1	Changes in biomechanically-corrected intraocular pressure and dynamic corneal
2	response parameters before and after transepithelial photorefractive keratectomy and
3	femtosecond laser-assisted laser in situ keratomileusis
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51 ABSTRACT

52 **Purpose**: To investigate the changes in biomechanically-corrected intraocular pressure 53 (bIOP) and new dynamic corneal response (DCR) parameters measured by corneal 54 visualization Scheimpflug technology (Corvis ST) before and after transepithelial 55 photorefractive keratectomy (tPRK) and femtosecond laser-assisted laser in situ 56 keratomileusis (FS-LASIK)

57 **Methods**: Medical records of 129 eyes of 129 patients undergoing tPRK (n=65) or FS-58 LASIK (n=64) were examined. Participants underwent a complete examination before and 6 59 months after surgery. Main outcome variables were bIOP and DCR parameters including 60 deformation amplitude (DA) ratio 2 mm, stiffness parameter at first applanation (SP-A1), as 61 well as ambrósio relational thickness through the horizontal meridian (ARTh) and integrated 62 inverse radius at highest concavity.

**Results**: There were no statistically significant differences in bIOP before and after tPRK (P = 0.101) or FS-LASIK (P = 0.138). DA ratio 2 mm and integrated inverse radius significantly increased, while SP-A1 and ARTh decreased after tPRK and FS-LASIK (all P < 0.001). Changes in DA ratio 2 mm and integrated inverse radius before and after tPRK were smaller than those before and after FS-LASIK (all P < 0.001). With analysis of covariance, with refractive error change or corneal thickness change as a covariate, changes in DA ratio 2 mm and integrated inverse radius were smaller in tPRK than FS-LASIK (all P < 0.001).

Conclusions: The Corvis ST showed stable bIOP measurement before and after tPRK or FSLASIK. The changes in DCR parameters before and after surgery were smaller for tPRK
compared to the lamellar procedure, FS-LASIK.

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Precis: Corvis ST showed stable bIOP measurement after tPRK and FS-LASIK. Corneas
 after FS-LASIK were less resistant to deformation than those after tPRK based upon smaller

76 changes in new DCR parameters after tPRK.

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## 78 Introductory text

Corneal biomechanics is the response of corneal tissue to an applied force, which 79 involves interactions between the externally applied force, the intrinsic viscoelastic properties 80 of the cornea and the intraocular pressure (IOP).<sup>1-3</sup> Biomechanical response parameters of the 81 cornea, although not classic properties, might be useful clinically for many purposes, 82 including identification of corneal disease, characterization of susceptibility to ectasia 83 progression and assistance with predicting refractive outcomes following corneal refractive 84 surgery.<sup>4-6</sup> Moreover, corneal biomechanical properties are known to influence the 85 measurement of IOP alongside the central corneal thickness (CCT), and both CCT and 86 87 biomechanical response parameters are recognized as important factors in the susceptibility to the development of glaucomatous damage.<sup>7-9</sup> 88

89 Corneal visualization Scheimpflug technology (Corvis ST; OCULUS Optikgeräte GmbH, Wetzlar, Germany), which allows in vivo characterization of corneal biomechanical 90 deformation response to an applied air puff, has become a useful instrument for evaluating 91 biomechanical response parameters of the cornea clinically.<sup>10,11</sup> The Corvis ST captures the 92 dynamic process of corneal deformation caused by an air puff of consistent spatial and 93 temporal profiles using an ultra-high-speed camera that operates at 4300 frames/sec to 94 capture a series of 140 sequential horizontal Scheimpflug images of corneal deformation. The 95 Corvis ST enables the calculation of a variety of dynamic corneal response (DCR) parameters 96 to characterize biomechanical response by analyzing patterns of deformation at highest 97 concavity (HC) and applanation, both during inward deformation (loading) and during 98 outward recovery (unloading), which have been reported to be influenced predominantly by 99 IOP, as well as CCT and age.<sup>12-14</sup> Recently, new corneal biomechanical parameters have been 100

