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### Exergy storage of compressed air in cavern and cavern volume estimation of the large-scale compressed air energy storage system



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#### HIGHLIGHTS

- An estimating exergy storage method of cavern-based CAES is developed.
- Two cavern operational scenarios, isochoric and isobaric cavern, are studied.
- Air temperature variations in cavern significantly affect the exergy storage.
- Uncompensated isobaric cavern has high exergy storage per unit cavern volume.
- A case study of Hornsea gas storage indicated the potential of CAES in the UK.

#### ARTICLE INFO

Keywords: Compressed Air Energy Storage Exergy storage Cavern volume Air response

#### ABSTRACT

Accurate estimation of the energy storage capacity of a cavern with a defined storage volume and type is the very first step in planning and engineering a Compressed Air Energy Storage (CAES) plant. The challenges in obtaining a reliable estimation arise in the complexity associated with the thermodynamics of the internal air compression and expansion processes and the coupled heat transfer with surroundings. This study developed the methodology for estimating the exergy storage capacity with a known cavern volume, as well as the cavern volume required for a defined exergy storage capacity with different operation and heat transfer conditions.

The work started by developing the mathematical models of the thermodynamic responses of air in a cavern subject to cavern operation in isochoric uncompensated or isobaric compensated modes, and heat transfer conditions including isothermal, convective heat transfer (CHT) and adiabatic wall conditions. The simulated transient air pressure and temperature were verified with the operational data of the Huntorf CAES plant. The study of the Huntorf CAES cavern confirmed the importance of the heat transfer influence on the energy conversion performance. The increase of mass storage due to the reduced temperature variation leads to an enhanced total exergy storage of the cavern. According to our simulations, within the operating range of the Huntorf plant, 34.77% more exergy after the charging and 37.98% more exergy after throttling can be stored in the cavern with isothermal wall condition than those in the cavern with adiabatic vall condition. Also, the nearly isothermal behaviour and high operating pressure in the compensated isobaric cavern resulted in the high effectiveness of exergy storage per unit cavern volume. The required cavern volume of the assumed isobaric cavern volumes for an operational gas storage facility were used to demonstrate the methodology in estimating the exergy storage capacity, which provided an initial assessment of the storage capacity in the UK.

#### 1. Introduction

Energy storage is one of the key solutions needed to address the challenges to the power grid arising from the increasingly high

renewable energy penetration [1]. Electrical energy storage provides a mechanism of decoupling the electricity generation from energy harvesting, and potentially compensating for the intermittence of power generation from renewable energy sources such as wind, solar, etc. Of

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Nomenc	lature	ρ	density, kg/m <sup>3</sup>
Symbols		Subscript	s/superscripts
В́ с <sub>р</sub> h	exergy variation, J/s specific heat capacity, J/(K·kg) specific enthalpy, J/kg	A B C	air brine cavern
k ṁ m	heat conductivity, W/(m·K) mass flow rate, kg/s mass, kg	in out o	inflow outflow reference state
p Q r	pressure, Pa heat transfer flux, J/s cavern radius	W S	cavern wall salt
R s	gas constant, J/(kg·K) specific entropy, J/kg	Acronym	S
T u V	temperature, K specific internal energy, J/kg volume, m <sup>3</sup>	A-CAES CAES CHT	Adiabatic Compressed Air Energy storage Compressed Air Energy Storage Convective Heat Transfer
Ŵ <sub>CV</sub> κ	work flux, J/s heat capacity ratio	TES	Thermal Energy Storage

the existing commercialised bulk energy storage utilities (> 100 MW), Compressed Air Energy Storage (CAES) is a prominent technology. Currently, there are two diabatic utility-scale CAES plants in operation in the world. The first operational CAES plant, built in 1978, was the 290 MW (upgraded to 321 MW in 2006) Huntorf plant in Germany, using salt caverns solution-mined in a salt dome and currently operated by E.ON [2]. The second is the 110 MW plant with a rated energy capacity of 26 hours in McIntosh, Alabama. The Huntorf plant has two salt caverns, about 310,000 m<sup>3</sup>, at a depth of 600 m, in which the pressure varies between 43 and 70 bar on a daily cycle [3]. The total usable volume of the McIntosh plant is approximately 19,000,000 cubic feet (about 538,000 m<sup>3</sup>) and the salt cavern is at a depth of about 1500 feet (about 450 m) with the allowable pressure between 45 and 76 bar [4]. These two CAES systems have successfully operated for several decades. The Huntorf CAES plant has been reliably operated with excellent performance of 90% availability and 99% starting reliability [5]. The McIntosh CAES plant has maintained an average starting reliability between 91.2% and 92.1%, and an average running reliability of 96.8% and 99.5% for the discharge and charge periods, respectively [5]. In addition to diabatic CAES, adiabatic CAES (A-CAES) has been proposed in recent years to avoid using fossil fuels in the discharging of the energy storage process. Using thermal energy storage (TES), A-CAES collects and stores heat from the air compression process during the charge period, and reuses that heat instead of fossil fuels to raise the air discharge temperature at the expansion stage. Besides independence of fossil fuels, A-CAES is expected to have higher cycle efficiency than the conventional CAES plants [6-9]. In addition to conventional CAES and A-CAES, there are other CAES possibilities and innovations [10,11].

Large-scale CAES (> 100 MW) usually utilises underground reservoirs which are capable of storing compressed air effectively and economically. According to the classification in [12], porous rock reservoirs (aquifers or depleted gas reservoirs) and cavern reservoirs (caverns in salt formation and low-permeability hard rock) are appropriate. Of these options for air storage, Donader and Schneider pointed out that caverns are particularly suitable for flexible compressed air storage operation with high flow rates and frequent cycles [13], because caverns have one/serval large open space/spaces compared to porous rock which consists of a large number of pore spaces. Combined with the self-healing capacity of salt-rock and solubility of salt-rock in water, which leads to easy and economical excavation of storage cavern in deep salt rock formation, salt caverns are widely used in large-scale CAES plants. The two current commercial CAES facilities were both constructed in salt-dome, in which solution-mined caverns are used for compressed air storage. In addition, low-permeability hard rock formation also is potentially suitable for underground compressed air storage. This can be achieved by either unlined rock cavern using ground water pressure and drilled water curtain or lined rock cavern with a thin impermeable liner [14].

Therefore, for a cavern-based CAES system, the storage capacity of the compressed air in a cavern, and the identification of an appropriate cavern volume are crucial for accommodating the matched compressed air energy to deliver the designed rate of power and energy at the plant planning and design stage. The complexity of these estimations results from the time-dependent CAES system operation, dynamic internal air responses in the cavern, and the coupled thermal effects of surrounding rocks. To deal with the challenge, this study proposed a method to balance the complexity and accuracy of these estimations for the plant's planning and design. The novel estimation method is not only simple to carry out the early-stage preliminary design without excessive cost, but also comprehensive and accurate enough to consider all the associated factors. This study examines exergy flow based on the second law of thermodynamics, and evaluates the storage capacity of the compressed air in the cavern-based CAES system. Compared to "energy", which regards work and heat with equivalent contribution to balance the energy flow according to the first law of thermodynamics, exergy analysis focuses primarily on the maximum useful work and considers the exergy losses in the energy conversions. The exergetic analysis is valuable and it has been studied in applications with electricity output, such as the Organic Rankine cycle [15,16], the fuel cell [17], Combined Cycle Gas Turbine [18], and other power generation processes [19–21]. These investigations used exergetic analysis and accounted for the exergy losses and efficiencies. Thus, in this study, exergy storage capacity of the compressed air indicates the equivalent maximum work deliverable during the system discharging period.

