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Thalamocortical Dysfunction and Thalamic Injury after Asphyxial Cardiac Arrest in Developing Rats

Michael Shoykhet^{1,2,3,5}, Daniel J. Simons⁴, Henry Alexander², Christina Hosler², Patrick M. Kochanek^{1,2,3}, and Robert S. B. Clark^{1,2,3}

¹Department of Critical Care, University of Pittsburgh School of Medicine, Pittsburgh, PA 15261

²Safar Center for Resuscitation Research, University of Pittsburgh, Pittsburgh, PA 15260

³Children's Hospital of Pittsburgh of UPMC, Pittsburgh, PA 15224

⁴Department of Neurobiology, University of Pittsburgh School of Medicine, Pittsburgh, PA 15213

⁵Department of Pediatrics, Washington University School of Medicine, St. Louis, MO 63110

Abstract

Global hypoxia-ischemia interrupts oxygen delivery and blood flow to the entire brain. Previous studies of global brain hypoxia ischemia have primarily focused on injury to the cerebral cortex and to the hippocampus. Susceptible neuronal populations also include inhibitory neurons in the thalamic Reticular Nucleus. We therefore investigated the impact of global brain hypoxia-ischemia on the thalamic circuit function in the somatosensory system of young rats. We used single neuron recordings and controlled whisker deflections to examine responses of thalamocortical neurons to sensory stimulation in rat survivors of 9 min of asphyxial cardiac arrest incurred on post-natal day 17. We found that 48–72 hours after cardiac arrest, thalamocortical neurons demonstrate significantly elevated firing rates both during spontaneous activity and in response to whisker deflections. The elevated evoked firing rates persist for at least 6–8 weeks after injury. Despite the overall increase in firing, by 6 weeks, thalamocortical neurons display degraded receptive fields, with decreased responses to adjacent whiskers. Nine min of asphyxial cardiac arrest was associated with extensive degeneration of neurites in the somatosensory nucleus as well as activation of microglia in the Reticular Nucleus. Global brain hypoxia-ischemia during cardiac arrest has a long-term impact on processing and transfer of sensory information by thalamic circuitry. Thalamic circuitry and normalization of its function may represent a distinct therapeutic target after cardiac arrest.

Introduction

Global hypoxia-ischemia interrupts oxygen delivery and blood flow to the entire brain. It occurs during cardiac arrest, which affects >350,000 people in the US each year (CDC, 2002). Untreated, cardiac arrest rapidly leads to brain death. If treated with cardiopulmonary resuscitation, however, cardiac arrest is survivable, but survivors often show evidence of injury in selectively vulnerable regions of the brain. In humans, vulnerable regions include cerebellum, basal ganglia, hippocampus, frontoparietal cortex and thalamus (Graham, 1977; Ng et al., 1989; Horn and Schlote, 1992; Fujioka et al., 1994; Arbelaez et al., 1999;

Corresponding author: Michael Shoykhet, MD, PhD, 660 S. Euclid Avenue, Campus Box 8208, Department of Pediatrics, Washington University School of Medicine, St. Louis, MO 63110, Tel: 314-286-2146, Fax: 314-286-2893, shoykhet_m@kids.wustl.edu.

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Hausmann et al., 2007; Choi et al., 2010). In animals, vulnerable cell populations include cerebellar Purkinje cells (Myers and Yamaguchi, 1977; Pulsinelli and Brierley, 1979; Kumar et al., 1988; Radovsky et al., 1997), hippocampal CA1 pyramidal neurons (Myers and Yamaguchi, 1977; Pulsinelli and Brierley, 1979; Radovsky et al., 1997; Bottiger et al., 1998; Fink et al., 2004), cortical pyramidal neurons (in layers III, V, VI in dogs and primates (Graham, 1977; Myers and Yamaguchi, 1977; Kumar et al., 1988), and layer V in rodents (Pulsinelli and Brierley, 1979; Fink et al., 2004)) and GABA-ergic inhibitory neurons in the thalamic Reticular Nucleus (RT) (Radovsky et al., 1997; Bottiger et al., 1998; Geocadin et al., 2000). As initial survival from cardiac arrest improves, reaching 40% in some locales (Nichol et al., 2008), functional correlates of neuronal injury emerge as the paramount determinants of neurological outcome among survivors (Graves et al., 1997).

