

Encapsulation of Bifidobacterium longum in alginate-dairy matrices and survival in simulated gastrointestinal conditions, refrigeration, cow milk and goat milk

Article

Accepted Version

Creative Commons: Attribution-Noncommercial-No Derivative Works 4.0

Prasanna, P. H. P. and Charalampopoulos, D. (2018) Encapsulation of Bifidobacterium longum in alginate-dairy matrices and survival in simulated gastrointestinal conditions, refrigeration, cow milk and goat milk. Food Bioscience, 21. pp. 72-79. ISSN 2212-4292 doi: https://doi.org/10.1016/j.fbio.2017.12.002 Available at http://centaur.reading.ac.uk/74692/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>. Published version at: http://dx.doi.org/10.1016/j.fbio.2017.12.002 To link to this article DOI: http://dx.doi.org/10.1016/j.fbio.2017.12.002

Publisher: Elsevier

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.



www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading

Reading's research outputs online

- Encapsulation of *Bifidobacterium longum* in alginate-dairy matrices and
 survival in simulated gastrointestinal conditions, refrigeration, cow milk and
 goat milk
- 4

5 Running title: Encapsulation of bifidobacteria in alginate-dairy based 6 matrices

- 7 P.H.P. Prasanna ^{a, b*}, D. Charalampopoulos ^a
- 8 ^a Department of Food and Nutritional Sciences, University of Reading, Whiteknights,
- 9 Reading, RG6 6AP, UK
- 10 ^b Department of Animal and Food Sciences, Faculty of Agriculture, Rajarata University of
- 11 Sri Lanka, Puliyankulama, Anuradhapura, Sri Lanka
- 12
- 13 *Corresponding author:
- 14 P.H.P. Prasanna
- 15 Department of Animal and Food Sciences, Faculty of Agriculture, Rajarata University of Sri
- 16 Lanka, Puliyankulama, Anuradhapura, Sri Lanka.
- 17 Tele:0094714458656
- 18 Fax:0094252221614
- 19 Email:phpprasanna@yahoo.com
- 20
- 21
- 22
- 23

24 Abstract

25 The aim of this study was to microencapsulate *Bifidobacterium longum* subsp. *infantis* CCUG 26 52486 using the extrusion method in a variety of matrices, namely sodium alginate (SA), 27 sodium alginate-cow milk (SACM), sodium alginate-goat milk (SAGM) and sodium alginate-28 casein hydrolysate (SACH), and to evaluate the survival of free and encapsulated bacterial cells 29 under different conditions. The encapsulation yield, size and surface morphology of the 30 microcapsules were evaluated. The survival of microencapsulated bacterial cells and free 31 bacterial cells were evaluated under simulated gastrointestinal conditions as well as in 32 refrigeration, cow milk and goat milk during storage at 4 °C for 28 days. The average size of 33 SACM capsules and SAGM capsules was 2.8±0.3 mm and 3.1±0.2 mm respectively. Goat 34 milk and cow milk based matrices resulted in dense microcapsules which led to better 35 performances in simulated gastrointestinal conditions than SA and SACH microcapsules. The 36 bacterial cells encapsulated in SAGM showed the highest survival rate in cow milk (7.61 log 37 cfu g⁻¹) and goat milk (8.10 log cfu g⁻¹) after the storage of 28 d. The cells encapsulated in SA 38 and SACH and the free cells performed poorly under the simulated gastrointestinal conditions and in all different storage conditions. This study showed that SACM and SAGM are suitable 39 40 to encapsulate B. longum subsp. infantis CCUG 52486 using the extrusion technique and more 41 specifically, SAGM has a potential to be used as a new encapsulation material for 42 encapsulating probiotic bacteria, resulting milk and goat milk-based products with higher 43 probiotic cell concentrations during refrigerated storage.

44

Keywords: Encapsulation; *Bifidobacterium*; Cow milk; Goat milk; Survival, Refrigeration
 46

47 **1. Introduction**

48 Bifidobacteria are a major group of probiotic microorganisms, which have been widely 49 researched for their probiotic properties. Bifidobacteria are considered to exert many beneficial 50 effects to the human host such as alleviation of lactose intolerance, reduction of serum 51 cholesterol levels, synthesis of some vitamins, prevention of colonization of pathogens, 52 modulation of the immune system, reduction of symptoms of irritable bowel disease, and 53 prevention of diarrhoea (Shah, 2007; Xiao et al., 2003). They have been shown to be suitable 54 for incorporation as a co-starter in different food products including dairy-based food 55 formulations (Bunesova et al., 2015; Prasanna et al., 2014). The therapeutic concentration of probiotic bacteria in a product should be around 6 log CFU g-1 until the end of their shelf life 56 57 (Donkor et al., 2006). In addition, bifidobacteria must endure the high acidic condition in the 58 stomach and hydrolytic enzymes and bile salts in the small intestine prior to reaching the colon 59 in large quantities, which is essential for effective permanent or transient colonization of 60 bacteria (Song et al., 2013). Furthermore, most strains of bifidobacteria show poor growth and 61 viability in milk and fermented milk products (Ranadheera et al., 2014).

62

63 In this context, microencapsulation has been widely researched to create a physical barrier 64 protecting the bacteria from adverse conditions during production processes and digestion 65 (Fritzen-Freire et al., 2012). There are many microencapsulation techniques which have been 66 used with probiotics such as emulsion, extrusion, spray drying, freeze drying, coacervation, 67 fluidized bed coating and phase separation (Rajam et al., 2012). Most of these techniques involve harsh processing conditions, which directly affect the viability and the performances 68 69 of the encapsulated probiotic bacteria. However, the extrusion method involves mild conditions 70 during probiotic encapsulation (Shi et al., 2013a). In this method, a hydrocolloid solution 71 containing concentrated probiotic bacteria is dropped into a solidifying solution. Sodium 72 alginate obtained from brown seaweed has been widely researched as an encapsulation material 73 for probiotics. However, alginate cannot protect effectively probiotic bacteria from the highly 74 acidic environment due to the porous structure of alginate beads, which supports the easy 75 diffusion of acid and other materials inside (Rajam et al., 2012). Therefore, it is recommended 76 to blend or coat alginate with other filler materials to overcome the above-mentioned 77 disadvantages (Cook et al., 2013).