Exergy storage capacity of a cavern was studied by Garvey et al and the capacity is evaluated solely in terms of the pressure variation of the air in the cavern [22]. However, compared to the identified significance of pressure variation in the cavern to determine the exergy storage capacity, air temperature variation is significantly underestimated. For capturing the unsteady heat transfer between the air and cavern wall, three wall conditions which approximate the heat flux between air and surrounding rock are considered: (1) the adiabatic boundary condition for the cavern wall in which heat flux is zero; (2) the isothermal boundary condition for the cavern wall in which heat flux is infinite with perfect conduction through surrounding rocks; and (3) the convective heat transfer (CHT) boundary condition for the cavern wall

which considers the heat transfer due to the finite temperature difference between the air and surrounding rock. Early studies developed by Osterle [23] and Skorek et al. [24] employed the adiabatic wall condition with zero heat flux through the cavern wall in the analysis of the whole CAES system performance. Alternatively, studies have developed thermodynamic models for the temperature and pressure variations within adiabatic caverns of CAES systems [25]. The work only focused on the thermodynamic responses of the reservoir with adiabatic wall in terms of pressure and temperature variations, subject to the charge/ discharge cycles of CAES plants [25]. They also pointed out that the study of adiabatic reservoirs is the limiting case with negligible heat transfer across cavern walls [25]. Besides adiabatic caverns, Kushnir et al. derived another limiting case with perfect heat conduction through surrounding rock, namely isothermal reservoir [26]. Xia et al. proposed an analytical solution in a simple and unified form with the assumption of constant air density and temperature [27].

In practice, realistic CAES cavern operation is between the two limiting cases. This study proposed and defined the CHT wall condition to account for a practical cavern operational scenario. In the charging period of the cavern operation with the CHT wall condition, thermal energy of the air stored in the cavern is lost to the surroundings. The air temperature still increases due to the internal compression. To predict heat exchange in this diabatic cavern, a dynamic model of a CAES cavern was derived based on the assumed constant wall temperature [28]. Kushnir et al. coupled the mass/energy conservation of air in the cavern with the heat conduction in surrounding rock to estimate the unsteady heat exchange [26]. All of these studies investigated the underground cavern assuming constant volume (isochoric) operation: i.e. the air cavern is operated in uncompensated isochoric condition, but the cavern can be also operated in constant pressure (isobaric) mode. Nielsen and Leithner proposed an innovative design for isobaric salt cavern operation using a shuttling pond at the surface [29]. The air pressure remained nearly constant while the air volume fluctuated. Hard rock caverns also are options for hydraulically compensated and isobaric operation [12]. However, compared to the isochoric cavern, few studies have paid attention to the dynamic responses of an isobaric CAES cavern system.

Detailed numerical analysis of an isochoric underground CAES cavern were reported for the unsteady air dynamics in the past. Rutqvist at al. conducted a coupled, non-isothermal, multiphase flow and geomechanical numerical modelling using the TOUGH-FLAC simulator. They investigated the coupled air thermodynamics and geo-mechanical performance of the cavern and surroundings [30,31]. Mechanical responses of salt rock to the variable thermo-mechanical loading have been explored for the design of CAES caverns. Considering the changes of air temperature and pressure in the cavern, an elasto-viscoplastic creep model and Fourier's law of heat conduction were employed to present the material behaviour of rock salt [32]. Recently, Guo et al. developed a wellbore-reservoir model for accurately predicting the pressure and temperature of air [33]. However, these numerical analysis need excessively enhanced computational cost by numerically solving a system of governing equations through a large number of discretised elements.

This paper presents a new method for calculating the total exergy of a predefined storage volume by tracking the air dynamics in the cavern, which can also be reversely used to estimate the cavern volume subject to a target exergy storage capacity. The method suits both salt rock cavern and hard rock cavern, but requires different parameter settings. The paper starts by describing the mathematical models to reveal the air dynamics in the cavern under different operational scenarios and heat transfer conditions. To balance the computational burden and modelling accuracy, the model presents the average air pressure and temperature in the reservoir, which is coupled with the heat conduction in the surrounding rock. Furthermore, after validation of the derived models, two cavern operational scenarios of a CAES system (uncompensated isochoric and compensated isobaric cavern) and three types of cavern wall heat transfer conditions (isothermal, CHT and adiabatic conditions) are investigated. Taking the Huntorf CAES plant cavern as an example for case study, exergy storage capacity of the cavern is evaluated under different operational modes. In addition, the required volume is discussed and compared between different scenarios. Finally, potential exergy storage capacity is calculated for the UK's Hornsea/Atwick underground gas storage facility to assess if it could be used for CAES purposes.

# 2. Operation of large-scale compressed air energy storage systems and different cavern operation modes

Fig. 1 illustrates two of the large-scale CAES systems: conventional diabatic CAES and A-CAES. As shown in Fig. 1(a), a conventional CAES cycle can be considered as gas turbine assisted. During the charging period (generally during off-peak times), air is compressed and injected



Fig. 1. Illustrated large-scale CAES systems, in which (a) shows the conventional CAES and (b) illustrates the A-CAES. HX is heat exchanger. CC is combustion chamber. TES is thermal energy storage.

into the cavern for storage. The heat of compression is lost during this process. The system operation pauses and stores the compressed air in the cavern until the expansion process (usually peak demand times). During demand the compressed air is discharged, being first heated up in the combustion chamber before being expanded through a turbine train. Alternatively, as shown in Fig. 1(b), similar operations through charging, storing and discharging occur in the large-scale A-CAES. A-CAES uses TES from the stored heat of compression instead of requiring combustion (fossil fuels) to heat up the compressed air during the discharging period. A-CAES eliminates the dependence of using fossil fuels to increase the thermal efficiency of the gas turbine Brayton cycle, but the system performance relies primarily on the overall cycle efficiency. With the sole energy input from the electricity, the output power decreases rapidly due to the inefficiency of every associated component and the low cycle efficiency.

Thermodynamic responses of the compressed air in the cavern determine the total exergy capacity and power rating of the CAES system. This investigation considers two cavern operation modes of storing compressed air, including uncompensated isochoric air storage and compensated isobaric air storage. These two cavern operation modes are illustrated in Fig. 2(a) and (b) respectively. In an uncompensated isochoric air storage mode, the air pressure in the storage increases from the minimum operating pressure to the maximum operating pressure, with the injection of compressed air. When the maximum operating pressure is reached, air will not be pressurised into the storage, and the charge process stops. During the discharging period, because the air stored in the storage is released to generate electricity via turbines, the air pressure in the cavern decreases from the maximum operating pressure to the minimum operating pressure. The minimum pressure of the cavern should be no smaller than the discharge pressure of compressed air during the electricity generation. In the CAES system with the uncompensated isochoric air storage, due to the economic concern and reliability of the turbine, the compressed air is commonly throttled to a constant pressure before it is expanded in the turbine. A throttled valve is usually placed at the outlet of the isochoric compressed air storage.

