## **Title: Faculty Mentoring Practices in Academic Emergency Medicine**

## Authors:

## Julie Welch, MD

Department of Emergency Medicine Indiana University School of Medicine jlwelch@iu.edu

## Stacy Sawtelle, MD

Department of Emergency Medicine UCSF Fresno Medical Education Program SSawtelle@fresno.ucsf.edu

## David Cheng, MD

Department of Emergency Medicine Case Western Reserve University chengdavid3@gmail.com

**Tony Perkins** Indiana University School of Medicine antperki@iupui.edu

## Misha Ownbey, MD

Emergency Medicine Residency Western Michigan University Homer Stryker MD School of Medicine misha.ownbey@gmail.com

## Emily MacNeill, MD

Department of Emergency Medicine Carolinas Medical Center Emily.MacNeill@carolinashealthcare.org

## Robert Hockberger, MD

Department of Emergency Medicine Harbor-UCLA hock@emedharbor.edu

## Daniel Rusyniak, MD

Department of Emergency Medicine Indiana University School of Medicine drusynia@iupui.edu

This is the author's manuscript of the article published in final edited form as:

Welch, J., Sawtelle, S., Cheng, D., Perkins, T., Ownbey, M., MacNeill, E., ... Rusyniak, D. (2017). Faculty Mentoring Practices in Academic Emergency Medicine. Academic Emergency Medicine, 24(3), 362–370. https://doi.org/10.1111/acem.13136

#### **Corresponding Author:**

#### Julie Welch, MD

Director of Mentoring, Indiana Clinical and Translational Science Institute (CTSI) Associate Professor of Clinical Emergency Medicine Department of Emergency Medicine Indiana University School of Medicine IU Health- Methodist Hospital 1701 N Senate Blvd Indianapolis, IN 46202 jlwelch@iupui.edu or jlwelch@iu.edu 317-962-8880

**Acknowledgments:** The authors would like to acknowledge the following for their support of this project: Pam Durant, Megan Palmer, Deb Diercks, Ron Walls, and selected members of the SAEM Faculty Development Committee including Todd Crocco, Ellen Weber, and Esther Chen.

### ABSTRACT

**Background:** Mentoring is considered a fundamental component of career success and satisfaction in academic medicine. However, there is no national standard for faculty mentoring in academic emergency medicine and a paucity of literature on the subject.

**Objectives:** To conduct a descriptive study of faculty mentoring programs and practices in academic departments of emergency medicine.

**Methods:** An electronic survey instrument was sent to 135 department chairs of emergency medicine (EM) in the United States. The survey queried faculty demographics, mentoring practices, structure, training, expectations, and outcome measures. Chi-square and Wilcoxon Rank Sum tests were used to compare metrics of mentoring effectiveness (i.e., number of publications and NIH funding) across mentoring variables of interest.

**Results:** Thirty-nine of 135 departments completed the survey, with a heterogeneous mix of faculty classifications. While only 43.6% of departments had formal mentoring programs, many augmented faculty mentoring with project or

skills based mentoring (66.7%), peer mentoring (53.8%), and mentoring committees (18%). Although the majority of departments expected faculty to participate in mentoring relationships, only half offered some form of mentoring training. The mean number of faculty publications per department per year was 52.8, and eleven departments fell within the top 35 NIH funded EM departments. There was an association between higher levels of perceived mentoring success and both higher NIH funding (p=0.022) and higher departmental publications rates (p=0.022). In addition, higher NIH funding was associated with mentoring relationships that were assigned (80%), self-identified (20%), or mixed (22%), (p=0.026).

**Conclusions:** Our findings help to characterize the variability of faculty mentoring in EM, identify opportunities for improvement, and underscore the need to learn from other successful mentoring programs. This study can serve as a basis to share mentoring practices and stimulate conversation around strategies to improve faculty mentoring in EM.

#### **INTRODUCTION**

#### Background

Mentoring is a fundamental component of career success, engagement, and productivity in academic medicine.<sup>1,2</sup> Successful mentoring relationships have been shown to enhance performance, increase research outcomes, and improve job satisfaction for faculty in academic medicine.<sup>1-6</sup>

Within emergency medicine (EM), mentorship is recognized as an important aspect of individual career development and vital to the growth of academic emergency medicine as a whole.<sup>7-10</sup> As such, it is evident that a timely opportunity exists to investigate mentorship for EM faculty researchers and clinician educators. In July 2015, a compelling article was published entitled "Improving the Emergency Care Research Investigator Pipeline: SAEM/ACEP Recommendations." It highlighted the importance of facilitating mentorship for EM faculty, and the critical need to address the availability of mentors for research track faculty, specifically to improve the low number of EM applicants for junior funding awards.<sup>8</sup> A previous study of the career development needs of junior clinical faculty in EM also identified mentoring as a critical need. Of the 22 career development topics, junior faculty ranked mentoring as second in importance (78%), closely behind teaching skills (81%). However, after examining available centralized resources for faculty, the authors concluded, "the lack of mentorship in academic EM continues to be a problem in search of a solution."<sup>11</sup>

Currently, there is no national standard for faculty mentoring in EM, nor an abundance of original research published on the subject. Outlining the mentorship practices for faculty within EM departments would be an important resource for the development of recommendations regarding future research and best practices. Therefore, the Society for Academic Emergency Medicine (SAEM) Faculty Development Committee was charged to describe the current state of faculty mentorship in academic EM.

The purpose of this descriptive study was to characterize the faculty mentoring programs present in academic EM departments, describe the various structures and practices employed, and identify possible metrics of measuring mentoring effectiveness.