introduced, including deformation amplitude (DA) ratio 1 mm, DA ratio 2 mm, integrated
 inverse radius, stiffness parameter at first applanation (SP-A1), and ambrósio relational
 thickness through the horizontal meridian (ARTh).<sup>15</sup> Additionally, the Corvis ST provides a
 measurement of a biomechanically-corrected IOP (bIOP) that is intended to be free of effects
 of changes in corneal geometric and material stiffness parameters.<sup>15</sup>

While the Corvis ST has been previously used to measure changes in corneal biomechanical response parameters after laser vision correction procedures such as photorefractive keratectomy (PRK), laser in situ keratomileusis (LASIK), and small incision lenticule extraction (SMILE), as well as collagen cross-linking (CXL), the stability of the new bIOP measurements and the significance of the new DCR parameters have not yet been studied.<sup>16-19</sup> Moreover, knowledge remains limited with respect to understanding how corneal biomechanical parameters are modified according to surgical techniques.

Therefore, in the present study, we aimed to assess the stability of the recently introduced bIOP estimates, and evaluate the changes in the new DCR parameters obtained from the Corvis ST after transepithelial PRK (tPRK) and femtosecond laser-assisted LASIK (FS-LASIK) procedures.

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#### 118 Materials and Methods

We performed a retrospective, comparative, observational case series with the approval of the Institutional Review Board of Yonsei University College of Medicine (Seoul, South Korea). The study adhered to the tenets of the Declaration of Helsinki and followed good clinical practices. All patients provided written informed consent for their medical information to be included in the study.

Patients included in the study were older than 20 years of age and underwent tPRK or FS-LASIK using standardized techniques performed by the same surgeon (DSYK)

between May 2014 and April 2015. We excluded patients with previous ocular or intraocular 126 surgery, ocular abnormalities other than myopia or myopic astigmatism with a corrected 127 128 distance visual acuity (CDVA) of 1.00 (20/20 Snellen) or better in both eyes, corneal endothelial cell density of less than 2000 cells/mm<sup>2</sup>, cataract, ocular inflammation, infection, 129 or moderate and severe dry eye. We also excluded patients with signs of keratoconus on 130 Scheimpflug tomography (displacement of the corneal apex, decrease in thinnest-point 131 pachymetry, and asymmetric topographic pattern). We retrospectively reviewed the medical 132 133 records of 129 eyes of 129 patients that met the inclusion and exclusion criteria. Only one randomly selected eye from each patient was included in the analysis. 134

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# 136 Examinations and Measurements

Before and 6 months after surgery, all patients underwent complete ophthalmic 137 examinations, including uncorrected distance visual acuity (UDVA) and CDVA, manifest 138 139 refraction, slit-lamp examination (Haag-Streit, Gartenstadtstrasse, Köniz, Switzerland), corneal volume (Pentacam; OCULUS Optikgeräte GmbH), IOP-NCT (noncontact 140 tonometer; NT-530, NCT Nidek Co., Ltd., Aichi, Japan), and fundus examination. In addition, 141 the DCR parameters were measured using the Corvis ST. All measurements were performed 142 by the same investigator to eliminate possible inter-observer variability, and taken at 143 approximately the same time of day. Each measurement was performed three times and the 144 average value was used in the analysis. The Corvis ST automatically calculated applanation 145 time, applanation length and applanation velocity during three distinct phases; first 146 applanation (A1; the cornea was flattened for the first time in the inward direction), highest 147 concavity, and second applanation (A2; the cornea was flattened for the second time during 148 recovery from the highest concavity).<sup>20</sup> The DA measured at HC, peak distance, radius, and 149 CCT were also recorded. 150

