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# Pre-Operative Outpatient Physical Therapy Of A Torn Rotator Cuff And Suspected Nerve Injury Caused By Anterior Shoulder Dislocation: A Case Report

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1                   **Pre-Operative Outpatient Physical Therapy of a Torn Rotator**  
2                   **Cuff and Suspected Nerve Injury Caused by Anterior Shoulder Dislocation:**

3                                   **A Case Report**

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16       The patient signed an informed consent allowing the use of medical information for this report  
17       and received information on the institutions' policies regarding the Health Insurance Portability  
18   and Accountability Act

19  
20  
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23       plan of care

24 **Abstract:**

25 **Background and purpose:**

26 Rotator cuff repair surgeries are very common and there are many different post-operative  
27 rehabilitation protocols available, but there is little to no literature on pre-operative therapy. The  
28 purpose of this case report is to investigate the effectiveness of pre-operative passive range of  
29 motion (ROM), joint mobilization and exercises for a patient with both a torn rotator cuff and a  
30 suspected neuropraxic peripheral nerve injury.

31 **Case Description:**

32 The patient was a healthy 47-year-old male with no prior medical or surgical history. He was  
33 referred to outpatient physical therapy for pre-operative joint mobilization and exercise for his  
34 left shoulder, which he anteriorly dislocated in a snowboarding accident. He also reported  
35 progressive loss of function and sensation in his left distal upper extremity (UE), which began 1-  
36 2 days after his accident. He was seen for four physical therapy sessions including the initial  
37 evaluation prior to undergoing rotator cuff surgery.

38 **Outcomes:**

39 The patient reported decreased pain, and improved sensation and demonstrated improved motor  
40 control of his left distal UE; but showed little measurable improvement in the QuickDASH  
41 functional outcome measure during the short time before his rotator cuff surgery.

42 **Discussion:**

43 Although the patient was only seen for a short period of time, and demonstrated little  
44 improvements on the QuickDASH, pre-operative physical therapy may still be effective for  
45 rotator cuff repairs. The patient reported decreased pain levels and improved motor control and  
46 sensation of his left distal UE. He also reported feeling less anxious about his surgery and better  
47 prepared for post-operative rehabilitation.

48 **Word count: 3,446**

49 **Background and Purpose:**

50 Out of all the joints in the body, the glenohumeral (GH) joint has the greatest amount of  
51 mobility.<sup>1</sup> This makes the GH joint highly susceptible to instability and injury. It is one of the  
52 most frequently dislocated joints; with the overall incidence rate in the US being 23.9 per  
53 100,000 persons-year and 48.3% of those injuries occurring during sports or recreation.<sup>2</sup> Hayes et  
54 al.<sup>3</sup> reported that up to 98% of all traumatic shoulder dislocations are in the anterior direction.  
55 There are many injuries associated with traumatic anterior shoulder dislocation, including rotator  
56 cuff tears, greater tuberosity fractures and neurological deficits. A study of the prevalence of  
57 neurological deficits, greater tuberosity fractures and rotator cuff injuries associated with a  
58 traumatic anterior GH dislocation found that the risk of neurological deficit was greater in  
59 patients who also had a greater tuberosity fracture or rotator cuff injury.<sup>4</sup>

60 The two main treatment options for rotator cuff injuries are surgical repair with physical  
61 rehabilitation afterwards, or purely conservative rehabilitation. This decision is usually based on  
62 the age and general health of the individual, their occupation and/or hobbies.

63 There are numerous post-surgical rehabilitation protocols. Most of which have the same  
64 basic layout but differ in the exercises used and how and when they progress the patient through  
65 the rehabilitation process. A review of the current concepts and evidence based guidelines on  
66 rehabilitation after arthroscopic rotator cuff repair by van der Meijden et al.<sup>5</sup> concluded that a  
67 majority of the rehabilitation protocols were not based solely on scientific rationale, but rather  
68 relied heavily on expert opinion and clinical experience.