#### **METHODS**

#### **Study Design and Population**

This was a voluntary survey study sent to 135 EM department chairs at United States (U.S.) accredited academic institutions. Final study approval from the SAEM Board of Directors and the Association of Academic Chairs of Emergency Medicine (AACEM) was obtained in 2014. The study received IRB approval from Indiana University. The survey was distributed electronically via the SAEM chairs listserv between April and November 2014. Periodic email reminders were sent to encourage participation. Individual institutions were contacted, thru April 2015, on a case-by-case basis to clarify responses.

#### **Survey Instrument and Measurements**

The survey was created by members of the SAEM Faculty Development Subcommittee on Mentoring and beta tested with five EM chairs who provided feedback on the content, design, and length of the survey. Responses from beta testing were reviewed by three authors and incorporated into the survey by consensus. Examples included clarification of the study purpose, condensing redundant questions, and utilization of branching questions to shorten the survey. In addition, recommendations were made to cross correlate the survey results with subjective and objective metrics of mentoring program effectiveness. Therefore, a question was included asking chairs to rate the success (or effectiveness) of faculty mentoring in their department. While objective outcomes of faculty academic success include advancement, promotion, and academic productivity, we chose to incorporate faculty academic productivity metrics (i.e., number of faculty publications and NIH funding data) as surrogate measures of short to medium term academic success. We included a question requesting the "total number of faculty publications in the calendar year 2012 (only those in print or accepted)." We also included a broad definition of "publications" to account for faculty scholarship in research, education, and administration. This read, "Publications include manuscripts, textbook chapters, review articles, letters to the editor, electronic publications (e.g. MedEdPortal), etc. Publications submitted by greater than one faculty member author may be counted for each author." National Institutes of Health (NIH) funding data for EM departments was obtained from the "Ranking Tables of NIH Funding to US Medical Schools in 2013" compiled from data published in the NIH Research Portfolio Online Reporting Tool (RePORT).<sup>12</sup>

The survey included the following areas of inquiry: department demographics; faculty mentoring programs (i.e., structure, practices, training and expectations); evaluation, recognition, outcome and effectiveness measures (Appendix A).

#### Data Analysis

Survey study data were collected and managed using Research Electronic Data Capture (REDCap) tools hosted at Indiana University and exported to Microsoft Excel.<sup>13</sup> Two authors reviewed data for completeness. All data analyses were performed using SAS® v9.3 software. For demographic data, central tendency was calculated using the mean with standard deviation (SD). Chi-square tests and Wilcoxon Rank Sum tests were used to compare metrics of effectiveness (i.e., publications and NIH funding) and mentoring program types (formal versus informal) across variables of interest. Predetermined significance was set at p<0.05.

#### **RESULTS**

#### **Department demographics**

Of 135 academic EM departments surveyed, 39 (29%) responded. Faculty classifications within departments were primarily clinical educators, followed by clinical researchers, and basic science researchers (Table 1). Data was insufficient to report faculty rank.

### Faculty mentoring structure and practices

All respondents used formal (17/39; 43.6%) or informal (22/39; 56.4%) mentoring programs, with the majority overseen by the chair (Table 2). The earliest formal mentoring program was established in 1995, and over half within the past five years. Mentoring programs were more commonly departmentally based than institutionally based, and a majority incorporated tailored "individual faculty targeted practices."

Chairs reported that mentor-mentee pairing is based on research interest (53.8%), career niche (53.8%), and/or a skills assessment (48.7%). Less consideration was placed on gender (28.2%) or diversity (10.3%) factors. While departments used both assigned and self-identified mentors, mentor pools were drawn from a combination of internal and external mentors (Table 2).

In order to augment traditional faculty mentoring structures, departments often utilized project or skills based mentoring, peer mentoring, (66.7% and 53.8%, respectively), and the majority (71.8%) encouraged mentees to self-identify mentors to expand their mentoring networks. Mentoring committees and assignment of temporary mentors for junior faculty were less commonly employed practices (Table 2).

### Faculty mentoring expectations and training

Although the majority of departments expected junior and senior faculty to participate in mentoring relationships (74.4% and 81.6%, respectively), just over one third provided specific guidance on mentoring expectations and less than one half offered some form of mentoring training (Table 2).

## Faculty mentoring recognition, evaluation and metrics of effectiveness

While 30% of chairs perceived their faculty mentoring programs to be successful or extremely successful, the remaining majority reported mixed results (Table 3). Half of departments recognized outstanding mentorship in the form of mentoring awards. Although few had a formal process to evaluate the mentoring relationship itself, the majority of chairs used metrics to evaluate the effectiveness of their mentoring programs. These metrics included academic advancement or promotion (64.1%), funding (43.6%), number of publications (56.4%), and annual evaluations (33.3%). The mean number of faculty publications reported per department per year was 52.8 (SD 51.0). Of the 39 respondents, 11 departments (28.2%) were ranked in the top 35 NIH funded EM Department in the U.S.<sup>12</sup>

## Comparisons across variables of interest

The following associations were noted when we compared surrogate markers of mentoring program effectiveness (i.e., faculty publication rates and NIH funding rankings) across the variables of interest listed in Table 4. EM departments who reported higher levels of perceived mentoring success were associated with higher mean numbers of faculty publications per department (p=0.022) and higher NIH

funding (p=0.022). There was also an association found between higher NIH funding and whether mentoring relationships were assigned (80%), self-identified (20%), or mixed (22.2%); (p=0.026). There was no association found between the type of mentoring program (formal versus informal) and publication rates (p=0.116) or NIH funding (p=0.158).

Further comparisons noted a significant difference between program types (formal versus informal) and whether mentoring relationships were assigned (29.4% vs 0%), self-identified (11.8% vs 61.9%) or a mixture of both (58.8% vs 38.1%), p=0.002 (Table 5).