Functional correlates of injury to the cerebellum and the basal ganglia (motor impairment) (Venkatesan and Frucht, 2006) and to the hippocampus (amnesia) (Volpe and Hirst, 1983; Cummings et al., 1984; Volpe et al., 1986; Maryniak et al., 2008; Peskine et al., 2010) are well characterized in cardiac arrest survivors (Khot and Tirschwell, 2006; Mateen et al., 2011). In contrast, functional consequences of injury to the thalamus are poorly understood but may be crucially important. For instance, RT regulates attention (Crick, 1984; McAlonan et al., 2008), the sleep-wake state (McCormick and Bal, 1997) and transmission of sensory information from the periphery to the cerebral cortex (Hartings and Simons, 2000; McAlonan et al., 2008). Injury to RT may impact both general arousal and sensory perception. Furthermore, injury to thalamic somatosensory and visual nuclei (the ventral posterior medial (VPM) and the lateral geniculate, respectively) can further degrade sensory processing. Whether thalamic injury contributes to post-cardiac arrest neurological deficits is unknown.

To understand how thalamic injury affects post-cardiac arrest neurological function, we examined responses of thalamocortical VPM neurons to light touch in rat survivors of cardiac arrest and resuscitation early in life. Results indicate that cardiac arrest-associated global hypoxia-ischemia leads to elevated spontaneous and evoked activity post-resuscitation, significantly disrupting thalamic circuit function. Physiologic changes are associated with histologic evidence of injury in RT and in VPM. Thus, thalamic dysfunction likely contributes to deficits in sensory processing after cardiac arrest, and thalamic circuitry may represent an under-appreciated therapeutic target.

Methods

Animals

All animal procedures were approved by the Institutional Animal Care and Use Committee at the University of Pittsburgh. Male Sprague-Dawley rats were used for all experiments and were housed with their mother until post-natal day (PND) 30. Food and water were provided *ad libitum*. A total of 32 rats were used in this study. For neurophysiologic experiments, the rats underwent either cardiac arrest (n = 7) or sham (n = 9) intervention at PND-17–19 as described below. After cardiac arrest, the rats were divided into the early group, which was assessed 48–72 h post-arrest and the late group, assessed at least 6 weeks post-arrest. The early group consisted of 6 sham and 3 injured rats, the late group of 3 sham and 4 injured rats. The experimenter was blind to the injury status of the rats at the time of the recordings and data analyses. There were no grossly observable differences in appearance or behavior between injured and sham rats in either the early or the late groups. Specifically, all rats in the study groomed, whisked, ate and drank. There were no differences in posture or gross locomotion in the cage, and no obvious differences in anesthetic requirement or physiologic parameters during the recordings. In addition to rats used for neurophysiologic recordings, 16 rats were used for histochemical analyses of neurodegeneration and microglial abundance

using amino cupric silver staining and Iba1, respectively (Imai et al., 1996; Switzer, 2000). These rats were divided into groups as follows: 2 male and 2 female sham rats perfused 72 h post-cardiac arrest, 3 male and 3 female injured rats perfused at 24 h after cardiac arrest, and 3 male and 3 female injured rats perfused at 72 h post-cardiac arrest.

Cardiac arrest and resuscitation

The model of developmental asphyxial cardiac arrest is described in detail elsewhere (Fink et al., 2004). Briefly, PND 17–19 rats underwent tracheal intubation and placement of femoral arterial and venous lines under isoflurane/nitrous oxide anesthesia. The rats were mechanically ventilated under neuromuscular blockade. Arterial blood pressure, electroencephalogram and electrocardiogram were continuously monitored and recorded. Core body temperature was maintained constant at 37°C with a servo-controlled heating blanket. The anesthetic was then briefly washed out with room air, and rats were disconnected from the ventilator. Asystole ensued within 60–90 sec of apnea and was allowed to continue for 9 min. The rat was then resuscitated with mechanical ventilation using 100% oxygen, intravenous epinephrine and sodium bicarbonate, and manual chest compressions. Upon return of spontaneous circulation, the rats additionally received a 20 mL/kg bolus of 5% dextrose in normal saline intravenously to prevent dehydration. After approximately 1 h, mechanical ventilation was discontinued, the rats were extubated, arterial and venous lines were removed, and wound margins were sutured. The rats were observed for an additional 1 h in a chamber with 100% oxygen to mimic a clinical scenario and then returned to the mother.

