

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation

**M. Alonso-Martínez¹, A. Navarro-Manso², D. Castro-Fresno³,
F.P. Álvarez-Rabanal⁴, J. J. del Coz Díaz^{5*}**

¹ *GICONSIME Research Group, Department of Construction and Manufacturing, University of Oviedo, 33203 Gijón (Spain)*

² *Department of Energy, University of Oviedo, 33203 Gijón (Spain).*

³ *GITECO Research Group, Dept. of Transport and Project Management, University of Cantabria, 39005 Santander (Spain)*

⁴ *Department of Construction and Manufacturing, University of Oviedo, 33203 Gijón (Spain)*

⁵ *Department of Construction and Manufacturing, University of Oviedo, 33203 Gijón (Spain)*

ABSTRACT

This paper studies how to improve the efficiency of a new System for Catchment, Pre-treatment and Treatment of runoff water (SCPT). This system is integrated into an urban sustainable gravity settler which can decrease diffusive pollution. This study provides important advantages for the ecosystem by improving new sustainable drainage to clean runoff water. In this research work, an investigation methodology known as Hybrid Engineering (HE) was used. HE combines experimental tests and numerical simulations, both of them conducted on a 1:4 scale prototype. In this study, numerical simulations by the Finite Volume Method (FVM) and experimental tests by Particle Image Velocimetry (PIV) were compared. A strong correlation between the numerical and experimental analysis was found. Next, the efficiency

* Corresponding author. Tel.: +34-985-182042; fax: +34-985-182433.
E-mail address: juanjo@constru.uniovi.es (J. J. del Coz Díaz).

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

22 of the SCPT was optimized by Design of Experiments (DOE). Analysis of experimental and
23 numerical results and their comparison are presented in this research paper.

24 **Keywords:** Computational Fluid Dynamics (CFD); Design of Experiments (DOE); Particle
25 Image Velocimetry (PIV); Sedimentation; Volume modelling; Vortex dynamics.

26 INTRODUCTION

27 The pollution of runoff water is an important problem which seriously affects the environment.

28 The poor quality of storm water which is filtered by the terrain causes contamination known as

29 "diffusive pollution". Several solutions for this problem have been studied since the nineteenth

30 century (Caltrans 2010; Campbell *et al.* 2004; Castro Fresno *et al.* 2005; Dolz and Gómez 1994;

31 Novotny 2003). In these previous studies, BMPs (Best Management Practices) were developed

32 and the efficiency of the new devices was discussed. As a conclusion of these projects, it was

33 proved that the systems used are not enough to improve the water quality. In this sense,

34 Fernández Barrera in his Doctoral Thesis in 2009 and other authors developed a new System

35 of Catchment, Pre-treatment and Treatment (SCPT) of the pollutants of the runoff water. This

36 new system was patented (Castro Fresno *et al.* 2010).

37 The SCPT consists of two sections divided by a flat panel, which is also called the screen. The

38 function of the first of these sections is as a hydraulic plug where it is possible to retain oils.

39 Then the water flows under the flat panel and goes inside the second section which works as a

40 gravity settler. In this second section, geotextil layers can be included in order to filter the

41 water. This system allows the reduction of the environmental impact due to the contaminants

42 of the runoff water, one of the most important problems of the storm water.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

43 This system was tested in a long-term laboratory simulation in order to study its performance.

44 The SCPT is able to avoid resuspension of contaminants which is an important problem in

45 other devices. The conclusions of this paper consider the efficiency of the SCPT taking into

46 account two kinds of pollutants, solids and oils. A review of other Sustainable Urban Drainage

47 Systems (SUDS) has been published where the main sustainable drainage practices in Spain

48 are commented (Castro-Fresno *et al.* 2013).

49 GITECO research group has continued the investigation of the SCPT carrying out a laboratory

50 analysis of a 1:1 scale prototype, Fernández Barrera 2009; Rodríguez-Hernández *et al.* 2010;

51 Fernández-Barrera *et al.* 2010). Three parameters were studied in that research work: inflow,

52 pollutant loads, considering solids and oil in water and the set up of the filtration system. This

53 study has shown the influence of these parameters on the SCPT efficiency. The results have

54 given high efficiencies of runoff water treatment: 85% for solids pollutants and 97% for oil in

55 water.

56 FVM was already used in previous research works about the SCPT (del Coz Díaz *et al.* 2011).

57 Numerical simulations were carried out to study the performance of the SCPT using a

58 simplified geometrical model. Furthermore, an optimization of the SCPT taking into account

59 the position of the flat panel and the particles diameter as the input parameters and the SCPT

60 efficiency as the output parameter was undertaken. The consistent results obtained in this

61 investigation indicate that FVM is an adequate tool to study this system. Another important

62 conclusion obtained in this numerical analysis was the large influence of the panel separation

63 on the efficiency of the SCPT. Finally, in this previous study the final results are kept open to

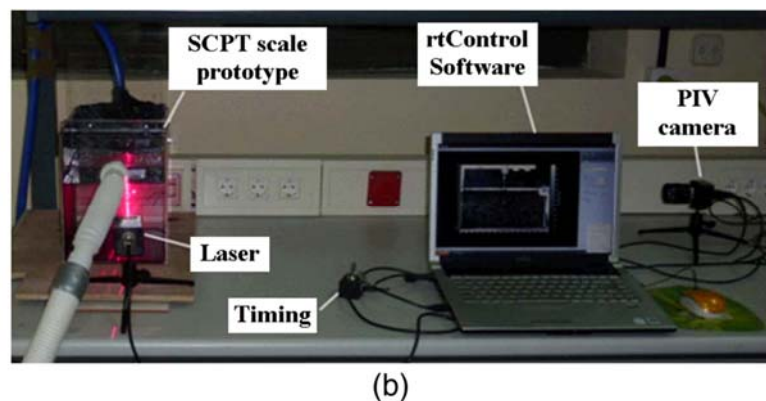
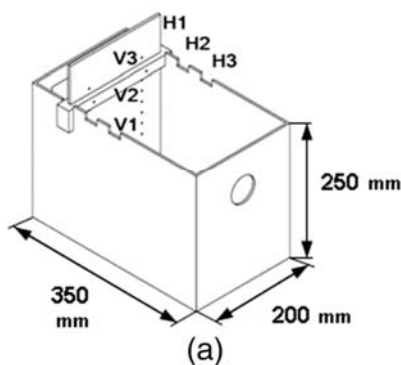
64 experimental validations in order to compare both results, numerical and experimental.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

65 Summarizing, the SCPT is an important contribution to environmental engineering because it
66 is able to treat storm water and clean it before it filters into the terrain. For this reason, an in
67 depth study of the system's behaviour has been developed in this paper in order to increase its
68 efficiency. The methodology used to complete the SCPT study is based on a combination of
69 the experimental and numerical studies. This technique is known as Hibrid Engineering (HE)
70 and has been used in many other fields too (Flamant *et al.* 2004; Mayurkumar *et al.* 2012).

