

1 Article

# 2 Integrity Testing of Pile Cover Using Distributed 3 Fibre Optic Sensing

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15 Received: 17 November 2017; Accepted: date; Published: date

16 **Abstract:** The integrity of cast-in-place foundation piles is a major concern in geotechnical  
17 engineering. In this study, distributed fibre optic sensing (DFOS) cables, embedded in a pile during  
18 concreting, are used to measure the changes in concrete curing temperature profile to infer concrete  
19 cover thickness through modelling of heat transfer processes within the concrete and adjacent  
20 ground. A field trial was conducted at a high-rise building construction site in London during the  
21 construction of a 51 m long test pile. DFOS cables were attached to the reinforcement cage of the  
22 pile at four different axial directions to obtain distributed temperature change data along the pile.  
23 The monitoring data shows a clear development of concrete hydration temperature with time and  
24 the pattern of the change varies due to small changes in concrete cover. A one-dimensional  
25 axisymmetric heat transfer finite element (FE) model is used to estimate the pile geometry with  
26 depth by back analysing the DFOS data. The results show that the estimated pile diameter varies  
27 with depth in the range between 1.40 and 1.56 m for this instrumented pile. This average pile  
28 diameter profile compares well to that obtained with the standard Thermal Integrity Profiling (TIP)  
29 method. A parametric study is conducted to examine the sensitivity of concrete and soil thermal  
30 properties on estimating the pile geometry.

31 **Keywords:** distributed fibre optic sensing; pile; heat transfer; integrity testing; finite element  
32

## 33 1. Introduction

34 Pile foundations have been in use for thousands of years (Ulitskii, 1995) while concrete piles  
35 have become particularly widespread in the last 50 years. However, the growing use of larger  
36 diameter and longer piles has resulted in increased concern over the integrity and quality of cast-in-  
37 place foundation piles. This is compounded by the difficulty of inspection due to the large depth,  
38 limited accessibility and potential instability of shafts (Tomlinson and Woodward, 2008). Moreover,  
39 the repair work of pile foundations is difficult and costly if large defects occur (Bruce and Traylor,  
40 2000; Palaneeswaram et al., 2007; Brown et al., 2010). Therefore, testing techniques for the integrity  
41 of bored pile are highly valued. Traditional integrity testing methods includes cross-hole sonic  
42 logging (CSL), sonic echo (SE) testing, radiation based gamma-gamma logging (GGL), and, more  
43 recently, thermal integrity testing methods such as thermal integrity profiling or TIP (White et al.,

44 2008; Brown et al., 2010; Iskander et al., 2001; Mullins and Kranc, 2007; Mullins and Winters, 2011;  
45 ASTM-D7949, 2014).

46 Thermal integrity testing relies on measuring the amount of heat generated by a concrete  
47 element during curing. For a pile, the heat generated and its dissipation rate at a given location are a  
48 function of the concrete mix, the pile radius and the ground conditions. However, some defects such  
49 as poor quality concrete, necking, bulging, voids or soil inclusions will cause local abnormalities in  
50 temperature near the defect. Typically, an area in the concrete cover with temperature measurements  
51 lower than the overall cover average in the same ground layer would indicate a reduction in concrete  
52 volume and therefore a likely decrease in pile diameter. Inversely, higher temperature would indicate  
53 an increased concrete volume such as a bulge (Bungenstab et al., 2015; Piscsalko et al., 2016). Hence,  
54 temperature measurements at regular depths intervals along the reinforcement cage and at various  
55 locations around its circumference provide thermal profiles from which the pile shape uniformity  
56 can be assessed by comparing the measured temperature to that expected for the concrete mix used,  
57 the given pile-soil boundary conditions and the ground thermal properties. Furthermore, the method  
58 can be used to assess cage concentricity within the concreted shaft since eccentricity would result in  
59 differences in temperature on opposite sides of the cage.

60 Distributed fibre optic sensing (DFOS) is gaining prominence in the field of structural health  
61 monitoring because of several advantages such as high spatial density of data, ease of installation  
62 and reliability. It has been used successfully in several applications such as monitoring the  
63 performance of ageing or new tunnels (Cheung et al. 2010; Mohamad et al. 2010; De Battista et al.,  
64 2015), the behavior of thermal pile under coupled mechanical and thermal load (Bourne-Webb et al.,  
65 2009 and 2015; Amatya et al., 2012; Mohamad et al, 2014), displacement of diaphragm wall induced  
66 by excavation (Schwamb et al. 2014); strain and crack of concrete pavement (Bao et al. 2016); initiation  
67 and propagation of delamination of ultra-highperformance concrete overlay (Bao et al. 2017); and is  
68 now regularly used as the preferred method for preliminary pile load tests (Pelecanos et al. 2017).

69 This study proposes to build on the standard thermal integrity method, or TIP, through the use  
70 of distributed fibre optics sensing as an alternative to conventional thermal probes inserted through  
71 access tubes or embedded thermal sensors (ASTM-D7949, 2014). This approach has promising  
72 advantages over discrete instrumentation because it provides a high spatial density of data, where  
73 thousands of temperature points can be obtained along and around a curing concrete element, and  
74 because of the ease of installation of unobtrusive fibre optic cables and the very low failure rate of  
75 such sensors. In addition it is proposed that the data are analysed in conjunction with heat transfer  
76 process modelling taking into account the thermal properties of the ground strata in an attempt to  
77 improve the reliability of the thermal integrity testing method.

78 This paper presents a case study of a thermal integrity test carried out on a large bored concrete  
79 pile in London using a DFOS technique known as Brillouin Optical Time Domain Reflectometry  
80 (BOTDR). BOTDR was used to derive temperature profiles along the pile during concrete curing and  
81 the data were subsequently analysed by a one-dimensional axisymmetric heat transfer finite element  
82 model. It is shown that the pile diameter profile evaluated from the thermal data and heat transfer  
83 model is in relatively good agreement with conventional TIP results. A parametric study was  
84 conducted to examine the sensitivity of the concrete and soil thermal properties in estimating the pile  
85 profile using this DFOS-based thermal integrity testing technique.

## 86 **2. Measuring principle of the fibre optic sensing technique used in this study**

87 The DFOS method used in this study is BOTDR, which is based on spontaneous Brillouin  
88 scattering. In general, it relies on the fact that when light travels through an optical fibre a small  
89 amount is backscattered due to small refractive-index or density fluctuations. The backscattered light  
90 spectrum has various components including the Brillouin frequency peaks, the position of which is  
91 sensitive to density changes caused by external factors such as strain and temperature.

92 Brillouin scattering arises from the interaction of the incident light wave photons with  
93 propagating density waves or acoustic phonons. These acoustic vibrations are generated by the  
94 thermal agitation of atoms in silica fibres and lead to density and refractive-index fluctuations. As

95 long as the amount of light that is scattered by thermal fluctuations is too small to excite further  
 96 fluctuations in the density of the medium, the process is known as spontaneous Brillouin scattering  
 97 (Bao and Chen, 2011). The scattering is inelastic and the photons may lose or gain energy (Stokes and  
 98 anti-Stokes processes) and create or absorb phonons. This shift in photon energy corresponds to a  
 99 shift in the frequency of the scattered light wave called Brillouin frequency shift. This shift is in the  
 100 order of 10-11 GHz from the incident light wave frequency at a wavelength of 1550 nm. The value of  
 101 this Brillouin peak frequency,  $\nu_b$ , is proportional to the velocity of the acoustic phonons,  $v_a$ , and  
 102 phase refractive index,  $n$ , which depend essentially on the local temperature and material density:

$$103 \quad \nu_b = \frac{2n v_a}{\lambda} \quad (1)$$

104 where  $\lambda$  is the wavelength of the incident light.

105 The relationship between this frequency and changes in longitudinal strain and temperature in  
 106 the fibre core/cladding can be approximated by a linear function so that (Horiguchi et al., 1989;  
 107 Kurashima et al., 1990):

$$108 \quad \Delta \nu_b = C_\varepsilon \Delta \varepsilon + C_T \Delta T \quad (2)$$

109 where  $\Delta \nu_b$  is the change in Brillouin frequency due to a simultaneous change in strain,  $\Delta \varepsilon$ , and in  
 110 temperature,  $\Delta T$ .  $C_\varepsilon$  and  $C_T$  are referred to as the strain and the temperature coefficient of the  
 111 Brillouin frequency shift, respectively. This relationship is valid for a wide range of temperature and  
 112 only deviates at very low or high temperatures (Fellay, 2003; Bao and Chen, 2016). These coefficients  
 113 depend on the material composition and geometry of the optical fibre and for standard  
 114 telecommunication single mode fibres, used with BOTDR,  $C_\varepsilon$  and  $C_T$  will vary slightly at around  
 115 values of 500 MHz/% and 1 MHz/°C, respectively, at the operating wavelength of 1550 nm. However,  
 116 note that, once the optical fibre is packaged into a cable, this value of the strain coefficient can only  
 117 be achieved if the strain applied to the cable jacket is fully transferred to the fibre through the cable  
 118 layers. This need for good strain transfer within cables that are robust enough to survive the harsh  
 119 environment of most industrial applications poses significant challenges in the design of specialist  
 120 strain cables since standard telecommunication cables cannot be used. In any case, these cables  
 121 should be calibrated within the range of strain expected for the application they are to be used for.  
 122 This can be achieved by using strain rigs in which the cable is elongated by a known strain and by  
 123 measuring the Brillouin frequency under constant temperature to derive the strain coefficient but  
 124 also ensure that the relationship between strain and frequency is linear, with no hysteresis, and that  
 125 the strain transfer is uniform along the cable.

