

1 Real world CO₂ and NO_x emissions from 149 Euro 5 and 6 2 diesel, gasoline and hybrid passenger cars

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13 Abstract

14 In this study CO₂ and NO_x emissions from 149 Euro 5 and 6 diesel, gasoline and hybrid
15 passenger cars were compared using a Portable Emissions Measurement System
16 (PEMS). The models sampled accounted for 56% of all passenger cars sold in Europe
17 in 2016. We found gasoline vehicles had CO₂ emissions 13 – 66% higher than diesel.
18 During urban driving, the average CO₂ emission factor was 210.5 (sd. 47) g km⁻¹ for
19 gasoline and 170.2 (sd. 34) g km⁻¹ for diesel. Half the gasoline vehicles tested were
20 Gasoline Direct Injection (GDI). Euro 6 GDI engines <1.4ℓ delivered ~17% CO₂
21 reduction compared to Port Fuel Injection (PFI). Gasoline vehicles delivered an 86 -
22 96% reduction in NO_x emissions compared to diesel cars. The average urban NO_x
23 emission from Euro 6 diesel vehicles 0.44 (sd. 0.44) g km⁻¹ was 11 times higher than
24 for gasoline 0.04 (sd. 0.04) g km⁻¹. We also analysed two gasoline-electric hybrids
25 which out-performed both gasoline and diesel for NO_x and CO₂. We conclude action
26 is required to mitigate the public health risk created by excessive NO_x emissions from
27 modern diesel vehicles. Replacing diesel with gasoline would incur a substantial CO₂
28 penalty, however greater uptake of hybrid vehicles would likely reduce both CO₂ and

29 NO_x emissions. Discrimination of vehicles on the basis of Euro standard is arbitrary
30 and incentives should promote vehicles with the lowest real-world emissions of both
31 NO_x and CO₂.

32 **Keywords**

33 Real-world emissions; PEMS; Passenger cars; GDI; NO_x; CO₂;

34 **1 Introduction**

35 Whilst passenger car emissions have improved with successive legislation there is
36 substantial evidence of the growing discrepancy between type approval limits and real
37 driving emissions (RDE) for both nitrogen oxides (NO_x) and carbon dioxide (CO₂)
38 (Carslaw et al., 2011; Fontaras et al., 2017; Franco et al., 2014; Kågeson, 1998;
39 O'Driscoll et al., 2016; Weiss et al., 2012). This creates the need for Portable
40 Emissions Measurement (PEMS) studies to provide a true picture of real world
41 emissions (Collins et al., 2007; Frey et al., 2003; Kousoulidou et al., 2013; Rubino et
42 al., 2007; Weiss et al., 2011).

43 There is also a growing body of evidence relating to the adverse health effects of air
44 pollution (COMEAP, 2010; EEA, 2015; RCP, 2016; WHO, 2016, 2013). Across Europe
45 many major cities are unable to meet the annual mean concentration limit for nitrogen
46 dioxide (NO₂), set for the protection of human health. Exceedances of the limit value
47 occur mostly at roadside locations; this is largely attributed to the failure of the EU type
48 approval procedure to reduce real world emissions, particularly NO_x from diesel
49 vehicles (Beevers et al., 2012; Degraeuwe et al., 2015; DfT, 2016a; Franco et al.,
50 2014). With an aim to address this the EU have introduced (from September 2017) a
51 real driving component to the type approval process, Euro 6d-TEMP (EC, 2016).

52 CO₂ is the dominant greenhouse gas (GHG) causing global warming, which has
53 severe impacts on climate, people and ecosystems around the world (IPCC, 2014).
54 An international consensus has grown around the need to reduce emissions of GHGs
55 though international summits such as the Paris Climate Accord (CCC, 2016). After the
56 energy sector, the transport sector is the biggest emitter of GHGs in the European
57 Union (EU), and more than two thirds of transport emissions come from road transport,
58 making road transport responsible for ~20% of all GHG emissions in the EU (Europa,
59 2017). The EU is committed to reducing CO₂ emissions from road transport by
60 introduction of fleet average CO₂ targets that aim to deliver a 40% decrease in
61 emissions from new cars between 2005 and 2021. The current fleet average target of
62 130 g km⁻¹ was introduced in 2015. Technological advancements in engine fuel
63 efficiency have reduced vehicle CO₂ emissions but rising demand for fuel has
64 outweighed fuel economy improvements. As a result, transportation is the only major
65 sector in the EU for which greenhouse gas emissions continue to rise (CCC, 2015;
66 Fontaras et al., 2017).

67 In Europe, the most prevalent passenger car fuels are diesel and gasoline. Differences
68 between the two fuels and associated engines in energy density, combustion
69 processes and after treatment technologies result in different exhaust compositions.
70 Comparatively speaking, gasoline vehicles produce 20 - 30% more CO₂, whilst diesel
71 vehicles emit many times more NO_x (Moody and Tate, 2017; Suzuki and Matsumoto,
72 2004; Weiss et al., 2012).

73 'Dieselisation' of the European passenger fleet began in the mid-1980's, driven by
74 improvements in fuel economy and supposed environmental benefits. Throughout the
75 2000's, government incentives promoted sales of diesel vehicles leading to a peak in
76 EU passenger car sales of 52% in 2015 (ICCT, 2016). Recent trends in sales indicate

77 the 2015 Volkswagen scandal along with evidence of the health effects relating to air
78 pollution from diesel vehicles have caused consumers to move away from diesel (FT,
79 2016) (see supporting information). Total annual car sales continue to rise, and whilst
80 some consumers are opting for alternative fuel vehicles instead of diesel, the majority
81 are switching back to gasoline (SMMT, 2017).

82 In the UK over half of all alternative fuel vehicles sold are gasoline- electric hybrids.
83 Previous studies have found average emissions from two Euro 5 Toyota Prius
84 gasoline-electric vehicles were $(0.009 \pm 0.005 \text{ g NO}_x \text{ km}^{-1})$ and $(136 \pm 21 \text{ g CO}_2 \text{ km}^{-1})$
85 ¹⁾ (Wu et al., 2015) with fuel economy savings of 40 – 60% compared to an equivalent
86 conventional gasoline vehicle (Fontaras et al., 2008).