## **DISCUSSION**

This study fills a gap in the literature by describing the current state of faculty mentorship in academic EM as reported by a self-selected sample of department chairs. Our findings highlight the heterogeneous faculty composition in EM departments, which, despite our small sample size, is similar to national data from the American Association of Medical Colleges (AAMC) in terms of gender and race.<sup>14,15</sup> In addition, EM departments nationally are made up primarily of physician faculty, including clinicians educators and clinician researchers, with fewer basic scientists.<sup>14</sup>

All respondents reported either formal (43.6%) or informal (56.4%) faculty mentoring programs. This differs from a recent survey of mentoring practices in departments of surgery in which only 54% of chairs reported having established mentoring programs (n=41/76), most of which were informal and unstructured.<sup>16</sup> We also found that whether the program was formal or informal, there was no appreciable difference in the subjective or objective outcomes metrics we compared. Research examining the success of formal versus informal mentoring programs, in terms of participant satisfaction, is overall inconclusive, but suggests positive trends. One study found that faculty in academic medicine associated inclusion in a formal mentoring program with higher research productivity.<sup>17</sup> Similarly, Shollen, et al. suggested that a formal mentoring program tends to increase academic productivity (i.e., article production) while an informal program tends to increase career satisfaction.<sup>18</sup>

Our study characterized the variability of faculty mentoring practices in EM departments, which can be categorized into practices that either *facilitate* or *augment* the traditional dyad mentoring model. This variability is not uncommon in other departments or institutions, and is arguably a necessity in order to expand the network of mentors available to a faculty member and provide the multi-dimensional guidance required to be engaged and successful.<sup>5,16</sup>

Mentoring practices that *facilitate* the traditional mentoring relationship, as identified in our study, included assigning a mentor until a primary mentor can be established, self-identifying mentors, and mentor-mentee pairing based on key factors. The literature supports using a combination of assigning or pairing mentors and self-identifying mentors. The mentee benefits from the mentors' expertise, influence, institutional knowledge (i.e., how to get things done), networking connections, and sponsorship.<sup>3,19</sup> These pairings may also facilitate the mentee's ability to choose a potentially more suited mentor or add complementary mentors.

We found that chairs incorporated several mentoring practices that *augment* the traditional mentoring relationship including functional mentoring (i.e., project or skills based mentoring), peer mentoring, and less often, mentoring committees. More than half of chairs reported the use of a functional mentoring model (i.e., project-based or skills-based mentoring) in which the mentee identifies his/her needs and then chooses a mentor with the skills or expertise to match.<sup>20</sup> This mentoring relationship is time-limited, results oriented, with measurable outcomes. Thorndyke et al. studied of the effectiveness of a functional mentoring program for 165 junior faculty and found that 85% reported enhanced skills in initiating and negotiating a mentoring relationship, 85% believed their mentor made a significant impact on their project, and 92% believed their project would significantly impact

their career.<sup>20</sup> Peer mentoring was also utilized by over half of chairs surveyed. In this model, faculty at the same level meet to work collectively on a project, offer professional or personal support, share advice, or gain feedback. Studies have shown that peer mentoring offers the benefits of mutual support and collaboration,<sup>3</sup> as well as an "enhanced, inclusive, and appreciative culture."<sup>21</sup> In terms of measurable outcomes, facilitated peer mentoring has been show to have a positive impact on academic skills and publication rates.<sup>22,23</sup> DeCastro et al. interviewed 100 former NIH mentored career development awardees and 28 mentors and reported the importance of cultivating several mentoring relationships, including peer mentors. The study concluded that due to "the numerous roles and behaviors associated with mentoring in academic medicine" there exists "the improbability of finding a single person who can fulfill the diverse mentoring needs of another individual," which underscores "the importance and composition of mentoring networks."<sup>3</sup>

Although the majority of department chairs in our study expected junior and senior faculty to participate in a mentoring relationship, many did not provide mentoring training, set expectations for the mentors and mentees to follow, or offer a formal process to evaluate the mentoring relationship itself. This implies that although mentoring is an expectation, many basic structures to support and cultivate mentorship may not be in place. These findings are similar to other studies on faculty mentoring, including those from EM, that have identified similar gaps in mentoring training, establishing expectations, and the evaluation process. <sup>8,11,16,24</sup> This opens up opportunities for EM to learn from more robust programs.

Historically, it has not been the standard for mentors to receive formal mentoring skills training. Instead, mentors typically "learned" by example, trial and error, or peer observation,<sup>25-27</sup> However, several recent studies have described promising approaches to mentoring training. Pfund et al. described a successful competency based mentoring training program tested as part of a RCT at 16 academic sites with 283 mentor-mentee pairs.<sup>28</sup> Results showed a significant improvement in mentors'

skills as reported by the mentors (p<0.001) and mentees (p=0.003), as well as positive changes in mentoring practices and behaviors (p<0.001).<sup>28</sup> Another example from the University of Wisconsin Institute for Clinical Translational and Science (ICTS) described a rigorously studied structured mentoring training program that has been transformed into a national resource for building and evaluating mentoring programs for a variety of clinical research niches.<sup>29,30</sup>