126 In most applications, since variations in either temperature or strain can cause the Brillouin  
 127 frequency to change, as in Eq. (1), it is necessary to distinguish between these two effects, if one  
 128 measures the Brillouin frequency change alone. One common solution to this problem, when using  
 129 standard BOTDR, is to use a separate temperature compensation cable placed adjacent to the strain  
 130 cable. This cable is commonly of a gel-filled loose tube construction where the optical fibre is isolated  
 131 from mechanical strain effects. Hence, in this loose tube cable the frequency change is a linear  
 132 function of the temperature variations only:

$$133 \quad \Delta \nu_b = \beta \Delta T \quad (3)$$

134 where,  $\beta$ , is a constant obtained through temperature calibration representing a lump coefficient  
 135 taking into account temperature effects as well as thermal expansion of the optical fibre in the loose  
 136 tube (Kechavarzi et al., 2016). Hence, temperature changes,  $\Delta T$ , can be calculated independently and  
 137 substituted into Eq. (2) to obtain strain changes by measuring the central Brillouin frequency in both  
 138 cables using a spectrum analyser as described below. The frequency in both cables can be measured  
 139 simultaneously by splicing the cables into a single loop to avoid multiplexing through multiple  
 140 channels. No strain data is used in this study and the strain data obtained during pile load test data  
 141 is presented in details in Pelecanos et al. (2017). Hence, Eq. (3) is used to solely calculate temperature  
 142 changes during concrete curing at every sampling point to construct temperature profiles. The  
 143 properties of the temperature cable used in this study are discussed in the next section.

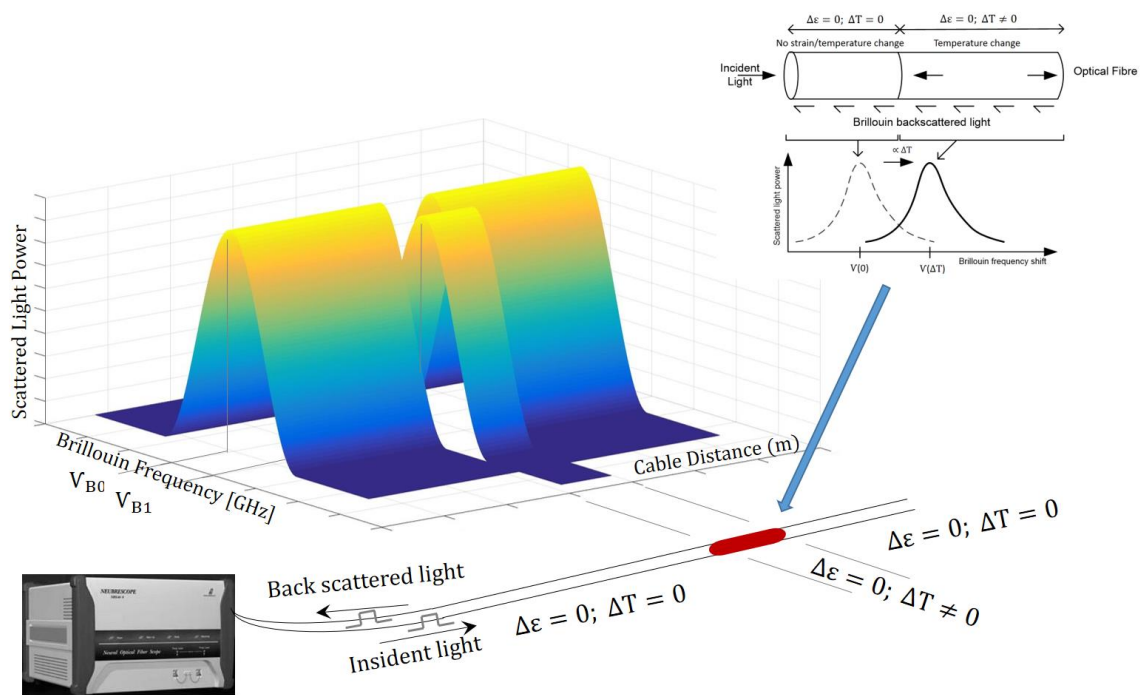
144 BOTDR is a single ended technique where a light pulse is launched into one end of an optical  
 145 fibre and the power of the spontaneous Brillouin backscattering is measured from the same end using  
 146 a spectrum analyser. This power is measured in the time domain, by either heterodyne detection with

147 a coherent receiver or optical frequency discrimination method by using a rejection filter to eliminate  
 148 Rayleigh scattering signal (Horigushi et al., 1995). In the heterodyne detection method, spectral  
 149 filtering is achieved by mixing the backscattered light with an optical local oscillator before detection,  
 150 or a microwave local oscillator after detection, followed by narrow band filtering. This allows a  
 151 narrow spectral resolution so that one frequency component of the backscattered signal can be  
 152 analysed at a time. The Brillouin spectrum can be reconstructed by changing the frequency of the  
 153 local oscillator in successive increments.

154 The amplitude of each frequency component is measured in the time domain and therefore the  
 155 spatial position,  $z$ , from the where the pulsed light is launched to the position where scattered light  
 156 is generated, can be determined using the following equation (Ohno et al., 2001):

$$z = \frac{ct}{2n} \quad (4)$$

158 where  $c$  is the light velocity in a vacuum and  $t$  is the time interval between launching the  
 159 pulsed light and receiving the scattered light at the end of the optical fibre. Figure 1 shows a schematic  
 160 of the Brillouin gain spectrum and a frequency shift due to a change in temperature.  
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**Figure 1.** Brillouin gain spectrum and frequency shift caused by a change in temperature

164 For each position, the frequency  $\nu_b$ , corresponding to the peak power of the Brillouin spectrum  
 165 (central frequency), can be determined by fitting the spectrum with an appropriate function such as  
 166 a Lorentzian curve (Zhang and Wu, 2008).

167 One important characteristic of distributed fibre optic sensing systems based on Brillouin  
 168 scattering is the spatial resolution. The spatial resolution is the smallest distance over which strain or  
 169 temperature can be measured with full accuracy. It is determined by the pulse width of the incident  
 170 light. Narrowing the pulse width to improve resolution has limitations because a pulse width shorter  
 171 than the phonon lifetime, which is approximately 10 ns in silica fibres, will lead to a broadened  
 172 Brillouin gain spectrum, a weaker Brillouin signal and a sharp drop in measurement accuracy (Bao  
 173 and Chen, 2011). Hence the spatial resolution of most commercial BOTDR is limited to between 0.5  
 174 and 1 m (Zhang and Wu, 2008). Nevertheless data points can be sampled at intervals as low as a few  
 175 centimetres by altering the sampling rate of the instrument digitizer. This so called sampling  
 176 resolution is not a physical parameter and does not improve the spatial resolution but it can

177 contribute to the spatial accuracy and the detection of physical events, notably sharp strain or  
178 temperature transitions. It is also worth noting that, although it is possible to carry measurements  
179 over several kilometres along a single optical fibre with BOTDR, larger pulse width and therefore  
180 lower spatial resolution is needed over such distances because of the loss in power due to increased  
181 attenuation.

182 A BOTDR was used in this study because it had been primarily specified to measure strain  
183 during load testing but provided a rare opportunity to gather temperature data during concrete  
184 curing for a large test pile. It is important, however, to stress that there are other distributed fibre  
185 optic measuring systems that can be used to obtain temperature distribution in concrete elements,  
186 often with greater precision and spatial resolution than BOTDR. This includes Brillouin scattering  
187 based double ended systems such as Brillouin Optical Time Domain Analysis (BOTDA) (Bao et al.,  
188 2017) or Raman scattering based systems known as distributed temperature sensing (DTS) systems  
189 (Su et al., 2013; Shi et al., 2016). Commercial DTS systems can only measure temperature but with  
190 higher accuracy and precision than Brillouin scattering based systems. They, however, suffer from  
191 complex calibrations procedures, which require corrections when optical losses occur through  
192 splices, connectors or macrobends (Hausner et al., 2011).

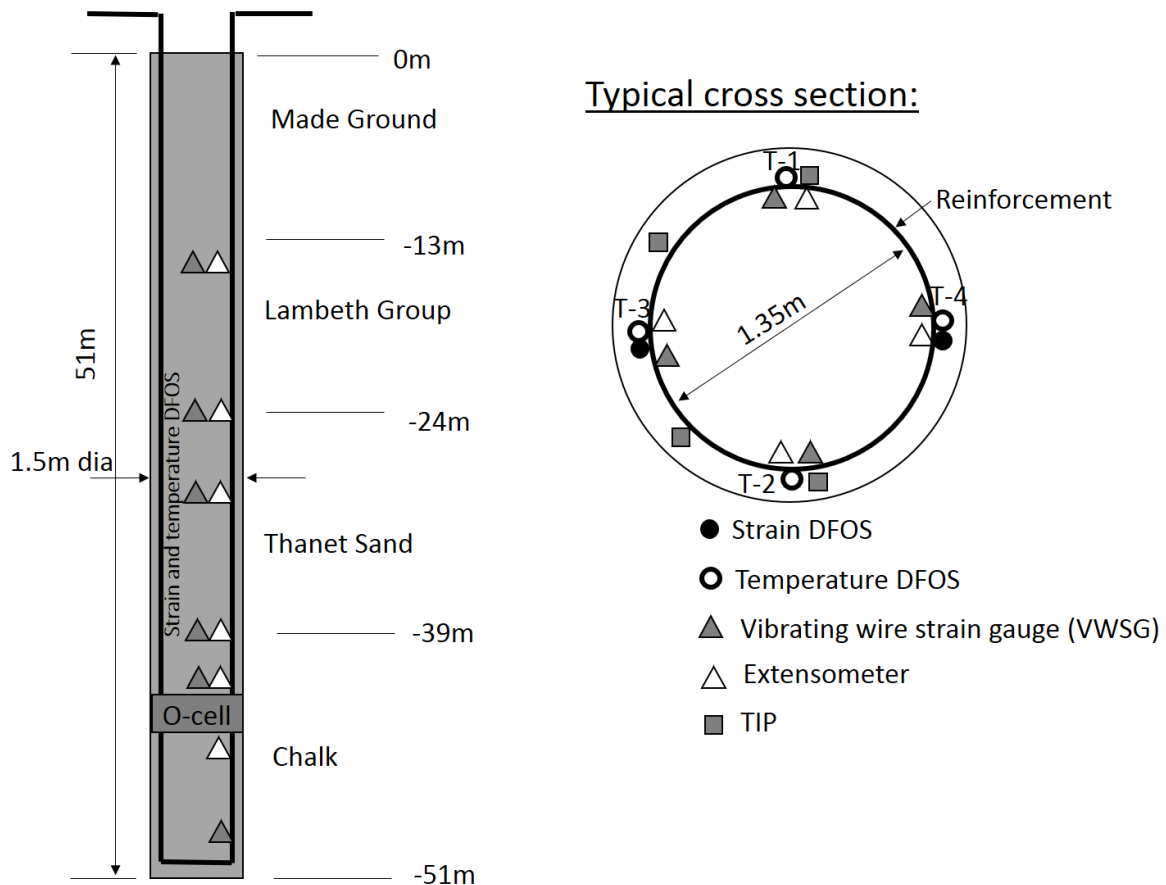
### 193 3. Test site and instrumentation

#### 194 3.1. Test pile

195 The project considered in this paper is located on the Isle of Dogs in east London. It consists of a  
196 60 storey tall tower with a two level basement. Two London Underground (LU) running tunnels  
197 passing beneath the site about 13 m below the deepest excavation level, to which the building was  
198 allowed to transfer just minimal load resulting in a stiff piled raft solution being employed which  
199 transferred the building load to the large diameter piles either side of and between the tunnels. As  
200 the building load was effectively spanning the 11 m exclusion zone around the tunnel, column loads  
201 of up to 95 MN had to be accommodated. Such was the magnitude of the column loads that cast-in-  
202 situ bearing piles founded in the Chalk stratum with diameters up to 2.4 m and 61 m long were  
203 required. The novel nature of this pile type posed significant design and construction risk and  
204 therefore it was decided that a preliminary test pile was required to mitigate this risk.

