

1 Breakthrough Seizures - Further analysis of the
2 Standard versus New Antiepileptic Drugs
3 (SANAD) study

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15

1 **Abstract**

2 **Objectives**

3 To develop prognostic models for risk of a breakthrough seizure, risk of seizure recurrence
4 after a breakthrough seizure, and likelihood of achieving 12-month remission following a
5 breakthrough seizure. A breakthrough seizure is one that occurs following at least 12 months
6 remission whilst on treatment.

7 **Methods**

8 We analysed data from the SANAD study. This long-term randomised trial compared
9 treatments for participants with newly diagnosed epilepsy. Multivariable Cox models
10 investigated how clinical factors affect the probability of each outcome. Best fitting
11 multivariable models were produced with variable reduction by Akaike's Information
12 Criterion. Risks associated with combinations of risk factors were calculated from each
13 multivariable model.

14 **Results**

15 Significant factors in the multivariable model for risk of a breakthrough seizure following 12-
16 month remission were number of tonic-clonic seizures by achievement of 12-month remission,
17 time taken to achieve 12-month remission, and neurological insult. Significant factors in the
18 model for risk of seizure recurrence following a breakthrough seizure were total number of
19 drugs attempted to achieve 12-month remission, time to achieve 12-month remission prior to
20 breakthrough seizure, and breakthrough seizure treatment decision. Significant factors in the
21 model for likelihood of achieving 12-month remission after a breakthrough seizure were
22 gender, age at breakthrough seizure, time to achieve 12-month remission prior to breakthrough,
23 and breakthrough seizure treatment decision.

24 **Conclusions**

1 This is the first analysis to consider risk of a breakthrough seizure and subsequent outcomes.
2 The described models can be used to identify people most likely to have a breakthrough seizure,
3 a seizure recurrence following a breakthrough seizure, and to achieve 12-month remission
4 following a breakthrough seizure. The results suggest that focussing on achieving 12-month
5 remission swiftly represents the best therapeutic aim to reduce the risk of a breakthrough
6 seizure and subsequent negative outcomes. This will aid individual patient risk stratification
7 and the design of future epilepsy trials.

8

9 **Introduction**

10 Epilepsy is one of the most common serious neurological disorders worldwide, affecting
11 approximately 50 million people. Estimates suggest that 60 to 70% of people with epilepsy
12 will achieve a remission from seizures.[1] However, up to 37% of these people may proceed
13 to have a breakthrough seizure.[2] A breakthrough seizure is defined as an epileptic seizure
14 which occurs despite the use of antiepileptic drugs that have otherwise successfully prevented
15 seizures in the patient.[3]

16 Breakthrough seizures might occur for a number of reasons – those inherent to the person’s
17 epilepsy, or the natural history of the condition. Inherent factors include the dose of
18 antiepileptic drug treatment being insufficient to reduce the seizure rate to zero, missed doses
19 of medication, or provoking factors such as emotional stress, sleep deprivation, alcohol or other
20 recreational drugs, and TV or video games.[4, 5] For some people, the natural history is to
21 develop treatment refractoriness following a period of remission, presumably due to on-going
22 epileptogenic processes.[6-8] Frequently, the cause of a breakthrough seizure may not be
23 identified.

1 Some argue that breakthrough seizures are more dangerous than non-breakthrough seizures as
2 they are unexpected by the patient, and therefore, the person may not take appropriate
3 precautions.[9] Breakthrough seizures can have severe clinical consequences for the person –
4 they may be admitted to hospital either as a result of the seizure, or because of injuries sustained
5 during the seizure. Breakthrough seizures can take the form of status epilepticus which is
6 associated with elevated morbidity, and potentially mortality.[10, 11]

7 Despite the fact that breakthrough seizures are commonly seen in clinical practice, very few
8 publications have examined factors associated with a breakthrough seizure and outcomes
9 following such a seizure. Two papers consider breakthrough seizures among people with
10 epilepsy in developing countries,[12, 13] however similar papers for people in developed
11 countries are lacking. It is clearly important that we are able to stratify for outcome following
12 a breakthrough seizure to identify those likely to regain seizure control, and those with a worse
13 prognosis who may need more intensive management. This analysis investigates the risk of a
14 first breakthrough seizure following the first period of 12-month remission, the likelihood of a
15 seizure recurrence following a breakthrough seizure, and the chance of achieving a period of
16 12-month remission following a breakthrough seizure. Included participants were those
17 recruited to the UK-based multi-centre Standard versus New Antiepileptic Drug (SANAD)
18 study.