69 There is some literature on whether pre-operative physical rehabilitation is beneficial for  
70 total knee and total hip replacements. One systematic review done by Gawel et al.<sup>6</sup> found it to  
71 improve patient satisfaction and pain reduction, but the functional outcome measures used

72 showed mixed results. There has been very little literature done on the effect of pre-operative  
73 physical rehabilitation for rotator cuff repairs.

74 This patient was selected for a case report because he had multiple injuries from a  
75 traumatic anterior dislocation of his left shoulder. These complicating injuries included: torn  
76 rotator cuff muscles, ligamentous and capsular damage and signs and symptoms indicative of  
77 neuropraxic peripheral nerve damage. A case report on this patient will be beneficial to the body  
78 of knowledge in physical therapy (PT) by taking a closer look at the effectiveness of pre-surgical  
79 PT interventions for rotator cuff tears with a suspected neuropraxic nerve injury. Despite  
80 extensive searches, there is little to no published literature to be found on pre-operative physical  
81 therapy intervention for rotator cuff injuries.

82 The purpose of this case report is to investigate the use of pre-operative passive range of  
83 motion (PROM), joint mobilization and exercises for a patient with both a torn rotator cuff and a  
84 suspected neuropraxic peripheral nerve injury.

### 85 **Patient History**

86 “BC” was a healthy 47-year-old male with no prior medical or surgical history. He was referred  
87 to outpatient physical therapy for pre-operative joint mobilization of his left shoulder and an  
88 exercise program for weak muscles, one month after he anteriorly dislocated his left shoulder in  
89 a snowboarding accident. He stated that he was unable to lift his left arm to shoulder level after  
90 the medical team reduced his dislocated shoulder in the ER and had a progressive loss of  
91 function and sensation in his left distal upper extremity (UE), which began 1-2 days after his  
92 accident. An X-ray was done on his left shoulder both pre- and post-shoulder reduction to rule  
93 out any fractures, and to ensure proper relocation of humeral head in the glenoid fossa. One  
94 week after his injury an MRI confirmed a full supraspinatus tear, a moderate-high grade

95 subscapularis tear, a moderate infraspinatus tear and a subluxed biceps brachii tendon. BC was  
96 already scheduled for rotator cuff repair surgery three weeks after the initial physical therapy  
97 evaluation.

98 BC reported his son was living with him and they were in the middle of remodeling his  
99 entire house. Prior to his injury, he worked as a heavy machinery electrician/mechanic working  
100 on the northern slope (oil field), but due to his inability to functionally use his left arm, he was  
101 unable to work. He reported that he lead an active lifestyle prior to his injury, and enjoyed  
102 mountain biking, snowboarding and camping.

103 BC's chief complaint was pain and inability to functionally use his left arm and hand. He  
104 could not lift his left arm, grip with his left hand, flex first three digits; he also had sharp  
105 shooting pain into left forearm and hand and reported altered sensation in left hand and forearm.  
106 He had had recently regained some motor control in his fourth and fifth digits. BC's primary  
107 goal was to regain functional use of his left arm and hand, so he could return to work and be able  
108 to participate in his hobbies and recreational activities. Some of his secondary goals include  
109 decreased pain and increased strength and range of motion (ROM) of his left UE.

#### 110 **Systems review**

111 The results from the review of the cardiopulmonary system were within normal limits (WNL) for  
112 heart rate, respiratory rate and blood pressure. There were apparent abnormalities in the  
113 musculoskeletal system which included: limited gross ROM, marked weakness of left UE and a  
114 slight subluxation of left GH joint. The abnormalities found during the review of the  
115 neuromuscular system were impaired motor control of the left hand and impaired sensation of  
116 left distal UE. The integumentary system was intact with no apparent abnormalities.

