

1 **Title**

2 Bilateral cochlear implantation or bimodal listening in the paediatric population: retrospective  
3 analysis of decisive criteria.

4

5 **Authors**

6 Cleo MC Dhondt<sup>a</sup>, Freya KR Swinnen<sup>b</sup>, Ingeborg JM Dhooge<sup>a,b</sup>

7 <sup>a</sup>Department of Ear Nose Throat, Ghent University, De Pintelaan 185 (1P1), B – 9000, Ghent,  
8 Belgium

9 <sup>b</sup>Department of Otorhinolaryngology, Ghent University Hospital, De Pintelaan 185 (1P1), B –  
10 9000, Ghent, Belgium

11 E-mail: [Cleo.Dhondt@UGent.be](mailto:Cleo.Dhondt@UGent.be); [Freya.Swinnen@UGent.be](mailto:Freya.Swinnen@UGent.be); [Ingeborg.Dhooge@UGent.be](mailto:Ingeborg.Dhooge@UGent.be)

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13 **Corresponding author**

14 Cleo Dhondt, Department of Ear Nose Throat, Ghent University, Ghent, Belgium

15 E-mail: [Cleo.Dhondt@UGent.be](mailto:Cleo.Dhondt@UGent.be); Phone: 00 32 9 332 28 89

16 Postal address: Ghent University Hospital department Ear Nose Throat, De Pintelaan 185 (1P1),  
17 B – 9000 Ghent, Belgium

18

19 **Conflicts of interest:** None

20 This research did not receive any specific grant from funding agencies in the public,  
21 commercial, or not-for-profit sectors.

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23

24 **Abstract**

25 **Introduction:** In children with bilateral severe to profound hearing loss, bilateral hearing can  
26 be achieved by either bimodal stimulation (CIHA) or bilateral cochlear implantation (BICI).  
27 The aim of this study was to analyse the audiologic test protocol that is currently applied to  
28 make decisions regarding the bilateral hearing modality in the paediatric population.

29 **Methods:** Pre- and postoperative audiologic test results of 21 CIHA, 19 sequential BICI and  
30 12 simultaneous BICI children were examined retrospectively.

31 **Results:** Deciding between either simultaneous BICI or unilateral implantation was mainly  
32 based on the infant's preoperative Auditory Brainstem Response thresholds. Evolution from  
33 CIHA to sequential BICI was mainly based on the audiometric test results in the contralateral  
34 (hearing aid) ear after unilateral cochlear implantation. Preoperative audiometric thresholds in  
35 the hearing aid ear were significantly better in CIHA versus sequential BICI children ( $p < 0.001$   
36 and  $p = 0.001$  in unaided and aided condition, respectively). Decisive values obtained in the  
37 hearing aid ear in favour of BICI were: An average hearing threshold measured at 0.5, 1, 2 and  
38 4 kHz of at least 93 dB HL without, and at least 52 dB HL with hearing aid together with a 40%  
39 aided speech recognition score and a 70% aided score on the phoneme discrimination subtest  
40 of the Auditory Speech Sounds Evaluation test battery.

41 **Conclusions:** Although pure tone audiometry offers no information about bimodal benefit, it  
42 remains the most obvious audiometric evaluation in the decision process on the mode of  
43 bilateral stimulation in the paediatric population. A theoretical test protocol for adequate  
44 evaluation of bimodal benefit in the paediatric population is proposed.

45 **Keywords**

46 Cochlear implant; bimodal; simultaneous bilateral cochlear implantation; sequential bilateral  
47 cochlear implantation; pediatric.

48

49 **1. Introduction**

50 Nowadays, cochlear implantation (CI) is the golden standard in auditory rehabilitation for  
51 patients with bilateral severe to profound sensorineural hearing loss. Compared to the  
52 rehabilitation with acoustic hearing aids, a CI is more often provided unilaterally [1, 2].  
53 However, compared to monaural stimulation, bilateral stimulation results in more natural  
54 hearing, reduced listening effort and improved quality of life [3, 4]. Providing auditory input in  
55 both ears is expected to improve speech perception in noise by a combination of the head  
56 shadow effect, binaural summation and binaural squelch. The head shadow effect is a bilateral  
57 effect, requiring two functional ears. Binaural summation and binaural squelch presume the  
58 central auditory system to combine the auditory cues from both ears. In addition, interaural time  
59 and level differences available through bilateral auditory stimulation support spatial hearing  
60 and sound source localisation in the horizontal plane [5-7]. Stimulation of both ears also  
61 prevents neural degeneration resulting from auditory deprivation [8]. Bilateral hearing seems  
62 to be of particular importance in children, as research has proved that unilateral hearing loss  
63 may be accompanied by behavioural problems, academic difficulties and delays in speech and  
64 language development [9, 10].

65 In patients with bilateral severe to profound hearing loss, bilateral hearing may be achieved by  
66 either bilateral cochlear implantation (BICI) or bimodal stimulation. BICI has the advantage  
67 that the ear with the best postoperative performance is certainly stimulated electrically [2, 3,  
68 11, 12]. However, the outcome is restricted by the limitations in speech processing strategies of  
69 the devices. After all, the electric auditory CI signals predominantly comprise spectral envelope  
70 information, whereas the temporal fine structure of sound is discarded. This spectral envelope  
71 encoding is sufficient for speech perception in quiet, but for more demanding speech  
72 understanding situations the temporal information adds value [13-15].

73 In bimodal stimulation, electric and acoustic hearing are combined using a CI in one ear and  
74 appealing to the residual acoustic hearing in the other ear, if necessary amplified with a hearing  
75 aid [6, 12, 16]. This approach includes three major advantages. First, there is no need for a  
76 second surgery. Therefore, supplementary costs are avoided and risks concerning both  
77 anaesthetics and potential vestibular damage are reduced [2, 11, 12]. Secondly, the contralateral  
78 ear remains intact so that it can be engaged for possible new treatments for hearing loss in the  
79 future such as stem cell therapy and hair cell regeneration [1, 2, 12, 16]. Finally, in bimodal  
80 stimulation, the high-frequency electric hearing is complemented by the low-frequency acoustic  
81 input in the contralateral ear, which comprises spectro-temporal information that is lacking in  
82 the electric signal [11, 16-18]. This is especially beneficial for segregating voice sources,  
83 perceiving voicing information in consonants and perception of sound quality, melody and  
84 music [17-20]. However, bimodal stimulation is only a valuable alternative in patients with  
85 functional residual hearing [2, 6, 12, 16].

