



Disaggregate path flow estimation in an iterated DTA microsimulation

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Abstract

This text describes the first application of a novel path flow and origin/destination (OD) matrix estimator for iterated dynamic traffic assignment (DTA) microsimulations. The presented approach, which operates on a trip-based demand representation, is derived from an agent-based DTA calibration methodology that relies on an activity-based demand model. The objective of this work is to demonstrate the transferability of the agent-based approach to the more widely used OD matrix-based demand representation.

The calibration (i) operates at the same disaggregate level as the microsimulation and (ii) has drastic computational advantages over usual OD matrix estimators in that the demand adjustments are conducted within the iterative loop of the DTA microsimulation, which results in a running time of the calibration that is in the same order of magnitude as a plain simulation. We describe an application of this methodology to the trip-based DRACULA microsimulation and present an illustrative example that clarifies its capabilities.

1 Introduction

This text introduces a novel path flow and origin/destination (OD) matrix estimator for iterated dynamic traffic assignment (DTA) microsimulations. The first part of this introduction describes the basic concepts of these simulations and reviews some of the existing implementations. The second part revisits existing OD matrix and path flow estimators. Based on this review, the new approach is then motivated.

Iterated DTA microsimulations are characterized by the following features: They are microscopic in that both travelers and vehicles are modeled at the disaggregate level. They are iterative in that the simulation runs typically according to the logic outlined in Algorithm 1, where a demand simulator and a supply simulator are alternately executed until a state of mutual consistency is reached. Finally, they are usually stochastic in that at least the simulated travel behavior is non-deterministic, whereas the traffic flow model may either be deterministic or stochastic. The foundations of the iterated simulation approach have been laid by Cascetta (1989); Cascetta and Cantarella (1991), and their application to increasingly complex model systems is still the topic of ongoing research (Nagel et al.; 1998; Nagel and Flötteröd; 2009).

Algorithm 1 leaves open which behavioral dimensions are represented by the demand simulation (e.g., route choice, departure time choice, destination choice, mode choice, ...), and, indeed, the iterative approach can in principle cope with any of these dimensions (Nagel and Flötteröd; 2009). However, only few existing DTA microsimulations go beyond route choice adjustments; amongst them are DynaMIT (Ben-Akiva et al.; 1998; DynaMIT; accessed 2009), METROPOLIS

Algorithm 1 Iterated DTA microsimulation

1. Initialization. Give every traveler an initial perception of the conditions in the network.
 2. Iterations. Repeat the following until stationary conditions are reached.
 - (a) Demand simulation. Travelers select new mobility plans based on what they have observed during previous iterations.
 - (b) Supply simulation. The mobility plans of all travelers are simultaneously executed on the network.
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(De Palma and Marchal; 2002), and DRACULA (Liu; 2005; DRACULA; accessed 2010), which also adjust departure time choice for independent trips, and MATSim (Nagel et al.; accessed 2010; Nagel and Flötteröd; 2009; Raney and Nagel; 2006), which in its current implementation adjusts route, departure time, and mode choice for complete trip chains and is continuously being extended towards further demand dimensions (Horni et al.; 2008). Far more common are iterated microsimulations that constrain themselves to the equilibration of route choice (and a strictly trip-based demand representation). Amongst those are AIMSUN (TSS Transport Simulation Systems; 2006, accessed 2010), DYNAMIQ (INRO; accessed 2010), and PARAMICS (Quadstone Paramics Ltd.; accessed 2010).

The usual representation of a trip-based demand is a (possibly time-dependent) OD matrix that describes the number of trips from every origin zone to every destination zone in a traffic network. The estimation of OD matrices from traffic counts has a long history. Early works consider a static setting where an OD matrix is estimated given a linear assignment mapping of demand on link flows. Mathematical techniques deployed for this purpose comprise entropy maximization and information minimization (van Zuylen and Willumsen; 1980), Bayesian estimation (Maher; 1983), generalized least squares (Bell; 1991; Bierlaire and Toint; 1995; Cascetta; 1984), and maximum likelihood estimation (Spiess; 1987). Congestion effects, which lead to nonlinear assignment mappings, are typically dealt with in a bilevel-setting that iterates between the nonlinear assignment and a linearized estimation problem (Maher et al.; 2001; Yang; 1995; Yang et al.; 1992). The solution to this problem can also be phrased as a fixed point of the combined assignment and OD matrix estimation mapping (Bierlaire and Crittin; 2006; Cascetta and Postorino; 2001). Cascetta et al. (1993) demonstrate how to carry over the estimation of static OD matrices to dynamic settings (e.g., Ashok; 1996; Bierlaire; 2002; Sherali and Park; 2001; Zhou; 2004).

