

1 **A simplified method for determining potential heavy metal loads washed-off by**
2 **stormwater runoff from road-deposited sediments**

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14

15 **Abstract:** A simplified method is proposed for determining the potential load of heavy
16 metals (HMs) derived from the wash-off caused by surface runoff on road-deposited
17 sediment (RDS). The method consists of three phases: (i) characterization of RDS load
18 wash-off, (ii) assessment of HM load in dry weather, and (iii) application of a wash-off
19 equation. Two processes were included in the wash-off equation: HM transport (solid
20 fraction) and HM leaching (dissolved fraction). The average wash-off of HMs ranges from
21 16.6 to 46.3%, relative to the total mass of HMs associated with dry-weather RDS (Pb, Zn,
22 Cu, Cr, Ni, Cd, Fe, Mn, Co, and Ba). Cd, Mn, and Zn presented the highest wash-off in the
23 areas studied. The size fraction below 250 μ m contributed an average of 86.7% of potential
24 HM load washed-off from RDS. Based on the phenomena included in the wash-off

25 equation, it was observed the following order of precedence: transport of RDS < 250 μm ,
26 leaching of RDS < 250 μm , and leaching of RDS \geq 250 μm . Solid and dissolved fractions
27 contributed 70.7 and 29.3% of the potential HM load washed-off by runoff from RDS,
28 respectively. The proposed method serves as a management tool for road HM pollution
29 during rain.

30

31 **Keywords:** Heavy metal; Road-deposited sediment; Road runoff; Wash-off; Water quality.

32

33 **1. Introduction**

34

35 Diffuse contamination generated by surface runoff is a key factor in the deterioration of
36 water-body quality in urban areas (Helmreich et al., 2010; Martínez and Poletto, 2014;
37 Wijesiri et al., 2016). The importance of and interest in determining such loads stems from
38 many studies that have reported the high presence of heavy metal (HM) concentrations in
39 dissolved form in urban water bodies (e.g., Stagge et al., 2012; Kumar et al., 2013a;
40 Maniquiz and Kim, 2014). Moreover, studies have analyzed HMs associated with the solid
41 fraction of surface runoff, namely the portion of road-deposited sediment (RDS)
42 accumulated in dry weather that is washed-off during rainfall events (Bian and Zhu, 2009;
43 Aksoy et al., 2012). Thus, RDS are carriers for potentially toxic pollutants such as HMs
44 (Egodawatta et al., 2013), transforming them into an important environmental medium for
45 assessing contaminant levels in urban systems (Sutherland, 2000).

46

47 Extensive research has been done on the effects of wash-off caused by surface runoff with
48 respect to the RDS-related HMs (e.g., Davis and Birch, 2010; Zhao and Li, 2013). Most of

49 this research has relied on total HM concentrations (solid and dissolved fraction sums) in
50 runoff as the prime indicator of wash-off for developing equations and models of these
51 surfaces. Thus, diffuse-contamination models have been used in urban areas to estimate the
52 pollutant loads associated with runoff, such as SWMM, STORM, SLAMM, HSPF, DR3M-
53 QUAL, MOUSE, MUSIC, and P8-UCM (Shaw et al., 2006; Elliott and Trowsdale, 2007;
54 Zhao et al., 2014). However, these models entail significant preparation in terms of
55 parameterization and calibration data; for example, data are required for rainfall intensity,
56 antecedent dry period (ADP), pollutant buildup, and wash-off rates as well as drainage-
57 basin morphology. Yet, scant research has been conducted on the wash-off phenomenon of
58 runoff-caused HMs using dry-weather RDS as an indicator (e.g., Hergren et al., 2006;
59 Kayhanian et al., 2012; Zhao and Li, 2013). Most research efforts have focused on the
60 direct study of HM concentrations linked to road runoff before evaluating the wash-off
61 phenomenon using RDS between rainfall events (i.e., in dry weather). Approaches that
62 include dry-weather RDS have sought to simplify existing models of wash-off caused by
63 stormwater runoff. Probably the main benefit of this latter approach is that only required
64 information from the mass amount (load) of HMs associated with the RDS before and after
65 each rainfall event to determine the HM load washed-off by stormwater runoff.

66

67 Two mechanisms influence RDS wash-off during rainfall events. The first is related to the
68 material in particle form, which detaches as a result of the direct impact of rainfall (Huber,
69 1992). The second is related to the soluble fraction, which dissolves, and due to remove
70 subsequent, becomes turbulent, favoring RDS transport and mixture/leaching (Collins and
71 Ridgway, 1980). Wash-off rates for RDS during rainfall events depend on event intensity,
72 physical characteristics of road surfaces (e.g., road slope, influence of curbs and street

73 sweeping), and particle size distribution (Sartor et al., 1974). Studies on the wash-off
74 phenomenon of HMs caused by road runoff have shown that rainfall intensity and ADP are
75 the leading climate factors (e.g., Bian and Zhu, 2009; Zafra et al., 2011; Stagge et al., 2012;
76 Egodawatta et al., 2013). RDS transport caused by road runoff (wash-off) increases with
77 rainfall intensity (Lee et al., 2004; Zhao et al., 2010; Zhao and Li, 2013) and longer ADPs
78 (Helmreich et al., 2010). Malmquist (1978) and Reinertsen (1981) reported that intense (>
79 10 mm/h) and successive (four events on consecutive days) rainfall events decreased RDS
80 load by nearly 80%. Ball et al. (1998) found evidence that only rainfall events with
81 intensities greater than 7 mm/h should be considered transport events for RDS-related HM
82 loads.