87 In this study, we compare the real-world emissions of NO_x and CO₂ from 149 Euro 5
88 and 6 diesel, gasoline and hybrid passenger cars and 2 gasoline-electric hybrids. We
89 analyse and compare the real world CO₂, NO_x and primary NO₂ emissions and how
90 these relate to type approval limits and manufacturer's official estimates. We
91 investigate the CO₂ savings delivered by Gasoline Direct Injection (GDI) engines, and
92 compare urban and motorway emissions. We also extend the discussion to the
93 significance of cold start emissions in the supporting information. Our aim is to present
94 an accurate representation of CO₂ and NO_x emissions from the current Euro 5 and 6
95 European fleet in order to inform policies that protect both air quality and climate
96 change objectives.

97 **2 Materials and Methods**

98 PEMS measurements of 149 vehicles were conducted in the Greater London area
99 between 2012 -2016. The test fleet contained 37 Gasoline Euro 5 (G5), 35 Gasoline
100 Euro 6 (G6), 36 Diesel Euro 5 (D5), 39 Diesel Euro 6 (D6), 1 Euro 5 Hybrid (H5) and

101 1 Euro 6 Hybrid (H6) vehicle(s). The models sampled accounted for 56% of all
102 passenger cars sold in Europe in 2016 and included vehicles made by 27
103 manufacturers.

104 **2.1 Test vehicles**

105 The goal of this study was to provide a broad characterisation of the fleet, as opposed
106 to identifying issues specific to a manufacturer, therefore vehicles were anonymised
107 and assigned a vehicle ID. The characteristics of the vehicles are provided in the
108 supporting information.

109 Engine displacements of the test fleet followed the wider European trend, with diesel
110 engines being larger and gasoline engines smaller. The average engine displacement
111 was 1.9 l for diesel and 1.5 l for gasoline. The distribution of engine sizes in the test
112 fleet was representative of the UK and European fleet (see supporting information).
113 As engine displacement relates closely to CO₂ emissions, the vehicles were split into
114 categories for analysis as follows; <1.4 l = Extra Small [XS], 1.4 l - ≤1.55 l = Small [S],
115 1.55 l - ≤2l = Medium [M] and >2 l = Large [L].

116 The most represented euro car segments in the test fleet were B (Small), C (Lower
117 Medium), D (Upper Medium) and H (SUV). Segments B, C, D and H are the most
118 common in the passenger car market, in the UK in 2015 they made up 83% of new
119 vehicles registered (SMMT, 2015).

120 Vehicles in the test fleet had relatively low start mileages with an average of 4105
121 (standard deviation, sd. 3000) km. Therefore, deterioration of the emissions control
122 systems as a result of ageing, usually observed after ~50,000km (Borken-Kleefeld and
123 Chen, 2015), is not a factor in this study. As it is too early for substantial evidence of
124 emissions degradation from Euro 5 and 6 vehicles, it cannot be assumed emissions

125 stated in this study will remain constant over the lifetime of the vehicle (Chen and
126 Borken-Kleefeld, 2016). Some of the vehicles have driven less than 3000 km and may
127 not have fully de-greened, however, these are representative of vehicles on European
128 roads. The vehicles in the test fleet include Euro 6 classified vehicles manufactured
129 from 2013 – 2016. The Euro 6 regulations are currently undergoing rapid changes,
130 and vehicle manufacturers are likely to be modifying vehicles in response. As a result,
131 the real-world performance of Euro 6 vehicles has become a moving target, again
132 however, this sample can still be seen as representative of vehicles currently on the
133 road.

134 **2.1.1 After treatment**

135 All gasoline vehicles in the test fleet were fitted with three-way catalysts (TWCs) which
136 control NO_x, carbon monoxide (CO) and hydrocarbon emissions. All diesel vehicles
137 were fitted with a Diesel Oxidation Catalyst (DOC), Diesel Particulate Filter (DPF) and
138 Exhaust Gas Recirculation (EGR), as are all diesel cars Euro 5 or after (BMVI, 2016;
139 DfT, 2016a). The D6 vehicles had a mixture of NO_x aftertreatments, 7 used only EGR,
140 19 used EGR + Lean NO_x Trap (LNT), and 13 used EGR + Selective Catalytic
141 Reduction (SCR). This reflects the 2014 sales mix (ICCT, 2015). All three abatement
142 technologies were previously found to reduce diesel NO_x emissions to a similar degree
143 (O'Driscoll et al., 2016).

144 Half of the vehicles in the test fleet were equipped with fuel saving stop-start
145 technology. 60% of new cars sold in Europe have stop-start technology (Gross, 2015).
146 It is thought to deliver fuel savings of between 3-5%, making any potential benefit
147 within the natural variability of PEMS measurements (Bishop et al., 2007), and
148 therefore not an explicit consideration explicitly in this study.

149 **2.1.2 Gasoline Direct Injection (GDI)**

150 From 2009 to 2014 the GDI deployment rate in Europe rose from 10% to 38%
151 (Wolfram et al., 2016). Forty two percent of the gasoline vehicles in the test fleet were
152 equipped with GDI engines, and these tended to be smaller vehicles (B and C
153 segment). The GDI share of the market has increased rapidly in recent years, and now
154 makes up almost half of new gasoline vehicles sold. Studies have found GDI engines
155 deliver fuel consumption savings of between 3 - 14.5% compared with Port Fuel
156 Injection (PFI) engines (Chan et al., 2012; Saliba et al., 2017). However, GDIs have
157 higher emissions of particulates (both mass and number) and a higher number of the
158 ultrafine particles most associated with health effects (Liang et al., 2012; Peckham et
159 al., 2011; Wang et al., 2014). The particle number (PN) limit and RDE emissions type
160 approval testing required for the Euro 6d-TEMP emissions standard (September 2017)
161 will require manufactures to address the issue of GDI engine PN. New technologies,
162 including Gasoline Particulate Filters (GPFs) have been found to significantly reduce
163 both particulate matter mass (PM) and PN emissions from GDIs (Czerwinski et al.,
164 2017; Saliba et al., 2017). GDI engines without a GPF have been found to have higher
165 particulate emissions than a diesel vehicle with a DPF (Liang et al., 2012). Neither PM
166 nor PN were measured in this study.

167 **2.1.3 Hybrid vehicles**

168 A limited sample of 2 gasoline- electric hybrids were also analysed for comparison,
169 one Euro 5, one Euro 6. Both had 1.8 l gasoline engines.

