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## Evidence for weathering and volcanism during the PETM from Arctic Ocean and Peri-Tethys osmium isotope records

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1 **Evidence for weathering and volcanism during the PETM from Arctic Ocean and**  
2 **Peri-Tethys osmium isotope records**

3

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6

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15

16 **Keywords:** Paleocene-Eocene Thermal Maximum, osmium isotopes, Arctic Ocean, Peri-Tethys,  
17 weathering, volcanism

18

19 **Abstract**

20 Sudden global warming during the Paleocene-Eocene Thermal Maximum (PETM, 55.9 Ma)  
21 occurred because of the rapid release of several thousand gigatonnes of isotopically light carbon  
22 into the oceans and atmosphere; however, the cause of this release is not well understood. Some  
23 studies have linked carbon injection to volcanic activity associated with the North Atlantic Igneous  
24 Province (NAIP), while others have emphasised carbon cycle feedbacks associated with orbital  
25 forcing. This study presents the osmium isotope compositions of mudrocks that were deposited  
26 during the PETM at four locations (one from the Arctic ocean, and three from the Peri-Tethys). The  
27 Os-isotope records all exhibit a shift of similar magnitude towards relatively radiogenic values  
28 across the PETM. This observation confirms that there was a transient, global increase in the flux  
29 of radiogenic Os from the weathering of continental rocks in response to elevated temperatures at

30 that time. The tectonic effects of NAIP volcanic emplacement near the onset of the PETM is  
31 recorded by anomalously radiogenic Os-isotope compositions of PETM-age Arctic Ocean samples,  
32 which indicate an interval of hydrographic restriction that can be linked tectonic uplift due to hotspot  
33 volcanism in the North Atlantic seaway. The Peri-Tethys data also document a transient, higher  
34 flux of unradiogenic osmium into the ocean near the beginning of the PETM, most likely from the  
35 weathering of young mafic rocks associated with the NAIP. These observations support the  
36 hypothesis that volcanism played a major role in triggering the cascade of environmental changes  
37 during the PETM, and highlight the influence of paleogeography on the Os isotope characteristics  
38 of marine water masses.

39

#### 40 **Introduction**

41 There is considerable debate surrounding the source of  $^{13}\text{C}$ -depleted carbon that was released into  
42 the oceans and atmosphere at the onset of the PETM, as well as the triggering mechanisms that  
43 could have initiated this carbon-cycle perturbation. One possibility is that orbital forcing destabilised  
44 exchangeable sources of carbon on land and in the oceans through a series of Earth-system  
45 feedback mechanisms, such as ocean and atmospheric circulation (Lourens et al., 2005, Lunt et  
46 al., 2011; De Conto et al., 2012). This hypothesis is supported by the cyclostratigraphic tuning of  
47 data from marine sedimentary sections, which suggests that early Eocene hyperthermals occurred  
48 in phase with 100 kyr orbital eccentricity cycles (Westerhold et al., 2007). This suggestion is,  
49 however, inconsistent with the observation of a difference between the phasing of the PETM  
50 relative to the 400 kyr orbital eccentricity cycle, compared to subsequent early Eocene  
51 hyperthermal events (Westerhold et al., 2007; Charles et al., 2011). An alternative hypothesis has  
52 linked the onset of the PETM to volcanic triggering associated with extensive volcanism in the  
53 North Atlantic Igneous Province (NAIP), which began ~60 Ma ago and culminated in rapid North  
54 Atlantic seafloor spreading near the Paleocene/Eocene boundary (Eldholm and Thomas, 1993;  
55 Storey et al., 2007, 2007b). Tectonic uplift caused by the emplacement of a NAIP mantle plume at  
56 the base of the lithosphere could have caused carbon release at the start of the PETM, by  
57 triggering the dissociation of marine gas hydrates through the depressurisation of uplifted marine  
58 sediments (MacLennan and Jones, 2006), or from intermediate-depth seawater warming triggered

59 by tectonically-induced changes in ocean basin morphology (Bice and Marotzke, 2002; Roberts et  
60 al., 2009). A further theory suggests that the thermal alteration of organic-carbon rich marine  
61 sediments might have liberated substantial amounts of carbon by igneous intrusions during  
62 emplacement of the NAIP (Svenssen et al., 2004, 2010). However, it is difficult to test hypotheses  
63 linking the emplacement of the NAIP to the PETM using radioisotopic ages alone because the  
64 uncertainties associated with the dating of many volcanic rocks in the late Paleocene are longer  
65 than the duration of the PETM itself (Storey et al., 2007, 2007b; Svenssen et al., 2010,  
66 supplementary material).

