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4	Running head: Cortical complexity from fractal dimensionality
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8	Cortical complexity as a measure of age-related brain atrophy
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# Abstract

27	The structure of the human brain changes in a variety of ways as we age. While a sizeable
28	literature has examined age-related differences in cortical thickness, and to a lesser
29	degree, gyrification, here we examined differences in cortical complexity, as indexed by
30	fractal dimensionality in a sample of over 400 individuals across the adult lifespan. While
31	prior studies have shown differences in fractal dimensionality between patient
32	populations and age-matched, healthy controls, it is unclear how well this measure would
33	relate to age-related cortical atrophy. Initially computing a single measure for the entire
34	cortical ribbon, i.e., unparcellated gray matter, we found fractal dimensionality to be
35	more sensitive to age-related differences than either cortical thickness or gyrification
36	index. We additionally observed regional differences in age-related atrophy between the
37	three measures, suggesting that they may index distinct differences in cortical structure.
38	We also provide a freely available MATLAB toolbox for calculating fractal
39	dimensionality.
40	

41 Keywords:

42 cortical structure; fractal dimensionality; age; atrophy; cortical thickness; gyrification

43

#### Introduction

As we age, the structure of our brain changes in numerous ways, ranging from vascularization to 44 45 cellular (Kemper, 1994; Raz & Rodrigue, 2006; Wiśniewksi & Terry, 1973). Age-related brain 46 atrophy can be readily measured in vivo using magnetic resonance imaging (MRI). Many earlier 47 studies have observed age-related differences in gray matter volume (e.g., Coffey et al., 1992; Ge 48 et al., 2002; Jernigan et al., 1991; Passe et al., 1997; Raz et al., 1997; Resnick et al., 2000, 2003; 49 Steiner et al., 1985). However, more recent studies have demonstrated that, in cortical regions, 50 inter-individual differences in grav matter volume are more closely related to differences in 51 cortical thickness, rather than surface area (Barnes et al., 2010; Hutton et al., 2009; McKay et al., 52 2014; Storsve et al., 2014; Winkler et al., 2010). Converging with this, differences in cortical 53 thickness have been shown to be related to aging, while inter-individual differences in surface area have been more strongly influenced by sex differences (Barnes et al., 2010; Fjell et al., 54 55 2009a, 2009b; Herron et al., 2015; Hogstrom et al., 2013; Hutton et al., 2009; McKay et al., 56 2014; Salat et al., 2004; Sowell et al., 2007; Storve et al., 2014; Thambisetty et al., 2010). These 57 studies make clear that different metrics of gray matter will have different sensitivities in 58 detecting age-related differences. With the increased focus on relatively short-term longitudinal 59 studies (e.g., to assess the effects of behavioural interventions, such as exercise and meditation, 60 on brain morphology; see Hayes et al., 2014; Tang et al., 2015), it is useful to have additional 61 metrics of cortical structure that are sensitive to age-related differences. 62 Here we considered how age affects cortical structure by using both cortical thickness

and another metric, cortical complexity, measured using calculations originally designed to
quantify the structure of fractals. Prior studies have demonstrated that cortical complexity is
related to cognitive performance (Im et al., 2006; Mustafa et al., 2012; Sandu et al., 2014) and
differs between several neurological patient populations relative to healthy controls (e.g.,

67 Alzheimer's disease: King et al., 2009, 2010; schizophrenia: Sandu et al., 2008; Nenadic et al., 68 2014; Yotter et al., 2011; multiple sclerosis: Esteban et al., 2009; frontal lobe epilepsy: Cook et 69 al., 1995; multiple system atrophy: Wu et al., 2010; William's syndrome: Thompson et al., 70 2005). Here we investigated age-related differences in fractal dimensionality of the cortical 71 ribbon and parcellated regions of cortex in a large sample of adults across the lifespan, using 72 structural images obtained from an open-access dataset. To conduct these analyses, we 73 developed a MATLAB toolbox designed to use intermediate files produced in a standard 74 FreeSurfer analysis, which we now freely distribute (see Supplemental Materials). 75 Complex natural structures can be difficult to quantify. While fractal dimensionality 76 analyses were initially developed for use with fractals, they were found to be useful in 77 quantifying the complexity of 'natural' structures, such as the complexity of continental 78 coastlines (Mandelbrot, 1967). Fractal dimensionality analyses have been shown to be useful in 79 quantifying the natural complexity of the brain across multiple scales, ranging from molecular to 80 whole brain (see Di Ieva et al., 2014, 2015, for comprehensive discussions). In these MRI 81 studies, researchers specifically sought to use fractal dimensionality analyses to quantify the 82 convolutional properties of the cortex (Cook et al., 1995; Free et al., 1996; Kiselev et al., 2003; Luders et al., 2004; Thompson et al., 1996). Recent studies have used fractal dimensionality to 83 84 assess age-related differences in white matter morphology (Farahibozorg et al., 2015; Zhang et 85 al., 2007). Im et al. (2006) found that whole-brain mean cortical thickness and fractal dimensionality shared approximately 50% of the variance (i.e.,  $r^2$ ; also see King et al., 2010). 86 87 suggesting that fractal dimensionality may relate to age-related brain atrophy, but also may be 88 sensitive to other differences in gray matter structure that are not indexed by cortical thickness. 89 Prior research has demonstrated that in addition to cortical thickness, fractal 90 dimensionality co-varies with gyrification (King et al., 2009, 2010). As such, we additionally

91 examined age-related differences in gyrification index as a comparison. Briefly, the gyrification 92 index measures the amount of cortical folding in a region of the brain. Gyrification index is 93 calculated by estimating a smooth surface contour that wraps around the pial surface, where the 94 gyrification index is the ratio of a regional surface area for the pial surface to this smoothed outer surface (i.e., a convex hull; for an illustration, see Figure 3 of Mietchen & Gaser, 2009, or Figure 95 2 of Toro et al., 2008; also see Kochunov et al., 2012). Though age-related differences in 96 97 gyrification have not been studied as extensively as those in relation to cortical thickness, 98 Hogstrom et al. (2013) found clear evidence for age-related reductions in gyrification (also see 99 Rogers et al., 2010), and that these differences were not correlated with decreases in cortical 100 thickness, which they also observed. Thus, one of our aims was also to examine the relationship 101 between fractal dimensionality, cortical thickness, and gyrification index, within a large sample 102 of healthy adults across the lifespan.

103 Here we examined age-related differences in whole-brain and lobe-wise estimates of 104 cortical complexity, as indexed by fractal dimensionality, in a sample of over 400 individuals 105 across the adult lifespan. These results were compared with similar analyses testing for age-106 related differences in cortical thickness and gyrification index, as well as the relationship 107 between these more established measures and fractal dimensionality. Finally, we used a 108 multivariate regression approach to directly compare these different measures of cortical 109 morphology, and used regression models that included predictors from each of the three 110 measures. We found fractal dimensionality to be more sensitive to age-related differences than 111 either thickness or gyrification; we also observed regional differences in age-related atrophy 112 depending on which cortical measure was used, suggesting that each measure may index distinct 113 differences in cortical structure. We also provide a freely available MATLAB toolbox for

114 calculating fractal dimensionality, using intermediate files generated as part of the standard

115 FreeSurfer analysis pipeline, and present benchmark analysis demonstrating its functionality.

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117

#### Procedure

118 Dataset

119 All MRI data was drawn from the IXI ("Information eXtraction from Images") dataset, a 120 collection of structural MRIs from 581 healthy adults across the lifespan (20-86 years old). The 121 IXI dataset was collected in 2005-2006 from three sites in the UK (each with a different scanner 122 system) and includes T<sub>1</sub>, T<sub>2</sub>, DTI, PD, and MRA images. Here we only used the T<sub>1</sub>-weighted 123 structural images (apart from when calculating intracranial volume). The dataset is freely 124 available from: http://brain-development.org/ixi-dataset/. The IXI dataset has been used in numerous studies investigating structural properties of the brain and related differences due to 125 126 healthy aging (e.g., Ardekani & Bachman, 2009; Franke et al., 2010; Ganzetti et al., 2014; 127 Koutsouleris et al., 2014; Robinson et al., 2010; Ziegler et al., 2012). Unfortunately, the criteria 128 used to assess that these individuals were healthy adults without any neurological or psychiatric 129 disorders is not provided.

