

On the sum $\sum dd(f(n))$

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1.

Let $f(n)$ denote an irreducible polynomial with integer coefficients. We assume that $f(n) > 0$ for $n \geq 1$. Suppose further that $f(n) \not\equiv cn$. Let $d(n)$ denote the number of divisors of n , and $dd(n)$ the number of divisors of $d(n)$. The letters $p, q, p_1, p_2, \dots, q_1, q_2, \dots$ stand for prime numbers. For the sake of brevity we write $x_1 = \log x$, $x_2 = \log x_1, \dots$. We shall prove the following results.

Theorem 1. *If the degree of $f(n)$ is ≤ 3 , then*

$$(1.1) \quad \sum_{n \leq x} dd(f(n)) = cx x_2 + O(x \sqrt{x_2}),$$

where c is a positive constant.

Theorem 2. *If the degree of $f(n)$ is ≤ 2 , then*

$$(1.2) \quad \sum_{p \leq x} dd(f(p)) = c' \operatorname{li} x \cdot x_2 + O(\operatorname{li} x \cdot \sqrt{x_2 x_3}),$$

where c' is a positive constant.

Remarks. It seems probable that the relations (1.1)—(1.2) hold without any restriction on the degree of $f(n)$. For the proof of (1.1) in the case $r=3$ we use a result of C. HOOLEY concerning the power-free values of polynomials [1]. (This question previously was investigated by P. ERDŐS in [2].) For the proof of (1.2) we use some well-known theorems on the distribution of prime numbers in arithmetical progressions.

2. Notation

The function $U(n)$ is the number of distinct prime factors of n . (a, b) is the highest common factor of a and b . $\varrho(n)$ denotes the number of (incongruent) roots

of the congruence $f(v) \equiv 0 \pmod{n}$, and $\lambda(n)$ the number of those roots for which $(v, n) = 1$. The letter m denotes square-free numbers.

We shall say that K is a "square-full" number if it contains every prime-divisors at least on the second power. Let \mathcal{U} denote the set of the square-full numbers. It is evident, that every integer n can be represented in the form $n = Km$, where $K \in \mathcal{U}$, $(m, K) = 1$. This representation is unique. We say that K is the square-full part and m is the square-free part of n . Let \mathcal{B}_K denote the set of n 's, square-full part of which is K .

Let $\mu(n)$ denote the Möbius-function.

For $K \in \mathcal{U}$ we introduce the notation:

$$(2.1) \quad k = d(K), \quad k = 2^2 k_1 (k_1 \text{ is odd}), \quad k_2 = d(k), \quad k_3 = d(k_1);$$

$$(2.2) \quad a(K) = k_2 - U(K)k_3.$$

Thus for $f(n) \in \mathcal{B}_K$ we have

$$(2.3) \quad ddf(n) = k_3 U(f(n)) + a(K).$$

Let $B_K(x)$ (resp. $\bar{B}_K(x)$) the number of n 's (resp. p 's) in the interval $[1, x]$ for which $f(n)$ (resp. $f(p)$) belongs to \mathcal{B}_K . Let $C_l(x, \eta)$ (resp. $\bar{C}_l(x, \eta)$) the number of n 's (resp. p 's) in the interval $[1, x]$ for which $f(n) \equiv 0 \pmod{l}$ but $f(n) \not\equiv 0 \pmod{q^2}$ (resp. $f(p) \equiv 0 \pmod{l}$ but $f(p) \not\equiv 0 \pmod{q^2}$), when $1 \leq q \leq \xi$ and $q \nmid l$. Let $C_l(x) = C_l(x, \infty)$, $\bar{C}_l(x) = \bar{C}_l(x, \infty)$.

The following relations obviously hold:

$$(2.4) \quad B_K(x) = \sum_{\nu|K} \mu(\nu) C_{K\nu}(x),$$

$$(2.5) \quad \bar{B}_K(x) = \sum_{\nu|K} \mu(\nu) \bar{C}_{K\nu}(x).$$

$\varepsilon_1, \varepsilon_2, \varepsilon_3$ denote sufficiently small positive constants. We use the symbol \ll in VINOGRADOV'S sense.

3. Lemmas

Lemma 1. [3] *The following relations hold: a) $\varrho(ab) = \varrho(a)\varrho(b)$, if $(a, b) = 1$; b) $\varrho(p^\alpha) \ll \alpha$; c) $\varrho(p^\alpha) = \varrho(p)$, if $p \nmid D$ (D denotes the discriminant of $f(n)$). Further $\varrho(p^\alpha) = \lambda(p^\alpha)$, when p is sufficiently large.*

We shall use the following result of P. TURÁN.

