



**Wang, Li and Dong, Kun and Wang, Jianxun and Luo, Yong and He, Wenlong and Cross, Adrian W. and Ronald, Kevin and Phelps, Alan D. R. (2017) Design of a Ka-band MW-level high efficiency gyrokystron for accelerators. In: 2017 10th UK-Europe-China Workshop on Millimetre Waves and Terahertz Technologies, UCMMT 2017. IEEE, Piscataway, NJ. ISBN 9781538627204 , <http://dx.doi.org/10.1109/UCMMT.2017.8068506>**

This version is available at <https://strathprints.strath.ac.uk/62837/>

**Strathprints** is designed to allow users to access the research output of the University of Strathclyde. Unless otherwise explicitly stated on the manuscript, Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Please check the manuscript for details of any other licences that may have been applied. You may not engage in further distribution of the material for any profitmaking activities or any commercial gain. You may freely distribute both the url (<https://strathprints.strath.ac.uk/>) and the content of this paper for research or private study, educational, or not-for-profit purposes without prior permission or charge.

Any correspondence concerning this service should be sent to the Strathprints administrator: [strathprints@strath.ac.uk](mailto:strathprints@strath.ac.uk)

# Design of a Ka-band MW-level High Efficiency Gyrokystron for Accelerators

Li Wang, Kun Dong, Jianxun Wang, Yong Luo  
 School of Physical Electronics  
 University of Electronic Science and Technology of China  
 Chengdu, China, 610054  
[wli2012@uestc.edu.cn](mailto:wli2012@uestc.edu.cn)

Wenlong He, Adrian W. Cross, Kevin Ronald,  
 Alan D. R. Phelps  
 Department of Physics, SUPA  
 University of Strathclyde  
 Glasgow, Scotland, UK, G4 0NG  
[w.he@strath.ac.uk](mailto:w.he@strath.ac.uk)

**Abstract**—Design of a three-cavity Ka-band MW-level gyrokystron operated at the fundamental TE<sub>02</sub> mode is presented in this paper. The initial design of the magnetron injection gun (MIG) and interaction circuit has been completed by using the PIC (Particle in cell) code MAGIC. The PIC simulation shows this gyrokystron can deliver an output power of more than 1.5 MW with a gain of > 35 dB at 36 GHz. The achieved efficiency exceeds 40 % when driven by a 95 kV, 45 A beam. The optimized MIG has a transverse velocity spread of less than 2.5% when the velocity ratio is around 1.3.

**Keywords**—gyrokystron; Ka-band; MIG; interaction circuit

## I. INTRODUCTION

Gyrokystrons are vacuum electronic devices (VEDs) which can produce high power in the millimeter and submillimeter wave bands [1-2]. The applications of gyrokystrons include radar systems, remote communication, material processing, etc [3-4]. As a millimeter wave high power source for accelerator, a Ka-band gyrokystron is being studied at the University of Strathclyde and UESTC. The initial design has been completed. A stable output power of more than 1.5 MW is predicted with an efficiency of more than 40%.

## II. MIG DESIGN

This Ka-band gyrokystron is driven by a triode type MIG which can generate small-orbit electrons [6]. Compared with the diode type MIG, the triode type version has a better control on the beam parameters, especially the beam velocity ratio ( $v_t/v_z$ , where  $v_t$  and  $v_z$  are transverse and axial velocity components, respectively.) [7]. By adjusting the modulating anode voltage, the velocity ratio of the electron beam can be fine-tuned without incurring obvious deterioration of the velocity spread. The configuration of this Ka-band triode type MIG is shown in Fig. 1. The electron trajectories are denoted in red lines. A circle of dielectric material is mounted inside the waveguide wall at the gun exit to avoid exciting parasitic modes.

The simulation results show that all electrons have passed the gun tunnel without any interception. The optimized beam transverse and axial velocity spreads are less than 5% when the the velocity ratio is maintained around 1.3. The average beam guiding center radius is 2.25 mm. The beam current and

voltage are 45 A and 95 kV, respectively. The optimized parameters of the gun are summarized in TABLE I.

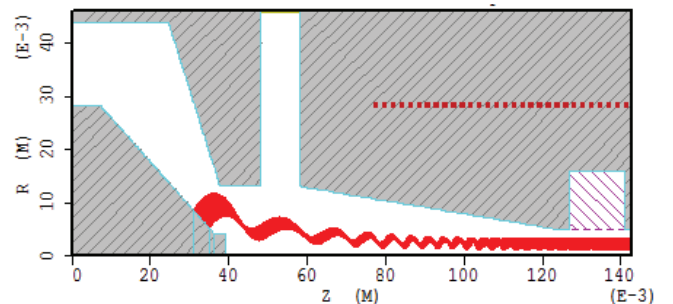


Fig. 1. Beam trajectories and gun configuration of Ka-band MIG.