117

118 **Clinical Impression 1**

119 Due to the mechanism of injury, the presentation of symptoms and the imaging results, it was  
120 clear that BC had a rotator cuff tear of his left shoulder; and possible neuropraxic nerve injury.  
121 The extent of nerve damage was undetermined at the time of the initial physical therapy  
122 evaluation. Further examination was warranted, including: manual muscle testing of his shoulder  
123 girdle, elbow, wrist and digits, grip strength, goniometric measurements of impaired PROM and  
124 active range of motion (AROM), deep tendon reflexes (DTR) at the biceps brachii,  
125 brachioradialis and triceps brachii, the QuickDASH functional outcome measure (Appendix A),  
126 and clinical special tests assessing the biceps brachii. It was also decided that BC would benefit  
127 from a referral to a neurologist for a nerve conduction study (NCS) to localize the peripheral  
128 nerve lesion, to aid in determining the correct plan of care.

129 BC was a good candidate for physical therapy. Due to the upcoming rotator cuff surgery  
130 it was decided that he would benefit most from: manual therapy and PROM of his left  
131 glenohumeral joint, stabilization exercises of the shoulder girdle and from exercises aimed at  
132 specifically strengthening the muscles in his left distal UE.

133 **Examination/test and measures**

134 **Muscle performance**

135 UE strength was assessed using the standard manual muscle testing (MMT) techniques as  
136 described by Kendall.<sup>7</sup> This method of testing has been shown by Cuthbert and Goodheart<sup>8</sup> to be  
137 valid and reliable. The standard 0 – 5/5 scale was used in grading the muscle strength. BC  
138 scored a 5/5 on all right UE muscles tested, and demonstrated marked weakness for the left UE  
139 (Table 1).

140

141 **Range of Motion**

142 BC's UE ROM was tested initially through observation; any noticeable impairment was then  
143 tested using standard goniometric measurements as described by Norkin and White.<sup>9</sup> BC  
144 demonstrated full active and passive ROM of the right UE and significant impairments of the left  
145 UE (Table 1). Goniometry has been shown to be both reliable and valid method for assessing  
146 ROM.<sup>10</sup>

147 **Reflex integrity**

148 DTR of the biceps brachii, brachioradialis, and triceps brachii were assessed using the technique  
149 described by Gutman and Schonfeld.<sup>11</sup> The triceps brachii DTR elicited a brisk response, and  
150 the biceps brachii and brachioradialis DTR were normal (table 1). DTR has been described to be  
151 a useful tool in determining reflex integrity.<sup>12</sup> DTR results may be considered clinically  
152 significant if asymmetries are present between bilateral reflexes.<sup>13</sup>

153 **Sensory integrity**

154 Due to lack of time, only a quick preliminary assessment of sensory integrity could be done  
155 during the initial evaluation. A tactile localization assessment was done using techniques  
156 described by Gutman and Schonfeld.<sup>11</sup> Tactile localization has been described as a useful  
157 physical examination technique in confirming the extent nerve damage and may be helpful in  
158 determining the location of a peripheral nerve lesion.<sup>13</sup> The patient demonstrated impaired  
159 sensation in his left distal UE.

160 **Functional outcome measure**

161 The UE specific functional outcome measure used was the QuickDASH. This has been shown  
162 by Gummesson et al.<sup>14</sup> to be a reliable and valid measure based on comparison to the full-length  
163 DASH questionnaire.



164 **Orthotic, protective and supportive device**

165 The patient was wearing a shoulder sling to alleviate pain and prevent further stretching of intact  
166 ligamentous and capsular tissues and further subluxation.

167 **Pain**

168 BC's pain levels were assessed throughout the exam using the numerical pain rating scale; which  
169 has been shown by Williamson and Hoggart<sup>15</sup> to be a valid and reliable way to measure pain  
170 levels, with careful interpretation of the results. At rest, the patient reported only minimal to  
171 moderate pain (1-3/10). Any AROM of left UE increased pain levels between 6-7/10, and  
172 PROM of left UE past normal mid-range elicited increased pain levels between 4-5/10 (Table  
173 1).

