Elementary estimates for certain types of integers

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1. Introduction. For each integer $k \ge 2$, let L_k represent the set of positive integers n such that each prime factor of n occurs with multiplicity at least k. Let $l_k(n)$ denote the characteristic function of the set L_k , and for real $x \ge 1$, let $L_k(x)$ be the number of integers contained in L_k and not exceeding x. Let Q be the set of squarefree integers and q(n) the characteristic function of Q. The Riemann zeta-function will be denoted $\zeta(s)$ for real s.

The starred references of this paper refer to the bibliography of the paper [2] by the first author. All O-constants which occur are understood to depend upon k. In 1934 Erdős and Szekeres [5*] obtained the following estimate for $L_k(x)$:

$$(1.1) L_k(x) = c_k x^{1/k} + O(x^{1/(k+1)})$$

where c_k is a constant. This was proved by elementary means without any essential use of Dirichlet series. Later BATEMAN and GROSSWALD obtained (1.1) in the stronger form

$$(1.2) L_k(x) = c_k x^{1/k} + c'_k x^{1/(k+1)} + O(x^{1/(2k+1)}),$$

where c'_k , like c_k , is independent of x. While the Bateman—Grosswald proof is elementary, it makes use of the uniqueness theorem for Dirichlet series (see Remark 1 below).

It is the purpose of the present paper to establish certain weaker estimates for $L_k(x)$ by strictly elementary methods. In particular, we show in § 6, without appealing to the uniqueness theorem, that

$$(1.3) L_k(x) = c_k x^{1/k} + c'_k x^{1/(k+1)} + O(x^{1/(k+2)}).$$

The argument used in the paper is an elaboration of the method of ERDŐS and SZEKERES [5*]. We require, in addition, estimates for some special sums (§ 4) and an asymptotic formula for the average of a certain divisor function (§ 5). In § 7 we give a simple, independent proof of the slightly weaker form of (1. 3) with the O-term $O(x^{1/(k+2)}\log x)$.

Remark 1. The case k=2 is exceptional with respect to the above discussion of (1.2). In fact, an elementary proof of (1.2) in this case has been given by BATEMAN [1*]; also see [2] and [3, § 3].

2. Density of L_k . Our first estimate for $L_k(x)$ is given in the following theorem. Let $L_2 = L$.

Theorem 1. The set L has density 0; that is,

$$\lim_{x\to\infty}\frac{L(x)}{x}=0.$$

Proofs of this result have been given by Feller and Tournier [6*, § 9] and SCHOENBERG [10*, § 12]. The corresponding result for L_k , $k \ge 2$ follows immediately.

3. O-estimate for $L_k(x)$. We first prove a characterization of the set L_k .

Lemma 1. A necessary and sufficient condition that an integer n be in L_k is that it admit a representation of the form

$$(3.1) n = d_1 d_2^2 \dots d_{k-1}^{k-1} d^k, \quad d_1 d_2 \dots d_{k-1} | d.$$

Proof. Suppose n can be written in the form (3.1), and let p|n, p prime. Then p|d and hence $p^k|n$. This proves the sufficiency.

Now suppose $n \in L_k$, $n = p_1^{e_1} \dots p_s^{e_s}$, $e_i \ge k$ $(i = 1, \dots, s)$ where p_1, \dots, p_s are the distinct prime divisors of n. Now $e_i = q_i k + r_i$, $q_i > 0$, $0 \le r_i < k$ $(i = 1, \dots, s)$. Therefore $p_{i_i}^e = (p_i^{q_i})^k p_i^{r_i}$ for each i, from which it follows that n is expressible in the form (3.1) in such a way that $d = p_1^{q_1} \dots p_s^{q_s}$ and $d_1 \dots d_{k-1}$ is the product of those p_i for which the corresponding $r_i > 0$.

We are now in a position to prove the following result. Throughout this paper the symbol Σ' will indicate that the sum is taken over integers in L_k . Let [x] denote the largest integer $\leq x$.