All of the above-mentioned demand estimators adjust OD matrices subject to a given route choice model that is embedded in the traffic assignment procedure. Since route choice modeling is an intricate task (Frejinger; 2008), modeling er-

rors are likely to introduce biases in the estimated OD matrices. This problem can be avoided through the use of path flow estimators (PFEs). The first PFE was introduced by Bell (1995); Bell et al. (1997). It estimates static path flows from link volume measurements based on a multinomial logit stochastic user equilibrium (SUE) modeling assumption. It is a one-step observer in that it accounts for congestion effects without resorting to a bilevel-approach. Further enhancements comprise multiple user classes and a simple analytical queuing model to represent traffic flow dynamics (Bell et al.; 1996). A user equilibrium PFE was proposed by Sherali et al. (2003, 1994); further developments along these lines were conducted by Nie and Lee (2002); Nie et al. (2005). Summing up the path flows between an OD pair yields its OD flow, which means that PFEs also serve as OD matrix estimators.

This text describes the first application of a novel path flow and origin/destination (OD) matrix estimator for iterated dynamic traffic assignment (DTA) microsimulations. The presented approach, which operates on a trip-based demand representation, is derived from an agent-based DTA calibration methodology that relies on an activity-based demand model (Flötteröd et al.; 2010). The objective of this work is to demonstrate the transferability of the agent-based approach to the more widely used OD matrix-based demand representation. The new approach goes beyond existing methods in that it

- estimates the trip-making of individually simulated travelers without any aggregation;
- is compatible with almost arbitrary demand and supply simulators;
- has a computational complexity that is in the order of a plain simulation.

The remainder of this article is organized as follows. Section 2 introduces the two software systems deployed in this study: the DRACULA microsimulation and the Cadyts calibration tool, which implements the proposed methodology. A case study that clarifies the workings of the new approach is given and discussed in Section 3. Finally, the article is concluded in Section 4, and ongoing and future research work is described.

2 Framework and system components

The work presented in this article involves two software systems: the DRACULA microsimulation and the Cadyts calibration tool. This section describes these systems and their interactions. DRACULA is outlined in Subsection 2.1, and Cadyts is introduced in Subsection 2.2. The interaction of both systems is described in Subsection 2.3.

2.1 DRACULA – a microscopic simulation DTA model

DRACULA (“Dynamic route assignment combining user learning and microsimulation”) is a simulation tool to investigate the dynamics of demand and supply interactions in road networks. The emphasis is on the integrated microsimulation of individual trip-makers’ decisions, travel experiences, and learning. DRACULA complies with the simulation structure given in Algorithm 1.

The system explicitly models individuals’ day-to-day route and departure time choices, and how their past experience and knowledge of the network influence their future choices. Coupled with that is a detailed within-day traffic microsimulation based on car-following and lane-changing rules. The system evolves continuously from one day to the next until a pre-defined number of days or a broadly balanced state between the demand and supply is reached. Simulation results can be obtained throughout the evolution and on not just the means but also variances and probability distributions both within-day and between days. The full details of the DRACULA suite of models and their applications have been reported elsewhere (e.g., Hollander and Liu; 2008; Liu et al.; 2006; Liu and Tate; 2004; Panis et al.; 2006) and will therefore not be detailed herein.

For the purposes of this article, DRACULA’s sophisticated supply simulator is coupled with a simple, externally implemented multinomial logit (MNL) route choice model (Ben-Akiva and Lerman; 1985), and departure time choice is neglected (in that fixed departure times are assumed). The limitations of MNL route choice models, in particular with respect to route overlap, are well understood and can to some extent be corrected for without abstaining from the MNL’s convenient functional form (Ben-Akiva and Bierlaire; 2003; Cascetta et al.; 1996). However, the synthetic study presented in this article is sufficiently served by a plain MNL model.

Formally, denote a single trip-maker by n and its choice set of available routes by C_n . The probability $P_n(i)$ that n chooses route $i \in C_n$ follows a multinomial logit model

$$P_n(i) = \frac{\exp[\mu V_n(i)]}{\sum_{j \in C_n} \exp[\mu V_n(j)]} \quad (1)$$

where $V_n(i)$ is the systematic utility of alternative i as perceived by n , and μ is a scale parameter. In all experiments, $V_n(i)$ is set to the negative travel time one would have experienced on the considered route in the previous iteration. That is, the more complex learning mechanisms provided by DRACULA (allowing for long-term driver memories with different weights on different days) are not exploited in this study. Further investigations with more complex modeling assumptions are left as a topic for future research.

