

## Some results and problems in the theory of additive functions

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1. A function  $f(n)$  of a positive integer is said to be restrictedly additive (or, simply, additive) if  $(n_1, n_2) = 1$  implies  $f(n_1 n_2) = f(n_1) + f(n_2)$ . If this equation is satisfied for any pair of integers  $n_1, n_2$ , then we say that  $f(n)$  is completely (or totally) additive.

P. ERDŐS [1] has proved the following two assertions.

(A) *If  $f(n)$  is restrictedly additive and monotonic then it is a constant multiple of  $\log n$ .*

(B) *If  $f(n)$  is restrictedly additive and  $f(n+1) - f(n) \rightarrow 0$  ( $n \rightarrow \infty$ ) then it is a constant multiple of  $\log n$ .*

New proofs of these assertions have been given by several authors (for the references see for example [2]). Using the ideas of BESICOVITCH to the proof of (B) (see his paper [2]) the author proved in [3] the following assertion (C), which contains (A) and (B) as special cases and which was previously stated without proof by P. ERDŐS in [5]. This assertion was proved by A. MÁTÉ [4], too.

(C) *If  $f(n)$  is restrictedly additive and*

$$\liminf_{n \rightarrow \infty} (f(n+1) - f(n)) \cong 0$$

*then it is a constant multiple of  $\log n$ .*

Later the author proved in [6] the following generalization of (C).

(D) *If  $f(n)$  is restrictedly additive and  $\liminf \Delta^k f(n) \cong 0$  for some integer  $k \cong 1$  where  $\Delta^k f(n)$  denotes the  $k$ th difference of  $f(n)$ , then  $f(n)$  is a constant multiple of  $\log n$ .*

The following assertion, which was proved in [7], is a generalization of (A).

(E) *If  $f(n)$  and  $g(n)$  are restrictedly additive functions and the function  $h(n) = \max(f(n), g(n))$  is increasing, then the following assertions hold:*

1)  $h(n) = c \log n + r(n)$  and  $r(n) \rightarrow 0$  as  $n \rightarrow \infty$ . Furthermore  $r(n) = 0$ , when all prime divisors of  $n$  are greater than a certain constant.

2) If  $f(n) \cong g(n)$  for almost every  $n$ , then

$$f(n) = c \log n \quad \text{and} \quad g(n) = c \log n + \varepsilon(n),$$

where  $\varepsilon(p^\alpha) \leq 0$  for sufficiently large prime numbers  $p$ .

Let  $S = \{p_1, p_2, \dots\}$  be the set of irregular primes  $p_i$  such that  $\varepsilon(p_i^{\alpha_i}) > 0$  for some  $\alpha_i$ . If  $S$  contains at least two elements then  $\varepsilon(p_i^\beta) \leq 0$  for every  $p_i \in S$  and for  $\beta$  sufficiently large.

3) If the set of  $n$ 's satisfying the condition  $f(n) \cong g(n)$  has positive lower density, smaller than one, then  $h(n) = c \log n$  ( $n = 1, 2, \dots$ ). Furthermore  $f(p^\alpha) = g(p^\alpha) = c \log p^\alpha$  ( $\alpha = 1, 2, \dots$ ), with the exception of at most one prime.

2. In this paper we deal with similar questions.

Let  $p, p_1, \dots, q, q_1, \dots$  denote prime numbers.

We say that the subset  $P$  of prime numbers is the *support* of the additive function  $l(n)$ , if  $l(p^\alpha) = 0$  for  $\alpha = 1, 2, \dots$ , when  $p \notin P$ , and  $l(p^\alpha) \neq 0$  for at least one  $\alpha$ , when  $p \in P$ . We say that  $l(n)$  is a function of *finite support* if  $P$  contains finitely many elements only.

Let  $K$  be a fixed natural number. Let  $f(n)$  and  $g(n)$  be restrictedly additive functions satisfying the condition

$$(2.1) \quad g(n+K) - f(n) \rightarrow 0 \quad (n \rightarrow \infty).$$

We prove the following

**Theorem 1.** *Under the assumption (2.1) we have*

$$(2.2) \quad f(n) = c \log n + l_1(n),$$

$$(2.3) \quad g(n) = c \log n + l_2(n),$$

where  $l_1(n), l_2(n)$  are functions of finite support. Their support can contain only the prime divisors of  $K$ .