In terms of setting mentoring expectations and providing evaluation processes, Huskins et al. reported evidence that underscores the importance of identifying and aligning mentoring expectations while emphasizing the use of mentoring resources and tools to facilitate and maintain the relationship (e.g., mentoring contracts, agreements, evaluations).<sup>31</sup> Many institutions have designed centralized online resources to support local mentoring programs and practices.<sup>32-34</sup> Web based platforms utilize the benefit of asynchronous technology to reach a dispersed, heterogeneous faculty base. The University of Minnesota offers an online mentor training program designed to be self-paced across five modules including the topics of mentoring models, roles and responsibilities, structure and dynamics, strategies for facilitating and addressing challenges in the mentoring process.<sup>35</sup> Another example is the Indiana University School of Medicine's "Faculty Mentoring Portal," which provides tailored mentoring toolkits based on universal mentoring principles addressing: 1) Qualities for Success, 2) Roles & Responsibilities, 3) Goal Setting, 4) Mentoring Meetings, 5) Evaluation/Feedback.<sup>32,36</sup>

Chairs reported tracking traditional metrics of academic success (i.e., publications, funding, and academic advancement or promotion). However, these markers tend to reflect traditional research-based faculty outcomes, and have the potential to inadequately represent the full achievements of all faculty types, especially clinical faculty (e.g., clinical educators, administrators, or service line). Hence, we must step back and ask if these metrics encompass all the outcomes we care about when measuring a successful mentoring program in EM? And, if not, tailor these metrics accordingly to account for a heterogeneous faculty experience. The literature offers

the following measures to expand mentoring outcomes: faculty retention, the growth and maintenance of a diverse department, mentee and mentor job satisfaction, the level of engagement with the department, individual goal outcomes, and broader definitions of scholarship.<sup>7,37,38</sup> Omission of these important outcomes may explain the high level of ambivalence reported by EM chairs in our study towards their own mentoring programs.

While our data suggests that EM departments with higher publication and NIH funding rates have chairs who perceived greater mentoring success within their own departments, these results must be interpreted with caution and are not meant to imply causality. These surrogate markers reflect traditional research career milestones, potentially exclude other faculty outcomes, and are influenced by many variables, of which mentorship is only one.

Finally, although our study did not set out to identify mentoring practices specific to faculty classifications, our results suggest that many EM chairs recognize the unique needs of their diverse faculty and offer targeted mentoring practices. For clinician educators, who make up the vast majority of EM faculty, it is imperative to recognize that a one-size-fits-all approach to faculty mentoring is inadequate. Two studies surveying faculty in large academic medical centers that found clinician-educators were significantly more likely to feel inadequately mentored than clinicianscientists or research faculty at the same institution.<sup>39,40</sup> The literature is replete with articles that underscore the heterogeneous nature of faculty work and the importance of tailoring the structure and intensity of the mentoring practices to the faculty group.<sup>7,8,24</sup> For mentoring clinician educators, Reader et al. described a multilevel approach to mentoring that incorporated senior mentors and peer mentoring. Participants reported increased confidence and ability in research skills and increased academic productivity (i.e. publications and presentations).<sup>41</sup> In another study, junior clinician educators were offered comprehensive mentoring and resources tailored to their needs (i.e., research assistant, IRB preparation, data and statistical support, protected time), and outcomes revealed increased numbers of

publications, increased national reputation, and higher promotion rates.<sup>42</sup> Examples such as these serve as potential blueprints for tailoring faculty mentoring in EM departments.

#### **LIMITATIONS**

Our study has several limitations. Although the response rate is low, it is similar to other web-based surveys<sup>43</sup> and respondents reflected the intended study population. The survey study design is susceptible to response biases that may affect the accuracy of the data. These biases are introduced by the length of the survey (fatigue bias), the small sample size (nonresponse bias), and self-selection (voluntary response bias) by chairs more likely to engage in faculty mentoring practices. Additionally, social desirability bias may have contributed to overstated responses, however we attempted to diminish the impact of this bias by maintaining confidentiality and verifying data on a case-by case basis. Recall bias was potentially introduced by the survey content requesting information from past practices and outcomes. Additionally, the estimated time to complete our survey assumed that respondents had ready access to the demographic and faculty publication data, which may not have been true in all cases. Finally, we acknowledge the limitations of using the traditional research metrics (i.e., publications rates and NIH funding) as measures of mentoring success in EM and were cautious to report associations and not causality.

### **CONCLUSIONS**

Our results characterize the current state of faculty mentorship in a self-selected group of academic EM departments and highlight the variability with respect to mentoring programs, practices, structures, training, evaluation, and outcome measures. The next steps are to use this information to engage in conversations around faculty mentorship, learn from other successful mentoring initiatives, and incorporate mentoring practices that support the heterogeneity of the faculty experience.

#### **REFERENCES**

- 1. Allen TD, Eby LT, Poteet ML, Lima L. Career benefits associated with mentoring for protégés: a meta-analysis. J Appl Psycho. 2004;89:127-139.
- Sambunjak D, Straus SE, Marusic A. Mentoring in academic medicine: a systematic review. JAMA. 2006;296:1103-1115.
- DeCastro R, Sambuco D, Ubei PA, Stewart A, Jagsi R. Mentor networks in academic medicine: moving beyond a dyadic conception of mentoring for junior faculty researchers. Acad Med. 2013;88:488-494.
- Pfund C, Byars-Winston A, Branchaw J, Hurtado S, Eagan K. Defining Attributes and metrics of effective research mentoring relationships. AIDS Behav. 2016;20(2):238-248.
- Tillman RE, Jang S, Pincus HA. Policies, activities and structures supporting research mentoring: a national survey of academic health centers with Clinical and Translational Science Awards. Acad Med. 2013;88:90-96.
- Wingard DL, Garman KA, Reznik V. Facilitating faculty success: outcomes and cost benefit of the UCSD National Center of Leadership in Academic Medicine. Acad Med. 2004;79:S9-11.
- 7. Farrell SE, Digiola NM, Broderick KB, Coates WC. Mentoring for clinicianeducators. Acad Emerg Med. 2004;11:1346-50.
- Ranney ML, Limkakeng AT, Carr B, Zink B, Kaji AH, ACEP SAEM Research Committees (approved by ACEP-SAEM Board of Directors).
   Improving the Emergency Care Research Investigator Pipeline: SAEM/ACEP Recommendations. Acad Emerg Med. 2015;22(7):849-851.
- Welch JL, Jimenez HL, Walthall J, Allen SE. Women in emergency medicine mentoring program: an innovative approach to mentoring. J Grad Med Educ. 2012;4:362-366.
- 10. Yeung M, Nuh J, Stiell I. Mentoring in emergency medicine: the art and the evidence. CJEM. 2010;12:143-149.