71 In this work, the influence of the flat panel of the SCPT on its efficiency has been studied. A
72 1:4 scale structure of the SCPT, made of methacrylate, has been studied. This structure is 0.2m
73 wide, 0.35m. long and 0.25m. high. The flat panel has been studied in several positions, moving
74 it in vertical and horizontal directions. The scale prototype and the different positions studied
75 are showed in Figure 1. Experimental scale tests have been developed with this prototype using
76 Particle Image Velocimetry (PIV). Furthermore, numerical simulations have been carried out
77 using the Finite Volume Method (FVM) and the best flat panel configuration has been selected
78 by means of an optimization using the Design of Experiments technique (DOE). Finally,
79 experimental scale tests have been developed using the Particle Image Velocimetry (PIV)
80 technique.



Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

82 **Figure 1.** SCPT scale prototype studied: (a) dimensions and panel positions; (b) general
83 assembly of the laboratory tests.

84 The aim of this research paper is to complete the previous investigations about the SCPT,
85 considering three advanced techniques: numerical simulation by FVM, optimization using
86 DOE and experimental measures by PIV.

87 **Techniques used**

88 Two different techniques have been used in this study. On the one hand, the PIV technique has
89 been used to measure the speed of the fluid inside the SCPT scale model. Several parameters
90 can be obtained using this technique which has been studied by different authors in several
91 fields (Melling 1997; Raffel *et al.* 1998; Schroeder *et al.* 2008; Wang *et al.* 2009). On the other
92 hand, a numerical method based on FVM has been used to analyze the behaviour of the system.
93 Furthermore, DOE has been used to optimize the position of the screen and improve the
94 efficiency of the SCPT. The combination of FVM and DOE has been used for years
95 (Zienkiewicz *et al.* 2005; Madenci and Guven 2007; del Coz *et al.* 2007).

96 **EXPERIMENTAL TESTS**

97 The PIV technique was used in order to study the performance of a small scale SCPT. This
98 method has allowed the measurement of the fluid velocity inside the SCPT.

99 The equipment used in the laboratory tests was a laser PIV system "H41" (Etalon Research
100 2009). The PIV technique has been used for measuring the fluid speed at multiple points
101 throughout a 2D plane. The fluid is seeded with particles which follow the fluid movement
102 inside a volume. It is possible to deduce the movement of the underlying flow by tracking the

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

103 motion of these particles. This technique is well explained and studied by other authors
104 (Wereley and Gui 2001; Van Hooff *et al.* 2012).

105 A laser illuminates the SCPT, and the camera, which is normal to the illuminated plane,
106 captures the particle velocity in that section. An electronic control allows pulse separation
107 control between 100 μs and 5 s, and width pulse control between 10 μs and 32 ms. The software
108 processes the velocity map by cross-correlation using capture imaged in each laser pulse..
109 Furthermore, the equipment has an optical standard system which is able to make a light plane
110 which is 3x200x250 mm (width x height x length). This optical system can be used with two
111 angular options, 22° or 45°; in this study only 45° opening has been used. The resolution of the
112 camera is 640 x 480 with digital output format of 8-bit and the pixel size is 6 x 6 μm ($8.5 \cdot 10^{-2}$
113 m format).

114 The frequency of this camera is 16 Hz, with a standard photographic lens of $1.25 \cdot 10^{-2}$ m, 1:1.4,
115 and is able to register distances between 0.3 and 2 m.

116 The images captured are processed by "rtControl Software", which was provided by the
117 equipment manufacturer. This software takes the captured data, divides the images in sub-
118 windows and then analyzes consecutive images by cross-correlation. The time between sub-
119 windows is known and so, the velocity map can be obtained. It is important to take into account
120 the influence of external factors which can reduce the quality of the images, such as the kind
121 and size of the seed particles, the reflective surfaces, etc. In this sense, due to the complexity
122 of the PIV technique the experience of the authors is very important to obtain success results.

123 This software can also provide other parameters like the velocity vector (in real time) of the
124 particles in a fluid, and statistical data like vorticity, average speed, etc.

125 **Set up of the laboratory tests**

126 The configuration of the PIV tests has taken into consideration the following aspects:

- 127 – The seed particles, as suggests the PIV equipment manufacturer, are polyamide
128 particles of 100 μm , whose density is the same as water density. The polyamide
129 particles have suitable optical properties in order to be illuminated by the laser.
- 130 – The superposition of images is not possible. So, the captured plane by the camera must
131 be smaller than the illuminated plane by the laser. In this case, the maximum plane size
132 that the camera can capture is 0.5 m. long. In this case, a 1:4 scale SCPT has been used,
133 whose 2D plane captured is 0.35 m long.
- 134 – The scale model inlet is a longitudinal groove in a cylindrical pipe whose volume of
135 water is about 0.1 l/s in order to obtain a non turbulent inflow. The inlet is immersed to
136 avoid the turbulence and obtain a regular flow inside the system. Besides, the seed
137 particles and the water have been mixed before the inlet of the SCPT to make it easier
138 to follow the water movement.
- 139 – The equipment calibration must be done before starting the measures by PIV. This
140 calibration assigns units to the results of the software. It is important to know that only
141 the results which have been taken during the same calibration can be compared.
- 142 – Several positions of the flat panel have been studied to obtain experimentally the
143 influence of the screen displacement over the SCPT efficiency, see Figure 1.

144 The laboratory test assembly is shown in Figure 1, where the laser illuminating the SCPT model
145 is shown on the left side. Also, the camera normal to the illuminated plane and the software
146 used are shown on the right side.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

147 With respect to the PIV equipment configuration, the parameters of the camera and the laser
148 must be carefully chosen to obtain accurate captures of the fluid movement. These parameters
149 must be adapted to the fluid velocities inside the scale SCPT.