Lemma 2. [4]

$$\sum_{n \leq x} (U(f(n)) - x_2)^2 \ll xx_2.$$

Combining the method of TURÁN with the Rodosky—Tatuzawa theorems, we can prove the following

Lemma 3.

$$(3.1) \quad \sum_{p \leq x} (U(f(p)) - x_2)^2 \ll \frac{x}{x_1} x_2 \log x_2.$$

Using additionally the result of BOMBIERI in the theory of large sieve [6], we could prove that the left hand side of (3.1) has the order $xx_1^{-1}x_2$.

Lemma 4. ([8])

$$\sum_{n \leq x} [d(f(n))]^\alpha \ll x \cdot x_1^{c(\alpha)} \quad \text{if } \alpha \geq 1.$$

$c(\alpha)$ is a suitable constant which depends only on α and f .

Corollary.

$$\sum_{\substack{n \leq x \\ U(f(n)) > \beta x_2}} d(f(n)) \ll \frac{x}{x_1^2}, \quad \text{if } \beta \text{ is large enough.}$$

Let $N(x, y)$ denote the number of those n 's in $1 \leq n \leq x$, for which $p^2 | f(n)$ with some $p > y$.

C. HOOLEY proved

Lemma 5. ([1])

$$N(x, x_1) \ll x \cdot x_1^{-A/x_3} \quad (A > 0, \text{ suitable constant}).$$

Lemma 6. Let $b_n \ll n_d^e$ be a sequence of positive numbers. Then

$$\sum_{K > y} \frac{b_K}{K} \ll y^{-\frac{1}{2} + \epsilon} \quad \text{for } y \rightarrow \infty.$$

The proof is simple and so can be omitted.

Applying the sieve method, we can prove the following

Lemma 7.

$$C_h(x, x) = x \frac{\varrho(h)}{h} \prod_{p+h} \left(1 - \frac{\varrho(p^2)}{p^2} \right) + O(xx_1^{-1})$$

uniformly for $1 \leq h \leq x_1^2$.

Lemma 8. Let $f(n)$ be an irreducible polynomial of degree 2. Then for fixed h the number of the solutions of $f(n) = hs^2$ ($1 \leq n \leq x$, n, s integers) is at most $O(x_1)$ uniformly in h .

For the proof see [7], Lemma 2.

Lemma 9.

$$\bar{C}_h(x, x^{1/2}) = \text{li } x \cdot \frac{\lambda(h)}{h} \prod_{p+h} \left(1 - \frac{\lambda(p^2)}{p^2}\right) + O(xx_1^{-2}),$$

uniformly in $1 \leq h \leq x_1$.

The proof goes with the standard application of the sieve method using in addition the prime number theorems in the form:

$$(3.2) \quad \pi(x, k, l) = \frac{\text{li } x}{\varphi(k)} (1 + O(x_1^{-2})),$$

uniformly for $1 \leq k \leq x_1^3$, $(k, l) = 1$ (see [5], and the Brun—Titchmarsh inequality stating that

$$(3.3) \quad \pi(x, k, l) < C_\delta \frac{\text{li } x}{\varphi(k)}, \quad \text{for } k < x^{1-\delta} \quad (\delta > 0) \text{ ([5]).}$$

4. The proof of Theorem 1

$$\sum_K = \sum_{\substack{n \leq x \\ f(n) \in B_K}} ddf(n); \quad \sum_{K,A} = \sum_{\substack{n \leq x \\ f(n) \in B_K}} U(f(n)).$$

Using (2.3) we have

$$\sum_K = k_3 \sum_{K,A} + a(K) B_K(x).$$

Let $\xi = x_1^\delta$, and let δ be a sufficiently small positive constant. First we prove that

$$(4.1) \quad \sum_{K > \xi} \sum_K \ll x.$$

Applying the Corollary to Lemma 4, it is enough to prove that

$$\sum_{K > \xi} (x_2 k_3 + k_2) B_K(x) \ll x.$$

Since $B_K(x) \ll \frac{x \varrho(K)}{K} + \varrho(K)$, by Lemma 6 we obtain

$$\sum_{\xi \leq K \leq x} (k_3 x_2 + k_2) B_K(x) \ll x x_2 \sum_{\xi \leq K \leq x} \frac{k_3 \varrho(K)}{K} + x \sum_{K \leq \xi} \frac{k_2 \varrho(K)}{K} \ll x x_2 \xi^{-1/3} \ll x.$$

Let now $K > x$. $K = p_1^{a_1} \cdots p_r^{a_r}$, $p_1 < p_2 < \cdots < p_j \leq x^{1/4} < p_{j+1} < \cdots < p_r$. Let $K = K_1 K_2$, $K_1 = p_1^{a_1} \cdots p_j^{a_j}$.