Furthermore, if  $2^\alpha \parallel K$ , then

$$(2.4) \quad \begin{cases} l_1(2^\beta) = l_2(2^\beta) & (\beta = 1, \dots, \alpha-1); \\ l_1(2^j) = l_2(2^{\alpha+j}), \quad l_2(2^j) = l_1(2^{\alpha+j}) & (j = 1, 2, \dots), \end{cases}$$

and if  $p^\alpha \parallel K$  and  $p \geq 3$ , then

$$(2.5) \quad \begin{cases} l_1(p^\beta) = l_2(p^\beta) & (\beta = 1, \dots, \alpha-1); \\ l_1(p^j) = l_2(p^j) = l_1(p^{\alpha+j}) = l_2(p^{\alpha+j}) & (j = 1, 2, \dots). \end{cases}$$

From (2.4) and (2.5) it follows immediately, that  $l_2(n+K) = l_1(n)$  for  $n \geq 1$ . Conversely, if  $f(n)$  and  $g(n)$  satisfy the conditions stated in (2.2)–(2.5), then (2.1) holds.

Proof. Let  $H(n) = f(n) - g(n)$ . First we deduce from (2.1) that  $H(n) = 0$  for all  $n$  coprime to  $K$ . We distinguish the cases of  $K$  being even or odd.

a) Let  $2^\alpha \parallel K$ ,  $\alpha \geq 1$ . From (2.1) it follows that  $g(2n+2K) - f(2n) \rightarrow H(2)$  as  $n$  tends to infinity over odd  $n$ 's. By (2.1),

$$g(2n+2K) = f(2n+K) + o(1), \quad f(2n) = g(2n+K) + o(1),$$

and thus  $-H(2n+K) \rightarrow H(2)$  as  $n \rightarrow \infty$ ,  $2 \nmid n$ , i.e.

$$H(4k+K+2) \rightarrow -H(2) \quad (k \rightarrow \infty).$$

According to the cases:  $K+2 \equiv 0 \pmod{4}$ , and  $K+2 \equiv 2 \pmod{4}$  we have

$$(2.6)_1 \quad H(4k) \rightarrow -H(2) \quad (k \rightarrow \infty),$$

$$(2.6)_2 \quad H(2k+1) \rightarrow -2H(2) \quad (k \rightarrow \infty).$$

Let  $m$  be an arbitrary odd integer and  $n$  an infinite sequence of odd integers coprime to  $K$ . From (2.6)<sub>1</sub> we have

$$-H(2) = \lim_{n \rightarrow \infty} H(4mn) = H(m) + \lim_{n \rightarrow \infty} H(4n) = H(m) - H(2).$$

Similarly, from (2.6)<sub>2</sub>

$$-2H(2) = \lim_{n \rightarrow \infty} H(mn) = H(m) + \lim_{n \rightarrow \infty} H(n) = H(m) - 2H(2).$$

Hence  $H(m) = 0$ .

b) Let now  $K$  be odd. We distinguish the subcases: 1)  $K \equiv 1 \pmod{4}$  and 2)  $K \equiv -1 \pmod{4}$ . In the case 1) let  $n \equiv 1 \pmod{4}$ , and in the case 2) let  $n \equiv -1 \pmod{4}$ . Using similar arguments as in a) we have

$$H(2n+K) \rightarrow -g(4) + g(2) + f(2) = C,$$

i.e.  $H(8k+l) \rightarrow C$  as  $k \rightarrow \infty$  for at least one  $l$  among 1, 3, 5, 7. Hence it follows that  $H(m) = 0$  for every  $m$  in the residue class  $\equiv 1 \pmod{8}$ . Indeed, if  $m \equiv 1 \pmod{8}$ , then choosing an infinite sequence  $n_j, \equiv 1 \pmod{8}$ , such that  $(n_j, K) = 1$ , then  $n_j m \equiv 1 \pmod{8}$  and

$$C = \lim_{mn_j \rightarrow \infty} H(mn_j) = H(m) + \lim_{n_j \rightarrow \infty} H(n_j) = H(m) + C.$$

Using the additivity of  $H(n)$  we obtain that  $C = 0$ .