19 **Methods**

20 **Participants**

21 Full details of the SANAD study have been published elsewhere.[14, 15] In brief, people were
22 eligible for inclusion if they had a history of at least two clinically definite unprovoked epileptic
23 seizures in the last year, and they were aged at least five years. Participants were recruited to

1 Arm A if the recruiting clinician considered carbamazepine to be the standard treatment option.
2 Between December 1st 1999 and June 1st 2001 participants were randomised in a ratio of 1:1:1:1
3 to carbamazepine, gabapentin, lamotrigine, or topiramate. From 1st June 2001 to 31st August
4 2004 an oxcarbazepine group was added to the trial.

5 People were recruited into Arm B if the recruiting clinician regarded valproate the standard
6 treatment option. Participants were randomised in a 1:1:1 ratio to valproate, lamotrigine or
7 topiramate between January 12th 1999 and August 31st 2004.

8 The two primary outcomes in SANAD were time to treatment failure from randomisation and
9 time to the first period of 12-month remission from seizures following randomisation. In this
10 paper the SANAD Arm A and SANAD Arm B datasets have been combined in order to
11 undertake prognostic modelling, stratifying by study arm. In the original publications trial arms
12 were analysed and reported separately, as the primary purpose was to compare the effectiveness
13 of new antiepileptic drugs with the standard treatments. Here the purpose is different, the aim
14 being to assess the risk of a breakthrough seizure, or outcome following a breakthrough seizure,
15 irrespective of the specific drug that the patient was on at randomisation, or the subsequent
16 choice of treatment.

17 Relevant participants for these analyses were those who had achieved their first period of 12-
18 month remission whilst on treatment. No age or other restrictions were imposed.

19 SANAD received appropriate multicentre and local ethics and research committee approvals,
20 and was managed according to the Medical Research Council's Good Clinical Practice
21 Guidelines. Patients gave informed written consent to inclusion and to long-term follow-up.
22 SANAD is registered as an International Standard Randomised Controlled Trial, number
23 ISRCTN38354748.

24

1 **Statistical Analysis**

2 The three outcomes of interest were (1) first breakthrough seizure following first period of 12-
3 month remission, (2) seizure recurrence following a first breakthrough seizure, and (3) 12-
4 month remission following a first breakthrough seizure. The risk estimates were all conditional
5 on achieving a first period of 12-month remission.

6 Each outcome was analysed using a Cox proportional hazard model. For the risk of
7 breakthrough seizure analysis, time zero was the time at which a 12-month period of seizure
8 freedom was achieved. For example, if a participant had 23 months of seizure freedom
9 immediately after randomisation followed by a seizure, their start time for the analysis of
10 breakthrough seizure was 12 months, and their time to breakthrough seizure would be 11
11 months. For the other two outcomes, time zero was the date of the first breakthrough seizure
12 following first period of 12-month remission.

13 Variables associated with a higher risk of seizure recurrence were determined univariably and
14 after adjusting for multiple variables using log-rank tests and Cox proportional hazards
15 modelling methods. Best fitting, parsimonious, multivariable models were produced with
16 variable reduction by Akaike's Information Criterion (AIC) – the model with the smallest AIC
17 was identified as the parsimonious model.[16] Risk estimates for combinations of clinical risk
18 factors were calculated from each multivariable model.[17] In particular, the baseline survivor
19 function was estimated for each model, and then raised to a suitable power based on the
20 combination of risk factors being considered.

21 Schoenfeld residual plots [18] and incorporation of time-dependent covariate effects were used
22 to investigate the proportional hazards assumption. The predictive accuracy of the models was
23 assessed using the c-statistic.[19] Analyses were performed using R version 3.2.3,[20] and

1 significance was set at the 5% level. Computer code for all analyses are available in S1, S2
2 and S3 Files.