Many studies have reported the effectiveness of different alginate based matrices for 79 80 microencapsulation of probiotic, such as alginate-starch (Sultana et al., 2000), alginate-81 chitosan (Chávarri et al., 2010; Krasaekoopt et al., 2004), alginate-gelatin (Li et al., 2009), 82 alginate-pectin (Sandoval-Castilla et al., 2010) and alginate-whey protein (Gbassi et al., 2009). 83 In addition, there has been a considerable interest in using dairy-based matrices to encapsulate 84 probiotic bacteria, since these materials contain lactose and proteins which can provide good 85 protection for cells during the handling and digestion process (Maciel et al., 2014). Milk and 86 milk proteins are used in many food formulations and are widely accepted by consumers due 87 to unique physicochemical properties. In the context of encapsulation, milk and milk proteins 88 have technological properties such as high buffering capacity, good emulsification properties 89 and the ability to make networks, even at low concentration (Würth et al., 2015). In addition, 90 it is reported that microcapsules containing dairy proteins can lead to higher bacterial survival 91 during digestion (Burgain et al., 2014). Furthermore, usage of milk based materials for 92 encapsulation of microorganisms would be suitable to be used in dairy-based food products 93 with improved physicochemical properties (Ranadheera et al., 2016). Therefore, there is a high 94 potential to use different milk types and milk based proteins with alginate to encapsulate, 95 protect and control the release of probiotic bacteria in the digestive tract (Özer et al., 2009; 96 Ranadheera et al., 2015).

97 However, there are few recorded reports on the effect of different alginate-dairy based matrices 98 on encapsulation of bifidobacteria. In addition, to the best of authors' knowledge goat milk has 99 not been used with alginate to encapsulate bifidobacteria using the extrusion technique. 100 Therefore, the aim of this study was to evaluate the survival of *Bifidobacterium longum* subsp. 101 infantis CCUG 52486 encapsulated in sodium alginate, sodium alginate-cow milk, sodium 102 alginate-goat milk and sodium alginate-casein hydrolysate in simulated gastrointestinal 103 conditions and during storage in cow milk, goat milk and refrigeration at 4 °C for 28 days. This 104 Bifidobacterium strain was selected as in our previous studies, it was shown to produce an 105 exopolysaccharide (EPS) in milk (Prasanna et al., 2012) and to improve the physicochemical 106 and rheological properties of low-fat set yoghurt (Prasanna et al., 2013). In addition, this strain 107 has been characterized as a probiotic strain (Gougoulias et al., 2008) and to have a high a high 108 angiotensin-I-converting enzyme (ACE) inhibitory activity in fermented milk (Gonzalez-109 Gonzalez et al., 2011)

111 **2. Materials and methods**

112 2.1. Bacterial strain and growth conditions

113 B. longum subsp. infantis CCUG 52486 was obtained from the culture collection of the 114 University of Göteborg in Sweden. The cell bank of microorganism was stored at -80 °C in 115 Wilkins-Chalgren (WC) anaerobe broth (Oxoid, Hampshire, UK) containing 15% (v/v) glycerol. The frozen stock was initially propagated in Bifidobacteria Selective Medium (BSM) 116 117 agar (Sigma-Aldrich, Dorset, UK) under anaerobic conditions at 37 °C for 72 h. Two 118 successive cultures of bacteria were carried out in WC broth (Oxoid, UK) under anaerobic 119 condition at 37 °C for 18 h. Subsequently, a cell aliquot of the preculture (1%, v/v) was used 120 to inoculate 200 mL of WC broth (Oxoid, UK) and incubated at 37 °C for 18 h under anaerobic 121 condition. Bacterial cells were harvested after by centrifugation at 10,000 rpm for 10 min at 4 122 °C. The pellet was washed with sterile phosphate buffered saline (PBS) (Oxoid, UK) and 123 aseptically resuspended in 10 mL of PBS (Oxoid, UK) to prepare the concentrated cell 124 suspension.

125

126 2.2. Encapsulation of B. longum subsp. infantis CCUG 52486

127 Sterilized cow milk and sterilized goat milk were purchased from a local supermarket. Casein 128 hydrolysate solution (2%, w/v, Sigma-Aldrich, UK) and sodium alginate solution (2%, w/v, 129 low viscosity, Sigma-Aldrich, UK) were sterilized at 121 °C for 15 min. Three different 130 alginate-dairy based microsphere formulations were prepared. They were SACM (sodium 131 alginate/cow milk = 1.5/1, v/v), SAGM (sodium alginate/goat milk = 1.5/1, v/v) and SACH (sodium alginate/casein hydrolysate = 1.5/1, v/v); SA (sodium alginate) was used as the 132 133 control. Each alginate-based formulation was mixed with the concentrated cell suspension at a 134 ratio of 4:1 (alginate-based mixture solution: the concentrated cell suspension, v/v). In the case 135 of free cells, 10 mL of the concentrated cell suspension was mixed with 40 mL of PBS (Oxoid, 136 UK). The hydrocolloid-cell suspensions were dropped through a 21G needle into sterile 0.1 M 137 CaCl₂ (Sigma-Aldrich, UK) under gentle stirring; the dropping height was 10 cm. 138 Microcapsules were allowed to harden for 30 minutes and were then washed with sterile PBS (Oxoid, UK) and stored in sterilized plastic containers at 4 °C. The cell concentration 139 encapsulated in the microcapsules was around 9 log cfu g^{-1} . 140

142 2.3. Determination of encapsulation yield and size of alginate-milk microcapsules

The encapsulation yield (EY) was determined using the following equation. EY = (Number of cells released from microcapsules) / (Number of cells added to the respective alginate based microsphere formulation) X 100. The size of different microcapsules was measured using a vernier caliper. For this, 30 microcapsules were randomly selected from each microsphere formulation to calculate the mean size.

