

Open access policies in EIFL partner countries: Best practices and lessons learnt

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Open Access Week 2011, the University of Tartu Library,
October 28, 2011

www.eifl.net



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EIFL in action
EIFL 2011 Open Access Week photo contest



Take out your cameras and document your activities!

Spotlight
Perceptions of public libraries in Africa: research + results = change



Harnessing the potential of public libraries for community development



Enabling access to knowledge in developing and transition countries

Working in collaboration with libraries in more than 45 developing and transition countries in Africa, Asia and Europe, EIFL enables access to knowledge for education, learning, research and sustainable community development.

EIFL is an international not-for-profit organisation based in Europe with a global network of partners. We run a wide range of programmes and events designed to increase access to knowledge. Read about EIFL activities in our newsletter. [► More](#)



Open Access Week news and events

- Open Access Week 2011 in Azerbaijan
- EIFL partner institutions sign the Berlin Declaration
- DSpace in Africa: Botswana, Ethiopia, Ghana
- OA e-learning course for Polish scholarly community
- Libraries and the policy of open access to information and knowledge
- Open Access Week 2011 in Macedonia
- Open Access Publishing in Africa
- Open Access Month 2011 in Moldova
- Open Access Week 2011 in Egypt
- Slovenia launches a national Open Access website
- Open Access Week in Russia launches with webinar
- Open Access Week 2011 in Ukraine
- Open Access Week 2011 in Zimbabwe
- Open Access Week 2011 in Tanzania
- Open Access Week 2011 in Uganda
- Open Access Week 2011 in Nigeria
- Open Access Week 2011 in Kenya



EIFL-OA Programme wins SPARC Europe Award

Translate page
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- News**
- OA e-learning course for Polish scholarly community
 - EIFL generates dialog about public libraries at IGF 2011
 - Working together to improve information flows to farmers
 - Renewal agreement for Taylor & Francis Databases and...

- Events**
- Open Access Week 2011 in Egypt 23 Oct 2011
 - Open Access Week 2011 in Nigeria 24 Oct 2011
 - Open Access Week 2011 in Tanzania 24 Oct 2011
 - Open Access Week 2011 in Zimbabwe 24 Oct 2011

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MTÜ Eesti Raamatukoguvõrgu Konsortium
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est | eng

Siseinfo

ELNET

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- Struktuur, kontakt
- Liikmed
- Töörühmad
- Dokumendid
- Konverentsid, seminarid

E-KATALOOG ESTER

- Tutustus
- Osalevad raamatukogud
- «« Tallinn «« Tartu
- Juhendmaterjalid
- Täiendav info

ARTIKLITE ANDMEBAAS ISE

- Tutustus
- Osalevad raamatukogud

Avaleht

ELNET

Eesti Raamatukoguvõrgu Konsortium (ELNET Konsortium) on [põhikirja](#) järgi raamatukogude avalike huvide ühiseks teostamiseks asutatud mittetulunduslik ühendus.

Konsortiumi põhitegevusaladeks on:

- integreeritud raamatukogusüsteemi Millennium ning sellel põhinevate e-kataloogi ESTER ja Eesti artiklite andmebaasi ISE arendamine ja haldamine,
- Eesti märksõnastiku arendamine ja haldamine,
- liikmesraamatukogude nimel elektroonilise teadusinformatsiooni ühishangete korraldamine,
- erinevate ühisprojektide algatamine ja koordineerimine.

[ELNET konsortiumi arengustrateegia 2011-2014](#)

Removing barriers to knowledge sharing

EIFL-OA: open access

EIFL-OA achievements

400+ OA repositories & 2,600+ OA journals in EIFL partner countries

OA policies have been adopted by 24 institutions in the EIFL network

62 awareness raising, advocacy & capacity building events & workshops in 2003-2011 in 32 countries with participants from over 50 countries

EIFL-OA achievements (2)

Results of the SOAP Survey: A Preliminary Overview of the Situation in EIFL Partner Countries <http://bit.ly/iHzhIA>

Report on the implementation of open content licenses in developing & transition countries: <http://bit.ly/guUfRY>

Report on Open Repository Development in Developing & Transition countries: <http://bit.ly/dTPqYX>

EIFL-OA

financial support to 11 projects - national & institutional open access advocacy campaigns to reach out to research communities: six projects in Eastern Europe (Estonia, Latvia, Lithuania, Poland, Slovenia and Ukraine) & five projects in Africa.