150 The maximum velocity of the fluid in the SCPT is at the inlet. This value has been calculated
151 based on the maximum inlet volume and the minimum inlet surface. The maximum velocity
152 obtained was below 0.2 m/s. The calibration in this work has been 3.5 mm/pixel. The fastest
153 particles, therefore, cannot travel more than $\frac{1}{4}$ of the sub-window size between images. So, the
154 values of the pulse separation, Δt , and the pulse width, δt , have been estimated accordingly
155 with equation (1).

$$156 \quad \Delta t = 1/4 \cdot S_w / V_{\max} \cdot 10^3 \quad (1)$$

157 Δt : pulse separation (s)

158 S_w : Sub-window size (pixels)

159 V_{\max} : Maximum velocity (m/s)

160 Values of pulse width depend on values of pulse separation according to equation (2).

$$161 \quad \delta t \approx \Delta t \cdot 10^{-3} / 10 \quad (2)$$

162 δt : pulse width (s)

163 In addition to the pulse separation and pulse width there are other parameters of configurations
164 in the PIV camera that depend on the boundary conditions of each test. The values of these
165 additional parameters are provided in Table 1.

166 **Table 1** Values of the configuration parameters for the PIV camera.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

Parameter	Value
Δt	58.22 (ms)
δt	4.27 (ms)
Step	Single
Sub-window size	12 px
Overlap	50%
Vector length	10 (mm)
RMS	0.6

167

168 Six different tests have been carried out, one for each flat panel position inside the scale model
169 of the SCPT. For horizontal displacements the distances between the inlet wall and the panel
170 have been 120, 145 and 170 mm, which are H1, H2 and H3 respectively. For vertical movements
171 the distances between the end of the panel and the bottom of the SCPT have been 70, 90 and
172 110 mm, which are V1, V2 and V3 respectively. The first horizontal position, called H1, cannot
173 be tested due to its proximity to the inlet fluid.

174 Taking into account previous research works focused on the flow simulation and sediment
175 transport over channels (Penko and Calantoni 2013), as well as previous full-scale experiments
176 over the SCPT (Fernandez Barrera 2009, Fernandez Barrera *et al.* 2010, del Coz *et al.* 2011)
177 the vorticity was considered an important result. The rotational fluid movement inside the
178 device and the conclusions derived from Penko and Calantoni provide the importance of the
179 vorticity values in this specific case.

180 In conclusion, the main result obtained in the experimental test was the average vorticity value,
181 W . This result was calculated as the scalar component of the angular velocity vector or punctual
182 vorticity, ω , for a two-dimensional input, in this case H and V, which corresponds to the plane
183 illuminated by the laser. The following equation (3) was used (Nezu and Nakagawa 1993):

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

$$184 \quad \omega = \frac{dv}{dx} - \frac{du}{dy} \quad ; \quad W = \frac{\sum_{m \times n} \omega_i}{m \times n} \quad (3)$$

185 Where:

186 u and v are the velocity components with respect to x and y directions.

187 m and n are the grid in x and y directions, respectively.

188 The scalar punctual vorticity, ω , was calculated at each grid point, $m \times n$, of a fine mesh of
189 3.5 mm size that fill the capture of the illuminated plane. In order to avoid local outlet suction,
190 which may change the flow path, the experimental data were selected. In this way, we have
191 removed 50 mm at the end of the output chamber, corresponding to 12 to 14 horizontal grid
192 points approximately.

193

194 NUMERICAL SIMULATIONS

195 The numerical simulation presented in this paper has been carried out by the CFX module in
196 the ANSYS Workbench software v.12.1. This work has been supported by the authors'
197 experience in the field of simulation and non-linear analysis. Over several years, the authors
198 have worked using finite element methods (FEM) and finite volume method (FVM), (del Coz
199 *et al.* 2007; del Coz *et al.* 2011; García Nieto *et al.* 2010). These methods provide great results
200 when the models are correctly compared and validated.

201 The relationship between the horizontal position of the flat panel and the particle collection
202 efficiency has been predicted in del Coz Diaz *et al.* 2011. However, in this paper a new
203 numerical model has been developed in order to study the behaviour of the SCPT from the

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

204 velocity field point of view. In this sense, the input parameters previously used have been
205 studied in both experimental and numerical ways. In summary, the contribution of this paper
206 is important in order to prove the ability of the PIV and numerical methods to verify the SCPT
207 performance.

208 **Finite volume model**

209 In this sub-section the finite volume model used in this investigation is explained:

- 210 – Geometrical model: the geometrical model which has been used in the SCPT numerical
211 simulations reproduces the fluid volume inside the system. The dimensions of the
212 geometrical model used in the numerical simulation and the experimental SCPT are the
213 same.
- 214 – Finite volume types: the meshing of the geometrical model by finite volumes has been
215 carried out using the "Automatic Method" in ANSYS. This method uses a patch
216 conforming algorithm for tetrahedrons method control by a Delaunay tetra mesher. If
217 possible, the mesher builds tetrahedrons volumes and tries to create a smooth size
218 variation based on the specified growth factor. In this case, the volume size has been
219 established between $2 \cdot 10^{-3}$ and $6 \cdot 10^{-3}$ m. The result has been a mixed mesh with mainly
220 tetrahedral and hexahedral volumes, although others types of finite volumes have been
221 included in the interface areas. The geometrical model consists of 389,265 elements
222 and 110,066 nodes. The majority of the element quality values are about 0.75, what
223 means a good mesh quality (Zienkiewicz *et al.* 2005; Madenci and Guven 2007).
- 224 – Boundary conditions and loads: the fluid flows by the input chamber, then it goes
225 through the output chamber and finally it goes out the system by the outlet. The results
226 of the numerical simulations have been considerably influenced by the configuration of

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

227 the transition area. The interface areas have been located between the inlet and input
228 chamber, between the input chamber and output chamber and between the output
229 chamber and outlet.