148

149 2.4. Determination of viability of free and encapsulated bacteria

150 Samples of free B. longum subsp. infantis cells were serially diluted in PBS (Oxoid, UK) and 151 100 µL aliquots were plated on BSM agar (Sigma-Aldrich, UK) to enumerate the viable 152 bacterial counts. The plates were incubated under anaerobic conditions at 37 °C for 72 h. In 153 the case of encapsulated bacteria, the samples were completely dissolved in sterilized 50 mM 154 sodium citrate (Sigma-Aldrich, UK) solution at pH 7.5 before plating as described by Shi et al. 155 (2013a). For this, 1 g of the encapsulated bacteria was dissolved in 9 mL sodium citrate and 156 the samples were serially diluted in PBS (Oxoid, UK). Aliquots of 100 µL of the serially diluted 157 sample were plated on BSM agar (Sigma-Aldrich, UK) and after incubation, the viable cell counts were enumerated. 158

159

160 2.5. Survival of free and encapsulated bacteria in simulated gastrointestinal conditions

161 Simulated gastric juice (SGJ) was prepared by dissolving 0.2% NaCl (w/v) in 0.08 M HCl, at 162 pH 2 as described by Sun and Griffiths (2000). The microcapsules (1 g) or the free cells (1 mL) 163 were added to glass tubes containing 9 mL of sterilized SGJ and placed in a water bath at 37 164 °C. Samples were taken at 0, 30, 60 and 120 min, during incubation. For the free cells, the 165 samples were taken and centrifuged at 10,000 rpm for 10 min, at 4 °C. The pellet was dissolved 166 in PBS (Oxoid, UK) and used for cell enumeration. In the case of microencapsulated bacterial 167 cells, the microcapsules were separated from the samples and dissolved in sodium citrate (50 168 mM) before plating. For enumeration, all samples were serially diluted in PBS (Oxoid, UK) 169 and viable cells were enumerated as described in Section 2.4.

170

Simulated intestinal juice (SIJ) was prepared as described by Chávarri et al. (2010). For this, 3
g of bile salt (Sigma-Aldrich, UK) were dissolved in 1 L of intestinal model solution (6.5 g/L

- NaCl, 0.835 g/L KCl, 0.22 g/L CaCl₂ and 1.386 g/L NaHCO₃), at pH 7.5. Microcapsules (1 g)
 or the free cells (1 mL) were added to glass tubes containing 9 mL of sterilized SIJ and placed
 in a water bath at 37 °C. The sampling and enumeration of free and encapsulated *B. longum*
- 176 subsp. *infantis* CCUG 52486 were carried out as described previously.
- 177

178 2.6. Survival of free and microencapsulated bacterial cells in refrigeration, cow milk and goat
179 milk during refrigerated storage

180 In the case of refrigerated storage, microcapsules or free cells were stored (1 g for microcapsules/ 1 mL for free cells in each portion) in sterilized centrifuge tubes (15 mL 181 182 capacity, polypropylene, Fisher Scientific, Loughborough, UK), at 4 °C for 28 days. In the case 183 of cow milk, 1 mL of the free cells or 1 g of the encapsulated bacteria was mixed with 10 mL 184 of sterilized cow milk in sterilized centrifuge tubes (15 mL capacity, polypropylene, Fisher 185 Scientific, UK). In the case of goat milk, 10 mL of sterilized goat milk in sterilized centrifuge 186 tubes (15 mL capacity, polypropylene, Fisher Scientific, UK) were mixed with 1 mL of the 187 free cells or 1 g of the encapsulated cells. The centrifuge tubes containing free and encapsulated 188 bacteria and inoculated milk samples were stored at 4 °C for 28 days. Afterwards, the samples 189 were collected on 0, 7, 14, 21 and 28 days and analyzed for the viability of cells as described 190 in Section 2.4.

191

192 2.7. Scanning electron microscopic (SEM) analysis of surface of microcapsules

193 The microcapsules were dehydrated sequentially in a series of ethanol solutions (30, 50, 70, 194 80, 90, and 100%). For this, the microcapsules were soaked for 15 minutes in each solution. 195 The dehydrated microcapsules were critical point dried using a critical point dryer (Balzers 196 CPD 030, Liechtenstein, Germany) with liquid carbon dioxide. The dried samples were fixed 197 to the SEM stubs with double-sided tape. Afterward, the microcapsules were gold coated using 198 an Edwards S150B sputter-coater for 2.5 min (Edwards, West Sussex, UK). The surface of 199 coated microcapsules was examined using a scanning electron microscope (FEI, Quanta 600 200 F, USA).

201

202 2.8. Statistical analysis

All the experiments were conducted in triplicate. Results of the size of microcapsules and encapsulation efficiency were analyzed using one-way analysis of variance (ANOVA) with

- 205 Turkey's multiple comparison tests (SAS, version 9.2, SAS Institute Inc., Cary NC, USA).
- 206 Results of viable counts from simulated gastrointestinal conditions and from storage studies
- 207 were analyzed as a split-plot in time design using the General Linear Model (GLM) procedure
- 208 of SAS, version 9.2 (SAS Institute Inc., Cary NC, USA).
- 209

210 **3. Results and discussion**

- 211 3.1. Size, encapsulation yield and surface morphology of microcapsules
- 212

213 Table 1 shows the size of the different microcapsules. The type of encapsulation material had 214 a significant influence (p < 0.05) on the size of microcapsules. The largest microcapsules were 215 observed with SAGM while their sizes were not significantly different (p>0.05) with those of 216 SACM microcapsules. The smallest microcapsules in this study were observed with SA 217 though, the value was not significantly different with that of SACH. There is no published 218 literature to compare with the size of SAGM microcapsules, which have been prepared using 219 the extrusion technique. Our results showed that the addition of goat milk and cow milk to 220 sodium alginate resulted larger microcapsules than SA and SACH. This may be due to the 221 higher protein content of cow milk and goat milk which, can lead to higher total protein content 222 of SACM and SAGM. Similarly, Klemmer et al. (2011) and Shi et al. (2013a) reported that the 223 higher protein content in matrices could lead to larger microcapsules.

224

225 The type of encapsulating matrices had no significant (p>0.05) effect on the encapsulation

226 yield (

Table *I*) and the values ranged from 94.1% to 95.6%. Our results are in accordance with findings of Pan et al. (2013) who reported around 99% of the encapsulation efficiency of bacteria with alginate-skim milk. The results clearly showed that there was a very low loss of cell viability during the encapsulation which was due to the mild conditions used. In general, extrusion method is commonly used with hydrocolloids and reported to yield higher encapsulation yield (Krasaekoopt et al., 2003).

