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## **Impact of dietary fiber and fat on gut microbiota re-modeling and metabolic health**

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20 **Abstract**

21 *Background.* Scientific evidence suggests that diet plays a role in obesity and its  
22 comorbidities, partly via its interactions with the individual's gut microbiota. Likewise, the  
23 individual's microbiota influences the efficacy of dietary interventions to reduce body  
24 weight. However, we require a better understanding of the key components of the gut  
25 microbiota that are responsive to specific diets and of their effects on energy balance in  
26 order to use this information in practice.

27 *Scope and Approach.* This review provides an up-to-date description of the influence of  
28 dietary fibers and fat on gut microbiota and the mechanisms presumably mediating their  
29 effects on metabolic health. We also discuss the main knowledge gaps and the need to gain  
30 greater understanding of the role of diet-microbe interactions in obesity and the associated  
31 comorbidities.

32 *Key Findings and Conclusions.* Dietary fibers are major drivers of gut microbiota  
33 composition and function, stimulating the dominance of bacteria able to utilize these  
34 substrates as energy source, although effects vary depending on both the type of fiber and  
35 the individual's microbiota. However, the key bacteria and the primary and secondary  
36 metabolic pathways mediating specific fiber-induced effects on the metabolic phenotype  
37 remain unclear, and this information is necessary to personalize fiber-based interventions.

38 The literature also shows that gut microbiota contributes to the adverse consequences of  
39 high-fat diets on the metabolic phenotype; however, little is known about the effects of  
40 dietary fat type. Further progress is expected from translational approaches integrating  
41 controlled dietary intervention human trials, combining functional omics technologies and  
42 physiological/clinical endpoints, and mechanistic studies in experimental models. This will

43 ultimately help us to progress towards establishing informed microbiome-based dietary  
44 recommendations and interventions, which can contribute to tackling the obesity epidemic  
45 and its comorbidities.

46 **Key words:** Gut microbiota, microbiome, fiber, fat, diet-related diseases, obesity.

47

## 48 **Introduction**

49 Obesity has reached pandemic dimensions affecting a vast number of people worldwide. In  
50 2014, approximately 39% of adults (1.9 billion) were overweight and 13% of these (600  
51 million) were obese. Moreover, 42 million children under the age of 5 were reported as  
52 overweight or obese in 2013(World Health Organization, 2015). It is well known that  
53 obesity is not only associated with populations in high-income countries, but the prevalence  
54 is continuously growing in low- and mid-income countries, particularly in urban settings  
55 (World Health Organization, 2015). Obesity is a result of an unbalance between energy  
56 intake and expenditure, to which over-nutrition and a sedentary lifestyle are major  
57 contributors (Coppinger, Jeanes, Dabinett, Vogele, & Reeves, 2010). Obesity is associated  
58 with a state of chronic low-grade inflammation, which partly explains the insulin resistance  
59 phenotype observed in many obese individuals. In turn, insulin resistance is a component of  
60 the metabolic syndrome that often precedes the development of type 2 diabetes (T2D) and  
61 cardiovascular disease (CVD) (Jia, DeMarco, & Sowers, 2016). This metabolic  
62 inflammation is characterized by infiltration of macrophages and lymphocytes in peripheral  
63 tissues. This is accompanied by an increased production of pro-inflammatory cytokines,  
64 adipokines, acute-phase proteins and other immune mediators as a consequence of the  
65 activation of several signalling pathways, including the nuclear factor kappa B  
66 (NFκB)/Inhibitor of theκ kinase (IKK), c-jun N-terminal kinase (JNK), protein kinase R  
67 (PKR) and theToll-Like receptors (TLRs) (Gregor & Hotamisligil, 2011). Adipose tissue  
68 from obese individuals is considered to be the main contributor to obesity-related metabolic  
69 inflammation, with the highest accumulation of infiltrating macrophages and tissue

70 concentrations of cytokines, with similar events occurring in the liver and central nervous  
71 system, contributing to systemic insulin resistance (Johnson & Olefsky, 2013).

72 In the last decade, an increasing number of studies have reported that obesity is associated  
73 with alterations in gut microbiota structure, suggesting that specific microbial taxa could be  
74 contributing factors to the obesity epidemic, although results are not fully consistent across  
75 human observational studies (Sanz, Rastmanesh, & Agostoni, 2013). Animal studies have  
76 provided information about the mechanisms by which gut microbiota could play a role in  
77 obesity, including contribution to nutrient digestion and absorption and to regulation of  
78 immune and neuro-endocrine functions (Moya-Perez, Neef, & Sanz, 2015). Experimental  
79 models have also demonstrated that gut microbiota can transmit the obesity-associated  
80 metabolic phenotype of its original human host when transferred to a germ-free recipient,  
81 providing a first evidence of causality (Turnbaugh, et al., 2006). Furthermore, a unique  
82 fecal transplantation study in humans has also demonstrated that the transference of feces  
83 from a lean donor into subjects with metabolic syndrome beneficially influence glucose  
84 metabolism, confirming the causal role of gut microbiota (Vrieze, et al., 2010).

85 Nonetheless, the role of gut microbiota in obesity seems largely dependent on diet-microbe  
86 interactions due to the fact that diet is a major modifiable factor influencing gut microbiota  
87 composition and function (De Filippis, et al., 2015; Flint, Duncan, Scott, & Louis, 2015).

88 Indeed, experimental models revealed that such interactions contribute to obesity, for  
89 example, by increasing lipid absorption or aggravating adipose tissue inflammation  
90 independently of adiposity in the context of diets rich in saturated lipids (Caesar, Tremaroli,  
91 Kovatcheva-Datchary, Cani, & Backhed, 2015; Semova, et al., 2012). Furthermore, dietary  
92 reprogramming of microbiota ameliorates development of metabolic dysfunction despite  
93 susceptible genotypes (Ussar, et al., 2015). Nevertheless, our understanding of how diet-

94 microbe interactions influence energy balance, eating behavior and obesity in humans is  
95 still insufficient to transform this information into practical solutions to tackle obesity-  
96 associated disorders.

97 This review discusses the most recent data regarding the potential role of dietary fiber and  
98 fat in remodeling gut microbiota composition and function and, thereby, in programming  
99 metabolic health. It also addresses the main limitations that must be overcome to progress  
100 our understanding of the microbiome's role in the chain of events causing obesity. Only on  
101 gaining a better understanding of the above, will we be able to speed up the translation of  
102 this information into informed microbiome-based dietary interventions and  
103 recommendations.

104

## 105 *1. Impact of dietary fiber on human physiology*

106

### 107 *1.1. Dietary fiber: role in metabolic health and as main fuel for gut microbiota.*

108 Dietary fiber is generally defined as non-digestible carbohydrates plus lignin, which include  
109 structurally different components including non-starch polysaccharides, resistant  
110 oligosaccharides (e.g. fructo-oligosaccharides [FOS], galacto-oligosaccharides [GOS]) and  
111 resistant starch (EFSA NDA Panel, 2010). Prebiotics are defined as dietary fibers that  
112 modify the composition and/or metabolic activity of gut microbiota, thereby conferring a  
113 benefit to the host (G. R. Gibson, 2004; G. R. Gibson, Probert, Loo, Rastall, & Roberfroid,  
114 2004). According to this definition, a wide variety of food ingredients can be classified as  
115 prebiotics such as GOS, FOS and longer inulin-derived fructans, xylo-oligosaccharides  
116 (XOS) and arabinoxylan oligosaccharides (AXOS); however this is based mainly on their  
117 impact on gut microbiota rather than on robust evidence of their effects on health-related