3 The list of potential prognostic factors for all three outcomes included: gender, febrile seizure
4 history, first degree relative with epilepsy, neurological insult (learning difficulty or
5 neurological deficit defined as localising neurological signs resulting in functional
6 impairment), seizure type, epilepsy type, baseline electroencephalogram (EEG) result, baseline
7 computerised tomography (CT) or magnetic resonance imaging (MRI) result, total number of
8 treatments attempted to achieve first period of 12-month remission (one, or more than one),
9 and time to achieve 12-month remission from randomisation. Additionally, total number of
10 tonic-clonic seizures ever up until achievement of 12-month remission (classified according to
11 the International League Against Epilepsy seizure classification[21]), and age at achievement
12 of 12-month remission were considered in the risk of breakthrough seizure analysis. Total
13 number of tonic-clonic seizures ever until breakthrough seizure, age at breakthrough seizure,
14 and breakthrough seizure treatment decision (leave as it is, increase, or decrease) were
15 additionally included in the analysis of seizure recurrence following a first breakthrough
16 seizure, and 12-month remission following a first breakthrough seizure.

17 EEG was classified as normal, not done, non-specific abnormality, or epileptiform abnormality
18 (focal or generalized spikes or spike and slow wave activity). Epilepsy type was classified as
19 focal, generalised, or unclassified with the unclassified category being used when there was
20 uncertainty between focal onset and generalised onset seizures.

21 Continuous variables (time to 12-month remission, total number of tonic-clonic seizures and
22 age) were investigated using log and fractional polynomial transformations.[22-25] Results for
23 the continuous variables are presented as post-hoc defined categorical variables with categories
24 chosen according to knot positions for a spline model fit to the data.[26]

1 Results

2 Fig 1 illustrates the disposition of the 2627 participants recruited into both Arm A and Arm B
3 of SANAD. It also identifies participants relevant to each of the outcomes in this analysis.

4 Fig 1: Trial Profile

5 Risk of a breakthrough seizure

6 Table 1 summarises the participant demographics for those achieving a first period of 12 month
7 remission on treatment who were therefore at risk of a first breakthrough seizure. At 2 years
8 following a remission, the overall risk of a breakthrough seizure is 37% (Fig 2). Of the 1593
9 participants included in this analysis, 536 had a first breakthrough seizure with median time to
10 first breakthrough seizure 0.7 years from starting treatment (interquartile range (IQR) 0.2-1.2
11 years). Additionally, the median follow-up time from achievement of 12-month remission to
12 date of last follow-up was 2.0 years (IQR 1.0-3.3 years).

13 Fig 2: Risk of a first breakthrough seizure following a period of at least 12 months 14 remission from seizures whilst on treatment

15 **Table 1: Participant demographics for those at risk of a first breakthrough seizure, n (%)**
16 **unless otherwise stated**

Characteristic	Arm A (n=1067)	Arm B (n=526)	Total (n=1593)
Male	609 (57)	314 (60)	923 (58)
Febrile Seizure History	61 (6)	44 (8)	105 (7)
Epilepsy in first degree relative	111 (10)	89 (17)	200 (13)
Neurological insult	104 (10)	52 (10)	156 (10)
Seizures			

Simple or Complex Partial with Secondary Generalised Seizures	597 (56)	15 (3)	622 (39)
Simple or Complex Partial only	328 (31)	25 (4)	343 (22)
Generalised tonic-clonic seizures only	15 (1)	154 (29)	169 (11)
Absence seizures	2 (0)	82 (16)	84 (5)
Myoclonic or absence seizures with tonic-clonic seizures	3 (0)	106 (20)	109 (7)
Tonic-clonic seizures, uncertain if focal or generalised	113 (11)	114 (22)	227 (14)
Other	9 (1)	30 (6)	39 (2)
Epilepsy type			
Partial	929 (87)	40 (8)	969 (61)
Generalised	21 (2)	357 (68)	378 (24)
Unclassified	117 (11)	129 (24)	246 (15)
EEG results			
Normal	472 (44)	129 (25)	601 (38)
Non-specific Abnormality	180 (17)	55 (10)	235 (15)
Epileptiform Abnormality	328 (31)	321 (61)	649 (40)
Not done ^a	87 (8)	21 (4)	108 (7)
CT/MRI scan results			
Normal	639 (60)	233 (44)	872 (55)
Abnormal	262 (25)	30 (6)	292 (18)
Not done ^b	166 (15)	263 (50)	429 (27)
Drugs attempted to achieve 12-month remission			
One	805 (75)	397 (75)	1202 (75)
Two or more	262 (25)	129 (25)	391 (25)
Number of tonic-clonic seizures ever from randomisation to achievement of 12-month remission, median (IQR)			
	2 (0, 4)	3 (1, 5)	2 (0, 5)
Age at achievement of 12-month remission (years), median (IQR)			
	38 (25, 55)	20 (14, 30)	31 (19, 49)
Time to achieve 12-month remission from randomisation (years), median (IQR)			
	1.1 (1.0, 1.8)	1.1 (1.0, 1.8)	1.1 (1.0, 1.8)

