

# Automatic Counting of Wheat Spikes from Wheat Growth Images

Najmah Alharbi<sup>1</sup>, Ji Zhou<sup>2,3</sup>, Wenjia Wang<sup>4</sup>

<sup>1</sup>*Faculty of Science and Engineering of Computers, Taibah University, Yanbu, KSA*

<sup>2</sup>*Earlham Institute, Norwich Research Park, Norwich, UK*

<sup>3</sup>*Nanjing Agricultural University, China*

<sup>4</sup>*School of Computing Sciences, University of East Anglia, Norwich, UK*  
*nsharbi@taibahu.edu.sa, ji.zhou@earlham.ac.uk, wenjia.wang@uea.ac.uk*

**Keywords:** Wheat Spikes, Counting, Gabor Filter, K-means, Segmentation, Clustering, Regression

**Abstract:** This study aims to develop an automated screening system that can estimate the number of wheat spikes (i.e. ears) from a given wheat plant image acquired after the flowering stage. The platform can be used to assist the dynamic estimation of wheat yield potential as well as grain yield based on wheat images captured by the CropQuant platform. Our proposed system framework comprises three main stages. Firstly, it transforms the wheat plant raw image data using colour index of vegetation extraction (CIVE) and then segments wheat ear regions from the image to reduce the influence of the background signals. Secondly, it detects wheat ears using Gabor filter banks and K-means clustering algorithm. Finally, it estimates the number of wheat spikes within extracted wheat spike region through a regression method. The framework is tested with a real-world dataset of wheat growth images equally distributed from flowering to ripening stages. The estimations of the wheat ears were benchmarked against the ground truth produced in this study by human manual counting. Our automatic counting system achieved an average accuracy of 90.7% with a standard deviation of 0.055, at a much faster speed than human experts and hence the system has a potential to be improved for agricultural applications on wheat growth studies in the future.

## 1 INTRODUCTION

Wheat is one of the major crops in the world. According to Food and Agriculture Organisation of the United Nations, the world's demand for wheat is expected to reach 850 million tons by 2050 (Alexandros and Bruinsma, 2012), which is clearly outpacing current supply. With constant reduction of agricultural land and extreme weather conditions throughout the growing season, wheat production becomes ever more challenging worldwide (Pinto et al., 2010).

Precision agriculture is one of many technologies developed in recent agricultural practices with an aim of maximising the crop yields from limited land through making sound decisions of agronomic activities and crop management so that appropriate actions can be taken at the right time in the right place (Pask et al., 2012; Geipel et al., 2014).

Precision agriculture relies on monitoring and measuring the growth of crops on real time at every stage from breeding, planting of crops to harvest (Barabaschi et al., 2016). With rapid advances

on data acquisition techniques, it is now much easier and relatively cheaper to collect and store a huge amount of crop-climate multimedia data by various devices, such as satellites (Lobell, 2013), drones and fixed workstations (Geipel et al., 2014; Zhou et al., 2017), to obtain all possible measures and direct visual representations of crop growing status. However, inspecting and analysing such a sheer amount of data is extremely time-consuming and unrealistic for manual labour processes (Cobb et al., 2013; Zhu et al., 2016).

In wheat production, it is now possible to embed state-of-the-art machine learning techniques to automate some precision agriculture activities, such as monitoring the key growth traits during growing stages and forecasting the yield.

Forecast the yield of wheat crop at a specific field as earlier as possible is a very important task. But up to date, it has been mostly done manually by agricultural experts, who use their experience to estimate the yield through inspecting a crop and counting the number of spikes per unit to estimate the average crop density. This task is obviously time-consuming,

less cost-effective and also inaccurate. Given that the photographic images of growing wheat plants can be quickly obtained in a large quantity in real time, it is logical to develop a computing system to perform this task automatically and efficiently.

This paper presents an automated framework that utilizes computer vision and machine learning techniques to count the number of wheat spikes from time-lapse wheat growth images. The test results show that it can achieve an accuracy of 90.7% on real world wheat plant images.

The rest of the paper is organised as follows. Section 2 reviews the related work. Section 3 describes the proposed framework and the methods used in the framework. Section 4 gives and evaluates the experimental results. The final section draws conclusions and suggests some possible further work.

## 2 RELATED WORK

Several studies, such as Germain et al. (1995); Cointault and Gouton (2007); Cointault et al. (2008, 2012); Liu et al. (2015); Zhu et al. (2016), etc. have utilised image-based automatic object counting methodologies in precision agriculture and other areas.

Rangole and Pandit (2014) conducted a survey of object counting methods, in which they noted that “object counting is a challenging problem in image processing, ... [due to its dependence] on estimation of certain elements that act as a source of information.” Some research addressed counting problem in fully unsupervised manner but their accuracies are limited and mostly unsatisfactory. Others, such as Lempitsky and Zisserman (2010) explored supervised learning approaches, which require pre-defined labelled data to train the algorithm.

Segui et al. (2015) explained that “counting the number of instances of an object in an image can be approached from two different perspectives: 1) training an object detector, or 2) training an object counter”. They further noted that, in the former “we must provide the system with a large set of object examples, properly labelled and localized, that represent most of the possible views and appearances of the object, and the result is an object classifier” (Segui et al., 2015), whereas, for the latter “we only need to provide the number of object instances for each image sample and the result is typically a regressor” (Segui et al., 2015).

