

Epitope-specific humoral responses to human cytomegalovirus glycoprotein-B vaccine with MF59: anti-AD2 levels correlate with protection from viremia.

Short title: AD2 antibodies correlate with protection.

Ilona Baraniak¹ & Barbara Kropff², Gary R McLean³, Sylvie Pichon⁴, Fabienne Piras-Douce⁴, Richard SB Milne¹, Colette Smith⁵, Michael Mach², Paul D Griffiths¹ & Matthew B Reeves^{1,*}

¹Institute for Immunity & Transplantation, UCL, London, United Kingdom

²Institut für Klinische und Molekulare Virologie, Friedrich-Alexander-Universität Erlangen-Nürnberg, Germany

³Cellular and Molecular Immunology Research Centre, London Metropolitan University, London, United Kingdom

⁴Clinical Development, Sanofi Pasteur, Marcy l'Etoile, France

⁵Research Department of Infection and Population Health, University College London, London, UK

I.B and B.K. contributed equally to this study

* Corresponding author:

E-mail: matthew.reeves@ucl.ac.uk

© The Author(s) 2018. Published by Oxford University Press for the Infectious Diseases Society of America.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited.

Abstract:

The human cytomegalovirus (HCMV) virion envelope protein glycoprotein B (gB) is essential for viral entry and represents a major target for humoral responses following infection. Previously, a phase-2 placebo-controlled clinical trial conducted in solid organ transplant candidates demonstrated that vaccination with gB plus MF59 adjuvant significantly increased gB ELISA antibody levels whose titer correlated directly with protection against post-transplant viremia. The aim of the current study was to investigate in more detail this protective humoral response in vaccinated seropositive transplant recipients. We focussed on four key antigenic domains (AD) of gB; AD1, AD2, AD4 and AD5 measuring antibody levels in patient sera and correlating these with post-transplant HCMV viremia. Vaccination of seropositive patients significantly boosted pre-existing antibody levels against the immunodominant region AD1 as well as against AD2, AD4 and AD5. A decreased incidence of viremia correlated with higher antibody titers against AD2 but not with antibody titers against the other three ADs. Overall, these data support the hypothesis that antibodies against AD2 are a major component of the immune protection of seropositives seen following vaccination with gB/MF59 vaccine and identify a correlate of protective immunity in allograft patients.

Key words: HCMV, vaccine, humoral responses, AD2, glycoprotein B

Introduction:

Human cytomegalovirus (HCMV) is a ubiquitous human pathogen [1]. Primary infection is normally asymptomatic in healthy individuals, likely reflecting control of virus replication by the immune system. However, HCMV can be a major cause of morbidity following infection of immunocompromized individuals such as solid organ transplant (SOT) patients, hematopoietic stem cell transplant recipients [2-5], fetuses infected in utero [6, 7] and late stage AIDS patients [8, 9]. The socioeconomic and clinical burden of CMV infection led the Institute of Medicine to designate development of a HCMV vaccine as the highest priority [10]. The first attempts to vaccinate against HCMV were made with live attenuated Towne and AD169 strains [11, 12] followed by subunit and vectored vaccines reviewed elsewhere [13, 14], but, a HCMV vaccine is not yet licensed for clinical use.

The glycoprotein B (gB) protein is highly conserved across the herpesvirus family and is essential for viral entry [15-17]. Neutralizing and function blocking-antibodies (i.e. antibodies that bind to an antigen and inhibit its normal function without necessarily destroying the pathogen) targeting gB effectively inhibit HCMV infection *in vitro*. Early studies speculated that most (40-70%) of the serum-neutralizing activity against HCMV *in vivo* is directed towards gB [18]. These estimates were based on neutralization of fibroblast infection largely with laboratory strains whereas additional complexes are now known to perform cell type specific functions in entry (most notably the pentameric complex in non-fibroblast cells) [19]. However, the role of gB in entry into all cell types retains this protein as an attractive target for vaccination.

Support for gB as an attractive vaccine component comes from studies with animal models demonstrating that a recombinant gB-vaccine decreased the rate of virus transmission in pregnant guinea pigs and mortality amongst new-born pups [20]. In humans, gB vaccine with MF59 adjuvant (gB/MF59) proved to be safe and immunogenic [21-23], reducing primary infection in adult women by approximately 50% [24], by 42% in adolescent girls and partially controlling viremia in SOT recipients [25] [26].

Although all 3 phase-2 clinical trials of gB/MF59 provide evidence of a protective effect the exact correlates of protection remain unclear [25-27]. In the SOT patients the duration of viremia was inversely correlated with the anti-gB antibody titer suggesting that humoral responses may be protective [25]. The humoral response against gB is polyclonal with 5 major antigenic domains (ADs) identified [28]. The first highly conserved neutralizing epitope was identified on gp55 of gB using monoclonal antibodies [29]. A defined stretch of amino acids (608-625 aa) was a component of the larger AD1 region which consists of approximately 80aa between positions 560 and 640 of gB (gp58) in the AD169 strain [30]. Subsequent homology studies between Towne and AD169 strains revealed AD2 containing two binding sites: site I, located between 68-77aa, is conserved amongst strains and antibodies that bound to this site were neutralizing; site II, located between 50-54aa, is unconserved between strains and bound antibodies were incapable of neutralizing the virus [31]. An additional linear epitope, AD3, was mapped to a sequence in the intraluminal part of the gB molecule (between 798 to 805aa) suggesting that this region may not be exposed to neutralizing antibody responses. Most recently, an analysis of the repertoire of gB-specific memory B cells identified two structural

antibody domains targeted by antibodies with neutralizing activity. These were defined as domain I (AD5)- located between 133–343 aa- and domain II (AD4)- a discontinuous domain mapped to 121–132 aa and 344–438aa [28]. In summary, it is evident that AD1 is a major target of humoral response since nearly 100% of sera from HCMV healthy seropositive donors have antibodies that bind to this antigenic domain [32, 33]. However, because AD1 induces a mixture of neutralizing and non-neutralizing specificities, it was initially suggested that antibodies directed against other domains, such as AD2, may confer better protection against HCMV infection [34]. This possibility requires further evaluation, especially now that AD4 and AD5 have been identified.

In this study we characterized the antibody repertoire against major antigenic domains of gB following natural infection and vaccination with gB/MF59 in the sera from patients who were naturally seropositive prior to vaccination. We report that vaccination boosted pre-existing responses but displayed a variable capacity to induce de novo responses against these ADs. Importantly, we provide evidence that responses against the AD2 domain directly correlate with better outcomes post-transplant. Additionally, we provide evidence to suggest AD1 responses – which have been hypothesised to reduce the effectiveness of humoral immunity against HCMV – are not detrimental in this transplant patient cohort. More generally, the data illustrate the complexity of studying the immune response to identify correlates of protection to prevent HCMV viremia and disease.