1 ^aMost of these patients had focal epilepsy
 2 ^bMost of these patients were aged < 20 years

3 Results for multivariable modelling of risk of breakthrough seizure are presented in Table 2.
 4 Univariable results, including the log-rank test p-values, are available in S1 Table. The
 5 multivariable model included three covariates - neurological insult as recorded at
 6 randomisation, total number of tonic-clonic seizures recorded before achieving first period of
 7 12-month remission, and time taken to achieve first period of 12-month remission following
 8 randomisation. Participants with neurological insult were more likely to have a first
 9 breakthrough seizure. Similarly, participants having one or more tonic-clonic seizures ever
 10 before achieving 12-month remission, and taking longer than 12 months to achieve their first
 11 period of 12-month remission were also at an increased risk of a first breakthrough seizure.
 12 The c-statistic for the model was 0.6, indicating that the model accurately discriminates
 13 participants 60% of the time, which is reasonable internal validation.[27, 28]

14
 15 **Table 2: Multivariable model hazard ratios for time to first breakthrough seizure after a**
 16 **period of 12-month remission whilst on treatment**

Variable	Comparison	Multivariable HR (95% CI)
Neurological insult as recorded at randomisation	Absent	1.00
	Present	1.55 (1.21, 1.98)
Total number of tonic-clonic seizures recorded before achieving 12-month remission	0	1.00
	1	1.03 (1.02, 1.04)
	2	1.07 (1.04, 1.10)
	3-4	1.10 (1.06, 1.15)
	5-6	1.14 (1.07, 1.20)
	7-10	1.17 (1.09, 1.25)

	11-20	<i>1.22 (1.12, 1.33)</i>
	>20	<i>1.56 (1.28, 1.90)</i>
Time taken to achieve 12-month remission following randomisation (years)	1	1.00
	1-1.5	<i>1.27 (1.16, 1.39)</i>
	1.5-2	<i>1.56 (1.32, 1.84)</i>
	2-3	<i>1.74 (1.42, 2.14)</i>
	>3	<i>1.87 (1.49, 2.36)</i>

1 HR>1 suggests breakthrough seizure more likely

2 *Italic results are statistically significant*

3 As can be seen in S1 Fig and S1 Table, at two years after achieving 12-month remission
4 participants without neurological insult, with only one prior tonic-clonic seizure, and achieving
5 remission immediately at 12 months had a 31% risk of a breakthrough seizure (95% confidence
6 interval (CI): 28%-35%). Conversely, participants with neurological insult, with 20 prior tonic-
7 clonic seizures and requiring three years to achieve 12-month remission had a 71% risk of a
8 breakthrough seizure two years after achieving 12-month remission (95% CI: 61%-80%).

9 **Risk of seizure recurrence after a breakthrough seizure**

10 Table 3 summarises the participant demographics for those who have had a first breakthrough
11 seizure following their first period of 12-month remission. These participants are consequently
12 at risk of seizure recurrence, or have a chance of achieving a further period of 12-month
13 remission. At 2 years following a first breakthrough seizure, the overall risk of a further seizure
14 is 74% (Fig 3). Participants who were instructed to reduce their dose in the three months prior
15 to their breakthrough seizure were removed from this analysis, irrespective of whether the
16 reduction was with the intention to withdraw the drug or not.