230 This finite volume model has been solved by an iterative process to obtain the solution of the
231 problem.

232 **Numerical simulation based on DOE**

233 The influence of the screen displacement on the SCPT performance has been studied in order
234 to improve the efficiency of this system. In this sense, an optimization procedure based on the
235 Design of Experiments technique (DOE) on the FVM models has been carried out in order to
236 know the best position of this screen from the efficiency point of view (del Coz *et al.* 2013;
237 Montgomery 2001).

238 The input parameters of the DOE technique have been the horizontal and vertical positions of
239 the flat panel. The output parameter in the DOE has been a derived parameter, which indicates
240 the performance of the system. The efficiency of the system has been obtained from the
241 reduction of the average vorticity inside the SCPT. If the vorticity of fluid flow in the input
242 chamber is high, the majority of the solid particles will stay inside the input chamber (Penko
243 and Calantoni 2013). The few particles that could go to the output chamber will precipitate at
244 the bottom of the SCPT due to this chamber working as a gravity settler. A large difference in
245 the average vorticity between the input and the output chamber means that the SCPT
246 performance is good.

247 In this case, the punctual vorticity, ω , was calculated by means of the equation (3) at each
248 finite volume taking into account the meshing parameter between $2 \cdot 10^{-3}$ and $6 \cdot 10^{-3}$ m. Next,
249 the average vorticity, W , was obtained as the mean value of the punctual vorticity for all finite

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

250 volumes in each camera. Furthermore, the dimensions of the input and output chambers are
251 changed according to the position of the flat panel as has been studied in the DOE analysis.

252 The parameters used in the analysis by DOE are the following:

253 • Input parameters:

254 ○ Horizontal position: from 70 to 170 mm, with an initial value of 120 mm.

255 ○ Vertical position: from 70 to 120 mm, with an initial value of 95 mm

256 • Output parameters:

257 ○ Average vorticity in the input chamber

258 ○ Average vorticity in the output chamber

259 ○ Vorticity reduction in the SCPT

260 The technique which has been used in DOE is Central Composite Design (CCD). The limited
261 values used in the DOE technique are determined by the SCPT dimensions. The maximum and
262 the minimum positions of the flat panel are taken as boundary values. The initial conditions are
263 intermediate values. The total CPU time for each numerical model has been $7.955 \cdot 10^3$ seconds
264 (2 hours, 12 minutes and 35.281 seconds).

265 The reduction of the vorticity is defined by equation (4).

$$266 \quad R = (W_{ic} - W_{oc}) / W_{oc} \cdot 100 \quad (4)$$

267 While:

268 R : Vorticity reduction inside the SCPT

269 W_{ic} : Average vorticity in the input chamber [rad/s]

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

270 W_{oc} : Average vorticity in the output chamber [rad/s]

271 The vorticity reduction represents the efficiency of the system and indicates a decrease of the
272 fluid rate inside it. So, the best efficiency is obtained with maximum vorticity reduction. The
273 best position of the flat panel to obtain a high level of efficiency is shown in the response
274 surfaces obtained by DOE.

275 **EXPERIMENTAL AND NUMERICAL RESULTS**

276 **Laboratory test results**

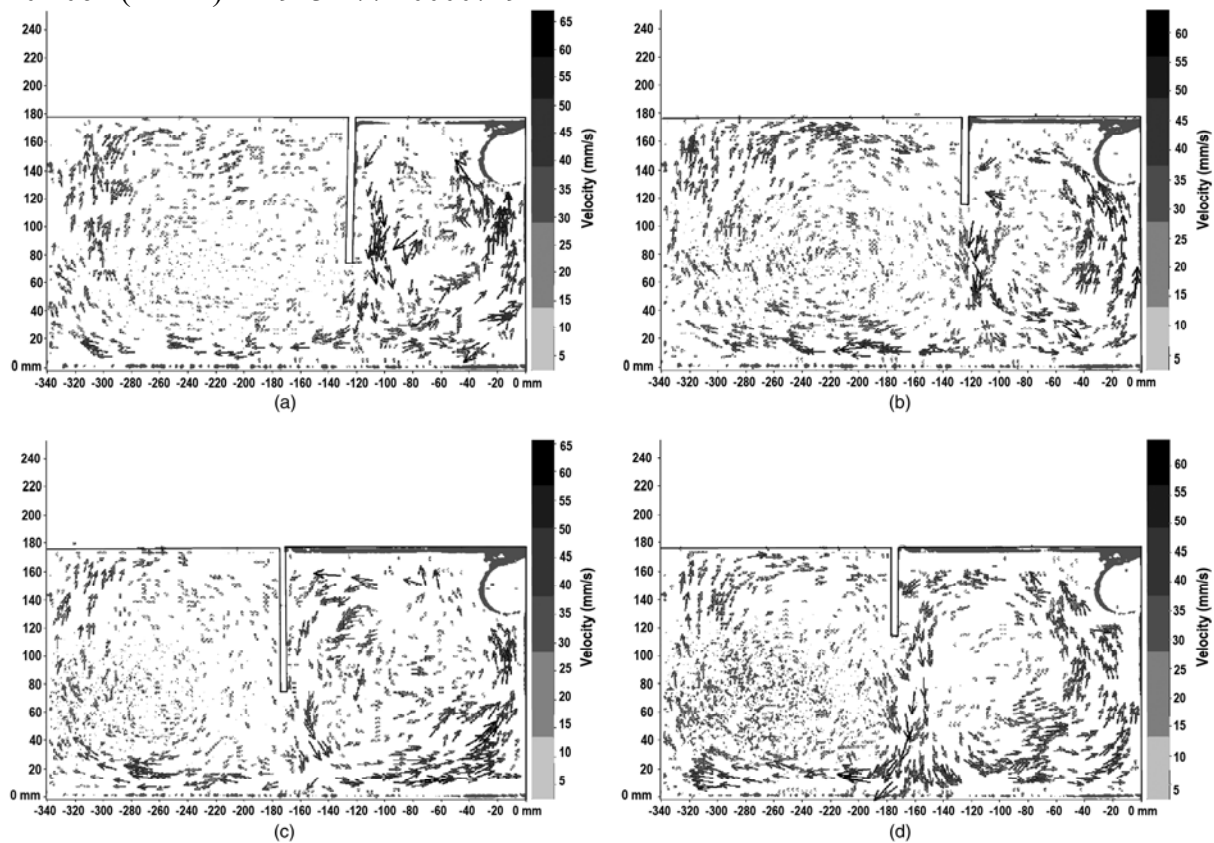
277 The results of the laboratory tests provide information about the fluid trajectory inside the
278 SCPT and the velocity map of the fluid.