233

234 The surface morphology of the microcapsules was investigated using SEM micrographs. Fig.1 235 shows the surface of different microcapsules at a magnification of 10000. Porous 236 microcapsules were observed with SA [Fig.1 (A)]. Furthermore, SA microcapsules had cracks 237 on their surface and could not protect entrapped cells from adverse environmental conditions. 238 Similarly, Li et al. (2009) reported porous structure for microcapsules produced using alginate. 239 Modification of alginate with cow milk and goat milk resulted in the microcapsules (SACM, 240 SAGM) with denser surface morphology [Fig.1 (B) and (C)]. In addition, these microcapsules 241 did not have cracks that could ensure high protection for encapsulated cells from adverse 242 conditions. SACH microcapsules showed irregular surface morphology [Fig.1 (D)] which 243 could not give better protection for entrapped cells than that of SACM and SAGM 244 microcapsules.

245

3.2. Survival of free and encapsulated B. longum subsp. infantis CCUG 52486 in simulated
gastric juice

248 Microencapsulation provided a significant protection for the cells in simulated gastric juice 249 (Fig. 2). The viable cell count of free B. longum subsp. infantis CCUG decreased significantly 250 (p < 0.05) within 90 min of the incubation period and the cell count of free cells dropped to an undetectable level ($< 10^1$ cfu mL⁻¹) after 120 min. This is because bifidobacteria are fastidious 251 252 organisms which are sensitive to acidic environment leading to challenges in industrial 253 applications. Similarly, Lee and Heo (2000) observed a rapid reduction of the cell viability of 254 free B. longum KCTC 3128 within 30 min when exposed to a simulated gastric environment. 255 The present study also demonstrated that sodium alginate itself could not protect B. longum 256 subsp. *infantis* CCUG from the highly acidic environment for a long time. Alginate is a copolymer and composed of D-mannuronic and L-guluronic acids. This copolymer is not stable 257 258 at low pH condition (Liserre et al., 2007). Dissolution and erosion of alginate occur at low pH

259 and lead for destruction of capsule structure. Our results are in accordance with findings of 260 Krasaekoopt et al. (2004) and who reported poor viability of bacterial cells microencapsulated 261 with alginate in simulated gastric juice. The results clearly showed that microencapsulation 262 with SACM and SAGM gave a better protection for the cells than SA and SACH. The viable cell counts of SACM and SAGM microcapsules were 6.37 log cfu g⁻¹ and 5.19 log cfu g⁻¹ 263 respectively, after 120 min. The better protection observed in microencapsulated bacterial cells 264 265 by cow milk and goat milk based matrices may be due to the high buffering capacity of milk proteins. In addition, milk proteins can interact with alginate and act as filling materials which 266 267 can seal the porous structure of alginate-milk based microcapsules (Kailasapathy, 2006). Our 268 results are in accordance with observations made in some other studies. Guérin et al. (2003) 269 reported that the encapsulated bifidobacteria in a mixed gel made of alginate, pectin and whey 270 proteins could survive better in simulated gastric juice at pH 2.5 due to buffering activities of 271 whey proteins.

272

273 3.3. Survival of free and encapsulated bacterial cells in simulated intestinal juice

274 The survival of free and encapsulated B. longum subsp. infantis CCUG 52486 in simulated 275 intestinal juice at 37 °C for 2 h is presented in Fig. 3. Encapsulation gave a significant (p < 0.05) 276 protection for bacterial cells in simulated intestinal juice. The viable count of free cells showed 277 a significant (p < 0.05) decrease within 120 min. This may be due to the interaction of bile salt 278 with the free cells leading to lose of cell wall integrity. The loss of cell wall integrity may lead 279 to leakage of intercellular materials from the cells leading for death of cells (Bron et al., 2004). 280 Similarly, Clark and Martin (1994) reported a rapid decrease of the viability of free cells of B. 281 adolescentis in 2% bile salt solution at 37 °C.

282

Milk based microcapsules (SACM and SAGM) were the most effective in protecting the cells 283 284 in simulated intestinal juice. It is due to milk ingredients, which can modify the textural 285 properties of alginate-milk based matrices [Fig.1 (B) and (C)], as the modified matrices resist 286 the diffusion of bile salt into the microcapsules. Similarly, alginate-milk based matrices were 287 shown to be effective in protection of Lactobacillus bulgaricus (Shi et al., 2013a; Shi et al., 288 2013b) and Enterococcus faecalis (Shi et al., 2016) in simulated intestinal solution. SA and 289 SACH microcapsules provided a limited protection for bacterial cells during the incubation 290 period. This is due to the poor structure of those matrices [Fig.1 (A) and (D)], which can allow

diffusion of bile salt into the microcapsules (Hansen et al., 2002; Lee and Heo, 2000).
Similarly, Krasaekoopt et al. (2004) reported poor viability of *B. bifidum* ATCC 1994
capsulated in alginate matrices when exposed to bile salt solution.

294

295 *3.4. Stability of free and encapsulated bacteria cells under refrigerated condition*

296 Fig. 4 shows the viability of free and encapsulated B. longum subsp. infantis CCUG 52486 297 with different alginate-based matrices during the refrigerated storage at 4 °C. The cell 298 concentration of free *B. longum* subsp. *infantis* CCUG 52486 decreased significantly (p < 0.05) from 8.96 log cfu g⁻¹ to 3.62 log cfu g⁻¹, indicating the inability of the free cells to maintain 299 their viability under the refrigerated storage condition. The results further revealed that 300 301 encapsulation could improve the viability of bacterial cells during refrigerated storage for 28 302 days. SA and SACH microcapsules showed higher cell viability than that of the free cells 303 during the refrigerated storage. However, they were unable to maintain the viability of cells during the storage above the recommended count of 6 log cfu g⁻¹. Similarly, some studies 304 305 reported that encapsulation of probiotic bacteria in sodium alginate could improve the storage 306 stability of bacterial cells than that of the free cells (Chávarri et al., 2010; Krasaekoopt et al., 307 2004).

308

309 SACM and SAGM microcapsules gave better protection for the cells during the refrigerated storage and both materials were able to maintain the cell concentrations above 6 log cfu g⁻¹ 310 311 after 28 days of storage than SA and SACH. However, the final cell counts of these two 312 microcapsules were not significantly different (p < 0.05). This may be due to the denser surface 313 morphology of alginate-dairy microcapsules [Fig.1 (B) and (C)], which can protect the 314 encapsulated cells from adverse conditions of the environment. Similarly, some other alginate-315 based microcapsules have been shown to be effective to give better protection for probiotics 316 during the refrigerated storage. Encapsulation of Lactobacillus gasseri and B. bifidum in 317 chitosan-coated alginate microspheres was shown to be effective to maintain viability 318 throughout the storage period at 4 °C for 28 days (Chávarri et al., 2010). In addition, Zou et al. 319 (2011) showed that chitosan-coated alginate microspheres provided a better protection for the 320 microencapsulated *B. bifidum* F-35 cells than that of the free cells during the storage at 4 °C 321 for 1 month.