83

84 In addition to causing RDS transport, runoff leads to leaching, which must be considered
85 when analyzing the wash-off phenomenon. Ellis and Revitt (1982) studied the effects of
86 leaching on RDS and reported the following sequence (based on leaching test for rainwater,
87 mechanical shaker, pH = 6.5, $t = 28$ days): Cd > Zn - Cu > Pb. These researchers reported
88 that the leaching test allowed the simulation of turbulent runoff conditions on RDS during
89 storm drainage from the roadside surface. Stone and Marsalek (1996) obtained a similar
90 sequence for leaching tests on RDS with the sequential-extraction procedure reported by
91 Tessier et al. (1979), with exchangeable cations phase, MgCl₂, 1M, pH = 7, $t = 1$ hour: Cd -
92 Cu > Zn > Pb. Recent studies have indicated a similar sequence using the Community
93 Bureau of Reference of the European Commission's (BCR) three-stage sequential
94 extraction procedure (acid extractable phase: CH₃-COOH, 0.11M, $t = 16$ hours): Zn - Cu >
95 Pb (Kumar et al., 2013a; Li et al., 2015). These findings have led researchers to suggest that
96 RDS acts as an effective sink for Pb but not Cd or Cu. However, dissolved organic matter

97 and pH are the most important solution parameters affecting the mobility of metals from
98 RDS (Rijkenberg and Depree, 2010).

99

100 Together, the previous studies on HM leaching allow us to suggest that the acid-extractable
101 phase (water soluble, exchangeable, and bound to carbonate) is ideal for simulating
102 turbulent runoff conditions during storm drainage from the roadside surface. HM content
103 for this phase has been considered to provide a reasonable approximation of the
104 bioavailable HM content in RDS (Stone and Marsalek, 1996). However, sequential
105 extractions rely on chemical reagents different from those found in the digestive tract of
106 deposit-feeder organisms in aquatic systems, where there is an abundance of hydrolytic
107 enzymes and chemicals derived from predigestion (Mayer et al., 1997). Consequently,
108 some researchers have questioned the use of these chemical reagents for quantifying the
109 bioavailable fraction (Turner and Olsen, 2000; Rosado et al., 2016). Also, in the available
110 literature there were limited discussions on relationship between the sorption behavior of
111 HM species and the water quality of road runoff (e.g., dissolved organic carbon content and
112 pH). Therefore, in the present study, turbulent runoff conditions were simulated mainly
113 using the DIN 38414-S4 standard (DIN, 1984): deionized water, mechanical shaker, 10
114 rpm, room temperature, and $t = 24$ hours.

115

116 No consensus has been reached with regard to the determination of the sediment-load
117 buildup and wash-off on the road surfaces (e.g., Sartor et al., 1974; Vaze and Chiew, 2002;
118 German and Svensson, 2002; Egodawatta et al., 2013). This lack of consensus is primarily
119 attributable to variable study-site conditions and the effectiveness of the method used for
120 RDS collection (e.g., dry vacuuming, dry sweeping, dry vacuuming and sweeping, and

121 simultaneous washing and vacuuming). Perhaps the most comprehensive summary of
122 surface accumulation and pollutant-fraction data is provided by Manning et al. (1977).
123 These authors also discussed the many problems and facets of sampling and measurements.
124 Suffice it to say that particle size characteristics and degree of removal from the road
125 surface differ for each sampling method. In this study, RDS was collected via dry
126 vacuuming and sweeping because the proposed method was based on the characterization
127 of dry-weather RDS.

128

129 In the analysis of HM content in RDS, the fine-size fraction was given particular attention
130 because the consulted literature suggested that this fraction tends to present the highest HM
131 concentrations. On average, the size fraction below 250 μm accumulated 69% (between
132 51.2 and 82.5%) of total HM load (Mn, Cd, Zn, Cu, Pb, Co, Ni, Fe, and Cr) associated with
133 RDS in dry weather (Sartor et al., 1974; Ellis and Revitt, 1982; German and Svensson,
134 2002; Zanders, 2005; Bian and Zhu, 2009; Zhao et al., 2010; Kayhanian et al., 2012; Ma
135 and Singhirunnusorn, 2012; Bi et al., 2013). Per these authors, the fine-size fraction of RDS
136 (e.g., $< 250 \mu\text{m}$) served as a representative fraction for the characterization of dry-weather
137 HM content.