Of these 581 adults for which there was imaging data in the IXI dataset, the analyses reported here are based on a sample of 427 individuals. Individuals were excluded based on three criteria: age not available (N=18), or if the gyrification index analyses failed to determine a suitable convex-hull surface for at least one hemisphere (N=6), or if the surface reconstruction failed visual inspection<sup>1</sup> (an additional N=130). The full list of IDs for the individuals included in

<sup>1</sup> These surface reconstruction errors are likely related to the images having insufficient signal intensity to differentiate gray matter from surrounding tissue and CSF, a problem that has been shown to be related to age (Salat et al., 2009). FD estimates would likely have been under-

the analyses are listed in the appendix. Examples of surfaces that failed the visual inspection areshown in Figure A3.

137 Demographics (for the individuals that were included in the analyses) and scan 138 parameters for the data from each of the sites are as follows. From the Guy's Hospital sample 139 (Philips 1.5T), data was used from 251 individuals (147 female), with ages ranging from 20-86. 140 Scan parameters for the  $T_1$  volumes were: TR: 9.8 ms; TE: 4.6 ms; phase encoding steps: 192; 141 echo train length: 0; reconstruction diameter: 240 mm; flip angle: 8°. From the Hammersmith 142 Hospital sample (Philips 3T), data was used from 129 individuals (81 female), with ages from 143 20-81. Scan parameters for the T<sub>1</sub> volumes were: TR: 9.6 ms; TE: 4.6 ms; phase encoding steps: 144 208; echo train length: 208; reconstruction diameter: 240 mm; flip angle: 8°. From the Institute 145 of Psychiatry sample (General Electric 1.5T), data was used from 47 individuals (32 female), 146 with ages from 21-78. Scan parameters for the volumes collected at this site are not available.

147

#### 148 Preprocessing of the Structural Data

Prior to the fractal dimensionality analyses, the structural MRIs for all 581 scan volumes was
processed using FreeSurfer 5.3.0 on a machine running CentOS 6.6 (Fischl, 2012; Fischl & Dale,
2000; Fischl et al., 2002). FreeSurfer's standard pipeline was used (i.e., recon-all) and no
manual edits were made to the surface models As is typically done, gray matter was defined by
segmenting the anatomical volume to determine the white matter surface (white-gray interface)
and the pial surface (gray-cerebrospinal fluid [CSF] interface).

- 155 Gyrification index was calculated using FreeSurfer, as described in Schaer et al. (2012).
- 156 Briefly, gyrification index is calculated by estimating a smooth surface contour that wraps estimated for these individuals, and would have potentially led to over-estimation of age-related differences in FD.

around the pial surface, where the gyrification index is the ratio of a regional surface area for thepial surface to this smoothed outer surface (i.e., a convex hull).

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#### 160 Calculating Fractal Dimensionality

In fractal geometry, several approaches have been proposed to quantify the 'dimensionality' or
complexity of a fractal. The approach here calculates the Minkowski–Bouligand dimension,
which in most cases is also equivalent to the Hausdorff dimension (see Mandelbrot, 1967).
Several algorithms have been proposed for calculating this dimensionality measure (see
Fernandez & Jelinek, 2001), two of which have been implemented in the toolbox we developed

166 for these analyses: the box-counting algorithm and the dilation algorithm.

168 considering the 3D structure within a fixed grid, and counting how many grid 'boxes' (i.e.,

The box-counting algorithm (Caserta et al., 1995; Mandelbrot, 1982) involves

voxels) contain portions of the surface of the structure (Figure A2). The size of the grid is then

increased, and the number of filled boxes is counted again. By using multiple box sizes and

171 obtaining their respective counts, a relationship can be determined, which is related to the

complexity of the structure. These two values will follow a power-law relationship, and the
exponent will relate to the structure's complexity, as illustrated in Figures 1 and 2B. Re-plotting

the box size and related counts in log-log space and taking the additive inverse of the slope

175 produces the fractal dimensionality of the structure. Thus, the corresponding equation is:

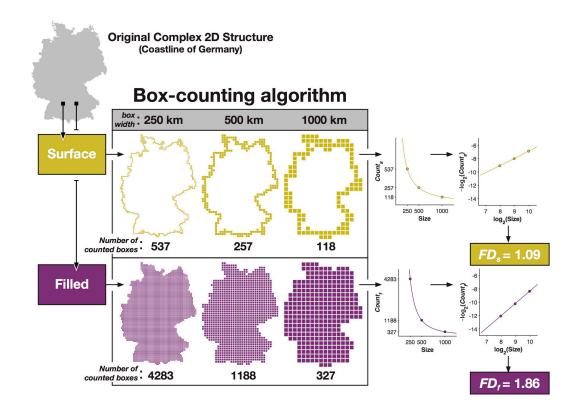
$$FD_f = -\frac{\Delta log_2(\text{Count})}{\Delta log_2(\text{Size})}$$

176

177 Note, the box-counting method is similar to the line-segment method originally proposed to178 describe the complexity of intricate two-dimensional shapes (coastlines) (see Mandelbrot, 1967).

179 In Figure 1 we illustrate the procedure for calculating the fractal dimensionality of a 180 complex 2D structure, here the coastline of Germany. Using the box-counting method, we 181 determined the number of boxes that would fit the edge ('surface') of the structure using various sizes of boxes. Plotting the relationship between the number of counted boxes and the size of the 182 183 boxes follows a power-law relationship, but re-plotting the values in log-log space yields a 184 straight line. The slope of this line is the fractal dimensionality of the structure. Figure 1 shows 185 that this procedure can be used for either the edge/'surface' of the complex structure, which we 186 refer to as  $FD_s$ , or can be calculated including the 'filled' space within the structure, which we 187 refer to as  $FD_{f}$ .

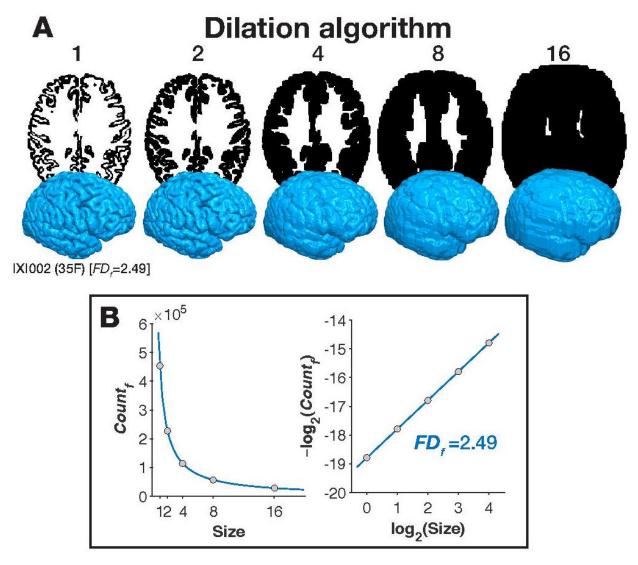
188



189

190 Figure 1. Illustration of how fractal dimensionality is measured from a 2D structure.

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Figure 2. Illustration of how fractal dimensionality is measured from a 3D structure. Panel A shows the filled boxes that are counted at each box size (corresponding to  $FD_f$ ), shown as axial slices from the middle of the brain and as 3D surface volumes, for the dilation algorithm. Panel B plots the number of counted, filled boxes at each box size (left), and re-plotted in log-log space. The fractal dimensionality is the slope of the line in log-log space. All brain images are shown from IXI002, 35 year-old female, from the IXI dataset. 3D surfaces are rendered using the pipeline described in Madan (2015).

200

201 Most prior studies of cortical complexity have used the box-counting algorithm (e.g., Im 202 et al., 2006; King et al., 2009, 2010; Thompson et al., 1996). Here we also implemented the 203 dilation algorithm, where each box/voxel is replaced with a cube of a given box size (i.e.,

204 'dilated'). This was implemented using a 3D-convolution operation (convn in MATLAB).

Although prior studies have implemented dilation using spheres (e.g., Fernandez & Jelinek, 205 206 2001; Free et al., 1996), we used a cube here as this makes the dilation algorithm a more precise 207 version of the box-counting algorithm. Specifically, whereas the box-counting algorithm usually uses a fixed grid scan to count if the boxes are filled or not, using the dilation algorithm with a 208 209 cube is functionally identical to computing the box-counting algorithm using a sliding grid scan 210 (i.e., if the grid was shifted in alignment with the structure, and the average of all shifted counts 211 was taken, see Figure 2A). While a sliding grid space has been used previously (e.g., Goñi et al., 212 2013), the 3D-convolution operation but can be calculated much faster as it is less 213 computationally demanding.