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151 New DCR parameters include the DA ratio 2 mm, integrated inverse radius, ARTh, and SP-A1. DA ratio 2 mm represents the ratio between the DA of the apex and the average 152 153 of two points located 2 mm on either side of the apex. The integrated inverse radius came from the integration of the inverse radius values that represent the central concave curvature 154 at the highest concavity. The Corvis ST provides data for calculating the rate of increase of 155 corneal thickness from the apex towards nasal and temporal sides.<sup>11</sup> Via the characterization 156 of the thickness data on the horizontal Scheimpflug image, the Corvis ST enables the 157 calculation of the new corneal thickness index, the ARTh.<sup>11,21</sup> Lower ARTh indicates a 158 thinner cornea and/or a faster thickness increase toward the periphery.<sup>21</sup> The SP-A1 is defined 159 as applied load divided by displacement, in an analogous manner to one dimensional stiffness. 160 The applied load is the air pressure, calculated at first applanation, minus bIOP. The 161 displacement is the distance the corneal apex moves from the pre-deformation state to A1.<sup>15</sup> 162

Together with DCR parameters, the Corvis ST provides a new and validated bIOP 163 164 estimate that is intended to offer an estimate of true IOP or the corrected value of measured IOP, which considers the biomechanical response of the cornea to air pressure including the 165 effects of variation in CCT and material behavior.<sup>15,22,23</sup> The algorithm for bIOP is based on 166 numerical simulation of the Corvis ST procedure, as applied on human eye models with 167 different tomographies (including thickness profiles), material properties and true IOPs.<sup>22,23</sup> 168 The eye models were developed for analysis using the finite element method and designed to 169 simulate important biomechanical features of the eye, including the cornea's aspheric 170 topography, the cornea's variable thickness, low stiffness of epithelium and endothelium, the 171 cornea's weak inter-laminar adhesion, and the tissue's hyperelasticity, hysteresis and age-172 related stiffening.<sup>22,23</sup> The bIOP formula used in the Corvis ST was a modified algorithm of 173 the published formula.<sup>15,22</sup> 174

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#### 176 Surgical Techniques

### 177 Transepithelial photorefractive keratectomy

178 Photoablation was performed using an excimer laser (Amaris 1050 Excimer Laser platform; Schwind eye-tech-solutions GmbH and Co KG, Kleinostheim, Germany), which 179 uses a flying-spot laser with a repetition rate of 1050 Hz. Ablation profile planning was 180 carried out using the integrated Optimized Refractive Keratectomy-Custom Ablation 181 Manager software (version 5.1; Schwind eye-tech-solutions GmbH and Co KG). Mitomycin 182 183 0.02% was applied to all corneas for 20 seconds followed by thorough rinsing with chilled balanced salt solution (BSS). Postoperatively, 1 drop of topical levofloxacin 0.5% (Cravit; 184 Santen Pharmaceutical, Osaka, Japan) was instilled at the surgical site, and a bandage contact 185 186 lens (Acuvue Oasys; Johnson & Johnson Vision Care, Inc, Jacksonville, FL, USA) was placed on the cornea. Following surgery, topical levofloxacin 0.5% and fluorometholone 187 0.1% (Flumetholon; Santen Pharmaceutical) were applied 4 times per day for 1 month. The 188 189 dosage was tapered over 3 months.

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# 191 Femtosecond laser-assisted Laser in situ keratomileusis

The VisuMax femtosecond laser system with a repetition rate of 500 kHz was used to 192 create the flap. The flaps had diameters of 8.1 mm and thicknesses of 100 µm with standard 193 194 90° hinges and 90° side-cut angles. The lamellar and side cuts were achieved with energies of 185 nJ. Stromal tissue ablation was performed with the Amaris 1050 Excimer Laser platform 195 with a repetition rate of 1050 kHz. Flaps were repositioned after the excimer laser treatment 196 and a bandage contact lens was placed on the cornea for 1 day. Topical fluorometholone 0.1% 197 was used initially eight times daily and tapered for a period of 20 days. Topical levofloxacin 198 0.5% was used four times daily for 7 days. 199