86 Both bimodal stimulation and BICI are considered effective approaches to provide bilateral  
87 hearing, since the majority of recent studies agree that no significant differences in speech  
88 perception, language development and localisation ability are found between bimodally  
89 stimulated patients and BICI users [16, 17, 21-24]. However, their speech perception in noise  
90 and localisation abilities remain poor compared to bilateral normal hearing listeners. The two  
91 devices, being a hearing aid and a CI or two CIs, function independently and are not aligned in  
92 terms of timing and intensity of the signal presentation, which hampers the central processing  
93 of auditory input arriving in both ears. Therefore, the benefit of bilateral compared to monaural  
94 stimulation in both bimodal and BICI listeners on speech perception in noise and localisation  
95 tasks is principally attributed to the head shadow effect, and the real benefit of binaural  
96 processing of acoustic cues is questioned [25].

97 In young children with bilateral profound hearing loss due to meningitis and in patients with  
98 Usher syndrome, BICI is advocated [2-4, 12]. Apart from these exceptions, BICI only seems to  
99 be considered if the use of a contralateral hearing aid results in insufficient bimodal benefit [2,  
100 6, 12, 17]. The question remains how to determine this bimodal benefit, especially in young  
101 children, and to define what is considered sufficient in this regard. As no worldwide standard  
102 criteria are currently available concerning BICI candidacy, most CI centres are inclined to  
103 appeal to the unilateral candidacy criteria, using, for example, pure tone audiometry [1, 4]. This  
104 method is of questionable validity because the expectations of unilateral CI cannot be compared  
105 to the desirable outcome of bilateral hearing [1].

106 The aim of this retrospective study was (a) to evaluate the audiologic test protocol that is  
107 currently applied in deciding between bimodal stimulation and BICI in the paediatric CI  
108 population in our centre and (b) to determine which factors and audiologic test results are  
109 influencing the decision.

110

## 111 **2. Materials and methods**

### 112 **2.1. Subjects**

113 From September 1997 until the start of this retrospective study in October 2016, 276 patients  
114 have been implanted and followed at the department of Otorhinolaryngology in the Ghent  
115 University Hospital. Only patients younger than 12 years of age on the 9<sup>th</sup> of December 2009  
116 were included in this study, since from that date onwards BICI is reimbursed to patients up to  
117 12 years of age in Belgium. Additionally, patients needed to be stimulated bilaterally, i.e. with  
118 BICI or bimodally, from a young age onwards, i.e. before the age of 18 months.

119 Fifty-two paediatric patients met these inclusion criteria and were divided into three groups.  
120 The first group (CIHA) consisted of 21 bimodal listeners (12 males; 9 females) with a mean  
121 age of 10.1 years (SD: 4.1). The mean age of implantation was 4.3 years (SD: 3.0). The 19 (9

122 males; 10 females) sequential BICI users (Seq BICI) switched from bimodal to BICI condition  
123 and had a mean age of 9.6 years (SD: 3.7). They received the first implant at a mean age of 3.3  
124 years (SD: 3.0) and the second at a mean age of 5.6 years (SD: 3.5) The third group consisted  
125 of 12 children (8 males; 4 females) with a mean age of 3.1 years (SD: 1.6) who received CIs in  
126 both ears simultaneously (Sim BICI) at a mean age of 1.0 years (SD: 0.4). The aetiology of the  
127 hearing loss is summarised in Table 1. Occurrence of multiple disorders (psychomotor or  
128 cognitive retardation, delayed speech and language development, vestibular, respiratory,  
129 cardiac, feeding, muscle tension and/or visual disorders) was reported in nine Seq BICI patients,  
130 seven CIHA patients, and three Sim BICI patients and showed no statistically significant  
131 difference between subject groups ( $p > 0.05$ , Fisher's exact test). All included patients signed  
132 an informed consent form. The study design was approved by the Ghent University Hospital  
133 Medical Ethical Committee.

## 134 **2.2. Audiologic tests**

### 135 *2.2.1. Middle ear evaluation*

136 In order to preclude temporary middle ear pathologies (e.g. middle ear effusion, tympanic  
137 membrane perforation), middle ear status was examined by micro-otoscopy every six months.  
138 Tympanometry (TympStar, Grason Stadler Inc., MN, USA) was performed before every  
139 audiologic measurement. High-frequency tympanometry (1000 Hz) was used in infants  
140 younger than nine months of age. From the age of three months, a 226 Hz probe stimulus was  
141 applied.

### 142 *2.2.2. Auditory Brainstem Response (ABR)*

143 Hearing thresholds were determined objectively by means of ABR testing. Wave V thresholds  
144 were examined using the Eclipse EP25 (software Otoaccess version 1.2.1, Interacoustics,  
145 Assens, Denmark) using insert phones calibrated according to ISO-389 reference values  
146 (E-A-RTONE Insert Earphone 3A ABR, 3M Company, Indianapolis, IN, USA). In clinical

147 practice, besides click stimuli, toneburst stimuli are commonly used. In this database only  
148 thresholds using click stimuli were included as these provide a general overview of the child's  
149 hearing status. Assessment and interpretation of the measurements was performed by an  
150 audiologist out of a fixed team of four audiologists with at least five years of experience in the  
151 neonatal and paediatric audiologic diagnostics.