Let now  $m_1, m_2$  be coprime integers,  $m_1 m_2 \equiv 1 \pmod{8}$ . Then  $H(m_1) = -H(m_2)$ . Hence it follows that  $H(m)$  is constant in every reduced residue class mod 8. But this is possible only if  $H(m) = 0$  for every odd  $m$ .

Now we prove that  $H(2^\alpha) = 0$  for  $\alpha = 1, 2, \dots$ . Let  $n$  be an integer such that  $(n(n+K), 3) = 1$ . Then using (2.1) and that  $H(3) = 0$  we have

$$o(1) = g(n+K) - f(n) = g(3n+3K) - f(3n) = [g(3n+3K) - f(3n+2K)] + \\ + [f(3n+2K) - f(3n+K)] + [f(3n+K) - f(3n)] = o(1) - H(3n+2K) - H(3n+K)$$

i.e.

$$H(3n+K) + H(3n+2K) \rightarrow 0.$$

Since  $(n(n+K), 3) = 1$  and  $2^\beta \parallel 3n+K$  hold for infinitely many  $n$ , we have  $H(2^\beta) = 0$ . Consequently,  $H(n) = 0$  for every  $n$  coprime to  $K$ .

We need the following

Lemma 1. *If*

$$(2.7) \quad f(n+K) - f(n) \rightarrow 0$$

as  $n \rightarrow \infty$  over the  $n$ 's coprime to  $K$ , then  $f(n) = c \log n$  holds whenever  $(n, K) = 1$ .

Proof. Firstly we deduce that  $f(n)$  is totally additive in the set  $(n, K) = 1$ , i.e. that

$$(2.8) \quad f(nm) = f(n) + f(m),$$

whenever  $(nm, K) = 1$ .

For this purpose let  $p$  be a prime or a prime power,  $p \nmid K$ , and let  $v$  be a large integer. Let  $\varepsilon > 0$  and  $l$  be so large, that

$$(2.9) \quad |f(n+K) - f(n)| < \varepsilon \quad \text{if } n \equiv p^l.$$

Then

$$f(p^v) = f(p^v + Kp) + \theta_1 \varepsilon p = f(p) + f(p^{v-1} + K) + \theta_1 \varepsilon p = \\ = f(p) + f(p^{v-1} + Kp) + \theta_2 \varepsilon p = \dots = (v-l+1)f(p^{l-1} + K) + v\theta_{v-l} \varepsilon p \\ (|\theta_1| \leq 1, \dots, |\theta_{v-l}| \leq 1).$$

Hence it follows immediately that

$$\lim_{v \rightarrow \infty} \frac{f(p^v)}{v} = f(p), \quad \text{i. e.} \quad \lim_{v \rightarrow \infty} \frac{f(p^v)}{\log p^v} = \frac{f(p)}{\log p}.$$

Applying this relation for  $p = q^\mu$  and for  $p = q$  we have

$$\frac{f(q^\mu)}{\log q^\mu} = \lim_{v \rightarrow \infty} \frac{f(q^{\mu v})}{\log q^{\mu v}} = \lim_{v \rightarrow \infty} \frac{f(q^v)}{\log q^v} = \frac{f(q)}{\log q},$$

hence  $f(q^\mu) = \mu f(q)$  follows. Consequently (2.8) holds.

