

# Halide Perovskite Heteroepitaxy: Bond Formation and Carrier Confinement at the PbS-CsPbBr<sub>3</sub> Interface

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## Abstract

Control of the stability, transport, and confinement of charge carriers (electrons and holes) at interfaces is a key requirement to realize robust halide perovskite devices. The PbS-CsPbBr<sub>3</sub> interface is atomically matched with low lattice strain, opening the potential for epitaxial growth. We assess the atomic nature of the interface using first-principles density functional theory calculations to identify: (i) the thermodynamically stable (100) surface termination of the halide perovskite; (ii) the most favourable (100)|(100) contact geometry; (iii) the strong interfacial chemical bonding between lead and sulfur; (iv) the type I (straddling) band alignment that enables electron and hole confinement in the lead sulfide layer. The combination of metal halide perovskites and IV-VI semiconductors represents an important platform for probing interfacial chemical processes and realising new functionality.

## Introduction

The field of photovoltaics based on halide perovskites as an active layer has developed rapidly.<sup>1-9</sup> This family of materials combines cost efficiency with solution processability and high device performance. Their application domain has recently extended from solar cells to light-emitting diodes,<sup>10</sup> solid-state memory,<sup>11</sup> sensors,<sup>12</sup> and batteries.<sup>13,14</sup> For practical devices, control of the stability, transport and confinement of charge carriers ( $e^-$  and  $h^+$ ) at interfaces is a key requirement.

In semiconductor devices, interfaces between materials are responsible for injecting or blocking charge carriers. Recombination due to structural defects or interfacial electronic states represents a loss mechanism for device performance. Density functional theory (DFT) has been widely applied to gain important microscopic information about the interfaces of materials.<sup>15</sup> In a number of contexts, such as semiconductor heterostructures,<sup>16</sup> metal-semiconductor interfaces,<sup>17</sup> and oxide-oxide interfaces,<sup>18</sup> materials modelling has provided important insights about interfacial electronic and structural properties.

For halide perovskites, interfaces with mesoporous metal oxides and organic semiconductors are most common, and are poorly defined with chemical disorder and carrier trapping.<sup>19,20</sup> The realization of high-quality perovskite heterojunctions would enable better characterization and alternative device architectures. This requires epitaxial structure control and band offset engineering. A ‘dots-in-a-matrix’ approach has been applied to disperse lead sulfide quantum dots in a  $\text{CH}_3\text{NH}_3\text{PbI}_3$  host,<sup>21</sup> combining the transport properties of halide perovskites and optical emission of PbS quantum dots. However, the lattice mismatch between PbS and  $\text{CH}_3\text{NH}_3\text{PbI}_3$  is large (around 5%). To realize the potential of heterostructure architectures, lattice and electronically matched materials combinations are required.<sup>22</sup>

In this work, we probe the chemical and physical properties of the PbS-CsPbBr<sub>3</sub> interface, where epitaxial growth is possible due to their similar lattice constants. Firstly, we assess bulk and surface properties of the individual materials to construct reliable interface models based on first-principles electronic structure methods. We then identify the mechanisms by which the interface is stabilized. Finally, we predict the band alignment of PbS and CsPbBr<sub>3</sub> as a function of layer thickness of heterostructure, demonstrating the possibility of offset engineering through control of film thickness.

## Computational Methods

All total energy and electronic structure calculations were performed using Kohn-Sham density-functional theory<sup>23</sup> within periodic boundary conditions. Projector augmented-wave (PAW)<sup>24,25</sup> pseudo-potentials as implemented in the Vienna Ab Initio Simulation Package (VASP)<sup>26,27</sup> were used, where the plane-wave kinetic cutoff energy is set to 700 eV and the valence states of Pb, S, Cs, and Br are treated explicitly by  $14(5d^{10}6s^26p^2)$ ,  $6(3s^23p^4)$ ,  $9(5s^25p^66s^1)$ , and  $7(4s^24p^5)$  electrons, respectively. For atomic structure calculations, the Perdew-Burke-Ernzerhof exchange-correlation functional revised for solids (PBEsol)<sup>28</sup> was

employed with convergence criteria of  $10^{-5}$  eV and  $10^{-4}$  eVÅ<sup>-1</sup> for total energy and forces on the unit cell.

