

The algebraic representation of semigroups and lattices; representing subsemigroups

N. W. SAUER and M. G. STONE

A monoid S and a lattice L are *jointly algebraic*, if there is a universal algebra $\mathfrak{A} = \langle A, \mathcal{P} \rangle$ such that $S \cong \text{End } \mathfrak{A}$ and $L \cong \text{Su } \mathfrak{A}$. The major result of this paper is that if either S or L are finite and if they are jointly algebraic, then every submonoid T of S is jointly algebraic with L . We prove a slightly stronger theorem.

§ 1. Introduction

We adopt the notation of [1] and [2]. If M is a set of partial functions on the set A then we will write sometimes $M \sim$ for \tilde{M} and we will use the following additional notation: Γ, Σ denote systems of equations with coefficients from M . For $D \subset A$, $\bar{D} = \mathcal{C}(D; A, M) = \bigcap_{D \subset \text{Spt } \Sigma} (\text{Spt } \Sigma)$ ($\text{Spt } \Sigma$ is the set of all points on which Σ has a solution). We write simply \bar{D} if A and M are understood. For $B \subset A$, $\mathcal{S}B = \mathcal{S}(B; A, M) = \bigcup_{D \text{ finite, } D \subset B} \bar{D}$. We write $\mathcal{S}B$ if A and M are understood. If $D \subset B$ and D is finite we will henceforth write $D \subset_f B$.

§ 2. Concrete Results

Lemma 1. *If \mathfrak{A} is any algebra on A whose operations are all substitutive with M and Σ is a system of equations over M , then $\text{Spt } \Sigma$ is a subalgebra of \mathfrak{A} .*

Proof. It is enough to prove that $\text{Spt } \Sigma$ is a subalgebra of \mathfrak{A}_M , the algebra of all the operations substitutive over M . According to [1] Theorem 1 we have to show that $\text{Spt } \Sigma = \mathcal{S}(\text{Spt } \Sigma; A, M)$. Now if $D \subset \text{Spt } \Sigma$, then $\bar{D} = \bigcap_{D \subset \text{Spt } \Gamma} \text{Spt } \Gamma \subset \text{Spt } \Sigma$ and

Received April 19, 1978; in revised form January 31, 1979.

This research was supported in part by the National Research Council of Canada Operating Grants A7213 and A8094.

therefore $\mathcal{S}(\text{Spt } \Sigma; A, M) = \bigcup_{D \subset_f \text{Spt } \Sigma} \subset \cup \text{Spt } \Sigma = \text{Spt } \Sigma$. By [1] Lemma 5, \mathcal{S} is a closure operator and hence $\text{Spt } \Sigma \subset \mathcal{S}(\text{Spt } \Sigma; A, M)$. □

Lemma 2. *If $D \subset_f A$ then \bar{D} is the subalgebra of \mathfrak{U}_M generated by D and $\bar{D} = \text{dom } g$ for some partial identity function $g \in \tilde{M}$.*

Proof. Let B be the subalgebra of \mathfrak{U}_M generated by D . Then by Theorem 1 of [1] $B = \bigcup_{C \subset_f B} \bar{C}$, thus $\bar{D} \subset B$. But also $\bar{D} = \bigcap_{D \subseteq \text{Spt } \Sigma} \text{Spt } \Sigma = \text{Spt } \Gamma$ for some system Γ by Lemma 2 of [1], and hence $\bar{D} \in \text{Su } \mathfrak{U}_M$ by Lemma 1 above. Thus $\bar{D} = B$. Clearly $\bar{D} = \text{dom } g$ for $g = \text{id} \upharpoonright \text{Spt } \Gamma^*$, and since $\text{Spt } \Gamma \in \text{Su } \mathfrak{U}_M$ we have $g \in \tilde{M}$ because every identity on a subalgebra of \mathfrak{U}_M is a partial endomorphism. □

Lemma 3. *If D is finite, then $\mathcal{C}(D; A, M) = \mathcal{C}(D; A, \tilde{M})$.*

Proof. Note $\mathfrak{U}_M = \mathfrak{U}_{\tilde{M}}$, hence the subalgebra generated by D is the same in both algebras and the result follows from Lemma 2 above. □

Corollary 1. $\mathcal{S}(B; A, M) = \bigcup_{D \subset_f B} \mathcal{C}(D; A, M) = \bigcup_{D \subset_f B} \mathcal{C}(D; A, \tilde{M}) = \mathcal{S}(B; A, \tilde{M})$.

Definition 1. We will write the *ordered triple* $(A; S, L)$ for a representation of S as a transformation monoid on A and L as an algebraic intersection structure on A (i.e. L is a set of subsets of A , which forms by intersection an algebraic lattice). Then $\text{St}_1(A; S, L)$ and $\text{St}_2(A; S, L)$ are abbreviations for the following statements:

$$\text{St}_1(A; S, L): S \Rightarrow \overline{SUL},$$

(where if M is a set of partial functions on A , \bar{M} is the set of total functions in \tilde{M}) and

$$\text{St}_2(A; S, L): B = \mathcal{S}(B; A, SUL) \Rightarrow B \in L.$$

If $(A; S, L)$ and $S = \text{End } \mathfrak{A}$ and $L = \text{Su } \mathfrak{A}$ for some algebra $\mathfrak{A} = \langle A, \mathcal{P} \rangle$ then we will say that $(A; S, L)$ is *algebraic*.