67 The osmium (Os) isotope composition of seawater (expressed as  $^{187}\text{Os}/^{188}\text{Os}$ ) reflects the  
68 mixing of radiogenic Os weathered from ancient continental crust ( $^{187}\text{Os}/^{188}\text{Os} = \sim 1.4$  (Peucker-  
69 Ehrenbrink and Jahn, 2001)), and unradiogenic Os from mantle (hydrothermal) and extraterrestrial  
70 sources ( $^{187}\text{Os}/^{188}\text{Os} = 0.12$  (Peucker-Ehrenbrink and Ravizza, 2000)). The residence time of Os in  
71 seawater is  $\sim 10,000$ - $55,000$  years (Burton et al, 1996; Sharma et al., 1997; Levasseur et al., 1999;  
72 Peucker-Ehrenbrink and Ravizza, 2000), which is longer than the present-day mixing time of the  
73 oceans. Seawater  $^{187}\text{Os}/^{188}\text{Os}$  is therefore able to track globally-averaged variations in the  
74 proportions of radiogenic Os delivered to the oceans by the weathering of old continental rocks,  
75 and unradiogenic Os delivered by the weathering and alteration of young mafic rocks emplaced  
76 subaerially and in submarine hydrothermal systems (Pegram et al., 1992; Cohen et al., 1999;  
77 Peucker-Ehrenbrink and Ravizza, 2000). Tracing variations in weathering fluxes using Os isotopes  
78 carries the assumptions that extraterrestrial Os fluxes to the oceans are quantitatively insignificant  
79 or temporally constant, and also that the globally averaged  $^{187}\text{Os}/^{188}\text{Os}$  of the radiogenic Os flux to  
80 the oceans from weathered continental rocks does not vary significantly on relatively short  
81 timescales. These assumptions are supported by previous reconstructions of global weathering  
82 fluxes using Os isotopes, which are reproducible at different locations (e.g. Cohen et al., 1999;  
83 Peucker-Ehrenbrink and Ravizza, 2001; Ravizza et al., 2001; Cohen and Coe, 2002; Cohen et al.,  
84 2004; Turgeon and Creaser, 2008; Bottini et al., 2012; Du Vivier et al., 2014). Furthermore, the  
85 relatively short seawater residence time of Os allows it to be utilised as an ocean circulation tracer  
86 in certain situations. If seawater mixing is limited in a basin or marginal seaway (for example due to  
87 topographic or hydrographic constraints), the residence time of Os in the basinal seawater may

88 become shorter than the global seawater Os residence time. Since Os is typically associated with  
89 organic matter (Ravizza et al., 1992; Cohen et al., 1999), enhanced organic carbon burial in  
90 restricted basins under low-oxygen conditions would favour depletion of the dissolved Os inventory  
91 in the basin seawater, which would be recorded as a decrease in sedimentary Os abundances. In  
92 such circumstances,  $^{187}\text{Os}/^{188}\text{Os}$  may evolve locally to compositions that differ from the fully mixed  
93 global signal due to the greater relative importance of local Os input and output fluxes (e.g. Paquay  
94 and Ravizza, 2012).

95 The Os-isotope composition of seawater can be reconstructed from organic-rich mudrocks  
96 because of the high degree of enrichment of hydrogenous Os in these deposits that limits the  
97 proportional contribution from lithogenic Os (Ravizza et al., 1992; Cohen et al., 1999). Recovery of  
98 the hydrogenous Os component of sediments can be assisted by acid-leaching organic-rich  
99 mudrocks using either inverse Aqua-Regia (Cohen and Waters, 1996), or  $\text{CrO}_3\text{-H}_2\text{SO}_4$  (Selby and  
100 Creaser, 2003). Previous studies deep-marine metalliferous clays have demonstrated a radiogenic  
101 shift in the Os-isotope composition of seawater across the PETM (Ravizza et al., 2001; Ravizza  
102 and Peuker-Ehrenbrink, 2012), but these data have never been replicated in marine mudrocks.  
103 This study presents  $^{187}\text{Os}/^{188}\text{Os}$  measurements of samples from four organic-carbon enriched  
104 marine sedimentary sections spanning the PETM; three of the sections are from the northern  
105 Tethys Ocean, and the fourth is from the Arctic Ocean (Fig. 1). The intention was to assess the  
106 impact of volcanic activity on seawater  $^{187}\text{Os}/^{188}\text{Os}$  during the PETM, and to investigate whether  
107 the previously observed radiogenic Os-shift across the PETM was expressed globally in a range of  
108 different marine basins.

109

## 110 **Methods**

111 Re-Os preparation and analyses were carried out in metal-free conditions in a Picotrace© clean  
112 laboratory using the method of Cohen and Waters (1996). 0.25-1 g of finely ground sample powder  
113 was accurately weighed into an acid-cleaned glass Carius tube, to which an exact amount of a  
114 mixed  $^{185}\text{Re}\text{-}^{190}\text{Os}$  isotope spike and 8-12 ml of inverse Aqua Regia were added. Each sample  
115 tube was sealed with an oxygen and propane flame and placed into an oven at  $180^\circ\text{C}$  for 5 days.  
116 Os was extracted from the chilled acid digest with carbon tetrachloride ( $\text{CCl}_4$ ), and subsequently

117 back extracted from  $\text{CCl}_4$  with hydrobromic acid. Following a micro-distillation step (Birck et al.,  
118 1997), sample residues were loaded onto clean, degassed Pt filaments for analysis by n-TIMS.  
119 Instrumental mass fractionation was corrected by internal normalisation to a  $^{192}\text{Os}/^{188}\text{Os}$  ratio of  
120 3.09202. Re concentrations were determined by isotope dilution from aliquots of the same acid  
121 digests analysed for Os. Re was extracted from the inverse Aqua-Regia using an iso-amylol liquid-  
122 liquid separation technique (Birck et al., 1997). Aliquots of the purified Re solutions were doped  
123 with Ir and analysed using a Thermo-Finnegan Neptune MC-ICP-MS. Instrumental mass  
124 fractionation was corrected by normalising to a  $^{193}\text{Ir}/^{191}\text{Ir}$  ratio of 1.68299 (Berglund and Wieser,  
125 2011). All quoted  $^{187}\text{Os}/^{188}\text{Os}$  ratios and Os and Re abundances were blank corrected. Average Os  
126 blanks were ~1 ppt, with an average  $^{187}\text{Os}/^{188}\text{Os}$  of 0.30 (n=9). The average Re blank was ~5 ppt  
127 (n=10). Initial  $^{187}\text{Os}/^{188}\text{Os}$  ratios ( $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ ) were calculated assuming a depositional age of 55.9  
128 Ma and a Re decay constant of  $1.666 \times 10^{-11}$  (Smoliar et al., 1996). The uncertainty of  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$   
129 has been estimated from separate digestions of an in-house mudrock standard (Monterey mudrock  
130 00N118) as  $\pm 0.015$  (2 S.D., n=8).