17 **Fig 3: Risk of a seizure following a breakthrough seizure**

1 **Table 3: Participant demographics for those at risk of a seizure, or with a chance of**
2 **achieving 12-month remission following a first breakthrough seizure, n (%) unless**
3 **otherwise stated**

Characteristic	Arm A (n=332)	Arm B (n=178)	Total (n=510)
Male	189 (57)	101 (57)	290 (57)
Febrile Seizure History	19 (6)	12 (7)	31 (6)
Epilepsy in first degree relative	29 (9)	38 (21)	67 (13)
Neurological insult	50 (15)	22 (12)	72 (14)
Seizures			
Simple/complex Partial + 2° generalised	198 (60)	6 (3)	204 (40)
Simple or complex partial only	88 (27)	6 (23)	94 (19)
Generalised tonic-clonic only	7 (1)	54 (30)	61 (12)
Absence	2 (1)	19 (11)	21 (4)
Myoclonic/absence + tonic- clonic seizures	2 (10)	44 (25)	46 (9)
Tonic-clonic (uncertain if focal or generalised)	30 (9)	37 (21)	67 (13)
Other	5 (2)	12 (7)	17 (3)
Epilepsy type			
Partial	287 (86)	12 (7)	299 (59)
Generalised	12 (4)	125 (70)	137 (27)
Unclassified	33 (10)	41 (23)	74 (14)
EEG results			
Normal	140 (42)	39 (22)	179 (35)
Non-specific Abnormality	53 (16)	19 (11)	72 (14)
Epileptiform Abnormality	105 (32)	116 (65)	221 (43)
Not done ^a	34 (10)	4 (2)	38 (8)
CT/MRI scan results			
Normal	186 (56)	75 (42)	261 (51)
Abnormal	86 (26)	12 (7)	98 (19)

Not done ^b	60 (18)	91 (51)	151 (30)
Drugs attempted to achieve 12-month remission			
One	252 (76)	136 (76)	388 (76)
Two or more	80 (24)	42 (24)	122 (24)
Number of tonic-clonic seizures ever until first breakthrough seizure, median (IQR)	2 (0, 6)	3 (2, 6)	3 (1, 6)
Age at first breakthrough seizure (years), median (IQR)	40.5 (24.1, 55.6)	20.7 (15.1, 26.3)	30.9 (19.0, 49.7)
Time to achieve 12-month remission from randomisation (years), median (IQR)	1.2 (1.0, 1.9)	1.2 (1.0, 1.8)	1.2 (1.0, 1.8)
Breakthrough seizure treatment decision			
No change to treatment plan	189 (59)	107 (63)	296 (60)
Increase dosage	124 (39)	62 (36)	186 (38)
Decrease dosage (or not specified)	9 (2)	2 (1)	11 (2)

1 ^aMost of these patients had focal epilepsy

2 ^bMost of these patients were aged < 20 years

3 Of the 510 participants included in this analysis, 322 people had a seizure recurrence with
4 median time to seizure recurrence 30.9 days (IQR 6.5-93.3 days) from the first breakthrough
5 seizure. Additionally, the median duration of follow-up time after first breakthrough seizure
6 (following 12-month remission) was 1.6 years (IQR 0.8-2.6 years). The median number of
7 seizures following the first breakthrough seizure was 1 (IQR 0-7). However, 45% participants
8 have more than one seizure before re-entering 12-month remission.

9 Results for multivariable modelling of seizure recurrence after first breakthrough seizure are
10 presented in Table 4. (Univariable results, including the log-rank test p-values, can be seen in
11 S3 Table). The multivariable model included three variables – total number of drugs attempted
12 to achieve initial period of 12-month remission, time to achieve first period of 12-month
13 remission from randomisation, and treatment decision following first breakthrough seizure.
14 Participants attempting two or more antiepileptic drugs to achieve first period of 12-month

1 remission were more likely to have a seizure recurrence following a first breakthrough seizure
 2 than those requiring only one drug. Additionally, participants taking longer than one year to
 3 achieve an initial period of 12-month remission were more likely to have a recurrence
 4 following a first breakthrough seizure than those who only took a year. Participants who were
 5 told to increase their dose after their breakthrough seizure also had an increased chance of
 6 seizure recurrence compared to those who do not change their treatment plan. This may indicate
 7 that clinicians were able to identify participants with provoking factors or missed doses of
 8 medication which were the likely cause of the breakthrough seizure. The c-statistic for this
 9 model was 0.6, again showing reasonable internal validation.