174 **Special tests**

175 Due to time constraints only a few special tests were performed. These special tests were; the  
176 Popeyes' bicep, Speeds' bicep test and Yergasons' biceps test (Table 1). These tests have been  
177 described as useful clinical evaluation tools to confirm bicep brachii pathology when performed  
178 in accordance with the technique described by Magee.<sup>13(p.308-309)</sup>

179 **Clinical impressions 2**

180 The patient had no prior medical issues and reported having a healthy active lifestyle. The  
181 impairments found during the system review were decreased strength and ROM of his left UE,  
182 impaired sensation and motor control of left distal UE, and pain in the patients left UE; the rest  
183 of the systems review findings were unremarkable. The tests and measures performed confirmed  
184 the findings in the system review. The results of the systems review and the test and measures  
185 were congruent with commonly seen impairments of rotator cuff injuries, as well as peripheral  
186 nerve damage.

187 BC's impairments include: impaired glenohumeral joint mobility, impaired left shoulder  
188 girdle ROM and strength, impaired strength of left proximal UE and impaired motor function  
189 and altered sensation of left distal UE, wrist and hand. His functional limitations include: the  
190 inability to independently complete many of his ADL's requiring the use of his left UE, such as  
191 dressing, cooking, home maintenance, or any activity requiring the use of two hands. His  
192 disabilities include the inability to work, or participate in any of the his usual recreational  
193 activities or hobbies. Many of the anticipated goals were directed towards regaining functional  
194 ROM, and strength of his left UE.

195 **Diagnosis**

196 Pattern 4D: Impaired Joint Mobility, Motor Function, Muscle Performance, and Range of  
197 Motion Associated With Connective Tissue Dysfunction

198 Pattern 5F: Impaired Peripheral Nerve Integrity and Muscle Performance Associated With  
199 Peripheral Nerve Injury

200 **Prognosis**

201 Based on the patient's health and activity level, it is likely that the patient will have close to a full  
202 recovery of shoulder function after his rotator cuff surgery. However, with the peripheral nerve  
203 damage the patient sustained in the accident it is difficult to determine whether or not the patient  
204 will regain full functional use of his left UE. The patient's initial prognosis is somewhat guarded  
205 due to the possibility that the patient will not regain full motor control and sensation of his left  
206 distal UE. Incomplete recover of motor control is common with moderate to severe nerve injury  
207 is common.<sup>16</sup> With peripheral nerve injuries the amount of nerve regeneration and functional  
208 reconnections is dependent on the age of the patient, the nerve trunk affected, the site and type of  
209 lesion, the type and delay of surgical care and the distance over which axons must regrow.<sup>17</sup>

210 Even though the patients initial prognosis is guarded, the patient is showing signs of  
211 nerve regeneration (e.g. intermittent burning and pain sensation of left distal UE, and slight  
212 increases in AROM in most of his digits). It is suspected that the nerve damage was minimal to  
213 moderate, which may indicate an increased likelihood the patient will regain functional use of his  
214 left arm and be able to return to work.

215 **Short and long term goals**

216 The short and long term goals were created immediately after the initial examination. The goals  
217 do not address all of the impairments that BC presented with, because he was scheduled for  
218 rotator cuff surgery three weeks after he started therapy. The goals primarily focused on  
219 improving strength and functional movement of his left distal UE, and improving PROM of his  
220 left shoulder.

221 **The short term goals that were made for the patient include:**

- 222 1) The patient will be able to hold a two pound weight in his left hand while it is pronated within  
223 2 weeks
- 224 2) The patient will independent in a HEP that strengthens his left hand and arm and increases  
225 PROM his left shoulder within 2 weeks so patient will be physically prepared for surgery.
- 226 3) The patient will demonstrate a grip strength of 40 pounds with his left hand within 4 weeks

227 **The long term goals that were made for the patient include:**

- 228 1) The patient will demonstrate a grip strength of 40 pounds with his left hand within 4 weeks to  
229 improve functional use of his left hand.
- 230 2) The patient will demonstrate a grip strength of 80 pounds with his left hand within 8 weeks to  
231 be able to return to work when his shoulder heals from surgery.

232 3) The patient will achieve 180 deg. PROM in left shoulder flexion and abduction within 4 weeks  
233 so patient will be better prepared for surgery.

234 **Interventions**

235 **Coordination, communication, documentation:**

236 Documentation included: BC's current status and progress the he has made, what procedural  
237 interventions were used during the therapy sessions, how the patient tolerated the therapy session  
238 and/or the home exercise program, any patient education given during the therapy session and  
239 any progression of the exercises preformed during the therapy session or at home.