214 Here we used box sizes (in mm) corresponding to powers of 2 (e.g., de Souza & Pires Rostirolla, 2011; Fernandez & Jelinek, 2001; Hou et al., 1990), ranging from 0 to 4 (i.e.,  $2^k [k =$ 215 (0, 1, 2, 3, 4] = 1, 2, 4, 8, 16 mm). For illustrative purposes, Figures 2 and A2 show the steps for 216 217 each of the algorithms for the first participant in the IXI dataset, where the filled volume is 218 counted  $(FD_f)$ , rather than just the surface (described further below). Figure 2A shows axial 219 slices from the middle of the brain (i.e., the middle slice in native space), corresponding to the 220 dilation algorithm at the box sizes we considered here. The 3D volumes corresponding to each 221 level box size are also shown in Figure 2A. As described earlier, FD is calculated based on the 222 number of boxes (voxels) that are filled at each box size. As shown in the left panel of Figure 223 2B, as box size increases, this value decreases as volume of each box can contain more of the 224 structure. After taking the log of both the box size and counting the boxes filled, we obtain the 225 fractal dimensionality.

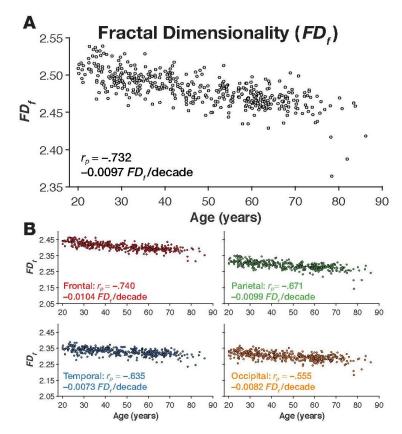
226	To ensure that our obtained fractal dimensionality values were valid, we computed the
227	dimensionality of a set of benchmark volumes, i.e., simulated phantoms. The details of these
228	benchmark analyses are reported in the Appendix. In these analyses we also found that the
229	dilation algorithm yielded slightly more robust fractal dimensionality values; thus, all of the
230	fractal dimensionality results reported here were calculated using the dilation algorithm.
231	
232	Relationship with Intracranial Volume
233	Mathematically, fractal dimensionality (FD) is scale-invariant and should not be related to
234	intracranial volume (ICV); it is possible, however, that biological constraints may cause FD and
235	ICV to be correlated, e.g., smaller ICV space results in a relative increase in cortical complexity.
236	Here we sought to determine if FD is correlated with ICV, such that we can appropriately control
237	for this relationship, if it exists. We estimated ICV using FreeSurfer (Buckner et al., 2004),
238	which has been shown to correspond well with manual tracing (Sargolzaei et al., 2015). ICV was
239	only weakly related to age differences [ $r(416) =190$ , $p < .001$ ], though was found to be
240	correlated with sex [ $r(416) =572, p < .001$ ].
241	Analyses indicated that ICV correlated only weakly with either measure of fractal
242	dimensionality of the cortical ribbon [ICV $\leftrightarrow$ FD <sub>s</sub> : r(425) =.213, p<.001; ICV $\leftrightarrow$ FD <sub>f</sub> : r(425)
243	=.178, $p$ <.001]. These relationships were not affected by additionally controlling for effects of
244	sex and site [ICV $\leftrightarrow$ FD <sub>s</sub> : $r_p(420) = .194$ , $p < .001$ ; ICV $\leftrightarrow$ FD <sub>f</sub> : $r_p(420) = .167$ , $p < .001$ ]. As such, it
245	does not appear that ICV and FD are meaningfully related.
246	

247 Data Analysis

248 Previous studies have observed sex differences in cortical thickness (e.g., Herron et al., 2015;

Sowell et al., 2007) and fractal dimensionality (Luders et al., 2004), but not gyrification

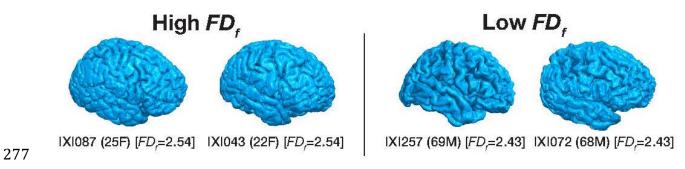
250	(Hogstrom et al., 2013). Additionally, it is likely that scanning the same individual at a different
251	scanner site would yield differences in estimates of brain morphology (e.g., see Dickerson et al.,
252	2008; Han et al., 2006; Iscan et al., 2015; Jovicich et al., 2013). As such, all of the correlations
253	reported were conducted as partial correlations, controlling for effects of sex and site.
254	
255	Results
256	Cortical Ribbon
257	We first examined correlations between the individuals' age and the complexity of the cortical
258	ribbon, i.e., unparcellated gray matter. In FreeSurfer, the cortical ribbon is output as an
259	intermediate file during the analyses (ribbon.mgz).
260	
261	Cortical complexity. As shown in Figure 3A, cortical complexity, as quantified as the fractal
262	dimensionality of the filled volume ( $FD_f$ ) robustly decreased as a function of age [age $\leftrightarrow$ FD <sub>f</sub> :
263	$r_p(425) =732, p < .001$ ]. Convergent with prior findings (King et al., 2010), the relationship was
264	weaker when we instead used the fractal dimensionality of the surface $(FD_s)$ [age $\leftrightarrow$ FD <sub>s</sub> : $r_p(425)$
265	= $719$ , $p < .001$ ]. Nonetheless, the two fractal dimensionality measures were highly correlated
266	$[FD_f \leftrightarrow FD_s: r_p(425) = .982, p < .001]$ . Figure 4 shows the cortical surface for individuals with the
267	high and low $FD_f$ values. By comparing these sets of cortical surfaces, it is qualitatively
268	observable that these differ in cortical complexity. The surfaces for these individuals are
269	viewable in an online interactive viewer at: <u>http://brain3d.cmadan.com/IXI-FD/</u> .
270	



271

Figure 3. Fractal dimensionality  $(FD_f)$  for the individuals in the IXI dataset. Panel A shows the scatter plot of age and  $FD_f$  for the cortical ribbon, along with the correlation and slope. Scatter plots of age and  $FD_f$  for each lobe, are shown in panel B, along with the respective correlations and slopes.

276



- Figure 4. Cortical surfaces for individuals with high and low  $FD_f$  values, along with their
- 279 **demographic information.** Surfaces for these individuals also viewable in an online interactive
- 280 viewer at: http://brain3d.cmadan.com/IXI-FD/.

281

282 Other cortical measures. For comparison, we calculated the relationship between whole-brain 283 mean cortical thickness and gyrification index. Cortical thickness estimates were calculated as 284 the average of the distance from the white matter surface to the closest possible point on the pial 285 surface, as calculated using the standard FreeSurfer pipeline. Using the output from FreeSurfer 286 for each hemisphere, we averaged the mean cortical thickness for each hemisphere as a weighted 287 average, accounting for hemispheric differences in surface area, yielding an estimate of whole-288 brain mean cortical thickness; a similar procedure was used to estimate whole-brain gyrification 289 index.

As expected, both whole-brain mean cortical thickness and gyrification index decreased with age [age $\leftrightarrow$ CT:  $r_p(425) = -.603$ , p<.001; age $\leftrightarrow$ GI:  $r_p(425) = -.494$ , p<.001] (Figures 5A and 6A), however, both of these relationships were qualitatively weaker than that found with fractal dimensionality of the filled volume. Nonetheless, cortical thickness and gyrification index were only weakly with each other, suggesting that the two cortical measures quantified unique sources of inter-individual variability [CT $\leftrightarrow$ GI:  $r_p(425) = .228$ , p<.001].