118 endpoints (Hutkins, et al., 2016). Dietary fiber is not digested by human enzymes and thus  
119 it reaches proximal colonic regions, where it constitutes the main energy source for obligate  
120 anaerobic bacteria, whose fermentative activity leads to the generation of organic acids  
121 (lactic, succinic acid) and short-chain fatty acids (SCFA) (acetate, propionate and butyrate).  
122 Consequently, the quantity and quality of fiber is considered to be one of the main dietary  
123 determinants of gut microbiota composition and function (Scott, Gratz, Sheridan, Flint, &  
124 Duncan, 2013). The current recommendations on dietary fiber intake (25 g per day for  
125 adults) are based on their well-known role in regulating bowel habits (frequency of  
126 defecation), including native chicory inulin considered to be prebiotic (Hutkins, et al.,  
127 2016). In addition, there is evidence for a role of dietary fiber and some prebiotics (inulin  
128 and oligofructose) in the reduction of dietary glycemic responses and glycemic load, with  
129 favorable effects on metabolic risk factors. Furthermore, consumption of fiber-rich diets  
130 with fiber intake above recommendations is associated with a reduced risk of coronary  
131 heart disease and type 2 diabetes as well as improved weight maintenance (Bes-Rastrollo,  
132 Martinez-Gonzalez, Sanchez-Villegas, de la Fuente Arrillaga, & Martinez, 2006; EFSA  
133 NDA Panel, 2010; S. Liu, et al., 2000; Ludwig, et al., 1999; Ye, Chacko, Chou, Kugizaki,  
134 & Liu, 2012). Dietary fiber is thought to positively influence metabolic health through  
135 multiple mechanisms, although effects cannot be generalized as they vary depending on the  
136 type of fiber. The mechanisms of action include direct effects related to its physicochemical  
137 and structural properties (e.g. indigestibility, viscosity, etc.) and indirect effects mediated  
138 by the individual's gut microbiota. For example, compared to digestible carbohydrates,  
139 insoluble and soluble fibers reach distal portion of colon with no major degradation by  
140 human enzymes leading to a significant reduction in postprandial glycemic responses due  
141 to their slower digestion (EFSA, 2014). Consequently, consumption of fiber improves the

142 glucose metabolism as a whole, which have direct impact on satiety and tip the balance  
143 towards oxidation instead storage metabolism (reviewed in (Koh-Banerjee & Rimm,  
144 2003)). Moreover, dietary fiber is considered to be very useful for weight loss/maintenance  
145 aims given its low energetics estimated to be ~1.91 kcal/g (8 kJ/g) in comparison with other  
146 macronutrients as digestible carbohydrates, (~4.06 kcal/g), proteins (~4.06 kcal/g), and fat  
147 (~8.84 kcal/g) (Menezes, et al., 2016). Soluble viscous fibers may also exert beneficial  
148 metabolic effects by their ability to form gels that delay gastric emptying, inhibit nutrient  
149 absorption and bile acid (BA) binding; altogether this may contribute to a decreased  
150 postprandial glycemic response and a reduction in body cholesterol stores due to increased  
151 synthesis of new BAs from cholesterol in the liver (Dikeman & Fahey, 2006). In addition,  
152 dietary fiber is thought to mediate other effects (e.g. satiety and anti-inflammatory effects)  
153 through activation of the fermentative activity of gut bacteria, and the generation of  
154 potentially beneficial metabolites (e.g. SCFAs), as explained in greater detail in section 3.

155

### 156 *1.2. Evidence of the influence of dietary fiber on gut microbiota from observational studies.*

157 The role of non-digestible carbohydrates in the gut microbiota is well exemplified by the  
158 differences in the infant's gut microbiota between breast-fed and formula-fed infants and  
159 between infant formula supplemented or not with oligosaccharides, which mainly stimulate  
160 the growth of bifidobacteria (Closa-Monasterolo, et al., 2013; Hascoet, et al., 2011). These  
161 effects have also been well-established by comparing the gut microbiota of individuals  
162 from different geographical regions that consume rural diets (Africa and South America)  
163 rich in dietary fiber or Western diets (Europe and North America) rich in animal protein  
164 and fat(De Filippo, et al., 2010; Yatsunenko, et al., 2012). Acomparision of the microbiota  
165 between European and African children, consuming a fiber-rich diet, showed that the latter



166 have reduced abundance of Firmicutes and increased abundance of Bacteroidetes,  
167 particularly the *Prevotella* and *Xylanibacter* genera, known to have genes specialized in  
168 cellulose and xylan utilization, with parallel increased fecal concentrations of SCFAs. In  
169 contrast, Enterobacteriaceae species (Proteobacteria) were reduced in African compared  
170 with European children (De Filippo, et al., 2010). Another large study including healthy  
171 children and adults also revealed important differences in bacterial communities and  
172 functional gene repertoires between US subjects from metropolitan areas and those from  
173 countries with a rural lifestyle (Amazonas of Venezuela and Malawi), finding the genus  
174 *Prevotella* to be abundant in humans with a diet rich in corn and cassava and in US children  
175 not following a full western diet (Yatsunencko, et al., 2012). A more recent study comparing  
176 African Americans and rural South Africans, found that animal protein and fat intake was  
177 2-3 times higher in Americans whereas carbohydrate and fiber (mainly resistant starch)  
178 intake was higher in Africans. The same authors also reported diet-associated microbiota  
179 and metabolite changes that were related to colon cancer risk. While the American  
180 microbiota was dominated by *Bacteroides*, the African microbiota was dominated by  
181 *Prevotella* and higher levels of starch degraders, carbohydrate fermenters, and butyrate  
182 producers. Moreover, the American microbiota had higher levels of potentially pathogenic  
183 Proteobacteria (*Escherichia* and *Acinetobacter*) and BA deconjugators (Ou, et al., 2013). A  
184 recent Dutch population-based metagenomic study involving 1,135 subjects has associated  
185 higher diversity, functional microbiome richness and abundance of Bacteroidetes with  
186 higher intake of fruits and vegetables (source of dietary fiber), higher concentrations of  
187 high-density lipoprotein (HDL) and lower concentrations of fecal chromogranin A  
188 (Zhernakova, et al., 2016). The total amount of carbohydrates in the diet was also  
189 positively associated with *Bifidobacterium* but negatively associated with *Lactobacillus* and

190 microbiome diversity (Zhernakova, et al., 2016). All in all, these observational studies  
191 reveal that long-term consumption of fiber-rich diets promotes the dominance of fiber-  
192 degraders of the phylum Bacteroidetes and Actinobacteria (*Bifidobacterium*spp.) and, more  
193 consistently, of *Prevotella* spp. and reductions in Proteobacteria; nevertheless, *Bacteroides*  
194 spp. seem to be adapted to both fiber-rich diets and diets rich in animal protein and fat,  
195 probably due to their versatile metabolic capabilities. Notwithstanding, these observational  
196 data only provide associations but not causal relationships between specific dietary habits  
197 and the predominance of specific bacterial taxa, which limits their value in practice.  
198 Furthermore, other relevant environmental factors such as hygiene, geography, and  
199 ethnicity that could be involved in the respective gut microbiota profile observed are not  
200 well assessed.

201 A recent experimental study in animal models also suggests that the lack of dietary fiber  
202 leads to a substantial loss in gut microbiota diversity, which influences the ability of gut  
203 bacteria to be transferred from parents to offspring. It also revealed that simply restoring  
204 fiber consumption was not enough to reverse these effects since some bacterial groups  
205 failed to return to their previous levels (Sonnenburg, et al., 2016). These results have led to  
206 hypothesize that long-term dietary changes in industrialized countries could have altered  
207 the host-microbiota partnership and microbiome functionality, with an adverse long-term  
208 impact on health that could be transmitted from generation to generation (Sonnenburg, et  
209 al., 2016). Notwithstanding, evidence from systematic studies in humans is required to  
210 confirm this hypothesis.

211

212 *1.3. Evidence for the influence of dietary fiber on gut microbiota from intervention studies.*

213 A summary is given in Table 1 of recent representative human dietary interventions  
214 investigating how most common types of dietary fibers contribute to remodeling the gut  
215 microbiota. The responsiveness and effects of dietary fibers may differ depending on the  
216 individual's gut microbiota profile (Korpela, et al., 2014), suggesting the need to work  
217 towards defining more specific and personalized dietary interventions and  
218 recommendations.