Let now  $p$  be a prime. We take  $N$  large,  $(N, K) = 1$ , and write it in the form

$$N = a_0 p^v + a_1 p^{v-1} + \dots + a_v, \quad 0 \leq a_j < p \quad (j = 0, \dots, v), \quad a_0 \geq 1.$$

Using the inequality (2. 9) we have

$$\begin{aligned} f(kN) &= f(Ka_0p^v + \dots + Ka_v) = f(Ka_0p^v + \dots + Ka_{v-1}p) + \theta_1 \varepsilon a_v = \\ &= f(p) + f(Ka_0p^{v-1} + \dots + Ka_{v-1}) + \theta_2 \varepsilon p = \dots = \\ &= (v-l+1)f(p) + f(Ka_0p^{l-1} + \dots + Ka_{l-1}) + \theta_{v-l} \varepsilon p \quad (|\theta_1| \leq 1, \dots, |\theta_{v-l}| \leq 1). \end{aligned}$$

Writing

$$M = \max_{m \leq Kp^l} |f(m)|$$

we have

$$f(N) = (v-l+1)f(p) - f(K) + \theta M + \theta \varepsilon v p \quad (|\theta| \leq 1).$$

Observing that  $p^v \leq N < p^{v+1}$  we get

$$\lim_{N \rightarrow \infty} \frac{v}{\log N} = \frac{1}{\log p}.$$

Hence

$$\lim_{\substack{N \rightarrow \infty \\ (N, K) = 1}} \frac{f(N)}{\log N} = \frac{f(p)}{\log p}.$$

Let now  $N, M$  be arbitrary integers such that  $(N, K) = (M, K) = 1$ . Since

$$\frac{f(N)}{\log N} = \lim_{k \rightarrow \infty} \frac{f(N^k)}{\log N^k} = \lim_{k \rightarrow \infty} \frac{f(M^k)}{\log M^k} = \frac{f(M)}{\log M},$$

$f(N)/\log N$  is constant if  $(N, K) = 1$ . This finishes the proof of Lemma 1.

By this we proved that under the condition (2. 1) the functions  $f(n)$  and  $g(n)$  have the form (2. 2), (2. 3).

Since  $c \log(n+K) - c \log n \rightarrow 0$ , we have  $l_2(n+K) - l_1(n) \rightarrow 0$  ( $n \rightarrow \infty$ ). Hence we deduce the relations (2. 4), (2. 5).

Let  $2^\alpha \parallel K, \beta \leq \alpha - 1$ . Since there exist infinitely many  $n$  satisfying the conditions  $n = 2^\beta m, (m, K) = 1, (n+K, K) = 2^\beta$ , we have  $l_2(n+K) = l_2(2^\beta), l_1(n) = l_1(2^\beta)$ . Consequently  $l_1(2^\beta) = l_2(2^\beta)$ . Choosing  $n$  such that  $2^{\alpha+j} \parallel n$  ( $j \geq 1$ ) and  $(n, 2^{-\alpha}K) = 1$ , we have  $2^\alpha \parallel n+K$  and  $(n+K, 2^{-\alpha}K) = 1$ . Hence  $l_2(2^\alpha) = l_1(2^{\alpha+j})$  follows. Let  $2^{\alpha+j} \parallel n, (n, 2^{-\alpha}K) = 1$ . Then  $2^\alpha \parallel n+K$  and  $(n+K, 2^{-\alpha}K) = 1$ . Consequently  $l_1(n) = l_1(2^{\alpha+j}), l_2(n+K) = l_2(2^\alpha)$ . Hence we obtain that  $l_1(2^\alpha) = l_2(2^{\alpha+j})$  ( $j \geq 1$ ). This completes the proof of (2. 4).

The proof of (2. 5) is similar and can be omitted.

From (2. 4) and (2. 5) it follows immediately, that  $l_2(n+K) = l_1(n)$  for  $n = 1, 2, \dots$ . Consequently the relations (2. 2)—(2. 5) are sufficient to guarantee the fulfilment of (2. 1).

Remarks. 1) It would be interesting to prove the more general assertion: If  $f_i(n)$  ( $i=0, \dots, k$ ) are additive functions satisfying the condition

$$\sum_{i=0}^k f_i(n+i) \rightarrow 0 \quad (n \rightarrow \infty),$$

then

$$f_i(n) = c_i \log n + l_i(n) \quad (i=0, \dots, k),$$

where  $l_i(n)$  have finite support. I am unable to prove this for  $k \geq 2$ .