Results

Vaccination boosts pre-existing immune responses against epitopes of gB but only induces detectable de novo responses against some epitopes.

To investigate serological responses we utilized ELISA assays against 4 key antigenic domains of gB: AD1, 2, 4 & 5 (Figs.1-4). Specific antibody responses were measured at five different time points (visits 1-5): day of vaccine/placebo administration (month 0- visit 1); day of administration of the second (month 1- visit 2) and third dose (month 6; visit 4), and 2 (visit 3) and 7 months post vaccination (visit 5); (summarised in Table S1).

To establish the background values for each antigenic domain we utilized sera from seronegative SOT patients collected at the time of their vaccine or placebo administration. We used the highest values detected in those seronegative individuals to establish cut-off points.

The data show that nearly all HCMV seropositive individuals possess detectable antibodies against AD1 (Fig. 1A & B). Vaccination increased pre-existing antibody levels against AD1 in nearly all individuals (Fig. 1A & C). This boost was observed by dose 1 and subsequently sustained at increased levels up to the time of transplantation.

Similar results were observed with AD4 (Fig. 2A & B). In seropositive patients with low-level baseline AD4 antibody responses we observed increased anti-AD4 antibody levels post vaccination in some, but not all, individuals (Fig. 2A & C).

Sera from nearly all patients contained antibodies recognising AD5 (Fig. 3A & B). Vaccination increased pre-existing antibody levels against AD5 in the majority of patients (Fig. 3A & C). In the few patients with AD5 levels below the cut-off value (by ELISA) prior to vaccination we saw evidence that vaccination promoted de novo responses in some of these patients also.

Approximately 50% of patients had levels of anti-AD2 antibodies above the background cut-off value prior to vaccination (Fig. 4 A and B). Administration of the first dose of gB/MF59 was sufficient to boost pre-existing antibody levels against AD2 in most HCMV seropositive SOT patients (Fig. 4 A and C). When the analysis was restricted to those with the levels of AD2 antibodies above the background cut-off at baseline, it became clear that this boost was statistically significant, Fig. 4 D).

Higher AD2 antibody levels correlate with lower incidence of viremia post transplantation.

We next investigated the correlation between antibody levels against specific ADs and outcome post transplantation (Fig. 5). Despite clear evidence of a boost in responses to AD1, AD4 and AD5 (Figs. 1-3), there was no statistically significant correlation with the occurrence of viremia among the patients who underwent transplantation (Fig. 5A, C, D). However, we note that, in the case of AD4, a non-significant trend was evident whereby patients who had higher levels of AD4 specific antibody responses were less likely to develop viremia (Fig. 5C).

In contrast it was clear that the AD2 antibody level was significantly lower in the patients who developed viremia following transplant consistent with the hypothesis that antibodies against AD2 are protective (Fig. 5B). This protection was restricted to

patients with AD2 responses prior to vaccination since vaccination itself did not induce detectable AD2 responses de novo (Fig. 4).

The correlation with protection observed with AD2 is not affected by AD1 responses.

We next asked whether these data could test for interactions between the antibody responses. Underpinning this approach is a prior hypothesis that AD1 responses may negatively impact on AD2 responses [35]. Theoretically, there are three possible relationships between the AD1 and AD2 antibody levels in vaccinated seropositive SOT recipients and their effect on outcome: I) competition (promoting viremia); II) additive effect (promoting protection); III) no direct interaction (Fig. 6A).

To address this, we performed a two-component analysis where patient sera were stratified for outcome (viremia versus no viremia) and then both AD1 and AD2 responses plotted. The resulting graph demonstrates no correlation between the AD2 and AD1 levels in seropositive SOT recipients post vaccination that segregated with viremia (Fig. 6B). However, attempts to explore this further using multivariable statistical analysis were not possible because the clinical trial population size provided insufficient data points for more complex analyses (results not shown).

Discussion

This work illustrates the complexity of studying immune responses to HCMV in seropositives. For example, HCMV establishes latency from which it periodically reactivates which could alter the pattern of immunological responses seen at any

time of analysis irrespective of any external vaccine administration. To control for this, we examined not only vaccinated patients but also seropositive recipients of placebo at the same time points. This allowed us to follow natural changes in the composition of the humoral immune response in seropositive transplant candidates who experienced a virus challenge at the time of transplantation. Here we aimed to provide more insight into the protective nature and fine specificity of the humoral responses against gB. To be classified as a correlate of protection following vaccination, any immunological responses would need to be induced or boosted by the vaccine and to correlate with protection against post-transplant viremia [25].

A major observation in this study was boosting of pre-existing responses to all four antigenic domains by gB/MF59. However, only antibody titers against AD2 correlated with protection against viremia. This illustrates that, for vaccine development, demonstrating immunogenicity is not sufficient and requires supplementation with studies of protection in human challenge models, such as that employed here. We demonstrated that AD2 antibody levels displayed the strongest correlation with protection in our seropositive patient cohort. However, the vaccine was not observed to induce *de novo* AD2 responses, but boosted pre-existing responses. Previous studies have shown approximately 50% of infected individuals possess antibodies against site I of AD2 following natural infection [31-33, 36] and the data we present here are consistent with this. Recent structural and immunochemical analyses suggest that the anti-AD2 specific immunological responses may be created through a cascade of rare and very specific immunoglobulin gene re-arrangement events [34, 37]. Possibly, therefore, the variable response towards this epitope following both natural infection and vaccination with gB/MF59 and Towne based vaccines is a

consequence of the low probability of developing antibodies that require recombination of one of two well-conserved human germline V elements (IGHV3-30 and IGKV3-11), and IGHJ4 and the possibility of antigen competition through the simpler production of AD1 antibodies [35]. Antibodies against AD2 are also characterized by specific substitutions at certain positions that seem to be crucial for high affinity binding to this epitope [34, 38-40]. Although only a proportion of infected individuals develop these AD2 antibodies, they may contribute an important neutralizing activity for controlling infection [36, 40-42]. Thus an immunogen that can enhance or generate *de novo* responses against AD-2 may be a good candidate for a new HCMV vaccine. It is important to re-iterate that our data suggest that, whilst pre-existing AD2 responses established at the time of primary infection or reactivation of the virus from latency can be enhanced, the gB/MF59 vaccine does not induce detectable AD2 responses in those lacking them at baseline. However, the study did reveal a marked increase in AD2 levels in one recipient of placebo. We hypothesise that this might be a response to reactivation of latent virus or even a re-infection event in this patient prior to transplant illustrating how responses may develop over time. Although these data support a role for AD2 antibodies in the control of HCMV infection, other components of the humoral response could be important as well, including AD4 that deserves further investigation. In vitro studies show that AD4 specific antibodies have a high neutralizing capacity at the post-adsorption step [28]. Indeed, antibodies that bind to the AD4 corresponding sequence on HSV-gB inhibit the interaction of gB with gH/gL complex with a downstream effect on viral fusion [43]. Antibodies that impeded this aspect of viral entry could potentially impact on viral infection. Although the AD4 association did not reach statistical significance, this could be due to the number of patients available to

