2014. Nonenzymatic sugar production from biomass using biomass-derived γ -valerolactone. *Science* 343, 277–280.
- Martín, M., Grossmann, I.E., 2011. Process optimization of FT-diesel production from lignocellulosic switchgrass. *Ind. Eng. Chem. Res.* 50, 13485–13499.
- Olsson, L., Hahn-Hägerdal, B., 1996. Fermentation of lignocellulosic hydrolysates for ethanol production. *Enzyme Microb. Technol.* 18, 312–331.
- Pham, T.N., Shi, D.C., Resasco, D.E., 2014. Evaluating strategies for catalytic upgrading of pyrolysis oil in liquid phase. *Appl. Catal. B Environ.* 145, 10–23.
- Pham, V., El-Halwagi, M., 2012. Process synthesis and optimization of biorefinery configurations. *AIChE J.* 58, 1212–1221.
- Sammons Jr., N.E., Yuan, W., Eden, M.R., Aksoy, B., Cullinan, H.T., 2008. Optimal biorefinery product allocation by combining process and economic modeling. *Chem. Eng. Res. Des.* 86, 800–808.
- Schnepf, R., Yacobucci, B.D., 2010. Renewable Fuel Standard (RFS): Overview and Issues. Congressional Research Service, Washington, DC.
- Sen, S.M., Henao, C.A., Braden, D.J., Dumesic, J.A., Maravelias, C.T., 2012. Catalytic conversion of lignocellulosic biomass to fuels: process development and techno-economic evaluation. *Chem. Eng. Sci.* 67, 57–67.
- Swanson, R.M., Platon, A., Satrio, J.A., Brown, R.C., 2010. Techno-economic analysis of biomass-to-liquids production based on gasification. *Fuel* 89, S2–S10.
- Tao, L., Aden, A., Elander, R.T., Pallapolu, V.R., Lee, Y.Y., Garlock, R.J., Balan, V., Dale, B.E., Kim, Y., Mosier, N.S., Ladisch, M.R., Falls, M., Holtzapfel, M.T., Sierra, R., Shi, J., Ebrik, M.A., Redmond, T., Yang, B., Wyman, C.E., Hames, B., Thomas, S., Warner, R.E., 2011. Process and techno-economic analysis of leading pretreatment technologies for lignocellulosic ethanol production using switchgrass. *Bioresour. Technol.* 102, 11105–11114.
- Uppugundla, N., Sousa, L.D., Chundawat, S.P.S., Yu, X.R., Simmons, B., Singh, S., Gao, X.D., Kumar, R., Wyman, C.E., Dale, B.E., Balan, V., 2014. A comparative study of ethanol production using dilute acid, ionic liquid and AFEX (TM) pretreated corn stover. *Biotechnol. Biofuels* 7.
- Wright, M.M., Brown, R.C., 2007. Comparative economics of biorefineries based on the biochemical and thermochemical platforms. *Biofuels Bioprod. Biorefin.* 1, 49–56.
- Wright, M.M., Daugaard, D.E., Satrio, J.A., Brown, R.C., 2010. Techno-economic analysis of biomass fast pyrolysis to transportation fuels. *Fuel* 89, S11–S19.
- Zhong, C., Lau, M.W., Balan, V., Dale, B.E., Yuan, Y.J., 2009. Optimization of enzymatic hydrolysis and ethanol fermentation from AFEX-treated rice straw. *Appl. Microbiol. Biotechnol.* 84, 667–676.