Remark. Then Theorem 3 of [1] reads (using also Theorem 4 of [2]): $(A; S, L)$ is algebraic if and only if $\text{St}_1(A; S, L)$ and $\text{St}_2(A; S, L)$.

Lemma 5. *If $\text{St}_2(A; S, L)$, then $(A; \overline{SUL}, L)$ is algebraic.*

Proof. a) $\text{St}_1(A, \overline{SUL}, L)$. Note that $\overline{SUL} \subset \overline{\overline{SULUL}}$. Put on the other hand $\overline{\overline{SULUL}} = A^A \cap [(A^A \cap (SUL)^{\sim})UL]^{\sim} \subset A^A \cap [(SUL)^{\sim}UL]^{\sim} = A^A \cap (SUL)^{\sim} = \subset \overline{SUL}$. This proves $\text{St}_1(A; \overline{SUL}, L)$ which says: $\overline{SUL} = \overline{\overline{SULUL}}$.

* For a function f and $A \subseteq \text{dom } f$, $f \upharpoonright A$ denotes the restriction of f to A .

b) $St_2(A, \overline{SUL}, L)$. We have $(SUL)^\sim = (\overline{SULUL})^\sim$ because obviously $(SUL)^\sim \subset (\overline{SULUL})^\sim$ and $(\overline{SULUL})^\sim = [(A^4 \cap (SUL)^\sim)UL]^\sim \subset ((SUL)^\sim UL)^\sim = (SUL)^\sim$. Therefore, by Corollary 1, $\mathcal{S}(B; A, \overline{SULUL}) = \mathcal{S}(B; A, (\overline{SULUL})^\sim) = \mathcal{S}(B; A, (SUL)^\sim) = \mathcal{S}(B; A, SUL)$. So, if $B = \mathcal{S}(B; A, \overline{SULUL})$, then $B = \mathcal{S}(B; A, SUL)$ and hence $B \in L$ because $St_2(A; S, L)$ holds. \square

§ 3. Representations

Definition 2. If S is a monoid and L an algebraic lattice, then the partial universal algebra $\langle A; f \rangle_{f \in SUL}$ is a *representation* of S and L , if all of the operations in S form a transformation monoid of A , with $(fg)(a) = f(g(a))$, $id(a) = a$ and if all of the operations in L are partial identities with range $p \cap \text{range } q = \text{range}(p \wedge q)$ and the 1 of the lattice is the identity transformation of A . Furthermore we require that a representation be faithful: for any two $f, g \in S, f \neq g$ there exists an $a \in A$ with $f(a) \neq g(a)$ and if for any two $p, q \in L, p \neq q$, $\text{range } p \neq \text{range } q$. We write simply $\langle A, f \rangle$ for $\langle A, f \rangle_{f \in SUL}$ when SUL is understood. Note $\langle A, \{f; f \in S\}, \{f(A); f \in L\} \rangle$ iff $\langle A, f \rangle_{f \in SUL}$ is a representation.

We will adopt the notions of [3] for homomorphism, subalgebra, embedding of partial algebras and will also say that \mathfrak{B} is an extension of \mathfrak{A} if \mathfrak{A} is a subalgebra of \mathfrak{B} .

Definition 3. If $\langle A; f \rangle_{f \in SUL}$ is a representation of S and L then we will write \overline{SUL}^A to emphasize the function closure cited in St_1 taken with respect to that representation of S and L on A .

Lemma 6. Let $\psi: A \rightarrow B$ be a homomorphism from the representation $\langle A; f \rangle_{f \in SUL}$ into the representation $\langle B; f \rangle_{f \in SUL}$. If the system Σ of equations with coefficients in SUL has a solution h at some $a \in A$, then Σ has also a solution ψh at $\psi(a) \in B$.

Proof. If α is an assignment which satisfies Σ at a , then clearly $\psi\alpha$ is an assignment which satisfies Σ at $\psi(a)$. \square

Definition 4. Let $\langle A; f \rangle_{f \in SUL}$ be a representation of S and L and let $(A_i; i \in I)$ be a family of subalgebras of A with $\bigcup_{i \in I} A_i = A$ and $(\varphi_i; i \in I)$ homomorphisms from A onto A_i which leave A_i elementwise fixed. $((A_i, \varphi_i); i \in I)$ is called a *cover* of $\langle A; f \rangle_{f \in SUL}$.

Lemma 7. If $((A_i, \varphi_i); i \in I)$ is a cover of $\langle A; f \rangle_{f \in SUL}$ and $h \in \overline{SUL}^A$, then $h = \bigcup_{i \in I} h_i$ with each $h_i \in \overline{SUL}^{A_i}$.