170 **2.2 Test route and trip section extraction**

171 The test route was comprised of urban and motorway driving over an average distance
172 of 83 km. For each vehicle, an urban and motorway section of 16 km was extracted
173 for comparison (Table 1). A lack of repeatability is often a criticism of PEMS

174 measurements as vehicle emissions are highly sensitive to driving style (Durbin et al.,
 175 2008; Huang et al., 2013). It is therefore important to ensure the characteristics of the
 176 trips being compared are as consistent as possible. Here this was done by extracting
 177 sections of the trip for each vehicle with similar distance, duration, average speed,
 178 range of speed, time spent idle, Vehicle Specific Power (VSP, calculated using the
 179 equation found in the supporting information), Relative Positive Acceleration (RPA)
 180 and the 95th percentile of the product of speed and positive acceleration ($v.a_{pos_}[95]$)
 181 (see supporting information).

182 **Table 1. Motorway and urban cycle characteristics**

	Duration [s]	Distance [km]	Average speed [km h⁻¹]	% idle*
Urban	2368 (sd**. 105)	16.1 (sd. 0.1)	24.5 (sd. 1.1)	17.9 (sd. 3.1)
Motorway	580 (sd. 10)	16.1 (sd. 0.1)	99.8 (sd. 1.5)	0.02 (sd. 0.1)

183 *Vehicle speed < 0.5 ms⁻¹, acceleration between ± 0.1 ms⁻²

184 ** sd = standard deviation

185

186 The start and end point of each trip did not differ in altitude by more than 100 m and
 187 the altitude of the entire test was between 0 to 700 m. The dynamic characteristics of
 188 the extracted urban and motorway sections were compliant with the requirements of
 189 the RDE type approval test detailed in Annex IIIA of Regulation (EU) 2017/1151. This
 190 study differs from (EU) 2017/1151 in that the urban and motorway sections are not just
 191 defined by vehicle speed. Urban sections were extracted from measurements made
 192 on A, B or C roads (in the UK) with a speed limit of 50 km h⁻¹ (30 mph). Motorway
 193 sections were extracted from continuous measurements made on M roads (UK) with
 194 a speed limit of 110 km h⁻¹ (70 mph). A rural section is not included as it was not
 195 possible to extract continuous 16 km sections in the rural speed range. Not binning by

196 speed ensured results reflected emissions exactly as they occurred during real world
197 driving. Here, such section extraction was to standardize measurement conditions
198 rather than normalisation using e.g. (EU) 2017/1151 prescribed methods. These tools
199 have been shown to apply different corrections to real world test data (Heijne et al.,
200 2016).

201 Figure 1 shows the speed distribution, VSP distribution, $v.a_{pos}_{[95]}$ and RPA of the
202 urban and motorway sections. In each plot the data falls into distinct groups, indicating
203 consistency between the tests.

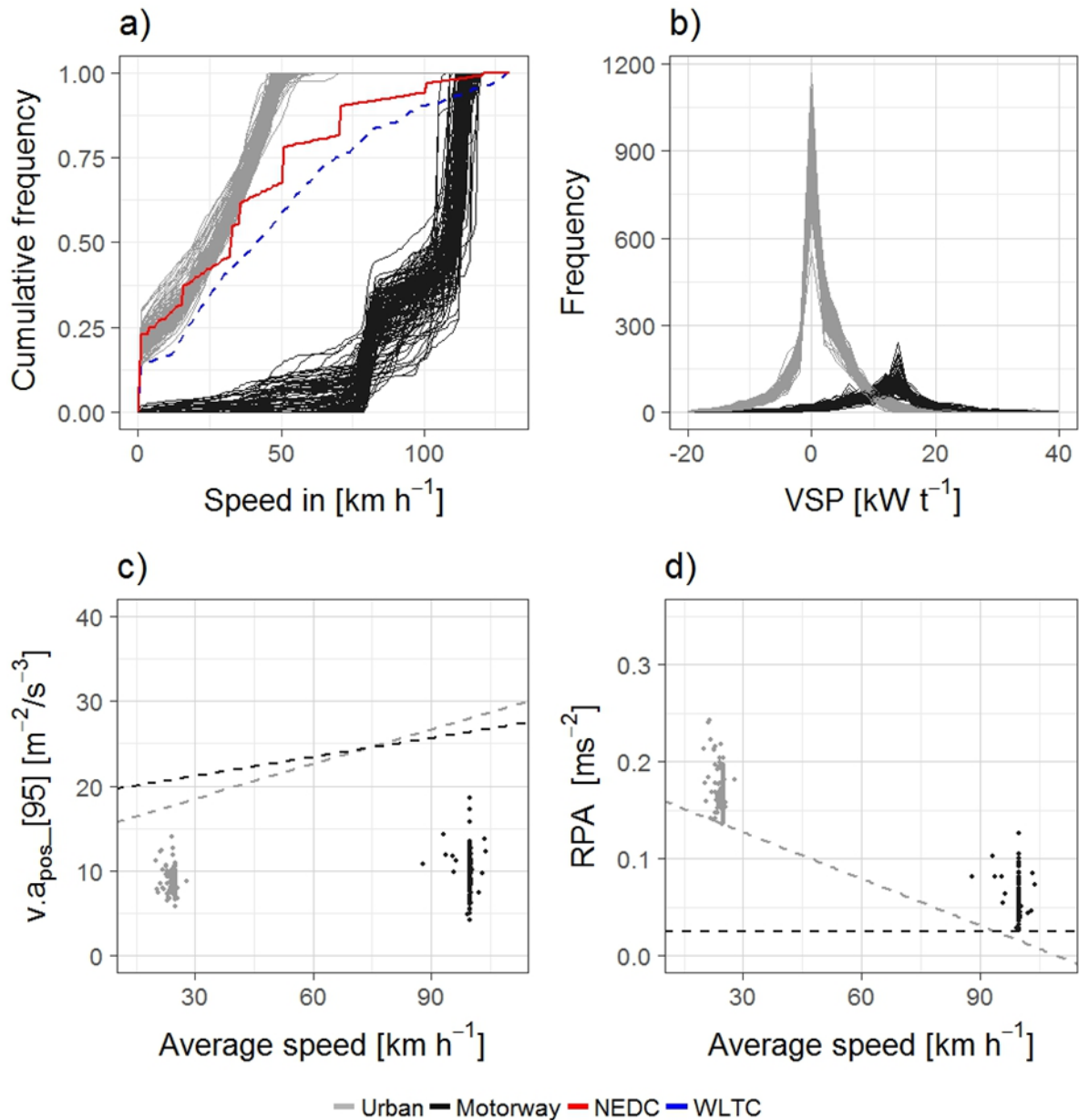
204 Figure 1(a) shows the speed distributions of each section compared to the current type
205 approval drive cycle (New European Drive Cycle, NEDC) and the new Worldwide
206 harmonized Light vehicles Test Procedure (WLTP). Urban sections (light grey) contain
207 speeds within the range 0 - 50 km h⁻¹, motorway sections (dark grey) contain mostly
208 speeds > 75 km h⁻¹.