us. Serological analysis of this vaccine cohort revealed that the AD1 and AD5 antibody levels did not correlate with protection. The humoral response to natural infection against the immunodominant region AD1 has variable neutralizing capacity [44, 45]. Competition between non-neutralizing and neutralizing antibodies against AD1 was reported [18, 29, 45] suggesting that AD1 antibody binding may even provide an immune-evasive mechanism by preventing the binding of other neutralizing antibodies to cell free virus [44]. It is tempting to speculate whether AD1 should be removed from HCMV subunit vaccines. If the elimination of AD1 improved antibody responses against protective epitopes this would support such a modification (as has been proposed for AD2) although we could find no evidence in our cohort to support this hypothesis. Additionally, we cannot rule out that AD1 provides key structural information ensuring the better presentation of 'good' epitopes. Indeed, attempts to engineer gB without AD1 have proven difficult as AD1 is necessary for oligomerization and the structural integrity of gB [46]. This lack of structural information may explain a pre-clinical study that demonstrated a peptide-based vaccine specific to the HCMV gB AD2 region elicited only poor neutralizing antibody responses [47]. However, we also emphasise that we have previously reported [25] that protection given by this vaccine did not correlate with neutralizing activity. This is not to disregard neutralisation as a strategy since preclinical studies with monoclonal anti-AD2 antibody (TRL345) have shown promising results supporting further investigation as a candidate for clinical evaluation [48].

Although our analyses of the AD5 humoral response did not reveal a protective correlation it did reveal some interesting information regarding the response to this antigen. [28] [49]. First reports of AD5 immunogenicity suggested approximately 50%

of seropositive individuals developed AD5 antibodies [28]. However, using second generation antigens and tests, seropositivity rates in healthy HCMV infected individuals have been suggested to be in the range of 90% (A. Wieggers, M. Mach, unpublished results) and the data presented here support this.

It is also important to reiterate that OD values that are in the range of background are not necessarily indicative that a serum lacks antibodies to these epitopes. First, genuine epitope-specific antibodies could be potentially present at very low levels not detectable by ELISA. Therefore a significant boost of these antibodies after just one vaccine dose could be explained by the existence of a memory B cell response specific to these epitopes. Alternatively, we cannot rule out the presence of some antibodies that react to the epitope in the context of native gB but fail to react in the ELISA because the epitope is not in its fully native context when presented as a partial subdomain of gB.

Finally, although the data suggest AD2 levels are an important correlate of protection we do not rule out the possibility that responses against other, potentially novel, epitopes may also contribute. Attempts to perform a multi-variable analysis to test this were not possible due to the limited number of patients in the study (as the number of variables increases so does the requirement for more patients). Thus future phase II studies may need to be powered to ensure sufficient patients are recruited to allow more complex multivariate analyses. Future studies should also ensure the repeated sampling of the patients about to be challenged with the virus at the time of transplantation, the use of a randomised study design and incorporation of placebo controls – all aspects we consider significant strengths of our study.

Overall, the results described in this work build upon previous reports and support the concept that vaccination should be studied as a way of controlling HCMV replication. Although this analysis gives us more insight into the protective nature of humoral responses elicited by vaccination in seropositive SOT patients, many questions remain unanswered and follow up phase II studies with larger numbers of subjects recruited would add weight to all our observations. Additional antibody mediated effects may be important for the protection observed (e.g. complement mediated cell lysis and NK cell mediated ADCC) and this is the subject of ongoing investigation in the quest to provide protection against this important human pathogen.

Accepted Manuscript

Materials and methods:

Antigens:

The following gB-specific antigens, derived from HCMV strain AD169, were used: AD1 containing aa 484-650, was expressed in *E.coli* with galactosidase as a fusion partner. The construction of galactosidase containing plasmids has been described in detail elsewhere [30].

AD2- short linear peptide containing aa 68-80, was synthesized chemically, as described in detail elsewhere [30, 33].

AD4 contained a fused polypeptide of aa 121-132 and 344-438. For determination of AD4-specific antibodies a purified GST-AD-4 fusion protein was used as antigen and expressed in *E.coli*, as described by Spindler et al [50].

AD5 containing aa 133 to 343. AD5-specific antibodies were determined in a capture ELISA using a mammalian cell (HEK 293T) derived AD5 polypeptide containing a HA-epitope tag at the amino terminus of the protein as described elsewhere [49]. To capture the antigen, an anti-HA monoclonal antibody (clone HA-7, Sigma-Aldrich) was diluted to 1 µg/ml in 0.05 M sodium carbonate buffer; pH 9.6, and 50 µl/well was used to coat polystyrene 96-well plates (NuncImmuno™) overnight at 4°C.

ELISA:

All reactions were performed at 37°C. Reaction wells were rinsed with PBS supplemented with 0.1% Tween then the reaction wells were blocked with PBS containing 2% fetal calf serum for 1 h, washed three times with phosphate buffered

saline (PBS) plus 0.1% Tween 20 and incubated with antigens for 2 h. The plate was washed three times with PBS containing 0.1% Tween 20 and human serum was added at a dilution of 1:100 for 1 h. Dilution of all sera was done in PBS with 2% FCS. Unbound antibody was removed by washing three times and peroxidase-conjugated secondary antibody (goat-anti-human IgG, Dianova) was added for 1 h. After three washing steps with 100 µl of tetramethylbenzidine peroxidase substrate was added for 3.5 min, diluted 1:1 in peroxidase substrate solution B (KPL, USA). The reaction was stopped by adding 100 µl of 1 M phosphoric acid. The optical density at 450 nm (OD₄₅₀) was determined using an Emax microplate reader (Eurofins MWG Operon, Germany).

Cut-off value was calculated based on the OD value in ELISA results with sera from seronegative patients (n=20). The highest OD value with seronegative sera was taken as a cut off for the experiment background and non-specific reactions in ELISA tests with seropositive patients.