219

220 *1.3.1. Effects of wholegrain (WG)-rich foods.* Wholegrain cereals are composed of starch-  
221 rich endosperm, germ, and bran with high plant-fiber content. During harvesting and food  
222 processing, these components must preserve their relative proportions as in the intact kernel  
223 (HEALTHGRAIN Consortium - <http://www.healthgrain.org>). Rice, wheat, maize, oats, and  
224 barley are the main whole grains consumed worldwide and some of them have been proven  
225 to reduce the risk of certain diet-related diseases such as obesity and CVD. A controlled  
226 cross-over study showed a bifidogenic effect upon consumption of 48 g/day maize-based  
227 WG breakfast cereals during 21 days (Carvalho-Wells, et al., 2010). This effect was  
228 observed exclusively for the intervention period and not sustained after completion of the  
229 WG diet, strongly indicating that WG fiber is predominantly used by *Bifidobacterium* spp.  
230 (Carvalho-Wells, et al., 2010). Similar results were obtained by Costabile and coworkers  
231 who reported increased bifidobacteria and lactobacilli in feces after daily consumption of  
232 WG wheat breakfast cereals (48 g/day) in comparison with non-WG cereal (Costabile, et  
233 al., 2008). More recent results have shown that a four-week dietary intervention with 60  
234 g/day WG barley flakes in healthy adults induced a significant increase in the genus *Blautia*  
235 and a less pronounced increase in the abundance of the genera *Roseburia*, *Bifidobacterium*  
236 and *Dialister* (Martinez, et al., 2013). Additionally, this study showed that WG barley,

237 brown rice and specially the combination of WG barley and brown rice reduced plasma  
238 interleukin-6 (IL-6) and postprandial glucose. Interestingly, *Eubacterium rectale* was  
239 significantly more abundant in volunteers showing improvements in postprandial blood  
240 glucose and insulin response, whereas abundance of *Dialister* species was associated with  
241 the highest improvements in IL-6 levels (Martinez, et al., 2013).

242

243 *1.3.2. Resistant starch (RS)*. Starch is the major component of the plant-derived foods and  
244 comprises an important part of the human diet. The starch is referred as resistant when it  
245 cannot be hydrolyzed by digestive enzymes of the human GIT. The RS can be classified  
246 into several types (RS1 to RS5) according to the physical or chemical reasons to be  
247 indigestible. The RS1 is contained inside whole grains and is physically inaccessible for  
248 digestion; the RS2 is also native starch but remains indigestible by its compact structure;  
249 the RS3, also known as retrograde starch, is obtained by slow re-crystallization prior to heat  
250 disruption on water; the RS4 is the chemically modified starch by cross-linking or  
251 esterification; and the RS5 is a mixture of starch with lipids with high stability (Ma &  
252 Boye, 2016). Early studies about the RS impact on gut microbiota indicated that  
253 administration of controlled diet including 22 g/day RS induces changes in gut microbiota  
254 mainly in the clostridia cluster including members of the *Ruminococcus* genus (Abell,  
255 Cooke, Bennett, Conlon, & McOrist, 2008). Interventions with 50-60 g/day RS3 increased  
256 the abundance of several *Ruminococcus* spp. and especially *Ruminococcus bromii* and  
257 *Eubacterium rectale* (Walker, et al., 2011). Similar results were obtained when 33 g/day  
258 RS2 or RS4 were administrated in baked crackers to volunteers during 3 weeks. In this case,  
259 increased proportions of *Bifidobacterium adolescentis* and *Parabacteroides distasonis* were  
260 found to be induced particularly by RS4 intake, whereas increased proportions of

261 *Ruminococcus bromii* and *Eubacterium rectale* were induced by RS2 consumption  
262 (Martinez, Kim, Duffy, Schlegel, & Walter, 2010). In addition, RS intake of has been found  
263 to improve lipid metabolism in individuals with metabolic syndrome and help to control  
264 waist circumference and fat mass in non metabolic syndrome individuals (Nichenametla, et  
265 al., 2014). These beneficial effects of RS on metabolic aspects are thought to be at least  
266 partially mediated by the microbiota induced changes but direct evidence still has to be  
267 provided.

268 *1.3.3. Inulin and FOS.* Inulin and FOS, also called oligofructose or oligofructans, are types  
269 of fructo-polysaccharides that consist of several  $\beta$ -linked D-fructosyl residues with a D-  
270 glucose group at end of the extended saccharide chain. These differ in the polymerization  
271 degree, which may range from 2 to 60 fructose units. FOS are usually produced by  
272 degradation of inulin obtained primarily from artichoke and chicory plants. These are used  
273 in the food industry as sweeteners, texture modifiers and fibers. A number of intervention  
274 studies have shown that the effects of inulin and FOS on gut microbiota composition can be  
275 associated with modifications on health related outcomes or subrogated biomarkers (Table  
276 1). In adults and infants, it is generally reported that inulin and FOS intake increases the  
277 number of bifidobacteria, sometimes associated with changes in metabolic products (e.g.  
278 lactate) (Closa-Monasterolo, et al., 2013; Garcia-Peris, et al., 2012; Petry, Egli, Chassard,  
279 Lacroix, & Hurrell, 2012). In some studies, inulin or FOS-induced microbiota changes have  
280 also been correlated with indicators of metabolic health. For example, a three-month  
281 double-blind placebo-controlled intervention with a mixture of inulin/oligofructose or  
282 maltodextrin (8 g twice daily in powder to be dissolved in warm drinks) in obese women,  
283 showed increased abundances of *Bifidobacterium* spp. and *Faecalibacterium prausnitzii*,

284 which correlated to reduced serum LPS (lipopolysacchraide) levels. Additionally, the  
285 researchers observed reductions of *Bacteroides intestinalis*, *Bacteroides vulgatus* and  
286 *Propionibacterium* spp., which correlated to modest changes in fat mass. Additionally, they  
287 found reductions in plasma LPS, fecal acetate and propionate concentrations, and fasting  
288 insulinemia (Dewulf, et al., 2013; Salazar, et al., 2015). A recent study has evaluated the  
289 role of agave inulin showing a dose-dependent bifidogenic effect. The consumption of 5 or  
290 7.5 g/day agave inulin in chocolate chews, primarily promoted the presence of *B.*  
291 *adolescentis*, *B. breve*, *B. longum*, and *B. pseudolongum* (Holscher, et al., 2015). Positive  
292 correlations were also detected between fecal butyrate concentrations and the dose of fiber,  
293 and between fecal butyrate concentration and *Faecalibacterium* abundance. These effects  
294 could be explained by cross-feeding interactions disclosed between bifidobacteria and  
295 *Faecalibacterium* (Moens, Weckx, & De Vuyst, 2016). Interestingly, a depletion of  
296 *Desulfovibrio* species was also identified as a consequence of agave inulin consumption  
297 (Holscher, et al., 2015), which could be of clinical relevance because increased  
298 *Desulfovibrio* species have been related to obesity and the associated endotoxemia (Xiao, et  
299 al., 2014; Zhang-Sun, Augusto, Zhao, & Caroff, 2015; Zhang, et al., 2009).

300

#### 301 1.3.4. GOS

302 GOS are mainly produced through transgalactosylation reactions mediated by  $\beta$ -  
303 galactosidases using lactose or derivatives as substrate. GOS are often used to supplement  
304 infant formula due to their chemical and structural resemblance to human milk  
305 oligosaccharides. In infant formula, GOS have been shown to exert a bifidogenic effect  
306 (Giovannini, et al., 2014). In adults, the six-week administration of 5.5 g/day GOS powder

307 mixture dissolved in water to subjects with metabolic syndrome has been shown to reduce  
308 levels of *Clostridium histolyticum*, *Desulfovibrio* spp. and *Bacteroides* spp.(Vulevic, Juric,  
309 Tzortzis, & Gibson, 2013). These changes were accompanied by increases in  
310 *Bifidobacterium* spp. and reductions in inflammatory markers, including fecal calprotectin  
311 and plasma C-reactive protein (CRP) and in some metabolic parameters (e.g. plasma  
312 insulin, total cholesterol and triglycerides in males).

313

314 *1.3.5. Xylans and arabinoxylans.* Arabinoxylans (AX) from cereals are cell wall  
315 components that constitute a major part of the dietary fiber fraction of cereal grains and  
316 thus, an important fiber source in the diet (McCleary, 2003). Enzymatic hydrolysis of AX  
317 either in the production of processed foods or by bacteria in the colon yields  
318 arabinoxylooligosaccharides (AXOS) and xylooligosaccharides (XOS), both of which are  
319 proposed to be prebiotic fibers (Broekaert, et al., 2011). Additionally to the well known  
320 bifidogenic effect of AX, a fact in which is based its prebiotic potential (reviewed in  
321 (Riviere, Selak, Lantin, Leroy, & De Vuyst, 2016)), other AX-degrading bacteria in the  
322 human colon belong to the genera *Roseburia* and *Bacteroides* and include the butyrate  
323 producing *Roseburia intestinalis* (Chassard, Goumy, Leclerc, Del'homme, & Bernalier-  
324 Donadille, 2007). These data are of interest since a higher relative abundance of butyrate-  
325 producing bacteria and *Bacteroides* spp. has been reported in healthy individuals compared  
326 to patients with T2D or pre-diabetic subjects in some studies (reviewed in (Sanz, Olivares,  
327 Moya-Perez, & Agostoni, 2015)). Human intervention trials have also shown increased  
328 fecal abundance of *Bifidobacterium* spp. following intake of 4 g/day XOS during three  
329 weeks (Chung, Hsu, Ko, & Chan, 2007) and from 2.14 to 10 g/day AXOS (Cloetens, et al.,  
330 2010; Francois, et al., 2012; Maki, et al., 2012). Furthermore, a higher abundance of this

331 genus has been reported in normal weight subjects compared to obese and T2D subjects in  
332 some observational studies (Schwiertz, et al., 2010; Wu, et al., 2010).

