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Dynein pulls microtubules without rotating its stalk

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Dynein is a microtubule motor that powers motility of cilia and flagella. There is evidence that the relative sliding of the doublet microtubules is due to a conformational change in the motor domain that moves a microtubule bound to the end of an extension known as the stalk. A predominant model for the movement involves a rotation of the head domain, with its stalk, toward the microtubule plus end. However, stalks bound to microtubules have been difficult to observe. Here, we present the clearest views so far of stalks in action, by observing sea urchin, outer arm dynein molecules bound to microtubules, with a new method, "cryo-positive stain" electron microscopy. The dynein molecules in the complex were shown to be active in *in vitro* motility assays. Analysis of the electron micrographs shows that the stalk angles relative to microtubules do not change significantly between the ADP-vanadate and no-nucleotide states, but the heads, together with their stalks, shift with respect to their A-tubule attachments. Our results disagree with models in which the stalk acts as a lever arm to amplify structural changes. The observed movement of the head and stalk relative to the tail indicates a new plausible mechanism, in which dynein uses its stalk as a grappling hook, catching a tubulin subunit 8 nm ahead and pulling on it by retracting a part of the tail (linker).

electron microscopy | molecular motor

Dynein is a motor protein that hydrolyses ATP and moves toward the minus end of a microtubule (MT). A dynein molecule has 1 to 3 heavy chains, each consisting of 3 domains: a head, a stalk and a tail. ATP is bound and hydrolysed in the head, which has a ring-like structure composed of 6 AAA⁺ domains. The stalk is an anti-parallel coiled-coil, 10–15 nm long, and has a nucleotide-dependent MT-binding domain at the tip (1). In axonemes, the tail of dynein is fixed on the A-tubule of a doublet MT, together with several intermediate and light chains, whereas the MT-binding domain of the stalk interacts cyclically with the B-tubule of a neighbouring doublet MT. It has been proposed that the nucleotide-dependent binding affinity of the tubulin-binding site at the tip of the stalk is modulated by the 2 alpha helices in the coiled-coil sliding over each other (2). However, it is not known how structural changes that occur in the head during the ATPase cycle are transmitted to the stalk and tail to produce movement of the A-tubule relative to the B-tubule.

In images obtained by negative stain electron microscopy (EM) of dynein molecules (dynein c) isolated from *Chlamydomonas* axonemes, the head and stalk appeared to rotate with respect to the tail between different nucleotide states: the stalk and tail project from the head close together in the no-nucleotide state, but $\approx 90^\circ$ apart in the ADP-vanadate (Vi) state (3) (see Fig. 1). A subdomain of the tail, called the linker, docks on the surface of the head, and changes in this interaction were proposed to cause the observed positional change of the tail (3, 4). Movement of the tail relative to the head was also detected in fluorescence resonance energy transfer analysis of cytoplasmic dynein (5, 6). Assuming that the observed ADP-Vi and no-nucleotide structures correspond to prepowerstroke and postpowerstroke conformations, respectively, the simplest

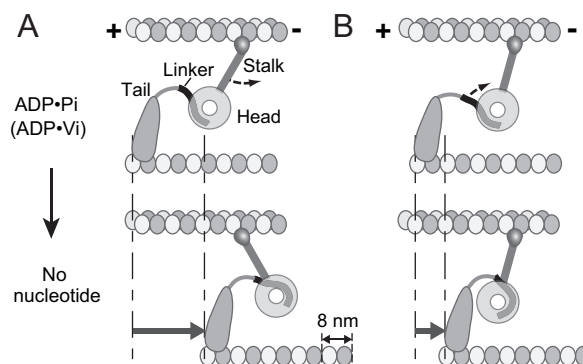


Fig. 1. Two possible models based on the structural changes observed with *Chlamydomonas* dynein c (3). (A) The stalk rotates relative to the MT to pull the MT. (B) The stalk angle is constant, but the movement of the tail with respect to the head and stalk causes relative sliding of the MTs. The resulting MT movement is expected to be smaller in B, but it seems to be enough to produce a shift of ≈ 8 nm. Note that a longer part of the linker is exposed in the ADP-Pi state than in no-nucleotide.