10 **Table 4: Effect estimates from multivariable models – risk of seizure recurrence following**
 11 **first breakthrough seizure (n=510) and likelihood of achieving 12-month remission**
 12 **following a breakthrough seizure (n=510)**

Variable	Comparison	Multivariable HR (95% CI)	
		Seizure recurrence post breakthrough seizure	12-month remission post breakthrough seizure
Gender	Female	N/A	1.00
	Male		1.34 (1.02, 1.77)
Drugs attempted to achieve 12-month remission	1	1.00	N/A
	2 or more	1.47 (1.14, 1.91)	
Age at first breakthrough seizure (years)	≤ 20		1.00
	21-30		0.92 (0.86, 0.99)
	31-45	N/A	0.87 (0.78, 0.98)
	46-70		0.83 (0.77, 0.97)
	> 70		0.80 (0.66, 0.96)
Time to achieve 12-month remission (years)	1	1.00	1.00
	1-1.5	1.03 (1.00, 1.06)	0.90 (0.84, 0.95)
	1.5-2	1.08 (1.01, 1.15)	0.72 (0.60, 0.87)
	2-3	1.13 (1.01, 1.26)	0.52 (0.36, 0.76)

	>3	<i>1.22 (1.02, 1.45)</i>	<i>0.22 (0.09, 0.52)</i>
Breakthrough seizure decision	No change to treatment plan	1.00	1.00
	Increase dosage	<i>2.05 (1.63, 2.57)</i>	<i>0.63 (0.47, 0.84)</i>
	Decrease dosage (or not specified)	1.02 (0.58, 1.80)	0.61 (0.32, 1.16)

HR>1 implies greater chance of seizure recurrence or greater chance of 12-month remission following a breakthrough

seizure as relevant

Italic results are statistically significant

Rates of seizure recurrence predicted by the model at 0.5 and 1 year after a first breakthrough seizure can be seen in S2 Fig and S4 Table. The data show that treatment decision following the breakthrough seizure has the biggest effect on risk of recurrence. The effect of number of drugs attempted to achieve initial period of 12-month remission is noticeable, whilst the time to achieve initial period of 12-month remission has a smaller effect.

Chance of achieving 12-month remission after a breakthrough seizure

Of the 510 participants included in this analysis, 223 people went on to achieve 12-month remission following a first breakthrough seizure, with median time to seizure recurrence 1.0 years (IQR 1.0-1.6 years). At 2 years following a breakthrough seizure, the overall chance of re-entering a period of 12-month remission is 64% (Fig 4).

Fig 4: Chance of achieving 12 month remission following a breakthrough seizure

Results for multivariable modelling of chance of 12-month remission following a first breakthrough seizure are presented in Table 4 (univariable results in S3 Table). The multivariable model included gender, age at first breakthrough seizure, time to achieve first period of 12-month remission, and treatment decision following first breakthrough seizure. According to the model, men are 34% more likely than women to achieve 12-month remission

1 after a first breakthrough seizure. Participants achieving their initial period of 12-month
2 remission immediately after randomisation were more likely to achieve a 12-month remission
3 after a first breakthrough seizure than those taking longer than one year to achieve remission.
4 Participants who did not change their dose after their breakthrough seizure were more likely to
5 achieve a 12-month remission after a first breakthrough seizure than those who increased their
6 dose. Additionally, participants who were less than or equal to 20 years old were more likely
7 to have a 12-month remission after a first breakthrough seizure than those aged over 20. The
8 c-statistic for this model was again 0.6.

9 The range of likelihoods of achieving 12-month remission predicted by the model at 1 and 2
10 years after a first breakthrough seizure are shown in S3 Fig and S5 Table. The data show that
11 time to achieve initial period of 12-month remission has the biggest effect on chance of
12 achieving 12-month remission following a first breakthrough seizure. The effect of treatment
13 decision following the breakthrough seizure is also very clear. The effect of age at achievement
14 of initial period of 12-month remission is noticeable, whilst gender has a smaller effect.

15 **Discussion**

16 We have shown that several clinical factors influence the risk of a first breakthrough seizure
17 following an initial period of 12-month remission whilst on treatment, and outcomes following
18 such a seizure. Of the participants recruited into SANAD, 34% went on to have a first
19 breakthrough seizure. According to the multivariable model for this outcome, participants with
20 neurological insult, or with any number of tonic-clonic seizures, or taking over a year to achieve
21 initial period of 12-month remission were at increased risk of a first breakthrough seizure.