Let

$$\sum_{K > x} (x_2 k_3 + k_2) B_K(x) = \sum_a + \sum_b + \sum_c$$

where in the sums \sum_a , \sum_b , \sum_c we sum over those K for which: a) $K_1 \leq \xi$; b) $\xi < K_1 \leq x$; c) $K_1 > x$ holds, respectively.

Since for $K_1 \leq \xi$ the inequality

$$(k_3 \leq) k_2 \leq dd(K) \ll dd(K_1) \ll [d(K_1)]^e \ll \exp\left(2\varepsilon \frac{\log \xi}{\log \log \xi}\right) \ll \exp\left(\varepsilon_1 \frac{x_2}{x_3}\right)$$

holds, by Lemma 5 we have

$$\sum_a \ll x_2 \exp\left(\varepsilon_1 \frac{x_2}{x_3}\right) N(x, x^{1/4}) \ll xx_2 \exp\left(-\frac{A}{2} \frac{x_2}{x_3}\right) \ll x.$$

For \sum_b we have

$$\sum_b \ll \sum_{\xi \leq K_1 \leq x} (k_3 x_2 + k_2) C_{K_1}(x) \ll xx_2 \sum_{\xi < K_1 < x} \frac{d(K_1) \varrho(K_1)}{K} \ll xx_2 \xi^{-1/3} \ll x.$$

For the estimation of \sum_c let K_3 denote the maximal square-full divisor of K_1 in the interval $x^{1/4} \leq K_3 \leq x$. (K_3 exists since the greatest prime factor of K_1 is $\leq x^{1/4}$.) Consequently, we have

$$\sum_c \ll x^{1+\varepsilon} \sum_{x^{1/4} < K_3 \leq x} \frac{\varrho(K)}{K} \ll x.$$

So (4. 1) holds.

Since

$$\sum_{K \leq \xi} a(K) B_K(x) \ll x \sum_{K \leq \xi} \frac{K^e \varrho(K)}{K} \ll x,$$

for the proof of (1. 1) it is enough to prove that

$$\sum_{K \leq \xi} k_3 \sum_{K, A} = cxx_2 + O(x\sqrt{x_2}).$$

By the Cauchy—Schwarz inequality we have

$$\begin{aligned} T &= \sum_{K \leq \xi} k_3 \{ \sum_{K, A} - x_2 B_K(x) \} \ll \sum_{K \leq \xi} \sum_{f(n) \in \mathcal{B}_K} k_3 |U(f(n)) - x_2| \ll \\ &\ll \left(\sum_{K \leq \xi} k_3^{1/2} B_K(x) \right)^{1/2} \left(\sum_{n \leq x} |U(f(n)) - x_2|^2 \right)^{1/2} = \sum_1^{1/2} \cdot \sum_2^{1/2}. \end{aligned}$$

Since

$$\sum_1 \ll x \sum_{K \leq \xi} k_3^{1/2} \frac{\varrho(K)}{K} \ll x$$

and by Lemma 2 $\sum_2 \ll xx_2$, we have $T \ll xx_2^{1/2}$.

Now we prove that

$$(4. 2) \quad \sum_{K \leq \xi} k_3 B_K(x) = cx + O\left(x \exp\left(-\frac{A}{2} \frac{x_2}{x_3}\right)\right),$$

hence Theorem 1 follows.

Applying (2. 4) we have

$$\begin{aligned} \sum_{K \leq \xi} k_3 B_K(x) &= \sum_{K \leq \xi} k_3 \sum_{v|K} \mu(v) C_{Kv}(x) = \sum_{K \leq \xi} k_3 \sum_{v|K} \mu(v) C_{Kv}(x, x) + \\ &+ O\left(\sum_{K \leq \xi} k_3 \sum_{v|K} |\mu(\delta)| |C_{Kv}(x, x) - C_{Kv}(x)|\right) = \sum_3 + O(\sum_4). \end{aligned}$$

Since in the sum \sum_4 the relations $d(K) \ll \exp\left(3\delta \frac{x_2}{x_3}\right)$, $k_3 \cong d^e(K)$ hold, by Lemma 5

$$\sum_4 \ll \exp(4\delta x_2/x_3) N(x, x) \ll x x_1^{-A/2x_3},$$

if δ is small enough.