model based on these results is that the stalk acts as a lever arm (3, 4, 7–9), binding to a MT initially with a tilt toward the minus end, and then rotating toward the plus end to move the MT (Fig. 1A). However, the observed structural differences can also cause movement of a MT without changing the stalk angle relative to the MT, because the tail moves closer to the stalk in the postpowerstroke conformation (Fig. 1B). In the latter model, the stalk is used as a tether, rather than a lever arm (7), and dynein moves by shortening the distance between its head/stalk and the tail-MT attachment.

To test these models, the most direct way would be to observe the angles of the stalks bound to MTs in the two nucleotide states. However, visualizing MT-bound stalks has been difficult. The only reports that clearly showed the MT-bound stalks, so far, are with the quick-freezing, deep-etching (QFDE) techniques. The QFDE images of dynein in axonemes in the absence of nucleotide and with ATP both showed stalks either perpendicular to the MTs or slightly tilted, and the reported angles were not constant (10–14). These results seem to be inconsistent with the stalk-rotating model, but could be due to an artifact of QFDE methods, because QFDE images of muscle fibers showed swelling of the filament lattice, so that myosin cross bridges observed by this method looked almost perpendicular to the filament axis (15). More recently, 3D structures of dynein in

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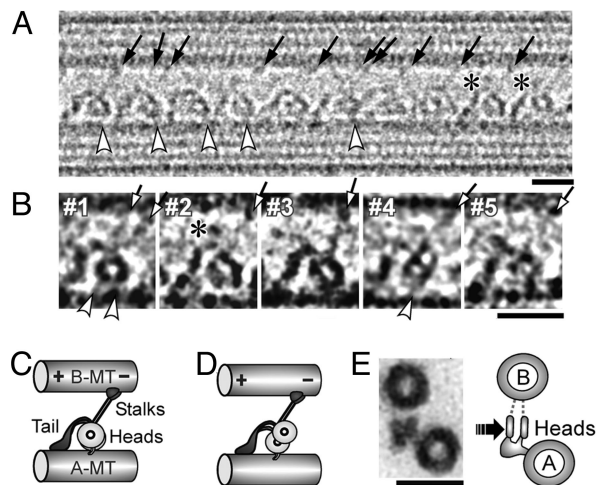


Fig. 2. Dynein-MT complex in the no-nucleotide state. (A and B) Cryo-positive stain EM images of dynein purified from *S. nudus*, bound to MTs in the presence of apyrase. The B-MT minus end is at *Right*. Dynein molecules are regularly arranged in a single layer between 2 MTs. Individual dynein molecules may show a single ring (B, #1–3), a double ring (B, #4), or be unclear (B, #5). Stalks (arrows), the head-A-MT tethers (white arrowheads), and extra densities on the top of the tails (asterisks) are indicated. Some molecules show 2 stalks. (C and D) Interpretation of the single-ring and double-ring images. (E) Cross sections of the dynein-MT complex embedded in Epon812, with our interpretation of the images. The likely viewing direction of our cryo images is indicated. The micrographs in A, B, and Fig. 4 were Gaussian-filtered to reduce noise. (Scale bars: A and B, 20 nm; C, 50 nm.)

axonemes or in the dynein-MT complex were reported by cryo-EM (9, 16–18), but neither of these studies clearly showed the stalks.