131

## 132 **Results**

133 The stratigraphy of the Peri-Tethys Ocean records have been discussed in detail by Gavrillov et al.  
134 (2003) and Dickson et al. (2014). All sites contain mudrocks variably enriched in organic carbon by  
135 up to ~18 wt%. These mudrocks were deposited during a negative  $\delta^{13}\text{C}_{\text{org}}$  excursion of ~4‰  
136 (Dickson et al., 2014), which can be attributed to isotopically light carbon release during the PETM.  
137 These features, together with primary biostratigraphic constraints at each site from nannofossil and  
138 dinocyst taxonomy, allow the sections to be correlated (Fig. 2).

139  $^{192}\text{Os}$  concentrations in all samples are greatly elevated above the average crustal  
140 concentration (Peucker-Ehrenbrink and Jahn, 2001). At Kheu River and Guru-Fatima,  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$   
141 increases from ~0.32 below the CIE to a maximum of 0.38–0.39 during the CIE.  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$   
142 values at Dzhengutay also increase to 0.39 within the CIE with a similar overall range to the other  
143 Tethys sites. The  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  records from Kheu River and Guru Fatima also display shifts to  
144 relatively unradiogenic values near the base of the CIE. The lowest  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  at both Guru-  
145 Fatima and Kheu River occur immediately after the first  $\delta^{13}\text{C}_{\text{org}}$  data point indicating the PETM CIE.

146 However, fully deciphering the phasing of these lead-lag relationships is limited by the temporal  
147 resolution of each record, which is relatively low over the CIE onset due to the limited availability of  
148 sample material.

149 There is an increase in  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  of Arctic Ocean seawater from 0.39 to 0.55 during the  
150 PETM, which occurs at 390.66 mcd, 4.5 m below the first negative shift in  $\delta^{13}\text{C}_{\text{org}}$  that is taken to  
151 denote the onset of the CIE (Sluijs et al., 2006; Stein et al., 2006; Dickson et al., 2012). As with the  
152 Tethys Ocean sites, the relatively radiogenic Arctic Ocean  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  recorded at Site M0004A  
153 returns to less radiogenic values above the PETM.

154

## 155 **Discussion**

### 156 *Data integrity*

157 The high  $^{192}\text{Os}$  concentrations in most of the samples confirm a substantial enrichment of  
158 hydrogenous Os over the average continental crust (detrital Os) contribution. Furthermore, the Re-  
159 Os data for each of the four sites are generally tightly clustered around an isochron age of 55.9 Ma  
160 (Fig. 3). Large differences in the contribution of lithogenic Os to the measured  $^{187}\text{Os}/^{188}\text{Os}$  ratios, or  
161 a significant amount of post-depositional remobilisation of Re or Os, would impart a greater degree  
162 of scatter to the plots (e.g. Peucker-Ehrenbrink and Hannagan, 2000; Jaffe et al., 2002; Georgiev  
163 et al., 2012) than is observed. In the case of the three Tethys sites, the Re-Os data from the PETM  
164 intervals define identical  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  of 0.36, further demonstrating the robustness of the datasets  
165 and showing that each location sampled the same, well-mixed late Paleocene–early Eocene  
166 seawater Os reservoir. It is noteworthy that the steady-state  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  of Peri-Tethys seawater  
167 of 0.36 inferred from Fig. 3 is extremely close to the pre- and post-PETM  $^{187}\text{Os}/^{188}\text{Os}$  values  
168 measured for open ocean seawater at DSDP Site 213 and 549 (Ravizza et al., 2001). This  
169 observation implies that there was little or no hydrographic restriction in the northern Peri-  
170 Tethys and that the study locations were able to freely exchange seawater with the wider Tethys  
171 Ocean. These lines of evidence demonstrate that the new data are robust and that variations in  
172  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  at each site can be used to track variations in the Os-isotope compositions of early  
173 Eocene seawater.

174

175 *Weathering of radiogenic Os during the PETM*

176 All four studied sections exhibit a shift towards toward more radiogenic  $^{187}\text{Os}/^{188}\text{Os}$  values during  
177 the PETM CIE, with the Tethys sites having similar magnitude shifts of  $\sim 0.06$ . The range of the  
178  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  excursions recorded at the three Tethys Ocean sites are similar to the magnitude of  
179 the shift towards more radiogenic  $^{187}\text{Os}/^{188}\text{Os}$  values during the PETM at DSDP Sites 213 and 549  
180 in the Indian Ocean and North Atlantic Ocean respectively (Ravizza et al., 2001), at Zumaya in  
181 Spain (Schmitz et al., 2004), and also in Pacific Ocean Fe-Mn crusts (Klemm et al., 2005)  
182 (supplementary online material). The Site 549 data (Ravizza et al., 2001; Peucker-Ehrenbrink and  
183 Ravizza, 2012) are systematically slightly higher than the Tethys Ocean datasets, which may be  
184 due to the leaching of small quantities of lithogenic Os from the clay-rich deposits at that site.  
185 Likewise, Arctic Ocean  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  values recorded at Site M0004A are also systematically higher  
186 than at the Tethys Ocean sites, which is consistent with a range of observations suggesting that  
187 seawater exchange between the Arctic Basin and global ocean occurred at a rate that was too  
188 slow to allow the  $^{187}\text{Os}/^{188}\text{Os}$  of Arctic Ocean seawater to equilibrate fully with the global ocean  
189 during the portion of the late Paleocene and early Eocene studied. Nonetheless, the consistent  
190 observation of relatively more radiogenic  $^{187}\text{Os}/^{188}\text{Os}$  during the CIE at the different locations leads  
191 us to conclude that the Os-isotope records (Fig. 2) reflect a shift in the  $^{187}\text{Os}/^{188}\text{Os}$  of global  
192 seawater towards relatively radiogenic values during the PETM. This observation requires a  
193 change in the global balance and/or composition of Os fluxes to the ocean across this event  
194 (Ravizza et al., 2001; Cohen et al., 2007).