Variability in the total demand levels is enabled by giving every replanning trip-maker an additional empty route that represents the alternative of not making a trip. Assuming a total number of N trip makers for a given OD pair and assuming that on average a fraction of $f \in (0, 1)$ trip makers actually travels per day gives the

no-travel route a choice probability of $1 - f$ and requires to scale down the choice probabilities of all other routes by f . This turns the daily demand for the given OD pair into a binomial random variable with mean fN and variance $Nf(1 - f)$. Although the stay-at-home alternative has (again for simplicity) a fixed probability to be chosen, it can be formally accounted for within (1) by assigning it the utility value

$$V_n(\text{stay-at-home}) = \frac{1}{\mu} \ln \left(\frac{1-f}{f} \right) + \frac{1}{\mu} \ln \left(\sum_{j \in C_n} e^{\mu V_j} \right) \quad (2)$$

where the logsum term is computed only over the true route choice alternatives. Whenever the following text speaks of route choice according to (1), this therefore comprises the additional no-trip alternative.

2.2 Cadyts – Calibration of dynamic traffic simulations

Cadyts (“Calibration of dynamic traffic simulations” (Flötteröd; 2009, accessed 2010)) is a continuously developed software toolbox that allows to estimate activity based travel demand models from traffic counts and vehicle re-identification data. Cadyts has been originally developed for the calibration of agent-based DTA simulations, which do not use OD matrices. In this subsection, a more specific perspective is adopted on a trip-based demand representation with route choice and dropping a trip being the only choice dimensions.

For the sake of clarity, a somewhat simplified calibration setting is described in the following, which results in a particularly interpretable formulation of the estimation: (i) the network is assumed to be uncongested, (ii) the demand simulator is assumed to deploy an MNL route choice model, (iii) the traffic count sensors are assumed to have univariate normal error distributions, and (iv) the objective is to estimate the output (choice distribution) of the demand model, not its parameters. A more general formulation of the calibration, which, however, is not tailored towards a trip-based simulation, can be found in Flötteröd et al. (2010).

Denote by y_{ak} the traffic count obtained on link a in time interval k , by σ_{ak}^2 the respective sensor’s error variance, and by A the set of all sensor-equipped links. The simulated counterpart of a measurement y_{ak} is denoted by q_{ak} . The basic calibration approach can be phrased in a Bayesian framework, where, essentially, the prior route choice distribution $P_n(i)$ of (1) is combined with the measurements’ likelihood function into a posterior route choice distribution $P_n(i|\{y_{ak}\}_{a \in A, k})$ given the sensor data. Under the above assumptions, the following approximation of the posterior distribution can be obtained:

$$P_n(i|\{y_{ak}\}_{a \in A, k}) = \frac{\exp \left[\mu V_n(i) + \sum_{\substack{a \in A, k \\ a k \sim i}} \frac{y_{ak} - q_{ak}}{\sigma_{ak}^2} \right]}{\sum_{j \in C_n} \exp \left[\mu V_n(j) + \sum_{\substack{a \in A, k \\ a k \sim j}} \frac{y_{ak} - q_{ak}}{\sigma_{ak}^2} \right]} \quad (3)$$

where $a_k \sim i$ indicates that the network travel times are such that following route i implies entering link a in time interval k (i.e., crossing the respective sensor). Equation (3) is obtained from a consistent mathematical derivation (Flötteröd et al.; 2010), but it also has a clear intuitive meaning.

The prior route choice probabilities are changed only through additive modifications of the utilities. That is, the only affected elements of the behavioral model are the alternative-specific constants (ASCs). This is plausible: the objective in the given setting is to adjust the choices and not the choice model coefficients, and an ASC captures all effects on a choice that are not reflected by the attributes of the alternatives or the decision maker (Ben-Akiva and Lerman; 1985).

Regarding the nature of the ASC modifications, consider a single addend $\frac{y_{ak} - q_{ak}}{\sigma_{ak}^2}$ in the utility correction. If more vehicles are counted in reality than are simulated ($y_{ak} > q_{ak}$), the addend is positive and the utility of routes that cross the sensor on link a in time interval k is increased. Hence, simulated drivers are encouraged to select routes that contribute to the simulated count, which results in a lower deviation between reality and simulation. Vice versa, if the simulation generates a flow that is higher than the real count ($y_{ak} < q_{ak}$), the utility correction is negative and the simulated drivers are kept away from taking routes that contribute to q_{ak} . The scaling of the utility corrections by $1/\sigma_{ak}^2$ ensures that more reliable sensors take greater effect than unreliable ones. In summary, the calibration works like a controller that steers the simulated drivers towards a reasonable fulfillment of the sensor data.