Results and Discussion

Visualization of Stalks in a Dynein-MT Complex. Do stalks really rotate relative to the MTs? To answer this question, we studied the structures of dynein molecules bound to *in vitro* polymerized MTs. Outer-arm dynein molecules purified from sea urchin sperm flagella were mixed with MTs and observed by EM. Although dynein from some species of sea urchin bound randomly to MTs, others showed patches of regularly bound dynein [supporting information (SI) Fig. S1], such as those observed in previous reports (19–21). The periodical binding patterns were seen mostly between MTs, bundled by dynein cross-bridges. A typical bundle consisted of two to several MTs. We first studied the structure of the complexes by negative stain EM, but found that the dynein stalks were difficult to see (Fig. S2A), probably because a pool of uranyl acetate between two MTs (≈ 25 nm deep) obscured the stalk structures. By unstained cryo-EM, stalks were visible for some molecules, but the overall contrast of images was low (Fig. S2B).

To see the stalks more clearly, we developed a new method, modifying “cryo-negative staining” (22). The EM specimens were treated with a low concentration of uranyl acetate just before freezing, and examined by conventional cryo-EM methods. The pH of the uranyl acetate solution we used (≈ 4.8) was low enough that the protein structures should be fixed instantly by uranyl acetate addition. The resulting images (Fig. 2A) show that, although the overall structures and arrangements of dynein and MTs are similar to those observed by negative stain or conventional cryo-EM, the contrast is greatly improved, and stalks of many molecules are now visible. Because this method resulted in positive staining of the proteins, unlike the case of cryo-negative stain, we call it “cryo-positive staining.”

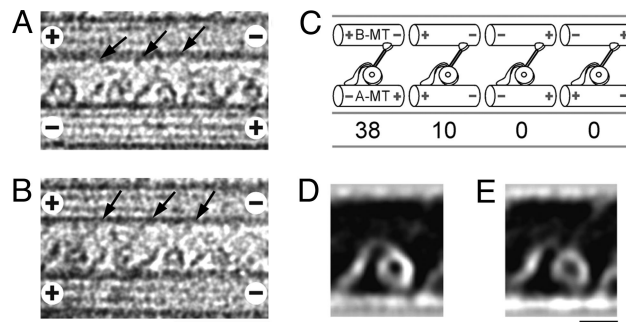


Fig. 3. Orientation of dynein relative to the MT polarity. (A and B) Cryo-positive stain EM images of dynein cross-bridging anti-parallel (A) or parallel (B) MTs. (C) Proportion of MT pairs with different polarities. Although the polarity of the A-MT is not uniform, all of the dynein molecules are oriented in the same way with respect to the B-MT, with the heads and stalks to the B-MT minus-end side of the tail. The observed head/tail arrangement agrees with that suggested from the QFDE images of dynein in axonemes (11, 12), but inconsistent with the assignment in a recent report on the *Chlamydomonas* dynein-MT complex (18). (D and E) Averaged images of dynein cross-bridging 2 anti-parallel MTs (D; $n = 30$) or parallel MTs (E; $n = 31$), showing no detectable differences. (Scale bars: A and B, 50 nm; D and E, 10 nm.)

Structure of the Dynein-MT Complex in the No-Nucleotide State. The cryo-positive stain images of the dynein bound to MTs in the presence of apyrase (we call this the no-nucleotide state) (Fig. 2A and B) show structures consistent with previously reported dynein c images (3), with a ring-like head, and the stalk and tail emerging from points close to each other. The stalk binds to one of the MTs, which we call the “B-MT,” by analogy to the B tubule of a doublet MT in axonemes, and the tail associates with the other (the “A-MT”) (Fig. 2C). In this article, all figures that show longitudinal views of MTs are presented with the B-MT at the top. Although an outer arm dynein molecule from sea urchin sperm has 2 (α and β) heavy chains, and some molecules do show 2 distinct rings in an “8” shape (Fig. 2B, #4; Fig. 2D), many others show only one, presumably because the two rings are superimposed (Fig. 2B, #1–3; Fig. 2C). The percentages of single- and double-ring appearances were 58% and 16% ($n = 932$), respectively (see Fig. 4C). The rest showed intermediate structures or did not show clear ring shapes (Fig. 2B, #5). At first sight, the superimposed rings appear different from the previous reports of cryoelectron tomography structures (16, 17), where 2 or 3 rings, like stacked plates (see Fig. 2E), were separately observed. However, we think the difference is simply due to the viewing directions: many of the MTs in our images seem to lie on the EM grids so that the view is parallel to the line through the centers of the rings (arrows in Figs. 2E and S3D). The stalks, too, seem to be superimposed, so that many of the molecules show a single stalk structure (Fig. 2A). Some others show two separate stalks, but with lower contrast, suggesting that a strong “single stalk” is actually a superposition of two stalks.