195 The overall increase in seawater  $^{187}\text{Os}/^{188}\text{Os}$  during the PETM could have been caused by  
196 an increase in the flux to the oceans of radiogenic Os weathered from continental rocks, by an  
197 increase in the average  $^{187}\text{Os}/^{188}\text{Os}$  of weathered continental rocks, or by a decrease in the flux of  
198 unradiogenic Os to seawater. An increase in the flux of continentally-derived Os at a global scale is  
199 the most parsimonious interpretation of the data in the light of evidence for a perturbed  
200 hydrological cycle during the PETM (Bowen et al., 2004; Pagani et al., 2006; Handley et al., 2012)  
201 and for exceptionally high fluxes of terrestrial sediments to continental margins (Schmitz and  
202 Pujalte, 2007; Sluijs et al., 2008a; John et al., 2008; Dickson et al., 2014). In principle, the shift  
203 could also have been caused by the preferential weathering of radiogenic Os from exposed



204 organic-rich mudrocks, thereby raising the average  $^{187}\text{Os}/^{188}\text{Os}$  of weathering fluxes. However,  
205 there is no evidence for a change in the terrestrial exposure of such deposits during the PETM.  
206 Additionally, Svensen et al. (2004, 2010) have suggested that hydrothermal fluids could have been  
207 expelled rapidly into the Vøring and Møre basins (northeast Atlantic Ocean) at the onset of the  
208 PETM after the heating by igneous intrusions of Cretaceous mudrocks, which are likely to have  
209 had more radiogenic  $^{187}\text{Os}/^{188}\text{Os}$  than early Eocene seawater (Ravizza et al., 2007; Turgeon and  
210 Creaser, 2008; Bottini et al., 2012). However, assuming a seawater residence time for Os of  $\sim 10^4$   
211 years, and a duration for the PETM of  $10^5$  years (Röhl et al., 2007; Charles et al., 2011) the PETM  
212  $^{187}\text{Os}/^{188}\text{Os}$  records obtained here and in previous studies (Ravizza et al., 2001; Peucker-  
213 Ehrenbrink and Ravizza, 2012) require the flux of radiogenic Os to be sustained over multiple  
214 residence times to explain the prolonged excursion to more radiogenic seawater  $^{187}\text{Os}/^{188}\text{Os}$   
215 values (Röhl et al., 2007; Charles et al., 2011). The prolonged duration for the radiogenic  
216  $^{187}\text{Os}/^{188}\text{Os}$  excursion is therefore incompatible with the very short timescale for the postulated  
217 hydrothermal fluid release of only a few thousand years (Svensen et al., 2004).

218 At steady state, the Os-isotope composition of seawater can be described by mixing  
219 between unradiogenic and radiogenic Os input fluxes. The endmember  $^{187}\text{Os}/^{188}\text{Os}$  compositions  
220 of these fluxes are assumed to be 0.12 and 1.4 respectively (Luck and Allègre, 1983; Peucker-  
221 Ehrenbrink and Jahn, 2001). The relative contribution (mole fraction,  $F$ ) of the radiogenic  
222 endmember can be described by:

223

$$224 \quad F_r = (R_s - R_u) / (R_r - R_u) \quad (1)$$

225

226 Where subscripts  $r$ ,  $s$  and  $u$  denote the radiogenic, seawater and unradiogenic  $^{187}\text{Os}/^{188}\text{Os}$   
227 compositions respectively (Ravizza et al., 2001). The contribution of the unradiogenic endmember  
228 can then be calculated using:

229

$$230 \quad F_u = (1 - F_r) \quad (2)$$

231

232 The fractional increase in radiogenic Os fluxes during the PETM has been calculated with the  
233 assumption that flux of unradiogenic Os to the oceans remained constant. Then, the fractional  
234 increase in the radiogenic contribution ( $\Delta F_r$ ) can be calculated:

235

$$236 \Delta F_r = ((F_{u \text{ [pre-event]}} / F_{u \text{ [event]}}) - F_{u \text{ [pre-event]}}) / F_{r \text{ [pre-event]}} \quad (3)$$

237

238 Applying this approach to data from the best resolved Peri-Tethys site (Kheu River), yields a result  
239 suggesting a 38% increase in the flux of radiogenic Os for the PETM compared with pre-PETM  
240 fluxes. The magnitude of the increase in  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  during the PETM at Guru-Fatima is higher  
241 than at Kheu River, but is based on a single  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  measurement of 0.43 during the CIE. A  
242 similar calculation can not be made for Dzhengutay because pre-PETM samples are not available.  
243 For comparison, the calculated change in radiogenic Os flux during the PETM based on the DSDP  
244 Site 549 dataset (Ravizza et al., 2001) suggests an increase in radiogenic Os flux of 44%, which is  
245 very close to our estimate using data from the Tethyan Kheu River site. The fact that  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$   
246 closely tracks the evolution of the CIE during the PETM is consistent with a mechanistic link  
247 between elevated global temperatures, moisture availability and weathering fluxes (Gaillardet et al.  
248 1999), as also inferred for other global warming events in Earth history (e.g. Ravizza et al., 2001;  
249 Cohen and Coe, 2002; Cohen et al., 2004; Pagani et al., 2006; Bottini et al., 2012; Pogge van  
250 Strandmann et al., 2013).