As shown in Fig. 2(b), the illustrated compensated (isobaric) air storage is operated with the maintained air pressure in the storage using water column. The increase or decrease of the air mass in the cavern is driven by displacement of water volume in the cavern. In this configuration connecting the cavern to a surface water reservoir through a vertical shaft, a nearly constant air pressure can be operated through the whole process. In practice, a water pump is usually used so as to achieve a constant cavern air pressures higher than the hydrostatic pressure. As a consequence, the cavern can be operated isobarically at its maximum operating pressure (in relation to its depth) during the whole cycle.

Besides enthalpy of inflow/outflow and internal compression/expansion, air temperature variation in the cavern also depends on the heat through the cavern walls. In the cavern with the adiabatic wall condition, the heat transfer flux between the air and surroundings is zero [34]. This type of cavern is capable of approximating a well-insulated cavern with negligible heat loss to the surroundings or an operation with very-fast air charging. Thus, the adiabatic cavern wall condition ignores the thermal flux between the air and surrounding rock, and tends to get the over-estimated pressure and temperature variations [27]. With the assumed isothermal wall condition, temperature of the stored air will remain constant. This type of the cavern thermal operation has the infinite heat transfer from/to the surrounding rock to manage the zero temperature variation. The isothermal cavern operation is an approximation of the cavern with the slow air flow or/ and significantly enhanced heat transfer. The isothermal wall condition tends to over-estimate the mass storage within a particular operational range. Consequently, caverns with these two wall conditions present two limiting scenarios of heat transfer between the air and cavern wall, indicating the maximum (adiabatic) and minimum (isothermal) temperature fluctuations of air in the cavern. The CHT wall condition approximates the heat exchange between the air and surroundings and predicts the varied temperatures of the air and cavern wall. The CHT cavern wall condition is capable of more practically and accurately estimating the normal cavern response of the large-scale CAES.

#### 3. Mathematical models of compressed air storage in CAES

As exergy is defined as the maximum useful work accomplished during a process that brings the system into equilibrium with the environment [35], the exergy variation rate of air flow can be expressed as [30]

$$\dot{B} = \dot{m} [h - h_0 - T_0 (s - s_0)] \tag{1}$$

where  $\dot{B}$  is exergy variation of air flow in J/s, and the subscript 0 denotes the property at the reference (environment,  $T_0 = 298$  K and  $p_0 = 1$  bar) state. h is specific enthalpy in J/kg,  $\dot{m}$  is mass flow rate in kg/s, T is temperature in K, s is specific entropy in J/(K·kg). Using the ideal gas theory, the enthalpy difference and entropy difference of air are





(b)

maou

$$h - h_0 = c_p (T - T_0); s - s_0 = c_p \ln\left(\frac{T}{T_0}\right) - R \ln\left(\frac{p}{p_0}\right)$$
 (2)

where  $c_p$  is specific heat capacity of air in J/(K·kg), *R* is gas constant in J/(K·kg) and *p* is air pressure in Pa. The value of the gas constant is 287.06 J/(kg·K).

In cavern-based CAES systems, the energy of the compressed air stored in the cavern increases when air is compressed and injected into the storage. Thus, parts of the exergy of the compressed air due to the increased pressure converted from electricity is stored in the cavern. This is the maximum exergy capacity of the CAES in terms of the compressed air, which is the focus of this study. The exergy storage capacity of thermal energy and exergy losses in storage and discharging are not considered. According to the exergy variation rate as shown in Eq. (1), the maximum exergy of compressed air stored during a fullcharging in the cavern can be calculated. The maximum exergy stored in cavern after the charging period can be expressed as

$$B_{\max} = \int_{\text{charging}} \dot{B} dt = \int_{\text{charging}} \dot{m} \left\{ c_p (T - T_0) - T_0 \left[ c_p \ln\left(\frac{T}{T_0}\right) - R \ln\left(\frac{p}{p_0}\right) \right] \right\} dt$$
(3)

#### 3.1. Uncompensated isochoric air storage

For a cavern with mass inflow and outflow, according to the first law of thermodynamics, the mass and energy conservation of the control volume can be derived,

$$\frac{dm}{dt} = \dot{m}_{in} - \dot{m}_{out} \tag{4}$$

$$\frac{d(mu)}{dt} = \dot{Q} - \dot{W}_{CV} + \sum \left( \dot{m}h \right)$$
(5)

where *u* is internal energy,  $\dot{Q}$  is heat flux,  $\dot{W}_{CV}$  is work done by the control volume,  $\dot{m}$  is mass flow rate.

As internal energy u = h - pv in which v is specific volume of air, Eq. (5) becomes

$$\frac{d(mu)}{dt} = \frac{d(mh)}{dt} - p\frac{dV}{dt} - V\frac{dp}{dt}$$
(6)

where V is the control volume. Combining Eqs. (5) and (6) and considering the average air properties in the cavern [28], energy conservation becomes

$$\frac{d(mh)}{dt} = \dot{Q} + \dot{m}_{in}h_{in} + V\frac{dp}{dt}$$
(7)

If heat capacity of air is considered constant, Eq. (7) can be presented as

$$\frac{dT}{dt} = \frac{\left(\dot{Q} + \dot{m}_{in}c_p(T_{in} - T) + V\frac{dp}{dt}\right)}{mc_p} \tag{8}$$

To estimate the pressure variations in the cavern, the equation of state of air is

$$pV = zmRT \tag{9}$$

where z is the compressibility factor, which is used for modifying the ideal gas law to consider the real gas behaviour. For an ideal gas, the compressibility factor z is 1. Within the operational range of the Huntorf CAES plant, ideal gas assumption is nearly valid for describing the air behaviour in the cavern [28]. For simplicity, the ideal gas assumption of air is considered in this study.

Differentiating both sides of Eq. (9) with respect to time, and using Eq. (9), air pressure variation in the air storage can be obtained.

$$\frac{dp}{dt} = \frac{1}{V} (\kappa R T_{in} \dot{m}_{in} - \kappa R T \dot{m}_{out} - \kappa p \frac{dV}{dt} + (\kappa - 1) \dot{Q})$$
(10)

where  $\kappa$  is heat capacity ratio of air. This study considers an average value of the heat capacity ratio of air, which is 1.4.

Therefore, with Eqs. (10), (8) and (3), a system of equations which associate with pressure, temperature and exergy storage of air is closed, which can be solved accordingly.

In the isothermal cavern operational scenario, to further simplify the calculation of the exergy storage capacity, exergy storage capacity of the isothermal cavern can be obtained by differentiating Eq. (9) and integrating Eq. (3) with the unchanged air temperature *T* and air volume *V*,

$$B_{V,T} = B_T + B_P = \left\{ \frac{pV}{RT} \left[ c_p (T - T_0) - T_0 c_p \ln\left(\frac{T}{T_0}\right) \right] + \frac{pVT_0}{T} \left[ \ln(\frac{p}{p_0}) - 1 \right] \right\}_{p_1}^{p_2}$$
(11)

where  $B_{V,T}$  is the exergy storage capacity of the isothermal isochoric cavern, which consists of two parts: air thermal exergy,  $B_T$ , and air pressure exergy,  $B_p$ .  $p_1$  and  $p_2$  are air pressure in the cavern at the beginning and end of the charging.