A feasibility study on using computer vision techniques was conducted by Guerin et al. (2004) to count wheat ears. They developed a hybrid space of coloured texture with 138 attributes based on six tex-

tural features generated from Haralick method, first order statistic respectively and twenty-three features from main colour system and vegetation indices. The combination of this technique with a Multi-Layer Perceptron (MLP) neural network, resulted in a representation for wheat images taken under natural conditions and better extraction of wheat ears with fewer errors that solved by mathematical morphology.

Zhao et al. (2015) asserted that specifying the number of grains on a maize ear is an essential parameter in the corn variety testing procedure, and proposed a new image segmentation algorithm and an automatic counting method of maize grains, based on image processing of high quality data, in a more efficient manner than previously used manual measurement methods. Their proposed method combines multi-threshold segmentation and row-by-row gradient-based method (RBGM) based upon Otsu algorithm. Their method outperformed the traditional threshold algorithms with the counting accuracy as high as 96% on their test data. However, this task is relatively easier compared with in-field wheat ears counting because each image in their dataset contains only one completed opened maize ear on a clear black background and the grains are quite regularly aligned in rows, hence there is no overlap between maize ears and grains.

Liu et al. (2015) developed an image processing-based algorithm to count wheat seedlings automatically in a natural field environment. In their algorithm, a threshold value needs to be determined as a starting point to deal with overlapped seedlings. Their method involved the extraction of wheat seedlings from background noise, i.e. none-seedling regions, by using a process called the Excess Green value (ExG). A combination of the ExG and Otsus method was then applied in order to extract the wheat seedlings information. As a result, the background noise and holes (i.e. very small spots in the resulted binary image) that were found in the extracted images were resolved through the implementation of a mathematical morphology and hole-filling algorithm.

Another form of vegetation indices alike ExG, known as Colour Index of Vegetation Extraction (CIVE), was explored by some researchers such as (Kataoka et al., 2003; Guijarro et al., 2011; Montalvo et al., 2016). CIVE is now widely used in agronomic applications where the objective is to properly separate the plant, i.e. the green areas, from other objects within agricultural images such as sky, soil, etc.

Germain et al. (1995) demonstrated the inefficiency of using colour analysis technique to identify wheat ear pixel due to the noted lack of colour diversity amongst stems, leaves, and ears. They ar-

gued that grey scale thresholding did not provide a sufficiently functional basis upon which to classify wheat ears due to the variation of heights for ears and leaves, and complicating issues such as the presence and other variable lighting conditions, which resulted in too many classification errors. The solution that they proposed involved the use of texture analysis to differentiate wheat ears, leaves, stems and ground, which led them to develop a two-tier method based on image processing comprising an estimation of roughness feature through the use of a roughness indicator alongside the parallel use of a  $7 \times 7$  pixel window as a neighbour, and the application of a user-defined thresholding process. This approach generated two classes in the obtained image: wheat-ear pixels and non wheat-ear pixels, which resulted in the few, small area errors identified being corrected by morphological filtering. This classification technique simplified the subsequent counting operation, which required to spilt intersection of wheat ears where they used skeletons.

Cointault et al. (2008, 2012) carried out a feasibility study for estimating the number of wheat ears in per square meter by constructing a (semi-) automatic counting based on hybrid space, and Fourier filter. In the former features were extracted by employed hybrid system consists of five Haralick's features and statistical analysis, whereas the latter was used high-pass filtering approach. After extracting these features out from the images, they applied K-means, and other supervised learning methods based on distance measurements, for instance, Manhattan distance, to cluster the areas of the images and then estimate the number of wheat ears through pixel classification, and mathematical morphology tools. They tested their methods randomly on five images taken from wheat fields and found that their best results are quite close to the counts produced by human expert, whilst they observed that counting based on Fourier filter was outperform the hybrid system in terms of calculation time, which took few seconds.

Bairwa et al. (2014) developed an automatic counting algorithm based on computer vision techniques using a dataset derived from Gerbera flowers analysed under polyhouse conditions, which provided an 89.86% accuracy level. Gaussian filter was used in order to remove noise, and conversion RGB colour space to HSV colour space, thereafter flowers regions were recognised, and extracted based on the value component of HSV. Then, a sequence of morphological operations was employed to handle the overlapped flowers and enhance the algorithm counting accuracy.

Zhu et al. (2016) proposed an automatic computer vision-based observation system for wheat heading

stage, i.e. completely emergence of wheat ears but have not yet started flowering. It comprised decorrelation stretching and hybrid colour space techniques for images pre-processing and a two-step coarse-to-fine wheat ear detection component. The coarse-detection step applied machine learning technology to identify the interested ear regions, whilst the fine-detection step eliminated non-ear areas using dense Scale-Invariant feature transform [SIFT] and Fisher Vector (FV) to generate features to recognise the fine regions that were detected as ears using Support Vector Machines [SVM]. The Principal Component Analysis (PCA) was used to reduce the dimensionality of features. Their test results show that their combined method of SIFT, PCA and FV produced the best accuracy of about 67%, whilst other competing methods got between 48 to 60%.

It can be seen that although their combined method improved considerably, it is still not accurate enough for real application. Therefore, there is a need for developing more efficient and accurate method for this problem.

### 3 METHODS

The challenge in counting wheat spikes (ears) comes from the two difficult obstacles that present in natural wheat plant images, which are: the objects captured on an image – wheat plants and spikes/spikelets in particular, overlap and occlude. Direct observations on the wheat plant images used in this study clearly revealed that they suffered severely from these problems and thus make the accurate counting of wheat ears on a noisy image very challenging.