251

### 252 *Unradiogenic Os fluxes near the beginning of the PETM*

253 Our records contain two key lines of evidence for a phase of volcanism at the onset of the PETM.  
254 The first is the short-term transient decrease in  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  of  $\sim 0.05$  near the base of the CIE at  
255 Kheu River and Guru-Fatima (Fig. 4) (the behaviour of  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  at the onset of the PETM is  
256 unknown at Dzhengutay, because samples from the lower part of that section were not sampled).  
257 The similarity between the observed  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  decrease in the two Peri-Tethys sites with a  
258 previously observed decrease in  $^{187}\text{Os}/^{188}\text{Os}$  at the base of the PETM at Zumaya, Spain (Schmitz  
259 et al., 2004), suggests that the decrease in  $^{187}\text{Os}/^{188}\text{Os}$  was likely to have been at least a regional  
260 phenomenon. The timings of the  $^{187}\text{Os}/^{188}\text{Os}$  decrease at each site are consistent, beginning near

261 the base of the negative  $\delta^{13}\text{C}_{\text{org}}$  excursion, and ending within nannofossil zone NP 9 (Fig. 3).  
262 These features constrain the duration of the transient stratigraphic shift in  $^{187}\text{Os}/^{188}\text{Os}$  to a few tens  
263 of thousands of years at most (Charles et al., 2011). The  $^{187}\text{Os}/^{188}\text{Os}$  decrease at the start of the  
264 PETM is consistent with a proportionally larger flux of unradiogenic Os to the oceans near the start  
265 of the PETM. Equation (3) was used to calculate the magnitude of the unradiogenic flux increases  
266 recorded in the initial stages of the PETM (Fig. 3), but substituting  $F_u$  for  $F_r$  and vice-versa. This  
267 calculation resulted in fluxes of unradiogenic Os for Kheu River, Guru Fatima and Zumaya that  
268 were 33%, 39% and 12% higher, respectively, compared to pre-excursion fluxes.

269 There is no convincing evidence for an extraterrestrial impact in PETM deposits that could  
270 explain the shift towards relatively unradiogenic  $^{187}\text{Os}/^{188}\text{Os}$  at the start of the PETM (Schmitz et  
271 al., 2004). However, a recent  $^{187}\text{Os}/^{188}\text{Os}$  record from the Svalbard Central Basin (core BH9/04)  
272 suggests that a large amount of volcanic ash was deposited in the Central basin over an interval of  
273 approximately 8000 years shortly before the onset of the PETM (Fig. 4, Weiczorek et al., 2013).  
274 The magnitude of the unradiogenic Os-isotope excursion in the Central Basin is about six times  
275 larger than in the Tethys sections, probably because the proximity of that site made it sensitive to  
276 the direct input of unradiogenic Os from mafic material (ash) from the NAIP. It is noteworthy that  
277 the  $^{187}\text{Re}/^{188}\text{Os}$  and  $^{187}\text{Os}/^{188}\text{Os}$  data from Svalbard (Weiczorek et al., 2013) exhibit a high degree  
278 of scatter when examined around a 55.9 Ma reference isochron. In contrast, the new Tethys  
279 records reported here have  $^{187}\text{Re}/^{188}\text{Os}$  and  $^{187}\text{Os}/^{188}\text{Os}$  data that are more tightly defined around  
280 55.9 Ma isochrons (Fig. 3). These observations confirm that the addition of unradiogenic Os to  
281 Svalbard sediments, as identified by Weiczorek et al. (2013), was more than a regional-scale  
282 phenomenon, and that volcanism caused a significant (although locally disproportionate) impact on  
283 the Os-isotope composition of global seawater during the early stages of the PETM.

284 The second line of evidence for volcanism near the onset of the PETM comes from the  
285 sharp increase in the  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  of Arctic Ocean seawater below the onset of the PETM at Site  
286 M0004A that is not observed in a similar stratigraphic position at any site outside the Arctic Basin  
287 (Fig. 2). This shift is interpreted to record a short interval (between 390.66–388.63 mcd) when  
288 hydrological restriction became sufficiently pronounced so as to cause the  $^{187}\text{Os}/^{188}\text{Os}$  of Arctic  
289 Ocean seawater to evolve independently from the global seawater trend. Taking the age of the

290 PETM onset and the age of the C25n/C24r magnetic reversal from Westerhold et al. (2008), the  
291 approximate timing of hydrographic restriction in the Arctic Ocean can be estimated to have occurred ~50-  
292 500 kyr prior to the onset of the CIE. The broad range of estimates is partly the result of a paucity of age-  
293 control between the C25n/C24r reversal and the PETM (Backman et al., 2008), along with incomplete  
294 recovery of the PETM interval itself, which makes identification of the CIE onset highly imprecise ( $\pm 0.9$  m).  
295 The relative ages noted here should therefore be treated with a degree of caution.

296 The temporary period of pronounced hydrographic restriction at Site M0004A is consistent  
297 with low sedimentary Mo/U ratios and very low  $\delta^{98/95}\text{Mo}$  (Dickson et al., 2012). The abrupt increase  
298 in  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  is unlikely to have been caused by an increase in the delivery of radiogenic Os to  
299 the Arctic Ocean from surrounding landmasses, because proxy data for moisture availability and  
300 continental temperatures do not show significant changes in pan-Arctic temperatures or hydrology  
301 before the onset of the CIE (Fig. 2, supplementary information) (Pagani et al., 2006; Weijers et al.,  
302 2007). The most likely explanation for the increase in the  $^{187}\text{Os}/^{188}\text{Os}$  of Arctic Ocean seawater is  
303 therefore a reduction in the flux of less radiogenic Os into the Arctic Basin from the global ocean,  
304 as hydrological restriction in the basin became more pronounced (Fig. 1).