Surgical preparation for electrophysiologic recordings

Surgical procedures in developing animals have been described elsewhere (Shoykhet et al., 2003). Either 2–3 days (early) or at least 6 weeks (late) after ACA, rats were anesthetized with isoflurane, and underwent 1) tracheotomy; 2) placement of an external jugular venous and femoral arterial catheters and 3) craniotomy. A steel post was affixed to the skull with dental acrylic to allow holding the rat's head without pressure points for the remainder of the experiment. The craniotomy (~2 mm²) was performed through the skull overlying VPm, 2–3 mm caudal to bregma and 2–3 mm lateral to midline. Dura mater was left intact. A ground screw were placed through the skull and fixed with dental acrylic. After completions of all surgical procedures, the rat was transferred to the vibration isolation table and placed in a custom-made head holder. Mechanical ventilation using a volume-controlled Inspira ventilator (Harvard Apparatus, Cambridge, MA) was initiated using tidal volumes of approximately 8 mL/kg and rates of 100 and 70 breaths/minute in younger and older rats, respectively. Neuromuscular blockade was initiated with a bolus dose of pancuronium bromide (~1 mg/kg) and maintained with a continuous infusion of pancuronium (0.8 mg/kg/hr) in 5% dextrose/0.9 % sodium chloride for the remainder of the experiment. Isoflurane anesthesia was then discontinued, and the rat was transitioned to fentanyl analgesia using continuous fentanyl infusion at ~8–10 µg/kg/hr. At these doses, the rats enter a state of dissociative analgesia without compromise of thalamocortical network dynamics observed under anesthesia (Simons et al., 1992).

The rat's physiologic state during the recording session was continuously monitored as described previously (Shoykhet and Simons, 2008). Briefly, temperature was maintained at 37°C using a servo-controlled heating blanket (Harvard Apparatus, Cambridge, MA) and, in young rats, a 20W DC lamp. Intra-arterial pressure and heart rate were monitored using a blood pressure monitor (WPI Inc, Sarasota, FL) connected to the arterial line via a pressure transducer. If MAP did not remain in the developmentally appropriate range, experiments were discontinued. Adequate analgesia was assured throughout the recording session by monitoring pupillary constriction and by maintaining lack of MAP and HR elevation in

response to gentle touch. At the end of the recording session, the rats were deeply anesthetized with >5% isoflurane in room air and perfused transcardially for cytochrome oxidase (CO) histochemistry.

Electrophysiologic recordings

Extracellular recordings were obtained using stainless steel microelectrodes (6–8 M Ω impedance at 1 kHz; FHC, Inc., Bowdoinham, ME). The electrode was advanced perpendicularly to the pia using a hydraulic micropositioner (Kopf Instruments, Tujunga, CA). Entry into VPM was signaled by an abrupt increase in spontaneous and evoked neural activity both in sham and in injured animals. In both groups, VPM was somatotopically organized, individual units were easily isolated, and there were no silent zones. Signals were initially band-pass filtered between 300Hz and 10KHz and amplified 10- to 100-fold using a DC-powered pre-amplifier (Grass Instruments, West Warwick, RI). The resulting signal was further filtered using a 60-Hz notch and a band-pass filter (BAK Electronics Inc, Mount Airy, MD) and digitized using a PCI-MIO-16E4 data acquisition board (National Instruments, Austin, TX) connected to a personal computer.

Whisker stimulation and data acquisition

Facial vibrissae were deflected using a multi-angle piezoelectric stimulator (Simons, 1983) controlled by custom-written LabView software. The stimulator was attached to the whisker approximately 5 mm from the face in young rats and approximately 10 mm from the face in adults. The stimuli consisted of a hold-ramp-hold pattern, delivered over a total of 500 ms. The stimulator was calibrated to deflect the whisker at ~125 mm/sec; the deflection amplitude was 0.5 mm in young rats and 1 mm in adults, resulting in equivalent angular deflection (~5.7°) in all age groups. The whisker was deflected in 8 standard directions, with deflection in each direction repeated 10 times for a total of 80 stimuli per whisker. Deflections were interleaved in a random manner. For each neuron, data were collected at least from its principle whisker (PW), defined as the whisker that evokes the most robust response. An attempt was also made to collect data from all four of the immediately adjacent whiskers (AW) – rostral, caudal, dorsal and ventral.

Data were collected for 500 ms; action potential time stamps were collected with 100 μ s resolution. Action potential waveforms were digitized at 32 kHz and stored for off-line analyses. Off-line, the action potential waveforms were sorted using custom written software that allowed for isolation of single-unit recordings based on a combination of waveform parameters, including principal component analysis, deflection slopes, and amplitude of peaks and valleys. To confirm further the single-unit nature of the recordings, sorted spikes were examined using an interspike interval histogram – only recordings with fewer than 1% of the sorted spikes within the absolute refractory period (1 ms) were used in the analyses.