22 Of those participants who had a first breakthrough seizure, 63% went on to have seizure
23 recurrence. The factor with the largest effect was antiepileptic drug treatment decision
24 following the first breakthrough seizure. Those with no change were at much lower risk of a

1 further seizure than those with a treatment increase. This might at first appear counterintuitive,
2 but it may indicate that clinicians are able to identify seizures occurring as a result of participant
3 non-adherence. Alternative reasons may include the presence of other lifestyle factors
4 associated with increased seizure risk. The appropriate management for this perceived non-
5 adherence is to recommend adherence with no dose change, or avoidance of other seizure
6 provoking factors. However the clinician may not be aware of the presence of this non-
7 adherence or provoking factors and may resultantly increase the antiepileptic drug dosage – a
8 dose increase is usually indicated for those with a breakthrough seizure despite adhering to
9 treatment and with no other seizure provoking factors. Other risk factors for this outcome were
10 number of drugs required to achieve initial period of 12-month remission, and time to achieve
11 first period of 12-month remission - participants requiring polytherapy to achieve first period
12 of 12-month remission and taking longer than one year to achieve it were more likely to have
13 a recurrence.

14 Of participants who had a first breakthrough seizure, 44% went on to achieve another period
15 of 12-month remission. Male participants, participants aged under 20 years, and participants
16 achieving their first period of 12-month remission immediately at one year after randomisation
17 were significantly more likely to achieve 12-month remission following a first breakthrough
18 seizure. This gender effect was also observed for the primary outcomes in the SANAD trial,
19 whereby men were more likely to achieve an initial period of 12-month remission.[29] This
20 effect remains unexplained, and might have a biological explanation, or may be because men
21 might be less likely to report seizures in order to minimise impact on their employment or
22 driving license. Participants with no recommended antiepileptic drug treatment change after a
23 first breakthrough seizure were also more likely to achieve 12-month remission than those who
24 increased their dose, indicating (as discussed above) that clinicians might be able to identify
25 those with seizures due to provoking factors requiring no dose change.

1 Our model for risk of a first breakthrough seizure is the first known analysis of risk factors for
2 a first breakthrough seizure in developed countries. However, the results are broadly in line
3 with those published considering risk factors for treatment failure following randomisation to
4 the SANAD study.[30, 31] The Arm A multivariable model focussed on participants with focal
5 epilepsy and included variables for gender, treatment history, age, total number of seizures
6 prior to randomisation, EEG result, seizure type, focal epilepsy site of onset, and randomised
7 treatment. Of these, only number of tonic-clonic seizures was in common with the model
8 presented in this paper. The Arm B multivariable model focussed on participants with
9 generalised and unclassified epilepsy and included variables for treatment history, EEG result,
10 seizure type, and randomised treatment.

11 Previous work also considered risk of second treatment failure after a first and the likelihood
12 of achieving 12-month remission following a treatment failure.[32] The multivariable model
13 for second treatment failure included covariates for total number of tonic-clonic seizures before
14 first treatment failure, reasons for treatment failure, and CT/MRI scan result. The multivariable
15 model for likelihood of achieving 12-month remission following a treatment failure included
16 covariates for gender, age, time on randomised treatment at first treatment failure, neurological
17 insult, total number of tonic-clonic seizure before first treatment failure, reason for treatment
18 failure, seizure type, and CT/MRI scan result.[32]

19 **Limitations**

20 Pragmatic clinical trials usually recruit a heterogeneous group of participants. Although some
21 have criticised this approach [33, 34] the strength of this method has been highlighted here as
22 it allows an investigation of sources of heterogeneity of outcome. Other limitations of SANAD
23 have been discussed elsewhere.[29]

1 EEG was not included in the final model which was selected based on statistical model
2 selection methods. However, EEG was undertaken at randomisation rather than at the time of
3 the breakthrough seizure – measuring EEG at time of breakthrough would have significant
4 resource implications for health services. Additionally, adherence was not measured and
5 therefore could not be included in the list of covariates for possible inclusion in any model.
6 However, no affordable methods exist at present to measure adherence in long-term pragmatic
7 publically funded trials.