205 The test pile was constructed to closely match the methodology that would later be used for the  
206 working piles. As the working piles would be required to drill past the LU tunnels during  
207 engineering hours, when trains were no longer running and personnel could access the tunnels for  
208 monitoring purposes, it was decided to construct the test pile over two days. This therefore removed  
209 any necessity to reduce the shaft resistance parameters for the working piles due to the additional  
210 time required to construct the pile. The preliminary test pile was a 1.5 m diameter bored pile to a toe  
211 level of -44.5 mOD (50.9 m below ground level-bgl) and reinforcement cage diameter of 1350 mm, as  
212 shown in Figure 2(a). The pile was constructed under bentonite support fluid. Due to the time  
213 required to construct the pile strict control was maintained over the bentonite properties. The  
214 reinforcement cage was assembled in four sections spliced together using couplers as they were  
215 lowered into the shaft. Construction of the pile took 47 hours from first drilling below the permanent  
216 casing to completion of the placement of 90 m<sup>3</sup> of concrete. The top 13 m of superficial soil is made  
217 ground. It is underlain by Lambeth Group, with a thickness of 11 m, followed by 15 m of Thanet  
218 Sand. The lowest layer is chalk.

219 The pile was load tested using two No. 670 mm diameter bi-directional Osterberg load cells  
220 located around 6 m above the pile toe. The pile was tested using Osterberg cells due the large forces  
221 that were expected to be required to fail the pile (up to 87.5 MN). During the load test, the O-cells  
222 expanded bi-axially and reached a maximum force load of 30.9 MN in each direction (61.8MN in  
223 total). The pile was monitored both during concrete curing and during subsequent load testing. The  
224 analysis in this paper focuses on the temperature data collected during concrete curing. The  
225 interpretation of the bi-directional O-Cell load test data is presented in Pelecanos et al. (2017).



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Figure 2. Geometry and instrumentation of the test pile: (a) plan view, (b) cross-section

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### 3.2. Pile instrumentation

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A BOTDR DFOS instrumentation scheme was installed alongside conventional thermal integrity testing sensors (TIP) and standard loading test instrumentation to measure temperature, strain and displacement during concrete curing and pile loading.

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#### 3.2.1. Distributed fibre optic sensing

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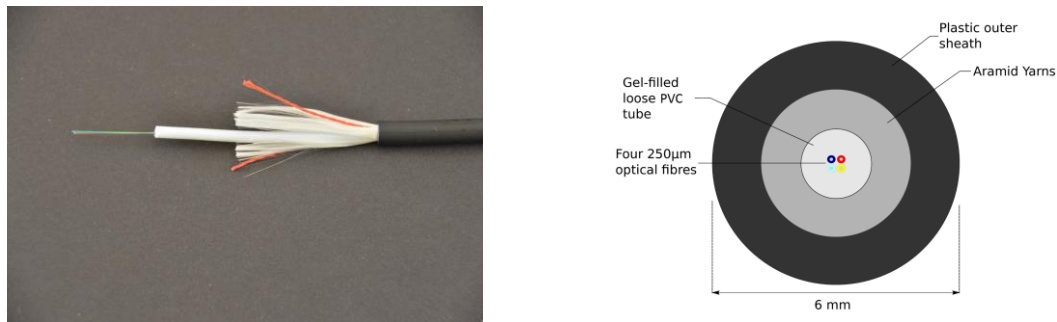
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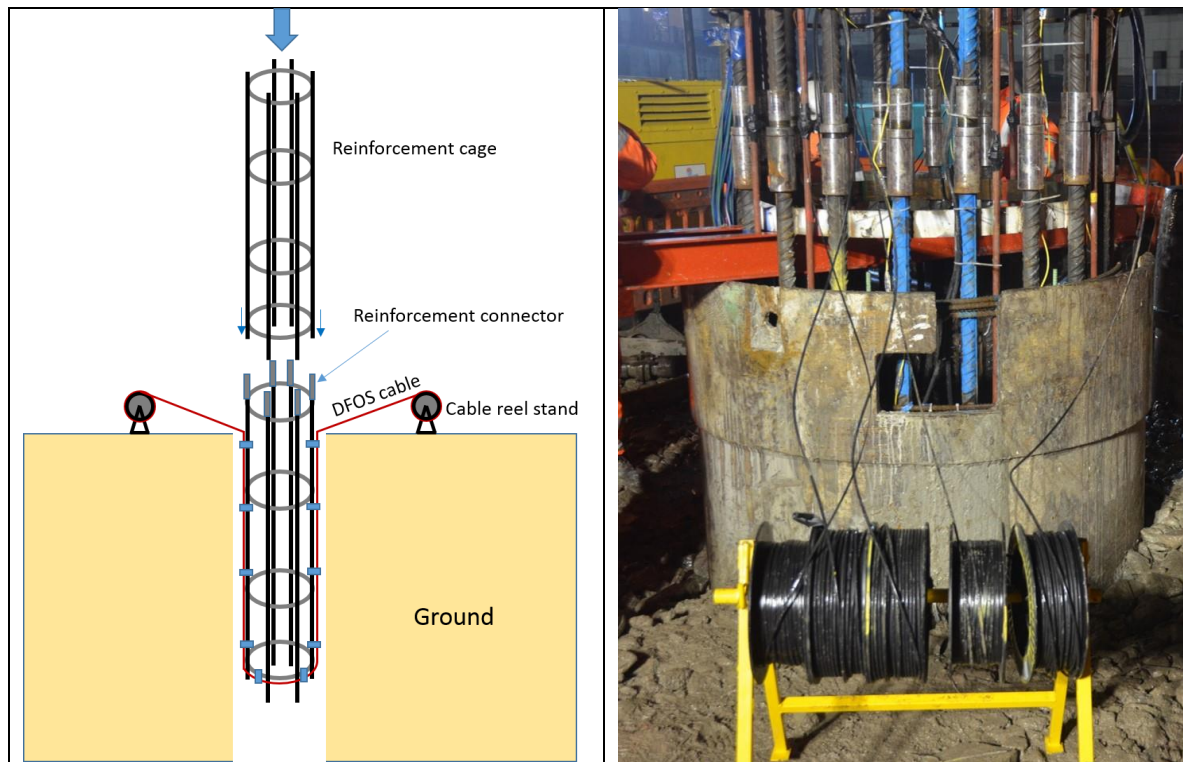
Both strain and temperature single mode optical fibre cables to be used with a BOTDR analyser were installed on the pile. The strain cable, which consisted of a four-core reinforced ribbon cable manufactured by Fujikura (Japan), was used to measure strain during the load test and is not discussed further since this study focuses on temperature during concrete curing. A detailed description of the strain cable and its calibration is given in Kechavarzi et al. (2016). The temperature cable used in this study and shown in Figure 3 consisted of a standard gel-filled loose tube telecommunication cable distributed by Excel (UK). The 6 mm diameter cable was constructed of a PVC gel-filled loose tube hosting four 250  $\mu\text{m}$  single mode fibres, surrounded by aramid yarns and a plastic outer sheath.



243 **Figure 3.** Construction details of the temperature cable (with permission from Kechavarzi et al.,  
 244 2016)

245 The cable was calibrated in the laboratory over a range of temperature representative of the  
 246 conditions found on site to obtain the value of  $\beta$ , which could then be used in Eq. (2) to calculate  
 247 temperature changes from frequency shifts measured in the field. Approximately 10 m of cable was  
 248 coiled loosely in a water bath (Grant Instruments Ltd UK, model T100-ST18) and Brillouin central  
 249 frequency changes measured using the BOTDR described below over the temperature range of 5–85  
 250 °C for one heating and cooling cycle. The overall relationship between temperature and frequency  
 251 during the cycle was linear with coefficient of determination  $R^2$  of 0.998 and  $\beta = 1.16$  MHz/°C.

252 The temperature cable sections used for analysis in this study consisted of two cable loops  
 253 installed on four opposite sides along the pile. Their position is indicated in Figure 2(b). The bottom  
 254 section of the reinforcement cage was instrumented on the ground prior to lowering it into the drilled  
 255 shaft. For each loop, half of the cable was pre-coiled onto two cable reels. The middle of the loop  
 256 was first attached to the bottom link of the cage and then both cable reels uncoiled along the entire length  
 257 of the bottom cage and attached to the rebars with cable ties. The cable reels with the remainder of  
 258 the cables were secured to the top of the bottom cage. The bottom cage was lowered into the borehole  
 259 and when the reels were reachable, they were removed from the cage and placed on reel stands  
 260 positioned on both sides of the pile (Figure 4). Once the second cage section had been spliced to the  
 261 bottom cage section, the cables were uncoiled from the reels and fastened tightly to the outside of the  
 262 reinforcement cage using cable ties on reinforcement links as the cage was gradually lowered. The  
 263 cable was sufficiently robust not to collapse under the pressure exerted by the ties and create  
 264 unwanted restriction of the fibres. This installation process is shown in Figure 4.