Patient population:

The population investigated in this work is a subset of the original vaccine cohort (CMV seropositive pre-vaccination) from a group of SOT patients (NCT00299260) enrolled in a phase-2 randomised and double-blinded placebo-controlled cytomegalovirus glycoprotein-B vaccine with MF59 adjuvant trial [25]. All prospective transplant patients are serotyped as part of NHS standard procedure using an antibody based ELISA. The vaccine or placebo was given in three doses: at day 0 (baseline), 1 month and 6 months later. Blood samples were collected at day 0- at the time of vaccination (visit 1), at the time of the administration of the second dose, one month following the administration of the first dose (visit 2), at 2 months

following the administration of the 1st dose (visit 3), at the time of the administration of the 3rd dose, 6 months following the administration of the first dose (visit 4) and at 7 months following the administration of the first dose of vaccine (visit 5). Exclusion criteria included: pregnancy (a negative pregnancy test was required before each vaccine dose); receipt of blood products (except albumin) in the previous 3 months, and simultaneous multi-organ transplantation [25].

Samples:

Blood samples (5ml) were collected in sterile tubes (without anticoagulant) and then left in a standing position for approximately half an hour to allow blood to clot. The samples were centrifuged at RT at 1500g for 15min and the serum fraction separated from the clot. Serum samples were stored at -78°C prior to analysis.

Statistical analyses:

The analysis of the results was performed by Graph Pad Prism[®]-software. Statistical differences between the mean value of the OD of the samples obtained at the same time points in the same experimental run between populations of patients: vaccinated vs placebo and viremia vs no viremia were obtained from Mann Whitney Test (ns: not significant; *: $P < 0.05$; **: $P < 0.005$; ***: $P < 0.005$). Geometric mean values ($\pm 95\%$ CI) were represented by horizontal lines.

Footnotes:

Funding:

This work was funded by the European Union under the FP7 Marie Curie Action, Grant number 316655 (VacTrain) and Deutsche Forschungsgemeinschaft MA 929/11-1. M.B.R. was supported by an MRC Fellowship (G:0900466). The original clinical trial of gB/MF59 was supported by a grant from the National Institute of Allergy and Infectious Diseases (R01AI051355) and Sanofi Pasteur.

Conflict of Interest:

Funding source (Vactrain) had no role in the study design, data collection, data analysis, data interpretation, writing, or in the decision to submit to publication. S.P. and F.D.P. are employees of Sanofi Pasteur.

Ethics statement:

The study was approved by the Research Ethics Committee and all patients whose samples were investigated here gave written informed consent [25].

Meeting(s) where the information has previously been presented:

- 41st Annual International Herpesvirus Workshop, Madison, Wisconsin, USA (23-27 July 2016)- poster;
- 11th Mini-Herpesvirus Workshop Berlin, Germany (30 September 2016)- oral presentation;
- 3rd UK CMV conference; Cardiff, Wales (24-25 November 2016)- oral presentation;
- 6th International Congenital CMV Conference / 16th International CMV/Betaherpesvirus Workshop-poster

Corresponding author contact information:

Institute for Immunity & Transplantation, Royal Free Hospital,
Rowland Hill Street, NW3 2PF London, United Kingdom
E-mail:matthew.reeves@ucl.ac.uk

1. Staras SA, Dollard SC, Radford KW, Flanders WD, Pass RF and Cannon MJ. Seroprevalence of cytomegalovirus infection in the United States, 1988-1994. *Clin Infect Dis* 2006;43:1143-51
2. Liu J, Kong J, Chang YJ, et al. Patients with refractory CMV infection following allo-HSCT are at high risk for CMV disease and non-relapse mortality. *Clin Microbiol Infect* 2015
3. Yalci A, Celebi ZK, Ozbas B, et al. Evaluation of Infectious Complications in the First Year After Kidney Transplantation. *Transplant Proc* 2015;47:1429-1432
4. Cohen L, Yeshurun M, Shpilberg O and Ram R. Risk factors and prognostic scale for cytomegalovirus (CMV) infection in CMV-seropositive patients after allogeneic hematopoietic cell transplantation. *Transpl Infect Dis* 2015
5. Griffiths P, Baraniak I and Reeves M. The pathogenesis of human cytomegalovirus. *J Pathol* 2015;235:288-97
6. Cheeran MCJ, Lokensgard JR and Schleiss MR. Neuropathogenesis of Congenital Cytomegalovirus Infection: Disease Mechanisms and Prospects for Intervention. *Clinical Microbiology Reviews* 2009;22:99-126
7. Fowler KB, Stagno S, Pass RF, Britt WJ, Boll TJ and Alford CA. The outcome of congenital cytomegalovirus infection in relation to maternal antibody status. *N Engl J Med* 1992;326:663-7
8. Biswas J, Madhavan HN, George AE, Kumarasamy N and Solomon S. Ocular lesions associated with HIV infection in India: a series of 100 consecutive patients evaluated at a referral center. *Am J Ophthalmol* 2000;129:9-15
9. Hsiao NY, Zampoli M, Morrow B, Zar HJ and Hardie D. Cytomegalovirus viraemia in HIV exposed and infected infants: prevalence and clinical utility for diagnosing CMV pneumonia. *J Clin Virol* 2013;58:74-8
10. Institute of Medicine Committee to Study Priorities for Vaccine D. The National Academies Collection: Reports funded by National Institutes of Health. In: Stratton KR, Durch JS and Lawrence RS, eds. *Vaccines for the 21st Century: A Tool for Decisionmaking*. Washington (DC): National Academies Press (US) Copyright 2000 by the National Academy of Sciences. All rights reserved., 2000
11. Plotkin SA, Furukawa T, Zygraich N and Huygelen C. Candidate cytomegalovirus strain for human vaccination. *Infect Immun* 1975;12:521-7
12. Elek SD, Stern H. Development of a vaccine against mental retardation caused by cytomegalovirus infection in utero. *Lancet* 1974;1:1-5
13. Lilja AE, Mason PW. The next generation recombinant human cytomegalovirus vaccine candidates—Beyond gB. *Vaccine* 2012;30:6980-6990
14. Schleiss MR. Cytomegalovirus vaccines under clinical development. *Journal of Virus Eradication* 2016;2:198-207
15. Navarro D, Paz P, Tugizov S, Topp K, La Vail J and Pereira L. Glycoprotein B of human cytomegalovirus promotes virion penetration into cells, transmission of infection from cell to cell, and fusion of infected cells. *Virology* 1993;197:143-58
16. Wille PT, Wisner TW, Ryckman B and Johnson DC. Human cytomegalovirus (HCMV) glycoprotein gB promotes virus entry in trans acting as the viral fusion protein rather than as a receptor-binding protein. *MBio* 2013;4:e00332-13
17. Isaacson MK, Compton T. Human Cytomegalovirus Glycoprotein B Is Required for Virus Entry and Cell-to-Cell Spread but Not for Virion Attachment, Assembly, or Egress. *Journal of Virology* 2009;83:3891-3903
18. Britt WJ, Vugler L, Butfiloski EJ and Stephens EB. Cell surface expression of human cytomegalovirus (HCMV) gp55-116 (gB): use of HCMV-recombinant vaccinia virus-infected cells in analysis of the human neutralizing antibody response. *J Virol* 1990;64:1079-85
19. Vanarsdall AL, Johnson DC. Human cytomegalovirus entry into cells. *Current opinion in virology* 2012;2:10.1016/j.coviro.2012.01.001