138

139 In light of this background, the objective of this paper was to present the development of a
140 simplified method for determining the potential HM loads derived from wash-off caused by
141 stormwater runoff on RDS. Potential HM load was determined relative to the total mass of
142 RDS-associated HM in dry weather. The method consisted of three phases: (i)
143 characterization of RDS load wash-off, (ii) assessment of HM load in dry weather, and (iii)
144 application of a wash-off equation. Two processes were included in the wash-off equation:

145 HM transport (solid fraction) and HM leaching (dissolved fraction). The focus in this paper
146 was on the following HMs: Pb, Zn, Cu, Cr, Ni, Cd, Fe, Mn, Co, and Ba. These HMs were
147 the most reported by studies on RDS (e.g., Ellis and Revitt, 1982; Stone and Marsalek,
148 1996; Bi et al., 2013; Zannoni et al., 2016).

149

150 **2. Materials and Methods**

151

152 2.1. Study areas

153

154 The road surfaces for developing the simplified method were located in the cities of
155 Torrelavega, Spain (A1) and Soacha, Colombia (A2). Each road surface had two sections:
156 A11 and A12, and A21 and A22, respectively. These study areas were selected because the
157 climate conditions, road-traffic density, and land use were different for each road surface.
158 Table 1 shows the primary climate and physical characteristics for each road. Climate data
159 (daily) were obtained from stations located between 40 and 4250 m from the road-surface
160 curbs studied. In A1, the Atlantic climate (warm) is characterized by abundant yearlong
161 rains, high humidity, and mild temperatures. In A2, the tropical mountain climate (cold) is
162 characterized by abundant yearlong rains and a wide variation in temperature (hourly
163 variation: 5-22 °C).

164

165 2.2. Sampling

166

167 The protocol for RDS collection followed the sampling systems developed by previous
168 authors (Ellis and Revitt, 1982; Vaze and Chiew, 2002; Bian and Zhu, 2009). RDS samples

169 were collected next to the curb (within 0.50 m) in dry weather at the same time each day for
170 a period of 65 and 127 days for A1 (09/28/2004-12/01/2004) and A2 (01/07/2010-
171 05/14/2010), respectively. The sampling surface's area was 0.50 m² (707 mm x 707 mm).
172 The weight of each RDS was between 10 and 180 g per square meter. Collection-area sizes
173 were ensured via the surface placement of an acrylic frame. For RDS collection, a vacuum
174 cleaner (1.5 kW) capable of retaining particles larger than 1 μm was used in tandem with a
175 plastic-fiber brush. The RDS collection system had two stages. The first stage consisted of
176 direct vacuuming of the sediment from the road surface (done three times). The second
177 stage consisted of sweeping the same surface with a plastic-fiber brush, so the sediments
178 stuck to the surface could be vacuumed (done three times). This dual-step sampling
179 sequence (vacuuming and sweeping-vacuuming) helped avoid suspension-related loss of
180 the fine-size fraction of the RDS, which an initial sweep of the surface with the brush may
181 have caused. The sampling surface was lightly swept to prevent loosening of the pavement
182 particles, and an attempt was made to apply the same force when brushing throughout the
183 sampling period. The total amount of sediment on the road surface was calculated as the
184 sum of the RDS collected in the two stages. The sampling site was controlled to prevent
185 repetition and ensure proximity to previous collection points. On average, the RDS samples
186 were taken daily for A1 and every three days for A2. Slight variations in sampling
187 frequency were caused by rainfall events, which prevented dry-weather RDS. Fifty-six and
188 88 RDS samples were collected in A1 (A11 and A12) and A2 (A21 and A22), respectively
189 ($n = 144$ RDS samples).

190

191 A field test on a road surface was used (done 10 times) to assess the effectiveness of the
192 RDS collection system in dry weather. The test entailed the consecutive application of the

193 sampling system three times to evaluate the remaining RDS load (weight) after each
194 application (characteristics of the selected road surface: asphalt/rough; slope: 1%; collected
195 RDS load: between 40 and 55 g). On average, the analysis showed that the effectiveness of
196 the RDS recollection system after the first application (vacuuming and sweeping-
197 vacuuming) was 95.5 ± 1.7 , 97.2 ± 1.2 , 98.1 ± 0.5 , 99.2 ± 0.2 , 99.6 ± 0.09 , 99.9 ± 0.01 , and
198 100% (average effectiveness: 98.4%) for size fractions of < 63, 63-125, 125-250, 250-500,
199 500-1000, 1000-2000, and 2000-2800 μm , respectively. The second application of the RDS
200 collection system (on the same sampling surface) increased the average effectiveness to
201 99.3%, with respect to the third application. The road surfaces studied were not subjected to
202 mechanical sweep. Therefore, we did not have to consider road sweeping a cleaning
203 mechanism (RDS removal) during the sampling period, which meant only rainfall served as
204 a cleaning mechanism.

205

206 2.3. Laboratory analysis

207

208 2.3.1. Particle size distribution in RDS

209

210 The particle size distribution (PSD) of RDS was determined following the ISO-11277
211 method (ISO, 2000). The sizes of the mesh opening used for PSD analysis were between 63
212 and 2800 μm (< 63, 63-125, 125-250, 250-500, 500-1000, 1000-2000, and 2000-2800 μm).