8 Due to the definition of each end point - particularly the post breakthrough seizure endpoints -
9 the sample size is relatively small. Additionally, due to the extended follow-up period required
10 to observe participants having events of interest, the duration of follow-up after a first
11 breakthrough is quite limited. These two factors potentially reduce the power of the analyses
12 and could mean that some significant results are not identified.

13 This manuscript has presented a number of models that can further inform participant
14 counselling and potentially treatment decision making. However, these models require
15 validation in other similar datasets. The predictive power of each model also needs to be
16 explored. SANAD II is currently underway. In the meantime there are no other datasets that
17 are similar to SANAD. The closest match is a set of individual participant data collected by the
18 authors.[35] This data is however missing important covariates. Internal validation of the
19 models presented here suggests reasonable model fit however.

20 **Conclusions**

21 This is the first analysis to consider the risk of a breakthrough seizure and outcomes following
22 a breakthrough seizure, in participants from a developed country. The SANAD Study is
23 currently the largest and longest study of participants with newly diagnosed epilepsy and
24 therefore provides the best evidence for this work.

1 Participants taking a long time to achieve first period of 12-month remission, and having a
2 large number of seizures, are the most likely to have a first breakthrough seizure. However
3 once a first breakthrough seizure has occurred only time to achieve initial period of 12-month
4 remission continues to be important. Instead, number of drugs required to achieve initial period
5 of remission, gender and age are found to be associated with the outcomes. Therefore, a focus
6 on achieving 12-month remission swiftly represents the best therapeutic aim to reduce the risk
7 of a first breakthrough seizure and subsequent negative outcomes.

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18

19 **Competing interests**

20 All authors have completed the ICMJE uniform disclosure form at
21 www.icmje.org/coi_disclosure.pdf and declare that (1) LJB, GAP, CTS, and AGM do not have
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2 activities that could appear to have influenced the submitted work.

3 **Details of contributors**

4 LJB undertook all analyses presented in this manuscript. GAP extracted required additional
5 information from the SANAD participant case report forms. All authors drafted and redrafted
6 the manuscript. AGM is the guarantor for this work.

7 **Ethical approval**

8 This was a re-analysis of anonymised randomised controlled trial data not requiring ethical
9 approval.

10 **Data sharing**

11 Although the data are anonymised and the risk of identification is low, the data sets still contain
12 data that could be used to re-identify individuals if other data were available. The data sharing
13 process which is in place (data is available on request by emailing AGM and on signing an
14 appropriate data use agreement) protects participant privacy whilst maintaining the utility of
15 the data. The anonymised individual participant data from the SANAD study will be made
16 available for research purposes by contacting Prof Anthony Marson at
17 A.G.Marson@liverpool.ac.uk.

18 Statistical code is available as Supporting Material.

19

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6 **Supporting information**

7 **S1 Table: Univariable model hazard ratios for time to breakthrough seizure after a**
8 **period of 12 month remission whilst on treatment**

9 **S2 Table: Numerical results for combinations of risk factors for chance of breakthrough**
10 **seizure following 12 months remission at 1, 2 and 3 years after achieving remission**

11 **S3 Table: Effect estimates from univariable models – risk of seizure recurrence**
12 **following first breakthrough seizure and likelihood of achieving 12 month remission**
13 **following a breakthrough seizure**

14 **S4 Table: Numerical results for combinations of risk factors for risk of seizure following**
15 **a breakthrough seizure at 0.5 and 1 year after a breakthrough seizure**

16 **S5 Table: Numerical results for combinations of risk factors for chance of 12 month**
17 **remission following a breakthrough seizure at 1 and 2 years after a breakthrough**
18 **seizure**

19
20 **S1 Fig: Combinations of risk factors for chance of breakthrough seizure following 12**
21 **months remission at 1, 2 and 3 years after achieving remission**

- 1 **S2 Fig: Forest-style plot for risk of seizure following a breakthrough seizure at 0.5 and 1**
- 2 **year after a breakthrough seizure**

- 3 **S3 Fig: Forest-style plot for chance of 12-month remission following a breakthrough**
- 4 **seizure at 1 and 2 years after a breakthrough seizure**

- 5

- 6 **S1 File: R code for estimating risk of breakthrough seizure**

- 7 **S2 File: R code for estimating risk of second seizure following a first breakthrough**
- 8 **seizure**

- 9 **S3 File: R code for estimating chance of 12-month remission following a first**
- 10 **breakthrough seizure**