Histology and Immunohistochemistry

Rats used in neurophysiologic recordings were perfused with 4% paraformaldehyde, their brains were extracted, post-fixed for at least 24 h and cryoprotected in 30% w/v sucrose solution. Brains were then blocked, snap-frozen in liquid nitrogen and stored at –20°C until processing. Brains were sectioned in a coronal plane into 30 μ m-thick sections for glutamic acid decarboxylase (GAD) immunohistochemistry and CO staining, and into 10 μ m-thick sections for Fluoro-Jade B (FJB) staining. The sections were collected sequentially such that a given 70 μ m-thick block of tissue generated tissue sections for all three stains. For GAD immunohistochemistry, free-floating sections were collected into phosphate buffered saline (PBS) and incubated for 1h at room temperature in PBS containing 1.5% goat serum and 0.2% Triton-X. Mouse anti-GAD65 antibody (1:5000, MAB351, Millipore, Temecula, CA) was added to the above solution, and the tissue was incubated overnight at 4°C. The primary

antibody was visualized with the Vectastain ABC kit (PK-4002, Vectorlabs, Burlingame, CA) using manufacturer's protocol. The tissue was then mounted on slides, dried overnight, dehydrated in alcohol and cover-slipped with Permount. The number of GAD65+ neuronal somata in each 30 μm section was counted using ImageJ software by two independent observers who were blind to the experimental status of the animal. Soma was counted if it clearly possessed a nucleus and a visible nucleolus contained within the slide. If counts from the two observers disagreed by $>20\%$, a third, experience observer recounted the cells. At least 7 slides per animal were examined, and the counts were averaged across the slides. No attempt was made to determine the true density of GAD65+ neurons in RT.

CO staining was carried out in a previously described manner (Wong-Riley et al., 1978; Land and Simons, 1985). Electrode recording locations were confirmed on CO-stained tissue counterstained with thionin. FJB staining was carried out as described previously (Schmued et al., 1997; Fink et al., 2004).

In a separate series of experiments, the collected brains were processed for aminocupric silver staining (Switzer, 2000). At the designated time after intervention, rats were deeply anesthetized with isoflurane and perfused transcardially with 0.034% NaCacodylate buffer followed by 1.4% NaCacodylate fixative in 4% paraformaldehyde/4% sucrose solution. The heads were surgically removed and post-fixed for 48 hr; brain manipulation was deferred to minimize staining artifact. The brains were then removed, placed in buffer, and shipped for commercially available staining (NeuroScience Associates, Knoxville, TN).

Data analyses

Spike time data were converted into Peri-stimulus Time Histograms (PSTH's) with 1 ms resolution. Response magnitudes evoked by whisker deflection onset (ON) and offset (OFF) were defined as the number of action potentials discharged during a 25 ms-long window encompassing the corresponding transient components on the population PSTH. Spontaneous activity was defined as the firing rate during a 100 ms-long period of data collection preceding whisker deflection. Plateau activity was defined as the firing rate during a 100 ms-long window in the second half of the 200 ms period of sustained whisker deflection in the direction evoking the largest sustained response. Only responses from neurons with a statistically significant PW-evoked ON response were included in the analyses. ON responses were considered statistically significant if the firing rate during the 25 ms-long ON window examined at the deflection angle evoking the maximum ON response (ONmax) exceeded the spontaneous firing rate (one-tailed t-test, $p < 0.025$). The proportion of VPM neurons with significant PW-evoked responses among all recorded VPM neurons is similar between sham and CA animals both in the early and in the late groups (early sham 41/45 vs early CA 28/34, Fisher exact $p = 0.3$; late sham 29/32 vs late CA 46/52, Fisher exact $p = 1$). Neurons were classified as slowly adapting (SA) if plateau activity exceeded spontaneous firing (one-tailed t-test, $p < 0.025$). Response latency was computed from each neuron's PSTH accumulated over all deflection angles and was defined as the time of the 1 ms bin in which the spike count exceeded spontaneous firing using a Poisson distribution ($p < 0.05$) (Shoykhet and Simons, 2008). Angular tuning of the ON response was examined using the ratio of ONmax to the ON response averaged over all deflection angles.

Statistical treatment of the data

Alpha-trimmed means were used to compare average values among populations. An α -trimmed mean is defined as the sample mean derived from the set of observations n from which κ largest and smallest values have been removed, and where κ is the next largest integer of $\alpha \times n$ (Fisher 1993). α -trimmed means are more robust with respect to the effect

of outliers, and statistical analyses using α -trimmed means become more conservative due to reduction in the degrees of freedom and inclusion of only the most frequently observed values in the calculations. As done previously in developing animals (Shoykhet and Simons, 2008), means for the current study were computed with $\alpha = 0.05$. Means were compared using either parametric (Student's t-test or ANOVA) or non-parametric (Mann-Whitney or Kruskal-Wallis ANOVA) tests as indicated. Differences were considered statistically significant if two-tailed probability values were ≤ 0.05 unless otherwise indicated. Data are presented as mean \pm SEM.