TABLE I MIG PARAMETERS

Parameters	Value
Cathode angle $\varphi_c$ (deg)	39.7
Emitter width $L_e$ (mm)	5.23
Emitter average radius $R_c$ (mm)	6.85
Current $I_0$ (A)	45
Anode voltage $V_0$ (kV)	95
Modulating anode voltage $V_m$ (kV)	38.5
Magnetic field @ gun exit $B_0$ (T)	1.34
Magnetic compression ratio $f_m$	10.5
Velocity ratio $\alpha$	1.31
Transverse velocity spread $\Delta\beta_t$ (%)	2.31
Axial velocity spread $\Delta\beta_z$ (%)	4.09
Mean guiding center radius $r_{g0}$ (mm)	2.25

Fig. 2 shows the dependence of velocity ratio  $\alpha$  and transverse velocity spread  $\Delta\beta_t$  as functions of modulating anode voltage  $V_m$ . As  $V_m$  grows from 37.5 kV to 38.9 kV,  $\alpha$  almost linearly increases from 1.1 to 1.4 due to the transverse acceleration of the modulating anode, while  $\Delta\beta_t$  slightly varies around 2.5%. The results of sensitivity studies show that the MIG can be operated stably within reasonable parametric windows, which demonstrates a robust MIG design.

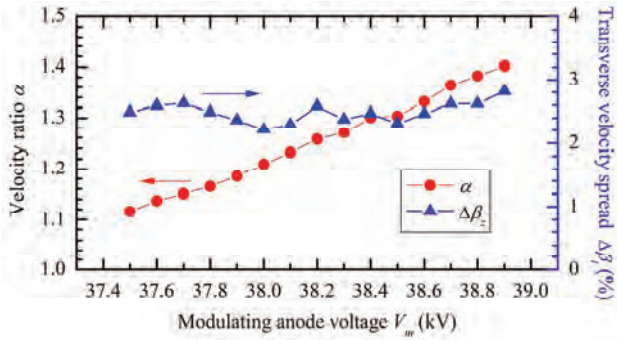


Fig. 2. Velocity ratio and transverse velocity spread as functions of modulating anode voltage.

### III. INTERACTION CIRCUIT DESIGN

According to application requirements of University of Strathclyde, The Ka-band gyrokystron employs a three-cavity configuration. A dielectric material has been applied to overcome mode competition problems. The electron beam is tuned by the injected microwave in the first cavity and the bunching is strengthened in the second cavity. Finally in the third cavity, most electrons lose their energy and the microwave radiation is amplified. Fig. 3 shows the configuration of the interaction structure as well as the bunching process of the electron beams. A distinct change of electron status is observed in the output cavity, where the efficient beam-wave interaction occurs. In the collector zone, under the force of declining magnetic field, the electron beams and amplified microwave are well separated and the residual electrons are collected by the inner walls.

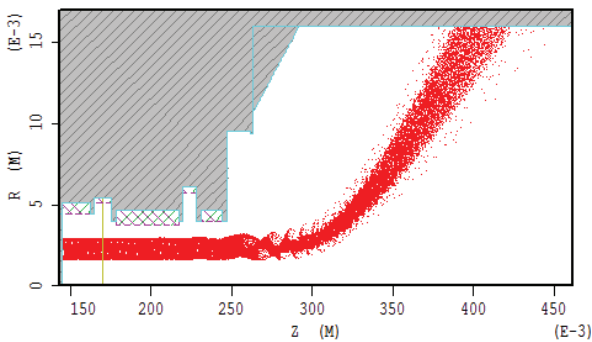


Fig. 3. Plot of electron trajectories showing the bunching process.

The evolution of the relativistic factor gamma of electron beam as a function of axial distance is given in Fig. 4. It is shown that when entering the output cavity, most of the electrons begin to lose their energy and the electrons are well bunched with the input signal amplified effectively.

The evolution of output power with regard to the simulating time is given in Fig. 5. After 10 ns, the output power is stabilized at 1.9 MW. A pure TE<sub>02</sub> mode has been amplified in the output cavity. The Fourier transformation of angular electric field at the output port shows there is a distinct frequency component of 36 GHz existing in the frequency

spectrum. No other frequency components are found except a relatively weak 2<sup>nd</sup> harmonic frequency component of 72 GHz.