305 The temporary period of marked hydrological restriction in the Arctic Ocean preceding the  
306 onset of the PETM could have been caused by the tectonic uplift of the North Atlantic seaway.  
307 Evidence suggesting a regional relative sea-level (RSL) fall of >200 m is associated with the  
308 Lamba/Flett sequence boundary in the Faroe-Shetland Basin and the Lista IIIb/Forties sequence  
309 boundary in the North Sea (Mudge and Bujak, 2001; Smallwood and Gill, 2002), the ages of which  
310 are constrained by the highest occurrence of the dinocyst *Alisocysta margarita* and the lowest  
311 occurrence of *Apectodinium augustum* (Mudge and Bujak, 2001). This RSL fall has been attributed  
312 to regional mantle-plume related uplift, lithospheric thinning and the commencement of an active  
313 period of NAIP volcanism (MacLennan and Jones, 2006; Smallwood and Gill, 2002). A fall in  
314 eustatic sea-level of several tens of metres, deduced from the sequence boundary on the New  
315 Jersey shelf, in New Zealand, and in the Tethys Ocean (Gavrilov et al., 2003; Sluijs et al., 2008b;  
316 Harding et al., 2011), is likely to have further contributed to the change in RSL, but cannot account  
317 for its full magnitude.

318 The timing of RSL fall in the North Atlantic seaway (Sluijs et al., 2008b) is consistent with  
319 available age constraints at Site M0004A (Expedition 302 Scientists, 2006; Backman et al., 2008)  
320 for the onset of enhanced hydrological restriction in the Arctic Ocean inferred from our Os-isotope  
321 record (Fig. 2). It is likely that seawater exchange across the North Atlantic seaway was limited  
322 throughout the PETM, since re-flooding took place after the last occurrence of the dinocyst  
323 *Cerodinium wardense* (Mudge and Bujak, 2001), which occurs stratigraphically above the PETM in  
324 Site M0004A (Expedition 302 Scientists, 2006). A slight alleviation of hydrographic restriction in the  
325 Arctic basin at the minimum of the CIE (Dickson et al., 2012) was probably facilitated by a eustatic  
326 sea-level rise of 20-30 m at the onset of the PETM (Gavrilov et al., 2003; Sluijs et al., 2008b;  
327 Harding et al., 2011) that deepened the Arctic-Tethys connection through the central Asian Turgay  
328 Straits (Fig. 1). Seawater exchange through this seaway would have been slow enough to maintain  
329 a small difference between seawater  $^{187}\text{Os}/^{188}\text{Os}$  within the Arctic Basin and the  $^{187}\text{Os}/^{188}\text{Os}$  of the  
330 global ocean (Fig. 2), but was sufficiently voluminous for Arctic Ocean seawater  $\delta^{98/95}\text{Mo}$  to record  
331 the global seawater  $\delta^{98/95}\text{Mo}$  (Dickson et al., 2012). This difference in isotopic responses arises  
332 because the residence time of Mo in seawater is approximately an order of magnitude longer than  
333 for Os, and thus would require an almost complete cessation of seawater exchange before the  
334  $\delta^{98/95}\text{Mo}$  of Arctic Ocean seawater could begin to evolve independently from that of the global  
335 ocean.

336 It has been suggested that multiple sources of carbon could be necessary to reconcile  
337 observations of carbonate dissolution and the shape and magnitude of the PETM CIE (Zeebe et  
338 al., 2004; Dunkley-Jones et al., 2010; Carozza et al., 2011). Tectonic uplift of the North Atlantic  
339 seaway by NAIP volcanic activity could have altered North Atlantic Ocean circulation, generating  
340 warming at intermediate depths sufficient to destabilise gas hydrates buried in marine sediments  
341 (Lunt et al., 2011, Bice and Marotzke, 2002; Roberts et al., 2009; Sluijs et al., 2007). This scenario  
342 requires a time lag of at least several thousand years between the emergence of the North Atlantic  
343 seaway and the release of additional fossil carbon, and is consistent with the commencement of  
344 Arctic Basin restriction prior to the onset of the PETM observed at Site M0004A. A key test of this  
345 hypothesis will be the recovery of a complete PETM section from the Arctic Ocean by future  
346 drilling.

347

348 **Conclusions**

349 The new Os-isotope data presented here from the Peri-Tethys and Arctic Ocean sites all exhibit a  
350 small increase towards more radiogenic Os-isotope values during the PETM, reflecting a  
351 proportional increase in the flux of radiogenic Os to the oceans in response to elevated continental  
352 weathering rates. The data also indicate that seawater  $^{187}\text{Os}/^{188}\text{Os}$  became relatively unradiogenic  
353 for a short interval of time either at, or slightly before the onset of the PETM. Together with  
354 evidence for enhanced hydrological restriction in the Arctic Basin in response to the emplacement  
355 of the NAIP, these data strongly support the hypothesis that volcanism triggered the carbon-cycle  
356 feedbacks that caused rapid global warming and environmental change during the PETM (Eldholm  
357 and Thomas, 1993; Svensen et al., 2004; MacLennan and Jones, 2006; Cohen et al., 2007; Storey  
358 et al., 2007; Du Vivier et al., 2012; Weiczorek et al., 2013). Lastly, the new results show that there  
359 were clear differences between the Os-isotope compositions of seawater in the Arctic basin and in  
360 the global ocean during the early Eocene. Since restricted basins, such as the Arctic, are  
361 inherently prone to forming organic-rich mudrock deposits, these observations reinforce the  
362 importance (e.g. Dickson et al., 2012, 2014b) of understanding the original depositional and  
363 hydrographic setting of the deposits before inferences about global seawater chemistry can be  
364 made (e.g. Paquay and Ravizza, 2012; Du Vivier et al., 2012).