Results

We examined responses of thalamocortical neurons to controlled whisker deflections in 4 groups: 1) early sham (n = 41 neurons); 2) early cardiac arrest (n = 28); 3) late sham (n = 29); and 4) late cardiac arrest (n = 46). The most striking difference between sham rats and those that have recovered from 9 min of cardiac arrest is the firing rate of thalamocortical neurons both at baseline and in response to sensory stimuli. Figure 1 summarizes spontaneous and principal whisker-evoked firing rates of thalamocortical neurons in the early group, i.e. 48–72 h after cardiac arrest. Population peri-stimulus time histograms (PSTH's) demonstrate that the firing rates are higher in injured animals (Fig. 1A). Results are quantified in Fig. 1B. Spontaneous firing rates of thalamocortical neurons are 6.0 ± 0.7 Hz in the early sham group and 8.9 ± 0.8 Hz in the early cardiac arrest group ($p = 0.001$). Onset of movement of the principal whisker from rest in the maximally effective direction (ONmax, see Methods) evokes on average 1.7 ± 0.1 spikes per stimulus in thalamocortical neurons in the early sham animals, consistent with previously published literature in developing rats (Shoykhet and Simons, 2008). In the early cardiac arrest group, ONmax responses are increased by 50% compared to shams (Fig. 1B), as principal whisker deflection onset evokes on average 2.7 ± 0.2 spikes per stimulus ($p = 0.004$, corrected for unequal variance). Similarly, firing rates during the sustained portion of the whisker deflection (plateau) and those evoked by the whisker's return to rest (OFF) in early cardiac arrest animals exceed control firing rates in early sham animals by 60% and 50%, respectively (plateau: 15 ± 1.8 Hz in early sham vs 25 ± 1.5 Hz in early cardiac arrest, $p < 0.001$; OFF: 1.6 ± 0.1 spikes/stimulus in early sham vs 2.4 ± 0.2 spikes/stimulus in early cardiac arrest; Fig. 1B). Thus, thalamocortical neurons demonstrate significantly elevated spontaneous and principal whisker-evoked firing rates 48–72 h after cardiac arrest.

Firing rates of thalamocortical neurons remain elevated, albeit to a lesser extent, for at least 6 weeks after cardiac arrest. Figure 2 shows responses of thalamocortical neurons to principal whisker deflections in the late sham and the late cardiac arrest groups. Response magnitudes in late sham animals are comparable to those observed in prior studies in adult rats (Simons and Carvell, 1989; Kwegyir-Afful et al., 2005). Since spontaneous and evoked response magnitudes, as well as receptive field size, in adult animals are known to differ from those in developing rats (Shoykhet and Simons, 2008), responses in late injured animals are compared only to age-appropriate control values, which were obtained in late sham rats. Although population PSTH's are qualitatively similar between the late sham and the late injured groups (Fig. 2A), quantitative differences persist. Responses to the dynamic portions of the sensory stimulus, i.e. ON and OFF responses, remain elevated by 20–30% in the late cardiac arrest group compared with the late sham group (ON: late sham 2.3 ± 0.2 spikes/stimulus vs late cardiac arrest 2.8 ± 0.2 spikes/stimulus, $p = 0.05$; OFF: late sham 1.9 ± 0.2 spikes/stimulus vs late cardiac arrest 2.3 ± 0.3 spikes/stimulus, $p = 0.01$, corrected for unequal variances; Fig. 2B). Spontaneous firing rates and plateau responses are similar between the late sham and the late cardiac arrest groups (Fig. 2B; spontaneous activity: late sham 12.1 ± 1.1 Hz vs late cardiac arrest 12.5 ± 1.2 Hz, $p = 0.7$; plateau activity: late sham 42.1 ± 5.4 Hz vs late cardiac arrest 49.3 ± 6.0 Hz, $p = 0.2$). These data demonstrate that

abnormally elevated firing rates of thalamocortical neurons persist for some, but not for all, response components for at least 6 weeks after cardiac arrest.

Receptive Fields

In normal rats, thalamocortical neurons recorded under conditions identical to those used here have multi-whisker receptive fields (Simons, 1983; Simons and Carvell, 1989). We examined receptive field organization of thalamocortical neurons early and late after cardiac arrest. Figure 3 shows that 48–72 h after cardiac arrest, AW-evoked responses appear smaller both in absolute magnitude (Fig. 3A) and when examined as a ratio of AW-evoked to PW-evoked responses (AW/PW ratio, Fig. 3B). These apparent differences in the early group, however, are not statistically significant ($p = 0.38$ for AW ON; $p = 0.1$ for AW/PW ratio). When AW-evoked responses are examined as a function of the AW's position with respect to the PW, it becomes clear that the apparent reduction in AW-evoked response magnitude results from a significant reduction in responses evoked by the caudal AW in early cardiac arrest rats, compared to the early shams (caudal AW/PW ratio: 0.6 ± 0.08 in early sham vs 0.28 ± 0.08 in early cardiac arrest, $p = 0.008$, corrected for unequal variances and for multiple comparisons, Fig. 3C).