Theorem 2. For $x \ge 1$.

$$(3. 2) L_k(x) = O(x^{1/k}) as x \to \infty.$$

Proof. Let $\delta = d_1 d_2^2 \dots d_{k-1}^{k-1}$. By Lemma 1,

$$L_k(x) = \sum_{n \le x} 1 \le \sum_{\delta d^k \le x} 1$$

where the last summation is over all k-tuples of natural numbers $d_1, d_2, ..., d_{k-1}$, d such that $D = d_1 d_2 d_3 ... d_{k-1}$ divides d, DN = d.

Thus

$$L_k(x) = \sum_{\delta \le x} \sum_{d^k \le x/\delta} 1.$$

Summing over N, we see that the interior sum has the value,

$$[x^{1/k}/d_1^{1+1/k}d_2^{1+2/k}\dots d_{k-1}^{1+(k-1)/k}].$$

Hence

$$L_k(x) \leq x^{1/k} \sum_{\delta \leq x} (d_1^{1+1/k} d_2^{1+2/k} \dots d_{k-1}^{1+(k-1)/k})^{-1} = 0(x^{1/k}),$$

and the theorem is proved.

A different proof of (3. 2) is indicated by HORNFECK in [8*, Lemma 2].

4. Lemmas. This section contains two lemmas which will be needed in the last two sections.

Lemma 2. (a) For 0 < s < 1/k,

(4.1)
$$\sum_{n \le x} \frac{1}{n^s} = O(x^{\frac{1}{k} - s}), \quad x \ge 1.$$

(b) For s = 1/k,

(c) For s > 1/k,

(4.3)
$$\sum_{n>x} \frac{1}{n^s} = O(x^{\frac{1}{k}-s}), \quad x \ge 1.$$

Proof. By partial summation and the definition of $l_k(n)$,

$$\sum_{n \le x} n^{-s} = \sum_{n \le x} \frac{l_k(n)}{n^s} = \sum_{n \le x} L_k(n) \left(\frac{1}{n^s} - \frac{1}{(n+1)^s} \right) + \frac{L_k(x)}{([x]+1)^s};$$

hence, by Theorem 2, since $(1+1/n)^s = 1 + O(1/n)$,

$$\sum_{n \le x} n^{-s} = O(\sum_{n \le x} n^{-s - (k-1)/k}) + O(x^{1/k - s}).$$

If $s \le 1/k$, the first O-term in the last expression is $O(x^{1/k-s})$ or $O(\log x)$ according as s < 1/k or s = 1/k. This proves (a) and (b).

Similarly, for ks > 1, we have with y > x,

$$\sum_{y \ge n > x}' n^{-s} = \sum_{y \ge n > x} L_k(n) \left(\frac{1}{n^s} - \frac{1}{(n+1)^s} \right) - \frac{L_k(x)}{([x]+1)^s} + \frac{L_k(y)}{([y]+1)^s} =$$

$$= O\left(\sum_{n > x} n^{-s - (k-1)/k}\right) + O\left(\frac{1}{x^{s-1/k}}\right),$$

and since both O-terms are $O(x^{1/k-s})$ the lemma results as $y \to \infty$.

We define $\sigma^*(s, n)$ to be the sum of the s-th powers of the square free divisors of n, and $\sigma(s, n)$ to be the sum of the s-th powers of all divisors of n. Place $\theta(n) = \sigma^*(0, n)$.

Lemma 3. If $0 < \alpha < (k-1)/(k+2)$, then

(4.4)
$$\sum_{\substack{n \leq x \\ n \in I_{k+1}, \\ n \in I_{k+1}}} \frac{\sigma^*(-\alpha, n)}{n^{(1+\alpha)/(2k+1)}} = O(x^{1/(k+2)-(1+\alpha)/(2k+1)}).$$

Proof. Place

$$S^*(\alpha, x) = \sum_{\substack{n \leq x \\ n \in L_{k+2}}} \frac{\sigma^*(-\alpha, n)}{n^{(1+\alpha)/t}},$$
$$S(\alpha, x) = \sum_{\substack{n \leq x/t/e \\ n \neq (1+\alpha)/t}} \frac{\sigma(-\alpha, n^e)}{n^{e(1+\alpha)/t}},$$

where e = k+2, t = 2k+1. We estimate $S(\alpha, x)$ first and then reduce the estimation of $S^*(\alpha, x)$ to that of $S(\alpha, x)$. It is convenient to use \ll in place of the O-symbol below.