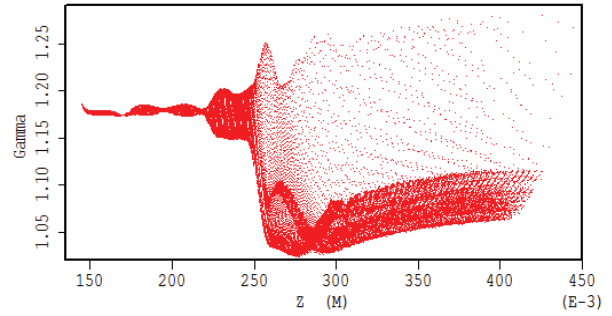


Fig. 4. Relativistic factor versus axial distance.

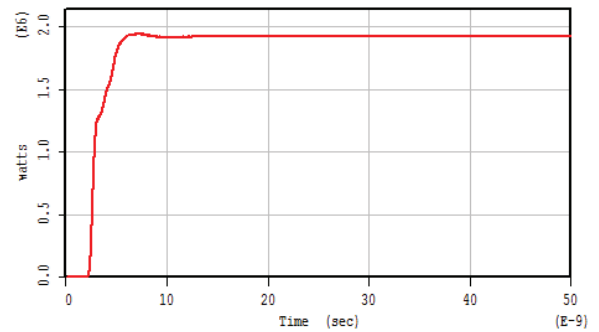


Fig. 5. Output power as a function of the time.

The dependence of output power as a function of the frequency is shown in Fig. 6. The maximum output power is achieved at 36 GHz. The estimated 3 dB bandwidth is about 700 MHz, note that in the PIC simulation, zero beam velocity spread is considered. So in the actual operation of the gyrokystron, both the maximum output power and the 3 dB bandwidth will be decreased.

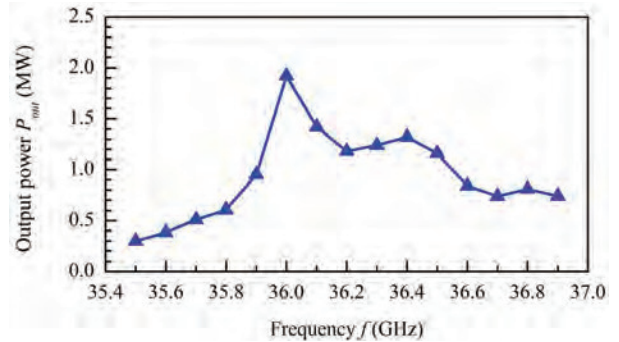


Fig. 6. Output power versus frequency.

### IV. CONCLUSION

A design of a Ka-band gyrokystron has been accomplished by using PIC simulations. Fabrication and test of the cavities will be completed in the near future.

## REFERENCES

- [1] M. Blank, Bruce G. Danly, Baruch Levush, and D. E. Pershing, "Experimental investigation of W-band (93 GHz) gyrokystron amplifiers," *IEEE Trans. Plasma Sci.*, vol. 26, pp. 409–415, June 1998.
- [2] J. J. Choi, A. H. McCurdy, F. N. Wood, R. H. Kyser, J. P. Calame, K. T. Nguyen, B. G. Danly, T. M. Antonsen, B. Levush, and R. K. Parker, "Experimental investigation of a high power, two-cavity, 35 GHz gyrokystron amplifier," *IEEE Trans. Plasma Sci.*, Vol. 26, no. 3, pp. 416–425, Jun. 1998.
- [3] Granatstein and W. Lawson, "Gyro-amplifiers as candidate RF-drivers for TeV linear colliders," *IEEE Trans. Plasma Sci.*, vol. 24, p. 648, June 1996.
- [4] M. Thumm, *State-of-the-Art of High Power gyro-Devices and Free Electron Maser*. Karlsruhe, Germany: KIT Publishing, 2012.
- [5] B. Goplen, L. Ludeking, D. Smith, and G. Warren, "User-configurable MAGIC for electromagnetic PIC calculations," *Comput. Phys. Commun.*, vol. 87, nos. 1–2, pp. 54–86, May 1995.
- [6] J. M. Baird and W. Lawson, "Magnetron injection gun (MIG) design for gyrotron applications," *Int. J. Electron.*, vol. 61, no. 6, pp. 953–967, Dec. 1986.
- [7] W. Lawson and V. Specht, "Design comparison of single-anode and double-anode 300-MW magnetron injection gun," *IEEE Trans. Electron Devices*, vol. 40, no. 7, pp. 1322–1328, Jul. 1993.
- [8] J. P. Calame, M. Garven, J. J. Choi, K. Nguyen, F. Wood, M. Blank, B. G. Danly, and B. Levush, "Experimental studies of bandwidth and power production in a three-cavity 35 GHz gyrokystron amplifier," *Phys. Plasmas*, vol. 6, no. 1, pp. 285–297, Jan. 1999.