The framework we proposed for solving this problem, as shown in Fig.1, includes three main stages: Pre-processing, Segmentation and Counting. The first step is to carry out necessary pre-processing on the raw image data, including resizing, normalisation and transformation. The main aim of the second stage is to extract the desired foreground, i.e. the specific region of interest, from the noisy background, and then group them accordingly. This step has a definite and significant impact on the subsequent counting results. The main task of the third stage is to count all the wheat ears on a given image. The details of how these three stages work will be given in the following subsections.

#### 3.1 Image pre-processing

The goal of image pre-processing is to apply various techniques to process and transform raw images into

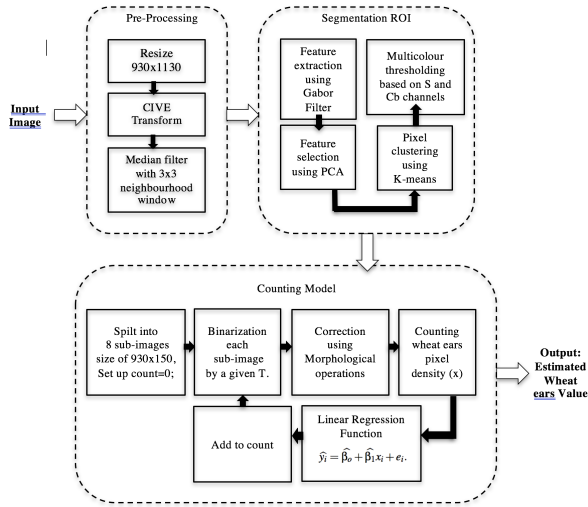


Figure 1: The designed counting system framework.

some good structured representations of the images. As the data of the raw images was acquired from a local wheat field, they contained all sorts of noises, various backgrounds and high-level of overlaps of wheat plants and ears. So, it is essential that some appropriate pre-processing techniques should be applied in several steps to facilitate the transformation of raw images into a “clean” format ready for the subsequent stages, including feature extraction and analysis. Two pre-processes were carried out: Transforming and filtering.

The first is to transform the raw image from RGB colour space to grey-scale space using the CIVE (colour index of vegetation extraction) method because, as reviewed in the related work section, it is one of the most commonly used methods. It can make the regions of wheat ears brighter than the non-ear regions, which then become very dark. The CIVE we adopted in this study is given as follows:

$$CIVE = 0.441R + 0.811G + 0.385B + 18.7874 \quad (1)$$

With the CIVE, for each pixel in an given image, its intensity values of R, G and B channels are transformed to a grey-scale value to form a grey-scale image. Fig.2 shows an example of the transforming results of using the CIVE technique.

The second is to apply a median filter with a 3x3 neighbourhood window. Theoretically a median filter is a non-linear smoothing method, which aims to eliminate impulse noise in a given image with edge preserving, and works by replacing each pixel in the original image with the median value of a neighbourhood points (i.e. a predefined kernel).

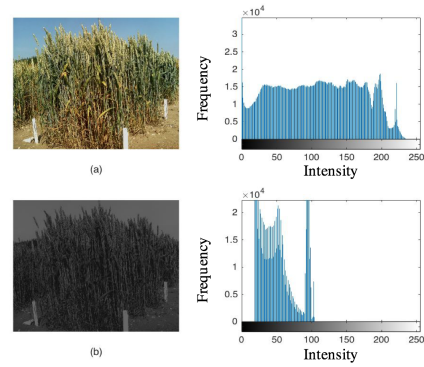


Figure 2: Transformation to grey-scale, (a) original in-field wheat image and the corresponding grey-level distribution histogram, (b) the processed image after using CIVE and the corresponding histogram.

## 3.2 Segmentation

Segmentation stage is to separate the foreground of an image that contains a region/area of interest from the backgrounds that hold other not-interested objects and/or areas. Segmentation is usually carried out in two steps: feature extraction and segmentation. Various methods could be used to extract distinguishable features from an image. In this work, Gabor filter technique is used for feature extraction and then the PCA is used to select the most important components constructed by the extracted features in order to reduce the dimensionality. Segmentation could be done with either supervised or unsupervised approaches and K-mean algorithm is chosen for pixel’s clustering due to its simplicity and efficiency.

After segmentation is done, each pixel has been allocated to a specific region/object in a given image, based on their similarity in features and/or properties.

The details of these two steps are described below.

### 3.2.1 Feature Extraction

There are various sets of features that could be extracted out from an image, which include statistical features, texture features, grey and colour features, shape features, and frequency features etc. After some initial analysis, we thought for our task, some sets of features could be more useful than others, for example, colours may be less relevant and hence it is unnecessary to extract colour features, instead, it could be more effective and efficient to transform an colour image to a grey image. This is the rational behind the colour-to-grey transformation in the pre-processing stage.

Moreover, in wheat image texture analysis, this study found that Gabor filter developed has almost

perfectly separated the different texture elements, i.e. texels, within a given wheat image.

Gabor Filter works based on multi-channel filtering theory, which transforms the visual information in the same way that the early stages of human visual system do, which “decompose the retinal image into a number of filtered images, each of which contains intensity variations over a narrow range of frequency (size) and orientation” (Jain and Farrokhnia, 1991). In other words each channel represents a responsive mechanism that responds to a selective frequency-orientation, which is known as band-pass filters. In this work a bank of even-symmetric of 2D Gabor filters (Jain and Farrokhnia, 1991) was designed as follows.