The adiabatic cavern has zero heat exchange with the surroundings, namely  $\dot{Q} = 0$ . Besides the isochoric constraint for the compressed air storage,  $(dV/dt)_V = 0$ , Eqs. (9) and (11) can be further derived in the adiabatic isochoric operation. Thus, the associated pressure and temperature variations are,

$$\begin{pmatrix} \frac{dp}{dt} \end{pmatrix}_{V,Q} = \frac{1}{V} (\kappa R T_{in} \dot{m}_{in} - \kappa R T \dot{m}_{out})$$

$$\begin{pmatrix} \frac{dT}{dt} \end{pmatrix}_{V,Q} = \frac{\dot{m}_{ln} c_P (T_{ln} - T) + V \left(\frac{dp}{dt}\right)_{V,Q}}{m c_P}$$

$$(12)$$

Moreover, finite heat transfer exists in practice and the operation refers to CHT wall condition. In fact, the heat exchange rate through cavern walls can be estimated by

$$\dot{Q} = h_W A_W (T_W - T) \tag{13}$$

where  $h_W$  is the average heat transfer coefficient,  $A_W$  is surface area of cavern wall, and  $T_W$  is temperature of cavern wall, which is obtained by solving the heat conduction in the surrounding rock. In this study, the cavern wall is assumed to be a "two-sided wall" which is an interface between two regions of the compressed air and surrounding rock with negligible thickness.

Because the temperature penetration depth is relatively small compared to the depth of the cavern and only the average air properties considered in the cavern, the heat transfer in the rock is assumed to be one-dimensional thermal conduction in radial direction [12,26,27], and a long cylindrical cavern shape is considered [36]. Thus, the heat conduction equation is

$$\rho_{s}c_{p,s}\frac{dT_{s}}{dt} = \frac{1}{r}\frac{\partial}{\partial r}\left(k_{s}r\frac{\partial T_{s}}{\partial r}\right)$$

$$r = r_{W}, -k_{s}\frac{\partial T_{s}}{\partial r} = h_{W}(T - T_{W}); r = \infty, T_{s} = T_{0}$$
(14)

where  $\rho_s$  and  $c_{p,s}$  are density and heat capacity of surrounding rock.  $r_W$  is mean radius of cavern.  $k_s$  is heat conductivity of surrounding rock.  $T_s$  is the temperature of surrounding rock.

With the isochoric constraint for the air volume variation, therefore, the model of air pressure in the isochoric cavern with the CHT wall is

$$\left(\frac{dP}{dt}\right)_{V} = \frac{1}{V} \left[\kappa R T_{in} \dot{m}_{in} - \kappa R T \dot{m}_{out} + (\kappa - 1) h_{W} A_{W} (T_{W} - T)\right]$$
(15)

Thus, the dynamic model of air temperature can be obtained

$$\left(\frac{dT}{dt}\right)_{V} = \frac{h_{W}A_{W}(T_{W}-T) + \dot{m}_{in}c_{P}(T_{in}-T) + V\left(\frac{dP}{dt}\right)_{V}}{mc_{P}}$$
(16)

#### 3.2. Compensated isobaric air storage

Similarly, exergy storage capacity of the isothermal compensated isobaric air cavern can be analytically obtained by integrating Eq. (3) with constant air pressure p and constant air temperature T

$$B_{p,T} = B_{p,T}^{T} + B_{p,T}^{p} = \left\{ \frac{pV}{RT} \left[ c_{p}(T-T_{0}) - T_{0}c_{p}\ln\left(\frac{T}{T_{0}}\right) \right] + \frac{pVT_{0}}{T} \left[ \ln\left(\frac{p}{p_{0}}\right) \right] \right\}_{V_{1}}^{V_{2}}$$
(17)

where  $B_{p,T}$  is the exergy storage capacity of the isothermal isobaric cavern.  $V_1$  and  $V_2$  are the volume of the compressed air in the cavern at the beginning and the end of the charging.

Because of the existence of the brine which is connected to the pond at ground level and contacted with the compressed air in the cavern, heat transfer naturally occurs between the air and brine. Isothermal and CHT conditions are considered between the air and brine due to the high heat exchange rate. Therefore, adiabatic cavern is not considered in simulating the air responses in the compensated isobaric air storage, and only models of the CHT and isothermal cavern walls are derived in the further investigation.

Due to the existing flows of brine in the air storage, in the compensated isobaric air storage, mass conservation and energy conservation of brine should be considered and coupled with those of air mass flows. Therefore, similar to the analysis of air mass balance above, mass variation of brine with respect to time is

$$\frac{am_B}{dt} = \dot{m}_{B,in} - \dot{m}_{B,out} \tag{18}$$

where  $\dot{m}_{B,in}$  and  $\dot{m}_{B,out}$  are inflow and outflow rates of brine. In addition, temperature variation of brine can be expressed as

$$\left(\frac{dI}{dt}\right)_{B,p} = \frac{Q_{p,B} + m_{B,in}c_{p,B}(I_{B,in} - I_B)}{m_B c_{p,B}}$$
(19)

where  $\dot{Q}_{p,B}$  is heat flux to brine,  $T_B$  is temperature of brine. It includes the heat flux between wall and brine,  $\dot{Q}_{WB}$ ,

$$\dot{Q}_{WB} = h_{WB}A_{WB}(T_{WB} - T_B)$$
 (20)

where  $T_{WB}$  is temperature of the wall connected to brine,  $h_{WB}$  is the average heat transfer coefficient between brine and the wall. And that from air to brine,  $\dot{Q}_{BA}$ .

To approximate the temperature of cavern wall connecting with

Responses of the compressed air

brine, temperature variations are also considered. Due to the substantial depth of the cavern from the surface, heat conduction of rock is only considered in the region which has the same depth as the cavern. As the cavern is filled with both air and brine, a quasi two dimensional heat transfer model is used in the region

$$\rho_{s}c_{P,s}\frac{dT_{s}}{dt} = \frac{1}{r}\frac{\partial}{\partial r}\left(k_{s}r\frac{\partial T_{s}}{\partial r}\right) + \frac{\partial}{\partial z}\left(k_{s}\frac{\partial T_{s}}{\partial z}\right)$$
(21)

Thus the boundary conditions for Eq. (21) becomes

$$r = r_W, -k_s r \frac{\partial I_s}{\partial r} = h_W (T - T_W); r = \infty, T_s = T_0$$
  
$$z = H_1, \frac{\partial T_s}{\partial z} = 0; z = H_2 \frac{\partial T_s}{\partial z} = 0;$$
 (22)

where  $H_1$  and  $H_2$  are the height of air and brine occupied volumes in the cavern as shown in Fig. 1.