A notable feature of our images is that a clear view of the stalks always shows them uniformly tilted (Fig. 2A, B, arrows). How does the tilt relate to the polarities of the MTs? We determined many MT polarities from the Moiré patterns of the protofilaments (23), and found that, relative to the tails, the dynein heads and stalks are always closer to and point toward the B-MT minus end (Fig. 3). Our unstained cryo-EM images also show stalks tilted toward the minus end (Fig. S2B), indicating that the observed tilt is not an artifact of uranyl acetate (15) used in our cryo-positive stain procedure. The finding is rather unexpected, because a lever-arm model would predict the stalks in the “postpowerstroke,” no-nucleotide conformation to be tilted toward the plus end (3).

Besides the stalks, some images show an extra density extend-

ing toward the B-MT from the top of the tail, at a position close to the head (Fig. 2, asterisks). This density does not seem to attach to the MTs and may correspond to one of the light or intermediate chains.

Unlike in axonemes where all doublet MTs have the same polarities, the polarity of A-MTs in our dynein-MT complex was not uniform, and it was more often anti-parallel to the B-MT (Fig. 3C). Cross-bridging of both parallel and anti-parallel MTs was also reported for *Tetrahymena* outer-arm dynein (24), and seems to happen when dynein is added to preassembled tubulin. To confirm that the dynein molecules in our dynein-MT complex are active in motility, we performed *in vitro* motility assays. When 1 mM ATP was added to the complex prepared in the same way but using tetramethylrhodamine-labeled MTs, the MTs started sliding along and out of the complex (Movie S1). The averaged velocity ($0.64 \pm 0.36 \mu\text{m}$) was slower than that by the dynein molecules absorbed to the glass surface ($3.86 \pm 0.75 \mu\text{m}$), probably because attachment of the tail to the MT is weak when isolated dynein molecules are added to preassembled MTs. However, MT sliding occurred in all of the complexes we observed ($n = 28$), showing that the dynein molecules in our dynein-MT complex are functional as motors.

We have also checked to see whether the structures of the dynein molecules between anti-parallel MTs show any differences from those between parallel MTs. First, the averaged images showed no detectable differences (Fig. 3D and E). They also agreed well with the recently reported 3D structures of a two-headed mutant dynein in *Chlamydomonas* axonemes (17) (Fig. S3). These results indicate that the polarity of the A-MT has little effect on the structure of the dynein-B-MT complex, and that the structural changes observed here correspond to those of active motors.

Structure of the Dynein-MT Complex in the ADP·Vi State. How does the dynein-MT complex change its structure with bound nucleotide? We added ATP and vanadate, which are thought to produce a weak binding, ADP·Vi state (25). To capture the complex before the detachment of dynein from MTs, we applied a method described by Frado and Craig (1992) (26), in which ATP·Vi solution and uranyl acetate, both drawn into a pipet tip but separated by an air gap, were squirted on to the sample on an EM grid. In this way, the dynein-MT complex was briefly exposed to ATP·Vi before being fixed by uranyl acetate. The time of exposure to ATP·Vi solution was 120–200 ms (see Methods). Because the MT-activated ATPase rate measured for outer arm dynein from *Strongylocentrotus nudus*, which we used for most of the EM studies, is $34.5 \pm 15.0/\text{s}$ ($n = 6$) (M. Wada and C.S., unpublished data), and because vanadate was reported to block steady state hydrolysis of ATP immediately after a single turnover of ATP (25), we think that the exposure to the ATP·Vi solution was long enough to put most of the dynein molecules into the ADP·Vi state.