Cadyts can cope with more general settings than what is presented here. For example, the experiments described in Section 3 rely on some additional features of the calibration that enable its application in congested conditions (Flötteröd and Bierlaire; 2009).

2.3 Integration of DRACULA and Cadyts

This section describes how DRACULA and Cadyts are linked together. The next section then deploys the technology described here for a series of experiments.

The communication between DRACULA and Cadyts is based on exchanging data through files. The flow chart of Figure 1 outlines the interactions between the two systems. The program logic is implemented in a Python script that calls both DRACULA, the route replanning module, and Cadyts in the necessary order.

After an initialization of both systems, DRACULA is executed once with an arbitrarily selected route for each traveler. Hereafter, the iterations start. Given the most recent travel times, the route choice model is evaluated for every single traveler, and the resulting prior route choice probabilities are stored (recall that this includes the option of not making a trip). This corresponds to an evaluation of (1).

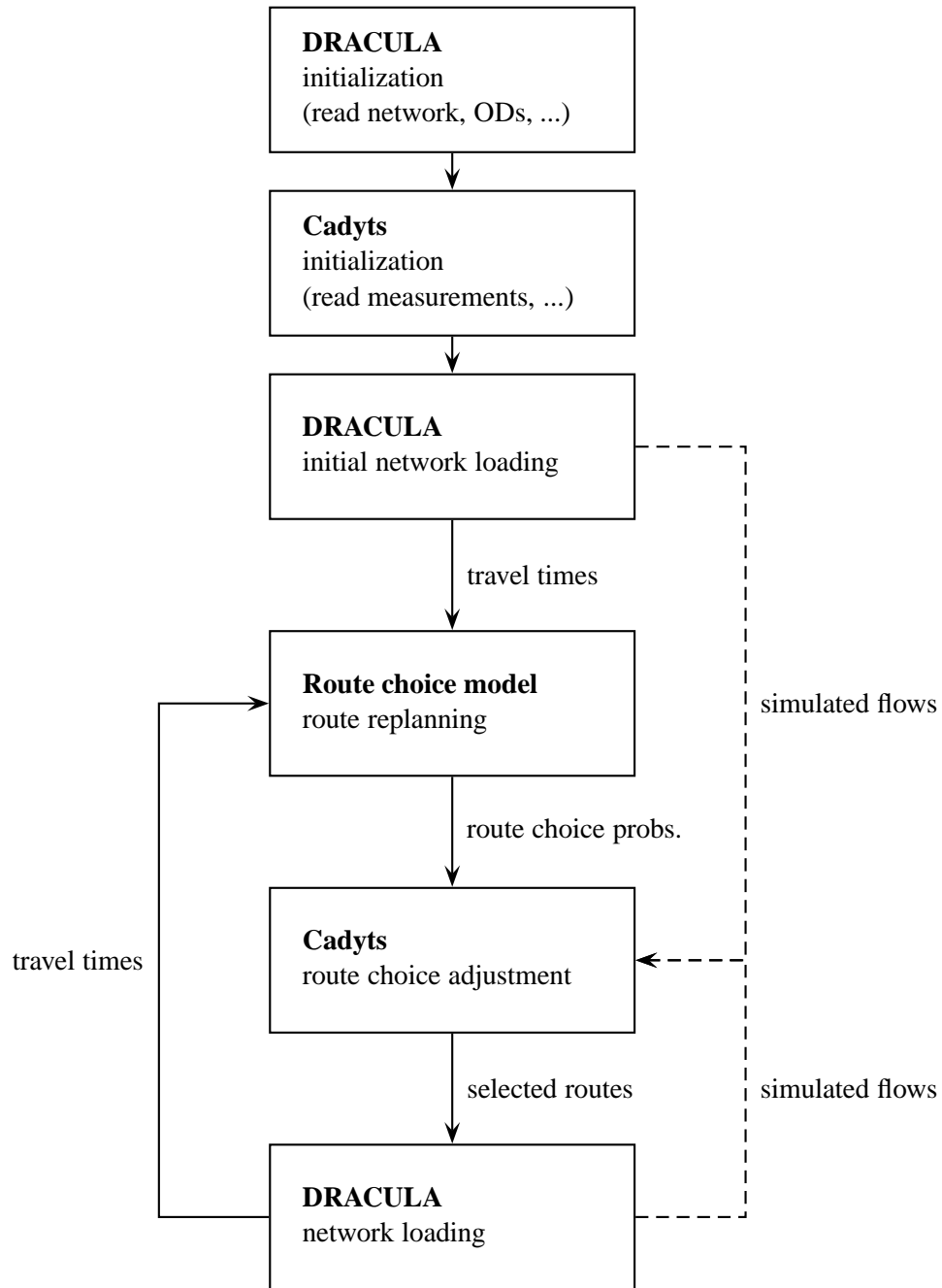


Figure 1: Interactions between DRACULA and Cadyts
 The program flow is along the solid arrows. Dashed arrows represent additional data flows.

Cadyts then internally adjusts the route choice probabilities according to (3), samples one route per trip-maker from the resulting posterior distribution, and saves this route as the chosen alternative. DRACULA then loads all chosen routes on the network. The resulting travel times are fed back to the route choice model, and the iterations start anew.

Cadyts operates at the fully disaggregate level in that it deals with individual travelers (trip makers) without associated OD pairs. The demand representation in DRACULA is based on OD matrices (possibly separated by time slice and/or user class). In order to interact these two approaches, DRACULA samples a population of trip-makers from the OD matrices in its initialization step. Every trip-maker in this population is then maintained as a uniquely identified entity throughout all following process steps, and its association to one particular OD pair is also stored. This allows to re-aggregate estimated path flows and OD matrices from the individually adjusted route choice behavior.

3 Experiments