- 11. Farley H, Casaletto J, Ankel F, Young KD, Hockberger R. An assessment of the faculty development needs of junior clinical faculty in emergency medicine. Acad Emerg Med. 2008;15(7):664-8.
- 12. Blue Ridge institute. Ranking Tables of NIH Funding to US Medical Schools in 2013. Compiled by the Blue Ridge Institute for Medical Research from data published in the NIH Research Portfolio Online Reporting Tool (RePORT). Available at:

http://www.brimr.org/NIH\_Awards/2013/NIH\_Awards\_2013.htm. Accessed June 6, 2014.

- 13. Harris PA, Taylor R, Thiekle R, Payne J, Gonzalez N, Conde JG. Research Electronic Data Capture (REDCap) - A metadata-driven methodology and workflow process for providing translational research informatics support. J Biomed Inform. 2009;42(2):377-81.
- 14. American Associate of Medical Colleges (AAMC) Faculty Roster: U.S. Medical School Faculty 2014. (Tables 1, 6, 16, and 19). Available at: https://www.aamc.org/data/facultyroster/reports/420598/usmsf14.html. Accessed March 15, 2016.
- 15. American Associate of Medical Colleges (AAMC) Women in U.S. Academic Medicine and Science: Statistics and Benchmarking Report, 2013-2014. (Table 3). Available at: https://www.aamc.org/members/gwims/statistics/#tables. Accessed

March 15, 2016.

- 16. Kibbe MR, Pellegrini CA, Townsend CM, Helenowski IB, Patti MG.
  Characterization of mentorship programs in departments of surgery in the United States [published online July 6, 2016]. *JAMA Surg.* doi:<u>10.1001/jamasurg.2016.1670</u>.
- 17. Bland CJ, Center BA, Finstad DA, Risbey KR, Staples JG. A theoretical practical predictive model of faculty and department research productivity. Acad Med. 2005;80:225-237.

- 18. Shollen S, Bland C, Center B et al. Relating mentor type and mentoring behaviors to academic medicine faculty satisfaction and productivity at one medical school. Acad Med. 2014; 89(9):1267-1275.
- 19. Katerndahl DA1, Longo DR, Griswold K. Issues important to the research mentoring relationship. Fam Med. 2011;43(3):193-7.
- 20. Thorndyke LE, Gusic ME, Milner RJ. Functional mentoring: a practical approach with multilevel outcomes. J Contin Educ Health Prof. 2008;28(3):157-64.
- 21. Pololi LH, Evans AT. Group peer mentoring: an answer to the faculty mentoring problem? A successful program at a large academic department of medicine. J Contin Educ Health Prof. 2015;35(3):192-200.
- 22. Chen MM, Sandborg CI, Hudgins L, Sanford R, Bachrach LK. A multifaceted mentoring program for junior faculty in academic pediatrics. Teach Learn Med. 2016;28(3):320-8.
- 23. Varkey P1, Jatoi A, Williams A, Mayer A, Ko M, Files J, et al. The positive impact of a facilitated peer mentoring program on academic skills of women faculty. BMC Med Educ. 2012;12:14.
- Brown GM, Land E, Patel K, McRae A, Chung B, Yoon P, et al. National faculty development needs assessment in emergency medicine. CJEM. 2016;18:161-82.
- 25. Pfund C, House S, Spencer K, et al. Research mentor training curriculum for clinical and translational researchers. Clin Transl Sci. 2013;6(1):26-33.
- 26. Pfund C, Spencer KC, Asquith P, et al. Building national capacity for research mentor training: an evidence-based approach to training the trainers. CBE Life Sci Educ. 2015;14(2):1-12.
- 27. Silet KA, Asquith P, Fleming MF. Survey of mentoring programs for KL2 scholars. Clin Transl Sci. 2010;3:299–304.
- 28. Pfund C, House SC, Asquith P, Fleming MF, Buhr KA, Burnham EL, et al. Training mentors of clinical and translational research scholars: a randomized controlled trial. Acad Med. 2014;89(5):774-782.