333

## 334 **2. Microbiome components involved in the utilization of dietary fiber**

335 Dietary intake of fibers may lead to enrichment and altered expression of microbial genes  
336 which encode proteins/enzymes of metabolic pathways involved in the utilization of dietary  
337 fiber and the production of potentially beneficial metabolites (e.g. SCFAs). It is necessary  
338 to identify and characterize these pathways in order to understand the components of the  
339 microbiota and the microbiome that may underlie health effects associated with dietary  
340 fiber intake. Members of the phyla Bacteroidetes and Firmicutes are specialized in the  
341 utilization of complex carbohydrates and are the main producers of SCFAs. Butyrate and  
342 propionate are the two most thoroughly investigated SCFAs in terms of their potential role  
343 in metabolic health. The production of these SCFAs may require the participation of  
344 different bacterial genera and species via cross-feeding mechanisms. For example,  
345 *Bacteroides thetaiotaomicron* can directly produce propionate and acetate, which then can  
346 be used by *Eubacterium halli* to produce butyrate (Mahowald, et al., 2009). Similar cross-  
347 feeding mechanisms have been described between some *Bifidobacterium* spp. and  
348 *Faecalibacterium prausnitzii* leading to increased butyrate production (Rios-Covian,  
349 Gueimonde, Duncan, Flint, & de los Reyes-Gavilan, 2015). Figure 1 shows the pathways  
350 identified for bacterial production of butyrate by genomic and metagenomic analysis of the  
351 human gut microbiota (Mahowald, et al., 2009; Reichardt, et al., 2014; Vital, Howe, &  
352 Tiedje, 2014). A conventional genetic signature to explore both the enrichment and  
353 variability of butyrate producers is via analyzing the butyryl-CoA:acetate CoA-transferase  
354 gene (*BCoAT* gene) encoding the respective enzyme responsible for the last step in butyrate



355 production. Quantitative approaches indicate *BCoAT* gene enrichment in gut microbiota  
356 from individuals with a high intake of plant fiber, which is indicative of increased colonic  
357 butyrate production (Hippe, et al., 2011; Louis, Young, Holtrop, & Flint, 2010; Remely, et  
358 al., 2014; Vital, Gao, Rizzo, Harrison, & Tiedje, 2015).

359 Additionally to genes encoding enzymes of pathways responsible for SCFA production, the  
360 detection of other genes involved in the uptake and degradation of complex polysaccharides  
361 could be useful to define the active bacteria and their mode of action in response to fiber  
362 intake. Pioneer studies regarding characterization of proteins involved in the utilization of  
363 complex carbohydrates by anaerobe gut bacteria have revealed the essential role of  
364 polypeptides encoded by *Sus* genes, extensively studied in *B. thetaiotaomicron* (Reeves,  
365 Wang, & Salyers, 1997). The *Sus* products were originally described as outer membrane  
366 proteins able to bind complex starch. Notwithstanding, the genetic context of their encoding  
367 genes has enabled the inclusion of glycoside hydrolases (GH) enzymes in the *Sus* repertoire  
368 of proteins, which collectively work to produce small oligosaccharides that are more easily  
369 imported by bacteria. Consequently, *Sus* genes have become useful to detect different  
370 polysaccharide utilization loci (PULs) in other *Bacteroides* species by comparative  
371 genomics approaches, allowing them to be studied in response to a wide variety of complex  
372 polysaccharides (reviewed in (White, Lamed, Bayer, & Flint, 2014)). Nowadays, research  
373 on carbohydrate utilization by gut bacteria is conceived as a cornerstone to understand their  
374 physiology and potential interactions and bidirectional communication with the host in  
375 health and disease. In this regard, the Carbohydrate Active Enzymes (CAZy) database  
376 (<http://www.cazy.org/>) is one of the most complete repositories describing the families of  
377 structurally-related catalytic and carbohydrate-binding functional domains of enzymes that  
378 bind, degrade, modify or create glycosidic bonds (Lombard, Golaconda Ramulu, Drula,

379 Coutinho, & Henrissat, 2013). Hierarchical classification of CAZy comprises 4 main  
380 families such as the Glycoside Hydrolase (GH, with 135 subfamilies reported at Nov 2016),  
381 the Glycosyltransferase (GT, with 101 subfamilies), the Polysaccharide Lyase (PL, with 24  
382 subfamilies), and the Carbohydrate Esterase (CE, with 16 subfamilies) family. All GH  
383 reported are classified according to the functional modules they contain, with the aim to  
384 determine sites of action (exo or endo-acting enzymes) or type of cleavage ( $\alpha$ - or  $\beta$ -  
385 glycosilases). Members of the phyla Bacteroidetes and Firmicutes are characterized by  
386 encoding the largest set of GH in their genomes, thus exhibiting a remarkable versatility for  
387 the utilization of different polysaccharides as carbon source (White et al 2014). These  
388 features convert species of such bacterial phyla into key players for degradation of complex  
389 polysaccharides in the human colon. Proof of this can be found in the studies performed in  
390 Flint's lab with *Ruminococcus bromii* in which this bacteria was observed to present a  
391 specialized extracellular polypeptide complex, known as amylosome (Ze, et al., 2015). It  
392 was also found to be an indispensable member of the human gut microbiota, having a direct  
393 effect on energy recovery from a central component of diet, i.e., RS (Ze, Duncan, Louis, &  
394 Flint, 2012). However, *Bifidobacterium* (Actinobacteria) species are also well-known fiber  
395 fermenters. Although Bifidobacteria have fewer GHs encoded in their genomes than  
396 Bacteroidetes, they also exhibit a great versatility for the uptake and catabolism of  
397 oligosaccharides. This versatility is well exemplified in genome-wide expression analyses,  
398 which have disclosed a wide variety of genes appearing to respond specifically to different  
399 carbon sources (Andersen, et al., 2013; O'Connell, et al., 2013). In this context, we have  
400 recently described the genome response of *B. pseudocatenulatum* CECT 7765, a strain  
401 isolated from breast-fed babies, during utilization of lactulose-derived oligosaccharides. An

402 exhaustive inventory of GH enzymes present in the genome of this species have a set of  
403 open reading frames (ORFs) that seem to control the uptake and degradation of this  
404 digestion-resistant oligosaccharide (Benitez-Paez, Moreno, Sanz, & Sanz, 2016).

405 Although GHs and related proteins appear to be the key traits to infer versatility of gut  
406 microbes for utilization of polysaccharides and their contribution to the production of  
407 fermentation end-products such as SCFAs, little is known about the effects of fiber  
408 fermentation on secondary metabolic pathways and the generation of other nutrients (e.g.  
409 amino acids and vitamins) and bioactive compounds. Some *in vitro* studies have reported  
410 that oligosaccharide fermentation also increases amino acid synthesis (Benitez-Paez, et al.,  
411 2016; Sulek, et al., 2014). In particular, our study revealed that the utilization of GOS by *B.*  
412 *pseudocatenulatum* CECT 7765, using bacteria cultures, increased the production and  
413 extracellular accumulation of branched-chain amino acids such as leucine (Benitez-Paez, et  
414 al., 2016). Additional studies are, however, needed to understand the effects of the interplay  
415 between dietary fiber and amino acid metabolism in the large intestine and fully understand  
416 the metabolites resulting from the activity of the gut microbiota and their potential  
417 consequences on health beyond the well-known SCFAs.

418

### 419 ***3. Effects of dietary fiber on metabolic health mediated by gut microbiota***

420 There is a wealth of human intervention studies with dietary fibers, but only a few of them  
421 have assessed the relationship between microbiota-induced changes and endpoints related  
422 to physiological functions and metabolism. Further studies are also needed that directly  
423 assess the effects of fiber-induced microbiota changes on metabolic outcomes, for example  
424 via fecal transplantation or via inoculation of specific bacterial consortia from humans into  
425 animal models. Consequently, there is still a large degree of uncertainty about to what

426 extent the effects attributed to dietary fibers on metabolic health are mediated by gut  
427 microbiota in humans, and which are the key species involved. Nonetheless, considerable  
428 mechanistic data are available from other animal study approaches, as summarized below.