Further by Lemma 7

$$\sum_3 = cx + O(xx_1^{-1}\xi^2) = cx + O(xx_1^{-A/2x_3}),$$

where

$$c = \sum_K \frac{k_3}{K} \left\{ \sum_{v|K} \mu(v) \frac{\varrho(Kv)}{v} \right\} \prod_{p+K} \left(1 - \frac{\varrho(p^2)}{p^2} \right).$$

5. The proof of Theorem 2

Let

$$S_K = \sum_{\substack{p \leq x \\ f(p) \in B_K}} ddf(p); \quad S_{K,A} = \sum_{\substack{p \leq x \\ f(p) \in B_K}} U(f(p)).$$

By (2.3)

$$S_K = k_3 S_{K,A} + a(K) \bar{B}_K(x).$$

Using the Corollary to Lemma 4, we have

$$\sum_{\substack{K > \xi \\ K \leq x}} S_K \ll \sum_{\substack{K > \xi \\ K \leq x}} (k_3 x_2 + k_2) \bar{B}_K(x) + O(x/x_1^2) = \sum + O\left(\frac{x}{x_1^2}\right).$$

Let

$$\sum = \sum_1 + \sum_2 + \sum_3 + \sum_4,$$

where in \sum_1 : $\xi \leq K \leq x^{3/4}$, in \sum_2 : $x^{3/4} < K \leq x$, in \sum_3 : $x \leq K \leq x^{7/4}$, and in \sum_4 : $K \geq x^{7/4}$.

For $K \leq x^{3/4}$ we have by (3.3) that

$$\bar{B}_K(x) \ll \frac{\lambda(K)}{\varphi(K)} \text{li } x.$$

Consequently

$$\sum_1 \ll \text{li } x \sum_{K \leq \xi} \frac{k_3 x_2 + k_2}{\varphi(K)} \ll x_2 \text{li } x \cdot \xi^{-1/3} \ll \text{li } x.$$

For $x^{3/4} < K \leq x$ we use the trivial estimation

$$\bar{B}_K(x) \leq B_K(x) \ll x \frac{\varrho(K)}{K},$$

$$\sum_2 \ll x^{1+\varepsilon} \sum_{K \geq x^{3/4}} \frac{\varrho(K)}{K} \ll \text{li } x.$$

Since for $K \geq x$

$$B_K(x) \ll \varrho(K) \ll x^\varepsilon,$$

and the number of the square-full number in the interval $[1, x^{7/4}]$ is majorized by $x^{7/8+\epsilon}$, so

$$\sum_3 \ll \text{li } x.$$

Finally, let $K \cong x^{7/4}$. Let L^2 denote the greatest square divisor of K . Since K is a square-full number, so $L^2 \cong K^{2/3} (\cong x^{7/6})$.

It is obvious, that

$$\sum_4 \ll x^\epsilon \sum_{\substack{K \cong x^{7/4} \\ f(n) \equiv O(\text{mod } K) \\ n \leq x}} 1 \ll x^\epsilon \sum_{L^2 \cong x^{7/6}} \sum_{\substack{f(n) \equiv hL^2 \\ n \leq x}} 1.$$

Since the degree of $f(n)$ is 2, so $h \ll x^{5/6}$. Changing the order of summation and applying Lemma 8, we have

$$\sum_4 \ll x^\epsilon \sum_{h \leq cx^{5/6}} \sum_{\substack{f(n) \equiv hL^2 \\ n \leq x}} 1 \ll \text{li } x.$$

Consequently

$$\sum_K S_K = \sum_{K \leq \xi} S_K + O(\text{li } x).$$

Taking into account that

$$\sum_{K \leq \xi} |a(K)| \bar{B}_K(x) \ll \text{li } x \sum_{K \leq \xi} \frac{|a(K)|}{\varphi(K)} \ll \text{li } x,$$

we have

$$\sum_K S_K = \sum_{K \leq \xi} k_3 S_{K,A} + O(\text{li } x).$$

By Lemma 3 we obtain that

$$\begin{aligned} \left| \sum_{K \leq \xi} k_3 S_{K,A} - x_2 \sum_{K \leq \xi} k_3 \bar{B}_K(x) \right| &\ll \left(\sum_{K \leq \xi} k_3^2 \bar{B}_K(x) \right)^{1/2} \left(\sum_{p \leq x} (U(f(p)) - x_2)^2 \right)^{1/2} \ll \\ &\ll (\text{li } x)^{1/2} (\text{li } x \cdot x_2 \cdot x_3)^{1/2} \ll \text{li } x \cdot \sqrt{x_2 x_3}. \end{aligned}$$

Consequently for the proof of Theorem 2 it is enough to prove that

$$(5.1) \quad \sum_{K \leq \xi} k_3 \bar{B}_K(x) = d \text{li } x \cdot x_2 + O(\text{li } x \cdot \sqrt{x_2 x_3}).$$

The proof of (5.1) is very similar to that of (4.2) and so it can be omitted.

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