In CAES with compensated isobaric air storage, besides heat transfer between air and cavern walls, heat exchange between compressed air and brine through the water-air interface also plays a significant role. The heat flux between the air and brine is

$$\dot{Q}_{BA} = h_{BA}A_{BA}(T_B - T) = -\dot{Q}_{AB}$$
 (23)

where  $h_{BA}$  is the average heat transfer coefficient and  $A_{BA}$  is area of airwater interface which can be approximated by.

$$A_{BA} = \pi r_W^2 \tag{24}$$

The cavern is filled with compressed air and brine, which is

$$V_c = V + V_B = \frac{mRT}{p} + \frac{m_B}{\rho_B}$$
(25)

where  $V_B$  is the volume of brine in the cavern, and  $\rho_B$  is the brine density.  $V_C$  is cavern volume. Due to the constant cavern volume  $V_C$ , the variations of air volume and brine volume in the cavern satisfy.

$$(dV/dt)_p = -dV_B/dt \tag{26}$$

When the density of brine is considered as a constant value, volume variation of brine in the cavern is,

$$\frac{dV_B}{dt} = \frac{\dot{m}_{B,in} - \dot{m}_{B,out}}{\rho_B}$$
(27)

Therefore, pressure and temperature variations at this operation can be obtained accordingly,

Exergy storage capacity of the compressed air



Fig. 3. Flowchart of estimating the exergy storage capacity of the uncompensated isochoric air storage. Detailed steps with equations considering the isothermal wall, the adiabatic wall and the CHT wall conditions are included in the flowchart.

$$\left(\frac{dp}{dt}\right)_{p} = \frac{1}{V_{p}} \left(\kappa R T_{in} \dot{m}_{in} - \kappa R T \dot{m}_{out} + \frac{\kappa p}{\rho_{B}} (\dot{m}_{B,in} - \dot{m}_{B,out}) + (\kappa - 1) \dot{Q}_{P,B} \right)$$
(28)

$$\left(\frac{dT}{dt}\right)_{p} = \frac{\dot{Q}_{p,B} + \dot{m}_{in}c_{P}(T_{in}-T) + V\left(\frac{dp}{dt}\right)_{p}}{mc_{p}}$$
(29)

in which  $(dp/dt)_p$  is controlled by mass flows of brine and expected to be nearly zero. Therefore, a system of Eqs. (28), (29) and (3) is capable of calculating the pressure, temperature and exergy stored of the compressed air in the compensated isobaric cavern.

#### 4. Exergy storage capacity and cavern volume

Using the derived models of the air responses in the storage, the exergy storage capacity of the cavern can be evaluated in different operational scenarios. First, for an uncompensated isochoric cavern, a flowchart of estimating the exergy storage capacity in isothermal, CHT and adiabatic cavern wall conditions is plotted in Fig. 3. It begins with the initialisation of parameters, initial conditions and boundary conditions. Exergy storage capacity of the isothermal cavern wall condition,  $B_{V,T}$ , can be estimated by analytic expression as shown in Eq. (11). For the caverns with CHT and adiabatic wall condition, numerical integration is used for estimating the exergy storage capacity with the transient pressure and temperature during the charging period. When the air pressure in the cavern reaches the maximum operating cavern pressure, the system charging finishes and the maximum exergy storage capacity of the cavern is reached after the full-scale charging.

Fig. 4 shows the flowchart of estimating the exergy storage capacity of the compensated isobaric cavern. Similarly, with the initialised parameters and operations, the exergy storage capacity of the caverns can be analytically or numerically predicted. Different from the uncompensated isochoric air storage, the volume of air in the storage determines the termination of the charging period. When the air volume reaches the pre-defined maximum volume, system charging finishes and the maximum exergy is stored in the cavern.

With the method of predicting the exergy storage, more accurate cavern volume subject to the system specifications can be calculated. The calculation loop of the cavern volume is plotted in Fig. 5. Based on the prediction of the exergy storage capacity, the calculation loop uses the perturbation method to find the appropriate cavern volume. With an initialised cavern volume, the iteration of the calculation starts. At every iteration, if the estimated exergy storage capacity is larger than the design value, a smaller cavern volume is updated for the next iteration. Otherwise, a larger cavern volume is searched. Until the



Fig. 5. Flowchart of calculation loop of the cavern volume subject to the CAES system specifications. The flowchart can be used in both the uncompensated isochoric cavern and the compensated isobaric cavern operations.

desired exergy storage is satisfied, the calculation of the cavern volume is finished.

#### 5. Modelling validation of the dynamic air responses in cavern

Before the estimating process of the exergy storage capacity and cavern volume, in order to investigate the cavern operational scenarios with the CHT and adiabatic wall, this section contributes to validation of the numerical models of the air responses in the cavern. In the simulation, heat capacity of air is constant in the calculation at each time step. To approximate the real gas effect of air, after the calculation at the end of each time step, heat capacity of air is updated using software *CoolProp* based on the current pressure and temperature [37], which is used for the calculation in next time step. These models are implemented in MATLAB/Simulink.

As there is no experimental data for a compensated isobaric air storage cavern available, at the early stage, only trial test data of the Huntorf plant is used to validate the dynamic models of varying air pressure and temperature in the uncompensated isochoric gas storage [27,38]. Several parameters are used in the validation and listed in Table 1 which are from [26,27]. The current model uses the average heat transfer coefficient and assumed that the heat transfer coefficient is independent from the temperature differences. This assumption has been adequately validated in [26], as the wall conductive heat



Fig. 4. Flowchart of estimating the exergy storage capacity of the compensated isobaric air storage. Detailed steps with equations considering the isothermal wall and the CHT wall conditions are included in the flowchart.

Parameters of the Huntorf CAES plant (The values are used in modelling validation and the air pressure and temperature in dynamic modelling uses the test data of the Huntorf plant gained during the initial trial test. [26,27]).

Parameters	Value
Average cavern radius <i>r</i> , m	20
Cavern volume $V_C$ , m <sup>3</sup>	141,000
Cavern surface area $A_W$ , m <sup>2</sup>	25,000
Density $\rho_W$ , kg/m <sup>3</sup>	2100
Specific heat capacity $c_{p,W}$ , J/(kg·K)	840
Thermal conductivity $k_W$ , W/(m·K)	4
Heat transfer coefficient $h_W$ , W/(m <sup>2</sup> ·K)	30
Gas constant R, $J/(kg \cdot K)$	287
Initial air and rock temperature $T_0$ , °C	40
Initial air pressure in cavern $p_1$ , MPa	5.9

resistance and the substantial volume of cavern significantly improve the steadiness of flow and heat transfer, and reduce the effect from its variations with time.

A mass flow rate profile in a 24-h window is shown in Fig. 6, which is used as input variables for the simulation. The inlet air temperature at the three periods of non-zero inflow rates are 50.96 °C, 45.95 °C, and 49.08 °C, respectively [27]. The simulated pressure and temperature variations are plotted in Fig. 7(a) and (b) respectively. According to the results, simulated pressure and temperature variations follow closely to the test data of the trial operation of Huntorf CAES plant. Although there are slight deviations between the simulated air temperature and the operational data, the modelling successfully simulated the variation trend of the air temperature during operations of charging, storage and discharging. Using a similar model, Kushnir et al. also found that the span of the measured temperature variations are slightly smaller than the simulated values [26]. Due to the uncertainties in the lumped heat transfer model in terms of the average values of both heat transfer coefficient and area, the discrepancy may occur.