Noting that $\sigma^*(-\alpha, n^e) = \sigma^*(-\alpha, n) \le \sigma(-\alpha, n)$, one obtains

$$S(\alpha, x) \leq \sum_{n \leq x^{1/o}} \frac{\sigma(-\alpha, n)}{n^{e(\alpha+1)/t}} = \sum_{n \leq x^{1/o}} \sum_{d\delta = n} d^{-\alpha} (d\delta)^{-e(\alpha+1)/t} = \sum_{d \leq x^{1/o}} d^{-\alpha - e(\alpha+1)/t} \sum_{\delta \leq x^{1/o}/d} \delta^{-e(\alpha+1)/t}.$$

Since $(k+2)(\alpha+1) < 2k+1$, it follows that

$$S(\alpha, x) \ll \sum_{d \leq x^{1/e}} d^{-\alpha - e(\alpha + 1)/t} \left(\frac{x^{1/e}}{d} \right)^{1 - e(\alpha + 1)/t} \ll x^{(1/e - (\alpha + 1)/t)} \sum_{d \leq x^{1/e}} d^{-\alpha - 1} \ll x^{(1/e - (\alpha + 1)/t)},$$

in view of the positivity of α .

We observe that every integer n of L_{k+2} has a unique representation of the form $n=pm^{k+2}$, where $p=p_1^{e_1}p_2^{e_2}...p^{e^r}, p_1, p_2, ..., p_r$ being distinct primes, $p_1 < p_2 < ... < p_r$, and $e_i > k+2$, (k+2) does not divide e_i , p_i does not divide m, for each i. Therefore,

$$S^*(\alpha, x) = \sum_{r \ge 0} \sum_{\substack{m^o p \le x \\ p, \ell m}} \frac{\sigma^*(-\alpha, pm^e)}{p^{(\alpha+1)/t} m^{e(\alpha+1)/t}}$$

where the second summation is over all ordered r-tuples of natural numbers $e_1, e_2, ..., e_r$ such that e does not divide $e_i, e_i > e$ (i = 1, ..., r) and all r-tuples of prime numbers $p_1, p_2, ..., p_r$ such that $p_1 < p_2 < ... < p_r$ (being vacuous in case r = 0). By the multiplicative nature of σ^* , $\sigma^*(-\alpha, m^e p) = \sigma^*(-\alpha, m^e)\sigma^*(-\alpha, p)$. After applying this property we drop the condition that p_i does not divide m in the third summation, getting

$$S^*(\alpha, x) \leq \sum_{r \geq 0} \sum \sigma^*(-\alpha, p) S(\alpha, x/p) / p^{(\alpha+1)/t}$$

where the second summation is over the same natural numbers as before. By the above estimate for $S(\alpha, x)$, we get, dropping the condition that e does not divide e_i but retaining the other conditions, and placing

$$\beta = \frac{1}{e} - (\alpha + 1)/t,$$

$$S^*(\alpha, x) \ll x^{\beta} \sum_{r=0}^{\infty} \sum \frac{\sigma^*(-\alpha, p)}{p^{1/e}} = x^{\beta} \sum_{\substack{n=0 \\ n \in L_{\alpha+1}}}^{\infty} \frac{\sigma^*(-\alpha, n)}{n^{1/e}} \leq x^{\beta} \sum_{\substack{n=0 \\ n \in L_{\alpha+1}}}^{\infty} \frac{\theta(n)}{n^{1/e}}.$$

It follows that it suffices to show the convergence of the series on the right. A formal computation gives

$$\sum_{\substack{n=0\\n\in I_{n+1}}}^{\infty} \frac{\theta(n)}{n^{1/e}} = \prod_{p} \left\{ 1 + \left(\frac{2}{p^{1+1/e}} \right) \left(\frac{1}{1-p^{-1/e}} \right) \right\}.$$

Observing that $(1-p^{-1/e})^{-1} \le (1-2^{-1/e})^{-1}$ for all p, it follows that the product, and hence the series, converges.

5. A divisor function. We first recall a known estimate for the Legendre totient function $\varphi(x, n)$, which denotes the number of positive integers $\leq x$ prime to n.