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Statistical analysis was performed using SPSS software version 22.0 (IBM, Armonk, 202 203 NY, USA). Differences were considered statistically significant when the P values were less than 0.05. The results are expressed as the mean  $\pm$  standard deviation. The Kolmogorov-204 Smirnov test was used to confirm data normality. To statistically compare preoperative and 205 postoperative data between tPRK and FS-LASIK, we used independent *t*-test for continuous 206 variables and  $\chi^2$  test for categorical variables. We performed the paired *t*-test to evaluate the 207 208 differences between preoperative and 6-month postoperative parameters including IOP-NCT, bIOP, Corvis-CCT, corneal volume, and DCR parameters in each group. Simple linear 209 210 regression analysis was used to determine the relationship between changes ( $\Delta$ ) in DCR 211 parameters or bIOP, and  $\Delta$  manifest refraction spherical equivalent (MRSE),  $\Delta$ CCT,  $\Delta$  corneal volume, or  $\triangle$ ARTh in each group. Furthermore, we performed analysis of covariance 212 (ANCOVA) to compare changes ( $\Delta$ ) in DCR parameters between tPRK and FS-LASIK, with 213 214 the  $\Delta$ MRSE,  $\Delta$ CCT,  $\Delta$  corneal volume, or  $\Delta$ ARTh as a covariate.

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## 216 **Results**

Data were collected from 129 eyes of 129 normal healthy participants with mean age of  $28.1\pm5.4$  years (range, 20 to 41 years). Table 1 shows the preoperative characteristics of the two participant groups with no significant statistical difference between them as regards age, gender, preoperative sphere, cylinder, spherical equivalent, CCT, and optical zone.

Table 2 summarizes the changes in IOP-NCT, bIOP, Corvis-CCT, and corneal volume before and after tPRK or FS-LASIK. The bIOP was stable before and after tPRK and FS-LASIK (mean difference =  $0.30\pm1.45$  mmHg, P = 0.101 for tPRK, and mean difference =  $-0.26\pm1.41$  mmHg, P = 0.138 for FS-LASIK). In each group, changes in bIOP before and after surgery were significantly smaller than those in IOP-NCT before and after surgery (all *P*)

< 0.001). When combining the two forms of laser vision surgery, difference in bIOP before 226 and after surgery was only  $0.02 \pm 1.45$  mmHg (P = 0.875). These values were significantly 227 228 smaller than those from IOP-NCT ( $0.02 \pm 1.45$  mmHg versus  $-2.33 \pm 1.54$  mmHg, P < 0.001) Table 3 summarizes the changes in DCR parameters before and after tPRK and FS-229 LASIK. There were no significant differences in preoperative DCR parameters between 230 tPRK and FS-LASIK groups. The differences in parameter values, as estimated pre and post-231 operatively, were significant in the two groups (all P < 0.001). The DA ratio 2 mm and 232 integrated inverse radius significantly increased, while SP-A1 and ARTh significantly 233 decreased after surgery. Results showed that  $\Delta DA$  ratio 2 mm and  $\Delta$  integrated inverse radius 234 were smaller in tPRK than FS-LASIK (all P < 0.001). 235