323 3.5. Survival of free and encapsulated bacterial cells in cow milk and goat milk at 4 °C

Table 2 shows the survival of free and encapsulated stored in cow milk at 4 °C for 28 days. 324 325 The results indicated that encapsulation improved the survival of bacterial cells in cow milk 326 during storage. The free cells showed poor storage stability in cow milk where the cell concentration was significantly (p<0.05) reduced from 8.65 log cfu mL⁻¹ to 4.38 log cfu mL⁻¹ 327 328 within 28 days. SAGM microcapsules gave the best protection for the cells followed by SACM 329 microcapsules. However, SA and SACH microcapsules could give a limited protection during 330 the storage in cow milk. Fig. 5 shows the results of free and encapsulated bacterial counts in 331 goat milk during storage at 4 °C for 28 days. There was a significant reduction (p < 0.05) in the 332 viability of free cells during the storage. However, the results revealed that encapsulation of B. 333 longum subsp. infantis CCUG 52486 improved the survival of bacterial cells in goat milk 334 during the storage period of 28 days. The highest survival of bacterial cells during the storage 335 was observed with SAGM microcapsules followed by SACM microcapsules; where they 336 maintained the viability of bacterial cells above 6 log cfu g⁻¹ in goat milk during the storage period. Viable cell counts of SA and SACH microcapsules rapidly declined with the storage. 337

338

339 Poor viability of free cells in cow milk and goat milk is due to lack of availability of small 340 peptides and free amino acids for their growth (Gomes et al., 1998; Martín-Diana et al., 2003). 341 In this study, pure goat milk and cow milk were used to inoculate bacteria without any 342 supplementation. Similarly, Hansen et al. (2002) observed poor viability of free B. longum Bb-343 46 cells in milk during storage at 4 °C for 16 days than that of encapsulated bacterial cells. The 344 poor survival of bacterial cells encapsulated in SA and SACH is due to the fragile texture of 345 walls of these microcapsules [Fig.1 (A) and (D)], which exposes bacterial cells to the external 346 environment. The high survival rate observed with microencapsulated bacterial cells with 347 SACM and SAGM [Fig.1 (B) and (C)] in cow milk and goat milk may be due to improved 348 denser surface characteristics compared to SA and SACH. The modified structure of SACM 349 and SASM could protect their content form the adverse external environments. There is no 350 recognized published literature about the survival of bifidobacteria encapsulated using 351 alginate-milk based matrices in goat milk during storage to compare with our results. However, 352 some authors have reported that encapsulation can improve the viability of bifidobacteria in 353 cow milk and cow milk-based products. Hansen et al. (2002) showed the effectiveness of 354 alginate microcapsules to improve the viability of *B. longum* Bb-46 in cow milk during the 355 storage at 4 °C for 16 days. In another study, B. bifidum encapsulated in alginate beads coated with chitosan was shown to have better survival than the free cell in yoghurt after the storage at 4 °C for 4 weeks (Krasaekoopt et al., 2006). In addition Kailasapathy (2006) showed that the alginate-starch encapsulated *B. lactis* had higher survival than the free cells in yoghurt at 4 °C for 7 weeks.

360

The present study demonstrates that encapsulation of *B. longum* subsp. *infantis* CCUG 52486 in SACM and SAGM microcapsules beneficially influences the viability of bacterial cells in cow milk and goat milk during the storage at 4 °C for 28 days. Therefore, microencapsulation of bifidobacteria with SACM and SAGM could be used to enhance the growth of them in nonfermented cow milk and goat milk based products. Further studies should be carried out to evaluate the effect of encapsulation of bifidobacteria with SACM and SAGM microcapsules in fermented milk-based products and other food systems.

368

369 **4. Conclusions**

370 The mixing of alginate with cow milk and goat milk resulted in microcapsules with denser 371 surface and the cells encapsulated in these matrices performed better in simulated 372 gastrointestinal conditions than the bacterial cells encapsulated in SA and SACH 373 microcapsules. Improved structural characteristics of SACM and SAGM microcapsules could 374 improve survival of encapsulated bacterial cells in cow milk, goat milk and refrigeration at 4 375 ^oC for 28 days compared to SA and SACH microcapsules. Overall, this study showed that 376 mixing of goat milk and cow milk with alginate improved the protection provided by modified 377 microcapsules and could be used to improve survival of probiotic bacteria in non-fermented 378 cow milk and goat milk based products.

379

380 Acknowledgements

P.H.P. Prasanna is a Commonwealth Academic Fellow, funded by the UK government. The
technical assistance with SEM by the Centre for Advanced Microscopy (CfAM), University of
Reading, UK, is greatly acknowledged.

385 **References**

- Bron, P. A., Marco, M., Hoffer, S. M., Van Mullekom, E., De Vos, W. M., & Kleerebezem,
 M. (2004). Genetic characterization of the bile salt response in *Lactobacillus plantarum*and analysis of responsive promoters in vitro and in situ in the gastrointestinal tract. *Journal of Bacteriology*, 186(23), 7829-7835.
- Bunesova, V., Musilova, S., Geigerova, M., Pechar, R., & Rada, V. (2015). Comparison of
 mupirocin-based media for selective enumeration of bifidobacteria in probiotic
 supplements. *Journal of Microbiological Methods, 109*, 106-109.
- Burgain, J., Scher, J., Lebeer, S., Vanderleyden, J., Cailliez-Grimal, C., Corgneau, M.,
 Francius, G., & Gaiani, C. (2014). Significance of bacterial surface molecules
 interactions with milk proteins to enhance microencapsulation of *Lactobacillus rhamnosus* GG. *Food Hydrocolloids*, 41, 60-70.
- Chávarri, M., Marañón, I., Ares, R., Ibáñez, F. C., Marzo, F., & del Carmen Villarán, M.
 (2010). Microencapsulation of a probiotic and prebiotic in alginate-chitosan capsules
 improves survival in simulated gastro-intestinal conditions. *International Journal of Food Microbiology*, 142(1), 185-189.
- 401 Clark, P. A., & Martin, J. H. X. (1994). Selection of bifidobacteria for use as dietary adjuncts
 402 in cultured dairy foods. III. Tolerance to simulated bile concentrations of human small
 403 intestines. *Cultured Dairy Products Journal, 29*, 18-21.
- 404 Cook, M. T., Tzortzis, G., Khutoryanskiy, V. V., & Charalampopoulos, D. (2013). Layer-by405 layer coating of alginate matrices with chitosan-alginate for the improved survival and
 406 targeted delivery of probiotic bacteria after oral administration. *Journal of Materials*407 *Chemistry B*, 1(1), 52-60.
- 408 Donkor, O., Henriksson, A., Vasiljevic, T., & Shah, N. (2006). Effect of acidification on the
 409 activity of probiotics in yoghurt during cold storage. *International Dairy Journal*,
 410 16(10), 1181-1189.
- Fritzen-Freire, C. B., Prudêncio, E. S., Amboni, R. D. M. C., Pinto, S. S., Negrão-Murakami,
 A. N., & Murakami, F. S. (2012). Microencapsulation of bifidobacteria by spray drying
 in the presence of prebiotics. *Food Research International*, 45(1), 306-312.