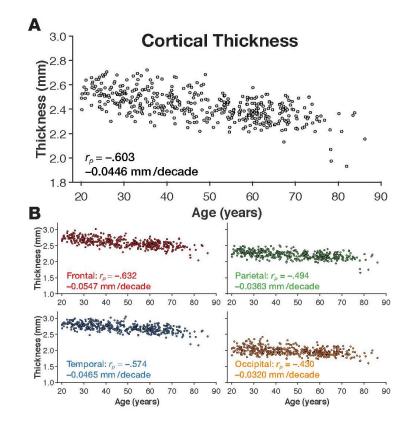
296 Next, we quantitatively evaluated how the two extant measures related to fractal 297 dimensionality. While mean cortical thickness was strongly correlated with both measures of 298 fractal dimensionality, it was more strongly correlated with the fractal dimensionality of the 299 filled volume than of the surface  $[CT \leftrightarrow FD_f: r_p(425) = .865, p < .001; CT \leftrightarrow FD_s: r_p(425) = .783,$ 300 p < .001]. Conceptually, the main difference between the two measures of fractal dimensionality 301 is that  $FD_f$  more directly incorporates the volume of the gray matter, suggesting that  $FD_f$  captures 302 more of the inter-individual variability in cortical volume and thickness than FD<sub>s</sub>. To test this 303 relationship further, we tested if  $FD_f$  captured age-related variability above that explained by 304 mean cortical thickness, and vice versa. Using partial correlations, we found that  $FD_f$ 

305 significantly decreased with age, even after accounting for mean cortical thickness  $[r_p(424) = -$ 

306 .525, p < .001]. Mean cortical thickness did not decrease with age, above what could be explained

307 by  $FD_f[r_p(425) = .087, p=.075]$ . However, despite both partial correlations being significant,

- 308 these results suggest that  $FD_f$  is a more sensitive quantitative measure of age-related brain
- 309 atrophy than whole-brain mean cortical thickness.



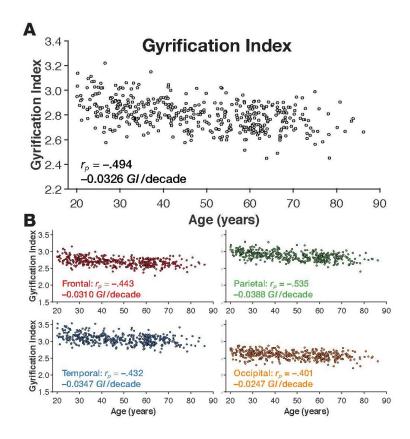
310

Figure 5. Mean cortical thickness for the individuals in the IXI dataset. Panel A shows the
scatter plot of age and whole-brain mean cortical thickness, along with the correlation and slope.
Scatter plots of age and mean cortical thickness for each lobe, are shown in panel B, along with
the respective correlations and slopes.

315

316 Gyrification index was strongly correlated with both measures of fractal dimensionality 317  $[GI \leftrightarrow FD_f: r_p(425) = .626, p < .001; GI \leftrightarrow FD_s: r_p(425) = .702, p < .001]$ . Using partial correlations, 318 we found that  $FD_f$  was still strongly correlated with age, even after accounting for the 319 gyrification index  $[r_p(424) = -.623, p < .001]$ . In contrast, gyrification index was not correlated 320 with age, above what could be explained by  $FD_f[r_p(424) = -.066, p = .17]$ . Thus, whole-brain 321 fractal dimensionality appears to better quantify age-related cortical atrophy than either whole-322 brain cortical thickness or gyrification index.

323



324

Figure 6. Gyrification index for the individuals in the IXI dataset. Panel A shows the scatter plot of age and whole-brain gyrification index, along with the correlation and slope. Scatter plots of age and mean gyrification index for each lobe, are shown in panel B, along with the respective correlations and slopes.

329

Comparing our results with those in the extant literature, in a sample of 70 individuals
(35 Alzheimer's patients and 35 age-matched healthy controls), King et al. (2010) found the
correlations between fractal dimensionality of the cortical ribbon (i.e., filled volume) and cortical

333 thickness and gyrification index to be r=.832 and r=.555, respectively. In a sample of over 400 334 healthy adults across the lifespan, here we found these same correlations for cortical thickness 335 and gyrification index to be  $r_p$ =.863 and  $r_p$ =.626, respectively. Thus, our calculations relating 336 fractal dimensionality to other cortical measures appear to be in-line with prior findings, but also 337 demonstrate that fractal dimensionality is more sensitive to age-related differences in brain 338 morphology than either cortical thickness or gyrification index. The relatively weak correlation 339 between thickness and gyrification also corresponds well to King et al.'s results, r=.184, whereas 340 we found this relationship to be  $r_p$ =.228.

341

342 Regional Complexity

343 It is well known that age-related cortical atrophy, as measured by cortical thickness, does not 344 occur homogenously across the cortical surface. Recent cross-sectional and longitudinal studies 345 that investigated age-related differences in cortical thickness have found that the two lobes most affected are the frontal and temporal lobes, while the occipital lobe is the least affected (e.g., 346 347 Fjell et al., 2009a, 2009b; Hogstrom et al., 2013; Hutton et al., 2009; Salat et al., 2004; Sowell et al., 2003)<sup>2</sup>. Yet, the regional heterogeneity in age-related differences may vary depending on the 348 349 metric used. For instance, Hogstrom et al. (2013) found that while frontal and temporal lobes 350 were most correlated with age when cortical thickness was measured, the parietal lobe was most 351 correlated with age when gyrification index was used. Here, we compared the effect of age on 352 cortical complexity, cortical thickness, and gyrification index for each lobe.

353

<sup>&</sup>lt;sup>2</sup> However, some longitudinal studies suggest that the frontal and parietal lobes are the most affected by aging (e.g., Crivello et al., 2014; Resneck et al., 2003; Thambisetty et al., 2010).

354 **Cortical complexity.** We calculated the fractal dimensionality of parcellations of gray matter 355 corresponding to each lobe. This was done by using the Desteriux et al. (2010) parcellation 356 protocol, built into the standard FreeSurfer pipeline (aparc.a2009s+aseg.mgz), where each 357 of the 148 parcellated regions were dummy-coded by lobe. The provided MATLAB toolbox is 358 designed to group together parcellated regions assigned the same dummy-coded label into a binarized volume prior to calculating the fractal dimensionality. As FD<sub>f</sub> estimates for each lobe 359 360 were highly correlated across hemispheres [frontal: r(425) = .971, p < .001; parietal: r(425) = .913, 361 p < .001; temporal: r(425) = .903, p < .001; occipital: r(425) = .877, p < .001], here we used bilateral 362 structures for each lobe in subsequent analyses. As shown in Figure 3B, we found age-related 363 decreases in fractal dimensionality to be highest in the frontal lobe  $[r_p(420) = -.740, p < .001]$ , followed by the parietal lobe  $[r_p(420) = -.671, p < .001]$ , while the temporal lobe was the least 364 365 associated with age-related differences  $[r_p(420) = -.555, p < .001]$ .

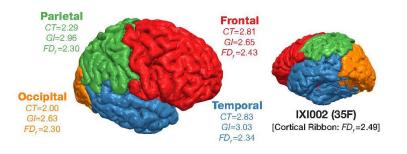
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367 **Other cortical measures.** It was surprising that we found the temporal lobe to be least affected 368 by age-related differences, as measured using fractal dimensionality analyses. However, this 369 discrepancy could be due to the use of a different measure of age atrophy, rather than cortical 370 thickness, or it could be because the individuals in the IXI dataset exhibited less temporal 371 atrophy than is usually found. To distinguish between these two possibilities, we also calculated 372 the mean cortical thickness for each lobe, and similarly correlated each of these sets of values 373 with the individuals' age. As shown in Figure 5B, differences in cortical thickness were most pronounced in the frontal lobe  $[r_p(420) = -.634, p < .001]$ , followed by the temporal lobe  $[r_p(420)]$ 374 375 = -.574, p < .001].

As shown in Figure 6B, we additionally calculated the gyrification index for each lobe and found age-related differences to be greatest in the parietal lobe [ $r_p(420) = -.535$ , p < .001], and relatively comparable in the frontal and temporal lobes [frontal:  $r_p(420) = -.443$ , p < .001;

temporal:  $r_p(420) = -.432$ , p < .001]. Thus, lobe gyrification correlated more weakly with age than cortical thickness, and was most pronounced in a different lobe. These results are consistent with prior findings. Hogstrom et al. (2013) similarly found weaker correlations with gyrification index than cortical thickness and found a similar pattern in terms of regional specificity. To provide further insight into these three measures, Figure 7 shows an example cortical surface along with the cortical morphology measures associated with each lobe.