213 A statistical analysis with the Kolmogorov-Smirnov test was performed to evaluate the
214 normality of the PSD data set. The data were previously subjected to a logarithmic
215 transformation to evaluate the adjustment to this probability distribution. A linear
216 regression analysis was performed to develop a model between the particle size (μm) and

217 mass percentage of RDS associated with each size fraction. This analysis was conducted to
218 determine the RDS particle sizes associated with the 10 (d_{10}), 50 (d_{50}), and 90 (d_{90})
219 percentiles. The percentile variation was also analyzed before and after each rainfall event
220 ($n = 19$ rainfall events).

221

222 Analysis of RDS susceptibility to runoff-caused wash-off accounted for particle diameter
223 for all rainfall events at sites A1 and A2 during the sampling period. PSD before and after
224 each rainfall event was used to assess the RDS load wash-off (difference of RDS mass
225 before and after each rainfall event) for all size fractions. This analysis also included
226 rainfall intensity, ADP, and road slope. A Pearson correlation coefficient analysis was
227 performed to evaluate the relationship between the previous three variables and the RDS
228 load wash-off after each rainfall event.

229

230 2.3.2. Leaching test

231

232 We performed a leaching test of HMs associated with RDS in line with the DIN 38414-S4
233 standard (DIN, 1984). This allowed for the simulation of turbulent runoff conditions during
234 storm drainage from the roadside surface. Deionized water and RDS were mixed at an L/S
235 ratio of 10 L/kg (100 g of RDS) dry material and mechanically shaken (Heidolph, REAX
236 20/4) for 24 hours at a speed of 10 rpm at room temperature in the dark. RDS leachates
237 were obtained via filtration of the supernatant with a PTFE membrane filter (Millipore,
238 XX1004700, pore size: 0.45 μm). Forty-eight leaching analyses were performed for A1 and
239 A2 (24 for each study area). As noted, the leaching test simulated potential conditions of
240 turbulent runoff on RDS; principally due to the wash-off time simulated ($t = 24$ hours),

241 where in a typical storm this may be from minutes to hours. It is unlikely that all HMs
242 leached in the laboratory (potential conditions) would leach with the same intensity during
243 rainfall events (real conditions). However, the objective of this study was to determine the
244 potential HM loads washed-off by rainwater runoff from RDS.

245

246 2.3.3. Digestion method

247

248 RDS samples were digested in a mixture of hydrochloric acid and nitric acid (molar ratio of
249 3:1, aqua regia) per the ISO-11466 method (ISO, 2000). This type of digestion was
250 performed in 250-mL glass beakers covered with watch glasses. A thoroughly mixed RDS
251 sample of 3.00 g was digested in 28 mL of aqua regia on a hot plate for 3 hours at 110 °C.
252 After evaporation to near dryness, the RDS sample was diluted with 20 mL of 2% (V/V
253 with H₂O) nitric acid and transferred to a 100-mL volumetric flask after filtering through
254 Whatman no. 42 paper and diluted to 100 mL with distilled water.

255

256 2.3.4. HM content in RDS

257

258 RDS-associated HM concentration was determined via flame atomic absorption
259 spectrometry as detailed in the ISO-11047 method (ISO, 2000). A Perkin Elmer AAnalyst
260 300 flame atomic absorption spectrometer was equipped with appropriate hollow cathode
261 lamps and a 10-cm air-acetylene flame because the atomizer was used as the detector
262 throughout the entire spectrometry process. The wavelengths for monitoring Zn, Pb, Cu,
263 Cr, Ni, Mn, Cd, Fe, Co, and Ba were 213.9, 283.3, 324.8, 357.9, 232.0, 279.5, 228.8, 248.3,

264 240.7, and 553.6 nm, respectively. All instrumental settings used followed the
265 recommendations in the manufacturer's manual.

266

267 Suitable chemical standards were used during analysis. All chemicals were of analytical
268 reagent grade, unless otherwise indicated. Double-deionized water was used for preparing
269 all solutions and dilutions. All stock solutions of the HMs (1000 mg/L) were prepared using
270 the nitrate salts of HMs or corresponding pure HMs (Merck, Darmstadt, Germany). Stock
271 solutions were diluted to produce working solutions of HMs. All glassware and plastic
272 vessels were treated by acid water (a 1% V/V solution of HNO₃) for 24 hours and then
273 rinsed with distilled water before use. HM loads for size fractions were determined through
274 HM concentration and quantity of RDS by weight associated with each size fraction ($n =$
275 144 RDS samples by 7 size fractions).

276

277 The concentration levels were within the acceptable detection limits (LOD): Zn 0.044, Pb
278 0.081, Cu 0.004, Cr 0.007, Ni 0.002, Mn 0.009, Cd 0.004, Fe 0.007, Co 0.004, and Ba
279 0.093 mg/L. Detection limits were calculated based on the standard definition of the
280 concentration of the analyte yielding a net signal equivalent to three times the standard
281 deviation of the background signal: $3s/b$, $n = 24$, where s is the standard deviation of the
282 blank and b is the slope of the calibration graph. Given that it is difficult to obtain a blank
283 soil, the signal obtained using the reagents for each extraction stage was treated as the
284 blank. Correlation coefficients (r) of the calibration curves were greater than 0.996 for all
285 HMs studied. Finally, a standard reference material (SRM 2711 Montana soil from NIST)
286 was used for quality control of the HM analysis. The recoveries varied, though all fell
287 within 86.9 and 109%. The precision was nearly 90%, with a confidence level of 95%.