- 29. Sorkness, C. A., Pfund, C., Asquith, P. and Drezner, M. K. Research mentor training: initiatives of the University of Wisconsin Institute for Clinical and Translational Research. Clin Transl Sci. 2013;6: 256–258.
- 30. University of Wisconsin-Madison Institute for Clinical and Translational Research (UWICTR). Research Mentoring. Available at: https://mentoringresources.ictr.wisc.edu. Accessed April 1, 2016.
- 31. Huskins WC, Silet K, Weber-Main AM, Begg MAD, Fowler VG, et al. Identifying and aligning expectations in a mentoring relationship. Clin Transl Sci. 2011;4(6):439–447.
- 32. Indiana University School of Medicine, Office of Faculty Affairs and Professional Development, Faculty Mentoring Portal. Available at: http://faculty.medicine.iu.edu/mentoring/. Accessed April 1, 2016.
- 33. University of California-San Francisco's Mentorship Development Program. Available at: http://accelerate.ucsf.edu/training/mdp-materials. Accessed April 1, 2016.
- 34. University of Pittsburgh's Mentoring Resources Web Site. Available at: http://www.icre.pitt.edu/mentoring/index.aspx. Accessed April 1, 2016.
- 35. University of Minnesota Medical School, Faculty Affairs, Career Mentoring Resources Website. Available at: https://hub.med.umn.edu/facultyaffairs/career-mentoring-resources. Accessed April 1, 2016.
- 36. Welch JL, Hoffman-Longtin, K. Cohen-Dell M, Eynon J, Rusyniak D, Dankoski M. Building a culture of mentoring via a faculty mentoring portal. In: The Mentoring Continuum: From Graduate School through Tenure. Syracuse, New York: The Graduate School Press of Syracuse University, 2015:169-184.
- 37. Kashiwagi DT, Varkey P, Cook DA. Mentoring programs for physicians in academic medicine: a systematic review. Acad Med. 2013;88:1029-37.
- 38. Pololi LH, Evans AT, Civian JT, Vasiliou V, Coplit LD, Gillum LH, et al. Mentoring faculty: a US national survey of its adequacy and linkage to culture in academic health centers. J Contin Educ Health Prof. 2015;35(3):176-184.
- 39. Chew LD, Watanabe JM, Buchwald D, Lessler DS. Junior faculty's perspectives on mentoring. Acad Med. 2003;78:652.

- 40. Feldman MD, Arean PA, Marshal SJ, Lovett M, O'Sullivan P. Does mentoring matter: results from a survey of faculty mentees at a large health sciences university. Med Educ Online. 2010;15:5063.
- 41. Reader S, Fornari A, Simon S, Townsend J. Promoting faculty scholarship: an evaluation of a program for busy clinician-educators. Can Med Educ J. 2015;6(1):e43-60.
- 42. Bertram A, Yeh HC, Bass EB, Brancati F, Levine D, Cofrancesco J. How we developed the GIM Clinician–Educator Mentoring and Scholarship Program to assist faculty with promotion and scholarly work. Medical Teacher. 2015;37:131-135.
- 43. Shih T, Fan X. Comparing response rates from web and mail surveys; a metaanalysis. Fiedl Meth 2008;20:249-271.

TABLES 1-5 (separate files)

## SUPPLEMENTAL MATERIAL

Appendix A: Department Faculty Mentoring Survey (separate file)

## Table 1. Emergency Medicine Department Characteristics

aculty Demographics	Mean or % (SD)
No. Years Department Established	24.2 (12.3)
No. Academic Faculty	36.8 (20.4)
No. Female Faculty	13.7 (9.8)
% Female Faculty	35.0 (9.4)
No. Minority Faculty	3.0 (3.5)
% Minority Faculty	9.2 (16.6)
No. Tenure Track Faculty	2.6 (7.2)
% Tenure Track Faculty	7.9 (17.8)
culty Classifications within Departments (total N = 39)	No. (%)
Depts. with Clinical Educators (%)	36 (92.3)
Depts. with Clinical Researchers (%)	16 (41.0)
Depts. with Basic Science Researchers (%)	6 (15.4)
Depts. with Other Classifications (e.g., clinicians, administrators, adjunct) (%)	10 (25.6)
epartment Breakdown by Faculty Classifications	Mean (SD)
Clinical Educators	27.7 (20.4)
Clinical Researchers	3.2 (7.4)
Basic Science Researchers	0.2 (0.5)
Other (e.g., clinicians, administrators, adjunct)	4.5 (9.7)

SD = standard deviation

## Table 2. Faculty Mentoring Structure, Practices, Expectations, and Training

Survey Response (Total N=39)	% Yes (n)
Type of mentoring program:	
Formal	43.6 (17)
Year formal program established (range)	(1995-2014)
Informal	56.4 (22)
Faculty mentoring is a part of:	
Department-based program	56.4 (22)
Institutionally-based program	35.9 (14)
Individual faculty targeted practices	59.0 (23)
Participation in faculty mentoring relationships is:	•
Mandatory	20.5 (8)
Voluntary	59.0 (23)
Both	20.5 (8)
Faculty mentoring relationships are:	
Assigned	13.2 (5)
Self-identified	39.4 (15)
Mixed	47.4 (18)
Mentor/Mentee pairing is based on:	
Research Interest	53.8 (21)
Career Niche	53.8 (21)
Skills or Need Assessment	48.7 (19)
Gender	28.2 (11)
Diversity	10.3 (4)
Other determined by faculty member	20.5 (8)
Other	2.6 (1)
Mentors can be from:	
Within the department	94.9 (37)
Other departments within the institution	87.2 (34)
Outside institutions	56.4 (22)
Mentoring practices utilize:	
Mentoring Committees	18.0 (7)
Assign temporary advisor to find primary mentor	23.1 (9)
Functional mentoring	66.7 (26)
Peer mentoring	53.8 (21)
Faculty self-identified additional mentors	71.8 (28)
Utilization of external academic mentors	51.3 (20)
Other	5.1 (2)
Who oversees mentoring program?	
Chair	74.4 (29)
Vice-Chair	35.9 (14)
Formal committee	5.1 (2)
Appointed faculty member	18.0 (7)
Other	12.8 (5)
None (i.e., no one)	10.3 (4)
Faculty mentoring expectations include:	
Expectation that junior faculty have a mentor	74.4 (29)
Expectation that senior faculty willing to serve as a mentor	81.6 (31)
Mentees and mentors provided with specific expectations	38.5 (15)
Mentors and mentees are trained via:	
Department provided training	23.1 (9)
Institution provided training	46.2 (18)
Online resources	12.8 (5)
Other	5.1 (2)
None (i.e., no training provided)	43.6 (17)