236 Figure 1 demonstrates the scatter plots and results for simple linear regression analysis between changes ( $\Delta$ ) in DCR parameters or bIOP, and  $\Delta$ MRSE,  $\Delta$ CCT,  $\Delta$  corneal 237 volume, or  $\triangle$ ARTh between the two groups. The parameter showing the strongest 238 relationships with  $\Delta$ MRSE, indicated by the  $r^2$  values, was  $\Delta$  integrated inverse radius, 239 followed by  $\Delta ARTh$ ,  $\Delta DA$  ratio 2 mm, and finally  $\Delta SP-A1$ , in tPRK group. For the FS-240 LASIK group, the parameter showing the strongest relationships with  $\Delta$ MRSE was  $\Delta$ DA ratio 241 2, followed by  $\Delta$  integrated inverse radius and  $\Delta$ SP-A1. Further, the parameter showing the 242 strongest relationships with  $\Delta$ CCT was  $\Delta$ integrated inverse radius, followed by  $\Delta$ ARTh,  $\Delta$ DA 243 244 ratio 2 mm, and finally  $\Delta$ SP-A1, in tPRK group, while it was  $\Delta$ DA ratio 2, followed by  $\Delta$ SP-A1,  $\Delta$  integrated inverse radius, and finally  $\Delta$ ARTh in the FS-LASIK group. 245

246 When comparing the changes in DCR parameters between the two groups with 247 ANCOVA and  $\Delta$ MRSE,  $\Delta$ CCT,  $\Delta$  corneal volume, or  $\Delta$ ARTh as a covariate, there were 248 significant differences in  $\Delta$ DA ratio 2 mm and  $\Delta$  integrated inverse radius (all *P* < 0.001; 249 Table 4).  $\Delta$ DA ratio 2 mm and  $\Delta$  integrated inverse radius were significantly smaller in tPRK 250 than FS-LASIK (all *P* < 0.001). No significant differences were noted in  $\Delta$ SP-A1 or  $\Delta$ ARTh 251 between the two groups.

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#### 253 Discussion

In the present study, we investigated the changes in bIOP and newly developed DCR 254 parameters before and after tPRK and FS-LASIK. Most notably, the bIOP obtained from the 255 Corvis ST was stable before and after laser vision correction surgery, without a clinically or 256 statistically significant difference in the mean. Earlier work has shown that variations in CCT 257 can introduce inaccuracies in IOP measurements using different forms of tonometry<sup>24,25</sup>, and 258 that corneal biomechanical properties may even have a greater impact on IOP measurements 259 than CCT.<sup>3,7</sup> In fact, the tangent modulus (a measure of material stiffness) has been reported 260 to determine the relationship between the CCT and IOP measurement error in applanation 261 tonometry, with stiffer corneas having the strongest relationship between CCT and IOP 262 measurement error.<sup>3,7</sup> 263

264 With laser vision surgery, in addition to the CCT reduction caused by tissue ablation, softening of tissue would be expected due to the separation of the flap in FS-LASIK. 265 However, the fact that bIOP measurements remained almost unaltered after surgery is an 266 indication that bIOP estimates are less influenced by changes in both CCT and material 267 properties than the uncorrected IOP measurements.<sup>15</sup> These results are compatible with an 268 earlier study using a database involving 634 healthy eyes where application of the bIOP 269 algorithm led to weaker associations of IOP measurements with both CCT (from  $r^2 = 0.204$ , 270 3.06 mmHg/100 microns to  $r^2 = 0.005$ , 0.04 mmHg/100 microns) and age (from  $r^2 = 0.009$ , 271 0.24 mmHg/decade to  $r^2 = 0.002, 0.09 \text{ mmHg/decade}$ ).<sup>22</sup> 272

In the present study, postoperative changes in DA ratio 2 mm and integrated inverse radius after tPRK are significantly smaller than those for FS-LASIK. The original parameter DA is defined as the maximum amplitude when the cornea is deformed to its greatest concave