- Gbassi, G. K., Vandamme, T., Ennahar, S., & Marchioni, E. (2009). Microencapsulation of *Lactobacillus plantarum* spp in an alginate matrix coated with whey proteins. *International Journal of Food Microbiology*, *129*(1), 103-105.
- Gomes, A. M. P., Malcata, F. X., & Klaver, F. A. M. (1998). Growth enhancement of *Bifidobacterium lactis* Bo and *Lactobacillus acidophilus* Ki by milk hydrolyzates. *Journal of Dairy Science*, 81(11), 2817-2825.
- Gonzalez-Gonzalez, C. R., Tuohy, K. M., & Jauregi, P. (2011). Production of angiotensin-Iconverting enzyme (ACE) inhibitory activity in milk fermented with probiotic strains:
 effects of calcium, pH and peptides on the ACE-inhibitory activity. *International Dairy Journal*, 21(9), 615-622.
- Gougoulias, C., Tuohy, K. M., & Gibson, G. R. (2008). Dietary-based gut flora modulation
 against *Clostridium dificile* onset. *Food Science and Technology Bulletin: Functional Foods*, 4(4), 31-41.
- Guérin, D., Vuillemard, J. C., & Subirade, M. (2003). Protection of bifidobacteria encapsulated
 in polysaccharide-protein gel beads against gastric juice and bile. *Journal of Food Protection*, 66(11), 2076-2084.
- Hansen, L. T., Allan-Wojtas, P. M., Jin, Y. L., & Paulson, A. T. (2002). Survival of Ca-alginate
 microencapsulated *Bifidobacterium* spp. in milk and simulated gastrointestinal
 conditions. *Food Microbiology*, 19(1), 35-45.
- Kailasapathy, K. (2006). Survival of free and encapsulated probiotic bacteria and their effect
 on the sensory properties of yoghurt. *LWT-Food Science and Technology*, *39*(10),
 1221-1227.
- Klemmer, K. J., Korber, D. R., Low, N. H., & Nickerson, M. T. (2011). Pea protein-based
 capsules for probiotic and prebiotic delivery. *International Journal of Food Science & Technology*, *46*(11), 2248-2256.
- Krasaekoopt, W., Bhandari, B., & Deeth, H. (2003). Evaluation of encapsulation techniques of
 probiotics for yoghurt. *International Dairy Journal*, *13*(1), 3-13.
- Krasaekoopt, W., Bhandari, B., & Deeth, H. (2004). The influence of coating materials on
 some properties of alginate beads and survivability of microencapsulated probiotic
 bacteria. *International Dairy Journal*, 14(8), 737-743.

- Krasaekoopt, W., Bhandari, B., & Deeth, H. C. (2006). Survival of probiotics encapsulated in
 chitosan-coated alginate beads in yoghurt from UHT-and conventionally treated milk
 during storage. *LWT-Food Science and Technology*, *39*(2), 177-183.
- Lee, K. Y., & Heo, T. R. (2000). Survival of *Bifidobacterium longum* immobilized in calcium
 alginate beads in simulated gastric juices and bile salt solution. *Applied and Environmental Microbiology*, 66(2), 869-873.
- Li, X. Y., Chen, X. G., Cha, D. S., Park, H. J., & Liu, C. S. (2009). Microencapsulation of a
 probiotic bacteria with alginate-gelatin and its properties. *Journal of Microencapsulation*, 26(4), 315-324.
- Liserre, A. M., Ré, M. I., & Franco, B. D. G. M. (2007). Microencapsulation of *Bifidobacterium animalis* subsp. *lactis* in modified alginate-chitosan beads and
 evaluation of survival in simulated gastrointestinal conditions. *Food Biotechnology*,
 21(1), 1-16.
- Maciel, G. M., Chaves, K. S., Grosso, C. R. F., & Gigante, M. L. (2014). Microencapsulation
 of *Lactobacillus acidophilus* La-5 by spray-drying using sweet whey and skim milk as
 encapsulating materials. *Journal of Dairy Science*, 97(4), 1991-1998.
- Martín-Diana, A. B., Janer, C., Peláez, C., & Requena, T. (2003). Development of a fermented
 goat's milk containing probiotic bacteria. *International Dairy Journal*, *13*(10), 827-833.
- 462 Özer, B., Kirmaci, H. A., Şenel, E., Atamer, M., & Hayaloğlu, A. (2009). Improving the
 463 viability of *Bifidobacterium bifidum* BB-12 and *Lactobacillus acidophilus* LA-5 in
 464 white-brined cheese by microencapsulation. *International Dairy Journal*, 19(1), 22-29.
- Pan, L. X., Fang, X. J., Yu, Z., Xin, Y., Liu, X. Y., Shi, L. E., & Tang, Z. X. (2013).
 Encapsulation in alginate–skim milk microspheres improves viability of *Lactobacillus bulgaricus* in stimulated gastrointestinal conditions. *International Journal of Food Sciences and Nutrition*, 64(3), 380-384.
- Prasanna, P. H. P., Grandison, A. S., & Charalampopoulos, D. (2012). Effect of dairy-based
 protein sources and temperature on growth, acidification and exopolysaccharide
 production of *Bifidobacterium* strains in skim milk. *Food Research International*,
 472 47(1), 6-12.
- 473 Prasanna, P. H. P., Grandison, A. S., & Charalampopoulos, D. (2013). Microbiological,
 474 chemical and rheological properties of low fat set yoghurt produced with