365

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371

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607

608

609 **Figure captions**

610

611 **Figure 1:** Map of locations. KR: Kheu River, Karbardino-Balkaria; DZ: Dzhengutay, Dagestan; GF:  
612 Guru-Fatima, Tajikistan; ZU: Zumaya, Spain; site numbers refer to Deep Sea Drilling Program  
613 (Sites 213 and 549) and Integrated Ocean Drilling Program (Site M0004A) cores. The shaded  
614 ellipse represents the zone of transient uplift associated with the NAIP mantle plume in the North  
615 Atlantic seaway region modelled by MacLennan and Jones (2006). Base map modified from  
616 www.scotese.com.

617

618 **Figure 2:** C- and Os-isotope, and Os abundance [ $^{192}\text{Os}$ ] data from the Arctic and Tethys Oceans.  
619  $\delta^{13}\text{C}_{\text{org}}$  data at Site M0004A are from Stein et al. (2006), Sluijs et al. (2006) and Dickson et al.  
620 (2012). Biostratigraphic and magnetostratigraphic constraints are from Expedition 302 Scientists  
621 (2006), Backman et al. (2008), Gavrilov et al. (2003) and Gavrilov et al. (2009). F.O. A. *aug*: First  
622 occurrence of *Apectodinium augustum*; H.O. C. *w*: Highest occurrence of *Cerodinium wardense*.  
623 Dashed lines on the Site M0004A stratigraphy are used where core gaps are present. Closed  
624 symbols are repeat analyses of sample powders.  $^{187}\text{Os}/^{188}\text{Os}_{(i)}$  uncertainties are the 2 S.D. external  
625 reproducibility calculated from an in-house mudrock standard. The shaded region denotes the  
626 PETM negative C-isotope excursion, based on the C-isotope stratigraphies at each location.

627

628 **Figure 3:**  $^{187}\text{Re}/^{188}\text{Os}$ - $^{187}\text{Os}/^{188}\text{Os}$  evolution plots for A, B: Site M0004A; C, D: Kheu River; E,F:  
629 Dzhengutay and G,H: Guru-Fatima. The upper row of graphs shows all data for each site, while the  
630 lower row of graphs shows only the Re-Os data from within the PETM as defined by carbon  
631 isotope stratigraphy. Regressions statistics were calculated using the long-term reproducibility (2  
632 S.D.) of an in-house mudrock standard, which was 1.7% for  $^{187}\text{Os}/^{188}\text{Os}$  and 2.5% for  $^{187}\text{Re}/^{188}\text{Os}$ .

633

634 **Figure 4:** Expanded view of C- and Os-isotope data across the onset of the PETM at Kheu River  
 635 and Guru-Fatima (this study), Zumaya (Schmitz et al., 2004), and Svalbard core BH9/05  
 636 (Weiczorek et al., 2013). The four sites all record excursions of local seawater  $^{187}\text{Os}/^{188}\text{Os}$  to more  
 637 unradiogenic values near the onset of the PETM.  
 638