# 6. Case studies of exergy storage capacity of cavern and cavern volume in different cavern operational scenarios and heat transfer conditions

The mathematical models and methods above are proposed to estimate the exergy storage capacity of a cavern with known volume or explore the required cavern volume subject to a capacity target. The general approach can be used in assessing the cavern at any stage of the life cycle only if the boundary conditions and initial conditions are set properly. The case studies in this section assume the cavern has reequilibrated with its surroundings before the air injection. Additionally, the case studies also assume negligible mass leakage and unchanged cavern volume in both operational scenarios.

#### 6.1. Underground cavern in Huntorf CAES plant

Depending on different CAES systems and operations, storage capacity of air exergy in the cavern varies. In this section, taking the Huntorf CAES plant as a case study, exergy storage capacity of the compressed air in the cavern are evaluated in different operational scenarios and heat transfer conditions. The calculations are carried out with operating parameters of the combined two Huntorf salt caverns are used, which is similar to the approach used in [28]. These parameters are listed in Table 2 which are from [3,27,28]. For the cavern used for gas storage, range of operating pressure is restricted. The selection of maximum pressure is determined by rock mechanical tests of the halite, the cavern depth (thickness of overburden) and temperature [39]. The minimum cavern operating pressure is usually set at the expected transmission pipeline pressure [40].

To evaluate the exergy storage capacity of the Huntorf cavern

operated in the uncompensated isochoric air storage, charging process from the minimum cavern operating pressure to the maximum cavern operating pressure is selected. Three cavern wall conditions in the uncompensated isochoric operational mode, isothermal, CHT and adiabatic conditions, are compared to show the difference in the exergy storage capacity after the fully charging process. The pressure and temperature of air in the cavern are plotted in Fig. 8, and the exergy storage capacity of the cavern with the isothermal cavern wall, adiabatic cavern wall and CHT cavern wall are listed in Table 3. In the simulation, operating parameters of the system with the CHT cavern wall are based on the parameters listed in Table 2.

According to the results in Fig. 8(a), the charging process starts at the minimum operating pressure and ends at the maximum operating pressure in all the three cavern operational scenarios. Different cavern wall thermal condition leads to the varied duration of the charging period. In addition, temperature of the air is varied significantly as shown in Fig. 8(b). Particularly, the varied temperature of the CHT wall is also plotted. The wall temperature is not constant but it changes slowly compared to the air temperature in the cavern due to the thermal inertial of surrounding rocks. Thus, certain amount of heat is lost by transferring into the surroundings due to the unsteady finite temperature difference.

Furthermore, the results of the charging duration and the maximum exergy storage capacity in Table 3 clearly indicate that the cavern wall thermal condition significantly affects the exergy storage of a CAES system. With the selected input air operations, cavern with isothermal wall is capable of storing the maximum exergy among the three cavern wall conditions. Compared to the exergy storage in the cavern with adiabatic wall, within the same storing pressure range, 34.77% more exergy after the charging and 37.98% more exergy after throttling can be stored in the cavern with isothermal wall.

Actually, during the charging period in the caverns with isothermal and CHT wall conditions, although certain amount of heat is lost to the surrounding rock, mass storage in the cavern is significantly enlarged due to the lowered temperature. Because the temperature of air in the cavern is maintained constantly and will not increase due to the enhanced pressure, the maximum mass of air can be injected and stored in the cavern. This largest mass storage also determines the longest charging period. In contrast, due to the temperature increase of air in the cavern with CHT wall and adiabatic wall, losses of mass storage occur. It is also the main reason to the varying exergy storage capacity in the three caverns.

In the realistic cavern with the CHT wall, mass flow rate of the air can be controlled to reduce the loss of maximum exergy storage. Three selected mass flow rates, namely 50, 200 and 500 kg/s, denoting low, medium and large mass flow rates, are used to evaluate the effects of the flow rate on the maximum exergy storage capacity. The results are listed in Table 4 and the parameters in the simulation are from Table 2. It indicates that with the increase of mass flow rate both the maximum



Fig. 6. Mass flow rate of the air to cavern in the Huntorf plant during the trial test [27,38].



Fig. 7. Validation of dynamic modelling of air pressure in (a) and temperature in (b) with test data of the Huntorf plant during the initial trial test.

Operating parameters of the Huntorf CAES plant [3,27,28] (These parameters are used for the case study of Huntorf CAES plant).

Parameters	Value
Cavern volume $V_C$ , m <sup>3</sup> Minimum cavern operational pressure $p_1$ , bar Maximum cavern operational pressure $p_2$ , bar Air temperature at cavern inlet $T_{in}$ , K Cavern wall initial temperature $T_0$ , K Average cavern radius $r$ , m Heat transfer coefficient $h_W$ , W/(m <sup>2</sup> ·K) Compressor mass flow rate $\dot{m}$ , kg/s	300,000 43 70 ~ 323 ~ 323 20 30 108
Assumed cavern surface area $A_W$ , in	30,000

exergy and mass storage stored decrease. Due to the limited heat transfer rate and the large thermal inertia of the surrounding rock, there is no sufficient time to transfer heat of the compressed air to the surroundings. Consequently, the cavern operation is more close to the adiabatic cavern. Conversely, when the mass flow rate is very low, sufficient time allows the finite heat transfer rate to effectively minimise the air temperature variation. Therefore, the cavern operation with low mass flow rate is more close to the isothermal cavern operation.

At the stage of planning and designing a CAES system, volume estimation is influenced by the heat transfer conditions of the cavern wall as well. Following the calculation loop of cavern volume, using the targeted exergy storage capacity of the cavern with CHT wall as shown in Table 3, the required volumes of cavern are compared. To achieve the same exergy storage capacity after the charging period, the





Cavern wall type	Isothermal wall	CHT wall	Adiabatic wall
Maximum exergy stored, MW·h	838	711.1	603
Charging time, hrs	22.47	18.97	16.05
Mass stored, kg	8,736,336	7,375,500	6,240,240
Average input exergy rate, MW	37.29	37.49	37.57
Exergy stored after throttling,	782.6	664.5	567.2
MW·h			

#### Table 4

Effect of mass flow rate on exergy storage capacity of the Huntorf isochoric cavern with the CHT wall condition.

Cavern wall type	CHT wall	CHT wall	CHT wall
Mass flow rate, kg/s	50	200	500
Maximum exergy stored, MW·h	746.0	682.9	646.1
Mass storage, kg	7,747,000	7,075,000	6,689,00

estimated volumes of caverns with both isothermal and adiabatic walls are listed in Table 5. Because of the high effectiveness of the cavern with isothermal wall in exergy storage, the least volume of cavern is required to meet the same exergy storage capacity. With the selected inflow air operating conditions, about 38.82% volume increase is needed for the cavern with adiabatic wall to achieve the same exergy storage capacity.

Additionally, comparing the three cavern wall heat transfer

Pa 80 70 60 Pressure 50 The cavern with the adiabatic wall 40 The cavern with the CHT wall The cavern with the isothermal wall 0 5 10 15 20 25 h Time (a)



**Fig. 8.** Air pressure in (a) and temperature in (b) of air in the cavern during the charging period of the Huntorf CAES plant. Three wall conditions (isothermal, CHT and adiabatic) are considered during the system charging in the case study of the Huntorf CAES plant.