For calculations of the absolute electron energy, a non-local hybrid functional with spin-orbit coupling (SOC) was used with PBEsol optimized atomic structures.<sup>29,30</sup> 43% exchange was adopted for all HSE06+SOC calculations. For bulk calculations, primitive cells of PbS and CsPbBr<sub>3</sub> were considered with  $\Gamma$ -centred  $k$ -point grids of  $10 \times 10 \times 10$  and  $6 \times 6 \times 6$ .

For surface calculations, symmetric slab models for three low index surfaces, i.e. (100), (110), and (111), were considered with vacuum region of 15 Å and  $\Gamma$ -centred  $k$ -point grid of  $9 \times 9 \times 1$ ,  $9 \times 6 \times 1$ ,  $5 \times 5 \times 1$ ,  $6 \times 6 \times 1$ , and  $5 \times 5 \times 1$  were applied for PbS(100), PbS(110), PbS(111), CsPbBr<sub>3</sub>(100), CsPbBr<sub>3</sub>(110), CsPbBr<sub>3</sub>(111) surfaces, respectively. Geometric optimization of the slabs was performed by allowing full atomic relaxation, while the innermost three atomic layers were fixed at their bulk values. Detailed information on surface slab models is included as Supporting Information. For interface calculations, sandwich-type slab models without vacuum region were considered with  $\Gamma$ -centred  $k$ -point grid of  $6 \times 6 \times 3$ ,  $6 \times 6 \times 2$ , and  $6 \times 6 \times 1$  depending on thickness of the slabs. Constrained relaxation was allowed with the  $a$ - and  $b$ -axes of interface unit cell held fixed. The MacroDensity package<sup>31,32</sup> was used to analyze the charge density and local potential of the surfaces and interfaces.

## Results and Discussion

Crystal structures of (rocksalt) PbS and (perovskite) CsPbBr<sub>3</sub> in cubic symmetry are shown in Figure 1. The calculated bulk parameters of  $a = 5.90$  (5.87) Å for PbS (CsPbBr<sub>3</sub>) agree with previous reports.<sup>33,34</sup> The lattice mismatch is predicted to be 0.5%, which ensures low lattice strain when they form an epitaxial interface. The bulk moduli of  $B_0 = 59.4$  (21.5) GPa for PbS (CsPbBr<sub>3</sub>) were obtained from fitting to the third-order Birch-Murnaghan equation-of-state.<sup>35</sup> PbS has a bulk modulus almost three times that of CsPbBr<sub>3</sub>, which suggests CsPbBr<sub>3</sub> will follow  $a_0$  of PbS at an epitaxial interface. Furthermore, this suggests growth