240 The communication was with the patients' primary care physician and the patients'  
241 surgeon to inform them of the patients' progress throughout the patients' plan of care. BC was  
242 only seeing PT, so there was no need for any coordination with other therapies.

243 **Patient/client/family – related instructions:**

244 BC was instructed on strengthening and stretching exercises to do at home, number of  
245 repetitions/sets per day, and the proper form/technique to use to perform the exercises. He was  
246 also educated on what to expect with rotator cuff surgery and the prognoses of that surgery.

247 **Procedural interventions:**

248 BC was scheduled for 1-hour PT sessions two times a week for three weeks. He missed one  
249 session and was 30-minutes late to his last session. This resulted in him having only four full  
250 therapy sessions including the initial evaluation and only half a session for the re-evaluation.

251 From the evaluation and diagnosis it was decided that strengthening exercises of the GH  
252 joint musculature would not be beneficial until after the surgery. The focus of therapy was to:  
253 improve PROM and capsular mobility/tissue pliability of BC's left GH joint, strengthen his  
254 scapular stabilizing muscles and distal UE musculature, and improve over all function of his left

255 UE (Table 2). The procedural interventions used consisted of manual therapy, soft tissue  
256 mobilization, therapeutic exercise and neuromuscular re-education.

### 257 **Manual therapy Techniques and Soft Tissue Mobilization**

258 The joint mobilization techniques utilized were short arm traction, posterior and inferior glides  
259 and oscillations (grade 1-2) of the GH joint, with the primary purpose of decreasing pain levels  
260 and muscle guarding as described by Kaltenborn et al.<sup>18</sup> Scapular mobilization in all directions  
261 was also used to improve AROM of the shoulder joint complex, and PROM (within limits of  
262 pain) of the GH joint was utilized to increase tissue laxity around the GH joint.

263 Soft tissue mobilization was performed on the left distal UE to minimize swelling. Due  
264 to BCs decreased AROM of his left distal UE he had an increased risk of swelling. It is believed  
265 that soft tissue mobilization can improve circulation and lymphatic flow for a short period of  
266 time.<sup>19</sup> Soft tissue mobilization was also performed on the Trapezius, levator scapulae, pectoralis  
267 major/minor, and rhomboids to decrease muscle guarding and pain.

### 268 **Therapeutic Exercise and Neuromuscular Re-education**

269 Strengthening exercises for the scapular stabilizing muscles and musculature that crosses the  
270 elbow, wrist and hand were used to improve the stability of the shoulder joint complex and  
271 restore functional strength and grip strength of the distal UE, respectively. The exercises  
272 prescribed initially consisted of no weight, high repetition AROM. Although AROM exercises  
273 will not speed up or improve peripheral nerve regeneration; it can improve the efficiency of the  
274 motor unit firing and after sometime result in muscle hypertrophy of the available muscles,  
275 which will result in better functional outcomes.<sup>20</sup>

276 As BC improved, we initiated active assistive range of motion of his left shoulder using a  
277 pulley, and added hand dexterity exercises to work on improving the fine motor control. We also

278 added light resistance for some of the strengthening exercises of the wrist and intrinsic hand  
279 musculature, with the GH joint supported by resting the elbow and forearm on a table/mat.  
280 Stretching exercises were also included for wrist musculature, and extrinsic/intrinsic hand  
281 musculature to prevent contracture from the imbalance of motor control in the distal UE. The  
282 strengthening and stretching exercises were all included in a home exercise program once the  
283 patient demonstrated he could do them correctly and in a safe manner.

284 **Outcome:**

285 BC was seen for a total of five sessions including the initial evaluation and re-evaluation sessions  
286 over the 2 ½ weeks before his surgery. Due to the short duration of treatment, there was little  
287 measureable improvement shown by the QuickDASH functional outcome measure. The  
288 minimal detectable change and minimal importance difference for the Quick dash have been  
289 reported by Polson et al.<sup>21</sup> to be 11 points and 19 points, respectively.