The resulting images (Fig. 4A) show clear differences from those in the no-nucleotide state, although they are more variable than those images. The variability cannot be explained by tilting of some of the MTs out of the plane of the EM grid, because, assuming the arrangement of the rings as in Fig. 2E, a 4-nm relative shift of the rings would require a tilt of the MTs by as much as $\approx 22^\circ$. The most obvious difference between the two nucleotide states is that the majority of the ADP·Vi molecules show double-ring or intermediate conformations (Fig. 4C). The minor, single-ring population in the ADP·Vi images (12%, $n = 1,048$) and the double-ring population in the no-nucleotide images (16%, $n = 932$) may both be due to non-uniformity of the nucleotide states; for example, added nucleotides may not have bound to some molecules. Variability in the nucleotide states of the second to the fourth AAA subunits may also cause structural non-uniformity (27, 28). A high degree of structural variability

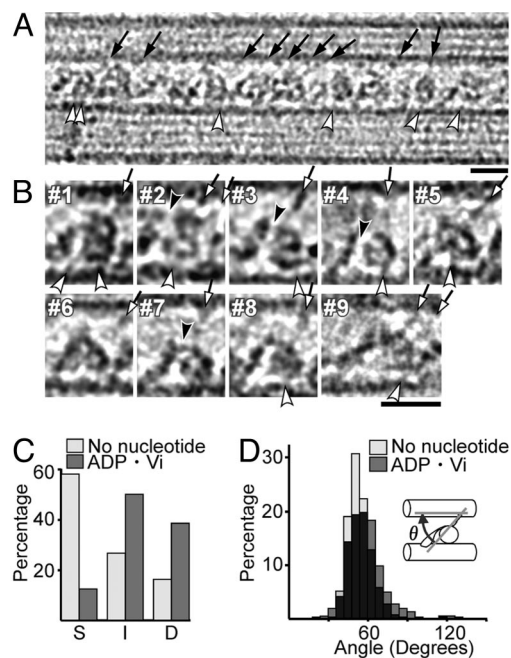


Fig. 4. Structural changes of dynein with ADP·Vi. (A and B) Cryo-positive stain EM images in the ADP·Vi state, with the B-MT plus end at *Left*. Individual dynein images (B) show a double ring (#1–6), a single ring (#7), or an intermediate conformation (#8). Most stalks are clearly tilted toward the B-MT minus end (arrows). (C) Populations of dynein molecules in the no-nucleotide and ADP·Vi states showing a superimposed, single ring (S), a double ring (D), or, intermediate or unclear structures (I). (D) Distribution of stalk angles (θ) with respect to MTs. The averaged angles are $54.0 \pm 8.7^\circ$ ($n = 451$) and $58.6 \pm 14.6^\circ$ ($n = 490$) for the no-nucleotide and ADP·Vi states, respectively. (Scale bars: 20 nm.)

in the presence of ATP was also reported for dynein in axonemes (13), and for the *Chlamydomonas* dynein-MT complex, where 1 of the 3 heads was not visible in the averaged ATP·Vi image (18).

The stalks, too, are more variable in the ADP·Vi images, but they still seem to be tilted toward the B-MT minus end (Fig. 4A and B, arrows), with a range of angles similar to that in the no-nucleotide states (Fig. 4D). These results suggest that dynein moves MTs without rotating the stalk. Although the stalk angles do not change between the two states, the tails seem to change shape in many of the molecules: In the no-nucleotide state, tails show clear, smoothly curved shapes (Fig. 2A and B, #1–3); but in the ADP·Vi state they are often like a fishing rod, from which thin, thread-like structures (Fig. 4B, black arrowheads) emerge and connect to the heads. We think that these thread-like structures correspond to the linkers that are proposed to interact with different parts of the head in different nucleotide states (3, 4, 29). Our results indicate that the linkers are exposed more in the ADP·Vi state, possibly because of the changes in the linker-head interaction (Fig. 1).