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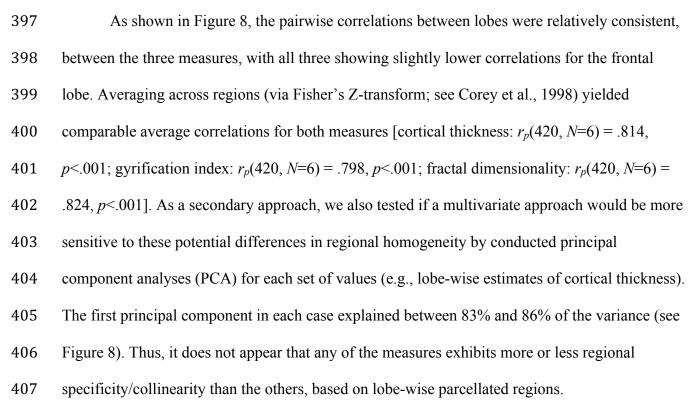


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Figure 7. Cortical surface for participant IXI002 from the IXI dataset, colored by lobe
parcellation, along with cortical surface measures.

389

Regional heterogeneity. Given these different patterns of correlations between lobe-wise
estimates of each cortical morphology measure and age, we sought to examine differences in
how these lobe-wise estimates may correlate. For instance, if inter-individual differences in
fractal dimensionality were more homogenous, i.e., more collinear, across the cortex relative to
regional variability in cortical thickness. To assess this, we computed the pairwise correlations
between all of the lobes using each of our three measures. Figure 8 reports these lobe-wise
correlation matrices (i.e., corrgram; Friendly, 2002).



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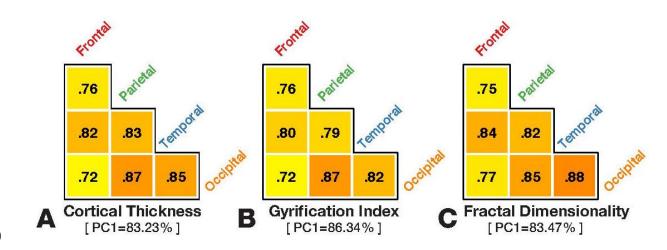




Figure 8. Lobe-wise homogeneity in cortical structure, as measured using cortical
thickness, gyrification index, and fractal dimensionality (*FD<sub>f</sub>*). Triangular grids show pairwise correlations across lobes. Below each grid is the variance explained by the first principal

414 component for each cortical measure.

#### 416 *Multivariate relationship with age*

417 These differences between regional cortical thickness, gyrification, and complexity suggest that 418 fractal dimensionality analyses may quantify a different aspect of age-related differences in brain 419 structure, rather than being merely a co-varying metric. To test this, we conducted a set of 420 regression models, all with the dependant variable of age (controlling for effects of sex and site), 421 using different sets of predictors related to cortical thickness, gyrification index, and fractal 422 dimensionality  $(FD_{f})$ . Here we report the amount of variability in age explained by each set of predictors (i.e.,  $R^2$ ). Furthermore, we formally compare the fitness of the models using the 423 424 Bayesian Information Criterion (BIC), which evaluates model fitness while penalizing models 425 for having more parameters. As a rule of thumb, if the difference between BIC for two model fits 426 is less than two, neither of the models' fit to the data is significantly better (Burnham & 427 Anderson, 2002, 2004). As absolute *BIC* values themselves are arbitrary, we subtract the *BIC* 428 value for the best model considered from all *BIC* values and report  $\triangle BIC$  for each of the models, 429 as is common practice. As a result, the best model considered is  $\Delta BIC=0.00$  by definition. All of 430 the models are listed in Table 1.

431 In the first three models, we input whole-brain cortical thickness, gyrification index, or 432 fractal dimensionality as the predictors, respectively. These three models directly correspond to 433 the correlations shown in Figures 3A, 5A, and 6A. In the fourth model, we used all three— 434 whole-brain estimates of cortical thickness, gyrification index, and fractal dimensionality-as 435 predictors to further test if there is independent variance explained by each metric, even after 436 penalizing for the additional degree of freedom in the model. We found that whole-brain fractal dimensionality explained more variance (i.e.,  $R^2$ ) than the other two single predictor models 437 438 [FD<sub>f</sub>: 51.7%; CT: 33.5%; GI: 20.6%]. Combining the three measures of cortical structure led to a 439 slight increase in the amount of variability explained [51.7%]; however this increase did not

440 produce a significantly better fit relative to its use of an additional parameter (i.e.,  $\Delta BIC$  between 441 the lowest two models was greater than two).

In the next set of models, we first used lobe-wise measures of cortical thickness, 442 443 gyrification index, or fractal dimensionality, respectively (models 5-7). In the eighth model, we considered lobe-wise predictors for all three measures, yielding a total of twelve predictors. 444 Again we found that the fractal dimensionality explained more of the variance in age than the 445 446 other two measures, though there was still an additional benefit of combining all three measures. 447 The lobe-wise regional estimates of fractal dimensionality also provided a small but significant 448 improvement in predictive value relative to the whole-brain estimate (i.e., comparing models 7 449 and 3). 450 Many studies have found that age-related differences in cortical thickness are not linearly 451 related to age; often a quadratic term is additionally included in the regression model (e.g., 452 Crivello et al., 2014; Hogstrom et al., 2013; McKay et al., 2014; Sowell et al., 2003; Thambisetty 453 et al., 2010; Walhovd et al., 2011), however, interpreting the beta coefficients must be done with 454 caution (see Fjell et al., 2010). Hogstrom et al. (2013) also found significant quadratic

relationships between age and gyrification index, suggesting that including these non-linear

above eight models, incorporating both linear and quadratic terms for each of the included

effects would be beneficial to include in our regression models here. To this end, we re-ran the

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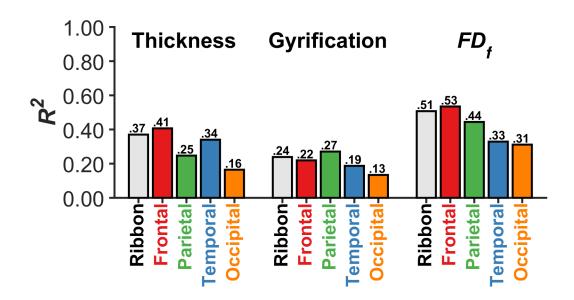
predictors.

Model	Model Parameters			Model Fitness		
	Relationship	Regions	Measure	N. Predictors	<i>Var. Explained</i> $(R^2)$	∆BIC
1	Linear	Whole-brain	Cortical Thickness	1	33.55%	135.91
2		(Cortical Ribbon)	Gyrification Index	1	20.61%	211.88
3			Fractal Dimensionality $(FD_f)$	1	51.66%	0.00
4			[ All 3 ]	3	51.72%	11.63
5	Linear	Lobe-wise	Cortical Thickness	4	38.99%	117.64
6		Parcellations	Gyrification Index	4	26.35%	198.02
7			Fractal Dimensionality $(FD_f)$	4	53.22%	4.20
8			[ All 3 ]	12	56.54%	21.23
9	Linear & Quadratic	Whole-brain	Cortical Thickness	2	33.59%	141.71
10		(Cortical Ribbon)	Gyrification Index	2	20.62%	217.90
11			Fractal Dimensionality $(FD_f)$	2	52.13%	1.90
12			[ All 3 ]	6	52.39%	23.86
13	Linear & Quadratic	Lobe-wise	Cortical Thickness	8	38.66%	119.91
14		Parcellations	Gyrification Index	8	26.14%	199.23
15			Fractal Dimensionality $(FD_f)$	8	53.28%	3.69
16			[ All 3 ]	24	59.53%	63.47

# 459 Table 1. Multivariate regression models measuring the relationship between cortical thickness, gyrification index, and fractal

- 460 **dimensionality with age.** Models with  $\Delta BIC$  values with a difference greater than 2 suggest that the model with the lower value is a
- 461 significantly better fit. See main text for further details.