- 475 exopolysaccharide (EPS) producing *Bifidobacterium* strains. *Food Research*476 *International*, 51(1), 15-22.
- 477 Prasanna, P. H. P., Grandison, A. S., & Charalampopoulos, D. (2014). Bifidobacteria in milk
 478 products: An overview of physiological and biochemical properties, exopolysaccharide
 479 production, selection criteria of milk products and health benefits. *Food Research*480 *International*, 55, 247-262.
- Rajam, R., Karthik, P., Parthasarathi, S., Joseph, G., & Anandharamakrishnan, C. (2012).
 Effect of whey protein–alginate wall systems on survival of microencapsulated *Lactobacillus plantarum* in simulated gastrointestinal conditions. *Journal of Functional Foods*, 4(4), 891-898.
- Ranadheera, C. S., Evans, C. A., Adams, M. C., & Baines, S. K. (2014). Effect of dairy
 probiotic combinations on *in vitro* gastrointestinal tolerance, intestinal epithelial cell
 adhesion and cytokine secretion. *Journal of Functional Foods*, *8*, 18-25.
- Ranadheera, C. S., Evans, C. A., Adams, M. C., & Baines, S. K. (2015). Microencapsulation
 of *Lactobacillus acidophilus* LA-5, *Bifidobacterium animalis* subsp. *lactis* BB-12 and *Propionibacterium jensenii* 702 by spray drying in goat's milk. *Small Ruminant Research*, 123(1), 155-159.
- 492 Ranadheera, C. S., Liyanaarachchi, W. S., Chandrapala, J., Dissanayake, M., & Vasiljevic, T.
 493 (2016). Utilizing unique properties of caseins and the casein micelle for delivery of
 494 sensitive food ingredients and bioactives. *Trends in Food Science & Technology*,
 495 57(Part A), 178-187.
- 496 Sandoval-Castilla, O., Lobato-Calleros, C., García-Galindo, H., Alvarez-Ramírez, J., &
 497 Vernon-Carter, E. (2010). Textural properties of alginate-pectin beads and
 498 survivability of entrapped *Lb. casei* in simulated gastrointestinal conditions and in
 499 yoghurt. *Food Research International*, 43(1), 111-117.
- Shah, N. P. (2007). Functional cultures and health benefits. *International Dairy Journal*, *17*(11), 1262-1277.
- Shi, L. E., Li, Z. H., Li, D. T., Xu, M., Chen, H. Y., Zhang, Z. L., & Tang, Z. X. (2013a).
 Encapsulation of probiotic *Lactobacillus bulgaricus* in alginate–milk microspheres and
 evaluation of the survival in simulated gastrointestinal conditions. *Journal of Food Engineering*, 117(1), 99-104.

- Shi, L. E., Li, Z. H., Zhang, Z. L., Zhang, T. T., Yu, W. M., Zhou, M. L., & Tang, Z. X. (2013b).
 Encapsulation of *Lactobacillus bulgaricus* in carrageenan-locust bean gum coated milk
 microspheres with double layer structure. *LWT-Food Science and Technology*, 54(1),
 147-151.
- Shi, L. E., Zheng, W., Zhang, Y., & Tang, Z. X. (2016). Milk-alginate microspheres: Protection
 and delivery of *Enterococcus faecalis* HZNU P2. *LWT-Food Science and Technology*,
 65, 840-844.
- Song, H., Yu, W., Gao, M., Liu, X., & Ma, X. (2013). Microencapsulated probiotics using
 emulsification technique coupled with internal or external gelation process. *Carbohydrate Polymers*, 96(1), 181-189.
- Sultana, K., Godward, G., Reynolds, N., Arumugaswamy, R., Peiris, P., & Kailasapathy, K.
 (2000). Encapsulation of probiotic bacteria with alginate–starch and evaluation of
 survival in simulated gastrointestinal conditions and in yoghurt. *International Journal of Food Microbiology*, 62(1–2), 47-55.
- Sun, W., & Griffiths, M. W. (2000). Survival of bifidobacteria in yogurt and simulated gastric
 juice following immobilization in gellan–xanthan beads. *International Journal of Food Microbiology*, *61*(1), 17-25.
- Würth, R., Hörmannsperger, G., Wilke, J., Foerst, P., Haller, D., & Kulozik, U. (2015).
 Protective effect of milk protein based microencapsulation on bacterial survival in
 simulated gastric juice versus the murine gastrointestinal system. *Journal of Functional Foods*, 15, 116-125.
- Xiao, J., Kondo, S., Takahashi, N., Miyaji, K., Oshida, K., Hiramatsu, A., Iwatsuki, K.,
 Kokubo, S., & Hosono, A. (2003). Effects of milk products fermented by *Bifidobacterium longum* on blood lipids in rats and healthy adult male volunteers. *Journal of Dairy Science*, 86(7), 2452-2461.
- Zou, Q., Zhao, J., Liu, X., Tian, F., Zhang, H., Zhang, H., & Chen, W. (2011).
 Microencapsulation of *Bifidobacterium bifidum* F-35 in reinforced alginate
 microspheres prepared by emulsification/internal gelation. *International Journal of Food Science & Technology*, 46(8), 1672-1678.
- 535
- 536

540

Figure captions

541

Fig.1. Scanning electron micrographs showing the surface morphology of different
microcapsules. (A) SA, (B) SACM, (C) SAGM, (D) SACH (magnification 10000X). For
legend explanations see Table1.

545

Fig. 2. Survival of free and encapsulated *B. longum* subsp. *infantis* CCUG 52486 in simulated gastric juice (pH 2) at 37 °C for 120 min. Vertical lines represent standard deviations. ^{ABCDE}Means with different uppercase are significantly different (p < 0.05) between each time, for each type of alginate-dairy based microcapsule during the period of the analysis. ^{abcde}Means with different lowercase are significantly different (p < 0.05) between each type of alginatedairy based microcapsule, for a particular time of the analysis. For legend explanations see Table1.