2) It seems probable that the following generalization of the conjecture of P. ERDŐS holds: If  $f(n)$  and  $g(n)$  are additive functions such that  $g(n+1) - f(n)$  is bounded, then  $g(n) = c \log n + v(n)$ ,  $f(n) = c \log n + u(n)$ , and  $u(n)$ ,  $v(n)$  are bounded.

3. Now we investigate the class of additive functions satisfying

$$(3.1) \quad f(2n+1) - f(n) \rightarrow C \quad (C \text{ is a constant}).$$

Theorem 2. If  $f(n)$  is a completely additive function satisfying (3.1), then  $f(n) = c \log n$ ,  $c = C/\log 2$ .

Proof. Without loss of generality we may suppose  $C=0$ . Then we need to show that  $f(n)=0$  identically.

Let  $N$  be a large integer, which we represent in the dyadical form:

$$(3.2) \quad N = 2^{v_1} + 2^{v_2} + \dots + 2^{v_k} \quad (v_1 > v_2 > \dots > v_k).$$

Let  $\alpha(N)$  denote the length of this representation, i.e.  $\alpha(N) = k$ .

Using (3.1) with  $C=0$  and the total additivity of  $f(n)$  we have

$$(3.3) \quad f(2n+1) - f(2n) \rightarrow -f(2) \quad (n \rightarrow \infty).$$

Hence we get

$$\begin{aligned} f(N) &= f(2^{v_k}) + f(2^{v_1 - v_k} + \dots + 2^{v_{k-1} - v_k} + 1) = \\ &= v_k f(2) - f(2) + f(2^{v_1 - v_k} + \dots + 2^{v_{k-1} - v_k}) + o(1). \end{aligned}$$

Repeating this process we obtain that

$$(3.4) \quad f(N) = v_1 f(2) - k f(2) + o(1)k \quad (N \rightarrow \infty).$$

Since  $2^{v_1} \leq N < 2^{v_1+1}$ , we have  $\frac{v_1 \log 2}{\log N} \rightarrow 1$ . Consequently, from (3.4),

$$(3.5) \quad \frac{f(N)}{\log N} = \frac{f(2)}{\log 2} - f(2) \log 2 \cdot \frac{\alpha(N)}{\log N} + o(1).$$

Now we prove that  $f(2)=0$ . For this let  $N_l = 2 + 2^3 + \dots + 2^{2l+1}$ . Then

$3N_l = 2 + 2^2 + \dots + 2^{2l+2}$ . Hence we obtain that  $\alpha(3N_l) = 2\alpha(N_l)$ ,  $\alpha(N_l) = (1 + o(1)) \frac{\log N_l}{2 \log 2}$ . By (3.5) we have

$$\begin{aligned} f(3) &= f(3N_l) - f(N_l) = -f(2) \log 2 [\alpha(3N_l) - \alpha(N_l)] + o(\log N_l) = \\ &= -f(2) \log 2 \cdot \alpha(N_l) + o(\log N_l) = -\frac{f(2)}{2} (1 + o(1)) \log N_l. \end{aligned}$$

Hence it follows immediately that  $f(2) = 0$ .

Thus from (3.5),

$$(3.6) \quad \lim_{N \rightarrow \infty} \frac{f(N)}{\log N} = 0.$$

Using (3.6) and the total additivity of  $f(n)$  we have

$$\frac{f(N)}{\log N} = \lim_{k \rightarrow \infty} \frac{f(N^k)}{\log N^k} = 0,$$

and hence  $f(N) = 0$ . This completes the proof of Theorem 2.

Remarks.

1) I am unable to prove Theorem 2 for restrictedly additive functions  $f(n)$ .

2) Similarly, I cannot decide whether from  $g(2n+1) - f(n) \rightarrow C$  it follows or not that  $f(n)$  and  $g(n)$  are constant multiples of  $\log n$ .

3) It would be interesting to give all the solutions of the relation

$$f(An+B) - f(an+b) \rightarrow C \quad (n \rightarrow \infty)$$

in additive functions  $f(n)$ , for arbitrary integers  $A, B, a, b$ .

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