We investigate the interactions of the Cadyts calibration with the DRACULA simulation in a synthetic scenario. The purpose of these experiments is to clarify the functioning and the capabilities of the approach. Experiments with real networks are the subject of future research. The computational feasibility of the calibration methodology for large-scale scenarios is demonstrated in Flötteröd et al. (2009), where, however, a multi-agent simulation instead of a trip-based transport simulation is estimated.

The experiments are run in the network shown in Figure 2. Demand enters the network at the leftmost node, turns either left or goes straight at the diverge, and leaves the network at the rightmost node. A traffic light is located in the center of the straight route, serving as a bottleneck that generates congestion-dependent travel times. The link capacities and geometrical layouts are chosen such that the traffic light constitutes the only bottleneck in the system, and that free-flow travel is possible everywhere else. The two routes differ by 28 seconds under free-flow conditions (taking into account an average delay due to the signal) and by 1 km in length. One may think of a straight route going through a city-center and of a longer by-pass route.

In this experiment, a population of 3000 drivers is considered. The stay-at-home probability $1 - f$ is set to $1/3$ in (2), which means that on average 2000 travelers decide to make a trip, with a standard deviation of approximately 26 travelers. The scale parameter μ of the utility function (negative travel time) in the logit choice model (1) is set to 0.01. Considering both routes and the stay-at-home option, the choice set is hence three-dimensional. The length of the analysis period is one hour, and the demand is distributed uniformly over this time interval.

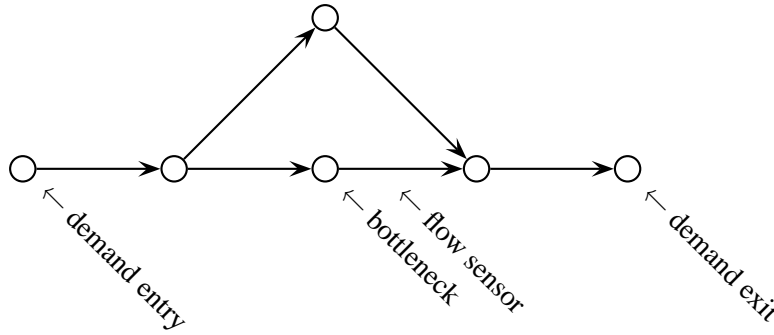


Figure 2: Test network

All calibration experiments follow the logic outlined in Figure 1. Plain simulations are conducted by taking Cadyts out of the loop, which is the same as running the calibration with an empty measurement file, i.e., with $A = \{\}$ in (3). All simulations and calibrations are run for 100 iterations, which appears sufficient to reach stationary conditions by visual inspection of the respective trajectories (see below).

3.1 Plain simulation

A plain simulation in this setting results in the demand levels and simulated traffic counts indicated in the first wide column (“simulation”) of Table 1. Every field of this table displays two values: a mean value and a standard deviation (in brackets). All statistics are obtained from the last 50 iterations of the respective runs.