Required cavern volumes of different cavern wall operations in the isochoric mode to achieve the same exergy storage capacity of the Huntorf CAES plant.

Cavern wall type	Isothermal wall	CHT wall	Adiabatic wall
Maximum exergy stored, MW·h	711.1	711.1	711.1
Charging time, hrs	19.07	18.97	18.91
Mass storage, kg	7,414,416	7,375,500	7,352,208
Required cavern volume, m <sup>3</sup>	~255,000	~ 300,000	~ 354,000

conditions in Table 5, with the same exergy storage capacity, mass storages are close. Therefore, in the uncompensated isochoric cavern with the constrained pressure variation, mass storage is the dominant factor for the exergy storage capacity. With the similar mass storage, although transient temperature varies, the stored exergy tends to be close. If the pressure range is used to determine the system operation of CAES, due to the air temperature significantly affects the allowable mass storage in the cavern, heat exchange becomes important. Therefore, for meeting the designed exergy storage capacity, the required cavern volume varies significantly in different cavern heat transfer conditions.

To indicate the potential performance of the air storage in the compensated isobaric cavern, furthermore, a case study of a compensated isobaric storage is developed using the Huntorf's specifications. Based on the dynamic models derived, the estimation of the exergy storage capacity can be carried out. The parameters used in the simulations are listed in Table 6. In the case study, the maximum air storage volume is assumed to be 90% of the total cavern volume and the minimum air volume in the cavern is 10% of the total cavern volume. It should note that the minimum and maximum operational volumes are determined by the local condition of cavern, and the method can be used for other operational volumes. Therefore, in this case study, the full charging period of the CAES system with the compensated isobaric cavern starts at the air volume which is 10% of the cavern volume and ends at the volume equivalent to 90% of the cavern volume. The operating pressure of air is assumed to be the maximum operating cavern pressure, 70 bar. Inlet operating conditions of air and cavern parameters are same to the Huntorf cavern.

Fig. 9(a) plots the volume variations of air and brine. The temperature variations including both fluids and the wall connecting to them are plotted in Fig. 9(b) and (c). As shown in Fig. 9(a), the volumes of air and brine are mainly controlled by the mass flow rate of brine. Due to the inflow of air, the air pressure tends to increase, which results in the brine outflow triggered by the pressure gradient. In this way, the pressure of air in the cavern is maintained. Furthermore, because of the existence of brine in the cavern, sufficient heat transfer between the air and brine significantly reduce the air temperature variation, as indicated in Fig. 9(b). Air temperature quickly reduces to less than 30 °C and gradually increases with a slow rate, which is caused by the large thermal inertia of surrounding rock. Compared to air temperature variation, the temperature of brine slowly increases and drives the similar increasing rate of the wall next to brine.

From the results shown in Fig. 9(b) and (c), higher heat transfer rate between air and brine, make the process nearly a constant temperature isobaric cavern at this operation. The air temperature is close to the ambient temperature through the whole charging process. The overall performance of the cavern as listed in Table 7 also validate the nearly isothermal cavern behaviour of the cavern with CHT wall condition. In practice, the compensated isobaric cavern connecting with water column has the advantages in both storing pressure and temperature. The exergy storage capacity of the Huntorf cavern operated in the compensated isobaric operational mode is much higher than that in the uncompensated isochoric operational mode. This is because of the significantly enhanced storing pressure and the mass storage of air in the cavern. About 260% of the mass storage of the compressed air in the uncompensated isochoric cavern can be stored in the same size compensated isobaric cavern. Therefore, the exergy storage capacity of isobaric Huntorf cavern will increase to more than two times of the maximum exergy stored in the isochoric cavern.

According to the high heat transfer rate between air and brine in the cavern, the isobaric mode is capable of reducing exergy loss at the high mass flow rate. Three mass flow rates, 50, 200 and 500 kg/s, are selected to evaluate their effects on the maximum exergy storage capacity. The results are listed in Table 8 and the parameters in the simulation are from Table 6. Although the increase of the mass flow rate reduces the maximum exergy storage capacity, the exergy loss is very low. According to the results shown in Table 8, only 1.5% exergy is lost compared to the isothermal operation when the mass flow rate is 500 kg/s. Therefore, the isobaric operational mode allows the fast charging process with negligible decrease of the maximum exergy storage.

Although compensated isobaric cavern will need high capital and maintenance cost for the brine injection and withdraw, the required cavern volume will be significantly reduced because of the efficient exergy storage per unit volume. Taking the isochoric CAES cavern with the CHT wall as a reference, according to the results shown in Table 9, only approximately 35% of the Huntorf cavern's volume is needed to achieve the same exergy storage capacity in the isobaric cavern operational scenario. Besides, the compensated isobaric cavern operation is capable of discharging at the maximum operating pressure. The constantly high discharging pressure eliminates the necessity of the throttle valve at the outlet of the cavern and leads to the high cycle efficiency as well. Therefore, the trade-off between the cost increase due to the high quality cavern and the cost decrease due to the cavern volume reduction needs to be studied for the real compensated isobaric CAES applications.

# 6.2. Underground gas storage cavern at Hornsea, UK and the CAES potential

In this section, the method of estimating the exergy storage is applied to an existing underground gas storage cavern at Hornsea (Atwick), predicting the facility's potential in practical CAES applications. Hornsea was the UK's first major purpose built underground gas storage facility, with nine storage caverns, providing 325 million cubic metres of usable gas storage space. The facility could potentially be used for CAES, so do other underground gas storage facilities in the UK. Thus the Hornsea is selected as a case study to initially assess the storage capacity of compressed air storage in the UK. Both cavern operational scenarios are considered in estimating the exergy storage capacity. The parameters of the Hornsea/Atwick gas storage facility are listed in Table 10 [41–44]. Results of the two cavern operational

Table 6

Parameters used in simulating the Huntorf CAES system with the consumed compensated isobaric cavern [3,28,29].

Parameters	Value
Cavern volume $V_C$ , m <sup>3</sup>	300,000
Initial volume of air $V_0$ , m <sup>3</sup>	30,000
Initial volume of brine $V_{B,0}$ , m <sup>3</sup>	270,000
Maximum cavern operational pressure $p_2$ , bar	70
Air temperature at cavern inlet $T_{in}$ , K	~ 323
Cavern wall initial temperature <i>T</i> <sub>0</sub> , K	~ 323
Cavern mean radius <i>r</i> , m	20
Density of brine $\rho_B$ , kg/m <sup>3</sup>	1190
Specific heat capacity of brine $c_{p,B}$ , J/(kg-K)	4200
Heat transfer coefficient between air and wall $h_W$ , W/(m <sup>2</sup> ·K)	30
Heat transfer coefficient between brine and wall $h_{BW}$ , W/(m <sup>2</sup> ·K)	743.12
Heat transfer coefficient between air and brine $h_{AB}$ , W/(m <sup>2</sup> ·K)	1531
Compressor mass flow rate $\dot{m}$ , kg/s	108



Fig. 9. Volume variations in (a) and temperature variations in (b) and (c) of air and brine in the Huntorf CAES plant with the assumed compensated isobaric cavern.

Exergy storage capacity of the assumed compensated isobaric Huntorf cavern with the isothermal wall and the CHT wall conditions.