Another interesting feature observed in both nucleotide states is short filamentous structures connecting the ring to the A-MT (Figs. 2 and 4, white arrowheads). It was proposed that linkage of heads to A-tubules may be important in force production (30). The tethering structures observed here might be one of the components that associate with dynein heavy chains, as proposed for *Chlamydomonas* dynein (31). Alternatively, they could be the C termini of tubulin subunits interacting with dynein heads. The presence of more than one tether on some molecules supports the latter idea. Such interactions could make it possible for the dynein head to shift its position along the A-MT without completely dissociating from it.

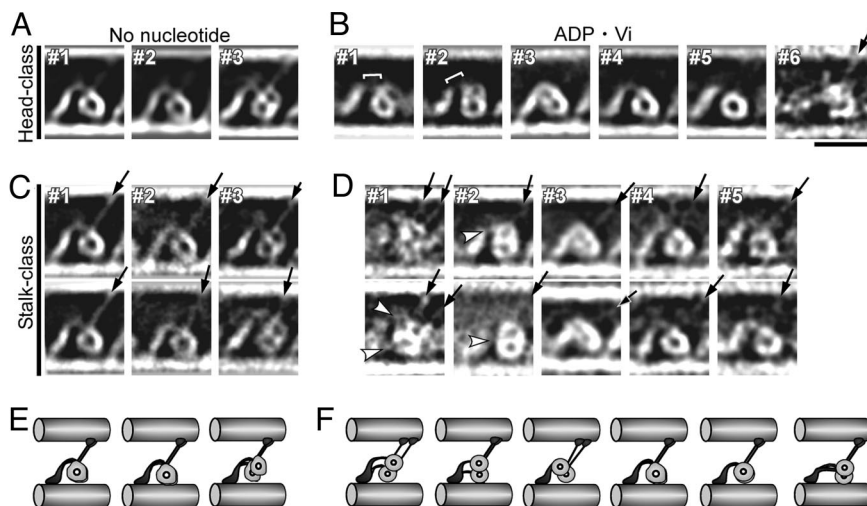


Fig. 5. Averaged images after classification. Shown are no-nucleotide (A and C) and ADP-Vi (B and D) averages. A total of 1,062 no-nucleotide images and 988 ADP-Vi images were classified first according to the heads (A and B) and then according to the stalks (C and D). Protein is white. Two selected stalk-classes are shown below each head-class. The numbers of images in averages are: 736, 257, and 69 for #1–3 (A); 188, 144, 74, 73, 72, and 6 for #1–6 (B); between 19 and 269 (C); and 9–40 (D). (E and F) Interpretation of each class-average. Stalks (arrows), and a low-density region between the tail and head (bracket) containing linkers (white arrowheads) are indicated in some images. (Scale bar: 20 nm.)

Structural Changes of Dynein Observed in Averaged Images. To analyze the structural differences statistically, we classified the dynein images by a single particle method (32). When classified according to the head structures, the averaged images of the two major classes in the no-nucleotide state (Fig. 5A, #1 and #2), which include 69% and 24% of the original images, respectively, each show a single ring close to the A-MT. Minor differences between these classes may be due to interaction of the heads with different protofilaments of the A-MT. The shapes of the rings resemble previously reported images of monomeric, inner-arm dynein c in the no-nucleotide state (3) (Fig. 6A and B), indicating that both rings of an outer-arm dynein have the same orientation. Further classification of the images according to the stalks clearly reveals the stalk positions (Fig. 5C). Confirming the observation of individual images, all of the class-averages in the no-nucleotide state show the stalks tilted toward the B-MT minus end (Fig. 5C, arrows).