Lemma 4 (cf. [1]). If $0 \le \alpha < 1$, then

(5. 1)
$$\varphi(x, n) = \varphi(n)x/n + 0 \left(x^{\alpha}\sigma^{*}(-\alpha, n)\right),$$

where $\varphi(n) = \varphi(n, n)$, uniformly in both x and n.

The case $\alpha = 0$ of the following lemma is Lemma 3. 1 of [4]. The general case is proved similarly except that the 0-term of formule (3. 5) of that paper is replaced by $O(x^{\alpha-s})$ in the proof.

Lemma 5. If s>0, $s\neq 1$, $x\geq 1$, then for $1>\alpha \geq 0$,

(5.2)
$$N_s(x,r) = \sum_{\substack{n \leq x \\ (n,r)=1}} 1/n^s = \zeta(s)\varphi_s(r)/r^s - \varphi(r)/r(s-1)x^{s-1} + O(x^{\alpha-s}\sigma^*(-\alpha,r)),$$

uniformly in x and r, where $\varphi_s(r) = \sum_{d|r} \mu(d) (r/d)^s$, $\varphi_1(r) = \varphi(r)$, μ denoting the Mobius function.

Now suppose a, b, h and m to be positive integers. For positive integers n, let $\tau_{a,b}^{m,k}(n)$ denote the number of decompositions of n in the form $n=d^af^b$ where (d,m)=(f,h)=1. We now are ready to prove the main result of this section, an estimate for the summatory function $T_{a,b}^{m,h}(x)$ of $\tau_{a,b}^{m,h}(n)$.

Put c = a + b, r = a/b, s = b/a.

Theorem 3. (cf. 4, Theorem 3.1 in case m=h) If $b>a \ge 1$, $r>a \ge 0$, then for $x \ge 1$

$$T_{a,b}^{m,h}(x) = a_{m,h}x^{1/a} + b_{m,h}x^{1/b} + O(x^{(\alpha+1)/c}\varrho_{\alpha}(h,m)),$$

where $\varrho_{\alpha}(h, m) = \max (\sigma^*(-\alpha, h), \sigma^*(-\alpha, m)),$

$$a_{m,h} = \zeta(s)\varphi(m)\varphi_s(h)/mh^s, \quad b_{m,h} = \zeta(r)\varphi(h)\varphi_r(m)/hm^r.$$

Proof. We have

$$T_{a,b}^{m,h}(x) = \sum_{n \le x} \tau_{a,b}^{m,h}(n) = \sum_{d^a f^b \le x} 1$$

where in the last sum, (d, m) = (f, h) = 1.

Thus

(5.3)
$$T_{a,b}^{m,h}(x) = \sum_{d \le x^{1/c}} 1 + \sum_{f \le x^{1/c}} 1 - \sum_{d,f \le x^{1/c}} 1.$$

Since d and f in the summation cannot both simultaneously be $>x^{1/c}$. Each sum of course still has the conditions $d^a f^b \le x$, (d, m) = (f, h) = 1. Let these three sums be denoted by $\Sigma_1, \Sigma_2, \Sigma_3$, respectively.

For the first summation one obtains by Lemma 6, since $a\alpha/b < a^2/b^2 < 1$,

$$\sum_{1} = \sum_{\substack{d \leq x^{1/c} \\ (d, m) = 1}} \varphi\left(\frac{x^{1/b}}{d^{r}}, h\right) = x^{1/b} \varphi(h) N_{r}(x^{1/c}, m)/h + Ox^{(\alpha+1)/c} \sigma^{*}(-\alpha, h).$$

Application of Lemma 7, gives

(5.4)
$$\sum_{1} = x^{1/b} \zeta(r) \varphi(h) \varphi_{r}(m) / h m^{r} - \frac{b}{a-b} \frac{\varphi(h)}{h} \frac{\varphi(m)}{m} x^{2/c} + O(\varrho_{\alpha}(h,m) x^{(\alpha+1)/c}),$$

and on applying a similar argument to Σ_2 and Σ_3 ,

(5.5)
$$\sum_{2} = \zeta(s) \frac{\varphi(m)}{m} \frac{\varphi_{s}(h)}{h^{s}} x^{1/a} + \frac{a}{a-b} \frac{\varphi(m)}{m} \frac{\varphi(h)}{h} x^{2/c} + O(\varrho_{\alpha}(h, m) x^{(\alpha+1)/c}),$$

(5.6)
$$\sum_{3} = \frac{\varphi(h)}{h} \frac{\varphi(m)}{m} x^{2/c} + O(x^{(\alpha+1)/c} \varrho_{\alpha}(h, m)).$$

The theorem results on the basis of (5. 3), (5. 4), (5. 5), and (5. 6).