Cavern wall type	Isothermal wall	CHT wall
Maximum exergy stored, MW·h	1983	1978
Charging time, hrs	50.52	50.4
Mass storage, kg	19,642,176	19, 595,520
Average input exergy rate, MW	39.25	39.24

#### Table 8

Effect of mass flow rate on exergy storage capacity of the assumed Huntorf isobaric cavern with the CHT wall condition.

Cavern wall type	CHT wall	CHT wall	CHT wall
Mass flow rate, kg/s	50	200	500
Maximum exergy stored, MW·h	1979	1970	1954
Mass storage, kg	19,602,000	19,519,200	19,350,000

#### Table 9

Required cavern volume of the Huntorf cavern with the isothermal wall and the CHT wall in the assumed isobaric mode to achieve the same exergy storage capacity.

Cavern wall type	Isothermal wall	CHT wall
Maximum exergy stored, MW·h	711.1	711.1
Charging time, hrs	18.11	18.11
Required cavern volume, m <sup>3</sup>	~107,550	~108,000

#### Table 10

Parameters of the Hornsea/Atwick gas storage facility.

Cavern number9Average volume per cavity, $m^3$ 220,000Total storage volume $V_C$ , $m^3$ 1,980,000Maximum cavern operational pressure $p_2$ , bar270Minimum cavern operational pressure $p_1$ , bar120Air temperature at cavern inlet $T_{ln}$ , K~320Cavern wall initial temperature $T_0$ , K~320Cavern radius $r$ , m~45Heat transfer coefficient between air and wall $h_W$ , W/(m²-K)30Mass flow rate per cavity $\dot{m}$ , kg/s500	Parameters	Value
	Cavern number Average volume per cavity, m <sup>3</sup> Total storage volume $V_C$ , m <sup>3</sup> Maximum cavern operational pressure $p_2$ , bar Minimum cavern operational pressure $p_1$ , bar Air temperature at cavern inlet $T_{in}$ , K Cavern wall initial temperature $T_0$ , K Cavern radius $r$ , m Heat transfer coefficient between air and wall $h_W$ , W/(m <sup>2</sup> ·K) Mass flow rate per cavity $\dot{m}$ , kg/s	9 220,000 1,980,000 270 120 ~ 320 ~ 320 ~ 45 30 500

scenarios are listed in Table 11 and 12 respectively.

According to the results of the uncompensated isochoric cavern operation, the Hornsea/Atwick gas storage facility shows the promising potential storage capacity when it is used for CAES. The total maximum exergy storage capacity of the underground facility is between 29,583 to 40,401 MW·h when the cavern is fully charged for application of CAES. Estimating the realistic heat transfer using the CHT condition, it indicates the approximated 35,271 MW·h can be stored in the Hornsea/Atwick cavern facility. Additionally, if the cavern is operated in the compensated isobaric way, the total exergy storage capacity of the Hornsea/Atwick increases to about 66,000 MW·h. It should note that besides the exergy storage of compressed air in the underground facility, thermal energy storage also possibly will be stored in the CAES plant. It indicates more work which is deliverable during the peak-time. This case study of the underground gas storage cavern at Hornsea (Atwick) also indicates the great potential of the CAES in the UK and calls for further study of the detailed CAES potential map. .

#### 7. Conclusion and discussion

The study presents a methodology to investigate the exergy storage capacity of a salt cavern-based CAES system. Two operational scenarios of the cavern and three heat transfer conditions are investigated. In an uncompensated cavern, isochoric operation of compressed air is assumed. In addition, with the shuttling pond (reservoir) at the surface, isobaric operation of compressed air can be maintained in the compensated cavern. In each operational scenario of the cavern, based on the heat transfer between the compressed air and the surrounding rock, three estimated boundary conditions are considered, namely isothermal, adiabatic and CHT cavern wall conditions. Furthermore, two case studies of exergy storage capacity are developed. Characterisation of different cavern operational scenarios and heat transfer conditions are developed by studying the Huntorf CAES plant's cavern. An approach of initially assessing the exergy storage potential of CAES in the

#### Table 11

Exergy storage capacity of the Hornsea (Atwick) gas storage facility when it is operated in the uncompensated isochoric cavern for CAES. All the isothermal wall, the CHT wall and the adiabatic wall are included in the table.

Cavern wall type	Isothermal wall	CHT wall	Adiabatic wall
Maximum exergy stored per cavity, MW·h	4489	3919	3287
Total maximum exergy stored, MW·h	40,401	35,271	29,583
Mass stored per cavity, kg	35,930,000	31,250,000	26,070,000
Exergy stored after throttling per cavity, MW <sup>-</sup> h	4089	3570	3011
Total exergy stored after throttling, MW·h	36,801	32,130	27,099

Exergy storage capacity of the Hornsea (Atwick) gas storage facility when it is operated in the compensated isobaric cavern for CAES. Both the isothermal wall and the CHT wall are included in the table.

Cavern wall type	Isothermal wall	CHT wall
Maximum exergy stored per cavity, MW·h	7394	7367
Mass storage per cavity, kg	55,584,000	55,386,000
Total maximum exergy stored, MW·h	66,546	66,303

UK is provided by developing an individual case study of the operational Hornsea underground gas storage facility in eastern England. Based on the results, several conclusions can be drawn:

- (1) The proposed methods are capable of estimating the exergy storage capacity of a CAES cavern operated in both uncompensated isochoric and compensated isobaric operational scenarios.
- (2) Three heat transfer conditions of the cavern wall presenting the heat flux between the air in the cavern and the surroundings are considered. Within the same air storage pressure range, the isothermal cavern stores the maximum exergy and the adiabatic cavern stores the minimum exergy. As a consequence, to meet the same targeted exergy storage capacity, isothermal needs the least cavern volume. Compared to the isothermal cavern operation, the simulation results indicate that about 38.82% volume increase is needed for the cavern with adiabatic wall to achieve the same exergy storage per unit volume. The realistic heat transfer due to finite temperature difference between the varied temperatures of air and cavern wall can be estimated by using the CHT cavern wall condition.
- (3) In the cavern with isothermal, CHT and adiabatic wall, the temperature variations of air significantly affect the allowable mass storage within the particular pressure range. The different mass storage leads to significantly varied effectiveness of exergy storage per unit volume. Based on the cavern volume and operating conditions at Huntorf plant, about 34.77% more exergy after the charging and about 37.98% more exergy after throttling can be stored in the cavern with the isothermal wall.
- (4) In the compensated isobaric cavern operational mode, the existence of brine makes the air in the cavern close to the isothermal behaviours and has low exergy loss at high mass flow rate. In addition to the capability of maintaining the maximum cavern operating pressure, the compensated isobaric mode improves the CAES system's performance in terms of the high exergy storage capacity per unit volume. The required cavern volume of the assumed isobaric operation is only 35% of the Huntorf cavern's volume.
- (5) Through the case study of underground gas storage facility in Hornsea, the evaluation illustrates the significant exergy storage potential in the UK for CAES. The gas storage facility at Hornsea/ Atwick potentially is capable of storing exergy of compressed air up to ~30,000 MW·h in the isochoric cavern operational mode and ~60,000 MW·h in the isobaric cavern operational mode.

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