Proof. We prove that $h \upharpoonright A_i \in \overline{SUL}^{A_i}$. For each $a \in A_i$ there exists a system Σ whose unique solution at a is h . If $h(a) \notin A_i$, then by applying φ_i and Lemma 6

we observe that Σ has a solution at $\varphi_i(a)=a$ which is equal to $\varphi_i(h(a))\in A_i$. But then Σ has two different solutions at a , a contradiction. So $h \upharpoonright A_i \in A_i^{A_i}$. Because $h \in \overline{S \cup L}^A$ there exists for every finite subset D of A_i a system Σ whose unique solution at D is h . We will have proven that $h \upharpoonright A_i \in \overline{S \cup L}^{A_i}$ if there exists an assignment α of Σ at D for $D \subset_f A_i$ with $\alpha(x) \in A_i$ for all the variables $x \in \Sigma$. If β is any assignment of Σ at D , then clearly $\varphi_i \beta = \alpha$ has the desired property. Thus $h = \bigcup_{i \in I} h_i$ for $h_i = h \upharpoonright A_i$. \square

Lemma 8. If $((A_i, \varphi_i) : i \in I)$ is a cover of $\langle A; f \rangle$ and $h \in \overline{S \cup L}^A$ and $x \in A_i \cap A_j$, then $h(x) \in A_i \cap A_j$.

Proof. According to Lemma 7, $h \upharpoonright A_i \in A_i^{A_i}$ and $h \upharpoonright A_j \in A_j^{A_j}$ which implies the assertion. \square

§ 4. The Foliation of a Representation

Definition 5. If $\mathcal{R} = \langle A; f \rangle$ is a representation of S and L , and $a \in A$, then $[a]$ is the subalgebra of \mathcal{R} generated by $\{a\}$.

Definition 6. If $\mathcal{R} = \langle A; f \rangle$ is a representation of S and L , then $\mathcal{F}(\mathcal{R}) = \langle \mathcal{F}(A), f \rangle_{f \in S \cup L}$, the foliation of \mathcal{R} , is an extension of \mathcal{R} which is constructed as follows: for each $x \in A$, $A_x^- = \{a_x; a \in A - [x]\}$ and $A_x = A_x^- \cup [x]$,

$$\mathcal{F}(A) = \bigcup_{x \in A} A_x;$$

with $y \in \mathcal{F}(A)$ and $f \in S$,

$$f(y) = \begin{cases} f(y) & \text{if } y \in A, \\ (f(a))_x & \text{if } y = a_x \text{ and } f(a) \in A - [x], \\ f(a) & \text{if } y = a_x \text{ and } f(a) \in [x]; \end{cases}$$

with $y \in \mathcal{F}(A)$ and $p \in L$,

$$p(y) = \begin{cases} p(y) & \text{if } y \in A, \\ (p(a))_x & \text{if } y = a_x. \end{cases}$$

($p(y)$ is either y or is undefined).

Lemma 9. $\mathcal{F}(\mathcal{R})$ is a representation of S and L .

Proof. Let $f, g, h \in S$ with $(fg) = h$. We want to prove that for all $y \in \mathcal{F}(A)$, $f(g(y)) = h(y)$. This is clearly true for $y \in A$, so let $y = a_x$ and assume first that $g(a) \notin [x]$ and $f(g(a)) \notin [x]$, so $h(a) \notin [x]$ and then: $f(g(y)) = f(g(a_x)) = f((g(a))_x) = ((fg)(a))_x = (h(a))_x = h(a_x) = h(y)$. If $g(a) \notin [x]$ but $f(g(a)) \in [x]$, then $h(a) \in [x]$

and then: $f(g(y))=f((g(a))_x)=f(g(a))=(fg)(a)=h(a)=h(a_x)=h(y)$. If $g(a)\in[x]$, then $f(g(a))\in[x]$ and $h(a)\in[x]$ and then: $f(g(y))=f(g(a_x))=f(g(a))=(fg)(a)=h(a)=h(a_x)=h(y)$.

If id is the unit element in S and $y\in\mathcal{F}(A)$, then if $y\in A$ clearly $\text{id}(y)=y$ and if $y=a_x$ then $a\in[x]$ and $\text{id}(a)=a\in[x]$ and hence $\text{id}(a_x)=(\text{id}(a))_x=a_x$.

Let p, q be two elements in L , then $p(a_x)$ is defined and equal to a_x if and only if $p(a)$ is defined. But (on A), $\text{range } p \cap \text{range } q = \text{range } (p \wedge q)$ is equivalent to the condition: $(\forall a \in A) [p(a) \text{ and } q(a) \text{ are defined iff } (p \wedge q)(a) \text{ is defined}]$. Therefore $p(a_x)$ and $q(a_x)$ are defined iff $(p \wedge q)(a_x)$ is defined. Furthermore we have shown that the identity map on A extends to the identity map on $\mathcal{F}(A)$. Observe that $\mathcal{F}(\mathcal{R})$ is faithful iff \mathcal{R} is faithful. \square

Definition 7. If $\tilde{(\mathcal{R})} = \langle \mathcal{F}(A); f \rangle_{f \in S \cup L}$ is the foliation of $\mathcal{R} = \langle A; f \rangle_{f \in S \cup L}$, then the maps $\varphi, (\varphi_x; x \in A), (\varepsilon_x; x \in A), (v_x; x \in A)$ are defined as follows:

$$v_x: \mathcal{F}(A) \rightarrow A \cup A_x \text{ with } v_x(y) = \begin{cases} y & \text{if } y \in A \cup A_x, \\ a & \text{if } y = a_z, z \neq x; \end{cases}$$

$$\varepsilon_x: A \cup A_x \rightarrow A_x \text{ with } \varepsilon_x(y) = \begin{cases} y & \text{if } y \in A_x, \\ y_x & \text{if } y \in A - [x]; \end{cases}$$

$$\varphi: \mathcal{F}(A) \rightarrow A \text{ with } \varphi(y) = \begin{cases} y & \text{if } y \in A, \\ a & \text{if } y = a_x; \end{cases}$$

$$\varphi_x: \mathcal{F}(A) \rightarrow A_x \text{ with } \varphi_x = \varepsilon_x v_x.$$