288

289 3. Results and Discussion

290

291 3.1. The simplified method

292

293 3.1.1. Characterization of RDS load wash-off

294

295 RDS particle sizes exhibited a positively skewed Log-normal distribution (7 size fractions
296 between 63 and 2800 μm). Ellis and Revitt (1982), Ball et al. (1998), and Kayhanian et al.
297 (2012) found similar PSD. Table 2 shows the d_{10} , d_{50} , and d_{90} (with the subscript referring
298 to percentile) for the RDS collected from A1 (A11, A12) and A2 (A21, A22) road surfaces.
299 The PSD of the RDS after rainfall events was coarser. On average, d_{50} was 263 to 282 μm
300 before rainfall events and between 292 to 337 μm after rainfall events. Based on d_{50} , we
301 proposed the size fraction below 250 μm as the dominant one in terms of RDS mass for the
302 present study. To reiterate, the size fractions of RDS analyzed were: < 63, 63-125, 125-250,
303 250-500, 500-1000, 1000-2000, and 2000-2800 μm .

304

305 Table 3 shows the results obtained by RDS size fraction for analysis of susceptibility to
306 wash-off caused by road runoff (percentage difference relative to the RDS mass before and
307 after each rainfall event). For the size fraction below 250 μm , the analysis showed that the
308 average percentage of RDS transported by runoff during all rainfall events in A1 (asphalt
309 concrete, slope = 0.2-4%, intensity = 0.8-3.7 mm/h, Atlantic climate) and A2 (asphalt
310 concrete, slope = 1-2%, intensity = 0.8-4.5 mm/h, tropical mountain climate) was 26.5 and
311 47.0%, respectively. Zhao and Li (2013) reported an average wash-off of RDS caused by

312 runoff between 15.8 and 11.6% for the size fraction below 1000 μm using a rainfall
313 simulator (asphalt concrete, slope = 4.1%, intensity = 46.7 mm/h, humid continental
314 climate). Tian et al. (2009) found an average wash-off caused by runoff of 37.9% for the
315 size fraction below 300 μm (humid continental climate). Vaze and Chiew (2002) reported
316 that wash-off caused by runoff reached 45% of RDS accumulated in dry weather (asphalt
317 concrete, slope = 10%, intensity = 4 mm in single burst, size fraction < 3000 μm , oceanic
318 climate). The variations in the findings of previous studies can likely be attributed to
319 variations in rainfall intensity, duration of the ADP, assessed size fraction, pavement
320 roughness, road slope, sampling method, and accumulated RDS on road surfaces tested.

321

322 In our study, there was no significant correlation (Pearson's r , p -value > 0.05) between the
323 percentage of RDS transported by runoff (wash-off), and rainfall intensity, ADP, and road
324 slope. However, a direct relationship between these variables was observed in the
325 information reported in Tables 2 and 3. That is, Table 3 displays an increase in the wash-off
326 of RDS below 250 μm with increased rainfall intensity, ADP, and road slope.

327

328 3.1.2. Assessment of HM load in dry weather

329

330 Table 4 shows the distribution by size fraction of the average HM load (mg of HM per kg
331 of RDS) associated with RDS in dry weather. For A1 and A2, an average of 62.6 and
332 58.0%, respectively, of the total HM load in RDS was associated with the size fraction
333 below 250 μm . Sartor et al. (1974), Ellis and Revitt (1982), German and Svensson, (2002),
334 Zanders (2005), Bian and Zhu (2009), Zhao et al. (2010), Kayhanian et al. (2012), Ma and
335 Singhirunnusorn (2012), and Bi et al. (2013) reported results between 51.2 and 82.5%, with

336 an average of 69%. These results indicate that the size fraction below 250 μm was
337 representative of RDS and, as a result, could be used to characterize HM content in dry
338 weather.

339

340 The order of precedence in the average HM load associated with the size fraction below
341 250 μm at study site A1 was: Zn > Cd - Co > Mn > Pb - Ni > Cu > Cr > Fe. At A2, the
342 order of precedence was: Zn - Ba > Cd > Cu > Mn > Pb > Fe. On average, the HMs most
343 associated (higher load) with the size fraction below 250 μm were Co (70%), Zn (68.5%),
344 Cd (65.5%), and Ba (65%), suggesting that these HMs had a greater affinity with the finest
345 fraction of the RDS (< 250 μm). Fe had the lowest affinity with this size fraction (see Table
346 4). Previous trend was probably associated with the particle size emitted by sources of HMs
347 in the road environment. For example, Habibi (1973) reported that 43% of Pb emitted by
348 vehicle exhausts was associated with particles sizes below 9 μm . Kobriger and Geinopolos
349 (1984) informed that the action of tires generated the loosening of particles having an
350 average diameter of 20 μm . Bannerman et al. (1993) and Li et al. (2001) showed that Zn in
351 the tires of vehicles was a significant source of this metal in urban runoff. The dust
352 embedded in the tires not only consisted of particles removed by their passage, but also
353 HMs associated with particles emitted by traffic materials such as brake dust and roadway
354 yellow painting (Adachi and Tainosho, 2004).