For a given wheat plant image  $I(x,y)$ , its responsive impulse of an even-symmetric Gabor filter can be computed by:

$$h(x,y) = \exp\left\{-\frac{1}{2}\left[\frac{x^2}{\alpha_x^2} + \frac{y^2}{\alpha_y^2}\right]\right\} \cos(2\pi\mu_0x) \quad (2)$$

Where:  $\mu_0$  is the frequency of a sinusoidal plane wave over the x-axis (i.e. the orientation).  $\alpha_x$ , and  $\alpha_y$  are the constant of the Gaussian envelope over the x and y axes, respectively. In addition, the Gabor filter is explicated by its frequency domain, which specifically uses the Fourier domain representation of (3.3.1), and is given by:

$$H(x,y) = A \left( \exp\left\{-\frac{1}{2}\left[\frac{(\mu-\mu_0)^2}{\alpha_\mu^2} + \frac{\nu^2}{\alpha_\nu^2}\right]\right\} + \exp\left\{\frac{1}{2}\left[\frac{(\mu+\mu_0)^2}{\alpha_\mu^2} + \frac{\nu^2}{\alpha_\nu^2}\right]\right\} \right) \quad (3)$$

Where,

$$\alpha_\mu = \frac{1}{2\pi\alpha_x}, \alpha_\nu = \frac{1}{2\pi\alpha_y}, A = 2\pi\alpha_x\alpha_y. \quad (4)$$

Fig.3 showed an example of texture analysis for a given wheat image using the 2D Gabor filters.

In this research, as the size of a wheat plant image is 930 x 1130, after applying the Gabor filters, 1,050,900 pixels \* 34 features are produced, which are obviously too many for analysis and should be reduced by some dimensionality reduction methods.

### 3.2.2 PCA for Dimensionality Reduction

Principal Component Analysis (PCA) is chosen to reduce the dimensionality of the extracted feature space and also to possibly improve computing complexity in time and memory space. The central idea of the PCA is to transform a number of interrelated variables into a new set of orthogonal features called Principal Components (PCs) (Jolliffe, 2002).

When applied to our data, the PCA identified the first two principal components, which can be interpreted as texture descriptors for the wheat ears region

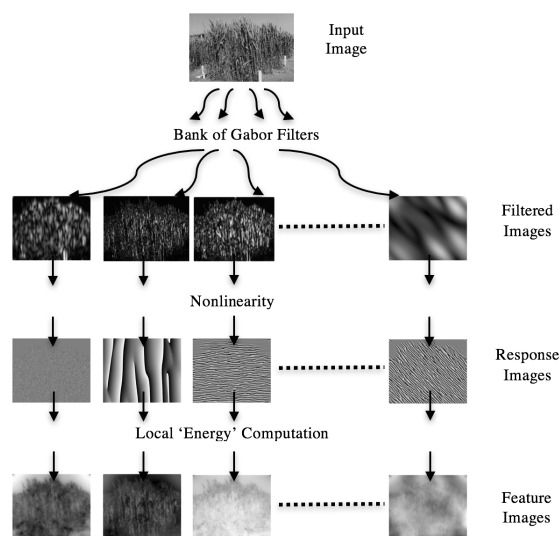


Figure 3: A sample of in-field wheat image, and its corresponding texture analysis using the Gabor filters.

(i.e. our target). This allowed the segmentation algorithm to work on a much reduced dimensionality of data to 1,050,900 pixels \* 2 features only, instead of 34 dimensions.

### 3.2.3 Unsupervised Pixel Classification

K-means is arguably the most commonly used unsupervised learning algorithm for its simplicity and efficiency, which groups data points into a pre-set k clusters. It has been applied in clusterings objects and predicting yields (Sonka et al., 2015). There are however two downsides for an algorithm like this, first, it is very sensitive to the initial randomly chosen data centroids, meaning that its clustering result could be unstable. Another one could be over-segmentation, which leads to mis-clustering of the neighbour's pixels into a specific ROI.

In this study, both the above-mentioned drawbacks were resolved through semi-supervised manners by assigning/changing cluster region numbers at appropriate moments and multi-colour thresholding using S channel from HSV colour space and Cb channel from YCbCr colour space, respectively to clear up the erroneous clustering result of K-means.

Figures 4 and 5 show a sample of wheat image data before and after using PCA and K-mean on our system.

## 3.3 Image Post-Processing

As shown above, some pixels can be falsely clustered and the possible reasons include poor feature extrac-

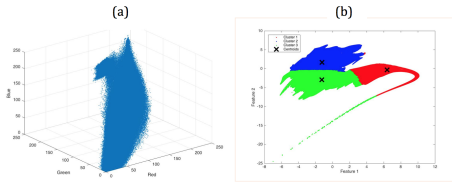


Figure 4: (a) An image raw data is projected in 3D. (b) A clustering result based on the first two PCA features.