279 The PIV equipment gives results in several formats. In this case, MATLAB[®] files and .avi files
280 were evaluated. The .avi files provide qualitative results and the Matlab files provide
281 quantitative results.

282 In Figure 2, the results from the laboratory tests of the scale prototype are shown. The fluid
283 inlet is on the right and the outlet on the left. Different colours are used in these representations
284 to show the different velocities, from blue for lower velocity to red for higher velocity.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749



285

286 **Figure 2.** Screen captures in the PIV tests for different flat panel positions: a) H2 – V2; b) H2
287 – V3; c) H3 – V2; d) H3 – V3.

288 The behaviour of the fluid in the SCPT prototype is depicted in Figure 2. The fluid velocity
289 inside the input chamber is high. The majority of the solid particles in this volume are rotating
290 with the fluid. The output chamber is longer than the input chamber in order to decrease the
291 fluid rate. The fluid goes into the output chamber containing very few solid particles, which
292 precipitate at the bottom of the SCPT due to its low velocity. Finally the fluid which goes out
293 is free of solid contaminant particles, and the particles at the bottom of the system are removed
294 by a drain.

295 As it is shown in Figure 2, the fluid has two vortices, one in the input chamber and other in the
296 output chamber.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

297 To obtain quantitative results, the Matlab files were analyzed. A velocity matrix is taken every
298 0.1 s. After several seconds, all matrixes are combined by software in order to represent the
299 velocity map of the fluid from experiments. These results are analyzed by a matlab code and
300 incoherent vectors are deleted. Then, the punctual vorticity parameter, ω , is obtained in each
301 matrix, along with the average vorticity, W , for each test. The velocity map obtained in the
302 experiments is plotted in Figure 2.

303 The vorticity or rotor vector of velocity is the same concept as the angular velocity of a fluid.
304 The vorticity was considered as the best parameter to represent the rotational behaviour of the
305 fluid in the SCPT. The average vorticity value in the input chamber and in the output chamber
306 is different (see Table 2). Improved performance in the SCPT is given by a considerable
307 vorticity decrease between both chambers. If the average vorticity is high, as in the input
308 chamber, the solid particles are rotating inside the fluid. The few particles that go to the output
309 chamber precipitate because the average vorticity in this volume is low. The fluid that goes out
310 of the system is clean and without solid particles, and in this way, the runoff water that is
311 filtered to the terrain is less contaminated.

312 **Table 2.** Average vorticity values obtained in the laboratory tests.

Screen position (mm)		Vorticity (rad/s)	
Vertical	Horizontal	Input chamber	Ouput chamber
	120	0.5337	0.1154
70	145	0.5051	0.1519
	170	0.4582	0.1724
90	120	0.5268	0.1298

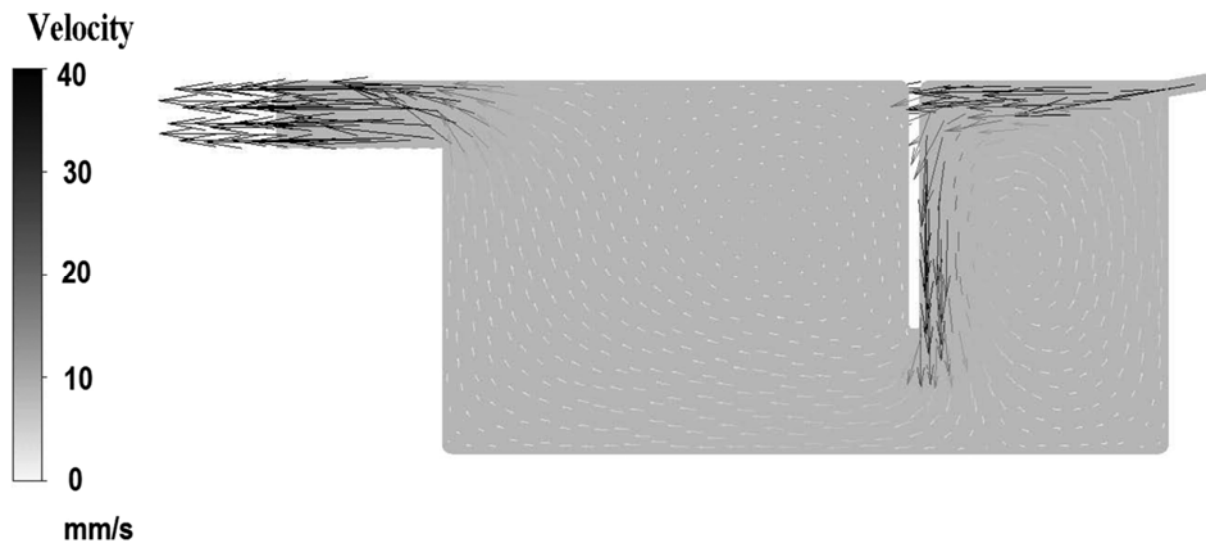
	145	0.4951	0.1765
	170	0.4572	0.2267
	120	0.5200	0.1531
110	145	0.4848	0.2000
	170	0.4386	0.2880

313

314 In order to compare the laboratory test and the simulation results the average vorticity evolution
315 is obtained. Desired performance of the SCPT indicates a negative trend which means that the
316 vorticity between the input and the output chamber is decreasing.