Lemma 10. *Each of the maps above is a homomorphism onto the indicated subalgebra of $\mathcal{F}(\mathcal{R})$.*

Proof. a) v_x . Because $A \cup A_x$ is a subalgebra, the restriction of v_x to $A \cup A_x$ is a homomorphism. First let $a_z \in A_z$ and $f \in S$ with $f(a) \notin [z]$. Then $v_x(f(a_z)) = v_x((f(a))_z) = f(a) = f(v_x(a_z))$. If $f(a) \in [z]$, then $v_x(f(a_z)) = v_x(f(a)) = f(a) = f(v_x(a_z))$. For $p \in L$, p is defined at a_z iff p is defined at a and $p(a_z) = a_z$ and $p(a) = a$, hence $p(v_x(a_z)) = p(a) = a = v_x(a_z) = v_x(p(a_z))$.

b) φ . This proof is almost identical to the one for v_x .

c) ε_x . Because A_x is a subalgebra of the representation $\langle A \cup A_x, f \rangle$ of S and L , the restriction of ε_x to A_x is a homomorphism. So if $y \in A - [x]$ and $f \in S$, with $f(y) \notin [x]$, then $\varepsilon_x f(y) = f(y)_x = f(y_x) = f(\varepsilon_x(y))$; further if $f(y) \in [x]$, then $\varepsilon_x f(y) = f(y) = f(y_x) = f(\varepsilon_x(y))$. If $p \in L$ and p is defined at $y \in A_x^-$, then p is defined at y_x and $p(y) = y, p(y_x) = y_x$ hence $p(\varepsilon_x(y)) = p(y_x) = y_x = \varepsilon_x(y) = \varepsilon_x(p(y))$.

d) φ_x . φ_x is a homomorphism as a product of two homomorphisms. \square

Lemma 11. *The sets $(A_x; x \in A)$ together with A and the maps $(\varphi_x; x \in A)$ and φ form a cover of $\mathcal{F}(\mathcal{R}) = \langle \mathcal{F}(A); f \rangle_{f \in S \cup L}$.*

Proof. Clearly $(\bigcup_{x \in A} A_x) \cup A = \mathcal{F}(A)$ and furthermore A and each of the sets A_x are subalgebras of $\mathcal{F}(\mathcal{R})$. By Lemma 10 the maps φ , and $(\varphi_x: x \in A)$ are homomorphisms which leave A and $(A_x: x \in A)$ pointwise fixed as required. \square

Lemma 12. $\bigcup_{x \in A} \varphi_x(\mathcal{C}(\varphi(D); A, S \cup L)) = \mathcal{C}(D; \mathcal{F}(A), S \cup L)$, for $D \subseteq \mathcal{F}(A)$.

Proof. If $y \in A$ then $\varphi(y) = y$ and if $y = a_x$, then $\varphi_x \varphi(y) = \varphi_x \varphi(a_x) = \varepsilon_x \nu_x \varphi(a_x) = a_x = y$ and hence we see by Lemma 6 that a system Σ of equations has a solution at y iff Σ has a solution at $\varphi(y)$. Furthermore Σ has a solution at $y \in A$ iff Σ has a solution at $\varphi_x(y)$ for each $x \in A$, because again $\varphi \varphi_x(y) = y$. (For $y \in A: y \in [x] \Rightarrow \varphi \varphi_x(y) = y$, and $y \notin [x] \Rightarrow \varphi \varphi_x(y) = y$.) This means that $D \subset \text{Spt } \Sigma$ iff $\varphi(D) \subset \text{Spt } \Sigma$. In fact for $a \in A$, $a \in \text{Spt } \Sigma$ iff $\forall x \in A, a \notin [x], a_x \in \text{Spt } \Sigma$, thus $\bigcup_{x \in A} \varphi_x(A \cap \text{Spt } \Sigma) = \text{Spt } \Sigma$. Hence

$$\begin{aligned} \mathcal{C}(D, \mathcal{F}(A), S \cup L) &= \bigcap_{D \subset \text{Spt } \Sigma} \text{Spt } \Sigma = \bigcap_{\varphi(D) \subset \text{Spt } \Sigma} \text{Spt } \Sigma = \\ &= \bigcap_{\varphi(D) \subset \text{Spt } \Sigma} (\bigcup_{x \in A} \varphi_x(A \cap \text{Spt } \Sigma)) \supset \bigcup_{x \in A} (\bigcap_{\varphi(D) \subset \text{Spt } \Sigma} \varphi_x(A \cap \text{Spt } \Sigma)) \supset \\ &\supset \bigcup_{x \in A} \varphi_x(\bigcap_{\varphi(D) \subset \text{Spt } \Sigma} (A \cap \text{Spt } \Sigma)) = \bigcup_{x \in A} \varphi_x(\bigcap_{\varphi(D) \subset \text{Spt } \Sigma} \text{Spt}^* \Sigma) = \\ &= \bigcup_{x \in A} \varphi_x(\mathcal{C}(\varphi(D), A, S \cup L)) \end{aligned}$$

(cf. Lemma 6), where $\text{Spt}^* \Sigma$ is the support in the original representation $\mathcal{R} = \langle A; f \rangle$.