### Table 3. Faculty Mentoring Recognition, Evaluation, and Metrics of Effectiveness

urvey Response (Total N=39)	% Yes (n)
Aentoring recognition and awards	
Chairman/division chief give awards for faculty mentoring	56.4 (22)
Aentoring Relationship (mentor/mentee)	
Do you track specific outcomes for the mentoring relationship?	30.8 (12)
Do you offer a formal process to evaluate the mentoring relationship?	12.8 (5)
tate the success and effectiveness of faculty mentoring program.	
Extremely Successful	7.7 (3)
Successful	20.5 (8)
Mixed Results	69.2 (27)
Unsuccessful	2.6 (1)
Extremely Unsuccessful	0.0 (0)
Vhat parameters or metrics do you track to evaluate mentoring progra	am?
Academic advancement or promotion	64.1 (25)
Number of grants	43.6 (17)
Number of publications	56.4 (22)
Annual evaluations	33.3 (13)
Other	0.0 (0)
None	28.2 (11)
IIH funding metrics	
No. Departments in top 35 NIH funded EM Departments*	28.2 (11)
Publications (annual)	Mean (SD)
No. Faculty publications per department	52.8 (51.0)
No. Faculty Publications per faculty member	1.4 (1.1)

\*Ranking Tables of NIH Funding to US Medical Schools in 2013. Compiled by the Blue Ridge Institute from data published in the NIH Research Portfolio Online Reporting Tool (RePORT). SD = standard deviation

Variable of Interest	Mean No. Faculty Publications per Department (SD)	Mean No. Faculty Publications per Faculty Member (SD)	Top NIH Funded EM Depts %
Type of mentoring program:			
Informal mentoring program	42.2 (42.1)	1.3 (1.0)	18.2
Formal mentoring program	66.7 (59.0)	1.5 (1.2)	41.2
p-value*	0.116	0.479	0.158
Participation in mentoring relationship	is:		
Mandatory	95.8 (76.1)	1.9 (1.4)	50.0
Voluntary	44.4 (41.3)	1.3 (1.1)	30.4
Both	34.2 (15.2)	1.3 (0.7)	0.0
p-value*	0.143	0.304	0.079
Faculty mentoring relationships are:			
Assigned	106.2 (90.7)	2.0 (1.7)	80.0
Self-identified	37.6 (25.3)	1.1 (0.7)	20.0
Mixed	52.8 (47.1)	1.6 (1.1)	22.2
p-value*	0.233	0.206	0.026
Mentoring training is provided:			
Yes	51.9 (40.7)	1.4 (1.0)	18.2
No	54.1 (63.2)	1.4 (1.3)	41.2
p-value*	0.282	0.462	0.158
Perceived success and effectiveness of f	aculty mentoring program:	1	
Extremely Successful	118.0 (71.1)	2.7 (1.5)	100.0
Successful	71.8 (57.8)	1.7 (1.5)	37.5
Mixed Results	41.4 (41.4)	1.2 (0.8)	18.5
Unsuccessful	15.0 (-)	0.3 (-)	0.0
p-value*	0.022	0.081	0.022

## Table 4. Comparison of Metrics of Effectiveness Across Variables of Interest

\*p-value < 0.05 indicates significance \*\*Eleven of the responding departments fell within the top 35 NIH funded EM departments

## Table 5: Comparison of Mentoring Program Type (informal vs formal) Across Variables of Interest

Variable of Interest	Formal (n=17) % (n)	Informal (n=22) % (n)	p-value*
Participation in mentoring relationships is:			0.084
Mandatory	35.3 (6)	9.1 (2)	
Voluntary	41.2 (7)	72.7 (16)	
Both	23.5 (n-4)	18.2 (4)	
Faculty mentoring relationships are:			0.002
Assigned	29.4 (5)	0.0 (0)	
Self-identified	11.8 (2)	61.9 (13)	
Mixed	58.8 (10)	38.1 (8)	
Mentoring training is provided:			0.358
Yes	64.7 (11)	50.0 (11)	
No	35.3 (6)	50.0 (11)	
Perceived success and effectiveness of faculty mentoring program:			0.205
Extremely Successful	5.9 (1)	9.1 (2)	
Successful	35.3 (6)	9.1 (2)	
Mixed Results	58.8 (10)	77.3 (17)	
Unsuccessful	0.0 (0)	4.6 (1)	

\*p-value < 0.05 indicates significance

# SAEM Emergency Department Mentoring Practices and Page 1 of 7 Programs Survey

Faculty Mentoring Practices in Academic Emergency Medicine:

Mentoring is a fundamental component of academic medicine. The purpose of this survey is to begin to identify and understand the practices and programs for faculty mentoring in academic emergency medicine departments for further research.

We greatly appreciate your contribution for this project!

It should only take 10-15 minutes to complete.

Confidentiality will be maintained by those individuals with access to your contact information and survey responses.

If there are any questions, please contact Julie Welch at jlwelch@iupui.edu.

## **Department Demographics**

Name of Institution:

Year Department of Division of EM was established:

Total number of academic faculty members in your department:

Total number female faculty:

Total number of faculty who are underrepresented minorities (black/African American, Hispanic/Latino and American Indian/Alaska Native):

Number of tenure track faculty:

Faculty are classified as (primary classification):

(Please list number of each with  $\$  separating groups. If none use a 0. )

Clinical Educators
Clinical Researchers

Basic Science Researchers

Other

Number of each classification selected:

Please explain or define:



Total number of faculty publications in the calendar year 2012 (only those in print or accepted)?