### 152 2.2.3. *Subjective hearing evaluation*

153 Subjective hearing evaluation included pure tone audiometry, speech audiometry and phoneme  
154 discrimination. These tests were performed in the same double-walled sound-attenuated  
155 audiometric test room. Depending on the measurement condition, stimuli were presented  
156 through headphones (TDH-39, Interacoustics, Assens, Denmark), insert phones (E-A-RTONE  
157 Insert Earphone 5A, 3M Company, Indianapolis, IN, USA) or a free-field loudspeaker in front  
158 of the listener (Canton Elektronik GmbH, Weilrod, Germany), all calibrated according to ISO-  
159 389 reference values. Since 2012, the PC-based audiometer Equinox 2.0 with Otoaccess  
160 software version 1.2.1 (Interacoustics, Assens, Denmark) was used. Before, audiometry was  
161 performed with the AC 40 clinical audiometer (Interacoustics, Assens, Denmark). Audiologic  
162 assessment in the paediatric population was executed and interpreted by two audiologists out  
163 of a fixed team of four audiologists with at least five years of experience in the paediatric field.  
164 Depending on the cooperation and concentration abilities of the child, some measurements were  
165 split up into multiple short sessions.

#### 166 2.2.3.1. *Pure tone audiometry*

167 Pure tone audiometry was executed using pure tone stimuli presented through insert phones or  
168 headphones (in unaided condition) or using warble tones presented in free field through a  
169 loudspeaker (in aided condition). Depending on the child's age, Behavioural Observation  
170 Audiometry (BOA), Visual Reinforcement Audiometry (VRA), Instrumentation Conditioned  
171 Reflex Audiometry (ICRA), as well as standard pure tone audiometry were employed. In case

172 of VRA or ICRA, conditioning preceded the test procedure and was regularly repeated  
173 throughout the test to check the child's attentiveness to the auditory stimuli. Thresholds above  
174 the technical limits of the equipment were registered as 120 dB HL. The degree of hearing loss  
175 was represented by the BIAP (Bureau International d'Audiophonologie), which is the average  
176 hearing threshold measured at 0.5, 1, 2 and 4 kHz.

#### 177 2.2.3.2. Speech audiometry

178 Speech audiometry was performed in aided condition with Dutch monosyllabic word lists.  
179 According to the age and the speech and language skills of the subjects, Göttinger I (3-4y),  
180 Göttinger II (5-6y), NVA child and NVA lists were administered as speech stimuli [26]. In the  
181 majority of cases, the ICA (Indice de Capacité Auditive) was assessed. Word lists were  
182 therefore successively presented at 70, 55 and 40 dB SPL.

#### 183 2.2.3.3. Speech-in-noise (SPIN) testing

184 SPIN testing was performed with speech and noise presented from the loudspeaker in front of  
185 the listener. A different test setup was applied depending on the age, the acquired speech and  
186 language skills, and the cooperation level of the child. The signal-to-noise ratio, the presented  
187 word list and the examined condition (with CI, with hearing aid alone or in bimodal mode)  
188 varied among subjects.

#### 189 2.2.3.4. Auditory Speech Sounds Evaluation (AŞE) – phoneme discrimination

190 The AŞE phoneme discrimination test (Otoconsult, Antwerp, Belgium) was used as an  
191 additional test to examine functional hearing [27]. Stimuli were presented through the  
192 loudspeaker in front of the listener at 70 dB HL, as the phoneme discrimination was only  
193 assessed in aided condition. In very young children, the methods of VRA and ICRA were  
194 implemented in order to maximize their cooperation. Conditioning preceded the test and was  
195 repeated throughout the test procedure to check the child's attentiveness.



196 **2.3. Data analysis**

197 Statistical analysis was performed using SPSS version 20 (SPSS Inc., Chicago, IL, USA). A  
198 significance level of 0.05 was used. Since included variables were not normally distributed,  
199 nonparametric tests were applied. Comparison between the three subject groups was done by  
200 the Kruskal-Wallis test. The Mann-Whitney U test was used for between-groups comparison  
201 with Bonferroni correction ( $\alpha=0.017$ ) for multiple comparisons. Finally, in the Seq BICI  
202 children, the Wilcoxon signed-rank test was applied to compare the pre- and postoperative test  
203 results in the second implanted ear.

204

205 **3. Results**

206 **3.1. Audiometric thresholds in the three test groups before first implantation**

207 In the CIHA children, the median (preoperative) BIAP was 100 dB HL (interquartile range  
208 (IQR): 91-110 dB HL) in the first implanted ear (Ear 1) and 88 dB HL (IQR: 79-98 dB HL) in  
209 the contralateral ear (Ear 2). The Seq BICI children showed a median preoperative BIAP of  
210 108 dB HL (IQR: 100-115 dB HL) in Ear 1 and 99 dB HL (IQR: 88-110 dB HL) in Ear 2.  
211 Finally, in the Sim BICI children, we found a median BIAP of 120 dB HL (IQR:107-  
212 120 dB HL) in Ear 1 and 120 dB HL (IQR: 95-120 dB HL) in Ear 2.

213 Preoperative audiometric test results in the three defined groups are summarised and compared  
214 in Table 2. Statistical comparisons revealed statistically significant differences for ABR  
215 thresholds and BIAP thresholds of both ears (Kruskal-Wallis test,  $p < 0.05$ ). Between-groups  
216 comparisons revealed significantly higher ABR thresholds for Ear 2 and BIAP thresholds for  
217 both ears in the Sim BICI children compared to the CIHA group (see Table 2 for  $p$  values;  
218 Mann-Whitney U test with Bonferroni correction). In addition, significant differences were  
219 found in the (preoperative) BIAP thresholds of Ear 2 between the CIHA and the Seq BICI  
220 children (Mann-Whitney U test with Bonferroni correction).

### 221 **3.2. Deciding between bimodal stimulation or sequential BICI after first implantation**

222 Table 3 provides an overview of the available test results, playing a role in the decision  
223 regarding sequential bilateral implantation versus continued bimodal listening. In 85.7% of  
224 CIHA patients (18/21) and 63.2% of Seq BICI patients (12/19), at least one aided discrimination  
225 test (speech audiometry or A&E phoneme discrimination) was executed in the implanted Ear 1.  
226 Aided discrimination testing in the contralateral non-implanted Ear 2 was executed in 71.4% of  
227 CIHA patients (15/21) and 42.1% of Seq BICI patients (8/19). SPIN testing was performed in  
228 9.5% of CIHA patients (2/21) at the age of 5;11 and 11;8 years, respectively. In the Seq BICI  
229 group, this was the case in 15.8% (3/19), at the ages of 8;2, 10;9 and 11;3 years.