462	In nearly all of the eight cases, the models that included the quadratic component
463	only slightly outperformed the equivalent models that only contained a linear component;
464	this benefit was not sufficient to compensate for the additional parameters used (i.e.,
465	BIC). Across the 16 models, the linear-only whole-brain fractal-dimensionality model
466	(model 3) explained the most variability in age, relative to the number of parameters it
467	used. Specifically, it was able to explain 51.7% of the variance with only one parameter.
468	The highest amount of variability explained, of all of the models considered, was 59.5%.
469	Figure 9 summarizes our findings of age-related differences across the three
470	structural measures, for the entire cortical ribbon and individual lobe-wise parcellations.
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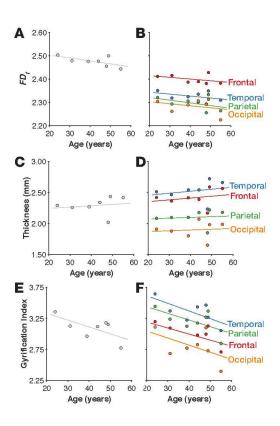
Figure 9. Relationship between each cortical structure measure (cortical thickness, gyrification index, and fractal dimensionality  $[FD_f]$ ) with age, for the entire cortical ribbon and individual lobe-wise parcellations. Each bar represents the  $R^2$  for a quadratic regression model with age.

#### 478 Considering the influence of age-related artifacts in MRI acquisition

479 Recent research has demonstrated that head motion during MRI acquisition can lead to 480 lower estimates of cortical thickness (Reuter et al., 2015). This is of particular relevance 481 when investigating the association between brain structure and aging, as older adults tend 482 to move their heads during MRI scanning more than young adults (Andrews-Hanna et al., 483 2007; Salat, 2014; Van Dijk et al., 2012). Thus, MRI measurements of cortical thickness 484 would be influenced by both objectively thinner cortex and age-related differences in 485 head motion during MRI acquisition. Since the cortical complexity calculations presented 486 here are based on the cortical ribbon (or subregions of it), it is likely plausible that  $FD_f$ 487 would also be affected by head motion. As a coarse approach to evaluate whether the 488 age-related differences in cortical complexity would remain even without age differences 489 in motion, we additionally computed fractal dimensionality from post-mortem structural 490 MRIs (thus void of motion) from individuals who donated their brain to science, obtained 491 from the Allen Human Brain Atlas. Currently there are MRIs available from eight donors 492 (who did not have any psychological or neurological disorders), however FreeSurfer was 493 unable to estimate the surface for one of the donors (H0351.1009). The seven donors 494 used in these analyses, and their demographic details, are: H0351.1012 (31M), 495 H0351.1015 (49F), H0351.1016 (55M), H0351.2001 (24M), H0351.2002 (39M), 496 H0351.2003 (48F), H372.0006 (44M). The structural MRIs are freely available from: 497 http://human.brain-map.org/mri viewers/data (see Allen Institute for Brain Science,

498 2013, for the MRI acquisition parameters).

- 499 As before, we calculated six measures: fractal dimensionality  $(FD_f)$ , mean cortical 500 thickness, and gyrification index across the entire cortical ribbon, and mean cortical 501 thickness and  $FD_f$  for each lobe.
- 502 Even in this small sample, we did observe age-related decreases in  $FD_f$  (Figure 503 10A-B). Here we also found the rank-order of  $FD_f$  values across lobes to be consistent 504 with our findings in the IXI dataset (i.e., Figure 3B): frontal, temporal, parietal, occipital. 505 As shown in Figures 10C-D, age-related differences in mean cortical thickness 506 did not appear to decrease with age. As this is cross-sectional data from a small sample, 507 this is not necessarily concerning. The rank-order of cortical thickness across the lobes 508 did match with our findings in the IXI dataset (i.e., Figure 5B): temporal, frontal, parietal, 509 occipital. Figures 10E-F show that we still did observe age-related declines in 510 gyrification, and that the rank-order across the lobes was again consistent with our 511 findings in the IXI dataset (i.e., Figure 6B): temporal, parietal, frontal, occipital. 512 Thus, this dataset provides preliminary evidence that age-related differences in 513 cortical complexity  $(FD_t)$  are present even when head motion cannot influence the MRI 514 acquisition, and potentially also suggests that  $FD_f$  may be more robust to age-related 515 differences in brain morpohology than mean cortical thickness. 516





518 Figure 10. Mean cortical thickness, gyrification index, and fractal dimensionality

519 (*FD<sub>f</sub>*) for the individuals in the Allen Human Brain Atlas dataset. Fractal

520 dimensionality for the whole-brain and each lobe are shown in panels A and B. Mean

521 cortical thickness and gyrification index for the whole-brain and each lobe are shown in522 panels C-F.

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524 Discussion
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525 Here we demonstrate that fractional dimensionality of gray matter is sensitive to age-

related differences in cortical structure and, in fact, can be more sensitive to age-related

- 527 differences than other metrics of cortical integrity such as cortical thickness or
- 528 gyrification. We also provide evidence that fractional dimensionality is not redundant
- 529 with these other metrics; multivariate regression models that include multiple metrics
- 530 provide the best ability to track age-related differences. Fractional dimensionality

531 therefore appears to be a useful metric for studies of cognitive aging, and with this in 532 mind, we additionally provide a new toolbox to facilitate other researchers incorporating 533 fractional dimensionality into their investigations of age-related cognitive differences. 534 Previous research has shown that fractal dimensionality of the filled volume, e.g., 535 cortical ribbon, is related to both cortical thickness and gyrification index (King et al., 536 2009, 2010). However, our findings clearly show that fractal dimensionality also indexes other facets of cortical morphology that result in a stronger correlation with age: Age-537 538 related correlations with each of the cortical measures were notably higher for fractal 539 dimensionality [FD<sub>f</sub>:  $r_p$ =-.732; CT:  $r_p$ =-.603; GI:  $r_p$ =-.494]. We speculate that one 540 possibility is that measurements of cortical complexity are better able to capture 541 differences in the organization of cortical regions than other measures such as cortical 542 thickness. It is also likely that fractal dimensionality is less susceptible to some artifacts 543 than other measures, making it more sensitive to age-related differences. For example, 544 while measures of cortical structure relate to age-related atrophy and cognitive abilities, 545 they also are influenced by 'nuisance' factors such as hydration (Streitbürger et al., 2012) 546 and head movement (e.g., Reuter et al., 2015). It is plausible that cortical thickness may 547 be more readily influenced by these types of state changes than gyrification and cortical 548 complexity. Thus, considering several metrics (e.g., thickness, gyrification, and 549 complexity) will allow researchers to better index relevant differences in cortical 550 structure. 551 Our regional analyses present an additional interesting finding: the degree of age-

related differences in morphology are not consistent across measures. As others have
found, the frontal and temporal lobes were more affected by age-related differences than

554	the parietal or occipital lobes, when measured using estimates of cortical thickness (but
555	see footnote 1). However, age-related differences were most prevalent in the parietal lobe
556	when measured using gyrification. There were some commonalities across measures:
557	With both cortical thickness and gyrification, we found that the occipital lobe was least
558	affected by age-related differences. We observed a different pattern with fractal
559	dimensionality, where the temporal lobe was the least affected by age-related differences.
560	These differences provides evidence that fractal dimensionality is not merely pooling
561	information that otherwise would be quantified by cortical thickness or gyrificiation
562	index, but is also capturing additional age-related differences in the cortical structure.
563	In addition to correlating with age, fractal dimensionality has been shown to
564	correlate with inter-individual variability in cognitive measures. In a cohort of over 200
565	adults aged about 68 years old, Mustafa et al. (2012) found that individuals with greater
566	whole-brain white-matter complexity had higher fluid intelligence scores and less
567	evidence of age-related cognitive decline (also see Sandu et al., 2014). King et al. (2010)
568	also provide evidence that fractal dimensionality of the cortical ribbon correlated with
569	scores on a cognitive battery, and that this correlation was qualitatively stronger than
570	comparable correlations using cortical thickness and gyrification index. Im et al. (2006)
571	observed correlations between whole-brain fractal dimensionality and both IQ and years
572	of education, though lobe-wise correlations were not significant. Interestingly, the
573	correlations with education were slightly stronger than those with IQ, potentially
574	suggesting an influence of education-related development on cortical complexity. These
575	findings support the use of cortical complexity as a sensitive metric not only for age-

576 related differences in brain structure but also for capturing relations between brain577 structure and cognitive function.