As the rats recover from cardiac arrest, the differences between AW-evoked responses in sham and injured rats become more pronounced. Figure 3E shows that AW-evoked responses are significantly smaller in absolute magnitude in late cardiac arrest rats compared to late shams (0.40 ± 0.03 spikes/stimulus in late cardiac arrest vs 0.56 ± 0.06 spikes/stimulus in late sham, $p = 0.02$). Similarly, the average AW/PW ratio is decreased in late cardiac arrest rats (0.32 ± 0.03 in late cardiac arrest vs 0.46 ± 0.04 in late sham, $p = 0.01$, Fig. 3F). Examination of the data based on the AW's position with respect to the PW reveals that reductions in the responses to the rostral and caudal AW's are responsible for the overall diminished AW responses in injured rats (Fig. 3G). Thus, AW-evoked responses of thalamocortical neurons are smaller in rats after cardiac arrest. These data indicate that in injured rats, receptive fields of thalamocortical neurons become less multi-whisker and, thus, more focused on the principal whisker.

Response latency

We examined response latency of thalamocortical neurons early and late after cardiac arrest. In the early group, the average response latency is 5.0 ± 0.28 ms in sham rats, comparable to prior reports (Shoykhet and Simons, 2008). The average latency is increased by 20% to 6.1 ± 0.24 ms in rats after cardiac arrest (Fig. 4A, $p = 0.003$, corrected for unequal variances). Figures 4B and 4C show the distribution of latencies among thalamocortical neurons in sham and cardiac arrest rats, respectively. These stem-and-leaf diagrams demonstrate that in sham rats, more than 50% of the neurons respond to whisker deflection with a latency of < 4 ms (Fig. 4B). In contrast, only 15% of neurons respond with a latency of < 4 ms 48–72 h after cardiac arrest (Fig. 4C). By 6 weeks after arrest, the average latencies and their distributions are equivalent between sham and injured rats (data not shown). Therefore, the increase in average latency early after injury results from a dearth of short latency responses to sensory stimuli. With time, rats recover from this deficit.

Histologic evidence of neuronal injury after cardiac arrest

Elevated firing rates among thalamocortical neurons in rats after cardiac arrest suggest possible injury to thalamic inhibitory neurons. In rodents, the majority of intrathalamic GABAergic interneurons reside in the RT (Barbaresi et al., 1986; Harris and Hendrickson, 1987). We therefore examined RT inhibitory neurons for histological evidence of injury. Figure 5 shows FJB staining, which identifies degenerating neurons. FJB readily identified degenerating layer V cortical (Fig 5B) and CA1 hippocampal neurons after cardiac arrest

(Fig. 5F) but demonstrated only faint staining of neurites in VPm (Fig. 5D) and failed to identify neuronal degeneration in RT (not shown). We then identified inhibitory RT neurons using an antibody to GAD65, an isoform of the GABA synthetic enzyme GAD. Figure 6 shows that the RT appears qualitatively similar in sham and in injured rats. Furthermore, the number of GAD65+ neurons in RT does not differ between sham and injured animals (Fig. 6C). Finally, we indirectly examined activation of microglia in RT after cardiac arrest using Iba1 immunohistochemistry. Figure 7 shows that activated microglia, which express the Ca²⁺ binding protein Iba1 (Imai et al., 1996), invade the RT within 72 h after cardiac arrest. Taken together, FJB, GAD65 and Iba1 staining patterns suggest that inhibitory RT neurons likely suffer a non-lethal injury in this model of asphyxial cardiac arrest.

We further characterized degeneration of neurons and neurites in injured animals using the de Olmos's amino cupric silver stain (DeOlmos and Ingram, 1971). This variant of the silver stain provides excellent signal-to-noise ratio and, regardless of cell death mechanism, stains all degenerating neuronal components (soma, dendrites, axons, and synaptic boutons) an intense black (Switzer, 2000). Figure 8 highlights the extent of cardiac arrest-induced injury in the cerebral cortex, in the thalamus and in the hippocampus. In the cerebral cortex, we observed selective degeneration of pyramidal neurons and their apical axons in Layer V of the primary somatosensory cortex. We also noted degeneration of long-range cortico-cortical fibers in Layer I. In the thalamus, the most salient feature is degeneration of neurites in RT and in VPm, the latter of which contains the thalamocortical neurons analyzed above. We did not observe somatic degeneration either in RT or in VPm. Finally, degeneration of hippocampal CA1 neurons, a well-documented phenomenon in hypoxic-ischemic brain injury, occurred 72 h after cardiac arrest. Interestingly, we also observed neuronal degeneration in CA2, CA3 and dentate gyrus regions of the hippocampus, with injury becoming notable within 24 h of arrest. Indeed, all 6 rats examined at 24 h post-arrest demonstrated degeneration in the hippocampus outside of the CA1 region.