290 Although BC only had a change of 4 ½ points on the QuickDASH, he did report  
291 decreased pain and improved sensation and motor control of his left distal UE (Table 1). Based  
292 on the time between BCs' initial injury and when improvements in sensation and motor control  
293 of his left distal UE started to occur, it is likely that much of these improvements can be  
294 attributed to the peripheral nerve changes occurring.<sup>20</sup>

295 The initial therapy goals for BC were made under the assumption that he would be  
296 returning for post-operative rehabilitation once he was cleared to do so by his surgeon.  
297 Unfortunately, he was unable to return to our clinic for post-operative rehabilitation due to  
298 insurance issues. Due to the abrupt end to treatment BC was only able to accomplish one of the  
299 short term PT goals that were made for him. That being said, he did report feeling less anxious  
300 about his upcoming surgery and better prepared for rehabilitation process after surgery.

301 **Discussion:**

302 This case report describes one method of pre-operative PT for a patient with a rotator cuff. The  
303 primary purpose of treatment was to improve capsular mobility and surrounding tissue pliability  
304 to increase the likelihood of the surgery going smoothly and possibly improve the outcome and  
305 overall prognosis. Unfortunately, the patient was unable to return for post-operative  
306 rehabilitation; therefore, we were unable to determine the effectiveness of the pre-operative  
307 physical therapy for the long term outcome.

308 Due to the short time frame of treatment and the complicating comorbidity of the  
309 suspected neuropraxic nerve injury, BC demonstrated only minimal improvements on the  
310 QuickDASH and was unable to achieve most of the PT goals. However, the PT goals were made  
311 under the assumption that we would be seeing the patient for post-operative rehabilitation. This  
312 made the goals set for the patient unrealistic and unattainable for such a short duration of  
313 treatment.

314 At first glance, based solely on the measureable data and achievement of goals, it could  
315 seem that pre-operative PT for rotator cuff repair is not really effective. However, the patient did  
316 report decreased pain levels, improved motor control and sensation of his left distal UE, and  
317 feeling more prepared and less anxious about his upcoming rotator cuff repair.

318 The patient satisfaction and pain reduction found in this case study does coincide with  
319 findings of the systematic review done by Gawel et al.<sup>6</sup> which looked at the effectiveness of pre-  
320 operative treatment for total knee and hip replacements. One of the studies included in that  
321 review found that pre-operative exercise intervention prior to total joint arthroplasty can decrease  
322 the odds of discharge to an inpatient rehabilitation by 73%.<sup>22</sup> Due to the reported effectiveness

323 and potential decreases in healthcare costs, further investigation of pre-operative PT for total  
324 joint arthroplasty and rotator cuff repair would be beneficial.

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**Table 1. Test and measures at initial evaluation and re-evaluation**

Quick Dash (% - disability)						
Initial Evaluation			Re-evaluation			
95.45%			90.91%			
Grip Strength (measured in pounds (lbs.))						
Initial Evaluation			Re-evaluation			
Left – 25		Right – 85	Left – 7		Right – 105	
Manual Muscles testing (muscle grades 1-5/5)						
Initial Evaluation			Re-evaluation			
Muscles tested	Left	Right	Left	Right		
Scapular retraction	4/5	5/5	+3/5	5/5		
Shoulder abduction	-2/5	5/5	-2/5	5/5		
Shoulder Flexion	+2/5	5/5	2/5	5/5		
Shoulder Ext. Rotation	+2/5	5/5	+2/5	5/5		
Shoulder Int. Rotation	+3/5	5/5	3/5	5/5		
Elbow extension	+3/5	5/5	+3/5	5/5		
Elbow flexion	-4/5	5/5	-4/5	5/5		
Wrist extension	4/5	5/5	-4/5	5/5		
Wrist flexion	+3/5	NT	4/5	NT		
Finger flexion	+2/5	NT	-3/5	NT		
Finger extension	4/5	NT	4/5	NT		
Thumb abduction	-4/5	NT	-4/5	NT		
Thumb adduction	3/5	NT	+3/5	NT		
Thumb extension	4/5	NT	4/5	NT		
Thumb flexion	+3/5	NT	+3/5	NT		
Radial deviation	+3/5	NT	+3/5	NT		
Ulnar deviation	+3/5	NT	+3/5	NT		
Deep Tendon Reflex (0 - 3+)						
Initial evaluation			Re-evaluation			
Reflex Tested	Left	Right	Left	Right		
C5-6 Biceps brachii	2+ - normal	NT	NT	NT		
C6 brachioradialis	2+ - normal	NT	NT	NT		
C7-8 Triceps brachii	3+ - brisk	NT	NT	NT		
Special Tests						
Initial Evaluation			Re-evaluation			
Left		Right	Left		Right	
Popeyes' bicep		Normal	NT		NT	
Speeds' bicep		negative	NT		NT	
Yergasons' bicep		negative	NT		NT	
Range of Motion (measured in degrees)						
Initial Evaluation			Re-evaluation			
	Left-active	Left-passive	Right-active	Left-active	Left-passive	Right-active
Shoulder flexion	32	108*	WNL	40	115*	WNL
Shoulder abduction	20	112*	WNL	30	130*	WNL