The first simulation column displays the network entry flows. Their mean values are consistent with the demand profile. Their standard deviations are higher than the 26 veh/h one would expect from the binomial demand distribution, which is most likely a result of the link inflows being also randomly affected by traffic flow dynamics. No vehicles enter the system after one hour, which means that no demand is held back at the network entry because of congestion effects.

The second simulation column displays the simulated flows at the measurement location. Roughly half of the total network entries take the straight route (and hence pass the sensor location). Because it takes some time to reach the sensor link from the network entry, vehicles enter the sensor link even after one hour. This effect is compounded by the traffic light right upstream of the sensor link, which generates an additional delay for vehicles that take the straight route.

Figures 3 and 4 show the evolution of the network and sensor link inflows per 15-min time interval over the iterations of the simulation. Since the initial route assignment is a 50/50 split, the system stabilizes almost immediately around a stationary distribution. The ongoing variability in the curves is due to (i) demand level fluctuations, (ii) route choice variations, and (iii) stochastic traffic flow dynamics.

Table 1: Results

	simulation		calibration 1 ($\sigma = 25$ veh/h)			calibration 2 ($\sigma = 10$ veh/h)		
	inflow of entry link	inflow of sensor link	measured flow	inflow of entry link	inflow of sensor link	measured flow	inflow of entry link	inflow of sensor link
interval 1 0:00 – 0:15	1960.16 (47.21)	880.87 (39.53)	–	1938.51 (44.99)	880.39 (39.5)	–	1934.28 (44.74)	880.0 (39.88)
interval 2 0:15 – 0:30	2072.79 (53.26)	1069.96 (53.21)	700 (25)	1942.35 (52.8)	779.53 (57.67)	700 (10)	1920.16 (51.47)	716.55 (66.68)
interval 3 0:30 – 0:45	1960.0 (53.63)	1046.82 (52.61)	1300 (25)	2067.61 (55.35)	1231.69 (71.91)	1300 (10)	2102.04 (52.48)	1287.77 (89.32)
interval 4 0:45 – 1:00	1946.12 (51.41)	1024.31 (49.26)	–	1946.12 (51.41)	1028.71 (48.03)	–	1946.12 (51.41)	1032.63 (51.21)
interval 5 1:00 – 1:15	0.0 (0.0)	129.73 (20.63)	–	0.0 (0.0)	129.65 (19.39)	–	0.0 (0.0)	129.02 (19.31)

time intervals are written as “hours:minutes”; all other values are vehicles per hour (veh/h)