429

### 430 *3.1. Gut barrier integrity, metabolic endotoxemia and inflammation*

431 Obesity and particularly the intake of a high-fat diet (HFD) are thought to lead to a leaky  
432 gut and metabolic endotoxemia (increased serum LPS levels) in animal models and to some  
433 extent in humans. This is assumed contributing to the low-grade chronic inflammation  
434 leading to metabolic dysfunction and disease (metabolic syndrome and T2D). In fact, LPS  
435 is a potent inflammatory bacterial antigen linked to common metabolic diseases (Conlon  
436 & Bird, 2015). LPS is an endotoxin consisting of three parts; lipid A, the oligosaccharide  
437 core and the O-antigen, with the lipid A causing endotoxicity. LPS is normally present in  
438 the human gut ( $\geq 1$  g) and under normal conditions it does not cause negative health effects.  
439 In healthy humans the normal/low plasma concentration of LPS is 1-200 pg/ml, but  
440 increased levels have been found in subjects with obesity and diabetes (Erridge, Attina,  
441 Spickett, & Webb, 2007; Moreira, Texeira, Ferreira, Peluzio Mdo, & Alfenas Rde, 2012).  
442 LPS binds to TLR4 via CD14 on, for example, the membrane surface of immune cells  
443 leading to activation of genes that codify pro-inflammatory cytokines (e.g. TNF- $\alpha$  and IL-  
444 6) involved in metabolic inflammation. Experimental models of obesity have shown  
445 prebiotic-induced increases in bifidobacteria and *Akkermansia* spp. associated with reduced  
446 endotoxemia and systemic inflammation (Cani, et al., 2007; Schneeberger, et al., 2015).  
447 These effects can be partly explained by the ability of those bacteria to ferment glycans  
448 leading to SCFA production and promoting local decrease of pH, which may modulate gut  
449 microbiota composition and inhibit the growth of enterobacteria, which may be a source of

450 LPS (Delzenne, Neyrinck, & Cani, 2013; Everard, et al., 2013). This effect could also be  
451 related to the role of SCFAs in strengthening the gut barrier function, which also reduces  
452 LPS translocation via different mechanisms, including modulation of expression and  
453 localization of tight-junction proteins, induction of endocrine peptide production (GLP-2)  
454 and modification of the intestinal levels of endocannabinoids (Everard, et al., 2013).

455 SCFAs also play an anti-inflammatory role by regulating the size and function of the  
456 colonic regulatory T cells (Treg), specifically inducing Foxp3+IL-10-producing Tregs  
457 (Smith, et al., 2013). SCFAs may also interact with peroxisome proliferator-activated  
458 receptor (PPAR)  $\gamma$ , thereby inhibiting pro-inflammatory signal transduction pathways (e.g.  
459 nuclear factor-kappa B [NF- $\kappa$ B]) leading to reduction of downstream cytokine/chemokine  
460 production (IL-6, IL-8, and MCP-1) in intestinal epithelial cells and metabolic tissues (e.g.  
461 adipose tissue) (Mastrofrancesco, et al., 2014). Activation of PPAR $\gamma$  also seems to be  
462 crucial in orchestrating Treg accumulation and function in the adipose tissue, which play an  
463 important role in preventing inflammation and insulin resistance (Cipolletta, Cohen,  
464 Spiegelman, Benoist, & Mathis, 2015). Butyrate as well as other SCFAs, protects against  
465 the liver inflammation process associated with steatosis by inhibiting the NF- $\kappa$ B activation  
466 and downregulating expression of TLR4 receptor (Mattace Raso, et al., 2013). The  
467 molecular mechanisms underlying SCFA modulation of NF- $\kappa$ B activity have recently been  
468 disclosed as related to JNK and p38 kinases, which control NF- $\kappa$ B activity (Haghikia, et al.,  
469 2015). However, we cannot discard additional mechanisms to control NF- $\kappa$ B function  
470 involving acetylation/deacetylation of histones and the RelA (p65) monomer itself (Davie,  
471 2003; Glozak, Sengupta, Zhang, & Seto, 2005).

472

473 *3.2. Enteroendocrine secretion and appetite*

474 In obese animals fed inulin-type fructans, there is an increase in plasma anorexigenic  
475 peptides (peptide YY and glucagon-like peptide - GLP-1) and a decrease in the orexigenic  
476 peptide ghrelin, which increases satiety (reviewed in (Delzenne, et al., 2013)). In addition,  
477 supplementation with fructans in HFD-fed mice modulates neuronal activation within the  
478 arcuate nucleus, which can help to control food intake (Anastasovska, et al., 2012). These  
479 effects on anorexigenic peptide secretion could be mediated by interactions of SCFAs with  
480 G-protein receptors such as FFAR2 (GPR41) and FFAR3 (GPR43), which could explain  
481 induction of satiety and increased insulin sensitivity (Blaut, 2014). Also in humans,  
482 prebiotic interventions with fructans have led to increases in anorexigenic peptides and/or  
483 decreases in orexigenic (ghrelin) peptides (Cani, Joly, Horsmans, & Delzenne, 2006; Cani,  
484 et al., 2009; Parnell & Reimer, 2009; Verhoef, Meyer, & Westerterp, 2011), but effects on  
485 satiety have not always been consistent (Peters, Boers, Haddeman, Melnikov, & Qvyjt,  
486 2009).

487

488 *3.3. Adiposity, lipid and glucose metabolism*

489 Reduced adiposity in rodents due to dietary supplementation with inulin-type fructans or  
490 AX has also been attributed to the role of SCFAs in modulating PPAR $\gamma$  expression via  
491 interaction with the G-protein coupled receptor protein FFAR3 (Delzenne, Neyrinck,  
492 Backhed, & Cani, 2011). Interestingly, den Besten and co-workers found that SCFAs  
493 decrease PPAR $\gamma$  expression, thus promoting activity of the uncoupling protein 2 (UCP2)  
494 and, thereby, stimulating oxidative metabolism in liver and adipose tissue, insulin  
495 sensitivity and weight loss (den Besten, et al., 2015). Studies with inulin-type fructans have  
496 also shown they can decrease hepatic accumulation of triglycerides and/or cholesterol in

497 liver tissue. These effects have been associated with a decrease in sterol-response-element-  
498 binding protein-dependent cholesterologenesis, lipogenesis, or changes in PPAR $\alpha$ -driven  
499 fatty acid oxidation (reviewed in (Delzenne, et al., 2013)). The majority of studies show  
500 prebiotic administration also leads to improved fasting or postprandial glycemia due to the  
501 very low digestion rates of prebiotics compared with digestible carbohydrates (for review  
502 see (Roberfroid, et al., 2010)). In addition, SCFA-stimulation of GLP-1 secretion can also  
503 mediate an improvement in glucose metabolism, reducing obesity-related hepatic insulin  
504 resistance.

505 In humans, intervention studies with fructans have reported modest effects on body weight  
506 and fat mass in obese adults, but simultaneous changes in microbiota were not considered  
507 to have any correlation (Genta, et al., 2009; Parnell & Reimer, 2009). Nevertheless, there  
508 are also reports of a lack of effect on body weight in obese children (Liber & Szajewska,  
509 2014). On the other hand, a rapid improvement in glucose tolerance has been observed for  
510 individuals consuming WG barley the night prior to analysis. These results were thought to  
511 be caused by the high amount of soluble dietary fiber and resistant starch contained in  
512 barley kernels, which facilitated bacterial fermentation in the colon overnight and produced  
513 significantly higher levels of SCFAs. This was indirectly measured from breath H<sub>2</sub>  
514 excretion (Nilsson, Granfeldt, Ostman, Preston, & Bjorck, 2006). Moreover, recent results  
515 of this dietary intervention model indicate that the fiber-associated improvement of glucose  
516 metabolism is also associated with an increase in *Prevotella* spp. (Kovatcheva-Datchary, et  
517 al., 2015).