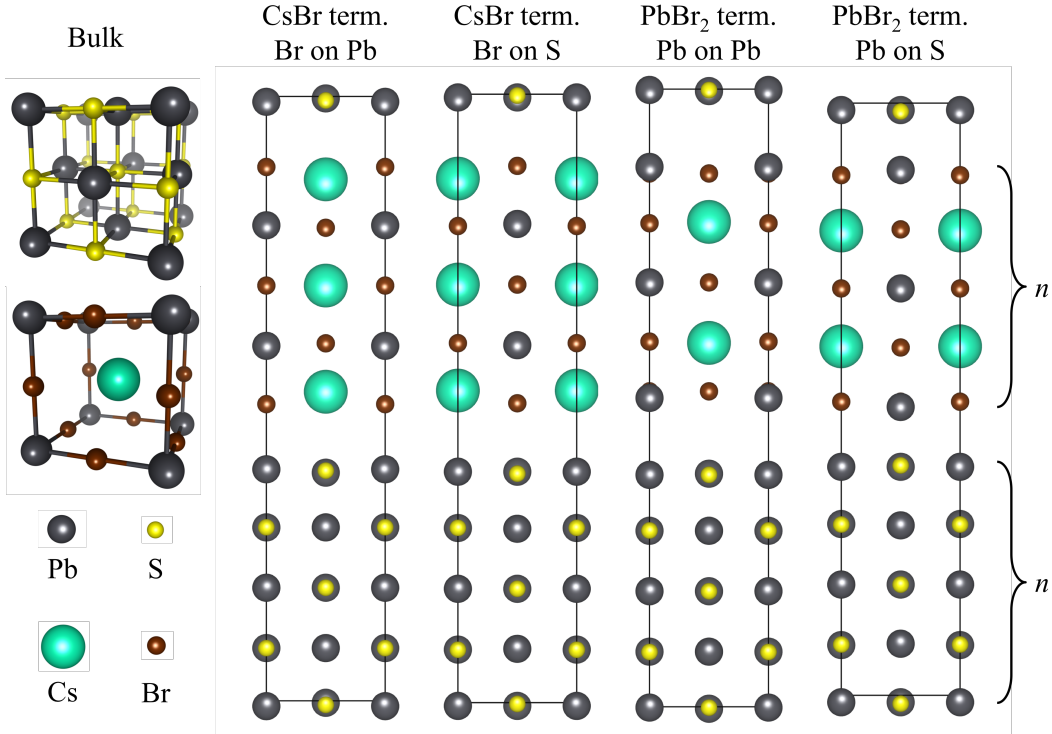


Figure 1: Atomic structures of PbS and CsPbBr<sub>3</sub> bulk (in perspective view) and 4 interface models (in side view) with different contact geometry. “CsBr term.” or “PbBr<sub>2</sub> term.” refers to termination of the CsPbBr<sub>3</sub> (100) surface in contact with the PbS (100) surface. “X on Y” (where X = Br or Pb of CsPbBr<sub>3</sub> and Y = Pb or S of PbS) explains the atomic configuration at the contact region. Thickness of the each material is determined by  $n$  atomic layers. Pb, S, Cs, and Br atoms are represented as black, yellow, green, and brown coloured spheres, respectively.

of CsPbBr<sub>3</sub> on a PbS substrate as a route to templating formation of a cubic perovskite phase.

To determine the interface orientation, we first calculated the surface energy ( $E_{\text{surf}}$ ) of PbS and CsPbBr<sub>3</sub>. A facet with low surface energy is more likely to be exposed and, consequentially, more likely to form an interface. Three low index facets of PbS and CsPbBr<sub>3</sub> were considered including all possible atomistic terminations. For instance, there are two different terminations for CsPbBr<sub>3</sub> (100) facet, CsBr and PbBr<sub>2</sub> termination, which are notated as CsPbBr<sub>3</sub>(100):CsBr and CsPbBr<sub>3</sub>(100):PbBr<sub>2</sub> here. Detailed information for surface slab models can be found in Figures S1 and S2.

The surface energy ( $E_{\text{surf}}$ ) was calculated based on the bond cleaving and surface relax-

ation approach<sup>36,37</sup> following

$$E_{\text{surf}}(t) = E_{\text{cl}} + E_{\text{rel}}(t) \quad (1)$$

where  $E_{\text{cl}}$  is bond cleaving energy and  $E_{\text{rel}}(t)$  is surface relaxation energy of a slab with  $t$  termination. A detailed explanation and calculated values for  $E_{\text{cl}}$  and  $E_{\text{rel}}$  can be found in TableS1. We note that the energy of non-stoichiometric surfaces is often calculated as a function of the chemical potential of constituent elements. We used a surface bond cleaving and relaxation approach to directly compare the order of surface energies from different orientations and terminations. All calculated results are tabulated in Table 1. By definition, surfaces with two different chemical terminations in the same orientation require equal energy to cleave bonds, but different relaxation behaviour leads to distinct surface energy. According to calculated surface energies, (100) facets show the lowest surface energy among the low index facets for both PbS and CsPbBr<sub>3</sub>. Therefore, we constructed interface slab models along (100) orientation.

**Table 1: Calculated bond cleavage energy ( $E_{\text{cl}}$ ), relaxation energy ( $E_{\text{rel}}$ ), and surface energy ( $E_{\text{surf}}$ ) of low index facets of PbS and CsPbBr<sub>3</sub>.**

(meV/Å <sup>2</sup> )	$E_{\text{cl}}$	$E_{\text{rel}}$	$E_{\text{surf}}$
PbS(100)	20.03	-3.94	16.09
PbS(110)	39.42	-9.47	29.96
PbS(111):Pb	89.24	-3.76	85.48
PbS(111):S	89.24	-28.04	61.19
CsPbBr <sub>3</sub> (100):CsBr	10.76	-5.22	5.54
CsPbBr <sub>3</sub> (100):PbBr <sub>2</sub>	10.76	-3.15	7.61
CsPbBr <sub>3</sub> (110):CsPbBr	43.79	-8.30	35.49
CsPbBr <sub>3</sub> (110):Br <sub>2</sub>	43.79	-10.22	33.57
CsPbBr <sub>3</sub> (111):CsBr <sub>3</sub>	36.41	-7.50	28.91
CsPbBr <sub>3</sub> (111):Pb	36.41	-5.59	30.82