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Access to knowledge

OpenAIRE implements EU OA policies

"Scientific information has the power to transform our lives for the better - it's too valuable to be locked away."

Neelie Kroes, Vice President of the European Commission for the Digital Agenda

[More...](#)

Latest News

OpenAIRE is a success story for the European Commission

06/09/2011
OpenAIRE. Opening science to all. Together The success story can be found on the website of Researc [...]

[Read more...](#)

- Organic Eprints is now OpenAIRE compliant
- New OA-policy at Aalborg University, Denmark

Mark your calendar

Thu Oct 27 @08:00 - 05:00PM
Open Access Week 2011 Tartu

Wed Oct 26 @14:00 -
OpenAIRE Café for FP7 projects participants, University of Debrecen, Hungary

Wed Oct 26 @10:00 -
Open Access Café for Librarians, University of Debrecen, Hungary

Tue Oct 25
Open Access Week at the

For Researchers

- Do you have to deposit?
- Deposit your publications
- Learn about copyright
- Download author's guide
- Search for publications

For Policy Makers

- Open Access policies and mandates
- Open Access in EU member states
- Open Access initiatives and projects

For Repository Managers

- Make your repository OpenAIRE compliant
- Validate and register your repository
- Read the FAQ on technical issues
- View the OpenAIRE compliant repositories

OpenAIRE

National Open Access Desks

Region 1 North (DTU) (DTU)

Denmark
(Danish Technical
University)

Finland
(University of Helsinki)

Sweden
(National Library of
Sweden)

Norway
(University of Tromsøe)

Region 2 South (UMINHO)

Cyprus
(University of Cyprus)

Greece
(National
Documentation Center)

Italy
(CASPUR)

Malta
(Malta Council for
Science & Technology)

Portugal
(University of Minho)

Spain
(Spanish Foundation
for Science &
Technology)

Region 3 East (EIFL)

Czech Republic
(Technical University of
Ostrava)

Hungary (HUNOR)

Lithuania
(Kaunas Technical
University)

Romania
(Kosson)

Slovakia
(**University Library of
Bratislava**)

Bulgaria
(Bulgarian Academy of
Sciences)

Estonia
(University of Tartu)

Latvia
(University of Latvia)

Poland
(ICM – University of
Warsaw)

Slovenia
(University of
Ljubljana)

Region 4 West (UGENT)

Austria
(University of Wien)

Belgium
(University of Gent)

France
(Couperin)

Germany
(University of
Konstanz)

Ireland
(Trinity College)

Netherlands
(Utrecht University)

UK
(SHERPA)

*Excl. Luxembourg,
Plus Norway

Main objectives of research & development activities

Competitive quality & increased productiveness of research & development activities;

Innovative business activity creating added value in the global economy;

Innovation-friendly society aimed at long-term development.

(the Estonian Research and Development and Innovation Strategy 2007-2013)

The Archimedes Foundation

mediates support for the strengthening of Estonian competitiveness in the field of research & development, including the development of human resources;

the implementation of research programmes;

& the modernization of higher education and research institutions.

<http://www.hm.ee/index.php?148665>



24 oktober – 4 november 2011

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SEARCH

Open 2011

In the spotlight:

EU Commissioner Kroes about Open 2011 and Open Access



News

- EU Commissioner Kroes: "Open access to research is a must for the competitiveness of Europe"
 - International Open Access Week Idea Swap
- ▶ [Read more](#)

Open 2011

Open Access Week

takes place throughout the world each autumn, with higher education institutions worldwide organising activities to raise knowledge and awareness of Open Access to research data.

In 2011 it's all about research AND education. The focus during the first week (24 to 28 October) will be on [Open Access and research](#); in the second week (31 October to 4 November), it will be on [education and Open Educational Resources](#).