Discussion

The current data reveal significant, persistent abnormalities in the function of thalamocortical neurons after cardiac arrest in the developing rat. Forty-eight hours after injury, spontaneous and stimulus-evoked firing rates of thalamocortical neurons are increased ≈ 50 –60%. Six weeks after injury, thalamocortical neurons continue to demonstrate abnormally elevated firing rates in response to principal whisker deflection. Yet, adjacent whisker-evoked responses show a persistent reduction, resulting in abnormally small receptive fields. Histological findings reveal injury to RT without overt cell death and neurite degeneration in VPm after cardiac arrest. Together, the functional and histological data suggest that disruption in thalamocortical circuitry may contribute to functional deficits observed in cardiac arrest survivors.

Increased neuronal activity in the thalamus after cardiac arrest is consistent with recent description of increased cerebral blood flow (CBF) in the thalamus for at least 1hr after similar injury (Manole et al., 2009). In human survivors of global brain hypoxia-ischemia, arterial spin labeling revealed cerebral hyper-perfusion ≈ 5 d after injury (Pollock et al., 2008). Interestingly, hyper-perfusion was greater in younger patients, perhaps reflecting age-dependent CBF regulation (Wintermark et al., 2004). Whether neuronal activity correlates with CBF after global hypoxia-ischemia remains unknown. Blood oxygenation level-dependent (BOLD) MRI signal may reflect aggregate neuronal activity over time in a given brain region, although it may not directly link neuronal activity to CBF (Logothetis et al., 2001). The magnitude of sensory stimulation-evoked change in the BOLD signal in the somatosensory cortex correlates with outcome in human cardiac arrest survivors (Gofton et

al., 2009). Similar studies focused on the thalamus are lacking but may contribute to prognostic evaluation of comatose cardiac arrest survivors.

Pathophysiology of increased thalamocortical activity likely involves, but may not be limited to, injury to the RT inhibitory neurons. Both physiologic and histological evidence support the hypothesis that intrathalamic inhibition is disrupted after cardiac arrest. From a physiologic perspective, RT neurons exert a tonic inhibitory influence over thalamocortical neurons under normal conditions (Jones, 2002). Pharmacologic blockade of RT-mediated inhibition using GABA antagonists results in increased spontaneous and evoked firing rates among thalamocortical neurons (Hartings and Simons, 2000). Indeed, responses of thalamocortical neurons after cardiac arrest qualitatively resemble their responses during GABA receptor blockade (Hartings and Simons, 2000). Functional dis-inhibition of VPM neurons may result either from decreased firing of RT neurons and/or from degeneration of RT-to-VPM connections in injured animals. Both mechanisms are readily amenable to future study. From the histological perspective, prior anatomic studies have demonstrated injury to RT neurons after a global hypoxic-ischemic insult (Radovsky et al., 1995; Radovsky et al., 1997; Hossmann et al., 2001). In the present study, the injury to RT neurons appears sub-lethal inasmuch as neither amino cupric silver nor FJB staining demonstrated frank neuronal degeneration in RT. Yet, the presence of activated Iba1-positive microglia in RT within 24h of cardiac arrest indicates that RT neurons are sufficiently injured to evoke an inflammatory response. Thus, available evidence continues to suggest that RT neurons are more sensitive to a hypoxic-ischemic insult than VPM neurons. Furthermore, injury to RT neurons appears to contribute to thalamic circuit dysfunction after cardiac arrest.

Altered thalamic input after cardiac arrest likely has significant implications for experience-dependent plasticity in the developing cortex. In the rodent visual cortex, neonatal hypoxia-ischemia impairs ocular dominance plasticity following monocular deprivation (Failor et al., 2010). Concurrently, it alters the phenotype of inhibitory parvalbumin-expressing neurons and reduces overall activity levels. Thus, it has been suggested that neonatal hypoxia-ischemia impairs cortical plasticity by altering development of inhibition in the visual cortex (Failor et al., 2010). The present data suggest an additional mechanism whereby hypoxic-ischemic injury during development may impair cortical plasticity. Namely, hypoxia-ischemia may alter thalamic input either via direct injury to thalamic circuitry or via disruption of the cortico-thalamic feedback loop (Ergenzinger et al., 1998). Abnormal thalamic input, in turn, may contribute to dysfunctional cortical plasticity. In the rodent somatosensory system, altered sensory input during development disrupts maturation of inhibitory and excitatory cortical circuitry (Simons and Land, 1987; Shoykhet et al., 2005) and results in permanent behavioral deficits (Carvell and Simons, 1996). By analogy, abnormal thalamic input after cardiac arrest may impact functional maturation of cortical circuitry and result in long-lasting deficits.