When hetero-interfaces between different structures are formed, crystal chemistry plays a critical role in determining structure and function.<sup>38</sup> To study the interface, four slab models with different atomic configuration were constructed (see Figure 1) based on the values from our bulk and surface calculations (i.e.,  $a_0$  of PbS and (100) orientation). These four models

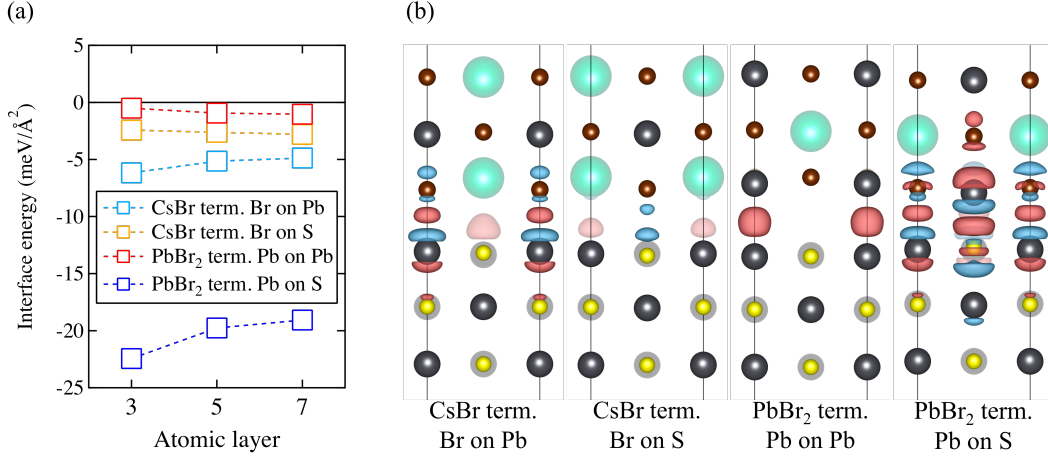


Figure 2: (a) Interface energy of different models as a function of the number of atomic layers and (b) Side-views of the electronic charge density difference of 4 interface slab models. The electron density accumulation and depletion regions are indicated in red and black, respectively. For the charge density difference plot, the 5 AL interface model is used and the isosurface level is set as  $0.00675 e/\text{\AA}^3$ .

account for all possible combinations of termination and local arrangement of the atoms on either side of the interface. To find the most favourable contact geometry, the interface energy ( $E_{\text{intf}}$ ) was calculated following

$$E_{\text{intf}} = \frac{1}{2A} [E_{\text{slab}}^{\text{interface}} - E_{\text{slab}}^{\text{PbS}} - E_{\text{slab}}^{\text{CsPbBr}_3}] \quad (2)$$

where  $A$  is interface (surface) area;  $E_{\text{slab}}^{\text{interface}}$ ,  $E_{\text{slab}}^{\text{PbS}}$ , and  $E_{\text{slab}}^{\text{CsPbBr}_3}$  are the DFT total energies from the PbS-CsPbBr<sub>3</sub> interface, PbS, and CsPbBr<sub>3</sub> slab calculations. For the slab calculations to obtain  $E_{\text{slab}}^{\text{PbS}}$ , and  $E_{\text{slab}}^{\text{CsPbBr}_3}$ , internal coordinates of atoms were fully relaxed while unit cell vectors were constrained so that all slabs have same  $A$ . The consideration of sandwich-type interface slab models (i.e. two identical interfaces in one unit cell) necessitates a factor of 1/2. Interface energies as a function of contact geometry and the number of atomic layers ( $n$ ) of the interface slab are shown in Figure 2a and tabulated in Table S2. Among the four interface models, the PbBr<sub>2</sub> termination Pb on S model has the lowest interface energy. Interestingly, the interlayer distance between PbS and CsPbBr<sub>3</sub> follows the same order as interface energy (i.e. PbBr<sub>2</sub> termination Pb on S model has the shortest interlayer distance,

while  $\text{PbBr}_2$  termination Pb on Pb model has the longest interlayer distance).