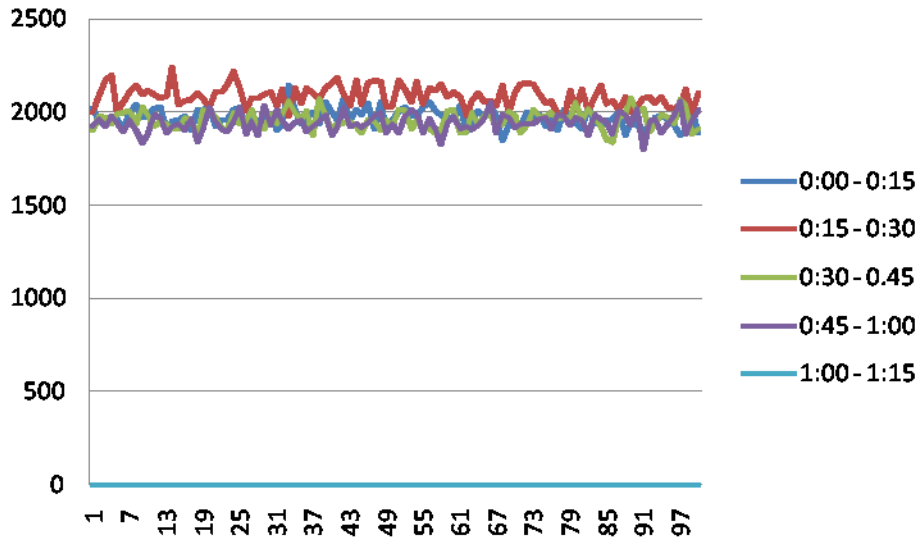


Figure 3: Network entries [veh/h] over iterations of plain simulation

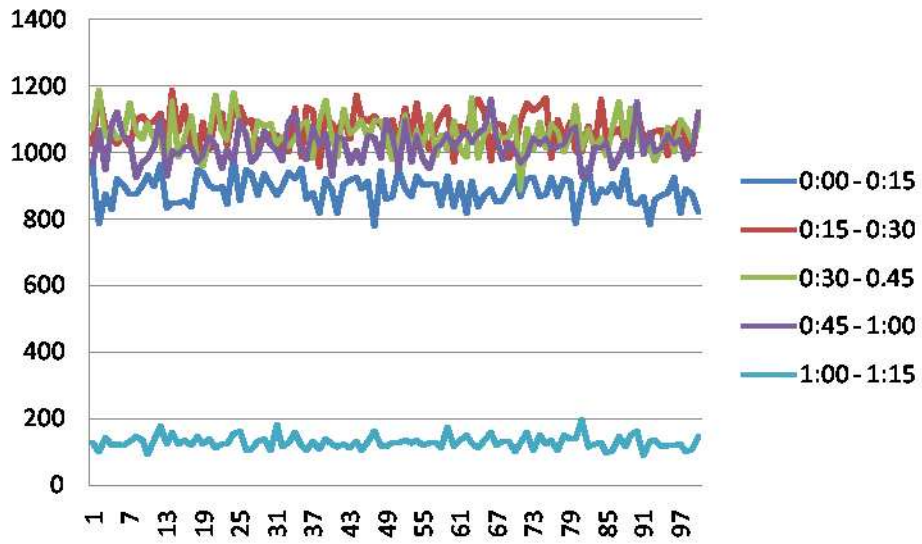


Figure 4: Sensor link inflows [veh/h] over iterations of plain simulation

3.2 Calibration

The same one-hour peak period as before is considered, where both the (presumably real) sensor data and the demand are represented by piecewise constant values in four 15 min time intervals. We investigate the exploitation of this sensor data to the adjustment of both the route choice and the total demand levels across all time slices. (Note that the estimation takes place jointly for all time slices.)

The second and third main column of Table 1 show the results of two calibration experiments. In both experiments, the same measurement data is used: a measured flow that is roughly 300 veh/h lower than the plain simulation in the second time interval, and a measured flow that is roughly 300 veh/h higher than the plain simulation result in the third time interval. Through this, we investigate the ability of the calibration to both increase and decrease demand and path flow levels. No measurements are assumed to be available in the first and fourth time interval in order to underline that the method functions with arbitrarily few measurements. The two experiments differ in the standard deviation of the hypothetical sensor data, which is 25 veh/h in the first calibration experiment (second main column) and 10 veh/h in the second one (third main column).

In a nutshell, the calibration yields the effect one would expect from the sensor data: it modifies both the demand levels and the route choice in a way that improves the measurement reproduction, with the fit improving as the variance of the sensor data is reduced. This is plausible in that the calibration is designed to generate a statistically consistent combination of the prior information contained in the model system and the additional information contained in the sensor data.

Supplementary to Table 1, Figures 5 and 6 give the evolution of the calibrated network entry and sensor link entry flows over the iterations. Based on these figures and Table 1, three further observations can be made.

First, the adjustment of the demand levels is not as prominent as that of the route flows. This is due to the behavioral distribution generated by the simulation system (without any measurements): Figures 3 and 4 as well as the statistics in Table 1 reveal that the relative variability in the route flows is higher than the variability in the demand levels. Arguing in Bayesian terms (from which the calibration is indeed derived), this leaves greater freedom for adjustments of the prior route choice distribution than for adjustments of the prior demand level distribution, and hence the route flows are affected more strongly than the total demand levels by the sensor data.

Second, the variability in the sensor link entry flows increases as the fit to the measurements is increased. This is so because the measurements are selected to represent out-of-equilibrium conditions (they differ substantially from the flows resulting from a plain simulation): as the system is moved out of equilibrium, its sensitivity to the bottleneck-induced delay on the straight route increases, hence the reaction of the route choice model becomes stronger, and variability increases. This