20. Schleiss MR, Bourne N, Stroup G, Bravo FJ, Jensen NJ and Bernstein DI. Protection against congenital cytomegalovirus infection and disease in guinea pigs, conferred by a purified recombinant glycoprotein B vaccine. *J Infect Dis* 2004;189:1374-81
21. Frey SE, Harrison C, Pass RF, et al. Effects of antigen dose and immunization regimens on antibody responses to a cytomegalovirus glycoprotein B subunit vaccine. *J Infect Dis* 1999;180:1700-3
22. Mitchell DK, Holmes SJ, Burke RL, Duliege AM and Adler SP. Immunogenicity of a recombinant human cytomegalovirus gB vaccine in seronegative toddlers. *Pediatr Infect Dis J* 2002;21:133-8
23. Sabbaj S, Pass RF, Goepfert PA and Pichon S. Glycoprotein B vaccine is capable of boosting both antibody and CD4 T-cell responses to cytomegalovirus in chronically infected women. *J Infect Dis* 2011;203:1534-41
24. Pass RF. Development and evidence for efficacy of CMV glycoprotein B vaccine with MF59 adjuvant. *J Clin Virol* 2009;46 Suppl 4:S73-6
25. Griffiths PD, Stanton A, McCarrell E, et al. Cytomegalovirus glycoprotein-B vaccine with MF59 adjuvant in transplant recipients: a phase 2 randomised placebo-controlled trial. *Lancet* 2011;377:1256-63
26. Bernstein DI, Munoz FM, Callahan ST, et al. Safety and efficacy of a cytomegalovirus glycoprotein B (gB) vaccine in adolescent girls: A randomized clinical trial. *Vaccine* 2016;34:313-9
27. Pass RF, Zhang C, Evans A, et al. Vaccine prevention of maternal cytomegalovirus infection. *N Engl J Med* 2009;360:1191-9
28. Pöttsch S, Spindler N, Wieggers A-K, et al. B Cell Repertoire Analysis Identifies New Antigenic Domains on Glycoprotein B of Human Cytomegalovirus which Are Target of Neutralizing Antibodies. *PLoS Pathogens* 2011;7:e1002172
29. Utz U, Britt W, Vugler L and Mach M. Identification of a neutralizing epitope on glycoprotein gp58 of human cytomegalovirus. *J Virol* 1989;63:1995-2001
30. Wagner B, Kropff B, Kalbacher H, et al. A continuous sequence of more than 70 amino acids is essential for antibody binding to the dominant antigenic site of glycoprotein gp58 of human cytomegalovirus. *J Virol* 1992;66:5290-7
31. Meyer H, Sundqvist VA, Pereira L and Mach M. Glycoprotein gp116 of human cytomegalovirus contains epitopes for strain-common and strain-specific antibodies. *J Gen Virol* 1992;73 (Pt 9):2375-83
32. Kropff B, Landini MP and Mach M. An ELISA using recombinant proteins for the detection of neutralizing antibodies against human cytomegalovirus. *J Med Virol* 1993;39:187-95
33. Schoppel K, Kropff B, Schmidt C, Vornhagen R and Mach M. The humoral immune response against human cytomegalovirus is characterized by a delayed synthesis of glycoprotein-specific antibodies. *J Infect Dis* 1997;175:533-44
34. McLean GR, Olsen OA, Watt IN, et al. Recognition of human cytomegalovirus by human primary immunoglobulins identifies an innate foundation to an adaptive immune response. *J Immunol* 2005;174:4768-78
35. Schrader JW, McLean GR. Location, location, timing: analysis of cytomegalovirus epitopes for neutralizing antibodies. *Immunol Lett* 2007;112:58-60
36. Meyer H, Masuho Y and Mach M. The gp116 of the gp58/116 complex of human cytomegalovirus represents the amino-terminal part of the precursor molecule and contains a neutralizing epitope. *J Gen Virol* 1990;71 (Pt 10):2443-50
37. Thomson CA, Bryson S, McLean GR, Creagh AL, Pai EF and Schrader JW. Germline V-genes sculpt the binding site of a family of antibodies neutralizing human cytomegalovirus. *Embo j* 2008;27:2592-602
38. Axelsson F, Adler SP, Lamarre A and Ohlin M. Humoral immunity targeting site I of antigenic domain 2 of glycoprotein B upon immunization with different cytomegalovirus candidate vaccines. *Vaccine* 2007;26:41-6