The ADP-Vi images are more variable and it was difficult to sort them into a small number of classes. In major classes where a double ring is observed, one of the two rings stays close to the A-MT, whereas the other ring moves up either to the top-right,

top, or top-left of the first head (Fig. 5B, #1–3). In all three classes, the second ring was shifted closer to the B-MT by ≈ 5 nm, when measured in the 2D images. This does not necessarily mean shortening of the stalks, because the ring may also move in the direction perpendicular to the plane of the figure, which is not detected in the current study. The observed shift is similar to the 3.7-nm movement reported for the *Chlamydomonas* dynein β heavy chain (18). Our results also agree with the QFDE images of sea urchin axonemes (11), which showed 2, upper and lower, head domains in the presence of ATP and one large globular head domain in the no-nucleotide state (Fig. S4). The most major two classes (Fig. 5B, #1 and #2) of our ATP averages are also the closest images to the QFDE images with ATP, indicating that these classes are representative of the ADP-Pi structures *in vivo*. The shift of one of the heads suggests that the two heads of a dynein molecule may be in different biochemical states. Although we do not know which of the two heavy chains the observed rings correspond to, the results are consistent with the idea that the two heads of an outer arm dynein have different functions.

Small movements in the stalk add further variability to the ADP-Vi images so that we had to sort the images into many small classes to see clear average images. As in the individual images (Fig. 4A and B), most of the class averages show the stalks tilted to the minus end (Fig. 5D, arrows), at an angle similar to that in the no-nucleotide state. Some ADP-Vi averages show two stalks nearly parallel to each other. In QFDE studies, the apparent emergence point of the stalk from the heads shifted toward the MT minus end in the presence of ATP (11, 12). The positions of the stalks in our averages are variable, but some of them, especially those in the major two head classes, show at least one of the stalks emerging from the minus end side of the head (Fig. 5D, #1 and #2).

Positional changes are also seen in the tails. Whereas the emergence point of the tail is at the top of the ring in the no-nucleotide state, it appeared to have rotated anti-clockwise in ADP-Vi, so that the stalk and tail are further apart (Fig. 5D, #1 and #2). This is consistent with the changes reported for dynein c (3). As seen in the individual images, some averages show one or two linking structures between the heads and the main part of the tail (Fig. 5D, white arrowheads), which we think correspond to a part of the linker. Exposing more linker would result

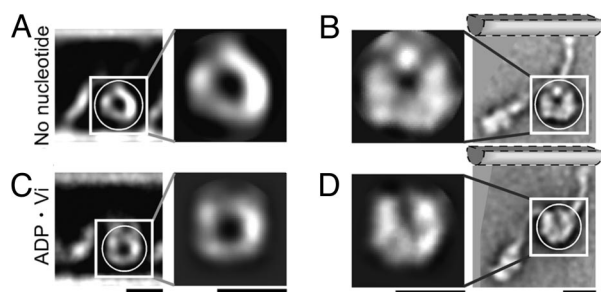


Fig. 6. Comparison with *Chlamydomonas* dynein c images. Our class-averages of sea urchin dynein (A and C) are compared with images from figure 4 of ref. 3 (B and D). (A and B) No-nucleotide state. (C and D) ADP-Vi state. Cross correlation between the masked regions showed that the head regions of the two images agreed best when the dynein c images were rotated as shown in B and D. The hypothetical positions of the B-MT, based on our images with MTs, are indicated. (Scale bars: 10 nm.) [Reproduced with permission from ref. 3 (Copyright 2003, Nature Publishing Group).]