518

519 ***4. Impact of dietary fat on gut microbiota and associated metabolic endpoints***

520 Globally, an increase in dietary fat content is usually paralleled with a decrease in  
521 carbohydrates, including dietary fiber content, thus making it difficult to attribute the  
522 observed changes, at physiology or gut microbiota levels, exclusively to one of the  
523 macronutrients whose proportion is being increased. Consequently, a decreased abundance  
524 of butyrate-producing bacteria and lower fecal SCFA excretion following a HFD is most  
525 likely caused by a decrease in dietary carbohydrate intake. Therefore, major conclusions  
526 derived from future animal or human studies including HFD interventions must be  
527 addressed carefully in order to consider confounding effects regarding the proportions and  
528 energetics or other macronutrients administered.

529

#### 530 *4.1. Evidence from animal studies*

531 The role of gut microbiota in HFD-induced obesity was suggested through animal  
532 experiments involving germ-free mice fed a HFD, which were protected from obesity  
533 compared to conventionally raised mice (Rabot, et al., 2010), thus highlighting the role of  
534 microbiota in HFD-induced obesity. Furthermore, a study in mice by Hildebrandt and  
535 coworkers showed that changes in the gut microbiota composition were caused by dietary  
536 fat content rather than the degree of obesity, suggesting that fat directly impacts on  
537 microbiota regardless of the metabolic phenotype (Hildebrandt, et al., 2009). Gut  
538 microbiota transferred to germ-free mice from conventionally raised mice resulted in  
539 weight gain and a higher relative abundance of Firmicutes and a lower abundance of  
540 Bacteroidetes when mice were fed a HFD compared to a low-fat chow diet from 16 weeks  
541 of age (Turnbaugh, Backhed, Fulton, & Gordon, 2008). Although differences established at  
542 phylum level are of limited value since each phylum comprise many different species  
543 which may potentially play many different functions, a common trait for HFD-feding



544 seems to be that it increases the Firmicutes:Bacteroidetes ratio (de Wit, et al., 2012;  
545 Hildebrandt, et al., 2009; Lam, et al., 2012; Turnbaugh, et al., 2008), although there is not  
546 complete consistency across studies (Lecomte, et al., 2015); this would also be due to  
547 experimental and environmental differences. A recent 16-week study in mice fed a HFD  
548 reports that the abundance of *Akkermansia muciniphila* was progressively and drastically  
549 decreased while other groups including *Bifidobacterium* spp. and *Lactobacillus* spp.  
550 showed a transient decrease. In contrast the abundance of *Roseburia* spp. and *Bilophila*  
551 *wadsworthia* increased after 12 and 16 weeks upon HFD, respectively (Schneeberger, et al.,  
552 2015). Interesting, *B.wadsworthia* have been linked to insulin resistance and inflammation  
553 in humans (Brahe, et al., 2015).

554 Animal studies have revealed different mechanisms by which HFD could exert adverse  
555 effects, partly mediated by the microbiota, on the host metabolic phenotype. For example,  
556 diets rich in saturated fat may contribute to inflammation, a hallmark of metabolic  
557 dysfunction leading to metabolic syndrome and T2D, by promoting the expansion of  
558 pathobionts, reducing the proportion of protective bacteria, and promoting a leaky gut that  
559 in turn facilitates the translocation of bacterial products (e.g. LPS) causing immune  
560 activation (Caesar, et al., 2015; Delzenne, et al., 2011; Devkota, et al., 2012). In a recent  
561 study, HFD-induced microbiota changes were correlated with obesity-related inflammatory  
562 and metabolic biomarkers (Schneeberger, et al., 2015). *Akkermansia muciniphila* was the  
563 species showing the clearest inverse associations with inflammatory markers in the adipose  
564 tissue and also with biochemical/hormonal parameters in circulation (i.e., insulin, glucose,  
565 triglycerides and leptin).

566 However, as the majority of the dietary fat is absorbed in the small intestine and does not  
567 serve as an energy source for gut microbes, the effect of fat on gut microbiota must be

568 partly mediated by indirect mechanisms. Increased fat intake also leads to increases in fat  
569 quantities and of BAs reaching the colon, and particularly the concentration and  
570 composition of BAs modulates the gut microbiota exerting antimicrobial effects (Islam, et  
571 al., 2011; Ridlon, Kang, Hylemon, & Bajaj, 2014). Primary BAs (e.g. cholic acid [CA] and  
572 chenodeoxycholic acid [CDCA] in humans and beta-muricholic acid [ $\beta$ -MCA] in mice) are  
573 sterol compounds synthesized from cholesterol in the liver, conjugated with taurine and  
574 glycine, and then secreted into the small intestine to emulsify lipids to facilitate their  
575 digestion and absorption. The majority of BAs are reabsorbed (enterohepatic recycling), but  
576 as increased fat intake leads to increased BA secretion, theoretically more BAs will escape  
577 enterohepatic recycling, and hence reach the large intestine. During the transit to the large  
578 intestine, primary BAs undergo deconjugation, oxidation of hydroxyl groups at C-3, C-7,  
579 and C-12, and  $7\alpha/\beta$ -dehydroxylation reactions mediated by intestinal bacterial enzymes,  
580 yielding secondary BAs such as deoxycholic acid (DCA), lithocholic acid (LCA), and  $\beta$ -  
581 muri-deoxycholic acid. Bacterial bile salt hydrolases (BSH), e.g. produced by *Clostridium*  
582 spp, catalyze the first reaction on secondary BAs and this is a step necessary for the  
583 subsequent  $7\alpha/\beta$ -dehydroxylation (Degirolamo, Rainaldi, Bovenga, Murzilli, & Moschetta,  
584 2014). Overall, the amount and composition of BAs are strongly influenced by gut  
585 microbiota and *vice versa*, and BA biotransformation has important biological  
586 consequences due to their role in dietary lipid absorption and as signaling molecules,  
587 modulating cholesterol and triglyceride metabolism and glucose and energy homeostasis  
588 (Degirolamo, et al., 2014; Staels & Prawitt, 2013). Secondary BAs have strong  
589 antimicrobial activity (e.g. damage of the bacterial cell membrane by interaction with  
590 phospholipids) due to their amphipathic properties. For example, DCA has 10 times the  
591 bactericidal activity of CA (Islam, et al., 2011), therefore an increase in the proportion of

592 secondary BAs following HFD very likely affects the microbiota composition. A rat study,  
593 evaluating the effect of adding CA at different doses compared with controls (no CA  
594 added), demonstrated adose-dependent increase of fecal BA and DCA (Islam, et al., 2011).  
595 Furthermore, a dose-dependent decrease in fecal SCFA concentration was observed along  
596 with a reduction in total bacterial count and an increase in Firmicutes at the expense of  
597 primarily Bacteroidetes.

598 Dietary saturated fat compared to poly-unsaturated fatty acids (PUFAs) was also reported  
599 to favor taurine conjugation of hepatic BAs, which caused an expansion of  $\delta$ -  
600 Proteobacteria-type pathobionts, in particularly *B. wadsworthia* which is a sulfite-reducing  
601 bacterium exerting a cytotoxic effect on epithelial cells and activating Th1-type  
602 inflammatory response (Devkota, et al., 2012).

603 Studies in rodent models of HFD-induced obesity have also shown that saturated fat  
604 reduces the mucus layer, which acts as the first barrier separating the immune system from  
605 microbial and antigen interactions that may activate an inflammatory response. This effect  
606 was parallel to a reduction in the abundance of *Akkermansia* spp., while administration of  
607 this bacterium reversed it, increasing mucus layer thickness, and thus suggesting a  
608 microbiota-mediated effect (Everard, et al., 2013). Other animal studies have reported  
609 correlations between HFD-induced changes in the microbiota and alterations in the  
610 expression of tight junction-related proteins, and in gut permeability. In mice a HFD has  
611 been shown to reduce the expression of the tight-junction-related protein zonula occludens  
612 (ZO)-1 mRNA (Cani, Delzenne, Amar, & Burcelin, 2008) associated leading to increased  
613 gut permeability measured by transepithelial resistance (Lam, et al., 2012). Additionally,  
614 decreased transepithelial resistance (i.e. increased gut permeability) was associated a drop

615 in the abundance of *Lactobacillus* spp. and augmented abundance of *Oscillibacter* spp.  
616 (Lam, et al., 2012).

617 Animal studies also show that when a HFD is supplemented with either prebiotics (Cani, et  
618 al., 2007; Everard, et al., 2013; Serino, et al., 2012) or antibiotics (Cani, Bibiloni, et al.,  
619 2008) the HFD-induced alterations in gut microbiota and metabolism are partially reversed,  
620 indicating that gut microbiota partly mediate the consequences of HF feeding.