578 We believe that fractal dimensionality provides an important additional measure 579 of brain structures, providing us with a means to consider differences in the shape of 580 structures, rather the size (e.g., volume, thickness). While here we measured changes in 581 relatively coarse parcellations of the cortex (i.e., lobes), more fine-grained parcellations 582 of cortical and subcortical regions can be calculated, and may be particularly useful when 583 relating FD estimates to cognitive measures. As a proof-of-principle, in the Appendix we 584 report age-related differences in volume and  $FD_f$  for the hippocampus (see Figure A4). 585 While some studies have been done comparing FD between healthy controls and patient 586 populations, these were done using whole-brain measures and could also benefit from 587 more fine-grained parcellations. It is also unclear how head motion may affect estimates 588 of FD. To this end, we additionally provide our code as a MATLAB toolbox such that 589 other researchers can also readily calculate fractal dimensionality in their analyses.

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#### MATLAB Toolbox

Given the utility of fractional dimensionality, we provide a freely available MATLAB toolbox to calculate the fractal dimensionality of the cortical ribbon or parcellated regions of cortex, using intermediate files generated as part of the standard FreeSurfer analysis pipeline (ribbon.mgz, aparc.a2009s+aseg.mgz), or directly from other 3D volumes. The toolbox includes options to use different masking files (and related documentation on making the masks) and is implemented to use either the box-counting or dilation algorithms and to use either the filled volume or just the surface of the 599 structure. The toolbox can easily be run on all of the participants in a FreeSurfer subject

600 folder, or just on specified subject folders. The toolbox can be downloaded from:

601 <u>http://cmadan.github.io/calcFD/</u>.

602 The MATLAB toolbox also includes several functions designed to improve 603 functionality, such as the automatic 'cropping' of the volume space to the smallest 604 bounding box necessary to contain the volume (while leaving sufficient space for the 605 dilation of the volume), improving computation time drastically. Example files are also 606 provided to aid in using the toolbox for the user's needs. All of the presented fractal 607 dimensionality measures were obtained using the provided toolbox without any further 608 modification. On our machine, the FD calculations, using the dilation algorithm on filled 609 volumes (what most of the results are based on), took an average of 11 seconds per 610 participant for the whole-brain and 96 seconds per participant to determine the  $FD_f$  for 611 each of the four bilateral lobes. As a general recommendation, we suggest using the 612 dilation algorithm on the filled structures.

613

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620	and the Allen Human Brain Atlas ( <u>http://human.brain-map.org/mri_viewers/data</u> ).
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935

#### APPENDIX

### **Benchmark Performance**

936 To evaluate the performance of the fractal dimensionality calculations, ten simulated
937 phantom volumes were constructed in MATLAB and saved in FreeSurfer's native .mgz
938 format, and are provided with the toolbox.

939 The first two structures were a sphere with a diameter of 200 voxels and a cube 940 with a width of 200 voxels. The next volumes were constructed to be a more complex 941 structure, the Menger sponge. Briefly, a Menger sponge is a cube-based 3-dimensional 942 fractal, where the cube is divided into a  $9 \times 9 \times 9$  grid and the middle sub-cubes from every 943 face are removed, as well as the center-most sub-cube. Thus, of the 27 sub-cubes (i.e., 944  $9^{3}$ ), only 20 remain. One iteration of this procedure is shown in Figure A1. This 945 procedure can be infinitely iteratively repeated for each of the sub-cubes, theoretically 946 producing a structure with infinite surface area, but zero volume. The Menger sponge is 947 related to two 2-dimensional fractals, the Cantor set and the Sierpinski carpet. Here we 948 constructed three Menger sponges, each with a width of 200 voxels: first-iteration, 949 second-iteration, and fourth-iteration. (A cube can be considered a zero-iteration Menger 950 sponge.) These five structures are shown in the upper row of Figure A1. 951 We additionally computed the fractal dimensionality of several more complex 952 structures, as shown in the lower row of Figure A1. The first three of these structures

953 were selected because they have been used as 'standard' benchmark objects in the 3D

modelling and rendering literature: the Newell Teapot, Stanford Bunny, and Stanford

Armadillo (e.g., Crow, 1987; Labatut et al., 2009). (Note, the teapot has a wall thickness

and is hollow inside, i.e., it is not a 'filled' teapot.) A mug was included as a simple

everyday object. The "Fiber Cup" was included as a more complex object that was
developed as a ground-truth phantom volume for DTI analyses. The structural volume
used here was reproduced from Figure 1 of Fillard et al. (2011) as we were unable to
obtain the original 3D volume. (The thickness of our volume does not match the original
as it was reproduced from only a 2D image.)

962

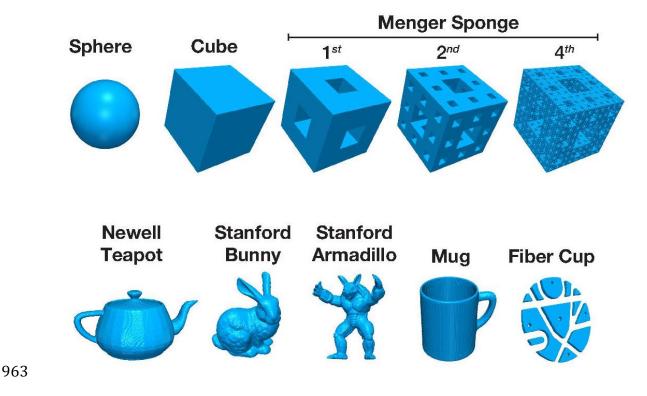


Figure A1. 3D renderings of the benchmark structures used. See main text and Table
1 for further details.

966

Table A1 shows the benchmark statistics for each of these structures. Note,
because we are calculating the surface area in voxels, the calculations are not the same as
if the structures had surfaces with no thickness. For instance, in the cube, voxels that are
part of the upper edge of a side should not be counted again as part of the top. As a result,

971 the surface area of the cube in voxels would not be 240,000 (i.e., $200^2 \times 6$ ), but is in	istead
--	--------

972 237,608 (i.e.,  $200^3$ –198<sup>3</sup>). Similarly, because surface area is calculated as 'surface'

- 973 voxels, the *SA/V* ratio cannot become smaller than 1, i.e., every surface voxel counts
- 974 towards the volume and there are no 'inner' voxels.
- 975 Though fractal dimensionality is usually calculated only based on the surface of
- the structure, King et al. (2010) found that additionally counting the 'filled' volume can
- 977 lead to better measurements of age-related differences in cortical complexity, an

approach that has also been used in a number of other studies (e.g., Esteban et al., 2009;

- Im et al., 2006; Kiselev et al., 2003). Here we computed two measures of fractal
- 980 dimensionality, one based on only the surface structure  $(FD_s)$  and one that also includes
- 981 the filled volume  $(FD_f)$ .

		Geon	netric		Box-C	ounting	Dila	tion
Structure	L	V	SA	$V/_{SA}$	$FD_s$	$FD_f$	$FD_s$	$FD_f$
Sphere	200	4,187,854	186,053	22.51	1.99	2.89	2.00	2.89
Cube	200	8,000,000	237,608	33.67	1.97	2.97	2.00	2.92
Menger-1	200	5,961,392	316,792	18.82	1.98	2.91	2.00	2.88
Menger-2	200	4,447,440	517,016	8.60	2.02	2.81	2.03	2.78
Menger-4	200	2,477,920	1,921,376	1.29	2.46	2.60	2.49	2.56
Newell Teapot	225	1,119,692	90,899	12.32	2.03	2.81	2.02	2.81
Stanford Bunny	221	2,211,262	167,897	13.17	2.03	2.81	2.01	2.82
Stanford Armadillo	225	825,402	121,628	6.77	2.03	2.68	2.02	2.69
Mug	220	1,113,980	340,802	3.27	2.14	2.53	2.13	2.56
Fiber Cup	223	245,102	69,926	3.41	1.96	2.40	2.00	2.46

# Cortical complexity from fractal dimensionality 48

# 983 Table A1. Benchmark statistics for each of the benchmark structures (shown in

984	Figure A1). The geometric properties of each structure include the length of the longest

985 dimension (L), volume (V), surface area (SA), and the ratio of volume to surface area

986  $\binom{V}{SA}$ . Fractal dimensionality was calculated using four different methods, using either the

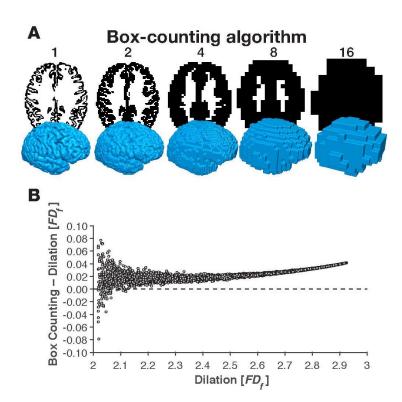
987 box-counting or dilation algorithms, and either only counting the surface voxels of the

988 structure  $(FD_s)$  or also including the filled volume of the structure  $(FD_f)$ .