355

356 For the road surfaces studied, there was no significant correlation (Pearson's r , p -value >
357 0.05) between the HM-related pollution and the distribution of HM load in each size
358 fraction of the RDS (see Table 4). The results suggested that the distribution of HMs in the
359 different size fractions of the RDS was probably independent of pollution in the study area.

360 The level of pollution on road surfaces was evaluated by looking at HM concentration
361 (mg/kg of dry matter) associated with RDS (see Table S1, Supplementary Information). On
362 average, the concentrations of Pb, Zn, Cu, Cd, Fe, and Mn on the road surface of A1 were
363 2.00, 4.34, 2.16, 43.41, 0.16, and 2.49 times higher, respectively, than those of the A2 road
364 surface (RDS size fraction < 250 μm). The results suggested that the study area with
365 parking lanes (A1 road surface) presented the higher HM concentrations, probably due to a
366 larger accumulation of grease, lubrication and motor oil on the sampling surface and to a
367 greater use of the braking system, and greater wear of tires and asphalt as a result of the
368 parking operations (see in Table 1, Traffic lines/parking). Moreover, in A1 and A2 the
369 highest HM concentration occurred on the RDS size fraction below 63 μm . Therefore, the
370 results suggested that the design of best management practices should be guided to
371 eliminate the fine-size fraction of the RDS.

372

373 Table 5 shows a comparison of HM leaching in RDS observed in this study (DIN 38414-S4
374 standard) and that observed in other studies (Tessier and BCR sequential-extraction
375 procedures). The leaching test allowed for the simulation of strong turbulent runoff
376 conditions on RDS during storm drainage from the roadside surface (potential
377 contribution). The leaching information in Table 5 corresponded to the water-soluble and
378 exchangeable fraction of the RDS (percentage of bioavailable HM). The results did not
379 show any significant differences between the results of the leaching tests for RDS in A1
380 and A2 (Student's *t*-test, *p*-value = 0.061). The evaluated HMs were Pb, Zn, Cu, Cd, Fe,
381 and Mn. The results displayed no significant differences between the results of the leaching
382 tests in this study and those reported in Table 5 by other studies (Student's *t*-test, *p*-value =
383 0.263). The evaluated HMs were Pb, Zn, Cu, Cr, Ni, Cd, Fe, Mn, and Co. However,

384 Rijkenberg and Depree (2010) reported that the dissolved organic matter and pH were the
 385 most important solution parameters that affected the leaching of HMs from RDS.
 386 Therefore, in the present study, it was assumed a uniform behavior of these two parameters
 387 in stormwater runoff during the investigation period, due to the fact that the proposed
 388 method was based on the RDS collection of the same road surface. Namely, it was assumed
 389 that the influential factors in the HM leaching from RDS (e.g., chelating agents, rainfall pH,
 390 and sediment lithology) remained constant during the study period in each evaluated road
 391 surface.

392

393 The results of the HM leaching test for study areas A1 and A2 showed that the elements
 394 with the highest leaching percentages were Mn and Cd (Table 5). Based on averaged
 395 values, the sequence in leaching tests was: Mn > Cd > Zn > Cu > Ba > Pb > Co > Ni > Fe >
 396 Cr. Average leaching percentage for all HMs in A1 and A2 was 13.9% (Zn, Pb, Cu, Cr, Ni,
 397 Mn, Cd, Fe, Co, and Ba). The median leaching percentage for all HMs reviewed in RDS
 398 was 9.1%. The median was used as a central-tendency measure in light of the extreme
 399 values in the information reported in Table 5.

400

401 3.1.3. Development of the wash-off equation

402

403 The wash-off equation was:

404

$$405 \text{ TLW} = \sum_{i=1}^n \left[\left(\text{LW}_{<250,i} \cdot \frac{\text{ML}_{<250,i}}{100} \right) + \left(\text{LE}_{<250} \cdot \left(1 - \frac{\text{LW}_{<250,i}}{100} \right) \cdot \frac{\text{ML}_{<250,i}}{100} \right) \right] + \left(\text{LE}_{\geq 250} \cdot \left(1 - \frac{\text{ML}_{<250}}{100} \right) \right) \quad (1)$$

406

407 Where TLW is the potential load of HM washed-off by runoff from RDS (%), $LW_{<250,i}$ is the
408 percentage of RDS under 250 μm susceptible to transport caused by runoff (see Table 3),
409 $ML_{<250,i}$ is the percentage of HM associated with RDS under 250 μm (see Table 4), $LE_{<250}$
410 is the percentage of HM leaching associated with RDS under 250 μm (see A1 and A2 in
411 Table 5), and $LE_{\geq 250}$ is the percentage of HM leaching associated with RDS greater than or
412 equal to 250 μm (see median for each HM in Table 5). The term $(1-LW_{<250,i}/100)$ represents
413 the proportion of RDS under 250 μm that was not transported by runoff yet leached HMs.
414 TLW depends on the number of fractions under 250 μm , which is considered (n). A second
415 term was included to quantify the potential HM contribution for size fractions greater than
416 or equal to 250 μm , assuming that this size fraction was not susceptible to transport but
417 rather leached by road runoff. The term $(1-ML_{<250}/100)$ indicates the proportion of an HM
418 associated with this RDS size fraction. As noted, the wash-off equation was developed
419 using the data reported in Tables 3, 4, and 5.