621 A few studies have investigated the effects of different dietary fatty acids (Lam, et al.,  
622 2012; Lappi, et al., 2013; Simoes, et al., 2013). In mice, it has been shown that n-6 high fat  
623 diets do not increase insulin resistance, intestinal permeability and fat accumulation to the  
624 same degree as saturated fatty acid diets, which is possibly due to a lower increase in H<sub>2</sub>S-  
625 producing bacteria (Lam, et al., 2012). Likewise, lower decreases in Bacteroidetes have  
626 been found under diets rich in n-3 or n-6, compared to diets rich in saturated fatty acids(T.  
627 Liu, Hougen, Vollmer, & Hiebert, 2012).

628

#### 629 *4.2. Evidence from human studies*

630 Only a few human intervention studies have investigated the effects of HFD compared to  
631 low-fat diets(LFD) or the type of fat (saturated fat versus PUFAs) in relation to changes in  
632 gut microbiota and the metabolic consequences. As found in animal studies, total bacterial  
633 counts decrease in humans who consume a HFD (35-38 E%), compared to a LFD (23-27  
634 E%) over 24 weeks (Fava, et al., 2013). Moreover, low/moderate-fat intake appears to  
635 induce a higher abundance of *Bacteroides* spp. and/or *Bifidobacterium* spp., compared to  
636 high-fat intake in human intervention trials (Brinkworth, Noakes, Clifton, & Bird, 2009;  
637 Fava, et al., 2013). An energy-restricted HFD (58 E%), compared with an isocaloric  
638 moderate-fat diet (28 E %) was shown to increase the total number of anaerobes in the

639 moderate-fat group, but not in the high-fat group, but the ratio between anaerobe:aerobe  
640 remained unchanged in each group (Brinkworth, et al., 2009). Additionally, a study  
641 comparing high-fat and moderate-fat *ad libitum* diets (66 E% vs. 35 E%) over 4 weeks did  
642 not report any effect on the gut microbiota in terms of total bacterial count; however, the  
643 methodology used to study microbiota abundance was based on a limited number of  
644 species(Duncan, et al., 2007).

645 As stated above , an increase in the intake of dietary fat is usually at expenses of a decrease  
646 in that of simple or complex carbohydrates, making it difficult to attribute the observed  
647 effectexclusively to one of the macronutrients. O’Keefe and coworkers (O’Keefe, et al.,  
648 2015) compared the effects on gut microbiota in a cross-over study with a 2-week diet  
649 period administering either African- or American-food. The switch from a rural African to  
650 an American-diet (52% fat, 21% carbohydrate, 27% protein, and 12% fiber) decreased the  
651 abundance of butyrate-producing bacteria and the production of acetate, propionate and  
652 butyrate (O’Keefe, et al., 2015). Similarly, Duncan and coworkers observeda higher  
653 abundance of *Roseburia* and *Eubacterium* and higher fecal excretion of butyrate in humans  
654 following a moderate fat diet compared to high-fat intake, with these changes in the gut  
655 microbiota and derived metabolites being positively correlated with carbohydrate intake  
656 (Duncan, et al., 2007).

657 O’Keefe and coworkers also measured BA excretion and observed that the high-fat diet of  
658 Americans was associated with increased expression of microbial genes coding for the  
659 enzyme related to converting primary BAs to secondary BAs, whereas a dietary switch to a  
660 lower-fat diet reduced the abundance of these bacteria. Furthermore, excretion of the  
661 secondary BAs LCA and DCA was increased by the HFD. Also short-term consumption of  
662 diets composed entirely of animal (rich in fat and protein) or plant products (rich in fiber)

663 can rapidly alter gut microbial composition (David, et al., 2014). An animal-based diet  
664 increased the abundance of bile-tolerant microorganisms, including *Alistipes*, *Bilophila*, and  
665 *Bacteroides* species. By contrast this diet decreased the abundance of Firmicutes, including  
666 genus and species specialized in the utilization of polysaccharides (*Roseburia*, *Eubacterium*  
667 *rectale*, and *Ruminococcus bromii*). Furthermore, the animal-based diet increased the  
668 abundance of *B. wadsworthia* and secondary BAs. These findings support the observations  
669 in rodent models comparing diets rich in PUFA or saturated fat (D. L. Gibson, et al., 2015;  
670 Schneeberger, et al., 2015), suggesting similar mechanisms of action and similar metabolic  
671 effects.

672 The relationship between PUFAs and the microbiota are even less well understood. A  
673 recent study in women with obesity and metabolic syndrome who consumed inulin-type  
674 fructans for 3 months reported that PUFA-derived metabolites were associated with  
675 *Bifidobacterium* spp., *Eubacterium ventriosum*, and *Lactobacillus* spp., and negatively  
676 correlated with serum cholesterol (Druart, et al., 2014). However, another human  
677 intervention study found that supplementation with n-3 fatty acids (180 mg EPA and 120  
678 mg DHA) for 6 weeks did not induce changes in the gut microbiota although it decreased  
679 insulin resistance and CRP (Rajkumar, et al., 2014). Unfortunately, amelioration of these  
680 metabolic parameters could not be directly associated with one specific fatty acid since  
681 only a mixture was tested. Therefore, further studies are needed to gain greater  
682 understanding of how the quality of dietary fat influences gut microbiota composition and  
683 function, and potential mediated effects on metabolism in humans.

684

685 **Concluding remarks**

686 Fiber is an instrumental dietary component that can be used to remodel gut microbiota  
687 composition and function to potentiate the beneficial effects of healthy diets on body  
688 weight management and metabolism. However, efforts are still needed to identify the  
689 optimal functional partnership between key bacterial species and types of fibers,  
690 considering the specificities of the individual's microbiota. Fermentation of dietary fiber  
691 generates SCFAs, which presumably articulate beneficial effects in the context of obesity;  
692 yet many other secondary metabolic products resulting from diet-microbe interactions have  
693 yet to be discovered. Gut microbiota appears to contribute to the adverse consequences of  
694 high-fat diets on the metabolic phenotype, aggravating the associated low-grade  
695 inflammation and increasing energy absorption; however, further studies are needed to  
696 understand the potential effects of the quality of dietary fat on the gut microbiota and  
697 secondary metabolic process, such as those involving bile acids and their signaling roles.  
698 Additional efforts must be conducted to identify the specific components of the gut  
699 microbiota, at species and strain level, influenced by different types of dietary fibers and  
700 fats and to understand their roles and mechanisms of action in humans to facilitate the use  
701 of this information in nutritional practice. This ambitious goal is expected to be  
702 accomplished by developing translational research approaches that integrate controlled  
703 dietary interventions in humans, combining functional omics technologies and  
704 physiological/clinical endpoints, and mechanistic studies in experimental models colonized  
705 with specific dietary-driven human microbiotas.

706

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711

712



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1096 **Figure Legends**

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1098 **Figure 1.**The bacterial butyrate synthesis pathways (adapted from (Vital, et  
1099 al., 2014)). Vital and coworkers have reconstructed four different pathways  
1100 for butyrate synthesis through and an extensive metagenomic approach.  
1101 Protein names and major substrates are shown across the different  
1102 biosynthetic pathways. Genes/proteins responsible of the last step of  
1103 butyrate production, and frequently used as biomarkers for gut microbiota  
1104 studies, are highlighted in red. They are known as: 4Hbt, butyryl-CoA:4-  
1105 hydroxybutyrate CoA transferase; But, butyryl-CoA:acetate CoA  
1106 transferase; Ato, butyryl-CoA:acetoacetate CoA transferase ( $\alpha$ ,  $\beta$  subunits);  
1107 and Buk, butyrate kinase.