#oaweek

[Open2011 on twitter](#)

“Open access to
research is a must for
the competitiveness of
Europe”

OA in the European Union

Chapter 2.5.2 of the **Digital Agenda for Europe** – Driving ICT innovation by exploiting the single market – refers to effectively managed knowledge transfer activities & states that **publicly funded research should be widely disseminated through Open Access publication of scientific data & papers**

OA in the European Union (2)

**Europe 2020 Flagship Initiative
Innovation Union: the Commission
will promote open access to the
results of publicly funded
research; & it will aim to make
open access to publications the
general principle for projects
funded by the EU research
Framework Programmes**

OA in the European Union (3)

Since August 2008 the European Commission (EC) is conducting a pilot initiative on OA to peer reviewed research articles in its Seventh Research Framework Programme (FP7). The EC requires grant recipients in 7 areas to "deposit peer reviewed research articles or final manuscripts resulting from their FP7 projects into an online repository & make their best efforts to ensure OA to these articles".

OA in the European Union (4)

7 areas are: Energy, Environment (including Climate Change), Health, Information and Communication Technologies (Cognitive Systems, Interaction, Robotics), Research Infrastructures (e-infrastructures), Science in society and Socio-economic sciences & the humanities.

OA to these publications is to be ensured within 6 months after publication in the first 5 areas listed; and 12 months in the last 2 areas listed.

OA in the European Union (5)

The EC wants to ensure that **the results of the research it funds are disseminated as widely and effectively as possible to guarantee maximum exploitation & impact in the world of researchers and beyond.**

OA to research articles **helps to increase the impact of the EU's investment in research & development & to avoid wasting time & valuable resources on duplicative research.**

OA in the European Union (6)

With access to a wider selection of literature, **researchers can build upon this knowledge to further their own work.**

Small & medium sized businesses & entrepreneurs can also benefit from improved access to the latest research developments to speed up commercialisation and innovation.

OA in the European Union (7)

The ERC Guidelines for Open Access (Dec 2007):

The ERC requires that **all peer-reviewed publications from ERC-funded research projects be deposited on publication into an appropriate research repository** where available, such as PubMed Central, ArXiv or an institutional repository, and subsequently made OA within 6 months of publication.



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European Commission Research & Innovation

Title

Consultation on the ERA Framework: Areas of untapped potential for the development of the European Research Area (ERA)

Policy field(s)

Research, Innovation

Target group(s)

This public consultation aims at gathering views and evidence from stakeholders on the key obstacles which have to be tackled to achieve a well-functioning ERA. Completing ERA will require the support and effort of all EU Member States and Associated Countries and their stakeholders (e.g. research performing organisations including universities, funding organisations, researchers, private sector and civil society).

Period of consultation

From 13.09.2011 to 30.11.2011

Objective of the consultation

The Heads of State and Government of the European Union have called to address remaining gaps for the achievement of the European Research Area rapidly in order to complete the ERA by 2014 to create a genuine single market for knowledge, research and innovation. The consultation aims to reinforce the Commission analysis of the key issues to be addressed as well as to gather views on their importance: researchers' careers and mobility, research infrastructures, cross-border operation of research actors, knowledge circulation, International cooperation and cross-cutting governance issues.

The outcome of the consultation will help the Commission to decide on those issues, which should be addressed as priorities when preparing by 2012 the ERA Framework, which will set out the focused, proportionate and effective measures to be taken to realize ERA.

How to submit your contribution

We welcome contributions from citizens, organisations and public authorities.



ERA Framework

Section 7 of the questionnaire focuses on knowledge circulation (knowledge transfer & open access) as one of the key issues to tackle.

The sub-section on open access asks questions that are specific to the remit of ERA policy actions (e.g. on researchers' awareness, ownership & copyright rules, VAT, infrastructures, national policies, etc.).

UN High Commissioner for Human Rights

Consultation on the right to enjoy benefits of scientific progress & its applications

The rights of scientists & collaborative work

UN High Commissioner for Human Rights (2)

Question 5. What legal, administrative, policy or other measures have been adopted/are under consideration **to eliminate barriers to scientific communication & collaboration**, such as censorship, restrictions on access to the Internet or on **free availability of scientific literature & journals**?