265 **Figure 4.** Lowering of reinforcement cages and installation of fibre optic cables

266 The above procedure was repeated with the subsequent cage sections. Outside the pile, the  
 267 cables were routed to a monitoring cabin where they were spliced together to form a continuous cable  
 268 allowing for a single connection point to the spectrum analyser.

269 The spectrum analyser used in this study was a Neubrescope NBX-5000 BOTDR Analyser  
 270 manufactured by Neubrex, Japan. The measurement precision or repeatability of the analyser (twice  
 271 the standard deviation of the noise), for the measured distance and the spatial resolution used, was  
 272 specified as  $\pm 2$  °C. This repeatability or precision was tested in situ over two lower sections of  
 273 approximately 40 m each for cables T-3 and T-4 one month after concrete curing. This assumed that  
 274 the ground temperatures at depth lower than 10 m would not change significantly over a day. The  
 275 precision obtained over 30 consecutive measurements was  $\pm 2.6$  °C, which is close to the specified  
 276 value obtained under ideal laboratory conditions. The spatial resolution, the minimum distance over  
 277 which a change in temperature need to occur to be detected with full accuracy, was 0.5 m. The  
 278 sampling resolution, the distance between two data points calculated from the time interval between  
 279 two consecutive sampling points digitised by the instrument, was 0.05 m. This provided data points  
 280 every 0.05 m (or a total of 4000 data points over the four different axial sides of the pile) though each  
 281 of them was affected by temperature changes over the spatial resolution of 0.5 m. The acquisition  
 282 time for each data set was 7 to 8 minutes, representing the time needed to average  $2^{16}$  measurements  
 283 in order to obtain the required precision. The analyser was operated continuously from the  
 284 monitoring cabin within the specified temperature operating range of 10-35°C.

### 285 3.2.2. Other instrumentation

286 In addition to the distributed fibre optics, the test pile was instrumented with vibrating wire  
 287 strain gauges and extensometers. This supplemented the continuous strain data provided by the fibre  
 288 optics along the pile depth. These data, which broadly agreed with that provided by the DFOS  
 289 method, are presented in Pelecanos et al. (2017) and are not discussed further in this paper.

290 The pile was also instrumented by the contractor with Thermal Integrity Profiling (TIP) wires,  
 291 consisting of cables with thermistors installed in series, to test the integrity of the pile concrete. This  
 292 method was chosen in preference to Crosshole Sonic logging to avoid the cage becoming overly  
 293 congested with the required access tubes. The principle of the method is described briefly in Section



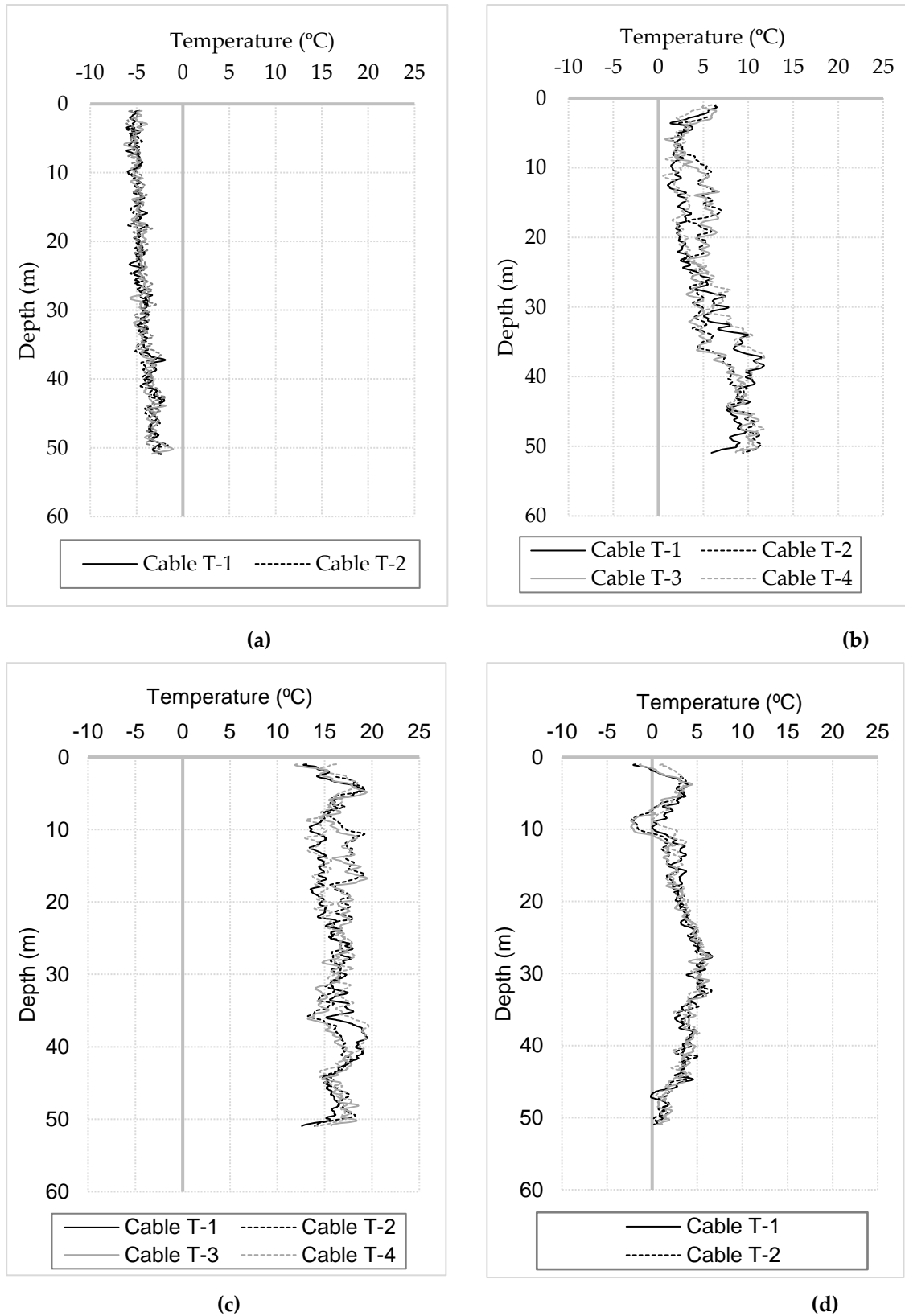
294 1. The cables with sensors were pre-attached to the reinforcement cage sections using cable ties and  
295 connected together when the cage sections were being spliced as the cage was lowered into the shaft.  
296 A total of six sensors arrays were as installed at various cross-sectional locations around the pile. Each  
297 array had sensors spaced 0.30 m apart starting at the top of the pile all the way down to the toe. Three  
298 of the arrays were partially faulty or did not record data for the first three days of curing and are not  
299 considered here. Following concrete pouring, the arrays we connected to data loggers and  
300 temperature recorded every 15 minutes. At specific times (usually 24 or 48 hours after concrete  
301 placement) the temperature profiles are converted into shaft diameters at every measurement  
302 location based on the knowledge that temperature usually varies linearly with distance within the  
303 concrete cover (cover thickness) where the sensors are located. It is the understanding of the authors  
304 that this commercial method uses concrete logs to derive an average shaft diameter that, when  
305 compared with the average shaft temperature, provides a constant from which changes in  
306 temperature can be converted into changes in diameter (Mullins, 2010).

#### 307 4. Data analysis and interpretation

##### 308 4.1 Field data

309 The analysis in this paper focuses on temperature data collected during concrete curing.  
310 Concreting of the pile was carried out on January 31, 2014 between 09:25 and 14:20 by tremie  
311 placement. According to the concrete log, a total volume of 90 m<sup>3</sup> was casted. DFOS data collection  
312 was initialised at 15:48 with 7-8 minute intervals between readings over a two-week period ending  
313 on February 14, 2014. The temperature at 15:48 was chosen as the baseline, the temperature data  
314 presented in this paper represent temperature changes relative to this baseline.

315 Figure 5 shows temperature change profiles along the entire shaft length from the four cables at  
316 four stages. At the beginning, 4 hours after the start of the measurements and therefore 5.3 hours after  
317 concrete placement, the temperature had drops by about 2 to 5°C along the shaft. This is possibly due  
318 to the temperature of concrete coming into equilibrium with the ground temperatures before the  
319 initiation of hydration and heat generation. The concrete mix was heavily retarded through the use  
320 of admixtures, which would have delayed the setting time of the concrete and thus the  
321 commencement of hydration. Between 4 and 14 hours, the bottom of the pile started to heat up. The  
322 lower concrete was poured up to 5 hours earlier than the top giving the hydration process some lead-  
323 time over the rest of the pile. At 1.4 days, the temperature profiles reach the maximum temperature  
324 values which had increased by about 14-20°C compared to the baseline. Between 12 and 20 m, the  
325 temperature at locations of Cables T-4 and T-1 is about 2-4°C lower than that at locations of cables T-  
326 3 and T-2. On the other hand, the trend is just opposite between 35 and 42 m. After that, the  
327 temperature reduces steadily towards initial temperatures. During the hydration process, the pile  
328 does not see a uniform temperature along its length, which indicates that the concrete volume is not  
329 uniform either.