209 VSP is an instantaneous measure of a vehicles power per unit mass as a function of
210 speed, acceleration/deceleration and road gradient (see supporting information).
211 Figure 1(b) is a frequency diagram of the instantaneous VSP for urban and motorway
212 sections. Urban sections were characterised by lower speeds and had a lower range
213 of VSP, whereas the peak frequency for motorway sections was higher in speed and
214 VSP. The height of the peaks reflects the duration of the different sections, urban
215 sections were on average 4 times longer than motorway.

216 $v.a_{pos}_{[95]}$ is the metric for assessing the maximum dynamic boundary conditions in
217 (EU) 2017/1151 (see supporting information). An RDE test trip is valid if $v.a_{pos}_{[95]}$ is
218 below a certain value. These values are proportional to speed and are marked by

219 dashed diagonal lines on Figure 1(c). All data points fell below these lines showing
220 trips in this study met the v.a_{pos}[95] RDE dynamic boundary conditions.

221 RPA is the metric for assessing the minimum dynamic boundary conditions in (EU)
222 2017/1151 (see supporting information). An RDE test trip is valid if it has RPA above
223 a certain value. Again these values are proportional to speed and are marked by
224 dashed diagonal lines on Figure 1(d). All data points fell above these lines showing
225 trips in this study met the RDE dynamic boundary conditions.



227

228 **Figure 1. Urban and motorway sections characteristics (a) cumulative speed**
 229 **distribution (b) VSP frequency (c) validation of v.a_pos_[95] by speed (points**
 230 **must be below dashed line for trip to be valid) (d) validation of RPA by speed**
 231 **(points must be above dashed line for points to be valid)**

232

233 2.2.1 Ambient temperature

234 Ambient temperatures ranged between 3 – 30°C and the study average ambient
 235 temperature was 15.3 (sd. 5.9) °C. This is within the “normal range” for Europe (EC,
 236 1998). Previous studies have found NO_x emissions increase at lower ambient

237 temperatures (DfT, 2016a; Kwon et al., 2017). The main reason for higher NO_x
238 emissions at lower ambient temperature is due to engine control strategies that disable
239 the EGR at low temperature. This is to prevent condensation in the EGR, which would
240 be damaging (TNO, 2016) We found some correlation between NO_x emissions and
241 ambient temperature for Euro 5 vehicles. However, we have not corrected for ambient
242 temperature as the range of temperatures were evenly represented in tests for each
243 vehicle category, ensuring comparisons were fair (see supporting information). We
244 aimed to accurately represent real world European driving emissions, and as such
245 needed to cover a range of temperatures to be representative. In this study urban and
246 motorway sections were part way through the test and therefore did not include cold
247 start emissions. For an illustration of a cold start see the supporting information.

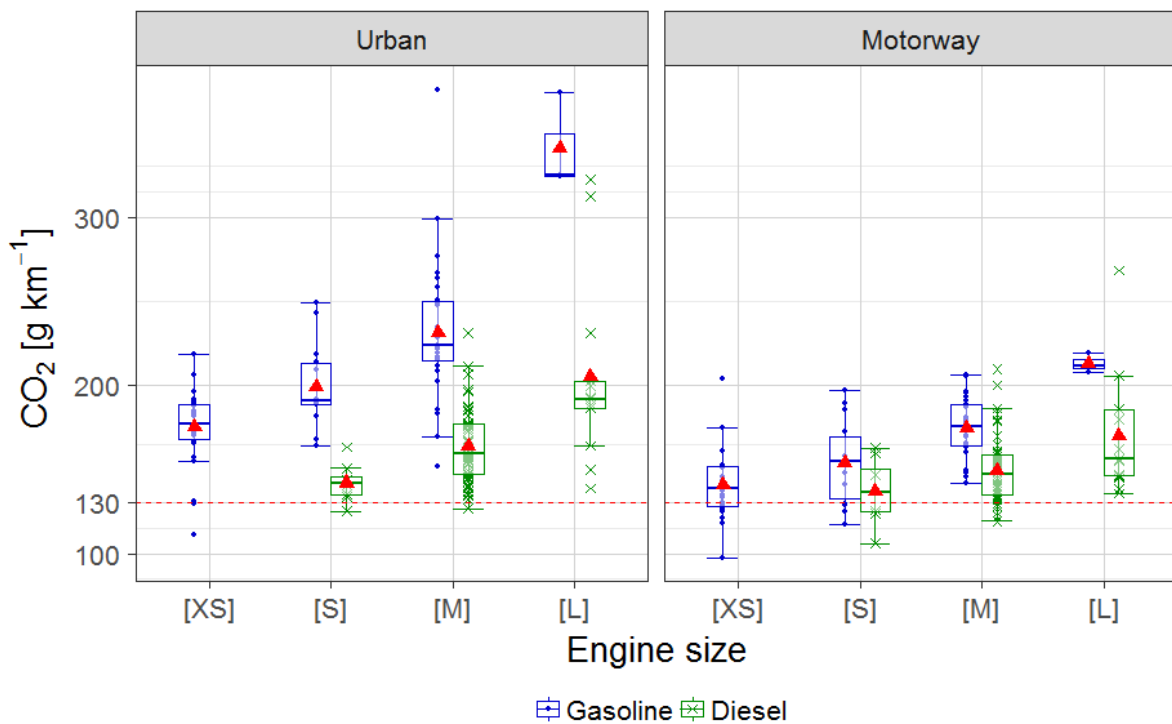
248 **2.3 PEMS measurements**

249 PEMS measurements were performed by Emissions Analytics using a SEMTECH-DS
250 (Sensors Inc., USA) following exactly the procedures detailed in O'Driscoll et al,
251 (2016). The instrumentation (PEMS analysers, and exhaust flow meters), PEMS
252 installation procedures, ambient conditions, altitude and elevation gain were compliant
253 with the RDE type approval test detailed in Annex IIIA of Regulation (EU) 2017/1151.
254 An optional requirement of additional chassis dynamometer testing to validate the
255 PEMS was not performed for these tests. The PEMS analysers were calibrated at the
256 beginning, middle and end of each trip and a correction for analyser drift was applied
257 using the PEMS manufacturer's software. For a description of the data analysis
258 methods see the supporting information.