39. Ohlin M. A new look at a poorly immunogenic neutralization epitope on cytomegalovirus glycoprotein B. Is there cause for antigen redesign? *Mol Immunol* 2014;60:95-102
40. Lantto J, Fletcher JM and Ohlin M. Binding characteristics determine the neutralizing potential of antibody fragments specific for antigenic domain 2 on glycoprotein B of human cytomegalovirus. *Virology* 2003;305:201-9
41. Ishibashi K, Tokumoto T, Shirakawa H, et al. Lack of antibodies against the antigen domain 2 epitope of cytomegalovirus (CMV) glycoprotein B is associated with CMV disease after renal transplantation in recipients having the same glycoprotein H serotypes as their donors. *Transpl Infect Dis* 2011;13:318-23
42. Ohizumi Y, Suzuki H, Matsumoto Y, Masuho Y and Numazaki Y. Neutralizing mechanisms of two human monoclonal antibodies against human cytomegalovirus glycoprotein 130/55. *J Gen Virol* 1992;73 (Pt 10):2705-7
43. Atanasiu D, Whitbeck JC, de Leon MP, et al. Bimolecular complementation defines functional regions of Herpes simplex virus gB that are involved with gH/gL as a necessary step leading to cell fusion. *J Virol* 2010;84:3825-34
44. Speckner A, Glykofrydes D, Ohlin M and Mach M. Antigenic domain 1 of human cytomegalovirus glycoprotein B induces a multitude of different antibodies which, when combined, results in incomplete virus neutralization. *J Gen Virol* 1999;80 (Pt 8):2183-91
45. Ohlin M, Sundqvist VA, Mach M, Wahren B and Borrebaeck CA. Fine specificity of the human immune response to the major neutralization epitopes expressed on cytomegalovirus gp58/116 (gB), as determined with human monoclonal antibodies. *J Virol* 1993;67:703-10
46. Britt WJ, Jarvis MA, Drummond DD and Mach M. Antigenic Domain 1 Is Required for Oligomerization of Human Cytomegalovirus Glycoprotein B. *Journal of Virology* 2005;79:4066-4079
47. Finnefrock AC, Freed DC, Tang A, et al. Preclinical Evaluations of Peptide-Conjugate Vaccines Targeting the Antigenic Domain-2 of Glycoprotein B of Human Cytomegalovirus. *Hum Vaccin Immunother* 2016:0
48. Kauvar LM, Liu K, Park M, et al. A high-affinity native human antibody neutralizes human cytomegalovirus infection of diverse cell types. *Antimicrob Agents Chemother* 2015;59:1558-68
49. Wieggers AK, Sticht H, Winkler TH, Britt WJ and Mach M. Identification of a neutralizing epitope within antigenic domain 5 of glycoprotein B of human cytomegalovirus. *J Virol* 2015;89:361-72
50. Spindler N, Rucker P, Potzsch S, et al. Characterization of a discontinuous neutralizing epitope on glycoprotein B of human cytomegalovirus. *J Virol* 2013;87:8927-39

ACCEPTED MANUSCRIPT

Fig. 1. The majority of seropositive patients have pre-existing AD1 immune responses boosted by vaccination.

AD1 responses are represented as OD values at different time-points: day of first vaccine/placebo administration (month 0); day of administration of the second (month 1) and third dose (month 6), and 2 and 7 months post vaccination. (A) AD1 responses in HCMV seropositive vaccine recipients represented as OD values (B) AD1 responses in HCMV seropositive placebo recipients represented as OD values (C) Comparison between antibody levels against AD1 in the sera from vaccinated and placebo patients. Horizontal lines represent geometric mean values ($\pm 95\%$ CI). Statistical differences between the mean value of ODs between the populations of patients: vaccinated vs placebo were obtained from Mann Whitney Test (ns: not significant; *: $P < 0.05$; **: $P < 0.005$; ***: $P < 0.005$).

Accepted Manuscript

Fig 2. The majority of seropositive patients have pre-existing AD4 immune responses boosted by vaccination.

AD4 responses are represented as OD values at different time-points: day of first vaccine/placebo administration (month 0); day of administration of the second (month 1) and third dose (month 6), and 2 and 7 months post vaccination. (A) AD4 responses in HCMV seropositive vaccine recipients represented as OD values (B) AD4 responses in HCMV seropositive placebo recipients represented as OD values (C) Comparison between antibody levels against AD4 in the sera from vaccinated and placebo patients. Horizontal lines represent geometric mean values ($\pm 95\%$ CI). Statistical differences between the mean value of ODs between the populations of patients: vaccinated vs placebo were obtained from Mann Whitney Test (ns: not significant).

Accepted Manuscript

Fig 3. Vaccination boosts pre-existing AD5 responses and induces detectable de novo responses in patients.

AD5 responses are represented as OD values at different time-points: day of first vaccine/placebo administration (month 0); day of administration of the second (month 1) and third dose (month 6), and 2 and 7 months post vaccination. (A) AD5 responses in HCMV seropositive vaccine recipients represented as OD values (B) AD5 responses in HCMV seropositive placebo recipients represented as OD values (C) Comparison between antibody levels against AD5 in the sera from vaccinated and placebo patients. Horizontal lines represent geometric mean values ($\pm 95\%$ CI). Statistical differences between the mean value of ODs between the populations of patients: vaccinated vs placebo were obtained from Mann Whitney Test (ns: not significant).*: $P < 0.05$).

Accepted Manuscript

Fig 4. Vaccination does not induce detectable de novo responses in patients lacking pre-existing AD2 responses but boosts pre-existing antibody responses above cut-off against AD2 in HCMV seropositive patients.

AD2 responses are represented as OD values at different time-points: day of first vaccine/placebo administration (month 0); day of administration of the second (month 1) and third dose (month 6), and 2 and 7 months post vaccination. (A) AD2 responses in HCMV seropositive vaccine recipients represented as OD values (B) AD2 responses in HCMV seropositive placebo recipients represented as OD values (C) Comparison between antibody levels against AD2 in the sera from vaccinated and placebo patients. (D) Comparison between antibody levels against AD2 responses in patients who had pre-existing antibody responses. AD2 responses are represented as OD values at day of first vaccine/placebo administration (pre-vaccination) and 2 months following the administration of the first dose of the vaccine (post-vaccination). The dotted line represents a cut-off value (the highest OD value in seronegative group at the time of vaccine administration). Horizontal lines represent geometric mean values ($\pm 95\%CI$). Statistical differences between the mean value of ODs between the populations of patients: vaccinated vs placebo were obtained from Mann Whitney Test (ns: not significant; *: $P < 0.05$).

Figure 5. Elevated antibody responses against AD2 correlate with protection.

Comparison of antibody levels against AD1 (A), AD2 (B), AD4 (C) and AD5 (D) between patients who developed viremia versus patients who did not develop viremia following transplantation at day of first vaccine/placebo administration (month 0); day of administration of the second (month 1) and third dose (month 6), and also at times: 2 and 7 months post initial vaccination. Horizontal lines represent geometric mean values. Statistical differences between the mean value of ODs between the populations of patients: viremia vs no viremia were obtained from Mann Whitney Test (ns: not significant; *: $P < 0.05$).

Accepted Manuscript

Figure 6. No evidence for antagonistic antibody responses between AD1 and AD2 affecting outcome.

A) Hypothetical models of interactions between AD1 and AD2 antibody responses and clinical outcome. **B)** AD2 (Y axis) and AD1 (X axis), represented as OD values at 2 months following the administration of the 1st dose of vaccine. Red colour represent patients who subsequently developed viremia post-transplant and black colour represent patients who did not experience viremia post-transplant.

Accepted Manuscript

Table 1. Summary of antibody responses in sera from HCMV seropositive patients vaccinated with the subunit glycoprotein-B vaccine with MF-59 adjuvant against four key antigenic domains mapped onto gB.