On the other hand, because $\varphi_x = \varepsilon_x \nu_x$ is one-to-one on A , we get

$$\begin{aligned} \varphi_x(\mathcal{C}(\varphi(D); A, S \cup L)) &= \varphi_x(\bigcap_{\varphi(D) \subset \text{Spt}^* \Sigma} \text{Spt}^* \Sigma) = \bigcap_{\varphi(D) \subset \text{Spt}^* \Sigma} \varphi_x(\text{Spt}^* \Sigma) = \\ &= \bigcap_{\varphi(D) \subset \text{Spt } \Sigma} \varphi_x(A \cap \text{Spt } \Sigma) \subset \bigcap_{D \subset \text{Spt } \Sigma} \text{Spt } \Sigma = \mathcal{C}(D; \mathcal{F}(A), S \cup L). \quad \square \end{aligned}$$

Lemma 13. $\bigcup_{x \in A} \varphi_x(\mathcal{S}(\varphi(B); A, S \cup L)) = \mathcal{S}(B; \mathcal{F}(A), S \cup L)$.

Proof. Observe that $\varphi(D) \subset_f \varphi(B) \Rightarrow \exists E \subset_f B$ such that $\varphi(E) = \varphi(D)$ hence

$$\begin{aligned} \bigcup_{x \in A} \varphi_x(\mathcal{S}(\varphi(B); A, S \cup L)) &= \bigcup_{x \in A} \varphi_x(\bigcup_{\varphi(D) \subset_f \varphi(B)} \mathcal{C}(\varphi(D); A, S \cup L)) = \\ &= \bigcup_{x \in A} \varphi_x(\bigcup_{E \subset_f B} \mathcal{C}(\varphi(E); A, S \cup L)) = \bigcup_{D \subset_f B} (\bigcup_{x \in A} \varphi_x(\mathcal{C}(\varphi(D); A, S \cup L))) = \\ &= \bigcup_{D \subset_f B} \mathcal{C}(D; \mathcal{F}(A), S \cup L) = \mathcal{S}(B; \mathcal{F}(A), S \cup L). \quad \square \end{aligned}$$

Now by intersecting A with each of the expressions in Lemma 13 we have:

Corollary 2. $\mathcal{S}(\varphi(B); A, S \cup L) = \mathcal{S}(B; \mathcal{F}(A), S \cup L) \cap A$.

Definition 8. If $\mathcal{R}=\langle A; f \rangle$ is a representation of S and L , then we write $\text{St}_2 \mathcal{R}$ or $\text{St}_2 \langle A; f \rangle$ to mean St_2 holds for the corresponding triple (see Definition 2): $\text{St}_2(A, \{f; f \in S\}, \{f(A); f \in L\})$.

Lemma 14. If $\mathcal{R}=\langle A; f \rangle$ is a representation of S and L with $\text{St}_2 \mathcal{R}$, and $\mathcal{F}(\mathcal{R})=\langle \mathcal{F}(A), f \rangle$ is the foliation of \mathcal{R} , then $\text{St}_2 \mathcal{F}(\mathcal{R})$.

Proof. Let $\mathcal{S}(B; \mathcal{F}(A), S \cup L)=B$; then $\mathcal{S}(\varphi(B); A, S \cup L)=\varphi(B)$ (otherwise $A \cap B \subset \varphi(B) \not\subseteq \mathcal{S}(\varphi(B); A, S \cup L) \subset \mathcal{S}(B; \mathcal{F}(A), S \cup L) \cap A = B \cap A$). Hence there is $p \in L$ with $\varphi(B)=\text{range } p$ in A . Then:

$$\begin{aligned} B &= \mathcal{S}(B; \mathcal{F}(A), S \cup L) = \bigcup_{x \in A} \varphi_x(\mathcal{S}(\varphi(B); A, S \cup L)) = \bigcup_{x \in A} \varphi_x \varphi(B) = \\ &= \bigcup_{x \in A} \varepsilon_x \nu_x \varphi(B) = \bigcup_{x \in A} \varepsilon_x \varphi(B) = \bigcup_{x \in A} \varepsilon_x(\text{range } p \text{ in } A) = \text{range } p \text{ in } \mathcal{F}(A). \end{aligned}$$

Thus $\text{St}_2 \mathcal{F}(\mathcal{R})$ holds. □

Lemma 15. If $h \in \overline{S \cup L}^{\mathcal{F}(A)}$, then $m = h \upharpoonright A \in \overline{S \cup L}^A$, and for all $a_x \in \mathcal{F}(A)$, $h(a_x) = (ma)_x$ if $m(a) \notin [x]$ and $h(a_x) = m(a)$ otherwise.