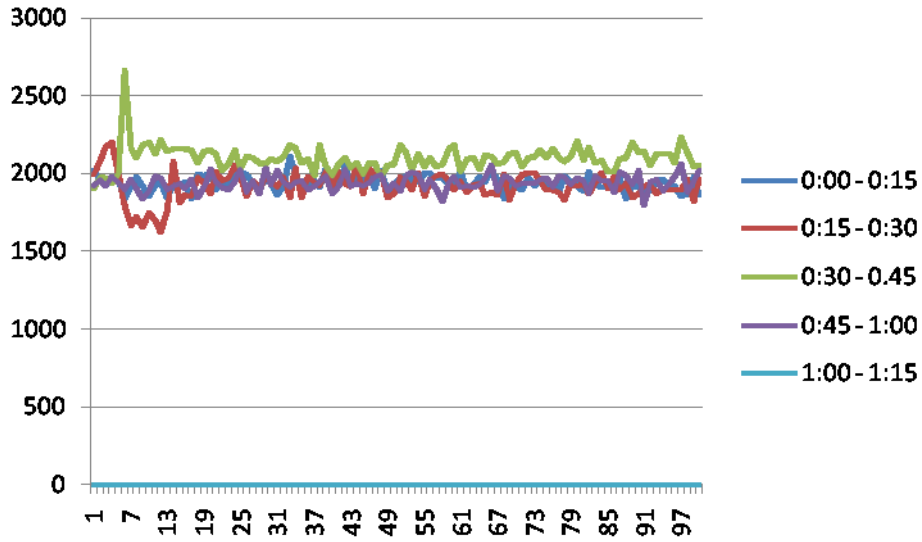


Figure 5: Network entries [veh/h] over iterations of calibration experiment 2 ($\sigma=10$ veh/h)

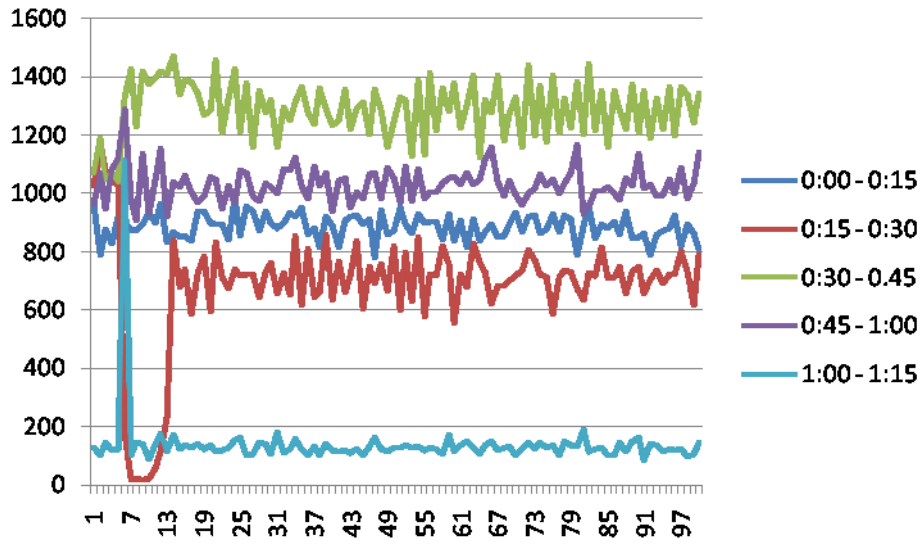


Figure 6: Sensor link inflows [veh/h] over iterations of of calibration experiment 2 ($\sigma=10$ veh/h)

means that, although the calibration only compares mean simulated and measured flows, it implicitly also adjusts the system variability in a plausible way.

Third, the calibrated simulation attains quite rapidly a stationary state. Noting that the behavioral adjustment process implemented by the calibration is embedded within the iterative loop of the simulation, this indicates a vast computational advantage over usual approaches where the iterative simulation is embedded within an outer adjustment loop of the OD matrix. In the presented approach, no outer loop is present, and the complexity of a calibration is in the order of a plain simulation. (The path flow estimator by Bell also is a one-step estimator, but it is yet to be transferred to a microsimulation setting.)

4 Summary and outlook

This text describes the first application of a novel OD matrix and path flow estimator for iterated DTA microsimulations. The presented approach is derived from an agent-based DTA calibration methodology that relies on an activity-based demand model. This work explains how to apply the calibration in the trip-based domain and presents illustrative examples that clarify its capabilities.

Summarizing, the following findings can be extracted from these experiments:

- the calibration interacts meaningfully with the simulation in that it improves the measurement fit in the proper direction;
- the calibration accounts for the uncertainty assigned to the sensor data;
- the calibration accounts for the uncertainty in the prior system states (demand levels, route choice) in that it adjusts such aspects more strongly that are represented a priori through a wider distribution in the uncalibrated simulation;
- although the calibration directly evaluates only the mean deviation between simulated and measured flows, the resulting shift of the system's working point can come along with a behaviorally and physically meaningful change in the variability of the system's states;
- the computational complexity of the calibration is in the order of a plain simulation.

Our ongoing work focuses on the testing of the methodology for larger DRAC-ULA networks that are based on real scenarios. Future work will comprise various extensions of the Cadyts methodology, including the incorporation of richer sensor data (vehicle re-identifications, smartphone data) and the joint calibration of further demand and supply parameters along with the demand estimation presented in this article.

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