6. Asymptotic estimation of $L_k(x)$. We first introduce some notation and point out a few elementary facts that will be useful for the later discussion. We denote by A_k , B_k the sets of those positive integers all of whose prime divisors have multiplicity on the ranges $k+1 \le t < 2k$, and $k+2 \le t < 2k$, respectively, with $B_2 = \{1\}$. Note that $B_k \subseteq L_{k+2}$.

Remark 2. If $a \in L_k$, then a has a unique factorization $a = d^k e$ where $e \in A_k$.

Remark 3. If $e \in A_k$, then e has a unique factorization $e = g^{k+1}h$, where $g \in Q$, $h \in B_k$ and (g, h) = 1.

The following result is well known.

Remark 4. For positive integers n, $q(n) = \sum_{d^2 r = n} \mu(e)$.

The proof of our main result depends upon the following representation of $l_k(n)$.

Lemma 8.

$$l_k(n) = \sum_{d^k e^{2k+2} f^{k+1} h = n} \mu(e),$$

where the summation is over integers d, e, f and h such that $h \in B_k$ and (e, h) = (f, h) = 1.

Proof. By Remarks 2 and 3

$$l_k(n) = \sum_{\substack{d^k e = n \\ e \in A_k}} 1 = \sum_{\substack{d^k g^{k+1}h = n \\ g \in Q}} 1 = \sum_{\substack{d^k g^{k+1}h = n}} q(g),$$

the last two sums with the conditions $h \in B_k$, (g, h) = 1. The lemma results by Remark 4.

The following expansion will be needed (Cf. [4], (3.4)):

Since $\varphi_s(n) = n^s \prod_{p|n} (1 - 1/p^s)$ we have

Lemma 9. If $s \ge 1$, then $\varphi_s(n)/n^s$ is bounded; for s > 1, $\varphi_s(n)/n^s$ is bounded away from zero. In particular, for each s > 1, $\varphi_s(n)$ has the order of magnitude of n^s as $n \to \infty$.

Put r = k+1, t = 2k+1.

Theorem 4. If $x \ge 2$, then

(6.2)
$$L_k(x) = c_k x^{1/k} + c'_k x^{1/r} + O(x^{1/(k+2)}),$$

where c_k and c'_k are defined by

$$c_k = \zeta^{-1}(2r/k) \sum_{h=1}^{\infty} a_h \left(\frac{h^{t/k}}{\varphi_{2r/k}(h)} \right), \qquad c_k' = \zeta^{-1}(2) \sum_{h=1}^{\infty} b_h \left(\frac{h^{t/r}}{\varphi_2(h)} \right) \quad (h \in B_k),$$

and $a_h = a_{1,h}$, $b_h = b_{1,h}$ are defined as in Theorem 3.

Remark 5. Note that a_h and b_h are bounded.

Proof. By Lemma 8.

(6.3)
$$L_k(x) = \sum_{h \le x} \sum_{e^{2r} d^k f^r \le x/h} \mu(e)$$

with $h \in B_k$ in the first sum and (e, h) = (f, h) = 1 in the second sum. Let the inner sum of (6.3) be denoted by Σ^* , $h \le x$. Then

$$\sum^* = \sum_{\substack{e \le (x/h)^{1/2r} \\ (e,h)=1}} \mu(e) \quad T_{k,r}^{1,h}(x/he^{2r}),$$

from which, by (6.1) and by Theorem 3, (m=1, a=k, b=k+1) with $k/r > \alpha \ge 0$,

$$\sum_{\substack{e \leq (x/h)^{1/2}r \\ (e,h)=1}} \frac{\mu(e)}{e^{2r/k}} + \frac{1}{e^{2r/k}} \sum_{\substack{e \leq (x/h)^{1/2}r \\ e \leq (x/h)^{1/2}r}} \frac{\mu(e)}{\mu(e)} + O((x/h)^{(\alpha+1)/t} \sigma^*(-\alpha, h)) = \frac{1}{e^{2r/k}} \frac{h^{t/k}}{\varphi_{2r/k}(h)} + b_h x^{1/r} \zeta^{-1}(2) \left(\frac{h^{t/r}}{\varphi_{2(h)}}\right) + O(\sigma^*(-\alpha, h)(x/h)^{(\alpha+1)/t}).$$