Proof. By Lemma 7 and Lemma 11 $h = m \cup (\bigcup_{x \in A} h_x)$ with $m \in \overline{S \cup L}^A$, and $h \upharpoonright a_x = h_x \in \overline{S \cup L}^{A_x}$. Now to each $a_x \in \mathcal{F}(A)$ there is a system Σ , such that h is the unique solution to Σ on $\{a, a_x\}$. Thus m is the unique solution to Σ at a and h_x is the unique solution to Σ at a_x . Note m is a solution to Σ at a and φ_x is a homomorphism, thus by Lemma 6, $\varphi_x m$ is a solution to Σ at $a_x = \varphi_x(a)$. But h is the unique solution to Σ at a_x , thus $h(a_x) = \varphi_x(ma) = \varepsilon_x \nu_x(ma) = \varepsilon_x(ma)$. Hence if $ma \notin [x]$, $h(a_x) = (ma)_x$ and if $ma \in [x]$, $h(a_x) = ma$. □

Corollary 3. If $h \in \overline{S \cup L}^{\mathcal{F}(A)}$ and if $(h \upharpoonright A) \in S$ on \mathcal{R} then $h \in S$ on $\mathcal{F}(\mathcal{R})$.

Definition 9. If $\mathcal{R}=\langle A; f \rangle$ is a representation of S and L and $h \in A^A$, then we write h is in the *one closure* of S in \mathcal{R} (or shortly $h \in \text{oc}(S)_{\mathcal{R}}$ or $h \in \text{oc}(S)$) if for each $a \in A$ there exists $f \in S$ with $h(a) = f(a)$. Local closure of S is denoted by $\text{l.c.}(S)$.

Lemma 16. If $h \in \overline{S \cup L}^{\mathcal{F}(A)}$, then $m = (h \upharpoonright A)$ is in the one closure of S in \mathcal{R} .

Proof. Assume there is $a \in A$ such that for all $f \in S$ $f(a) \neq m(a) = h(a)$. Then there exists a system Σ of equations, whose unique solution at a is $m(a) \notin [a]$. The unique solution of Σ at $\varphi_a(a) = a$ is $\varphi_a(m(a)) = (m(a))_a \neq m(a)$ which is a contradiction. □

Definition 10. The representation $\mathcal{R}=\langle A, f \rangle$ of S and L on A is *algebraic*, if the corresponding triple $(A; S, L)$ is algebraic..

Definition 11. $oc \mathcal{F}(\mathcal{R}) = \langle \mathcal{F}(A); f \rangle_{f \in oc(S) \cup L}$ where $\mathcal{R} = \langle A; f \rangle_{f \in S \cup L}$ a representation of S and L on A and the action of the operations in $oc(S) \cup L$ are as determined in $\overline{SUL}^{\mathcal{F}(A)}$.

Lemma 17. *If the representation $(A; S, L)$ has each compact $t \in L$ singleton generated, then $(\mathcal{F}(A); S, L)$ also has each compact $t \in L$ singleton generated.*

Proof. Observe that for all $a \in A$, we have for each $p \in L \exists x [a_x \in p$ in $(\mathcal{F}(A); S, L)]$ iff $[a \in p$ on $(\mathcal{F}(A); S, L)]$ iff $\forall x [a_x \in p$ in $(\mathcal{F}(A); S, L)]$.

Lemma 18. *Let $(B; S, L)$ satisfy St_2 . Suppose $a, b \in B$ are such that for every $p \in L [a \in p \Rightarrow b \in p]$. Then each system of equations Σ over $S \cup L$ which has a solution at a also has a solution at b .*

Proof. Let Σ be a system of equations over $S \cup L$ which has a solution at a . $Spt \Sigma$ denotes the set of all points in B on which Σ has a solution. Clearly $Spt \Sigma = \bigcup_{D \subset Spt \Sigma} \bigcap_{D \subseteq Spt \Gamma} Spt \Gamma = \mathcal{S}(Spt \Sigma; B, S \cup L)$ hence by $St_2(B; S, L)$, $Spt \Sigma \in L$.

Hence $b \in Spt \Sigma$ as required. □

Lemma 19. *Given $(B; S, L)$ which satisfies St_2 and for which each compact $t \in L$ is singleton generated, if $h \in \overline{SUL}^B$ and $h \in oc(S)$ on $(B; S, L)$ then $h \in l.c.(S)$ on $(B; S, L)$.*

Proof. Fix $\{b_1, \dots, b_n\} \subset_f B$. Let $p \in L$ be generated by $\{b_1, \dots, b_n\}$; thus p is compact, and there exists $b \in B$ which generates p as well. Let Σ be a system of equations with coefficients from $S \cup L$ such that h is the unique solution on $\{b, b_1, b_2, \dots, b_n\}$. Since $h \in oc(S)$ there is some $f \in S$ with $f(b) = h(b)$. Hence h is also the unique solution on $\{b\}$ to the system $\Gamma = \Sigma \cup \{fx_0 = x_1\}$. By Lemma 18 Γ has also a solution on each $b_i, i = 1, \dots, n$. But $\Gamma \supseteq \Sigma$ so the solution to Γ on $\{b_1, \dots, b_n\}$ is h . On the other hand $(fx_0 = x_1) \in \Gamma$ hence the solution to Γ on $\{b_1, \dots, b_n\}$ is f . Thus $f(b_i) = h(b_i)$ for $i = 1, \dots, n$, so $h \in l.c.(S)$ as required. □

Lemma 20. *Let N be a monoid and L an algebraic lattice such that $(A; N, L)$ with $St_2(A; N, L)$, then if S is a submonoid of N we have $St_2(A; S, L)$.*

Proof. Clearly $\mathcal{C}(D; A, NUL) \subset \mathcal{C}(D; A, SUL)$ and hence for each $B \subset A$, $B \subset \mathcal{S}(B; A, NUL) \subset \mathcal{S}(B; A, SUL)$. So if $B = \mathcal{S}(B; A, SUL)$ we get $B = \mathcal{S}(B; A, NUL)$ and then $B \in L$ in $(A; N, L)$. □