Materials and Methods

Specimen Preparation. Outer arm dynein was purified from sea urchin (*Pseudocentrotus Depressus*, *Hemicentrotus pulcherrimus*, *Strongylocentrotus intermedius* or *S. nudus*) sperm flagella as described (27, 36). *S. nudus* was used for most of the studies. Axonemes were suspended in 0.6 M NaCl buffer (0.6 M NaCl, 2 mM MgSO₄, 2 mM glycoetherdiamine-*N,N,N',N'*-tetra-acetic acid (EGTA), 1 mM dithiothreitol (DTT), 10 mM Hepes, pH 7.8) and kept on ice for 10 min and centrifuged at 17,000 × *g* for 10 min. The 0.6 M NaCl extract was centrifuged on a 5% to 20% (wt/vol) sucrose linear density gradient made up in the solution containing 200 mM NaCl, 2 mM MgSO₄, 2 mM EGTA, 1 mM DTT, and 10 mM Hepes (pH 7.8), at 190,000 × *g* for 10 h. After fractionation, the peak fraction obtained from the 0.6 M NaCl extract was used as purified 215 dynein. MTs were polymerized using pig brain tubulin (37), stabilized with taxol, centrifuged, and resuspended in a solution without GTP (38).

Electron Microscopy. Dynein was mixed with MTs to give final concentrations of 35 μg/ml and 70 μg/ml, respectively, in a motility buffer [50 mM potassium acetate, 5 mM magnesium acetate, 2 mM EGTA, 0.1 mM EDTA, 20 mM Hepes (pH 7.8)], and incubated for 10 min at room temperature. For the nonucleotide state, 5 U/ml apyrase (final concentration) was added during incubation. The mixture was then applied onto EM grids coated with a holey carbon film. After removal of the excess solution, a drop of 0.01–0.05% uranyl acetate was added to the mixture, and the grid was rapidly frozen with an ethane slush.

To observe the dynein-MT complex in the ADP-Vi state, ATP and vanadate were added just before fixation with uranyl acetate, using the method described by Frado and Craig (26). First, the dynein-MT complex in motility buffer was applied to a grid. Eighty μl 0.03% uranyl acetate, air, and 20 μl ATP-Vi solution (motility buffer plus 100 μM ATP and 50 μM vanadate) were drawn sequentially into a 200 μl pipet tip, and the contents were squirted onto the grid. In this way, the time interval between addition of ATP-Vi solution and uranyl acetate fixation was 120–200 ms (measured by imaging the pipet tip using a back-illuminated EMCCD camera (Andor Technology), with a time rate of 100 frames per sec).

The grids were examined using a cryo holder (626, Gatan) in a Tecnai F20 electron microscope (FEI) operated at 200 kV. The images were photographed

on Kodak SO-163 film at a magnification of 50,000× with a defocus of 4000–4500 nm, and digitized in 7-μm steps using a Zeiss Phodis scanner.

Image Analysis. First, polarities were determined for 48 pairs of MTs, by checking their Moiré patterns (23). Because we found that the dynein heads are always to the B-MT minus end side of the tail, we used the dynein orientation to assign polarities to the rest of the images. The image sizes were reduced so that a pixel size is 14 μm (corresponding to 2.75 Å on the samples). After correction for the contrast transfer function, 1,062 and 988 image segments, each containing a dynein molecule and parts of 2 MTs, were extracted from the images of no-nucleotide and ADP-Vi states, respectively.

The segments were aligned by reference-free algorithms and classified into groups using 2 regions of each dynein molecule, the head and stalk structures, in order. For the first classification based on the arrangement of the two heads, the segments were low-pass filtered and the image area containing dynein heads was emphasized in contrast. The images were then classified by hierarchical cluster analysis. After the initial classification, each segment without low-pass filtering was cross-correlated with the class-averaged image, and only the images with a high correlation coefficient (with >0.65 cross-correlation coefficients) were included to calculate refined class-averages. This refinement procedure was repeated 3 times to produce the final averaged images. For the second step, the images belonging to each head-class were further classified according to the shapes of the stalks using a similar method. To compare our images with the published images, the regions including the heads were extracted from both images, and cross-correlation scores were calculated allowing translation and rotation.

All of the image analysis was performed by using the Extensible and Object-oriented System (Eos) software (32).

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