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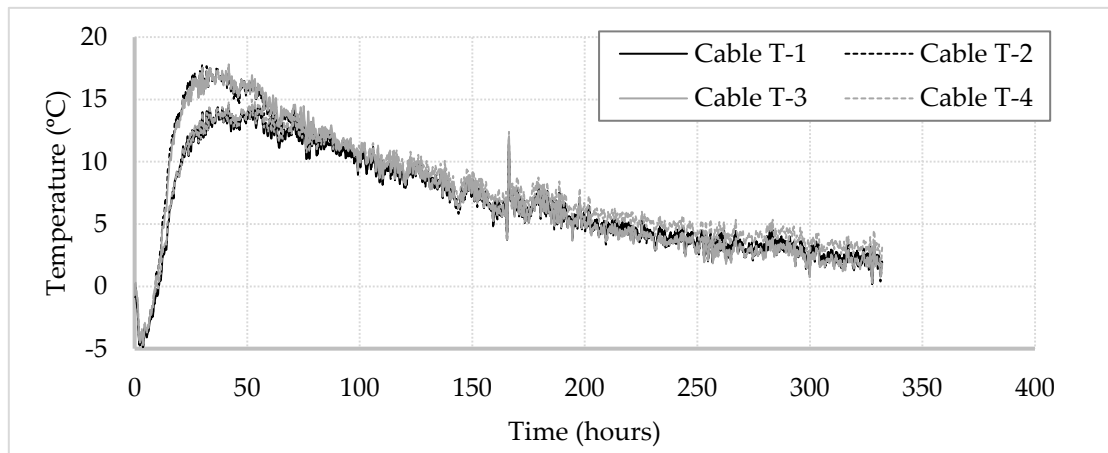
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Figure 5. Longitudinal temperature profiles at (a) 4 hours (b) 14 hours (c) 1.4 days (d) 14 days

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Figure 6 shows the change in temperature over the two-week monitoring period for two cross sections of the temperature cables at depths of 15 and 35 m. The observed trend showed a drop in temperature over the first two hours to about 4 °C below the initial temperature, as discussed earlier. The drop was followed by a steep increase in the temperature for the next 18 hours after which the

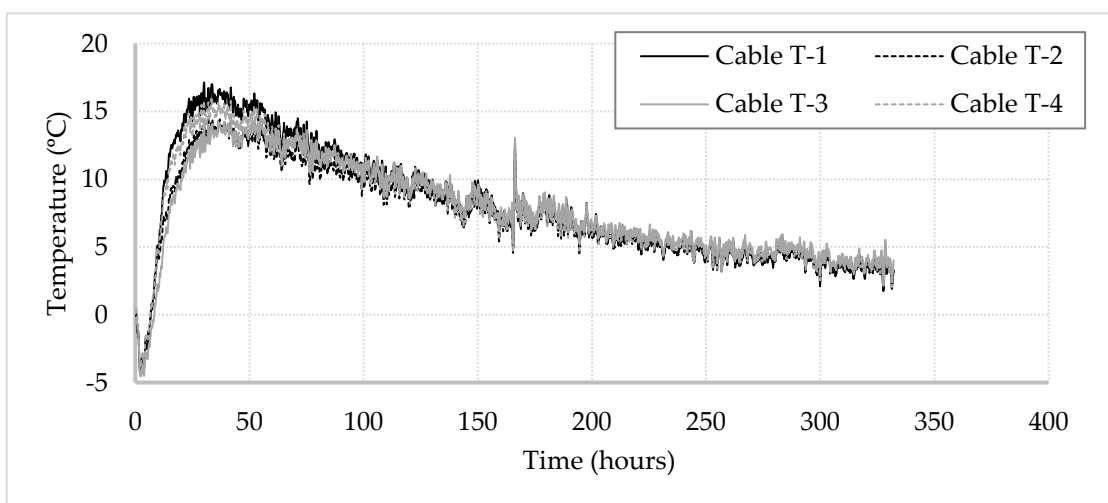
339 rate of heating began to drop. The maximum temperature at the depths shown was 17°C warmer  
340 than the initial temperature and was observed between 30 and 40 hours from the initial reading. The  
341 different peak temperatures between the cables in each plot show that the pile did not see a uniform  
342 maximum temperature on each cross section. The difference ranged between 3 and 5 °C despite the  
343 rate of heating being similar across the cables. Closer to the top of the pile, the T-1/T-4 side is cooler.  
344 However, at lower depths the T-1/T-4 side is warmer. Steady cooling began after about 40 hours with  
345 the temperature gradually reducing for the remainder of the test. At the end of the two-week  
346 monitoring period the temperature of the pile at these two locations was close to 5 °C above the initial  
347 condition and had not reached equilibrium.



348

349

(a)



350

351

(b)

352

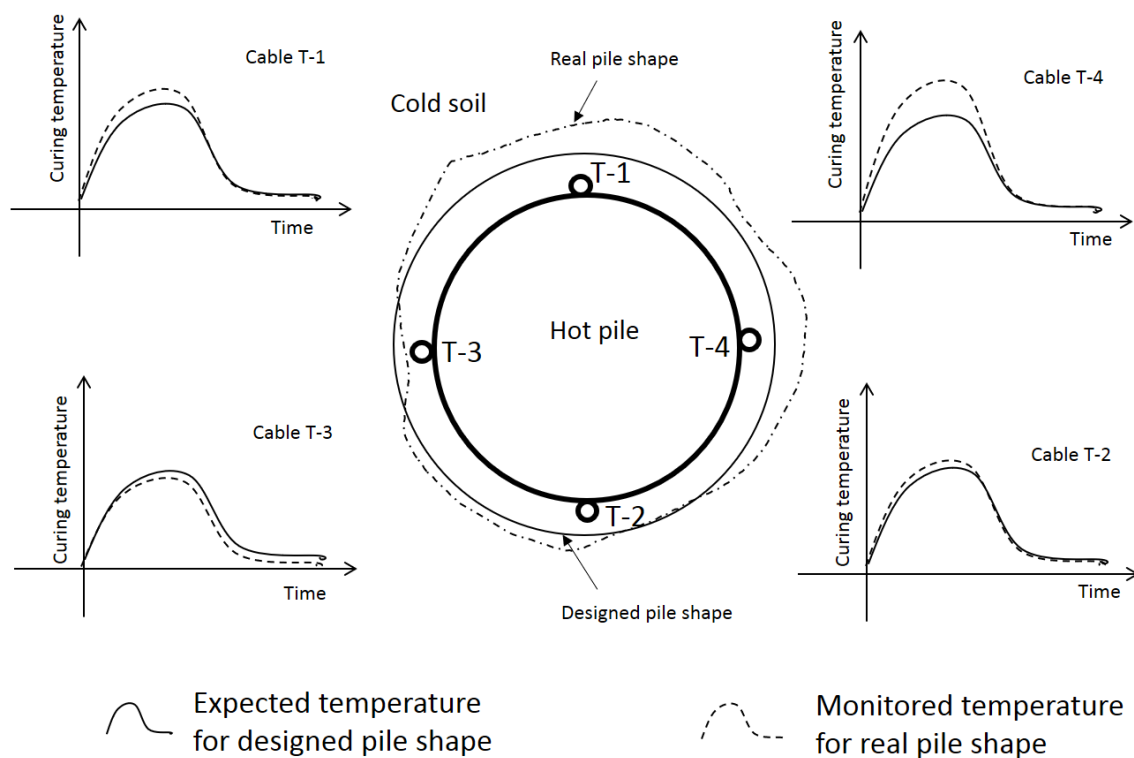
**Figure 6.** DFOS temperature development over time at two depths: (a) 15m; (b) 35m

353

## 354 4.2 Finite element model

355 As described above, there are four temperature readings on every cross sections at 0.05 m  
 356 intervals along the four FO cables on the shaft length and therefore close to 4000 data sets of  
 357 temperature-time curves. Each temperature profile is dependent on the combination of factors of  
 358 hydration heat and the heat transfer between the pile and soil, as shown in Figure 7. These  
 359 temperature curves can be computed by a thermal finite element (FE) analysis. If the temperature  
 360 curve from the FE analysis can match the DFOS data, it indicates that the assumed pile geometry in  
 361 the FE model is close to the real situation. If not, by adjusting cover thickness (position of the pile/soil  
 362 interface as shown in Figure 8), the FE model can be optimised by dichotomy to better match the  
 363 DFOS temperature data. Using this method, the cover thickness can be predicted at four locations on  
 364 every cross sections at 0.05 m intervals along the whole pile.

365

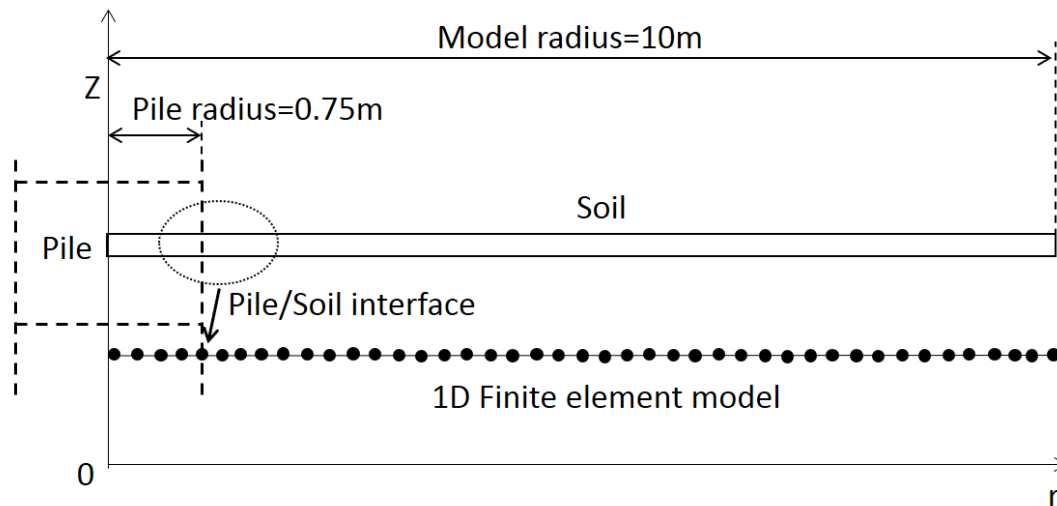


366

367 **Figure 7.** Conceptual relationship between DFOS temperature and pile radius on a typical cross  
 368 section

369 During thermal integrity testing, heat conduction is the major form of heat transfer. The  
 370 fundamental law governing heat conduction for this problem is the first law of thermodynamics,  
 371 commonly referred to as the principle of conservation of energy. The pile length is very large in  
 372 comparison with the dimensions of the structure in the other two horizontal directions. Hence, away  
 373 from the pile ends, the heat transfer is assumed to happen in the horizontal direction only. On the  
 374 other hand, the thermal boundary condition at pile ends would have influence on the thermal  
 375 behaviour of pile during the curing stage. As can be seen in Figure 5c, as the temperature of hydration  
 376 reaches its maximum, large temperature gradients have developed at the upper and lower  
 377 boundaries. These are larger at the upper boundary because of the cold winter air temperature. This  
 378 heat transfer influence in the vertical direction violates the assumption of 1D axisymmetric heat  
 379 transfer in the horizontal direction. This influence is significant within approximately one diameter  
 380 of the top and bottom of the deep foundation pile (ASTM-D7949, 2014). Hence, the FE analysis was  
 381 conducted on the dataset between 2 m to 49 m depth.