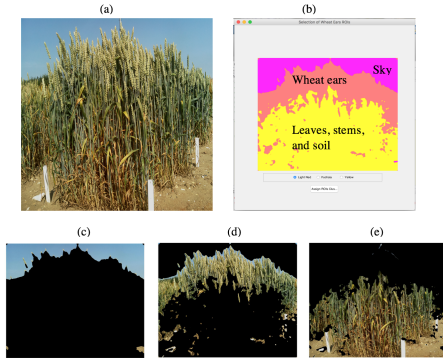


Figure 5: Classification results after K-means clustering, (a) original image, (b) clusters displayed on the GUI of our system, (c) sky in fuchsia cluster, (d) ROI (wheat ears) in light-red cluster, (e) leaves, stems, and soil in yellow cluster.

tion techniques used or the segmentation algorithm employed. These undesirable results, however, can be detected and corrected by image post-processing steps, to improve the segmentation of wheat ear regions as accurately as possible. Further investigations of the segmentation results were then conducted and found that the obtained results mistakenly included the pixels from the sky, soil, stems and leaves that are evident on the images in our dataset. However, as shown in Fig.5, the wrongly-identified pixels could be successfully eliminated by using multi-colour threshold method as described below.

### 3.3.1 Segmentation based on Multi-Colour Threshold

In histogram thresholding, the colour channels in colour space can be restricted by a range of defined intensity values based on a channel histogram, with a purpose of removing some unwanted information or areas from an image, for example, those pixels related to sky. As a result, a binary image is produced as a masked image. This masked image is used to outline the desired region in the original image. Here, multi-colour histogram thresholding method was used in order to remove the rest of the sky and other unwanted

pixels or regions.

The threshold value, denoted as  $T$ , can be chosen from the following values  $T = 0.68, 0.58, 0.48$  based on the image growth level.

Fig.6 shows an example of the results of our post-processing method.

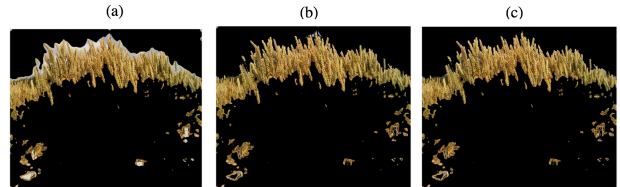


Figure 6: Wheat plant image segmentation results after the post-processing: (a) the resulted wheat ears segmentation from the preceding method; (b) & (c) the results of histogram thresholding based on S, Cb channels, respectively.

In addition, removing the soil spots from the segmented ROI in order to hold wheat ears region only, was facilitated by a sequence of morphological operations, inspired by the work such as Germain et al. (1995); Kalapala (2014); Aradhya and Pavithra (2016), and their details are given below.

### 3.3.2 Final Correction Using Morphological Operations

After thresholding, a sequence of morphological operations was employed such as image dilation with rectangular structure element  $4 \times 5$ . Then all small connected areas of less than 600 pixels with 4 connected pixels as argument were removed.

Fig.7 presents the results of morphological operations at different stages, and the final result of segmented wheat ears region.

## 3.4 Counting Wheat Ears

Counting wheat ears on a wheat plant image is the last task of the framework, which is the ultimate goal of this research and could be achieved by several ways on the segmented image. We adopted a method based on Linear Regression for its simplicity and also reasonable effectiveness shown on counting persons in a crowd (Velastin et al., 1994). The method of wheat ears counting consists two steps: data preparing and the regressing model training.

### 3.4.1 Preparing Data

Based on literature, in general, a machine learning algorithm may not learn and do well due to the potential of over-fitting or insufficient information represented

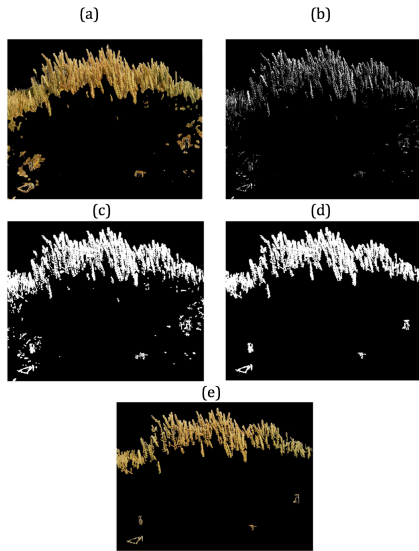


Figure 7: Segmentation results after a sequence of morphological operations: (a) the result from the previous step, (b) binarization with  $T = 0.68$ , (c) dilation with  $4 \times 5$  structure element size, (d) removal of small areas less than 600 pixels, (e) the masked result from (d).

in the data. So, to achieve a better generalised learning, the training dataset should be as large as possible.

Considering that in our research, there were 12 raw wheat plant images available in the original dataset, which is fairly small, we then devised a strategy with an intention of boosting the dataset size. It splits each raw image of  $930 \times 1130$  size into several sub-images with the size of  $930 \times 150$ , and this resulted in forty two sub-images. The sub-images were divided into training and test subsets for generating and testing regression models.

In addition, as a result of the use of univariate linear regression, the predictor variable was suggested to be based on a pixel-density (denoted as  $X$ ), which represents the number of white pixels in the binary sub-image. So for a given wheat image we had  $X_i$  pixel-density, where  $i = 1, \dots, n = 8$ . This data was stored using a CSV file with two variables: the first being the target, which was the true number of wheat ears counted manually by human expert, and the second variable was the wheat ears pixel-density. Fig.8 shows examples of pixel-density values on some sub-images sampled from a given wheat image.