Shoulder Ext. rotation (45 deg)	32	NT*	WNL	32	NT*	WNL
Shoulder Int. rotation (45 deg)	20	NT*	WNL	20	NT*	WNL
Elbow flexion	WNL	WNL	WNL	WNL	WNL	WNL
Elbow extension	WNL	WNL	WNL	WNL	WNL	WNL
Pain (0 -10/10 numerical rating scale)						
	Initial Evaluation			Re-evaluation		
	Left-active	Left-passive	Right-active	Left-active	Left-passive	Right-active
Reported pain levels	6-7/10	4-5/10	0/10	4-6/10	3-4/10	0/10

\*- limited or not tested due to pain; NT= not tested; WNL= within normal limits; Ext. = external;  
Int. = internal

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**Table 2. Procedural Interventions**

Therapy Session schedule	Additions made on session 3
10 minute warm up – bicycle ergometer/(patient preference) - To increase overall blood flow and warm up tissues	
GH mobilization (grade 1-2) - posterior/inferior/short arm traction Scapular mobilization - depression/elevation/retraction/protraction	
PROM of GH joint (within limits of pain)	
AROM exercises - Finger - abduction/adduction/extension/flexion Wrist- - flexion/extension/ pronation/ supination Scapular clock - depression/elevation/protraction/ retraction bicep curls (hammer curls –wrist neutral) tricep extensions	- Added finger-tip to thumb dexterity exercises - Added light resistance to wrist motions (with forearm supported on table)
Wrist and finger extensor/flexor stretches	- Added AAROM pulley exercises – flexion/abduction (left arm just along for the ride and within limits of pain)
STM of the left distal UE to control/reduce swelling and relax tight tissues  STM of pectoral muscles, trapezius, levator scapulae and tissues surrounding the GH joint - to decrease muscle guarding and reduce pain and improve tissue pliability.	

438 STM – soft tissue mobilization; PROM – passive range of motion; AROM – active range of  
 439 motion; AAROM – active assisted range of motion; GH - glenohumeral

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## Appendix A

# THE **QuickDASH**

OUTCOME MEASURE

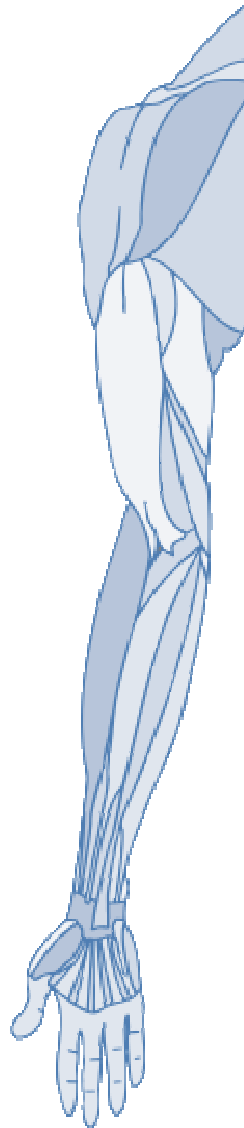
### INSTRUCTIONS

This questionnaire asks about your symptoms as well as your ability to perform certain activities.