230 After CI in Ear 1, no significant differences could be demonstrated in aided audiometric  
231 thresholds or speech discrimination with the CI between the CIHA and Seq BICI group (Mann-  
232 Whitney U test). Comparison of the audiometric test results in Ear 2 between the CIHA and  
233 Seq BICI group resulted in significant differences. Statistical analysis demonstrated that  
234 unaided and aided BIAP thresholds ( $p < 0.001$  and  $p = 0.001$ , respectively), aided ICA scores  
235 ( $p = 0.024$ ) and aided phoneme discrimination scores ( $p = 0.015$ ; Mann-Whitney U test) were  
236 significantly better in the CIHA group compared to the Seq BICI group. Figures 1A and 1B  
237 show the boxplots of the unaided and aided BIAP results in Ear 2 for both the CIHA group and  
238 the Seq BICI group before implantation in this ear was considered. Similarly, boxplots of the  
239 aided ICA scores and the aided A&E discrimination scores obtained with Ear 2 in both groups  
240 are displayed in Figures 1C and 1D. Decisive values between both listening modes were  
241 determined retrospectively by visual deduction and are indicated by dashed lines on the graphs.

### 242 **3.3. Final evaluation of hearing outcome**

243 After implantation in Ear 2, the Seq BICI children obtained a significantly improved aided  
244 BIAP (median: 29 dB HL; IQR: 21-32 dB HL) compared to the preoperative aided BIAP with  
245 hearing aid (median: 58 dB HL; IQR: 51-69 dB HL) (Wilcoxon signed-rank test,  $p = 0.001$ ).

246 Comparison of these pre- and postoperative aided thresholds was made in 15 patients and is  
247 represented in Figure 2A.

248 Pre- and postoperative aided outcomes for speech audiometry and A&E phoneme discrimination  
249 in the Seq BICI children are depicted in Figures 2B and 2C, respectively. Paired comparisons  
250 of the ICA in Ear 2 with hearing aid (median: 23%; IQR: 20-47%) and the ICA in Ear 2 with  
251 CI (median 72%; IQR: 58-79%) revealed a statistically significant improvement (Wilcoxon  
252 signed-rank test,  $p = 0.028$ ). Aided A&E phoneme discrimination scores in Ear 2 also improved  
253 significantly from hearing aid (median: 57%; IQR: 29-71%) to CI condition (median: 94%;  
254 IQR: 86-100%) (Wilcoxon signed-rank test,  $p = 0.046$ ).

255 Comparison of ICA scores in Ear 2 between the three groups of bilateral stimulated children is  
256 illustrated in Figure 3. Overall comparison showed a significant difference in speech perception  
257 outcomes between the three groups (Kruskal-Wallis,  $p < 0.05$ ), but  $p$  values were not  
258 significant when performing the Mann-Whitney U test with Bonferroni correction for between-  
259 groups comparisons.

260

## 261 **4. Discussion**

262 Although the surplus value of bilateral hearing in the paediatric population is well-documented  
263 and generally accepted, the choice for simultaneous BICI, sequential BICI or bimodal listening  
264 is not always straightforward. A retrospective analysis reveals which factors and test results  
265 have been of interest in the selection process for BICI in our centre, and the final outcome is  
266 evaluated. A theoretical test protocol that could be applied in the decision process between  
267 bimodal stimulation and sequential BICI is proposed.

### 268 **4.1. Simultaneous BICI**

269 In infants with bilateral severe to profound hearing loss, the aetiology of the hearing loss and  
270 the degree of residual hearing seem to be important factors in the decision for simultaneous

271 BICI. This is preferred over sequential BICI in infants with limited or no residual hearing since  
272 it promotes normal-like symmetric development of the central auditory pathways and offers the  
273 greatest benefit of binaural hearing [28]. However, this does not imply that all children with  
274 limited or no residual hearing are simultaneously bilaterally implanted by default. The aetiology  
275 of the hearing loss is always taken into account. In pathologies with a highly unpredictable  
276 postoperative auditory outcome, simultaneous BICI is seldom performed. In bilateral hearing  
277 loss due to an unknown aetiology, and in hearing loss associated with a cochlear nerve  
278 abnormality or multiple disorders, clinicians would prefer to await the evolution of auditory  
279 performance with the first implant before considering contralateral implantation [29, 30]. The  
280 present study seems to confirm the stated hypothesis, since simultaneous BICI was performed  
281 in only two of all patients with an unknown aetiology ( $n=17$ ), and in none of the patients with  
282 auditory neuropathy/auditory dyssynchrony ( $n=4$ ) or cochlear hypoplasia ( $n=1$ ). On the other  
283 hand, the choice for BICI might be quite straightforward in patients with a stable, nonsyndromic  
284 bilateral severe to profound hearing loss, for example, caused by *GJB2* mutations (connexin 26,  
285 Cx26). In such cases, the decision between bimodal listening or BICI is mainly depending on  
286 the degree of residual hearing or the bimodal benefit. In our centre, an important number of  
287 Cx26 patients (55.6%) were simultaneously bilaterally implanted. In bilateral deafness caused  
288 by meningitis and associated with an increased risk of bilateral ossification of the cochlea, the  
289 decision for simultaneous BICI is straightforward as well. Research has shown that in these  
290 cases, surgery is advisable at an early stage, prior to the onset of cochlear ossification [31]. In  
291 the present study, simultaneous BICI was performed in three out of four meningitis patients.

#### 292 **4.2. Bimodal listening or sequential BICI: audiologic protocol**

293 In case the decision on simultaneous BICI is not straightforward, a more conservative approach  
294 is advised in which contralateral implantation is only considered if bimodal stimulation results  
295 in insufficient benefit [2, 6, 12, 17]. However, the main research question remains how to

296 determine this benefit in clinical practice. The goal of this study was to provide an overview of  
297 the test protocol applied in our centre and to investigate which audiologic test results are  
298 decisive regarding the bilateral stimulation mode.