420

421 The potential load of HMs (Pb, Zn, Cu, Cr, Ni, Cd, Fe, Mn, Co, and Ba) derived from
422 wash-off caused by runoff on RDS was calculated using the equation developed for the
423 simplified method. Figure 1 shows the results obtained for the potential load of HMs
424 attributable to RDS runoff. On average, 27.2 and 37.2% of the total HM load associated
425 with RDS was susceptible to wash-off after a rainfall event for A1 and A2, respectively. In
426 order of precedence, the average load for each HM at A1 was (Figure 1): Cd > Mn > Zn >
427 Co > Cu > Pb > Ni > Cr and Fe. At A2, the order of precedence was: Cd > Zn > Mn > Ba >
428 Cu > Pb > Fe. The HMs that contributed the most to the road surfaces studied were: Cd
429 (43.7%), Mn (41.4%), and Zn (40.3%). Detailed results for each variable and term of the
430 wash-off equation are available in Table S2 (Supplementary Information).

431

432 For the road surfaces studied, no significant correlation (Pearson's r , p -value > 0.05) was
433 found between pollution caused by HMs and the HM load caused by runoff (calculated
434 using the proposed equation), for HM load was calculated with respect to the total mass of
435 HM associated with the dry-weather RDS at each study site. The results suggested that the
436 wash-off equation developed was probably independent of pollution levels in the RDS,
437 which meant that the equation could be applied to road surfaces with different land uses
438 and HM-related pollution levels. Pollution on the road surfaces was evaluated with regard
439 to the HM concentration (mg/kg of dry matter) associated with RDS (Table S1,
440 Supplementary Information). Moreover, no significant correlation (Pearson's r , p -value $>$
441 0.05) was found between HM load caused by runoff (calculated using the proposed wash-
442 off equation) and the following variables: rainfall intensity, ADP, and road slope. However,
443 a direct relationship between these variables was observed in Figure 1. For example, for the
444 A1 and A2 road surfaces, rainfall intensities between 0.8-3.7 mm/h and 0.8-4.5 mm/h were
445 correlated with an average HM load caused by runoff of 27.2 and 37.2%, respectively. As
446 noted, increased rainfall intensity led higher average HM load (calculated with the wash-off
447 equation).

448

449 Potential HM load was evaluated using the equation proposed as part of the simplified
450 method. For A1 and A2, an average of 86.8 and 86.5%, respectively, of potential HM load
451 washed-off by runoff came from the size fraction under 250 μm (Figure 1). This was
452 integrated in the HM-related phenomena included in the first term of the developed
453 equation (Equation 1): transport of RDS $< 250 \mu\text{m}$ (solid fraction) and leaching of RDS $<$

454 250 μm (dissolved fraction). Similarly, Zhao et al. (2010) reported that this size fraction
455 contributed more than 80% of total HM load washed-off by runoff from RDS.

456

457 The size fraction greater than or equal to 250 μm accounted for an average HM load of
458 13.2% for A1 and 13.5% for A2, relative to total HM-load wash-off caused by runoff from
459 RDS (Figure 1). This was integrated in the only phenomenon included in the second term
460 of the developed equation (Equation 1), namely leaching of RDS $\geq 250 \mu\text{m}$ (dissolved
461 fraction). Thus, the proposed equation allowed us to visualize the following order of
462 precedence: transport of RDS $< 250 \mu\text{m}$ (70.7%), leaching of RDS $< 250 \mu\text{m}$ (15.9%), and
463 leaching of RDS $\geq 250 \mu\text{m}$ (13.4%). On average, in this study, solid and dissolved fractions
464 contributed 70.7 and 29.3% of the potential HM load washed-off by stormwater runoff
465 from RDS, respectively.

466

467 As noted, our wash-off equation did not depend directly on the study areas' climate
468 conditions (e.g., rainfall intensity and ADP) or physical characteristics (e.g., road slope and
469 curbs). Formulation of the equation depended on the transport and leaching processes
470 exerted by the surface runoff on RDS. Therefore, we suggest applying the simplified
471 method described in this paper to any sampling or collection system of dry-weather RDS.