(Publications include manuscripts, textbook chapters, review articles, letters to the editor, electronic publications (e.g. MedEdPortal), etc. Publications submitted by greater than one faculty member author may be counted for each author.)



Faculty Mentoring Structure	
Faculty mentoring in your department is:	<ul> <li>Formal (part of a mentoring program that tracks progress and outcomes and requires evaluations)</li> <li>Informal (in an ad hoc manner as needed)</li> </ul>
What year did you start your formal program?	
Faculty mentoring in your department occurs as a part of a: (select all that apply)	<ul> <li>Department-based program</li> <li>Institutionally-based program</li> <li>on an individual faculty basis</li> </ul>
Participation in faculty mentoring relationships by your EM faculty is:	<ul> <li>Mandatory</li> <li>Voluntary</li> <li>Both (mandatory for certain faculty groups and voluntary for others [e.g. mandatory for basic science and clinical research faculty but voluntary for clinical educators]</li> </ul>
Please explain:	
Are faculty mentoring relationships for your EM faculty:	<ul> <li>Assigned (e.g. a junior faculty mentee assigned to a senior mentor, a junior faculty mentee is assigned to a mentoring committee, etc.)</li> <li>Self-identified (e.g. a junior faculty mentee is responsible to find and select a mentor)</li> <li>Mixed (both assigned and self-identified components)</li> </ul>
Mentor/mentee pairs are based upon the following criteria/factors: (select all that apply)	<ul> <li>Research interest</li> <li>Career niche</li> <li>Skills or needs assessment</li> <li>Gender</li> <li>Diversity</li> <li>Other criteria determined by individual faculty members</li> <li>Other:</li> </ul>
Please explain:	
What methods are in place to help facilitate the selection of a mentor?	(examples include database of mentor niches and skills, meeting with mentees to identify needs and suggest mentors, etc.)
Mentors can be from: (select all that apply)	<ul> <li>Within the department of EM</li> <li>From other departments within the institution</li> <li>From outside institutions/mentorship experts</li> <li>Other:</li> </ul>

Please describe:



**Faculty Mentoring Practices** 

Which of the following faculty mentoring practices does your department use (select all that apply)	<ul> <li>"Mentoring committees" for junior faculty mentees that consist of several mentors</li> <li>Assign a temporary advisor to all new faculty hires (for a limited time frame) to assist in finding a primary mentor</li> <li>Functional mentoring (mentoring relationships established for a specific project or goal)</li> <li>Peer mentoring (often in departments with many junior faculty or gender-related topics)</li> <li>Faculty self-identify additional mentors</li> <li>Utilization of external academic mentors</li> <li>Other:</li> </ul>
Please describe your process and whether they are limited to certain faculty groups.	((e.g., tenure track, research faculty, etc.))
Please describe:	
Who oversees the mentoring relationships, program, and/or practices within your department?	<ul> <li>Chair</li> <li>Vice-Chair</li> <li>Formal committee (e.g. faculty development committee, mentoring committee, etc.)</li> <li>Appointed faculty mentor</li> <li>None</li> <li>Other:</li> </ul>
Please describe/define:	



#### Faculty Mentoring Training, Expectations and Evaluation

Is it an expectation (either formal or informal) within your department that junior faculty have a mentor?

Is it an expectation (either formal or informal) within your department that senior faculty are willing to serve as a mentor to junior faculty?

Are the mentees and mentors in your department provided with specific expectations of their roles and responsibilities?

How are the mentors and mentees trained for their roles? (select all that apply)

Please explain:

Do you track specific outcome measures from your faculty mentoring relationships?

If Yes, please describe:

Is there a formal process for the mentor and mentee to evaluate the mentoring relationship?

Please elaborate on this process: (select all that apply)

Please explain:

Do you track any of the following parameters or metrics to assess the effectiveness of your mentoring program? (select all that apply)

Please explain:

How would you rate the success (or effectiveness) of faculty mentoring in your department?

Please explain:

Does the chairman and/or division chief track individual faculty mentoring relationships (e.g. at their annual review)?

<ul><li>○ Yes</li><li>○ No</li></ul>
○ Yes ○ No
○ Yes ○ No
<ul> <li>Our department provides training</li> <li>Our institution provides training</li> <li>Online resources</li> <li>There is no training</li> <li>Other:</li> </ul>
<ul> <li>○ Yes</li> <li>○ No</li> </ul>
⊖ Yes ⊖ No
<ul> <li>Evaluation of mentor's skills (by mentee)</li> <li>Evaluation of mentee's skills and progress (by mentor)</li> <li>Self evaluation by mentee</li> <li>Self evaluation by mentor</li> <li>Evaluation of mentoring relationship by both mentor and mentee</li> <li>Evaluation of mentoring program</li> <li>Other:</li> </ul>
<ul> <li>Academic advancement or promotion</li> <li>Number of grants</li> <li>Number of publications</li> <li>Annual evaluations of mentoring relationships/program</li> <li>None</li> <li>Other:</li> </ul>
<ul> <li>Extremely successful</li> <li>Successful</li> <li>Mixed results (some elements work while others need attention)</li> <li>Unsuccessful</li> <li>Extremely unsuccessful (miserable failure)</li> <li>Other:</li> </ul>

○ Yes○ No



# Recognition

Does your department give awards for faculty mentoring (to faculty mentoring other faculty)?	⊖ Yes ⊖ No
Does your institution give awards for faculty mentoring (to faculty mentoring other faculty)?	⊖ Yes ⊖ No



## Tell us more about your faculty mentoring program.

What works well?

What are you most proud of?

What would you change or add to enhance faculty mentoring?