### 3.4.2 Regression Analysis for Ears Counting

Linear Regression (LR) with the least-square error function is chosen as a method in the final stage of proposed framework to estimate the number of wheat ears from a segmented and clustered wheat plant im-

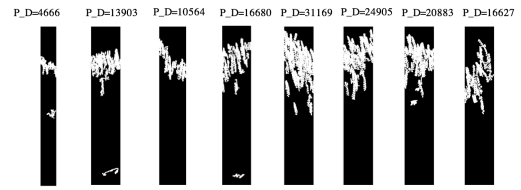


Figure 8: Eight sub-images obtained from a given wheat image, with their corresponding pixel-density.

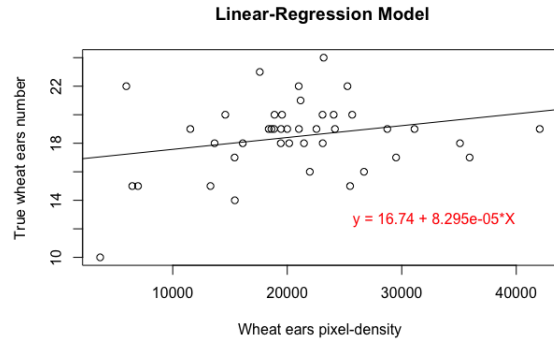


Figure 9: Least square regression results.

age.

Fig.9 depicts the regression relationship between the predictor variable – pixel-density  $x$  and the target variable  $y$  – the number of wheat ears.

The results shows that the predictor variable  $x$  is marginally statistically significant with the response variable,  $y$ . We found that the resultant model provided a satisfactory results in terms of the error ratio between the measured real value and the estimated one in the majority of the tested images in the dataset.

Figures 10 and 11 present some samples of the final outputs of the developed method for two images taken from a wheat field at different times (16th of June and 3rd of August). The ground truth of the number of wheat ears on these two images were estimated/ counted by human experts manually as 180 and 156 respectively. As can be seen, the proposed method produced the counts of 151 and 150 respectively, which are quite close to “the ground truths”. The further tests and evaluation are given in the next section in detail.

## 4 RESULTS AND EVALUATION

This section presents and evaluates the testing results of the proposed counting system.

As although the linear regression (LR) method is employed, the task is a counting problem, so the usual



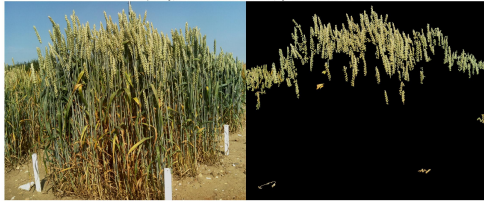


Figure 10: A sample output for the proposed method for counting the wheat ears on a mid-mature growth level (16 June 2015).



Figure 11: The counting result of the proposed method from an image of wheat plants at the highly growth level (3rd August 2015).

metric for regression analysis, such as Mean Square Error (MSE), could be used, but not directly meaningful to represent how close a counting is to its target. So, firstly, for comparison, we used the same metric defined by Zhao et al. (2015) to evaluate the counting performance of our method, but we found that accuracy measure defined for counting maize ear grains was inappropriate. We then adapted it as a relative counting error  $E$ . For an image  $i$ , the relative counting error measured by:

$$E(i) = \frac{|y_p(i) - y_t(i)|}{y_t(i)} = \frac{\delta(i)}{y_t(i)}, \quad (5)$$

where,  $y_t$  is the target value of  $y$  – the true value of wheat ear number on image  $i$ ;  $y_p$ , the predicted value; and the absolute error  $\delta = |y_p - y_t|$ .

The accuracy of the prediction can be easily derived by  $Acc(i) = 1 - E(i)$ . So specifically,  $Acc$  under different situations can be calculated by:

$$Acc(i) = \begin{cases} y_p(i)/y_t(i) & \text{if } y_p \leq y_t \\ 2 - y_p(i)/y_t(i) & \text{if } y_p > y_t \end{cases} \quad (6)$$

With this definition, the accuracy  $Acc$  should normally be meaningfully represented between 0 and 100%, and can be interpreted easily.

But if a predicted value is too far away from its target value, i.e. when  $y_p > 2y_t$ , then the  $Acc$  could be

negative, which means that the prediction is too bad with the relative error  $E$  is greater than 1.

The test results on the 12 images are given in Table 1. As can be seen, the proposed system reached an average accuracy of 90.74% with a standard deviation (S.D.) of 0.055. These results were considered to be satisfactory and acceptable in practice.

Table 1: The testing results of the wheat ears counting system on 12 wheat plants images.

ID	True numbers	Predicted numbers	Accuracy%	Error
01	149	146	97.9	0.020
02	180	145	80.5	0.194
03	180	148	82.2	0.177
04	134	144	92.5	0.074
05	163	147	90.1	0.098
06	165	147	89.0	0.109
07	159	149	93.7	0.062
08	159	146	91.8	0.081
09	165	145	87.8	0.121
10	150	147	98.0	0.020
11	141	147	95.7	0.042
12	137	151	89.7	0.102
Mean			90.74	0.0917
S.D.			0.055	0.0549

The error residuals of the predictions are analysed and shown in Figure 12.