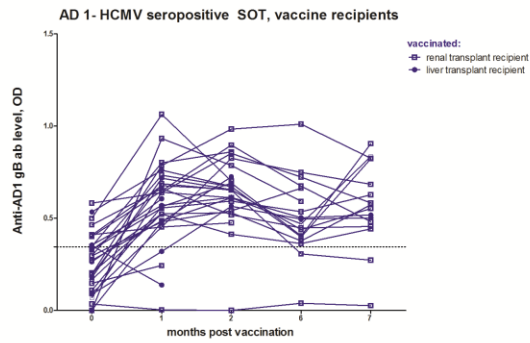
Protection from viremia is defined when patient did not experience an episode of viremia during the course of analyses (viremia>200 cps/ml).

Accepted Manuscript

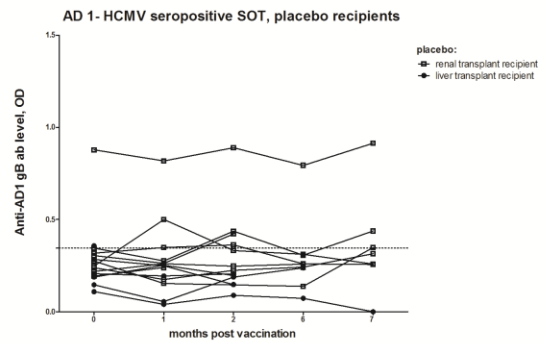
Antigenic domain	HCMV seropositive vaccine recipients				
	Induction of antibody responses de novo	Boost of pre-existing responses	% positivity prior to vaccination	% positivity following vaccination	protection from viremia
AD1	Yes (fig. 1)	Yes (fig.1)	86.4% (38/44)	93.8% (15/16)	No
AD2	No (fig.4 & 5)	Yes (fig.4 & 5)	50% (23/46)	50% (9/18)	Yes
AD4	No (fig. 2)	Yes (fig. 2)	98% (43/44)	93.8% (15/16)	Trend
AD5	Yes (fig. 3)	Yes (fig. 3)	97.7 (43/44)	95.8 (23/24)	No

Figure 1.

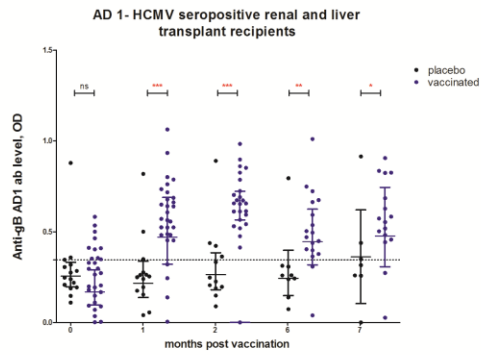
A.



B.



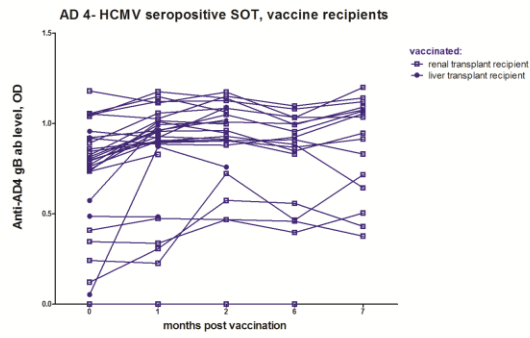
C.



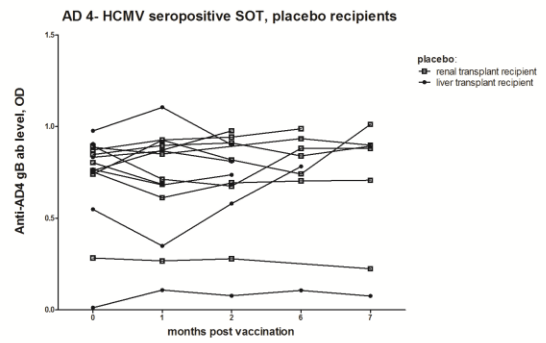
Accepted

Figure 2.

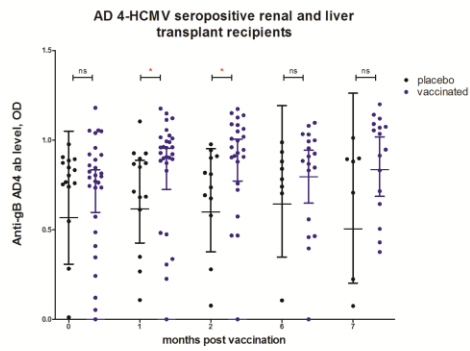
A.



B.



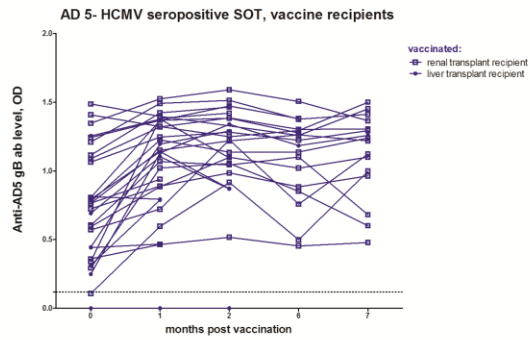
C.



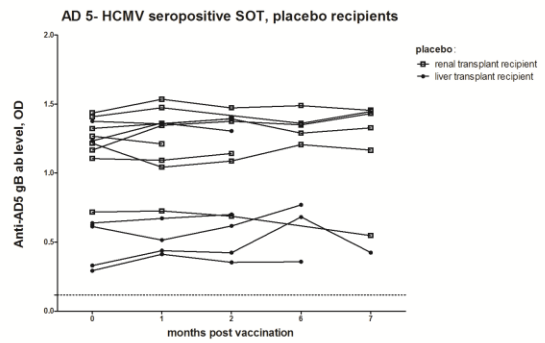
Accepted

Figure 3.

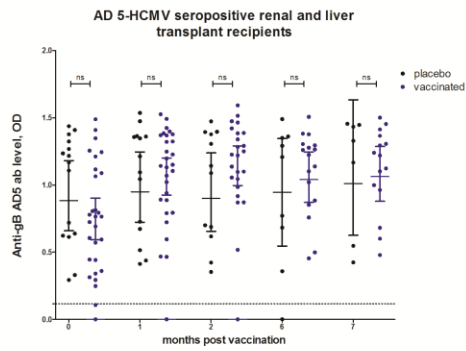
A.



B.