259 **3 Results and Discussion**

260 **3.1 CO₂ emissions**

261 Figure 2 compares CO₂ emissions from gasoline (GDI and PFI) and diesel vehicles by
262 engine size for urban and motorway sections. There was no significant difference in
263 CO₂ emissions between Euro 5 and Euro 6 technology vehicles. Gasoline CO₂
264 emissions were consistently higher than diesel. The increase in average CO₂
265 emissions from gasoline to diesel ranged between 13 – 66%, with the CO₂ differences
266 between gasoline and diesel being larger for larger engine displacements and was
267 higher for urban driving sections.



268

269 **Figure 2. Urban and motorway CO₂ emissions by engine displacement (dashed**
270 **line = 130 g CO₂ km⁻¹ fleet target limit, red triangle = mean, central line in**
271 **boxplot = median, top and bottom of box = 1st and 3rd quartile, whiskers = 1.5 ***
272 **interquartile range)**

273

274 The average quoted in Table 2 is weighted by the size distribution of gasoline and
 275 diesel engines in the UK fleet using 2015 new car sales data (see supporting
 276 information). This size distribution has stayed relatively constant over the last 20 years
 277 (DfT, 2016b). The same trend was found for vehicle weight, see supporting information
 278 for further detail.

	[XS]	[S]	[M]	[L]	UK weighted average	Average % increase from manufacturer's official estimates
CO₂ [g km⁻¹]						
URBAN						
Gasoline	175.2 (sd. 23.3)	199.2 (sd. 25.2)	231.5 (sd.42.3)	340.9 (sd. 28.6)	210.5 (sd. 47)	61 (sd. 28)%
Diesel	-	141.9 (sd.11.6)	163.4 (sd. 21.6)	205.1 (sd. 55.1)	170.2 (sd. 34)	41 (sd. 18)%
MOTORWAY						
Gasoline	140.6 (sd. 20.3)	154.3 (sd. 24.9)	174.4 (sd. 17.9)	213.0 (sd.6.0)	160.2 (sd. 29)	23 (sd. 17)%
Diesel	-	137.1 (sd. 19.8)	149.0 (sd. 18.9)	170.0 (sd. 36.4)	152.3 (sd. 22)	27 (sd. 15)%
Increase from diesel to gasoline						
Urban	-	40%	42%	66%	24%	
Motorway	-	13%	17%	25%	5%	

279 sd = standard deviation

280 **Table 2. Average CO₂ emission by engine displacement, sections and fuel in [g**
 281 **km⁻¹]**

282

283 CO₂ emissions increased with engine size, and to a greater extent for gasoline
284 vehicles, indicating CO₂ savings could be made by downsizing gasoline engines.
285 However, the majority of gasoline engines are already small, [L] engines account for
286 only 7% of the EU gasoline fleet. Engine size should be considered as consumers
287 switch away from diesel to gasoline. If an [M] diesel is replaced with an [M] gasoline
288 our results indicate the increase in urban CO₂ emissions would be 42%. Replacing an
289 [M] diesel with an [S] gasoline would result in a 22% increase. Even by switching to
290 an [XS] gasoline there is still an increase of 7%.

291 Table 2 lists by how many percent real-world CO₂ emissions exceeded the
292 manufacturer's official estimates. The average urban percentage exceedance was
293 higher for gasoline vehicles (+61%) than diesel vehicles (+41%). These results agree
294 with previous studies that found wide discrepancies between official reported CO₂
295 values and CO₂ emissions inferred from real world fuel consumption (Fontaras and
296 Samaras, 2010; T & E, 2015), which have shown increases from around 10% in 2002
297 to around 40% in 2014 (CCC, 2015). Our results show this trend has continued
298 particularly for gasoline vehicles. This is because (as shown in Figure 1) the test cycles
299 are not fully representative of driving condition in the real world.

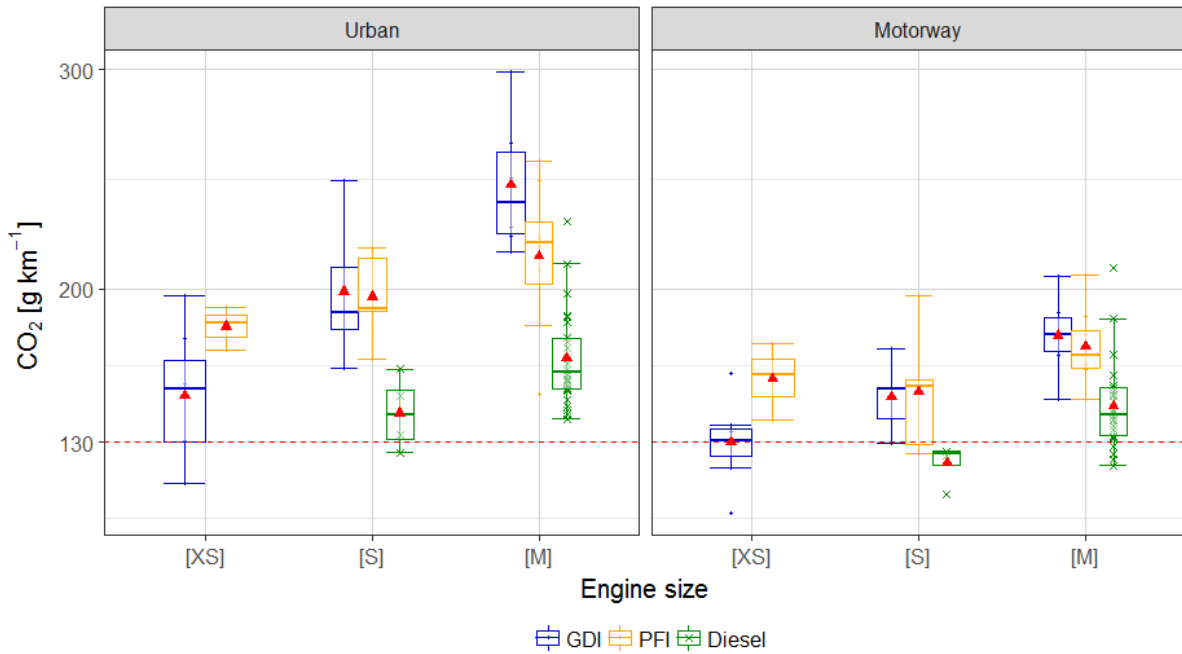
300 Only 4 vehicles (2 diesel, 2 gasoline) had real-world CO₂ emissions below the 2015
301 fleet average target of 130 g CO₂ km⁻¹ during urban driving. 23 vehicles (10 diesel, 13
302 gasoline) achieved the fleet average target during motorway driving. The average
303 urban percentage exceedance of the CO₂ target was +31% for diesel and +62% for
304 gasoline.