Substituting this into (6.3) one deduces by Lemma 9, Remark 5, and the fact that $\beta_k \subseteq L_{k+2}$,

$$\begin{split} L_k(x) &= c_k x^{1/k} + O\left(x^{1/k} \sum_{\substack{h > x \\ h \in L_{k+2}}} h^{-1/k}\right) + c_k' x^{1/r} + \\ &+ O\left(x^{1/r} \sum_{\substack{h > x \\ h \in L_{k+2}}} h^{-1/r}\right) + O\left(x^{(1+\alpha)/t} \sum_{\substack{h \le x \\ h \in L_{k+2}}} \frac{\sigma^*(-\alpha, h)}{h^{(1+\alpha)/t}}\right). \end{split}$$

By Lemma 4c (with k replaced by k+2) the first two O-terms are $O(x^{1/(k+2)})$ and by Lemma 5 (restricting α further to $0 < \alpha < (k-1)/(k+2)$) the last is also $O(x^{1/(k+2)})$. This proves Theorem 4.

7. A weaker form of the main result. The argument used to prove Lemma 5 yields the following result for the case $\alpha = 0$ of the sum in (4.4):

(7.1)
$$\sum_{\substack{n \leq x \\ n \in L_{k+2}}} \frac{\theta(n)}{n^{1/(2k+1)}} = O(x^{1/(k+2)-1/(2k+1)} \log x), \qquad x \geq 2.$$

This result and case $\alpha = 0$ in Theorem 3 yield, on the basis of the argument in the preceding section, the following slightly weaker asymptotic evaluation of $L_k(x)$:

(7.2)
$$L_k(x) = c_k x^{1/k} + c'_k x^{1/(k+1)} + O(x^{1/(k+2)} \log x).$$

This is of interest, in the first place because only the regular form $(\alpha = 0)$ of Lemmas 6 and 7 are needed for the proof, and in the second place because (7. 1) can be proved independently in a much simpler way than the corresponding result in Lemma 5.

To prove (7.1) we recall that $\theta(n)$ denotes the number of square-free divisors of n. By the fundamental theorem of arithmetic, there is a one-to-one correspondence between the square-free divisors of n and the so-called unitary divisors of n (the divisors d of n such that (d, n/d) = 1); hence $\theta(n)$ is the number of unitary divisors of n. With t = 2k + 1 and e = k + 2, we have

$$\sum_{\substack{n \leq x \\ n \in L_o}} \frac{\theta(n)}{n^{1/t}} = \sum_{\substack{n \leq x \\ n \in L_o}} \frac{1}{n^{1/t}} \sum_{\substack{d\delta = n \\ (d,\delta) = 1}} 1 = \sum_{\substack{d\delta \leq x \\ d\delta \in L_o \\ (d,\delta) = 1}} \frac{1}{(d\delta)^{1/t}} = \sum_{\substack{d \leq x \\ d \in L_o \\ (d,\delta) = 1}} \frac{1}{d^{1/t}} \sum_{\substack{\delta \leq x/d \\ d \in L_o \\ (d,\delta) = 1}} \frac{1}{\delta^{1/t}},$$

by the fundamental theorem of arithmetic. We may drop the condition $(d, \delta) = 1$ provided the last equality is replaced by inequality (\leq). Lemma 4(a) is then applicable (with k replaced by k+2) and its application gives

$$\sum_{\substack{n \leq x \\ n \in L_o}} \frac{\theta(n)}{n^{1/t}} \ll \sum_{\substack{d \leq x \\ d \in L_o}} \frac{1}{d^{1/t}} \left(\frac{x}{d}\right)^{1/e-1/t} \ll x^{1/e-1/t} \sum_{\substack{d \leq x \\ d \in L_o}} \frac{1}{d^{1/e}},$$

and (7. 1) results on applying Lemma 4(b).

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