299 Three components are distinguished in the theoretical audiologic test protocol: evaluation of  
300 the monaural auditory performance, the bilateral auditory performance and the bimodal gain.  
301 Concerning the monaural hearing performance, auditory detection by means of pure tone  
302 audiometry seems to remain the most obvious audiometric evaluation, as this test was executed  
303 in a larger amount of patients compared to the tests evaluating functional hearing such as A&E  
304 phoneme discrimination and speech audiometry. Although pure tone audiometry in the  
305 paediatric severely hearing-impaired population may be a time-consuming and laborious  
306 procedure requiring experienced audiologists, extensive conditioning of the child, multiple test  
307 sessions and age-appropriate vision and motor skills of the child, it remains more feasible  
308 compared with A&E phoneme discrimination or speech audiometry. In addition, speech  
309 audiometry demands a certain level of cognitive development and language acquisition. The  
310 latter is often delayed or impaired in profoundly hearing-impaired children. Since the  
311 behaviourally obtained hearing thresholds have a poor sensitivity and specificity in the  
312 paediatric population, they should be cross-checked with objective measurements such as ABR  
313 or auditory-steady-state responses (ASSR). However, these techniques are infrequently used in  
314 the regular follow-up of toddlers or pre-schoolers due to the frequent need for anaesthesia and  
315 the difficulties to measure aided thresholds. Another objective technique to confirm the  
316 subjective thresholds without the necessity of sedation is the registration of Cortical Auditory  
317 Evoked Potentials (CAEP) [2]. CAEP testing can be executed reliably in young infants using  
318 tonal and speech stimuli in both aided and unaided condition [32]. Research has shown that P1  
319 latency potentials measured by CAEP in hearing impaired children differ from the potentials  
320 found in children with normal hearing. If effective, auditory rehabilitation by means of

321 conventional hearing aids or CI involves a gradual disappearance of these differences [33].  
322 Therefore, CAEP testing appears to be a promising approach for evaluating functional hearing  
323 and hearing aid success objectively in children who are very young and/or difficult to test  
324 behaviourally [32]. However, CAEP measurements are also affected by many factors, such as  
325 sleep state and motor activity, which are difficult to be controlled for in infants and young  
326 children.

327 Besides the evaluation of monaural auditory performance, bilateral performance should be  
328 examined as well. Insufficient bilateral performance in bimodal condition could be an argument  
329 in favour of contralateral implantation. In this respect, SPIN testing should be included, as better  
330 speech perception in noise is one of the main advantages of bilateral hearing [6, 7, 24]. Our  
331 retrospective analysis revealed that SPIN was tested in only 9.5% and 15.8% of the CIHA and  
332 the Seq BICI subjects, respectively. As the youngest subject in whom SPIN testing was  
333 executed, was 5;11 years old, these low rates could be related to the aforementioned required  
334 levels of cognitive processing and language development. Additionally, determining reliable  
335 audiometric thresholds and evaluating speech perception in quiet in children may already be  
336 that time-consuming and exhausting that SPIN testing is often omitted. The evaluation of sound  
337 localisation and listening effort are also indispensable in the evaluation of bilateral auditory  
338 performance [4, 5]. However, these tests are currently not implemented in our decision-making  
339 evaluation between bimodal listening and bilateral CI. Sound localisation can be examined from  
340 the age of four years, as described by Van Deun et al. [34]. A dual task paradigm, which is  
341 feasible at school age, could be applied to evaluate listening effort [35-38].

342 The third component of the test protocol should comprise an evaluation of the bimodal gain. As  
343 already mentioned in the introduction, this is the most difficult component of the test protocol,  
344 as it lacks clarity in literature. It seems evident that the evaluation of bimodal gain should  
345 comprise an evaluation of the audiologic advantages of bimodal stimulation. These include

346 better segregation of voice sources, better perception of sound quality, melody and music, and  
347 the preservation of low-frequency spectro-temporal information required for better speech  
348 perception in noise and low-frequency pitch perception [17-20]. However, evaluation of these  
349 bimodal advantages in the paediatric population is not evident. Therefore, in many CI centres,  
350 evaluation of these skills is not included in the test protocol. A survey by Schwartz et al. [1]  
351 demonstrated that less than half of the CI centres used hearing performance in background noise  
352 and even less than ten percent used localisation tasks as methods to determine candidacy for  
353 BICI.

354 Choosing between either continued bimodal listening or evolving to sequential BICI does not  
355 seem significantly influenced by auditory CI performance after first implantation, but rather by  
356 unaided and aided audiologic test results in the non-implanted ear between test groups. In an  
357 attempt to define decisive values in this respect, the boxplots represented in Figures 1A-D were  
358 applied. Regarding the unaided BIAP threshold measured in Ear 2, in 75% of the CIHA  
359 subjects, a BIAP threshold below 93 dB HL was recorded, whereas in 75% of the Seq BICI  
360 patients, a BIAP threshold above this value was measured. Similarly, 52 dB HL can be  
361 determined as threshold regarding the aided BIAP. A score of 70% on the A&E phoneme  
362 discrimination test and an ICA score of 40% can be defined as dividing values as well.

363 An important additional consideration in the decision between simultaneous BICI, sequential  
364 BICI or continuing bimodal listening is the appropriate functioning of the vestibular system.  
365 Since a few years ago, we have implemented a vestibular function evaluation (comprising the  
366 Cervical Vestibular Evoked Myogenic Potential test at least) as a standard assessment prior to  
367 and after CI in the paediatric population. Interpretation of these vestibular test results is beyond  
368 the scope of this study, but it is hypothesized that clinicians could advise against contralateral  
369 implantation in case a vestibular response in the implanted ear is absent after CI due to a pre-  
370 existing absent response or vestibular damage caused by the surgical procedure. Impairment of

371 the contralateral vestibular system after contralateral implantation would imply a total loss of  
372 the vestibular function, causing an invalidating impact on the child's daily functioning [39].  
373 In the Appendix, a test protocol is proposed that should provide a complete representation of  
374 the audiologic performance in bimodally stimulated patients. Note that this test protocol is a  
375 theoretical proposal. Restrictions in time, therapy loyalty, motivation and other influencing  
376 patient characteristics are not taken into account. Therefore, the development of a practical  
377 time-effective test protocol, resulting in a complete reflection of the audiologic performance of  
378 bimodally stimulated patients should be the focus of further research. Furthermore, a more  
379 objective and numeric definition (in terms of test results) of 'insufficient' bimodal gain is  
380 urgently required.