989	Theoretically, a cube should have fractal dimensionality values corresponding to 2
990	and 3 for the surface and filled volumes, respectively. A sphere should have a surface
991	fractal dimensionality of 2, and a filled fractal dimensionality slightly below 3. Our
992	results match with these values well.
993	For the Menger sponge volumes, an <i>n</i> th iteration structure, which has infinite
994	surface area and zero volume, should have a surface fractal dimensionality of 2.73. We
995	can see that the higher-iteration Menger sponge structures have increasing surface fractal
996	dimensionality values, but we could not generate higher-iteration structures of
997	comparable resolution as brain volumes (i.e., constraints of voxel coordinate space). We
998	also see that the filled fractal dimensionality decreases with higher iterations, as expected.
999	Though the theoretical fractal dimensionality values are not known for the
1000	remaining structures, their inclusion is intended to aid the reader in understanding how
1001	fractal dimensionality relates to a structure's complexity. Additionally, the simulated
1002	phantom volumes for all ten structures are included with the toolbox, allowing them to
1003	serve as benchmarks for future work.
1004	
1005	Formal comparison
1006	To formally compare the two algorithms, box counting and dilation, we generated 3D box
1007	structures that were based on a random subset of cubes in a $20 \times 20 \times 20$ arrangement. For
1008	each structure, we computed the filled fractal dimensionality $(FD_f)$ using both the box-
1009	counting and dilation algorithms. This was repeated for 10,000 simulated structures.
1010	Generally, the algorithms were highly correlated in their fractal dimensionality
1011	estimates and deviations were minimal in magnitude [ $r(9998)$ =.9997, $p$ <.001; Difference:

1012 M (SD) = .0263 (.0096)]. Nonetheless, we did find that the box-counting  $FD_f$  was nearly 1013 always higher than the  $FD_f$  obtained using the dilation algorithm, as shown in Figure A2. 1014 Logically, this is due to a cumulative rounding error from the box-counting algorithm 1015 using a fixed grid scan, while the dilation is effectively using a sliding grid scan. This 1016 bias was higher for structures with more extreme levels of fractal dimensionality (i.e., 1017 near to either 2 or 3). Based on this comparison, we used the dilation algorithm in the 1018 reported cortical complexity analyses, though both algorithms are implemented in the 1019 MATLAB toolbox.

1020



1021

1022 Figure A2. Comparison between fractal dimensionality values (*FD<sub>f</sub>*) obtained using

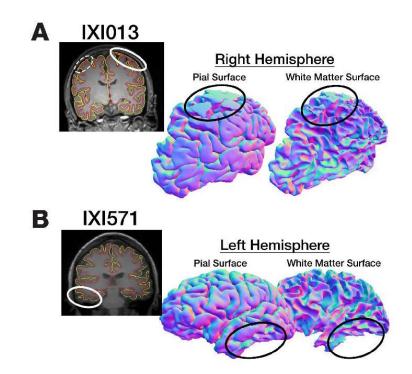
1023 the box-counting and dilation algorithms. Panel A shows axial slices and 3D volumes

1024 representing the box-counting algorithm (compare with Figure 2A). Panel B shows a

1025 formal comparison between the two algorithms.

1027	IXI Dataset
1028	IDs for the 427 individuals included in the analyses reported here: 002, 012, 014, 015,
1029	017, 019, 020, 021, 022, 023, 024, 025, 026, 027, 028, 029, 030, 031, 033, 034, 035, 036,
1030	037, 039, 040, 042, 043, 044, 045, 046, 048, 049, 050, 051, 052, 053, 054, 055, 056, 057,
1031	058, 060, 061, 062, 063, 064, 065, 067, 068, 069, 070, 071, 073, 074, 075, 076, 077, 078,
1032	079, 080, 083, 084, 085, 086, 087, 089, 090, 092, 097, 098, 102, 105, 106, 107, 109, 110,
1033	111, 113, 115, 118, 119, 120, 121, 122, 123, 126, 127, 128, 129, 130, 131, 134, 135, 137,
1034	138, 140, 141, 142, 143, 144, 145, 148, 150, 151, 153, 154, 157, 158, 159, 160, 161, 163,
1035	164, 166, 167, 169, 170, 172, 173, 174, 176, 177, 178, 180, 181, 182, 183, 184, 185, 186,
1036	188, 189, 191, 192, 193, 195, 196, 197, 198, 200, 201, 202, 204, 205, 206, 207, 209, 212,
1037	213, 214, 216, 217, 218, 219, 221, 222, 224, 225, 226, 227, 230, 231, 232, 233, 234, 237,
1038	238, 239, 240, 241, 242, 244, 246, 247, 248, 249, 251, 253, 254, 255, 258, 259, 262, 264,
1039	265, 266, 268, 269, 270, 275, 276, 277, 278, 279, 280, 282, 284, 285, 286, 287, 289, 290,
1040	291, 294, 295, 296, 297, 298, 299, 304, 305, 306, 307, 308, 310, 311, 312, 315, 316, 318,
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1047	484, 485, 486, 487, 490, 493, 494, 495, 496, 498, 500, 502, 504, 505, 507, 508, 510, 516,
1048	517, 522, 524, 525, 526, 527, 528, 531, 532, 534, 535, 536, 538, 539, 543, 544, 546, 547,

- 1049 548, 549, 550, 551, 553, 554, 558, 559, 560, 561, 562, 563, 565, 566, 567, 568, 569, 572,
- 1050 573, 574, 575, 576, 577, 578, 579, 582, 586, 587, 588, 591, 592, 593, 594, 595, 598, 601,
- 1051 603, 605, 606, 607, 609, 612, 613, 614, 616, 617, 618, 621, 625, 626, 627, 629, 631, 634,
- 1052 639, 640, 641, 642, 644, 648, 652, 653, 662
- 1053

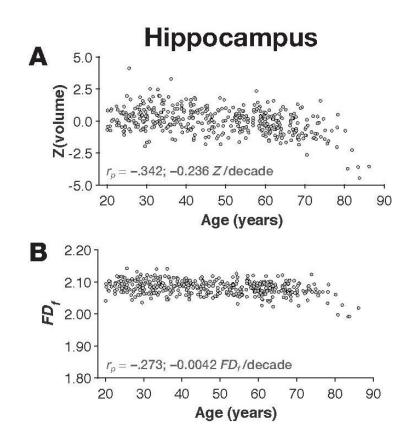


- 1055 Figure A3. Examples of issues with cortical surfaces that resulted in exclusion. Panel
- 1056 A shows an example of the surface boundary being too inclusive and including tissue
- surrounding the gray matter; panel B shows an example of the surface reconstruction
- 1058 being too restrictive and missing portions of gray matter.
- 1059
- 1060

### Subcortical Volumes

- 1061 As a proof-of-principle, we have calculated the age-related differences in the
- 1062 hippocampus, as measured as using volume and FD<sub>f</sub>. Hippocampal volume was estimated

1063 using FreeSurfer, and the sum of the left and right hemisphere volumes was used in the 1064 analysis. Prior to computing the partial correlation (controlling for sex and site), volume 1065 was taken as the residual after regressing on ICV (e.g., see Walhovd et al., 2011). Fractal 1066 dimensionlity (of the filled structure) was calculated based on the bilateral structure, 1067 using the provided toolbox. We observed age-related differences in both hippocampal 1068 volume and structural complexity [volume:  $r_p(420) = -.342$ , p < .001;  $FD_f$ :  $r_p(420) = -$ 1069 .273, p < .001].



- 1071 Figure A4. Hippocampal volume and fractal dimensionality  $(FD_f)$  for the
- 1072 individuals in the IXI dataset. Panel A shows the scatter plot of age and volume, along
- 1073 with the correlation and slope; panel B shows age and *FD<sub>f</sub>*.