To understand the origin of the interface stability, we picture the electron density redistribution along the interface (see Figure 3) by calculating charge density difference ( $\Delta\rho$ ) as

$$\Delta\rho = \rho_{\text{slab}}^{\text{interface}} - \rho_{\text{slab}}^{\text{PbS}} - \rho_{\text{slab}}^{\text{CsPbBr}_3} \quad (3)$$

where  $\rho_{\text{slab}}^{\text{interface}}$ ,  $\rho_{\text{slab}}^{\text{PbS}}$ , and  $\rho_{\text{slab}}^{\text{CsPbBr}_3}$  denote electron density from PbS-CsPbBr<sub>3</sub> interface, PbS, and CsPbBr<sub>3</sub> slab calculations.

At the CsBr terminated Br-on-Pb interface, although a strong interaction (i.e. alternating charge accumulation and depletion region) from the Br-Pb pair is observed, Cs-Pb repulsion (i.e. charge accumulation region only) is strong enough to make this interface unstable. Likewise at the CsBr termination Br-on-S interface, a weak interaction (i.e. a pair of charge accumulation and depletion region) from Cs-S cation-anion pair is observed, but due to Br-S anion-anion repulsion (i.e. charge depletion region only), it is also not stable. In the case of the  $\text{PbBr}_2$  terminated Pb-on-Pb interface, strong cation-cation repulsion makes it unstable. Among the four models in Figure 3, only the  $\text{PbBr}_2$  termination Pb-on-S interface model shows strong interaction along the entire contact region. This PbS-CsPbBr<sub>3</sub> interface is stabilized by Pb-S and Br-Pb bonds, and will be the dominant orientation.

Electronic band offsets between the two materials are calculated by considering the change in potential ( $\Delta V$ ), which is influenced by charge redistribution at the interface.<sup>15</sup> The valence band offset (VBO) and conduction and offset (CBO) are obtained following

$$\text{VBO} = \Delta E_v + \Delta V \quad (4)$$

$$\text{CBO} = \Delta E_c + \Delta V \quad (5)$$

where  $\Delta E_v$  and  $\Delta E_c$  are the difference between the relevant valence and conduction band edges in the two materials. In order to accurately predict the band offsets, it is necessary to use a hybrid DFT approach. We employed a screened-exchange HSE06 functional that incor-



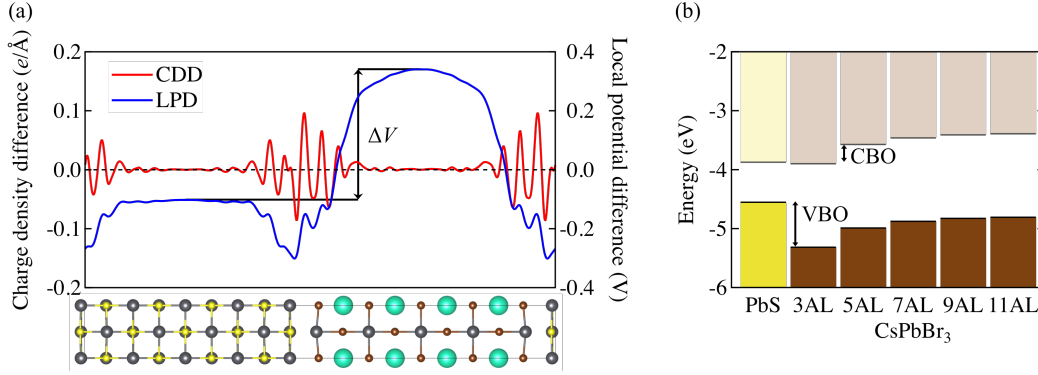


Figure 3: (a) Planar-averaged charge density difference (red line, labeled as CDD) and local potential difference (black line, labelled as LPD). Potential difference ( $\Delta V$ ) is measured between two plateaus at the centre of each material. (b) Band alignment between PbS and CsPbBr<sub>3</sub> depending on thickness of each layer. Yellow bar indicates the valence band (VB) and conduction band (CB) of PbS and brown bars indicate those of CsPbBr<sub>3</sub>.

porates 43 % Hartree-Fock exact exchange as adopted in previous studies.<sup>39,40</sup> The influence of functional choice and spin-orbit coupling on charge density and electrostatic potential have been tested and shown in Figure S3.