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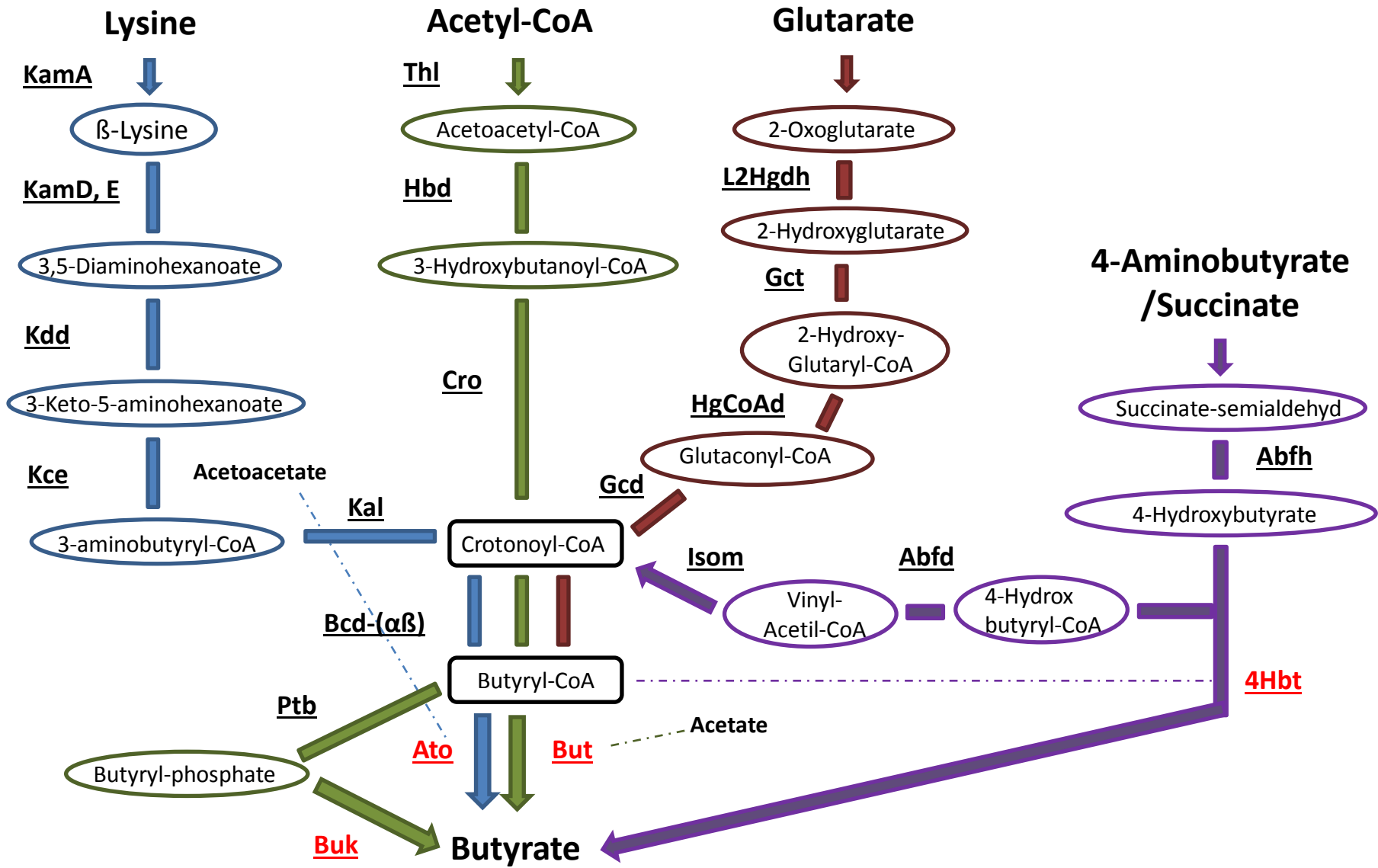


Table 1. Summary of dietary fiber interventional studies with gut microbiota assessments in humans.

Fiber	Study Design	Subjects	Time	Gender	Population	Effects on gut microbiota <sup>1</sup>	Reference
Maize-derived WG cereal	DB, R, PC, CO	32	3 weeks	Females (21) Males (11)	European UK	↑ <i>Bifidobacterium</i>	(Carvalho-Wells, et al., 2010)
WG wheat cereal	DB, R, PC, CO	31	3 weeks	Females (16) Males (15)	European UK	↑ <i>Bifidobacterium</i> , <i>Lactobacillus</i>	(Costabile, et al., 2008)
WG barley	R, CO	28	4 weeks	Females (17) Males (11)	USA	↑ <i>Blautia</i> , <i>Bifidobacterium</i> , <i>Roseburia</i> , <i>Dialister</i> ↔ <i>Dialister</i> - plasma IL-6levels ↔ <i>Eubacterium</i> - plasmaglucose/insulin	(Martinez, et al., 2013)
Inulin	DB, R, PC, CO	32	4 weeks	Females	European Switzerland	↑ <i>Bifidobacterium</i>	(Petry, et al., 2012)
Inulin (Agave)	DB, R, PC, CO	29	3 weeks	NA	USA	↑ <i>Bifidobacterium</i> ↓ <i>Desulfovibrio</i> ↔ <i>Faecalibacterium</i> - fecal butyrate	(Holscher, et al., 2015)
Inulin / FOS	DB, R, PC	31	8 weeks	Females	European Spain	↑ <i>Bifidobacterium</i> , <i>Lactobacillus</i>	(Garcia-Peris, et al., 2012)
Inulin-type fructans	DB, R, PC	30	12weeks	Females	European Belgium	↑ <i>Bifidobacterium</i> , <i>Faecalibacteriumprausnitzii</i> ↓ <i>Bacteroides</i> , <i>Propionibacterium</i> ↔ <i>Bifidobacterium</i> - plasma LPS levels ↔ <i>Faecalibacterium</i> - plasma LPS levels ↔ <i>Bacteroides</i> - Fat mass	(Salazar, et al., 2015)
Inulin / Oligofructose	DB, R, PC	22	12 days (mean)	Females (9) Males (13)	European UK	↓ <i>Faecalibacterium</i> , <i>Bacteroides</i> , <i>Prevotella</i>	(Majid, et al., 2014)
Inulin / Oligofructose	DB, PC	30	12 weeks	Females (44)	European Belgium	↑ <i>Bifidobacterium</i> , <i>Faecalibacteriumprausnitzii</i>	(Dewulf, et al., 2013)
Inulin / Oligofructose	DB, R, PC	252	16weeks	Females (123) Males (129)	European Spain	↑ <i>Bifidobacterium</i>	(Closa-Monasterolo, et al., 2013)
B-GOS	DB, R, PC, CO	45	6 weeks	Females (29) Males (16)	European UK	↑ <i>Bifidobacterium</i> ↓ <i>Clostridium histolyticum</i> , <i>Desulfovibrio</i> , <i>Bacteroides</i>	(Vulevic, et al., 2013)
GOS	DB, R, PC, CO	31	3 weeks	Females	European The Netherlands	↑ <i>Bifidobacterium</i>	(Whisner, et al., 2013)
GOS	DB, R, PC	163	>16 weeks	NA	European Italy	↑ <i>Bifidobacterium</i>	(Giovannini, et al., 2014)
XOS	R, PC	22	3 weeks	Females (7) Males (15)	Taiwan	↑ <i>Bifidobacterium</i>	(Chung, et al., 2007)
AXOS	R, PC, CO	20	3 weeks	Females (14) Males (6)	European Belgium	↑ <i>Bifidobacterium</i>	(Cloetens, et al., 2010)
AXOS	DB, R, PC, CO	63	3 weeks	Females (30) Males (33)	European Belgium	↑ <i>Bifidobacterium</i>	(Francois, et al., 2012)
AXOS	DB, R, PC, CO	65	3 weeks	Females (35) Males (30)	USA	↑ <i>Bifidobacterium</i>	(Maki, et al., 2012)
RS3	R, CO	14	3 weeks	NA	European Scotland	↑ <i>Ruminococcusbromii</i> , <i>Eubacteriumrectale</i>	(Walker, et al., 2011)

RS2, RS4	DB, CO	10	3 weeks	Females (5) Males (5)	USA	↑ <i>Bifidobacterium adolescentis</i> , <i>Eubacterium rectale</i> , <i>Ruminococcus bromii</i> , <i>Parabacteroides distasonis</i> ↓ <i>Faecalibacterium prausnitzii</i> , <i>Doreaformicigenerans</i>	(Martinez, et al., 2010)
RS	R, CO	46	4 weeks	Females (30) Males (16)	Australia	↑ <i>Ruminococcus bromii</i>	(Abell, et al., 2008)

1 Gut microbiota changes expressed in terms of abundance. ↑ indicates higher proportions of a determined bacterial genus after intervention, and ↓ indicates the inverse effect. ↔ indicates direct correlations among bacterial abundance and metabolic parameters studied, being negative or positive, respectively. DB= Double-blind; Single-Blind = SB; R= randomized; PC = Placebo-controlled; CO = Cross-over; NA = No information was explicitly available for gender distribution into the intervention groups.