Theorem 1. *If $(A; N, L)$ is algebraic and each compact $t \in L$ is singleton generated in that representation then for each submonoid $S \subseteq N$ we have $(\mathcal{F}(A); l.c.(S), L)$ is algebraic, where $l.c.(S)$ is the local closure of S in the representation $(\mathcal{F}(A); S, L)$.*

Proof. Let $(A; N, L)$ satisfy the hypothesis of the theorem and let S be a submonoid of N . By Lemma 20 $(A; S, L)$ satisfies St_2 , and clearly each compact $t \in L$ is singleton generated in $(A; S, L)$ as well. By Lemmas 14 and 17 $(\mathcal{F}(A); S, L)$ also satisfies St_2 and each compact $t \in L$ is singleton generated in that representation. Furthermore by Lemma 5 $(\mathcal{F}(A); \overline{SUL}^{\mathcal{F}(A)}, L)$ is algebraic, and here again each compact $t \in L$ is singleton generated. We claim that $\overline{SUL}^{\mathcal{F}(A)} = l.c.(S)$, the local closure of S in $(\mathcal{F}(A); S, L)$; this will establish the result of the Theorem. Evidently $\overline{SUL}^{\mathcal{F}(A)} \supseteq l.c.(S)$ so really only the other containment need be argued. Let $h \in \overline{SUL}^{\mathcal{F}(A)}$. Note $h \uparrow A \in oc(S)$ in $(\mathcal{F}(A); S, L)$, since by Lemma 16 we have $m = h \uparrow A \in oc(S)$ in $(A; S, L)$. In fact $h \in oc(S)$ in $(\mathcal{F}(A); S, L)$. To see that we need only check $h(a_x)$ for $a_x \in \mathcal{F}(A)$. If $h(a) \notin [x]$ we get $h(a_x) = (h(a))_x = (f(a))_x$ for some $f \in S$ and if $h(a) \in [x]$ we get $h(a_x) = ha = fa = f(a_x)$ for some $f \in S$ by use of Lemma 15 and the definition of action by S in $\mathcal{F}(A)$ (see Defn. 6). Now apply Lemma 19 with $(B; S, L) = (\mathcal{F}(A); S, L)$ to get $h \in \overline{SUL}^{\mathcal{F}(A)} \cap oc(S) \Rightarrow h \in l.c.(S)$ on $(\mathcal{F}(A); S, L)$ as required. \square

Lemma 21. *The local closure of any finite monoid S is equal to S .*

Proof. Let the monoid S be represented on some set A and assume that $h \in$ local closure S and $h \notin S$. For each $f \in S$ let $a_f \in A$ be such that $h(a_f) \neq f(a_f)$ then $D = \{a_f; f \in S\}$ is finite and clearly $h \uparrow D \neq f \uparrow D$ for any $f \in S$, contrary to the selection of h in the local closure of S . Hence each h in local closure S also belongs to S . \square

Theorem 2. *For each universal algebra \mathfrak{U} there is a universal algebra \mathfrak{B} satisfying $End \mathfrak{U} \cong End \mathfrak{B}$ and $Su \mathfrak{U} = Su \mathfrak{B}$; moreover every finitely generated subalgebra of \mathfrak{B} is generated by a single element.*

Proof. Let $\mathfrak{U} = \langle A, F \rangle$, $S = End \mathfrak{U}$ and $L = Su \mathfrak{U}$. For any $C \subseteq A$ we set $C^* = \bigcup_{n=1}^{\infty} C^n$. (Remark that we do not distinguish between C and C^1 and thus $C \subseteq C^*$.) With any $\varphi \in S$ we associate a transformation $\varphi^*: A^* \rightarrow A^*$ defined by $\varphi^*((x_1, \dots, x_k)) = (\varphi(x_1), \dots, \varphi(x_k))$, $(x_1, \dots, x_k) \in A^*$. Let $S^* = \{\varphi^* | \varphi \in S\}$ and $L^* = \{C^* | C \in L\}$. Then $S^* \cong S$ and $L^* \cong L$. We shall construct an algebra $\mathfrak{B} = \langle A^*, G \rangle$ such that $S^* = End \mathfrak{B}$, $L^* = Su \mathfrak{B}$ and every finitely generated subalgebra of \mathfrak{B} is generated by a single element.

Let g_1, g_2 be unary operations and h a binary operation on A^* defined by the rules:

$$g_1((x_1, \dots, x_k)) = x_1, \quad g_2((x_1, \dots, x_k)) = (x_k, x_1, \dots, x_{k-1})$$

and

$$h((x_1, \dots, x_k), (y_1, \dots, y_l)) = (x_1, \dots, x_k, y_1, \dots, y_l)$$

for every $(x_1, \dots, x_k), (y_1, \dots, y_l) \in A^*$. Furthermore, with each operation $f \in F$ we associate an operation $f_{\mathfrak{B}}$ on A^* as follows. The arity of $f_{\mathfrak{B}}$ equals the one of f and $f_{\mathfrak{B}}$ is defined by

$$f_{\mathfrak{B}}((x_1^1, \dots, x_{k_1}^1), \dots, (x_1^n, \dots, x_{k_n}^n)) = f(x_1^1, \dots, x_1^n), (x_1^i, \dots, x_{k_i}^i) \in A^*, \quad i = 1, \dots, n.$$

Now set $G = \{f_{\mathfrak{B}} | f \in F\} \cup \{g_1, g_2, h\}$.