382 In addition, due to the axial symmetry, the finite element model for thermal integrity testing can  
 383 be simplified as a 1D model, as shown in Figure 8. This assumption was confirmed by conducting a  
 384 3D FE analysis for selected pile geometries (results not shown). The FE model includes pile element  
 385 and soil element, and hydration heat source is applied on every nodes of the pile elements to simulate  
 386 the hydration heat production. The pile/soil interface location (or concrete cover thickness) is adjusted  
 387 in the FE analysis to match the predicted temperature change with the DFOS data.



388

389

**Figure 8.** 1D axisymmetric heat transfer finite element model

390 Hydration heat plays a crucial role in the temperature development of early-age concrete (Wang  
 391 et al., 2008; Xu et al. 2011). It has significant effects on material properties, and hence influences the  
 392 life-time performance of concrete. Prosen et al. (1985) use isothermal microcalorimeter to study early  
 393 hydration reactions during the hydration of cement. Following Prosen et al.'s experiment, Bentz  
 394 (1995) developed a three-dimensional hydration and microstructure model for Portland cement.  
 395 However, they did not propose explicit formulas of hydration heat production. On the other hand,  
 396 De Schutter and Taerwe (1995, 1996) developed a classical general hydration model based on the  
 397 results of their isothermal and adiabatic hydration tests. In this hydration model, the heat production  
 398 rate is expressed as a function of the actual temperature and the degree of hydration. Pane and  
 399 Hansen (2005) modified De Schutter's method for blended cements. Moreover, they demonstrated a  
 400 similar trend between the degree of reaction and bound water content. The work of Gruyaert et al.  
 401 (2010) also indicates a good match between reaction degrees and the hydration degrees determined  
 402 by BSE-image analysis. Another model of concrete hydration heat is developed in an engineering  
 403 software High Performance Paving Software (HIPERPAV) of the Federal Highway Administration  
 404 (FHWA) (Ruiz et al. 2001). Similar to the model by De Schutter (1995), this hydration heat production  
 405 model is also a function of temperature and the degree of hydration (Ruiz et al. 2001; Schindler et al.  
 406 2004; Xu et al. 2011). The results show that the HIPERPAV temperature model produced accurate  
 407 predictions of the in-place temperature development of hydrating concrete.

408 In this study, the hydration heat model by De Schutter (1995) is used to interpret the temperature  
 409 profiles during the curing stage to assess the pile geometry. The thermal analysis needs to be  
 410 performed for four sides on every cross section. The model has explicit and simple mathematical  
 411 expression. The heat evolution of cement is obtained by the superposition of the heat productions of  
 412 the Portland reaction. The evolution of mechanical properties in early-age cement is described using  
 413 functions of the degree of hydration (De Schutter, 1995).

$$414 \quad P(t) = q_{\max,20} \cdot c \cdot [\sin(\alpha_t \pi)]^a \cdot e^{-b\alpha_t} \cdot e^{-\left[\frac{E}{R} \left( \frac{1}{T_c} - \frac{1}{T_r} \right) \right]} \quad (3)$$

415 where  $a$ ,  $b$  and  $c$  are the material constants controlling the distribution of hydration heat production;  
 416  $\alpha_t$  is the degree of reaction, defined as the fraction of the heat of hydration that has been released;  $E$

417 is the apparent activation energy of the P-reaction, R is the universal gas constant,  $q_{\max,20}$  is the  
 418 maximum heat production rate of the S-reaction at 20°C,  $T_c$  is the temperature of concrete (K), and  
 419  $T_r$  is the reference temperature (293K).

420 Table 1 shows the concrete components used to construct the test pile. According to De Schutter  
 421 (1995), the cement (Type 1) is the only source of hydration heat in this type of concrete, which takes  
 422 up about 13% by weight. Hence, the total released heat  $Q_{\max}$  and maximum heat production rate  
 423  $q_{\max,20}$  equals 13% of the values by pure cement (Type 1). The other parameters are the same as those  
 424 derived from experimental data by De Schutter (1995), as listed in Table 2.

425 **Table 1.** Concrete components

Material	Type	Weight (saturated surface dry) (kg/m <sup>3</sup> )	Proportion constituent
Cement	CEM I	301	70%
CEM II/B-V	Pulverised fuel ash	129	30%
Limestone aggregates	10 to 20mm	565	32%
	4 to 10mm	380	21%
	0 to 4mm	840	47%
Admixture	High-range water reducer	0.75%	--
Water	--	161	--
Total weight		2376	--

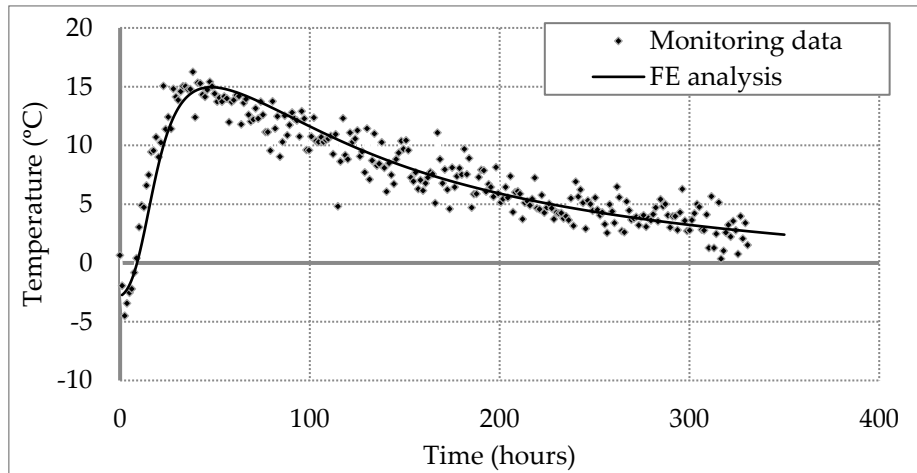
426 **Table 2.** Parameters of hydration model

Parameters	$Q_{\max}$ (J/g)	$q_{\max,20}$ (J/gh)	a	b	c	E(kj/mol)	R(kj/molK)
Value	35.1	1.01	0.667	3.0	2.6	33.5	0.00831

427 **Table 3.** Values of thermal properties

	Thermal conductivity(W/mK)	Specific heat capacity(KJ/m <sup>3</sup> )
Made ground	1.8	2800
Lambeth group	1.6	2400
Thanet sand	1.6	2400
Chalk	1.4	2400
Pile	1.0	2200

428 A 1D axisymmetric finite element model with total radial length of 10 m is developed. Table 3  
 429 lists the thermal parameters of the soil used in this finite element model. All these parameters are  
 430 selected from the reasonable range by Garber (2013), DECC (2008) and Kim et al. (2003). The average  
 431 of all the DFOS temperature data at all depths during curing is plotted against time to build a  
 432 reference curve (dot data in Figure 9), which represents the temperature changes over an average pile  
 433 concrete cover or radius. This average pile radius, which is used in the finite element model, is  
 434 calculated as 735 mm from the volume of casted concrete obtained from the concrete log. Using the  
 435 model parameters adopted in this study, the computed temperature curve from the FE analysis is  
 436 plotted against the data as shown in Figure 9. The modeled curve closely matches with the average  
 437 temperature DFOS data which adds confidence in using the parameters listed in Table 2 and Table 3.  
 438



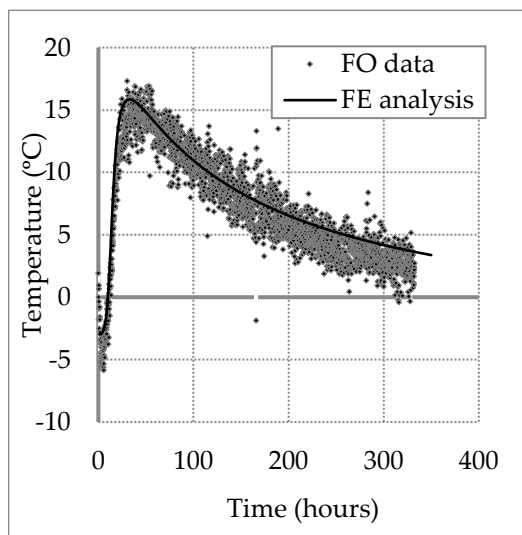
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Figure 9. Calibration of finite element model

#### 441 4.3. FE back analysis results

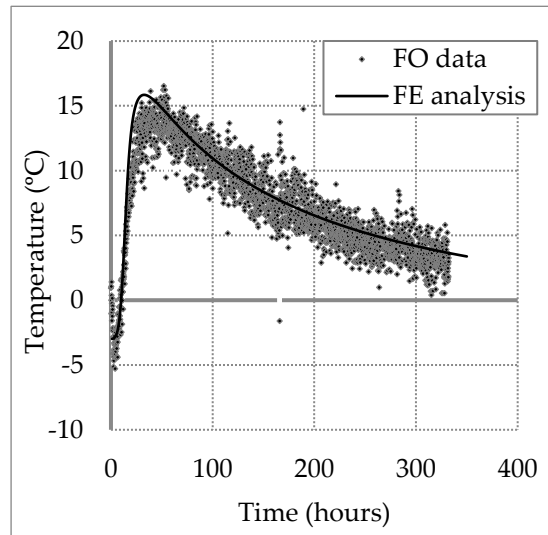
442 The same FE model was used to carry out a series of back analysis to calculate the temperature  
 443 changes at the four locations over all the cross section spaced at 0.05 m intervals along shaft length.  
 444 The aim of this back analysis is to find the concrete cover thickness by varying the pile radius of the  
 445 model (soil/pile interface position) and matching the computed temperature curve to the DFOS data  
 446 at every given location. For example, the temperature curves of cable T-1 at four different depths are  
 447 shown in Figure 10. The symbols are the measured temperature data, whereas the solid line is the  
 448 computed temperature curve that was matched to the data by varying the concrete cover thickness.  
 449 The trend showed a sharp increase in temperature change over the first two days from  $-5^{\circ}\text{C}$  to about  
 450  $15\text{--}17^{\circ}\text{C}$ . After 48 hours, the temperature decreased gradually to  $5^{\circ}\text{C}$  above the initial temperature,  
 451 indicating that the heat conduction is still occurring.