### 381 **4.3. Outcome evaluation**

382 In the Seq BICI patients included in this study, choosing contralateral implantation did result  
383 in the best audiologic outcome (Fig. 2A-C). However, it is noteworthy that conclusions  
384 concerning the elimination of possible bimodal advantages are lacking as these are currently  
385 not evaluated.

386 Although not significant in this study, Sim BICI patients seemed to achieve higher monaural  
387 speech perception scores compared to the monaural speech perception scores in Ear 2 of  
388 Seq BICI patients (Fig. 3). It is generally accepted that a long inter-implant interval in  
389 sequential BICI has a negative impact on auditory performance with the CI and on linguistic  
390 development, due to asymmetric development of the central auditory pathways [40]. Since our  
391 Seq BICI patients consistently used acoustic amplification before receiving their second  
392 implant, the impact of the inter-implant delay might have been restricted.

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## **5. Conclusion**

Bilateral hearing in the severe to profound hearing impaired paediatric population could be achieved by either bimodal listening or BICI, either simultaneous or sequential. The decision between both approaches is influenced by multiple factors of which the aetiology of the hearing loss and the amount of residual hearing are the most important. In practice, an estimation of residual hearing and bimodal benefit in the paediatric population is often based on pure tone audiometry and to a lesser extent on speech audiometry and A&E phoneme discrimination. As the latter require higher cognitive processing and good conditioning, respectively, they can only be executed reliably in older patients. In this respect, CAEP testing appears to be a promising approach for evaluating hearing aid success objectively in children who are very young and/or difficult to test behaviourally. Additionally, tests evaluating other bilateral, binaural and bimodal (e.g., music appreciation) advantages should be a part of the test protocol. However, it can be questioned whether this is feasible and/or relevant in the paediatric population. The retrospective study design, in combination with rather small subjects groups and missing data require a cautious interpretation of the results of this study. Future research with larger and more equally divided subject groups is warranted to allow more general conclusions.

## **Acknowledgements**

The authors thank the parents of the children included in this study for giving their consent to use the test results. Additionally, we want to thank Birgit Philips, Lotte Rombaut, Stephen Vlaeminck, Sandrien Van Vooren and Stefanie Krijger, as well as the colleagues from the rehabilitation centres for their assistance in collecting data.

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541 **Appendix : Proposed test protocol**

**I. Middle ear evaluation**

A. Micro-otoscopy

B. Tympanometry

**II. Evaluation of the aided monaural auditory performance (with CI and with hearing aid, separately)**

Behavioural test

Electrophysiological test

A. Auditory detection

- Pure tone audiometry

- Auditory Brainstem Response

- Auditory Steady-State Response

B. Speech sound discrimination

- Auditory Speech Sounds Evaluation

- Cortical Auditory Evoked

phoneme discrimination test

Potentials

C. Speech perception in quiet

- Speech audiometry in quiet

**III. Evaluation of the bilateral auditory performance (with CI alone vs with CI and hearing aid)**

A. Speech sound discrimination (see above)

B. Speech perception in quiet (see above)

C. Speech perception in noise [41]

D. Sound localisation [34]

E. Listening effort [35-38]

**IV. Evaluation of the bimodal gain (with CI alone vs with CI and hearing aid)**

A. Segregation of voice sources [17]

B. Perception of sound quality, melody and music [42-44]

C. Preservation of the low-frequency spectro-temporal information

- Speech perception in noise [41]
- Low-frequency pitch perception [45]

**V. Evaluation of the vestibular function**

- A. Cervical Vestibular Evoked Myogenic Potential test
- B. Video Head Impulse Test
- C. Rotatory test
- D. Caloric test



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**Table 1** Aetiology of hearing loss in the three subject groups

	Total	CIHA	Seq BICI	Sim BICI
	<i>n</i> =52	<i>n</i> =21	<i>n</i> =19	<i>n</i> =12
	% ( <i>n</i> )	% ( <i>n</i> )	% ( <i>n</i> )	% ( <i>n</i> )
cCMV	23 (12)	10 (2)	42 (8)	17 (2)
Cx26	17 (9)	14 (3)	5 (1)	42 (5)
Bilateral EVA	8 (4)	14 (3)	5 (1)	-
Meningitis	8 (4)	5 (1)	-	25 (3)
AN/AD	8 (4)	10 (2)	11 (2)	-
Premature hypoxia	2 (1)	-	5 (1)	-
Cochlear nerve hypoplasia	2 (1)	-	5 (1)	-
Unknown - familial	10 (5)	19 (4)	5 (1)	-
Unknown	23 (12)	29 (6)	21 (4)	17 (2)

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CIHA=bimodal listeners; Seq BICI=children with sequential bilateral cochlear implantation; Sim BICI=children with bilateral simultaneous cochlear implantation; cCMV=congenital cytomegalovirus infection; Cx26=connexin 26 gene mutation; EVA=enlarged vestibular aqueduct; AN/AD=auditory neuropathy/auditory dyssynchrony.