305 When comparing gasoline and diesel vehicles it is important to consider vehicle utility.
306 The average diesel car in the UK travels 65% further annually than the average

307 gasoline car (DfT, 2015). Across Europe increases in annual vehicle mileage and the
308 number of vehicles on the road have resulted in yearly increases in total vehicle
309 kilometres driven. This increase in activity has outweighed savings from fuel economy
310 improvements, much of which come from diesel vehicles. A gradual switch from diesel
311 to gasoline would incur a substantial CO₂ penalty, hampering already ambitious
312 climate change commitments.

313 **3.1.1 CO₂ emissions from GDI engines**

314 As 60% of the GDI engines were Euro 6, to compare GDI to PFI we focused only on
315 Euro 6 vehicles. Forty percent of the G6 GDI engines in the study were [XS] and this
316 is where the biggest CO₂ reductions occurred relative to PFI engines (Figure 3). For
317 urban driving the average CO₂ emission from [XS] GDI gasoline engines was 151.0
318 (sd. 29.5) g km⁻¹. This was 17% below the average for [XS] PFIs. However, it was still
319 higher than the average urban emission for [S] diesel engines. For motorway sections
320 the [XS] GDIs had an average of 129.8 (sd. 19.3) g km⁻¹ representing an 18%
321 reduction compared to the [XS] PFIs. For [S] engines, no significant CO₂ benefit was
322 found for GDI compared to PFI engines and for [M] engines during urban driving CO₂
323 emissions from GDI engines were on average 15% higher. There were no [L] GDI
324 engines in the test fleet.



325

326 **Figure 3. GDI, PFI and diesel Euro 6 urban and motorway CO₂ emissions by**
 327 **engine displacement (dashed line 130 g CO₂ km⁻¹ limit, red triangle mean)**

328

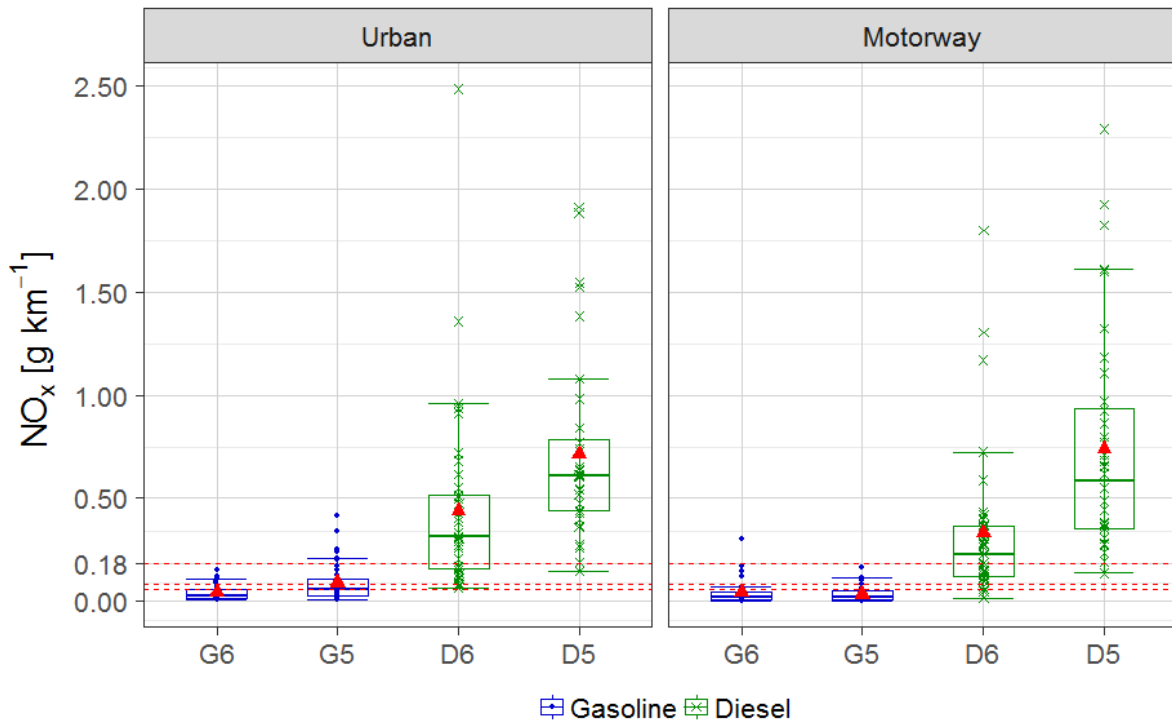
329 Whilst these results are promising, there are still questions concerning GDI cold start
 330 emissions and the prevalence of ultra-fine particles in exhaust gases. Further work is
 331 needed to evaluate real-world PN and PM emissions from GDI engines. Caution is
 332 required to avoid repeating the mistake made in the expansion of diesel vehicle sales,
 333 where technologies were pushed forward for a climate change benefit before air
 334 quality implications were fully understood.

335 3.2 NO_x emissions

336 There was a much greater divergence between gasoline and diesel when comparing
 337 NO_x emissions. The highest CO₂ emissions measured were 4 times the lowest. The
 338 highest NO_x emission measured was over 4000 times the lowest, although it should
 339 also be noted that there was significant variation within the vehicle categories. Unlike
 340 CO₂, significant improvement in NO_x emissions between Euro 5 and Euro 6 was found

341 (Figure 4). This indicates that any CO₂ efficiency improvements were offset by NO_x
 342 abatement strategies. Unlike CO₂ emissions, which uniformly increased with engine
 343 size, there was no uniform relationship between engine size and NO_x (see supporting
 344 information).