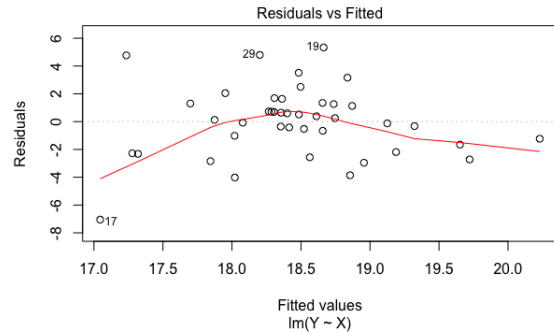


Figure 12: Residuals verse fitted values test.

These residuals show how the fitted regression function behaved as the independent variable density  $x$  changes. The negative residuals indicate the fitted regression function under-predicts the true values, which happened when  $x$  is low and high on average, whilst the positive residuals mean that the model over-predicts the true values. In the middle section, the regression function performed reasonably well as the residuals are small and close to the zero mean. Thus, these analyses of the results indicate that the relationship between the density  $x$  and the target value  $y$  is non-linear, rather than linear as obtained earlier, which we suggest as one of further studies.

Apart from evaluating the accuracy, we also recorded and analysed the efficiency, i.e. execution time, of the proposed algorithm. Generally, for



an image size of 930\*1130 pixels, our system, running on a common laptop with a fairly average specification (MacBook Pro Mid2012, Processor 2.3 GHz Intel Corei7, Memory 8GB 1600 MHz DDR3, Graphics 4000 1536 MB) took about two and half minutes on average to produce the final counting result, which is not very fast obviously. But compared with human experts, it is many ten times more faster.

The time complexity was broken down into three main computing tasks of the system, i.e. feature extraction, feature selection and clustering, and regression for counting. Table 2 lists the average execution time for these three key stages in our system. These times can be certainly improved through applying some optimisation methods and more powerful computing facilities, which is another task of possible further studies.

Table 2: The average break-down execution times of the proposed system.

Stage	mean running time
Feature Extraction	132.419 seconds
Feature selection and Clustering	13.997 seconds
Counting model	1.888 seconds

If our method is implemented on a more powerful computer, or high performance computing cluster, we expect that the running time could be shortened significantly to seconds or even milliseconds.

We attempted to compare our method with other methods but we did not find/obtain any program of the existing methods such as reviewed in the related work section, even though after sending our requests directly to some authors, nor any dataset that was used by other studies. Moreover, we did not have sufficient details to implement these methods. So, as a consequence, we could not carry out any direct comparison on the results with other methods, unfortunately.

On the other hand, some indirect comparisons with some other studies and results given by such as Germain et al. (1995); Cointault and Gouton (2007); Cointault et al. (2008, 2012), were conducted, particularly from data perspective. We found that the images they used are in the early ripening stages, which meant that the level of object overlaps or occlusion was not severe. In addition, in some object counting studies, a cover was added through building the acquisition system to ignore the natural in-field light wholly or partially, as well as the resultant shadow. As a result of doing this, their findings were deemed to be acceptable to some extent, but still not practical enough to be applied in real applications.

In this study we succeeded in carrying out an immediate image analysis with a final output of estimated wheat ear numbers, even though the images used were in a mature-to-high growth level, which

means some severe overlaps among wheat plants and ears.

## 5 CONCLUSION AND FURTHER WORK

In this study, an automatic system has been developed based on image processing techniques and machine-learning algorithms for counting wheat spikes(ears) on wheat plant images. It consists three main functions: pre-processing raw images, then segmenting the area of wheat ears from other regions on a given image, and finally estimating the number of wheat ears through clustering and regression. The system was tested on the wheat plant images taken from wheat fields. The results show that the system is able to achieve an average accuracy of 90.7% with a standard deviation of 0.055. The time efficiency of the system was evaluated and on average, when running on a common MacBook laptop, it took approximately 2 and half minutes to produce the final counting result from a single raw image. This is not very fast, however, it is much faster than human experts doing the counting in-field.

Further work should include considering some non-linear methods at the final stage of the system, such as Bayesian regression, neural network or support vector machines, in order to produce more accurate counting results. As for segmentation, other feature extraction methods related to texture recognition such as the Grey Level Run Length Matrix (GLRL), should be explored to detect both coarseness and fine textures. Such optimisation methods should be applied to reduce the time and space of computation. Finally, this work can be developed with a machine-vision system, that could allow farmers to estimate the yields of their wheat fields in real time and location, by adding a function that convert the number of ears into an estimation of grain numbers and weights.

## ACKNOWLEDGEMENTS

Thanks due to Earlham Institute for providing the dataset for this research and also to Taibah University for providing a studentship for Ms. Najmah Alharbi to study the MSc of Data Mining and Knowledge Discovery at the School of Computing Sciences, University of East Anglia.