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**Table 2** Comparison of preoperative audiometric thresholds between the three subject groups before implantation in Ear 1

	Median	IQR (Q1 – Q3)	Group <i>p</i> value	Between-groups <i>p</i> values		
				CIHA	Seq BICI	Sim BICI
ABR Ear 1 (dB nHL)						
CIHA (n=18)	100	80 – 100		-	>0.05	0.043
Seq BICI (n=16)	100	96 – 100	<b>0.024*</b>	>0.05	-	>0.05
Sim BICI (n=12)	100	100 – 100		0.043	>0.05	-
ABR Ear 2 (dB nHL)						
CIHA (n=18)	80	69 – 100		-	0.027	<b>0.001*</b>
Seq BICI (n=16)	95	89 – 100	<b>0.001*</b>	0.027	-	>0.05
Sim BICI (12)	100	100 – 100		<b>0.001*</b>	>0.05	-
BIAP Ear 1 unaided (dB HL)						
CIHA (n=21)	100	91 – 110		-	>0.05	<b>0.001*</b>
Seq BICI (n=18)	108	100 – 115	<b>0.004*</b>	>0.05	-	0.035
Sim BICI (n=12)	120	107 – 120		<b>0.001*</b>	0.035	-
BIAP Ear 2 unaided (dB HL)						
CIHA (n=21)	88	79 – 98		-	<b>0.016*</b>	<b>&lt;0.001*</b>
Seq BICI (n=18)	99	88 – 110	<b>0.001*</b>	<b>0.016*</b>	-	0.048
Sim BICI (n=12)	120	95 – 120		<b>&lt;0.001*</b>	0.048	-

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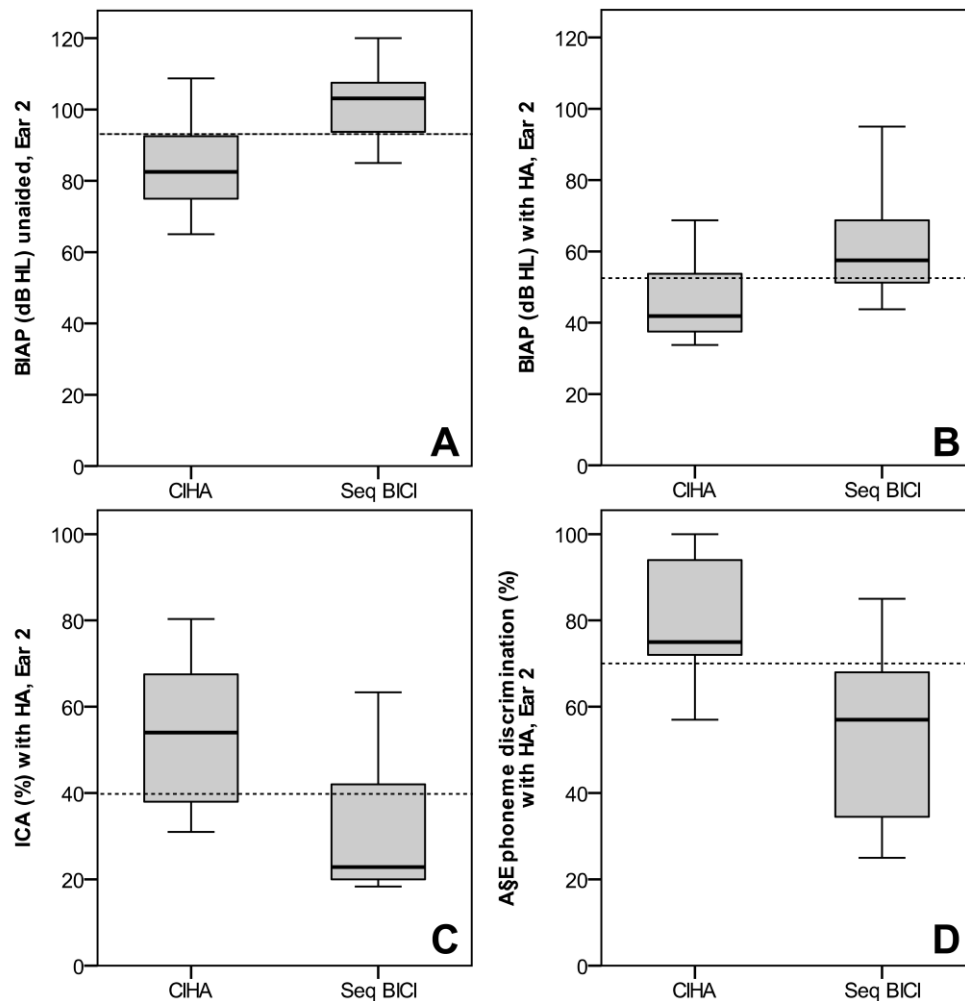
IQR=interquartile range; CIHA=group ending up as bimodal listeners; Seq BICI=group of children with sequential bilateral cochlear implantation; Sim BICI=group of children with simultaneous bilateral cochlear implantation; *n*=number of subjects within each test group included in the statistical analysis; ABR=Auditory Brainstem Response; BIAP= Bureau International d’Audiophonologie, which is the average hearing threshold measured at 0.5, 1, 2 and 4 kHz; Ear 1=(first) implanted ear; Ear 2=contralateral ear to Ear 1. Statistically significant *p* values (<0.05 for the Kruskal-Wallis test and <0.017 for the Mann-Whitney U test with Bonferroni correction) are indicated by (\*).

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558 **Table 3** Available audiometric test results decisive for continued bimodal listening (CIHA)  
 559 versus sequential bilateral cochlear implantation (Seq BICI)