345



346

347 **Figure 4. Urban and motorway NO_x emissions by vehicle category (red dashed**
 348 **line = type approval limits, D5 limit (0.18 g km⁻¹) is labelled, below is the D6**
 349 **limit (0.08 g km⁻¹) and below that gasoline limit (0.06 g km⁻¹ for G5 and G6), red**
 350 **triangle = mean)**

351

352 In Figure 4 the dashed horizontal lines represent the type approval limits for each
 353 category. The majority of gasoline vehicles met the gasoline type approval limit during
 354 RDE, with the exception of G5 urban sections. In contrast, the majority of diesel
 355 vehicles met neither the diesel Euro 5 nor the diesel Euro 6 limit. sd = standard deviation

356 Table 3 lists the ratio of average NO_x emissions from each vehicle category to the
357 relevant type approval limit. The urban ratio of real world to type approval limit
358 increased between D5 and D6. This has been the case for diesel vehicles with
359 successive euro standards (Franco et al., 2014; Weiss et al., 2011). The same
360 observation has been made for CO₂ (Fontaras and Samaras, 2010). However, for
361 diesel vehicles, the ratio between type approval and real world emissions is many
362 times smaller for CO₂ than for NO_x.

363 sd = standard deviation

364 Table 3 lists the reduction in average NO_x emissions between diesel and gasoline
365 vehicles. For urban driving the average D6 NO_x emission was 11 times the G6
366 average.

367 The mean NO_x emission for diesel vehicles was higher than the median (Figure 4)
368 indicating a few high polluting vehicles had a substantial effect on the group mean.
369 Removing these high polluting vehicles would deliver a NO_x benefit (O'Driscoll et al.,
370 2016). Removing the worst 5 vehicles from the D6 sample reduced the average NO_x
371 emissions by 30%.

NO _x [g km ⁻¹]					Reduction from diesel to gasoline	
	G6	G5	D6	D5	Euro 6	Euro 5
Urban	0.04 (sd. 0.04)	0.09 (sd. 0.1)	0.44 (sd. 0.44)	0.72 (sd. 0.45)	91%	86%
Motorway	0.04 (sd. 0.06)	0.03 (sd. 0.04)	0.33 (sd. 0.36)	0.74 (sd. 0.54)	88%	96%
Ratio to relevant type approval limit						
Urban	x 0.7	x 1.5	x 5.5	x 4		
Motorway	x 0.7	x 0.5	x 4.1	x 4.1		

372 sd = standard deviation

373 **Table 3. Mean NO_x emission by sections and category in [g km⁻¹]**

374

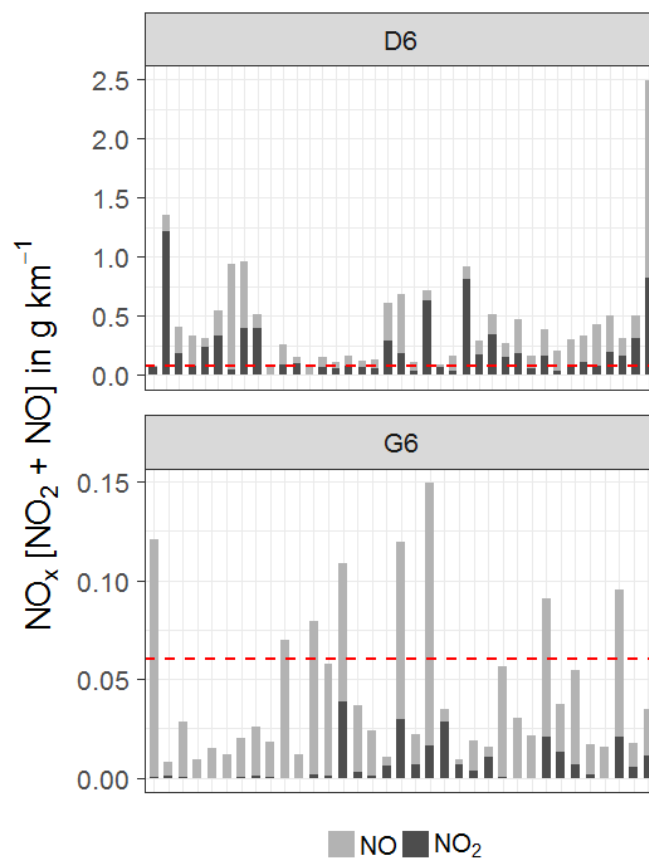
375 D6 vehicles emitted 55% and 39% less NO_x than D5 vehicles for motorway and urban
376 driving, respectively. For gasoline vehicles the major improvement in NO_x was in urban
377 driving with a reduction of 56% achieved by G6s compared to G5s.

378 Of the 39 D6 vehicles in the study, 6 had one section (either urban or motorway) with
379 emissions lower than the Euro 6 diesel type approval limit. No diesel vehicle met the
380 type approval limit for both the urban and motorway sections. For the worst D6 vehicle
381 (D6.3.0b), NO_x emissions were 31 times the type approval limit in the urban section.
382 Urban G5 vehicles were the only gasoline category for which average NO_x emissions
383 exceeded the gasoline type approval limit. Of the 37 G5 vehicles, 6 (16%) had urban
384 section emissions in excess of the Euro 5 diesel type approval limit (0.18 g km⁻¹).

385 The variability in real world emissions from different vehicles with the same Euro
386 standard demonstrates that the European emissions type approval process is not

387 effective. The introduction of the RDE test procedure in September 2017 (EC, 2015)
388 is an attempt to address this, though it will have no impact on the millions of vehicles
389 already in circulation. Ultra-Low Emission Zones (ULEZ), such as that being
390 introduced in London 2019, will discriminate by Euro standard. However, our results
391 show that discriminating on the basis of real world emissions would be more effective.
392 For example, 16% of the G5 vehicles exceeded the Euro 5 diesel type approval limit
393 but will be allowed in the London ULEZ, as will all Euro 6 diesel cars. Five percent of
394 D6 vehicles met the Euro 6 diesel type approval limit during urban driving, but many
395 had emissions far in exceedance of it, with emissions being 5.5 times higher on
396 average ranging to as much as 31 times higher.