553

Fig. 3. Stability of free and encapsulated *B. longum* subsp. *infantis* CCUG 52486 in simulated intestinal juice (pH 7.5) at 37 °C for 120 min. Vertical lines represent standard deviations. ^{ABCDE}Means with different uppercase are significantly different (p < 0.05) between each time, for each type of alginate-dairy based microcapsule during the period of the analysis. ^{abcde}Means with different lowercase are significantly different (p < 0.05) between each type of alginatedairy based microcapsule, for a particular time of the analysis. For legend explanations see Table1.

561

562 4. viable count of free Fig. Changes in the and the encapsulated В. 563 longum subsp. infantis CCUG 52486 during refrigerated storage (4 °C) for 28 days. Vertical lines represent standard deviations. ^{ABCDE}Means with different uppercase are significantly 564 different (p < 0.05) between each time, for each type of alginate-dairy based microcapsule 565 during the storage. ^{abcd}Means with different lowercase are significantly different (p < 0.05) 566 between each type of alginate-dairy based microcapsule, for a particular day of the storage 567 568 period. For legend explanations see Table1.

Fig. 5. Changes in the viable counts free and encapsulated bacteria in goat milk at 4 °C for 28 days. Vertical lines represent standard deviations. ABCDE Means with different uppercase are significantly different (p < 0.05) between each time, for each type of alginate-dairy based microcapsule during the storage. ^{abcd}Means with different lowercase are significantly different (p < 0.05) between each type of alginate-dairy based microcapsule, for a particular day of the storage period. For legend explanations see Table1.

Type of microcapsules	Size (mm)	Encapsulation yield (%)
SA	2.3 ± 0.4^{b}	95.6 ± 2.1^{a}
SACM	2.8 ± 0.3^{a}	94.9 ± 1.4^{a}
SAGM	3.1 ± 0.2^{a}	$95.3\pm1.6^{\rm a}$
SACH	2.4 ± 0.4^{b}	94.1 ± 2.7^{a}

586 Table 1. Encapsulation yield and size of different microcapsules

^{ab}Mean values (±standard deviation) within the same column not sharing a common superscript differ significantly (P < 0.05). SA: microcapsules were prepared using alginate. SACM: microcapsules were produced using alginate and cow milk at a ratio of 1.5:1 (v/v). SAGM: microcapsules were produced using alginate and goat milk at a ratio of 1.5:1 (v/v). SACH: microcapsules were prepared using alginate and casein hydrolysate at a ratio of 1.5:1 (v/v).

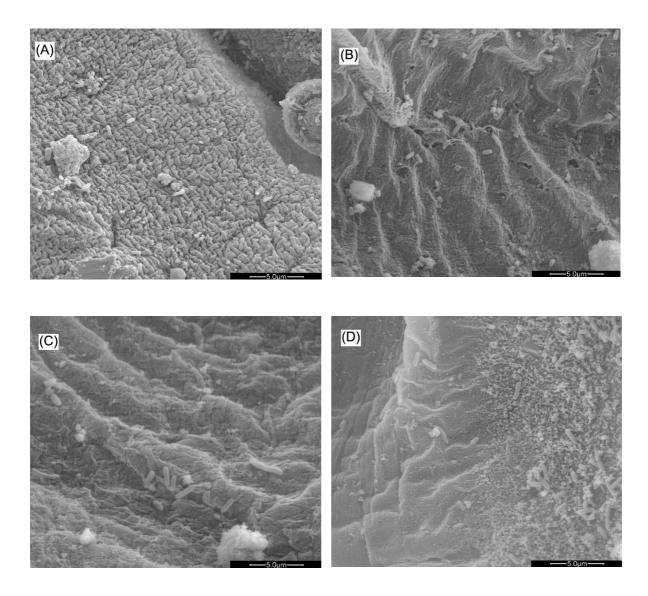
592

Table 2. Changes in the viability of free and encapsulated *B. longum* subsp. *infantis* CCUG
52486 in cow milk at 4 °C for 28 days.

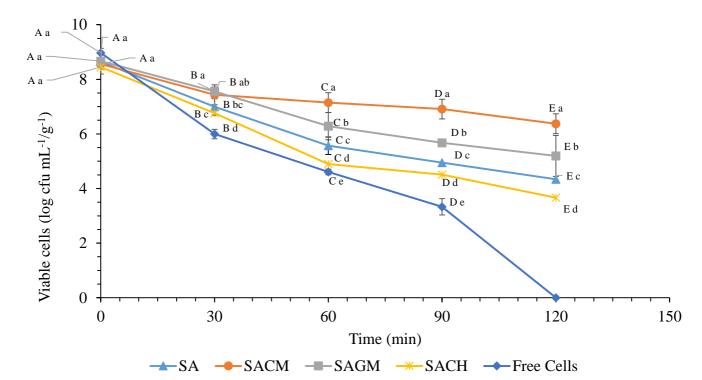
Type of capsule	Period of storage (days)					
	0	7	14	21	28	
SA (log cfu g ⁻¹)	8.53 ± 0.09^{A_a}	8.05 ± 0.09^{Ab}	7.38 ± 0.09^{Bc}	6.84 ± 0.40^{Bc}	6.03 ± 0.04^{Cc}	
SACM (log cfu g ⁻¹)	8.57 ± 0.11^{Aa}	8.42 ± 0.05^{ABa}	8.25 ± 0.07^{BCb}	$8.13\pm0.11^{C\text{b}}$	7.07 ± 0.15^{Db}	
SAGM (log cfu g ⁻¹)	8.63 ± 0.31^{Aa}	8.59 ± 0.17^{Aa}	8.54 ± 0.03^{Aa}	8.52 ± 0.06^{Aa}	7.61 ± 0.24^{Ba}	
SACH (log cfu g ⁻¹)	8.49 ± 0.03^{Aa}	7.63 ± 0.06^{Bc}	6.93 ± 0.18^{Cd}	6.38 ± 0.38^{Cc}	5.50 ± 0.05^{Dd}	
Free Cells (log cfu mL ⁻¹)	8.65 ± 0.12^{Aa}	$7.13\pm0.16^{\rm Bd}$	5.10 ± 0.07^{Ce}	4.83 ± 0.10^{Cd}	4.38 ± 0.29^{De}	

^{ABCD}Means in the same row without common letter differ significantly (p < 0.05) for each type of microcapsules. ^{abcde}Means in the same column for each type of microcapsule without common letter differ significantly (p < 0.05) for a particular day of storage. Data are expressed as mean \pm standard deviation. For legend explanations see

- 600 Table 1.



608 Fig.1.



- 616 Fig. 2.