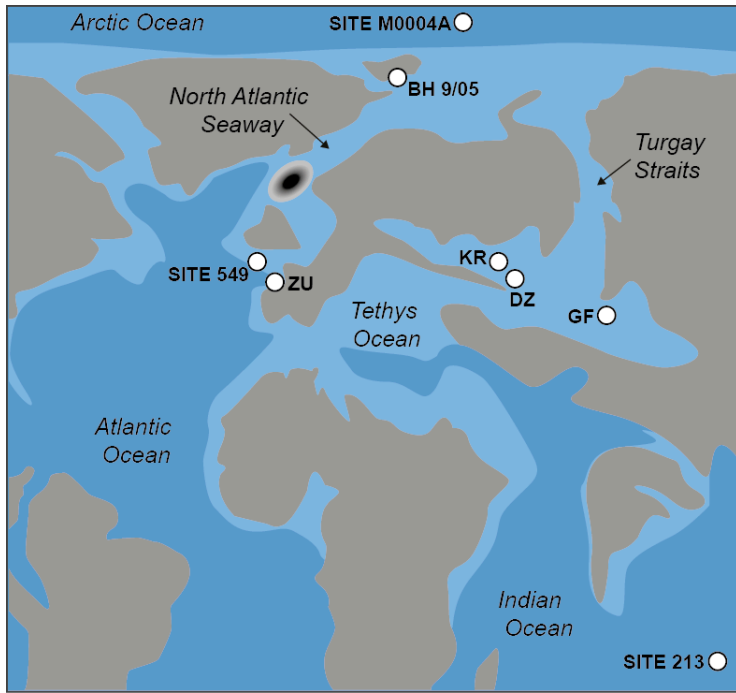


Fig. 1

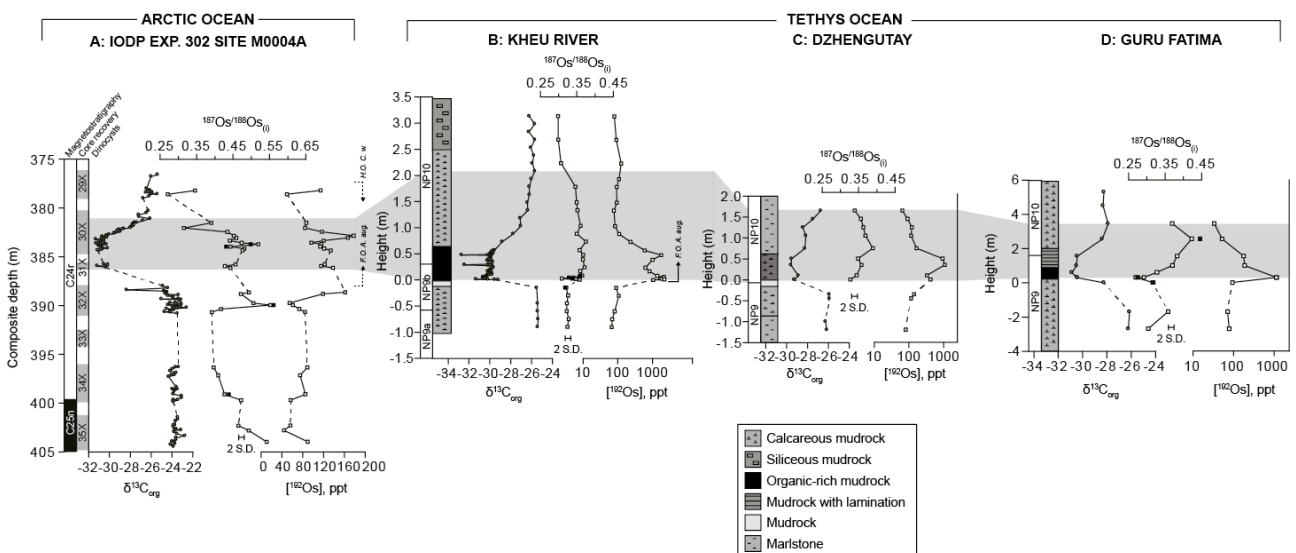
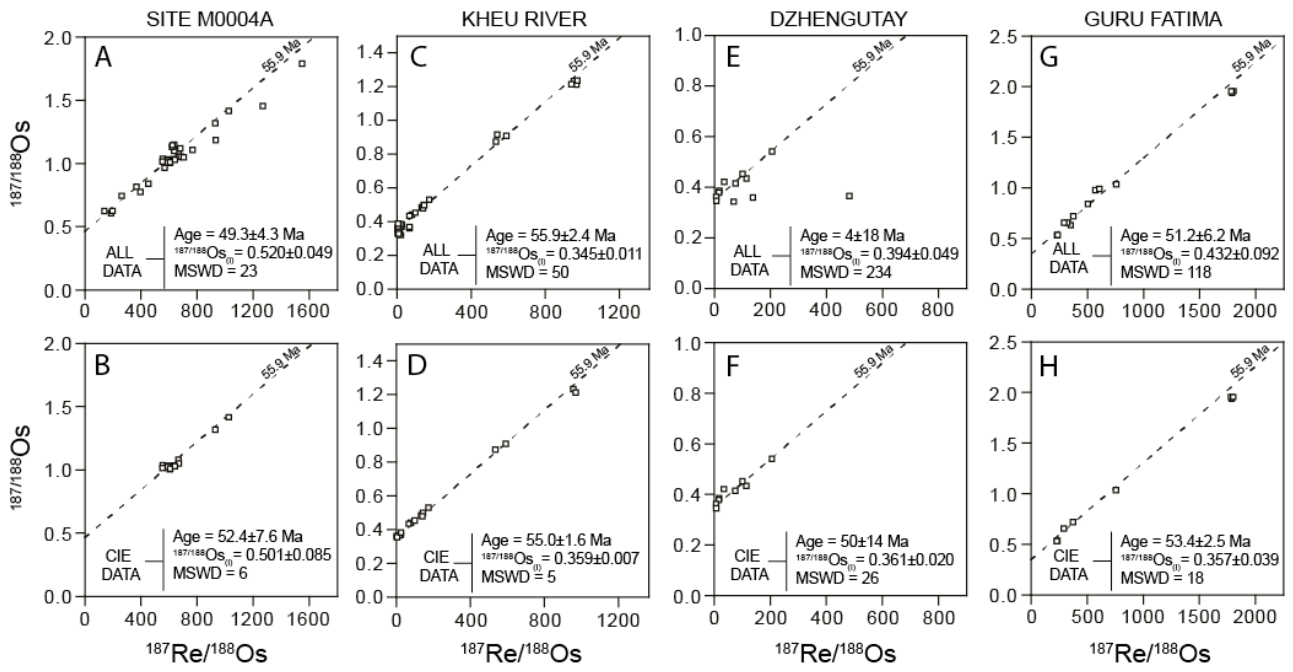


Fig. 2

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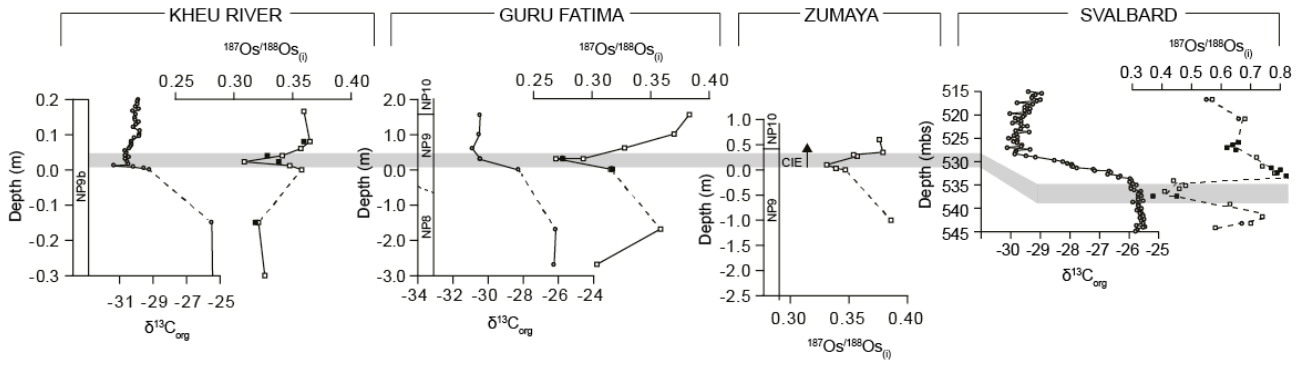




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647 Fig. 3

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649

650 Fig. 4

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