First consider $\text{End } \mathfrak{B}$. It is clear that $S^* \subseteq \text{End } \mathfrak{B}$. Let $\Phi \in \text{End } \mathfrak{B}$. If $x \in A$ then $\Phi(x) = \Phi(g_1(x)) = g_1(\Phi(x)) \in A$ showing that $\Phi \upharpoonright A = \varphi \in A^A$. Furthermore, if $f \in F$ is n -ary and $x_1, \dots, x_n \in A$, then $\varphi(f(x_1, \dots, x_n)) = \Phi(f_{\mathfrak{B}}(x_1, \dots, x_n)) = f_{\mathfrak{B}}(\Phi(x_1), \dots, \Phi(x_n)) = f(\varphi(x_1), \dots, \varphi(x_n))$, i.e. $\Phi \upharpoonright A = \varphi \in \text{End } \mathfrak{A} = S$. Now we show by induction on k that (1) $\Phi((x_1, \dots, x_k)) = (\varphi(x_1), \dots, \varphi(x_k))$, $(x_1, \dots, x_k) \in A^*$. If $k=1$ then (1) holds. Suppose (1) holds for $k-1$. Then $\Phi((x_1, \dots, x_k)) = \Phi(h((x_1, \dots, x_{k-1}), x_k)) = h(\Phi((x_1, \dots, x_{k-1})), \Phi(x_k)) = h((\varphi(x_1), \dots, \varphi(x_{k-1})), \varphi(x_k)) = (\varphi(x_1), \dots, \varphi(x_k))$. Hence $\Phi = \varphi^* \in S^*$.

Now consider $\text{Su } \mathfrak{B}$. It is clear that $L^* \subseteq \text{Su } \mathfrak{B}$. Let $B \in \text{Su } \mathfrak{B}$. Taking into account that g_1, g_2 and h are operations of \mathfrak{B} , one can show that $B = (B \cap A)^*$. Furthermore, $B \cap A \in \text{Su } \mathfrak{A} = L$. $B = (B \cap A)^* \in L^*$. Finally, if a subalgebra B of \mathfrak{B} is generated by the elements $(x_1^1, \dots, x_{k_1}^1), \dots, (x_1^s, \dots, x_{k_s}^s) \in A^*$ then B is also generated by $(x_1^1, \dots, x_{k_1}^1), \dots, (x_1^s, \dots, x_{k_s}^s) \in A^*$ which completes the proof. \square

Corollary 4. *If the monoid N and the algebraic lattice L are jointly algebraic and S is a finite submonoid of N , then S and L are jointly algebraic.*

Proof. Let $(A; N, L)$ be algebraic, with each compact $t \in L$ singleton generated in that representation. By Theorem 1 $(\mathcal{F}(A); \text{l.c.}(S), L)$ is algebraic. By Lemma 21 $\text{l.c.}(S) = S$ since S is finite, hence $(\mathcal{F}(A); S, L)$ is algebraic and S and L are (abstractly) jointly algebraic. \square

Corollary 5. *If $S \subset T$ are two monoids and if L is an algebraic lattice for which the highest element 1 is compact and if T and L are jointly algebraic, then S and L are jointly algebraic.*

Proof. Let $\mathfrak{A} = \langle A; \mathcal{P} \rangle$ be such that $L = \text{Su } \mathfrak{A}$ and $T = \text{End } \mathfrak{A}$. We may assume each compact $t \in L$ is singleton generated in \mathfrak{A} . For the triple $(A; T, L)$ given by \mathfrak{A} we have $(\mathcal{F}(A); \text{l.c.}(S), L)$ algebraic. In fact by Lemma 17 each compact $t \in L$ is singleton generated in this representation. In particular $1 \in L$ which is compact by hypothesis is singleton generated. It follows that $\text{l.c.}(S) = S$ in that representation, hence $(\mathcal{F}(A); S, L)$ is algebraic and S, L are (abstractly) jointly algebraic. \square

Corollary 6. *If the monoid T and the algebraic lattice L are jointly algebraic but not both infinite then every submonoid of T is jointly algebraic with L .*

Proof. Follows now immediately from Corollaries 4 and 5. □

Acknowledgement. The authors are grateful to Dr. L. Szabó for providing the short proof given above for Theorem 2. The original proof that a single generator representation could be obtained on the set of all finite sequences of a given representation made use of [1], and required some tedious verification.

Bibliography

- [1] N. SAUER and M. G. STONE, Endomorphism and Subalgebra Structure; a Concrete Characterization; *Acta Sci. Math.*, **39** (1977), 311—315.
- [2] N. SAUER and M. G. STONE, The Algebraic Closure of a Semigroup of Functions, *Algebra Universalis*, **7** (1977), 219—233.
- [3] G. GRÄTZER, *Universal Algebra*, D. Van Nostrand (Princeton, 1968).

DEPARTMENT OF MATHEMATICS AND STATISTICS
UNIVERSITY OF CALGARY
2920 24 AVE. N. W.
CALGARY, CANADA, T2N 1N4