To understand how local chemical interactions at the interface influence the band alignments, we plot and analyse charge density and potential difference along the interface in Figure 3. The planar-averaged charge density difference shows that the charge redistribution occurs within three atomic layers from the interface while it is negligible at centre region of each material. In response to the charge redistribution, the planar-averaged local potential difference displays a potential gradient at the interface and a plateau in the centre regions, where the difference ( $\Delta V$ ) represents the built-in potential which affects the band offset. CsPbBr<sub>3</sub> has an increased electrostatic potential upon interface formation, because it takes away electron density from PbS. The direction of electron charge transfer is due to larger electronegativity of Br (2.74) than S (2.44).<sup>41</sup>

The band gaps ( $E_g$ ) calculated at this level of theory are 0.68 eV and 1.42 eV for PbS and CsPbBr<sub>3</sub>, respectively, which are over- and under-estimated with respect to experimental band gaps; 0.42 eV for PbS and 2.25 eV for CsPbBr<sub>3</sub>.<sup>42,43</sup> In part this is due to the cubic model for CsPbBr<sub>3</sub>: octahedral distortions (electron-phonon coupling) widen the band gap.<sup>44,45</sup> The

calculated band gap of orthorhombic CsPbBr<sub>3</sub> is 2.10 eV, which is in better agreement with the measured value of 2.25 eV.<sup>43</sup> This band gap sensitivity demonstrates the potential for tuning the electronic structure of halide perovskites through epitaxial templating and control of structural distortions.<sup>46</sup>

Values of  $\Delta E_v$  and  $\Delta E_c$  are calculated to be  $-0.72$  eV and  $-0.02$  eV, which were obtained from the planar-averaged electrostatic potential of PbS(100) and CsPbBr<sub>3</sub>(100):PbBr<sub>2</sub>, respectively.<sup>45,47</sup> Further details concerning the method of calculating  $\Delta E_v$  and  $\Delta E_c$  can be found in Supporting Information. In Figure 3b, the band offsets are altered by controlling thickness of the slab, and changing  $\Delta V$  as a function of the number of atomic layers (AL). As the thickness increases, the built-in potential becomes stronger, which results in a Type I band alignment, where both  $e^-$  and  $h^+$  will be transferred from CsPbBr<sub>3</sub> to PbS and confined in PbS. This kind of type I configuration often results in the stabilisation of excitons and is a desirable band alignment for application in devices such as quantum well light-emitting diodes.

At 3 AL, the interface forms a Type II band alignment. The  $\Delta V$  (consequentially, VBO and CBO as well) shows convergence after 9 AL. The planar-averaged charge density difference and local potential difference plots for 3, 5, 7, 9, and 11 AL are in Figure S4. These results demonstrate how the alignment of the interface can be altered by controlling layer thickness, which is an important consideration when designing potential devices. For extremely thin films and island or wire growth on a substrate, quantum confinement could provide an additional route to tune band energies and emission efficiency.

## Conclusions

The lattice mismatch between PbS and CsPbBr<sub>3</sub> is less than 1 %, which ensures high-quality epitaxy with low strain. Contact along the (100) surface orientation is found to be favourable for both materials following a detailed energetic analysis. The CsPbBr<sub>3</sub>(100):PbBr<sub>2</sub> layer

spontaneously grows on PbS(100) surface by locating Pb on S and Br on Pb with the presence of a strong chemical interaction attributed to heteropolar bond formation. The nature of the heterojunction valence band offset that governs electron and hole carrier distributions and transport at the interface can be altered by changing the thickness of the layers. The PbS-CsPbBr<sub>3</sub> interface forms a type I band offset, where  $e^-$  and  $h^+$  accumulate in PbS, which could be favourable for light-emitting devices.

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### Notes

The authors declare no competing financial interests.

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## Supporting Information Available

Additional technical details including convergence tests, as well as calculation details on surface energies and valence band offsets. *Data access statement.* The MacroDensity package used for the band alignment calculations is freely available on <https://github.com/WMD->

group/MacroDensity. This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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## Graphical TOC Entry