317 Numerical results

318 On the one hand, the results obtained in the numerical simulations by FVM are shown in Figure
319 3.



320

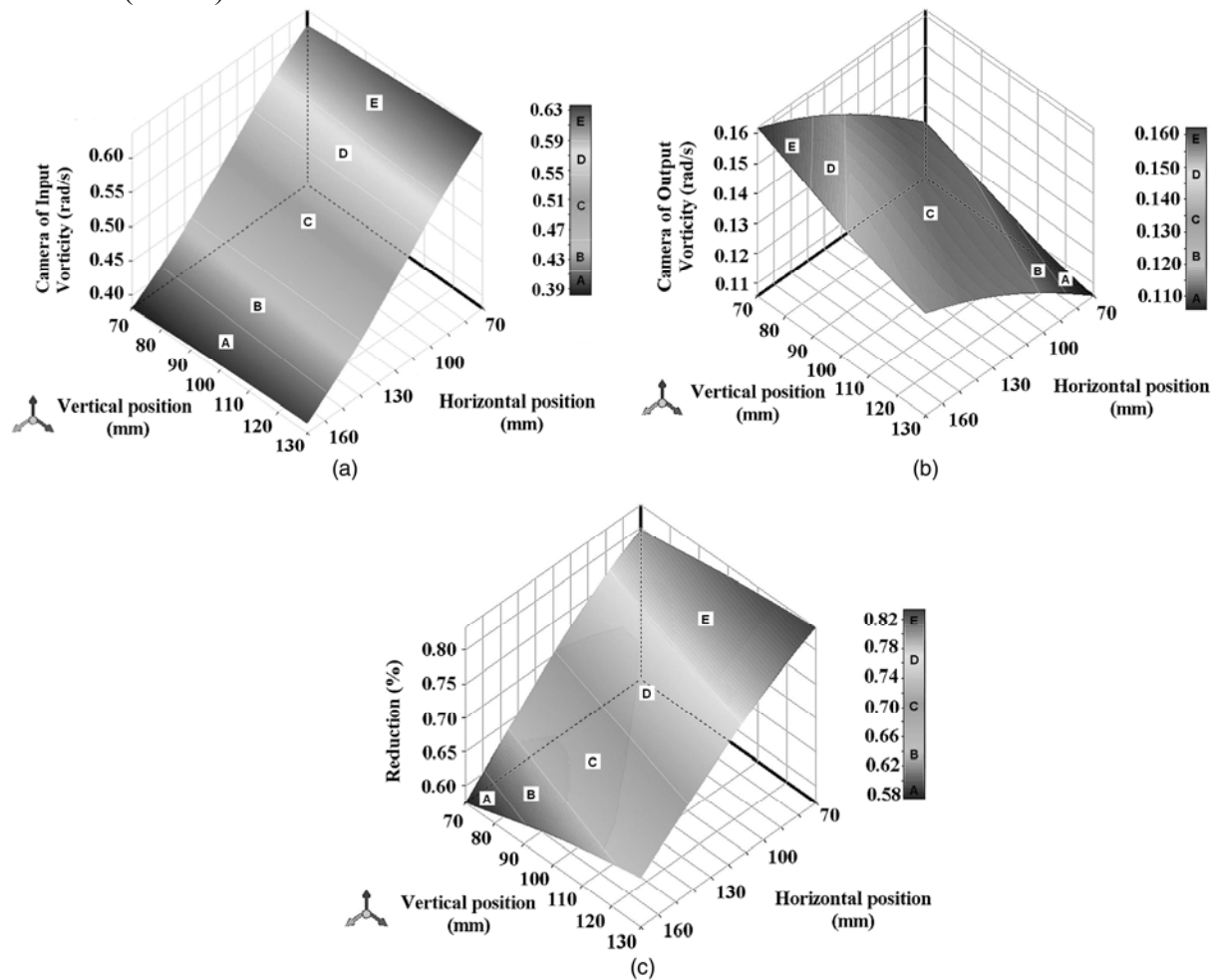
321 **Figure 3.** Numerical simulation results: velocity vectors map in the SCPT for a flat panel
322 position of $H = 120$ mm. and $V = 90$ mm.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

323 The numerical simulation shows that the fluid inlet is at a high velocity, on the right hand side
324 in Figure 3. The velocity in the output chamber decreases as it is shown in Figure 3 on the left
325 hand side. The average vorticity in the SCPT and its trend are the results of the numerical
326 simulations.

327 Due to the "section assignment" previously described in the numerical process, two
328 independent analysis have been conducted in both chambers. The graphic results show the fluid
329 rate decreasing between the input chamber and the output chamber. For the flat panel position
330 studied in this numerical simulation, the maximum vorticity values obtained are $42.95 \cdot 10^{-2}$
331 rad/s and $14.39 \cdot 10^{-2}$ rad/s, in opposite directions as depicted in Figure 3. The efficiency of the
332 SCPT for these results is about 66.5%, which is obtained from the reduction of the fluid rate.

333 On the other hand, the influence of the input and output parameters can be seen in the response
334 surfaces obtained by the DOE technique. Mainly, three different output parameters have been
335 studied: the input and the output chamber average vorticity, as well as the vorticity reduction
336 between both chambers.



337

338 **Figure 4.** Response surface of the central panel position influence: a) average vorticity in the
339 input chamber; b) average vorticity in the output chamber; c) vorticity reduction.

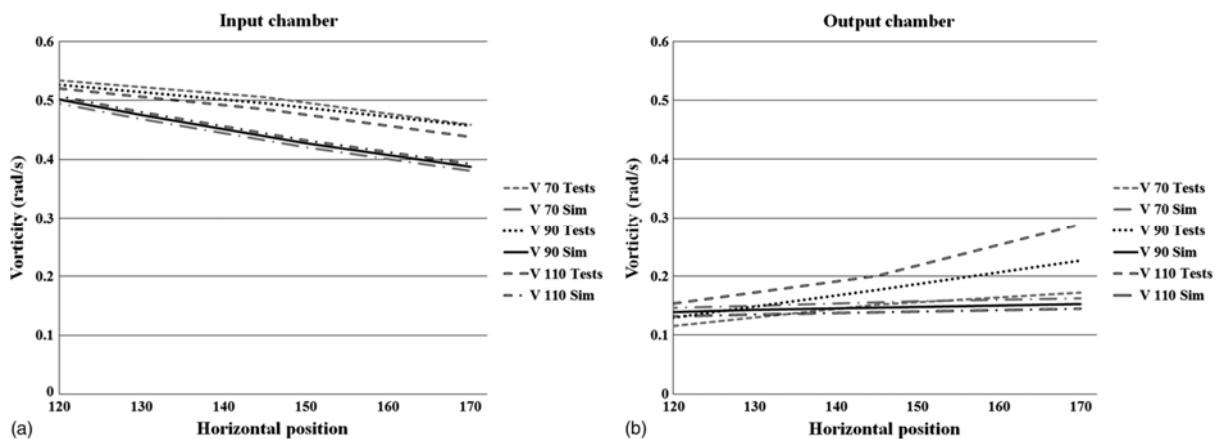
340 In Figure 4, it is shown that the horizontal displacement of the panel is the most influential
341 input parameter in the vorticity results. On the one hand, the vertical movement of the panel
342 does not change the vorticity values of the input chamber, as is shown in Figure 4(a). On the
343 other hand, the vertical displacement in the output chamber is most important. In this case, if
344 the panel is far from the bottom, the average vorticity in the output chamber will decrease, as
345 it is shown in Figure 4(b). With respect to the horizontal displacement, response surfaces show
346 that when the panel is moved away from the flow inlet, the vorticity decreases in the input
347 chamber, whilst it increases in the output chamber, see Figures 4(a) and 4(b).