Please answer every question, based on your condition in the last week, by circling the appropriate number.

If you did not have the opportunity to perform an activity in the past week, please make your *best estimate* of which response would be the most accurate.

It doesn't matter which hand or arm you use to perform the activity; please answer based on your ability regardless of how you perform the task.



## WORK MODULE (OPTIONAL)

The following questions ask about the impact of your arm, shoulder or hand problem on your ability to work (including homemaking if that is your main work role).

Please indicate what your job/work is: \_\_\_\_\_

I do not work. (You may skip this section.)

Please circle the number that best describes your physical ability in the past week.

Did you have any difficulty:	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. using your usual technique for your work?	1	2	3	4	5
2. doing your usual work because of arm, shoulder or hand pain?	1	2	3	4	5
3. doing your work as well as you would like?	1	2	3	4	5
4. spending your usual amount of time doing your work?	1	2	3	4	5

## SPORTS/PERFORMING ARTS MODULE (OPTIONAL)

The following questions relate to the impact of your arm, shoulder or hand problem on playing your musical instrument or sport or both. If you play more than one sport or instrument (or play both), please answer with respect to that activity which is most important to you.

Please indicate the sport or instrument which is most important to you: \_\_\_\_\_

I do not play a sport or an instrument. (You may skip this section.)

Please circle the number that best describes your physical ability in the past week.

Did you have any difficulty:	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. using your usual technique for playing your instrument or sport?	1	2	3	4	5
2. playing your musical instrument or sport because of arm, shoulder or hand pain?	1	2	3	4	5
3. playing your musical instrument or sport as well as you would like?	1	2	3	4	5
4. spending your usual amount of time practising or playing your instrument or sport?	1	2	3	4	5

**SCORING THE OPTIONAL MODULES:** Add up assigned values for each response; divide by 4 (number of items); subtract 1; multiply by 25.

An optional module score may not be calculated if there are any missing items



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## QuickDASH

Please rate your ability to do the following activities in the last week by circling the number below the appropriate response.

	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. Open a tight or new jar.	1	2	3	4	5
2. Do heavy household chores (e.g., wash walls, floors).	1	2	3	4	5
3. Carry a shopping bag or briefcase.	1	2	3	4	5
4. Wash your back.	1	2	3	4	5
5. Use a knife to cut food.	1	2	3	4	5
6. Recreational activities in which you take some force or impact through your arm, shoulder or hand (e.g., golf, hammering, tennis, etc.).	1	2	3	4	5

	NOT AT ALL	SLIGHTLY	MODERATELY	QUITE A BIT	EXTREMELY
7. During the past week, to what extent has your arm, shoulder or hand problem interfered with your normal social activities with family, friends, neighbours or groups?	1	2	3	4	5

	NOT LIMITED AT ALL	SLIGHTLY LIMITED	MODERATELY LIMITED	VERY LIMITED	UNABLE
8. During the past week, were you limited in your work or other regular daily activities as a result of your arm, shoulder or hand problem?	1	2	3	4	5

Please rate the severity of the following symptoms in the last week. (circle number)

	NONE	MILD	MODERATE	SEVERE	EXTREME
9. Arm, shoulder or hand pain.	1	2	3	4	5
10. Tingling (pins and needles) in your arm, shoulder or hand.	1	2	3	4	5

	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	SO MUCH DIFFICULTY THAT I CAN'T SLEEP
11. During the past week, how much difficulty have you had sleeping because of the pain in your arm, shoulder or hand? (circle number)	1	2	3	4	5

QuickDASH DISABILITY/SYMPTOM SCORE =  $\left( \frac{\text{sum of } n \text{ responses}}{n} - 1 \right) \times 25$ , where  $n$ 's equal to the number of completed responses

A QuickDASH score may not be calculated if there is greater than 1 missing item.