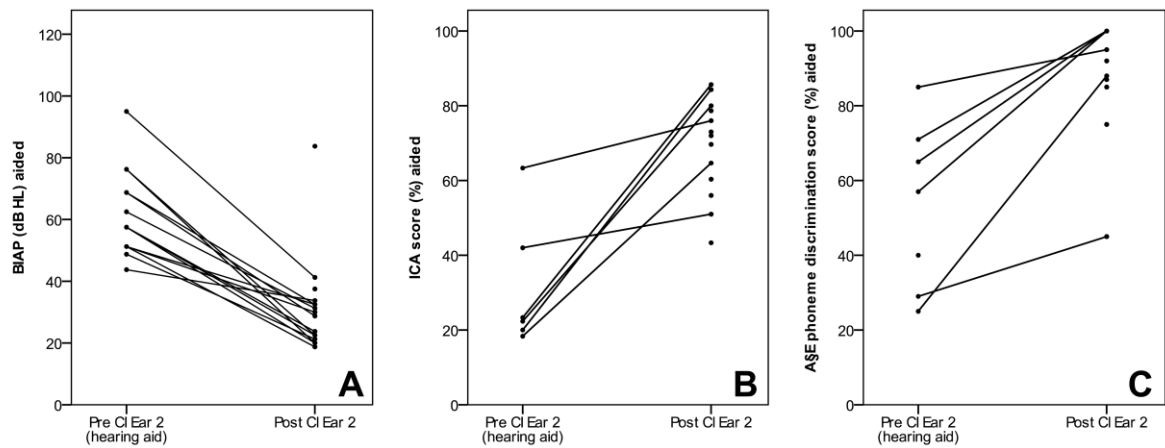
	CIHA	Seq BICI
	<i>n</i> =21	<i>n</i> =19
Ear 1	% ( <i>n</i> )	% ( <i>n</i> )
PTA aided (CI1)	95 (20)	100 (19)
Speech audiometry aided (CI1)	81 (17)	63 (12)
Speech audiometry bimodal (CI1 + HA)	57 (12)	26 (5)
A§E aided (CI1)	71 (15)	53 (10)
Ear 2	% ( <i>n</i> )	% ( <i>n</i> )
PTA unaided	100 (21)	100 (19)
PTA aided (HA)	100 (21)	89 (17)
Speech audiometry aided (HA)	62 (13)	32 (6)
A§E aided (HA)	52 (11)	37 (7)

560 The upper part of the table displays the availability of audiometric test results in the implanted ear (Ear 1), obtained  
 561 in aided condition (with cochlear implant, CI1). The lower part summarizes the audiometric tests undertaken in  
 562 the (at that moment non-implanted) contralateral, hearing aid ear (Ear 2).  
 563 CIHA=bimodal listeners; Seq BICI=sequential bilateral cochlear implant group; PTA=pure tone audiometry;  
 564 A§E=Auditory Speech Sounds Evaluation phoneme discrimination test; CI=cochlear implant, HA=hearing aid;  
 565 *n*=number of subjects within each test group.  
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568 **Fig. 1** Boxplots representing test results on pure tone audiometry, speech audiometry and  
 569 speech discrimination in Ear 2 in CIHA and Seq BICI children: (A) the unaided BIAP  
 570 threshold (dB HL), (B) the aided (with hearing aid) BIAP threshold (dB HL), (C) the aided  
 571 (with hearing aid) ICA score (%) and (D) the aided (with hearing aid) A&E phoneme  
 572 discrimination score (%).The dashed lines indicate a retrospectively determined cut-off value  
 573 between continuing bimodal listeners (CIHA) and children evolving to sequential bilateral  
 574 cochlear implantation (Seq BICI). BIAP=Bureau International d’Audiophonologie, which is  
 575 the average hearing threshold measured at 0.5, 1, 2 and 4 kHz; ICA=Indice de Capacité  
 576 Auditive, which is the average speech perception score at 70, 55 and 40 dB SPL stimulation  
 577 level; A&E=Auditory Speech Sounds Evaluation; CIHA=group of bimodal listeners; Seq  
 578 BICI=group of children with sequential bilateral cochlear implantation; HA=hearing aid.

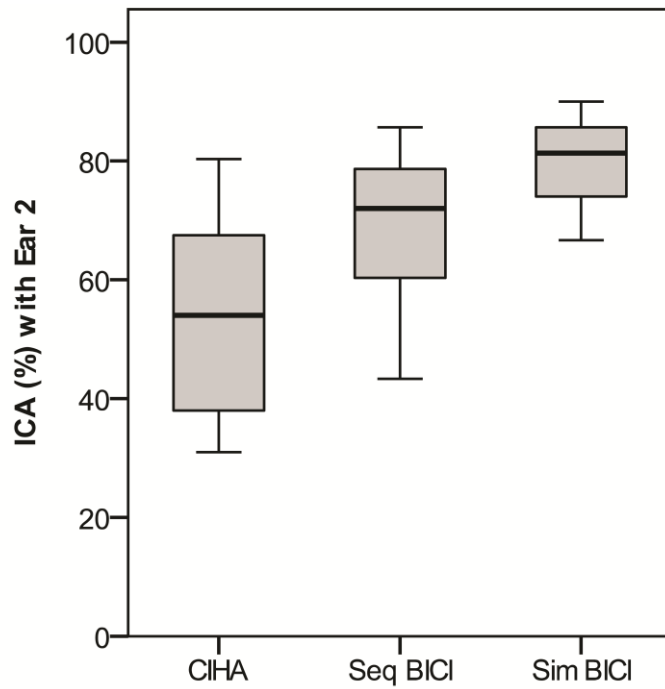


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580 **Fig. 2** Paired comparisons of test results on pure tone audiometry, speech audiometry and  
 581 speech discrimination in hearing aid and CI condition in Ear 2 in sequentially bilaterally  
 582 implanted patients: (A) BIAP thresholds (dB HL) in 15 patients, (B) ICA scores (%) in 6  
 583 patients and (C) A&E phoneme discrimination scores (%) in 6 patients.

584 The dots represent data from patients in which paired comparison was not possible, as one of  
 585 both test results was missing. BIAP=Bureau International d'Audiophonologie, which is the  
 586 average hearing threshold measured at 0.5, 1, 2 and 4 kHz; ICA=Indice de Capacité Auditive,  
 587 which is the average speech perception score at 70, 55 and 40 dB SPL stimulation level;  
 588 A&E=Auditory Speech Sounds Evaluation; Pre=preoperative; Post=postoperative;  
 589 CI=cochlear implant.

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592 **Fig. 3** Boxplot comparing ICA scores (%) in Ear 2 between CIHA ( $n=12$ , with hearing aid in  
 593 Ear 2), Seq BICI ( $n=13$ , with CI in Ear 2) and Sim BICI children ( $n=3$ , with CI in Ear 2).

594 ICA=Indice de Capacité Auditive, which is the average speech perception score at 70, 55 and  
 595 40 dB SPL stimulation level; CIHA=group of bimodal listeners; Seq BICI=group of children  
 596 with sequential bilateral cochlear implantation; Sim BICI=group of children with  
 597 simultaneous bilateral cochlear implantation.