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

348 From the efficiency point of view, there is a maximum in the surface response which provides
349 the best position for the panel. In this configuration, the value of the SCPT efficiency will be
350 more than 80%, see Figure 4(c). From this maximum, the best horizontal and vertical positions
351 for the panel are obtained: the best horizontal position is the nearest to the fluid inlet, about 70
352 mm from this; the best vertical position is when the flat panel is inserted into the SCPT as much
353 as possible, which is about 130 mm between the end of the panel and the bottom of the SCPT.

354 Numerical and experimental comparison

355 Finally, the numerical simulation results and the laboratory tests results have been compared.
356 A comparison of these results has been carried out in two ways: a qualitative comparison of
357 the fluid behaviour inside the SCPT and a quantitative comparison between the vorticity trends
358 inside the SCPT.



359 (a) (b)
360 **Figure 5.** A comparison of vorticity results between the laboratory test and numerical
361 simulation for: (a) the input chamber; (b) the output chamber.

362 Figure 5 shows the vorticity for three different vertical positions of the panel. Figure 5 shows
363 the panel at a distance of 70 mm. at 90 mm. and finally, at 110 mm. from the bottom. Figure
364 5(a) shows the results of the average vorticity in the input chamber, and Figure 5(b), in the

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation". Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014) 10.1061/(ASCE)IR.1943-4774.0000749

365 output one. All of these graphics show the vorticity trend with respect to the horizontal position,
366 which is downward in the input chamber and upward in the output chamber. Furthermore, it is
367 possible to see the high degree of correlation between the experimental and the numerical
368 results, less than 15 % in mostly of the values. The variations among them are not very
369 important due to the slight differences between the values and the similarity with trends in all
370 positions studied.

371 In this sense, the results indicate that the laboratory tests cannot be completely reproduced due
372 to the influence of the external factors. However, the vorticity trends obtained from the
373 laboratory tests and the numerical simulations are in good agreement.

374 Finally, from the optimization of results obtained by DOE, it is possible to select the best panel
375 position in order to achieve maximum efficiency. This optimum position is when the panel is
376 near the fluid inlet and near the bottom of the SCPT. Comparing numerical and experimental
377 results, it is observed that the optimum position is the same in both cases, see Figure 2a) H2-
378 V1 position and the vorticity reduction surface response in Figure 4c.

379 **CONCLUSIONS**

380 In this research work the HE has allowed the optimization of the SCPT's behaviour taking into
381 account experimental and numerical studies. In this sense, the efficiency of this system has
382 been improved by means of the horizontal.

383 and vertical displacement of the panel. Results reveal the following main findings:

- 384 • A scale model was necessary to conduct the laboratory tests with the PIV equipment.
385 Moreover, the PIV equipment requires a small fluid velocity variation; therefore the

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

386 SCPT scale model was used to reproduce the fluid behaviour with low velocity and less
387 variation between the inlet and the outlet of the system.

388 • The laboratory tests indicate circular fluid movement inside the SCPT independent of
389 the flat panel position. The fluid moves in two vortexes in opposite directions.

390 • In the input chamber, rotational velocity of the fluid is high. The majority of the
391 particles are moving into the input chamber with the fluid. The velocity in the output
392 chamber decreases because the volume of the output chamber is bigger. The particles
393 in the output chamber precipitate at the bottom of the SCPT due to the low velocity in
394 this volume.

395 • The horizontal displacement of the flat panel has more influence on the SCPT's
396 performance than the vertical displacement. The horizontal displacement directly
397 affects the velocity inside the input chamber. Furthermore, the horizontal displacement
398 of the flat panel provides a large velocity differential between the input and the output
399 chambers. In this way, an increase of the output 395 chamber volume is possible to
400 allow the solid particles to precipitate.

401 • The best position for the flat panel is the nearest to the fluid inlet and the nearest to the
402 bottom of the system. This position has been obtained in both laboratory tests and
403 numerical simulations. All studies have been carried out for a constant flux, so the best
404 position is limited to the fluid volume that goes into the SCPT. In extreme situations,
405 like torrential rains, the best flat panel position could change in order to evacuate as
406 much fluid as could be necessary.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

407 • A good agreement was obtained between the techniques used, experimental tests and
408 numerical simulations.

409 • The present study has proved that the design of experiments (DOE) in combination with
410 finite volume modelling (FVM) could efficiently be used to optimize the best panel
411 location in order to maximize the SCPT performance, decreasing the number of
412 laboratory tests.

413 In summary, for new sustainable urban drainage systems (SUDS) it is a great advantage to be
414 able to optimize and study the fluid behaviour of the SCPT using numerical models, with
415 respect to an ecological design, which fulfils all serviceability requirements. These numerical
416 simulations could be used in future studies to study the influence on the SCPT performance of
417 different solid particles inside the fluid, the variation of the type of fluid or the inlet geometry,
418 and so on.

419 **Acknowledgements**

420 The authors wish to express their gratitude to the Spanish Ministry of Economy and
421 Competitiveness for the research project BIA2009-08272 funding. Furthermore, the authors
422 greatly appreciate the collaboration of JA. Llaneza (University of Oviedo), John Bomidi and
423 Professor Sadeghi (Purdue University). Besides, we also thank Swanson Analysis Inc. for the
424 use of the ANSYS University Research program. Finally, we would like to acknowledge to the
425 anonymous referees for their useful comments and suggestions.