397 3.2.1 NO₂ emissions



398

399 **Figure 5. NO_x in g km⁻¹ as NO and NO₂ components for Euro 6 urban sections**
400 **(each bar represents an individual vehicle, red dashed line = NO_x type**
401 **approval)**

402 The proportion of NO_x emitted directly as nitrogen dioxide (NO₂) is referred to as
403 primary NO₂ or fNO₂. There is no type approval limit for NO₂, only the combination NO
404 and NO₂ (NO_x). At roadside locations the amount of NO₂ emitted directly from the
405 exhaust becomes a dominant source of ambient concentrations, causing
406 exceedances of the Air Quality Limit Value at the roadside (Alvarez et al., 2008;
407 Carslaw, 2005; Degraeuwe et al., 2015; O'Driscoll et al., 2016). fNO₂ is higher from
408 modern diesel vehicles due to the presence of oxidising catalysts in emissions control
409 devices that oxidise NO to NO₂ (Giuseppe Madia et al., 2002).

410 Figure 5 shows the total NO_x emissions from urban sections of Euro 6 gasoline and
411 diesel vehicles separated into the component parts of NO (light grey) and NO₂ (dark
412 grey). Vehicles are arranged in order of increasing engine displacement along the x
413 axis. Figure 5 shows clearly the contrast in composition in NO_x emissions between
414 diesel and gasoline vehicles. A large proportion of diesel NO_x emissions (42 (sd. 19)%
415 for D5, 46 (sd. 23)% for D6) were emitted directly as primary NO₂. In contrast, fNO₂
416 for gasoline vehicles is approximately half that for diesels (27 (sd. 26)% for G5, 17 (sd.
417 22)% for G6).

418 As with total NO_x, gasoline provided a 92-97% reduction in NO₂. Average D6 NO₂
419 emissions were 30 times the average G6 for urban sections. The average D6 urban
420 emission (0.22 (sd. 0.26) g NO₂ km⁻¹) was 2.7 times the Euro 6 type approval limit for
421 total NO_x. While the new RDE test procedure is likely to reduce overall NO_x emissions,
422 it will not specifically address higher fNO₂ from diesel vehicles (O'Driscoll et al., 2016).

423 It should be noted that NO₂ emissions from G6 vehicles were within the error range
424 for the PEMS system used. Whilst this may affect the accuracy of exact emissions

425 measurements, it can be concluded that primary NO₂ emissions from Euro 6 gasoline
426 vehicles are not an issue of concern.

427 **3.3 Gasoline-electric hybrids**

428 In this study the hybrids were the best overall performing group. Average urban CO₂
429 emissions were 117.4 (sd. 12.4) g km⁻¹. This was the lowest in the entire study and
430 the only group with an average below the fleet target 130 g km⁻¹. Average motorway
431 CO₂ emissions were 150.9 (sd. 36.3) g km⁻¹, which is similar to the average for [M]
432 gasoline vehicles. This indicates that hybrid technology, which makes use of kinetic
433 energy recovery, is much more effective in urban driving when there are regular
434 vehicle accelerations and decelerations. Whilst these results indicate hybrids have an
435 important role to play in reducing CO₂ emissions it is important to note with hybrid
436 vehicles full lifecycle CO₂ emissions need to be considered, including the energy
437 intensive production of the batteries used in hybrid and electric vehicles (Samaras and
438 Meisterling, 2008).

439 The hybrids tested had the lowest measured emissions of NO_x. Both Euro 5 and Euro
440 6 hybrids had average urban NO_x emissions of 0.002 (sd. 0.000) g km⁻¹. This was 20
441 times lower than the G6 average and within the error range for the PEMS system used.
442 Hybrid motorway emissions were similarly low and NO₂ emissions were negligible.

443 Whilst the sample size is limited, our results are in agreement with Zhang et al. (2015)
444 and Wu et al., (2015). Further work is needed to expand the sample size and include
445 other hybrid technologies. The wide variability in emissions from vehicles of the same
446 technology group and limitation of the sample size in this study should be stated when
447 quoting these results. These results relate only to gasoline – electric hybrid vehicles.

448 Our conclusions cannot be extended to other technologies such as diesel or plug-in
449 hybrids.

450 **4 Conclusions**

451 A reduction in real world NO_x and CO₂ emissions is essential for the protection of
452 human and environmental health. Our study confirms that diesel vehicles deliver a
453 substantial CO₂ reduction relative to gasoline of between 11 - 40%. However, our
454 results also show that the emissions type approval process has been ineffective and
455 that D5 and D6 urban NO_x emissions are 300% and 450% higher than the emissions
456 standard, respectively. This has contributed to slower reduction in NO₂ concentrations
457 in cities (Beevers et al., 2012) and poses a significant risk to human health (COMEAP,
458 2010). Gasoline vehicles achieved an 86 – 96% reduction in NO_x relative to diesel and
459 GDI engines reduced CO₂ emissions by between 9 – 12% compared with PFI.

460 These results are indicative of the air quality / climate change trade-off between
461 gasoline and diesel vehicles. Gasoline- electric hybrid vehicles had low emissions of
462 both CO₂ and NO_x, though further measurements are needed given the limited sample
463 size in this study. Greater uptake of hybrid vehicles would ensure the consumer move
464 away from diesel did not incur a CO₂ penalty.

465 Given what is now known about defeat devices and real-world emissions,
466 discrimination on the basis of Euro standard can almost be seen as arbitrary.
467 Incentives and rewards should be created for vehicles (both gasoline and diesel) with
468 acceptable real-world emissions for both NO_x and CO₂. This idea is soon to be adopted
469 in London and Paris with the launch in Autumn 2017 of the 'cleaner vehicle checker'
470 (Greater London Authority (GLA), 2017).

471 For both G5 and D6 vehicles, NO_x emissions were higher during urban driving than
472 motorway, by 200% for G5 and 30% for D6. A key consideration for air quality
473 pollutants is public exposure. Therefore the location of the NO_x emissions is relevant
474 as well as the total amount. The increase in NO_x emissions during urban driving (where
475 public exposure is highest) has negative implications for public health. CO₂ emissions
476 were also higher during urban driving. Whilst in theory it is the total mass of CO₂ and
477 not the location of emission that is relevant, heightened urban emissions also have
478 negative implications for climate change goals because more vehicle kilometres are
479 driven on urban roads than motorways; in Great Britain 40% of passenger car
480 kilometres are urban, 40% rural and only 20% motorway (DfT, 2014).

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