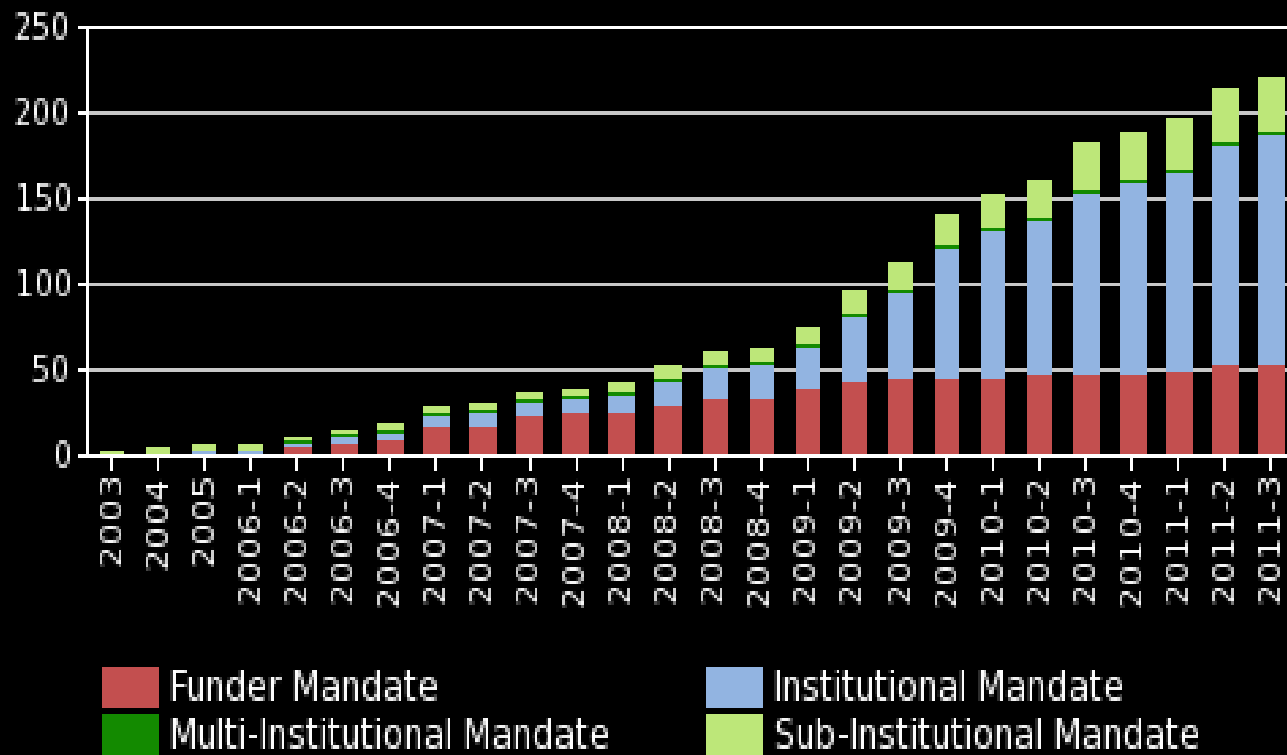
OA policy options

Voluntary: requesting that researchers make their work OA in the institutional repository

or

Mandatory: requiring that researchers make their work OA in the institutional repository

Mandatory policies do result in a high level of self-archiving which in turn provides a university with the increased visibility and impact.



Open access policy options

Request or require?

If you are serious about achieving OA for the research you fund, **you must require it.**

(Peter Suber's Open access policy options for funding agencies and universities
<http://bit.ly/1Tp1KV>)

Green or gold?

If you decide to request & encourage OA, rather than a mandate it, then you can **encourage submission to an OA journal & encourage deposit in an OA repository as well**, especially when researchers publish in a toll access journal.

Green or gold? (2)

But if you decide to **mandate OA**, then you should **require deposit in an OA repository** & not require submission to an OA journal, even if it also encourages submission to an OA journal.

Deposit what?

The final version of the author's peer-reviewed manuscript

Data

A citation and link to the published edition

Deposit what? (2)

Allow the deposit of unrefereed preprints, previous journal articles, conference presentations, book manuscripts, the journals edited or published on campus, open courseware, administrative records, digitization projects from the library, theses & dissertations

Scope of policy

For simplicity & enforceability, follow the example of most funding agencies: apply your OA policy to **research you fund "in whole or in part"**

What embargo?

No more than six months.

Any embargo is a compromise with the public interest; even when they are justified compromises, **the shorter they are, the better.**

What exceptions?