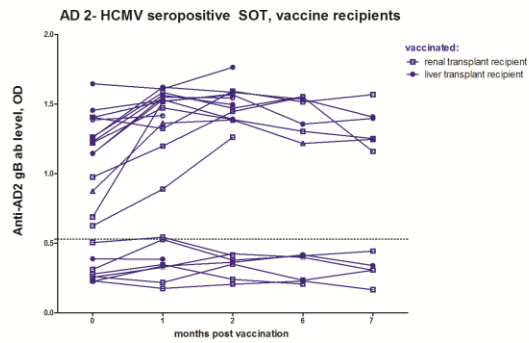
C.



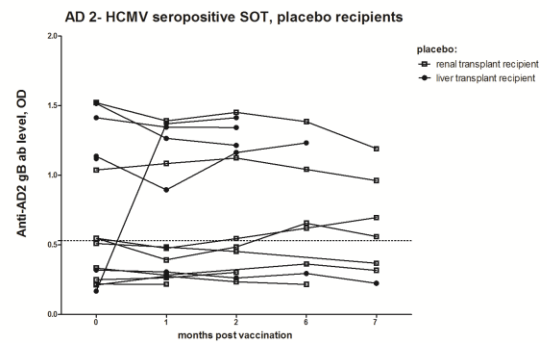
Accepted

Figure 4.

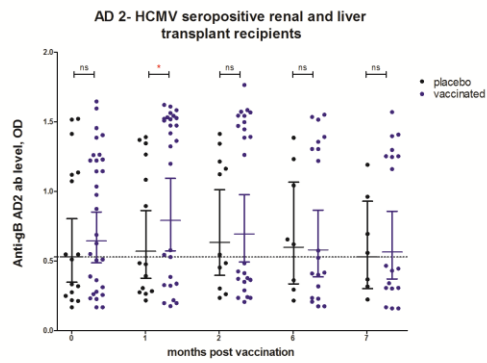
A.



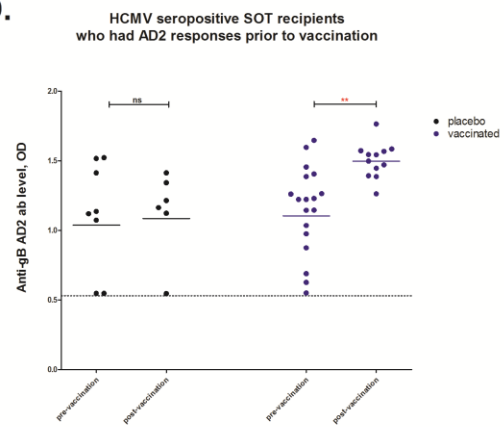
B.



C.



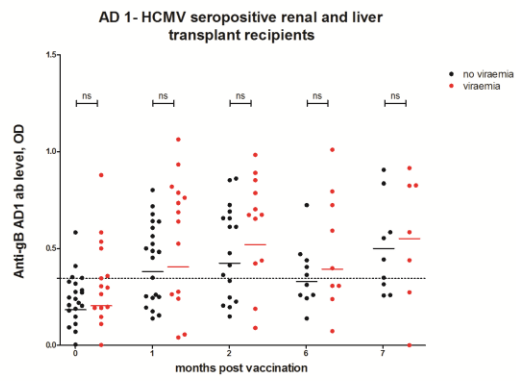
D.



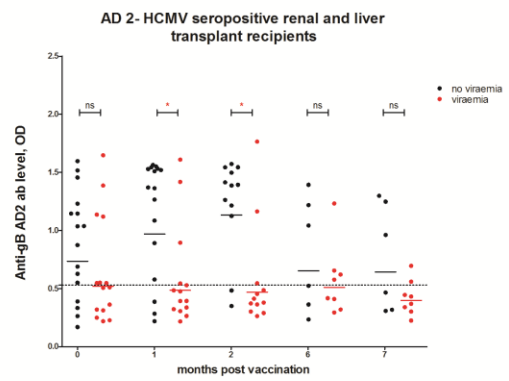
Accepted

Figure 5.

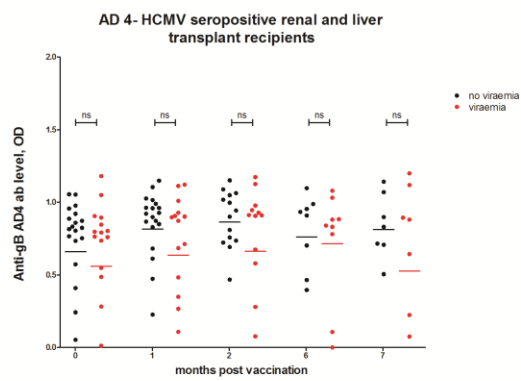
A.



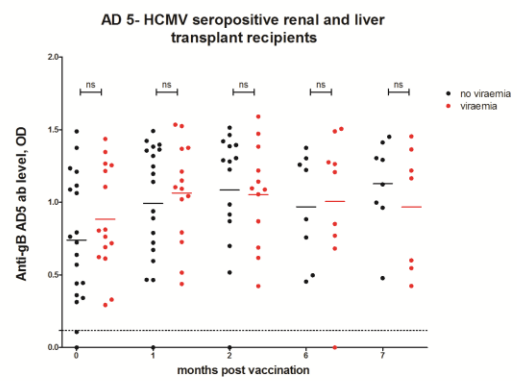
B.



C.



D.

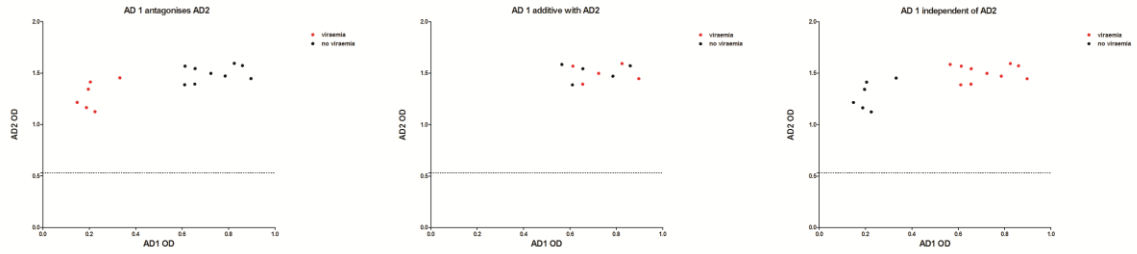


Accepted

Figure 6.

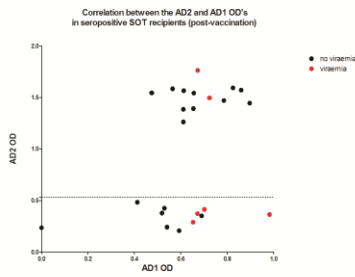
Hypothetical models for interaction between antibody responses

A.



Actual data

B.



Accepted 14