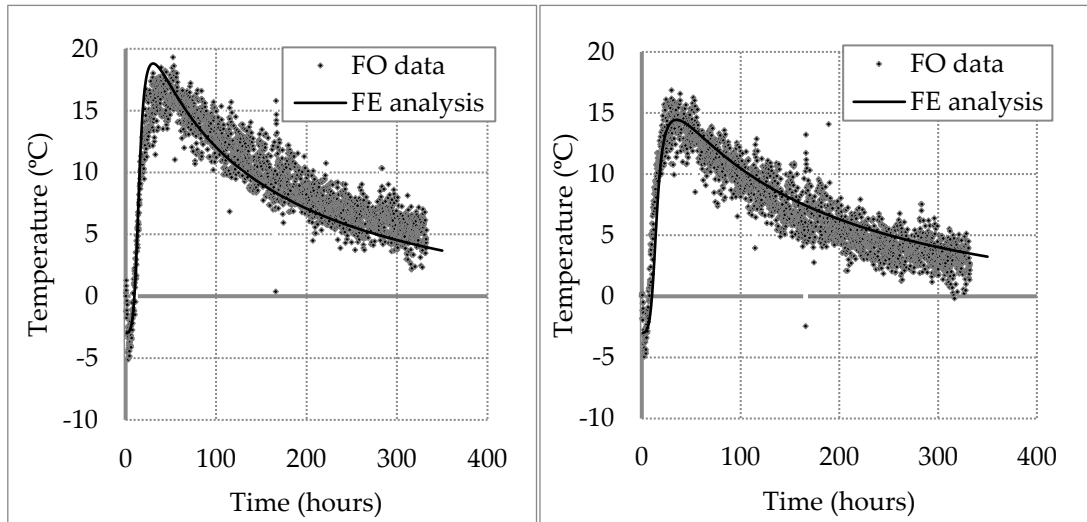
452

453

(a)



(b)



454

455

(c)

(d)

456

457

**Figure 10.** Temperature changes measured with Cable T-1 at different depth: (a) 10m; (b) 20m; (c) 30m; (d) 40m

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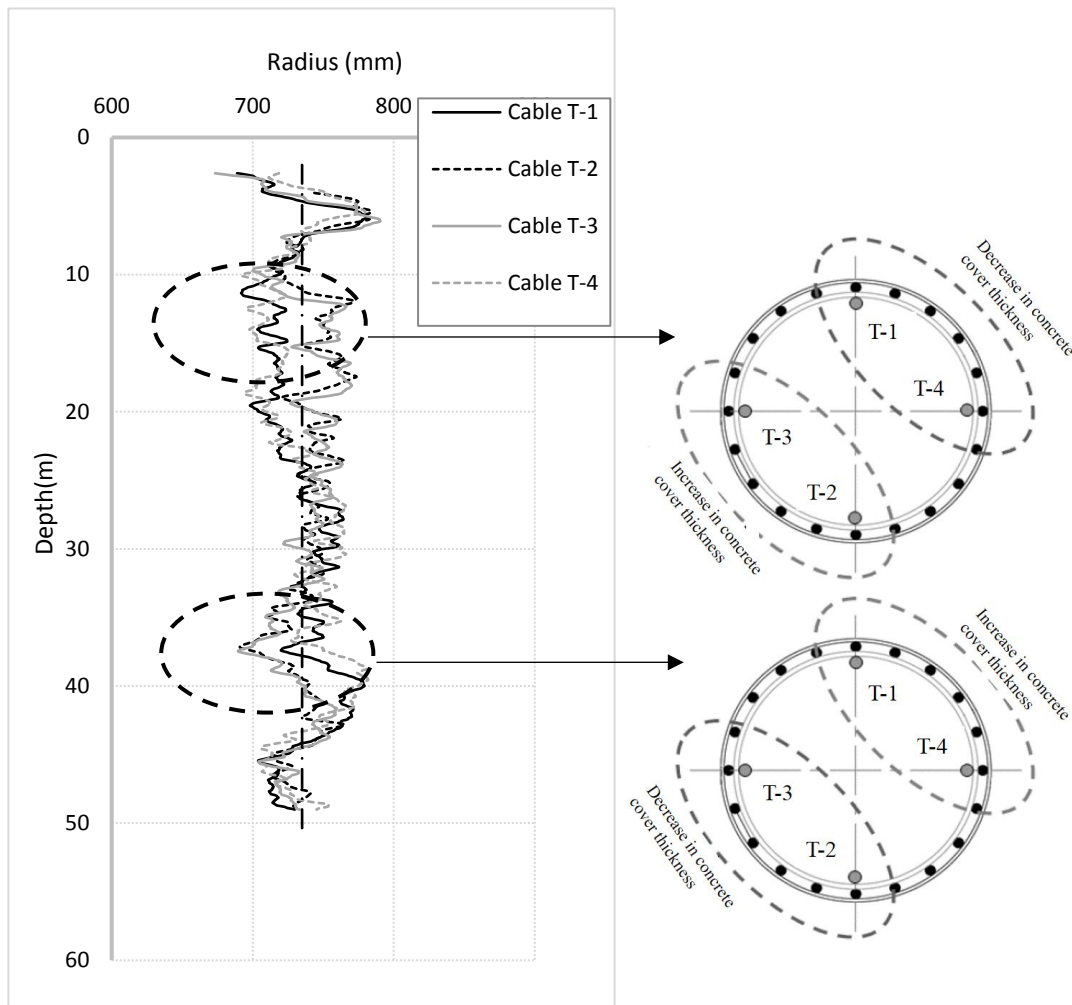
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Figure 11 shows the predicted pile radius in the four different axial directions. The results show that notable differences in radius are observed within two regions along the pile. Between 14 and 24 m, the radius at locations of Cables T-4 and T-1 on the northeast half of the pile is 30 mm less than that at locations of cables T-3 and T-2 on the opposite southwest side. Between 35 and 42 m, however, the trend is opposite; the radius on the northeast side is greater than that on the southwest side. This may indicate cage misalignment within these regions.





464

465

**Figure 11.** Predicted pile radius in four different axial directions along pile length

466

467

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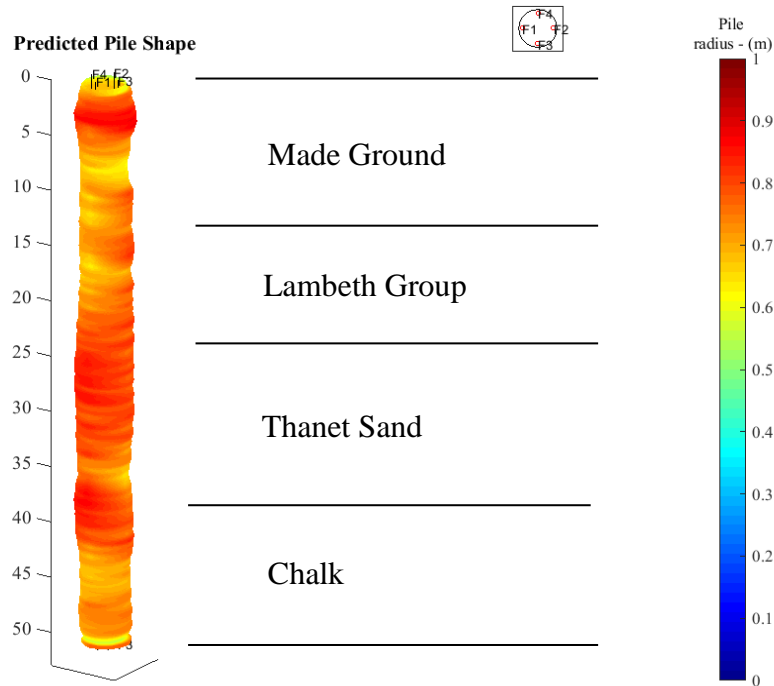
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Figure 12 shows a 3D pile shape according to the predicted radius values discussed above. The radius value between the four axial different directions and longitudinal intervals are calculated by linear extrapolation. The largest pile radius is about 0.78 m and the smallest is about 0.68 m. The red colour indicates an expanded pile radius (larger than the average 0.735 m radius) and the yellow colour a contracted pile radius (smaller than 0.735 m). It shows that the pile radius varies along the pile, especially between 10 and 20 m depth, where it ranges from 0.69 to 0.76 m.