Private notes, records not intended for publication, classified research

Patentable discoveries

Royalty-producing books

The Hungarian Scientific Research Fund (OTKA)

“The scientific publication supported by an OTKA grant has to be made available for free according to the standards of Open Access, either through providing the right of free access during publication or through depositing the publication to an open access repository. Depositing is possible in a repository of an institution or that of a scientific field, as well as in the Repository of the Library of the Hungarian Academy of Sciences - REAL: <http://real.mtak.hu/>”

Lithuania

Article 45 of the Law on Higher Education and Research of the Republic of Lithuania (adopted on April 30, 2009 and took effect on May 12, 2009) requires the results of scientific activity to be made publicly available

Lithuania (2)

“In order to ensure the quality of research conducted with funds of the state budget, the transparency of the use of funds of the state budget, to enhance scientific progress, the results of all research works carried out in higher education and research institutions must be announced publicly (in the Internet or any other way), to the extent this is in compliance with the legal acts regulating the protection of intellectual property, commercial or state and official secrets.”

Lithuania (3)

A big step forward towards national open access policy.

Implementation is not part of the law.

Poland

the Chancellery of the Prime Minister & the Ministry of Science & Higher Education are working on a legislation to make the results of publicly funded research open access:

deposited in open access repositories &/or published in open access journals

Slovenia

The Ministry of Higher Education, Science & Technology presented the Research Infrastructure Development Plan 2011-2020.

Chapter 3.4.7 of the Plan anticipates the establishment of a national open data & open publication infrastructure & mandatory deposition of publicly funded data & publications



OPENACCESS SLOVENIA

Če sem videl dije, sem zaradi tega, ker sem stal na ramenih velikanov.

Sir Isaac Newton, 1676

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[Open access in Slovenia](#)

ISKANJE

 [IŠČI](#)

ODPRTE OBJAVE

Osnovna dejstva
Definicije in deklaracije
Načini objavljanja
Iskanje
Povezave

ODPRTI PODATKI

Izhodišča
Deklaracije, iniciative, strategije
Podatkovni centri in organizacije
Standardi, priporočila, poročila
Bibliografije (orodja)
Povezave

RAZISKOVALCI

Zakaj me to mora zanimati?
Postopek recenziranja
Avtorske pravice in licence
Kako lahko odprto objavim svoje delo?
Citiranost in odmevnost
Oddaj objavo!

POLITIKE IN STRATEGIJE

Mednarodna raven
Nacionalna raven
Organizacijska raven

ZALOŽNIKI

Založbe
Poslovni modeli

Prva

Odprtodostopni rezultati raziskav so digitalne, spletne, brezplačne vsebine brez večine avtorskopравnih omejitev. Raziskovalcem odprti dostop omogoča večjo dostopnost in odmevnost raziskav, ustanovam pa možnost zbiranja in predstavitve njihovega dela.

[VEČ >](#)

DOMAČE NOVICE

Portal Sistory v Tednu odprtega dostopa V Tednu odprtega dostopa (24.-30. 10. 2011) so na portalu Sistory prosto dostopna celotna besedila: vseh knjig iz knjižne zbirke Razpoznavanja /...

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MEDNARODNE NOVICE

Royal Society začela izdajati odprto revijo Open Biology, 25. 10. 2011 Najstarejša znanstvena založba na svetu Royal Society je začela izdajati odprto revijo Open Biology. Avtorji bodo ob...

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OPENACCESS.SI

Jozef Stefan Institute in collaboration with the partners: Univ of Ljubljana, Univ of Maribor, Univ of Primorska, Univ of Nova Gorica, Scientific Research Centre of the Slovenian Academy of Sciences & Arts, National Institute of Chemistry, Institute of Oncology, Agricultural Institute of Slovenia, National & University Library, Central Technological Library of the University of Ljubljana, Library of the Slovenian Academy of Sciences & Arts, The Ministry of Higher Education, Science & Technology & the Slovenian Research Agency

Best practices & lessons learnt

Draft an open access policy based on the models set by others:

roarmap.eprints.org;

Implementation should be part of the policy;

Collaborations are important.

Thank you! Questions?

ikuchma@gmail.com

eniffal