curvature by an air puff and is influenced by corneal stiffness.<sup>26</sup> It is well known that thinner 276 corneas have a tendency to demonstrate higher DA than thicker corneas with similar IOP.<sup>26</sup> In 277 a previous study investigating the differences in corneal deformation parameters after SMILE, 278 laser-assisted subepithelial keratomileusis (LASEK) and FS-LASIK with adjustment for age, 279 preoperative CCT and MRSE, postoperative DA in the FS-LASIK was significantly higher 280 than in the LASEK.<sup>16</sup> Considering that DA ratio 2 mm represents the ratio between DA at the 281 apex and the average of two points located 2 mm on either side of the apex, our current 282 283 results that changes in DA ratio 2 mm - after adjustment for changes in refractive error, corneal thickness, corneal volume, or ARTh – are significantly smaller in tPRK than FS-284 LASIK are in line with the previous study. Both studies indicate that the corneas after FS-285 286 LASIK were less resistant to deformation than those after surface ablations such as PRK and LASEK. Since PRK did not create a flap (as in LASIK), its effect on the corneal structural 287 integrity is less than with the LASIK.<sup>27,28</sup> <sup>29</sup> 288

289 The major structural change in any type of laser vision correction is the tissue removed to generate the refractive effect, regardless of whether it is ablated from the surface 290 or under a flap. Evidence is the similar change in the stiffness parameter, SP-A1 between the 291 two groups. It is expected that this tissue removal generates the majority of the biomechanical 292 response and its location at the surface or within the corneal depth have smaller effects on the 293 294 biomechanics. The current study indicates that surface ablation has the smallest additional effect on corneal biomechanics, consistent with the literature and evidenced by the smaller 295 changes in DA ratio 2 mm and integrated inverse radius, as discussed. Moreover, in case of 296 the tPRK, there were strong relationships between new DCR parameters ( $\Delta DA$  ratio 2 mm, 297  $\Delta$ SP-A1,  $\Delta$ ARTh, and  $\Delta$  integrated inverse radius) and refractive error change or corneal 298 thickness change, when compared with the FS-LASIK. 299

300 We performed the ANCOVA with corneal thickness change as a co-factor because

corneal thickness is known to be an important factor affecting the biomechanical response of the cornea.<sup>14,30</sup> In our study, corneal thickness change was found to be a moderate, but significant confounder. In terms of IOP, we showed that bIOP obtained from the Corvis ST, which is already adjusted for corneal thickness and corneal biomechanical response, was stable before and after tPRK and FS-LASIK, demonstrating no significant difference. Thus, we did not include changes in bIOP as a co-factor during the ANCOVA analysis.

The present study had limitations in its retrospective design and the relatively short follow up time of 6 months. While the study presented significant evidence on the stability of bIOP and validity of DCR parameters, a larger sample size and longer follow up would allow a more thorough biomechanical comparison between laser vision surgery procedures. This will be done within a prospective controlled comparative paired-eye study comparing several laser vision surgeries.

In summary, we demonstrated the reliability of the bIOP estimates obtained by the 313 Corvis ST through the stability of its measurement following surface ablation or lamellar 314 procedure. This result indicated the reduced effect of changes in corneal thickness and 315 material behavior on bIOP measurements, compared to uncorrected IOP estimates. Most 316 notably, changes in corneal structural integrity in tPRK are significantly less than those in FS-317 LASIK. The study also showed that new DCR parameters, such as DA ratio 2 mm, SP-A1, 318 319 ARTh, and integrated inverse radius, can be helpful as reliable measures of the biomechanical changes in the cornea caused by laser vision surgery. 320

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<sup>425</sup> Figure 1. Scatter plots and results for simple linear regression analysis between changes in

dynamic corneal response parameters or biomechanically-corrected intraocular pressure, and 426 changes in refractive error change, corneal thickness change, corneal volume change, or 427 ambrósio relational thickness through the horizontal meridian change between transepithelial 428 photorefractive keratectomy and femtosecond laser-assisted laser in situ keratomileusis. tPRK, 429 transepithelial photorefractive keratectomy; FS-LASIK, femtosecond laser-assisted laser in 430 situ keratomileusis; DA, deformation amplitude; MRSE, manifest refraction spherical 431 equivalent; CCT, central corneal thickness; ARTh, ambrósio relational thickness through the 432 horizontal meridian; SP-A1, stiffness parameter at first applanation; bIOP, biomechanically-433 corrected intraocular pressure. 434