472

473 3.2. Validation of the simplified method

474

475 Table 6 contains a review of other studies on HMs. Initially, we validated the results
476 obtained via the simplified method (potential conditions) by comparing them with the
477 results of other studies (real conditions). As expected, the comparison revealed that the

478 simplified method for potential HM load tended to overestimate the HM load relative to the
479 values reported in other studies by an average of 55.2, 112, 116, 126, 50.1, 179, 125, and
480 266% for Pb, Zn, Cu, Cr, Ni, Cd, Fe, and Mn, respectively (a combined overestimation
481 average of 129%). This overestimation of potential HM load was probably due to the fact
482 that, in the proposed wash-off equation, strong turbulent runoff conditions were considered
483 for the RDS (laboratory leaching tests: DIN 38414-S4 standard, and Tessier and BCR
484 sequential-extraction procedures). It is unlikely that all HMs leached in the laboratory
485 would leach with the same intensity during rainfall events. Previous comparisons with other
486 studies were useful for validating the proposed simplified method.

487

488 The simplified method was also validated in comparison to the simulated (potential
489 conditions) and observed (real conditions) values for A1 and A2 road surfaces. Figure 2
490 shows the results for study section A11. Results for the other sections studied can be found
491 in Figures S1, S2, and S3 (Supplementary Information). As expected, the results revealed
492 that the simplified method tended to overestimate the HM load wash-off observed for A1
493 and A2 road surfaces for the 19 rainfall events that occurred during the study period. For
494 A1, an overestimation average of 54.8, 100, 37.6, 38.0, 76.4, 49.2, 41.0, 120, 50.3, and
495 63.0% was found for Pb, Zn, Cu, Cr, Ni, Cd, Fe, Mn, and Co, respectively (with a
496 combined overestimation average of 63%). For A2, an overestimation average of 50.9,
497 86.8, 65.9, 92.5, 66.9, 71.4, and 66.8% was found for Pb, Zn, Cu, Cd, Fe, Mn, and Ba,
498 respectively (with a combined overestimation average of 71.6%). Finally, to simulate the
499 potential HM load under average conditions (i.e., for all rainfall events), we found that 85.2
500 and 90.1% of the observed values were lower than the average values simulated for A1 and
501 A2, respectively (Figure 2).

502

503 **4. Conclusions**

504

505 In this study, we propose a simplified method for determining the potential load of HMs
506 derived from wash-off caused by runoff on RDS. This study's findings allow us to draw the
507 following conclusions.

508

509 Results obtained using the simplified method suggest that the potential load of HMs
510 washed-off by runoff from RDS averaged between 16.6 and 46.3% (for the following HMs:
511 Mn, Cd, Zn, Cu, Ba, Pb, Co, Ni, Fe, and Cr), with percentages relative to the total mass of
512 HMs associated with dry-weather RDS. The HMs with the highest wash-off are: Cd, Mn,
513 and Zn. These HMs consistently show the highest percentages of leaching in laboratory
514 tests. Based on the phenomena included in the wash-off equation, we observed the
515 following order of precedence: transport of RDS < 250 μm (solid fraction), leaching of
516 RDS < 250 μm (dissolved fraction), and leaching of RDS \geq 250 μm (dissolved fraction).
517 The size fraction under 250 μm contributes an average of 86.7% of potential HM load
518 washed-off by runoff from RDS; the remaining 13.3% is contributed by the RDS size
519 fraction greater than or equal to 250 μm . Solid and dissolved fractions contribute 70.7 and
520 29.3% of the potential HM load washed-off by runoff from RDS, respectively. On average,
521 for potential conditions of HM load wash-off, the simplified method tends to overestimate
522 the HM load wash-off observed on the road surfaces by a factor of 63 to 71.6%.

523

524 Finally, the simplified method may serve as a management tool for institutions responsible
525 for controlling diffuse pollution in urban areas. That is, it may help establish control

526 strategies for dry weather, i.e., optimizing the frequency/schedule for street sweeping, and
527 for wet weather, i.e., optimizing permeable/porous pavement design, infiltration/exfiltration
528 trenches and basins, and retention/detention ponds, etc. The design for polluted runoff
529 should be guided to eliminate the fine-size fraction of the RDS (e.g., < 250 μm). Therefore,
530 this method can improve future research on the influence of rainfall events in relation to the
531 HM loads washed-off by runoff from RDS.

532

533 However, this study had the following limitations, which require further attention. First, the
534 wash-off equation was developed in strong turbulent runoff conditions. It is unlikely that all
535 HMs leached in the laboratory (potential conditions) would leach with the same intensity
536 during rainfall events (real conditions). Second, the simplified method was developed under
537 specific conditions, such as climate, physical road and asphalt surface, rainfall intensity (0.8
538 to 3.7 mm/h), ADP (1 to 27 days), road slope (0.2 to 4%), and HM leaching (DIN 38414-
539 S4 standard). These specific conditions do not apply to all RDS situations, and, as we have
540 pointed out in this paper, discrepancies in these variables may lead to discrepancies in the
541 results of the simplified method. Third, specific conditions during method development
542 (i.e., land use and road-traffic density and composition) were shown to determine HM-
543 related pollution for the road surfaces studied.

544

545 **Conflict of interest**

546

547 The authors declare that there are no conflicts of interest.

548

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550

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555

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