## REFERENCES

- Alexandratos, N. and Bruinsma, J. (2012). World agriculture towards 2030/2050: the 2012 revision. *Land Use Policy*, 20(4):375–.
- Aradhya, V. and Pavithra, M. (2016). A comprehensive of transform, gabor filter and k-means clustering for text detection in images and video. 12:109–116.
- Bairwa, N., Agrawal, N., and Gupta, S. (2014). Development of counting algorithm for overlapped agricultural products. *International Journal of Computer Application*, RAWCAI:16–19.
- Barabaschi, D., Tondelli, A., Desiderio, F., Volante, A., Vaccino, P., Val, G., and Cattivelli, L. (2016). Next generation breeding. *Plant Science*, 242(Supplement C):3 – 13. From genomics to breeding.
- Cobb, J. N., DeClerck, G., Greenberg, A., Clark, R., and McCouch, S. (2013). Next-generation phenotyping: requirements and strategies for enhancing our understanding of genotype–phenotype relationships and its relevance to crop improvement. *Theoretical and Applied Genetics*, 126(4):867–887.
- Cointault, F. and Gouton, P. (2007). Texture or colour analysis in agronomic images for wheat ear counting. In *Proceedings of the 3th international IEEE conference on Signal-image technologies and internet based system*.
- Cointault, F., Guerin, D., Guillemin, J.-P., and Chopinet, B. (2008). In-field triticum aestivum ear counting using colour-textue image analysis. *New Zealand Journal of Crop and Horticultural Science*, 1.
- Cointault, F., Ludovic, J., Gilles, R., Christian, G., David, O., Marie-France, D., Nathalie, G., Gillbert, G., Olivier, L., and Ambroise, M. (2012). Texture, colour and frequential proxy-detection image processing for crop characterization in a context of precision agriculture. *Science technology and medicine open access publisher*, 158:213–313.
- Geipel, J., Link, J., and Claupein, W. (2014). Combined spectral and spatial modeling of corn yield based on aerial images and crop surface models acquired with an unmanned aircraft system. 6:10335–10355.
- Germain, C., Rousseaud, R., and Grenier, G. (1995). Non-destructive counting of wheat ear with picture analysis. In *Image processing and its applications*. Proceeding of the 5th international conference on:.
- Guerin, D., Cointault, F., Gee, F., and Guillemin, J.-P. (2004). Feasibility study of a wheatears counting vision system. (Accessed: 11th July 2016).
- Guijarro, M., Pajares, G., Riomoros, I., Herrera, P., Burgos-Artizzu, X., and Ribeiro, A. (2011). Automatic segmentation of relevant textures in agricultural images. *Computers and Electronics in Agricultural*, 75:75–83.
- Jain, A. and Farrokhnia, F. (1991). Unsupervised texture segmentation using gabor filters. 24(12):1167–1186.
- Jolliffe, I. (2002). *Principle Component Analysis*. Springer-Verlay Inc., 2 edition.
- Kalapala, M. (2014). Estimation of tree count from satallite imagery through mathematical morphology. 4(1):490–495.
- Kataoka, T., Kaneko, T., Okamoto, H., and Hata, S.-I. (2003). Crop growth estimation system using machine vision. In *Proceedings of IEEE/ASME international conference on advanced intelligent mechatronics*.
- Lempitsky, V. and Zisserman, A. (2010). Learning to count objects in images. In *Proceedings of 24th Conference on Advances in Neural Information Processing Systems*, pages 1324–1332.
- Liu, T., Wu, W., Chen, W., Sun, C., Zhu, X., and Guo, W. (2015). Automated image-processing for counting seedlings in a wheat field. *Precision Agriculture*, 17(4):392–406.
- Lobell, D. B. (2013). The use of satellite data for crop yield gap analysis. *Field Crops Research*, 143(Supplement C):56 – 64. Crop Yield Gap Analysis Rationale, Methods and Applications.
- Montalvo, M., Guijarro, M., and Guerrero, J. (2016). Texture or colour analysis in agronomic images for wheat ear counting. In *Proceedings of the 11th international IEEE conference on Signal-image technologies and internet based system*.
- Pask, A., Pietragalla, J., Mullan, D., and Reynolds, M., editors (2012). *Physiological Breeding II: A Field Guide to Wheat Phenotyping*. CIMMYT.
- Pinto, R. S., Reynolds, M. P., Mathews, K. L., McIntyre, C. L., Olivares-Villegas, J.-J., and Chapman, S. C. (2010). Heat and drought adaptive qtl in a wheat population designed to minimize confounding agronomic effects. In *Theoretical and Applied Genetics*, pages 1001 – 1021.
- Rangole, J. and Pandit, A. (2014). Literature review on object counting using image processing techniques. *International Journal of Advanced Research in Electrical*, 3(4):8509–8512.
- Segui, S., Pujol, O., and Vitria, J. (2015). Learning to count with deep objects features. In *Proceeding of Computer Vision and Pattern Recognition Workshops IEEE Conference on:7-12 June*.
- Sonka, M., Hlavac, V., and Boyle, R. (2015). *Image processing, analysis, and machine vision*. Timothy L. Anderson, USA.
- Velastin, S., Yin, J., Davies, A., and Vicencio-Silva, M. (1994). Automatic measurement of crowd density and motion using image processing. In *Proceeding of the Seventh international conference on:Road Traffic Monitoring and Control*.
- Zhao, M., J. Qin, S. L., Liu, Z., Cao, J., Yao, X., Ye, S., and Li, L. (2015). An automatic counting method of maize ear grain based on image processing. *Springer International Publishing*, 452:521–533.
- Zhou, J., Reynolds, D., Websdale, D., Le Cornu, T., Gonzalez-Navarro, O., Lister, C., Orford, S., Laycock, S., Finlayson, G., Stitt, T., Clark, M. D., Bevan, M. W., and Griffiths, S. (2017). Cropquant: An automated and scalable field phenotyping platform for crop monitoring and trait measurements to facilitate breeding and digital agriculture. *bioRxiv*.
- Zhu, Y., Cao, Z., Lu, H., Li, Y., and Xiao, Y. (2016). In-field automatic observation of wheat heading stage using computer vision. *Biosystem Engineering*, 143:28–41.