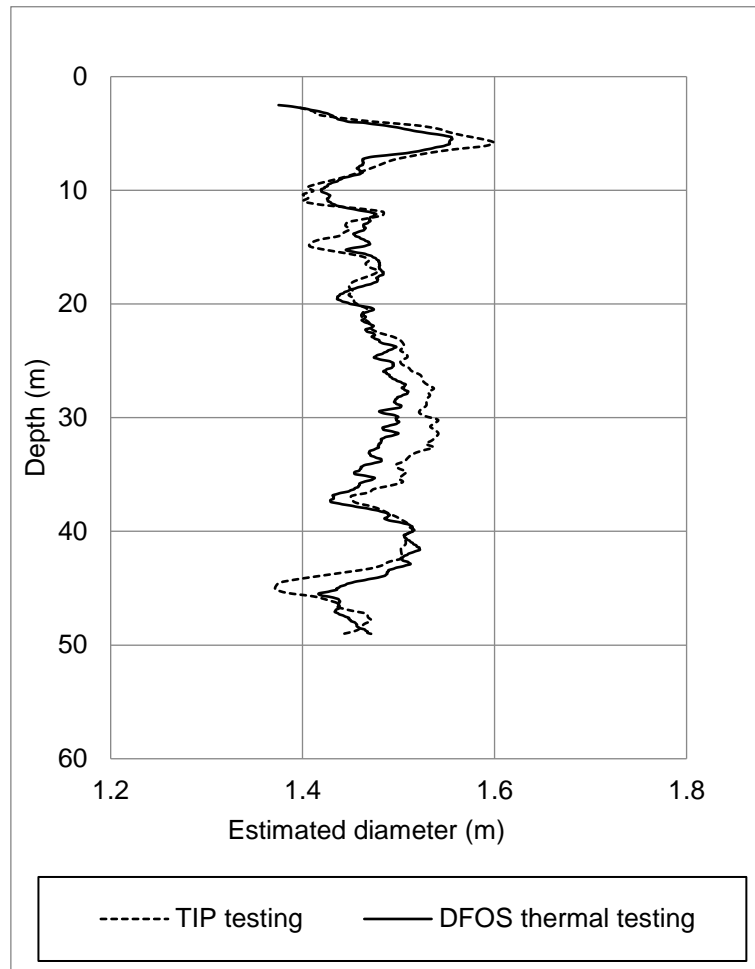


473

474

**Figure 12.** Predicted pile shape

475 Thermal integrity profiling (TIP) tests were carried out by means of thermal wire cables which  
 476 provided another measure of the pile shape for comparison. The effective diameter profile estimated  
 477 from the proposed thermal integrity testing method (black curve) by averaging the diameter data  
 478 obtained with the four DFOS cables is close to the values obtained with the three TIP sensor chains  
 479 (dot curve) 48 hours after concrete placement, as shown in Figure 13. The relatively good match  
 480 between the data from the two testing methods provides confidence in thermal integrity testing using  
 481 DFOS and FE back analysis. The main difference between TIP and the method used in this paper lies  
 482 in the measuring and interpretation methods. The differences observed may be due to the influence  
 483 of a variety of soil thermal properties and thermal boundary conditions, which are not considered in  
 484 the interpretation of the TIP data.



485

486

**Figure 13.** Pile diameter obtained by different test methods

#### 487 4.4. Impact of selection of thermal properties on the predicted pile radius

488 The thermal conductivities of soil and concrete vary and hence it is necessary to assess the  
 489 sensitivity of this thermal property as pile radius is evaluated by conducting back analysis. A series  
 490 of parametric studies is performed to investigate the effect of thermal conductivity on the  
 491 performance of the proposed thermal integrity testing. As listed in Table 4, the thermal conductivity  
 492 values of both soil and concrete are either halved or doubled from the original dataset. The same  
 493 DFOS temperature data are used but the position of the pile-soil interface (concrete cover thickness)  
 494 is adjusted to fit the modelled temperature values to the measured data. For a given set of thermal  
 495 conductivity values, the analysis starts by finding the optimized parameters used in the hydration  
 496 model as described in Section 3.2 and the values for the model are given in Table 5.

497

**Table 4.** Thermal conductivities for parametric study

Thermal conductivity (TC) (W/mK)	Made Ground	Lambeth Group	Thanet Sand	Chalk	Pile
TC of Soil $\times 0.5$	0.9	0.8	0.8	0.7	1.0
TC of Soil $\times 2$	3.6	3.2	3.2	2.8	1.0
TC of Concrete $\times 0.5$	1.8	1.6	1.6	1.4	0.5
TC of Concrete $\times 2$	1.8	1.6	1.6	1.4	2.0

498

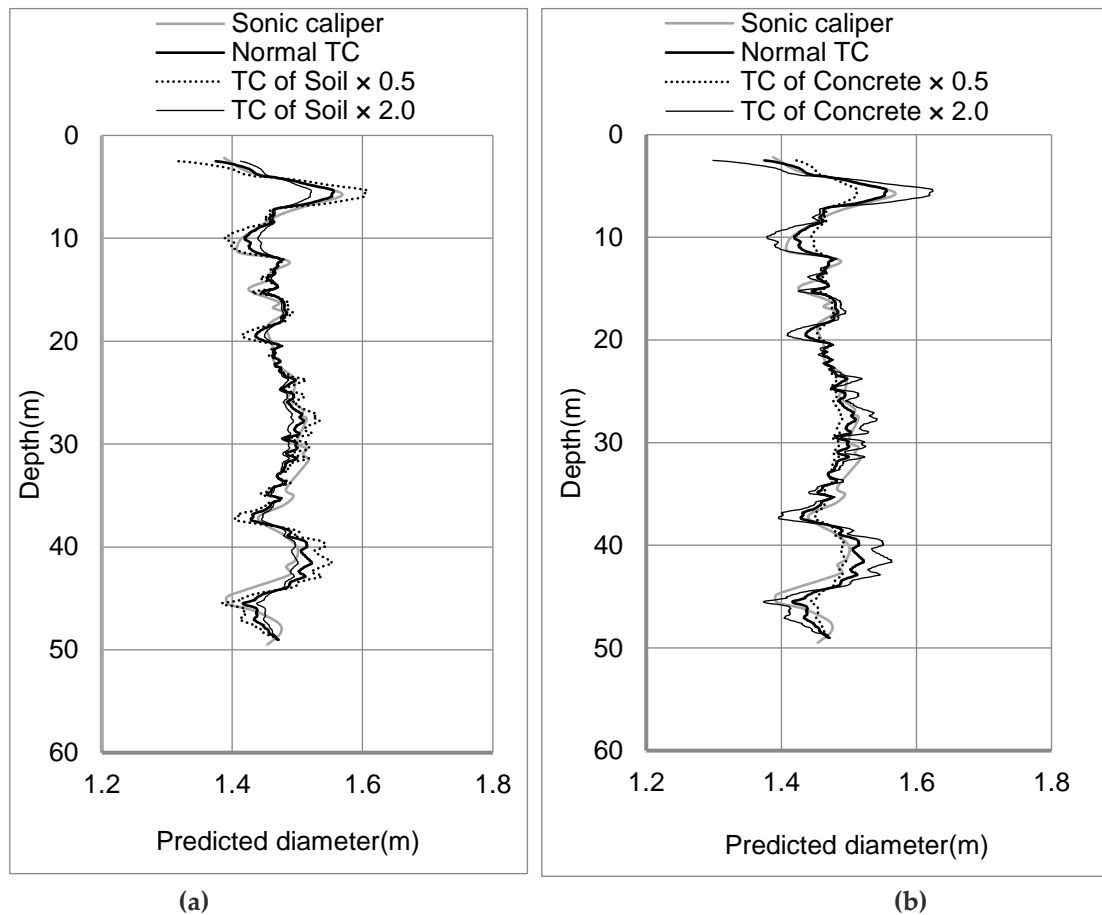
**Table 5.** Parameters of hydration model for parametric study

Case	Qmax (J/g)	Qmax20(J/gh)	a	b	c	E (kJ/mol)
------	------------	--------------	---	---	---	---------------

TC of Soil $\times 0.5$	30	1.2	0.8	3.0	2.6	33.5
TC of Soil $\times 2$	40	0.8	0.6	3.0	2.6	33.5
TC of Concrete $\times 0.5$	32	0.9	0.667	3.0	2.6	33.5
TC of Concrete $\times 2$	38	1.1	0.667	3.0	2.6	33.5

499 In the first parametric study, the thermal conductivity of the soil is varied. As shown in Figure  
 500 14(a). By decreasing the soil thermal conductivity, the profile of the estimated pile diameter deviates  
 501 more compared to that estimated from the original data set. Lower thermal conductivity of soil  
 502 indicates slower heat transfer between the pile and soil, and hence reduce the effects of pile integrity  
 503 on the diffusion of hydration heat in this problem. Instead, the whole pile heats up with a more  
 504 uniform temperature distribution along depth. Hence, with the assumed larger fluctuation in pile  
 505 diameter (the black dot line in Figure 14(a)), the DFOS still shows the same temperature variation  
 506 with the other two cases with larger soil thermal conductivity. For this reason, it can be concluded  
 507 that the temperature cable is less sensitive to the change of concrete cover if the thermal conductivity  
 508 of soil is lower.

509 In the second series of parametric study, the thermal conductivity of the pile is varied. As shown  
 510 in Figure 14(b), the effect of pile thermal conductivity is large when analysing the thermal integrity  
 511 testing data. When concrete has relatively large thermal conductivity, the distribution of temperature  
 512 within the pile is more uniform and hence DFOS temperature is less sensitive to the change in  
 513 concrete cover. Therefore, increased thermal conductivity of concrete leads to a larger variety in the  
 514 pile radius to match the same temperature profile from the in-situ test.



515  
516

517 **Figure 14.** Changes in pile diameter with variation of: (a) thermal conductivity of soil; (b) thermal  
 518 conductivity of concrete pile

## 519 5. Conclusion

520 The need for better integrity testing methods in bored concrete pile construction is evident from  
 521 a review of literature and industry practice. Thermal integrity testing, which uses the heat generated

522 by hydrating concrete as a measure of integrity, is a promising relatively new technique. This paper  
523 proposes the use of DFOS, or other distributed fibre optics method such as DTS, and a simple  
524 numerical model as an alternative approach to assess the integrity of the concrete cover of concrete  
525 elements. The proposed method was tested on a long pile in the field. The following conclusions are  
526 derived:

- 527 1. DFOS captures early thermal data very well and is capable of being a standalone system in future  
528 installations. The use of temperature as measures of pile integrity shows potential to localise  
529 anomalies.
- 530 2. The advantages of using DFOS to investigate integrity over other techniques are (i) its capacity  
531 to provides a high spatial density of data and (ii) its ease of installation and (iii) a very low sensor  
532 failure rate.
- 533 3. Finite element back analysis is able to quantify the relationship between temperature curve and  
534 pile radius. The predicted average pile diameter profile is shown to be close to that obtained  
535 with the conventional TIP results, providing some validity to the proposed thermal integrity  
536 testing and data interpreting method.
- 537 4. The selection of thermal conductivity values for concrete and soil can have large effects on  
538 evaluating pile profile from DFOS-based temperature curve data. It is therefore necessary to  
539 have a good dataset of thermal properties for both concrete and soil in order to ensure the  
540 proposed thermal integrity testing method produces good estimate of pile geometry.

541

#### 542 **Acknowledgement**

543 The authors thank the EPSRC and Innovate UK for funding this research through the  
544 Cambridge Centre for Smart Infrastructure and Construction (CSIC) Innovation and  
545 Knowledge Centre (EPSRC grand reference number EP/L010917/1). The authors also  
546 acknowledge the assistance of Vivien Kwan, Purnawarman Musa, Yue Ouyang and Peter  
547 Knott.

548

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