426 **REFERENCES**

427 Caltrans. Treatment BMP technology report. California. Caltrans. 2010

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

428 Campbell, N.A.; D'Arcy, B.; Frost, A.; Novotny, V.; Sansom, A. 2004. Diffuse Pollution: An

429 Introduction to the Problems and Solutions; IWA Publishing: London, UK.

430 Castro Fresno, D., Bayón, J. R., Rodríguez, J., y Ballester, F. 2005. Sustainable Urban Drainage

431 Systems (SUDS). 30-5, 255-260.

432 Castro Fresno D., Rodriguez Hernández J., Del Coz Diaz J.J, Fernández Barrera A.H.

433 inventors; Impulso Industrial Alternativo Ltd, COPROSA Ltd. Assignees, 2010. A new System

434 to Collect, Pre-treat and Treat runoff water. Spanish Patent ES234619 (B1)

435 Castro-Fresno D., Andrés-Valeri V.C., Sañudo-Fontaneda L.A., Rodríguez-Hernández J. 2013.

436 Sustainable Drainage Practices in Spain, Specially Focused on Pervious Pavements. Water, 5;

437 67-93

438 del Coz Díaz J.J., García Nieto P.J., Ordieres Meré J., Bello García A. 2007. Computer

439 simulation of the laminar nozzle flow of a non-Newtonian fluid in a rubber extrusion process

440 by the finite volume method and experimental comparison. J. Non-Cryst. Solids, 353 (8-10);

441 981–983

442 del Coz Díaz J.J., García Nieto P.J., Castro-Fresno D., Menéndez Rodríguez P. 2011. Steady

443 state numerical simulation of the particle collection efficiency of a new urban sustainable

444 gravity settler using design of experiments by FVM. Appl. Math. Comput. 217 (21), 8166–

445 8178.

446 del Coz Díaz J.J., García Nieto P.J., Lozano Martínez-Luengas A., Suarez Domínguez F.J.,

447 Domínguez Hernández J. 2013. Non-linear numerical analysis of plywood board timber

448 connections by DOE-FEM and full-scale experimental validation. Eng Struct 49, 76-90.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

449 Dolz, J.; Gómez, M. 1994. Problems of stormwater drainage in urban areas and about the
450 hydraulic study of collector networks [in Spanish]. Dren. Urbano, 1, 55–66.

451 Etalon Research Ltd. and Armfield Ltd. Prodel. 2009. H41 Laser PIV System manual for
452 rtcontrol v 1.3, London.

453 Fernández Barrera A.H. 2009. Doctoral Thesis. Development of a water treatment system for
454 runoff water from impervious parking surfaces using upflow and geotextiles. University of
455 Cantabria.

456 Fernández-Barrera A.H., Rodríguez-Hernández J., Castro-Fresno D., Vega-Zamanillo A. 2010.
457 Laboratory analysis of a system for catchment, pre-treatment and treatment (SCPT) of runoff
458 from impervious pavements. Water Science and Technology. 61.7; 1845-52.

459 Flamant O., Cockx A., Guimet V., Doquang Z. 2004. Experimental analysis and simulation of
460 Settling process. Process safety and environmental protection, 82(B4); 312-318.

461 García Nieto P.J., del Coz Díaz J.J., Castro-Fresno D., Ballester Muñoz F. 2010. Numerical
462 simulation of the performance of a snow fence with airfoil snow plates by FVM. Journal of
463 Computational and Applied Mathematics, 234(4); 1200-1210.

464 Madenci E., Guven I. 2007. The Finite Element Method and Applications in Engineering Using
465 ANSYS®. Springer. New York.

466 Mayurkumar S.G, Jyeshtharaj B.J, Pallippattu K.V. 2012. Study of two phase thermal
467 stratification in cylindrical vessels: CFD simulations and PIV measurements. Chemical
468 Engineering Science, 98; 125-151.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".
Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

469 Melling A. 1997. Tracer particles and seeding for particle image velocimetry. Meas. Sci.
470 Technol.,8, 1406.

471 Montgomery D.C., Design and Analysis of Engineering Experiments, 5th ed., John Wiley &
472 Sons, New York, 2001.

473 Nezu I., Nakagawa H. 1993. Turbulence in open-channel flows. Balkema Publishers.
474 Rotterdam.

475 Novotny, V. 2003. Water Quality: Diffuse Pollution and Watershed Management, 2nd ed.;
476 Wiley: New York, NY, USA.

477 Penko A.M., Calantoni J. Three-dimensional spatial variations of suspended sediment
478 concentration over vortex ripples. Proceedings of Marine and River Dune Dynamics MARID
479 IV. 2013. Bruges, Belgium.

480 Raffel M., et al. 1998. Particle image velocimetry: a practical guide. Springer Verlag.

481 Rodríguez-Hernández J., Fernández-Barrera A.H., Castro-Fresno D., Vega-Zamanillo A. 2010.
482 Long-Term Simulation of a System for Catchment, Pretreatment, and Treatment of Polluted
483 Runoff Water. J. of Environmental Engineering. 1442-1446.

484 Schroeder A., et al., 2008. Particle image velocimetry: new developments and recent
485 application. Springer Verlag.

486 Van Hooff T., Blocken B., Defraeye T., Carmeliet J., van Heijst G.J.F. 2012. PIV
487 measurements and analysis of transitional flow in a reduced-scale model: Ventilation by a free
488 plane jet with Coanda effect. J. of Building and Environment, 56; 301-313.

Author's post-print: M. Alonso-Martínez, A. Navarro-Manso, D. Castro-Fresno, F.P. Álvarez-Rabanal, J. J. del Coz Díaz. "Improvement of a system for catchment, pre-treatment and treatment of runoff water using PIV tests and numerical simulation".

Journal of Irrigation and Drainage Engineering. Volume 140, Issue 8 (August 2014)
10.1061/(ASCE)IR.1943-4774.0000749

489 Wang C-P, Sadeghi F., Wereley S.T., Chuang H.S. 2009. Investigation of fluid flow out of a

490 microcavity using μ PIV. Tribol. Trans. 52, 817–832.

491 Wereley S. and Gui L. 2001. PIV measurement in a four-roll-mill flow with a central difference

492 image correction (CDIC) method. International Symposium on Particle Image Velocimetry.

493 Germany.

494 Zienkiewicz O.C., Taylor R.L., Nithiarasu P. 2005. The Finite Element Method for Fluid

495 Dynamics. Butterworth-Heinemann. New York.