Current data also suggest that CNS injury after cardiac arrest involves neuronal fibers. Physiologically, 48–72h after cardiac arrest we observed prolongation of the response latency. The difference in latency (>1 ms) exceeds resolution of the spike time-stamp (100 μ s) ten-fold and resolution of the digitized spike waveform (32 kHz) 32-fold. Thus, technical details of spike-sorting cannot account for the magnitude of the observed difference. Two lines of reasoning suggest that prolonged latency reflects hypoxic-ischemic injury to subcortical long-range myelinated fibers, rather than altered temporal integration of trigeminothalamic inputs by VPM neurons. First, injury to RT likely renders VPM neurons more depolarized and thus more likely to cross threshold sooner (Hartings et al., 2003). If trigeminothalamic input were unaffected by cardiac arrest, then VPM neurons should respond at shorter, not longer, latencies. Yet, we observed the opposite. Second, neurons in the principal trigeminal nucleus (PR5), which carry primary trigeminothalamic input to

VPM, synapse onto VPM neurons on proximal dendrites and soma, providing for a potent and high-fidelity synaptic drive (Desch nes et al., 2003). Most VPM neurons receive inputs from 2 PR5 neurons (Desch nes et al., 2003). Given such potent and focused input, changes in its temporal integration by VPM neurons are unlikely to account fully for a 1 ms-long latency delay after cardiac arrest.

Histologic evidence for injury to long-range myelinated fibers derives from amino cupric silver staining, which demonstrated degeneration of neurites in the somatosensory thalamus. The exact origin of these processes remains to be determined, which will likely require transmission electron microscopic analysis as well as neuroanatomic tracing studies of degenerating fibers and associated synapses. Injury to myelinated fibers has been demonstrated previously in pigs after cardiac arrest (Sharma et al., 2010). The importance of white matter injury in development of neuroprotective therapies has been recognized in stroke and traumatic brain injury (TBI). In stroke, failure to account for white matter injury in animal models possibly contributed to failure of human clinical trials (Gladstone et al., 2002). In TBI models, axonal injury constitutes a major pathophysiologic mechanism, and its amelioration – a key therapeutic strategy (Garman et al., 2011; Greer et al., 2011b; Oda et al., 2011). In contrast, in cardiac arrest models, neuroprotection studies have focused primarily on preserving neuronal somata, not on protecting neuronal fibers. Yet, MRI data in humans confirm that white matter injury occurs after global hypoxia-ischemia (Bianchi and Sims, 2008; Greer et al., 2011a). The present data suggest that cardiac arrest neuropathology includes white matter injury.

An additional subset of degenerating neurons after asphyxial cardiac arrest – cortical layer V pyramidal neurons – while observed previously (Fink et al., 2004), has been further delineated by the amino cupric silver staining. Layer V in rodents contains a heterogeneous set of pyramidal neurons. Superficial Layer Va neurons project to the striatum and to the contralateral cortex, whereas deeper Layer Vb neurons project to the posteromedial thalamic nucleus, superior colliculus, tectum, and spinal cord (Molnar and Cheung, 2006). Neurons projecting to distinct targets demonstrate distinct physiologic and, to some extent, morphological features (Hattox and Nelson, 2007). Degenerating silver-stained neurons in this study are Layer Va pyramidal cells with a single large apical dendrite extending to the pia. Although the precise identity of degenerating neurons remains to be determined, this morphology is consistent with that of corticostriatal and/or corticocortical pyramidal neurons (Hattox and Nelson, 2007).

The specific impact of dysfunctional thalamic circuitry on development of sensory processing mechanisms may depend both on the animal's age at the time of hypoxic-ischemic insult and on specific details of the insult itself. The present *pediatric* cardiac arrest model utilizes PND17 rats in contrast to models of neonatal hypoxia-ischemia, which mostly utilize PND7 animals (Rice et al., 1981). The age difference is significant because rodent PND7 and PND17 neurons differ in several physiologic processes. For example, GABA-ergic currents are excitatory at PND7 but inhibitory at PND17 due to developmentally-regulated expression of the K⁺-Cl⁻ co-transporter KCC2 (Rivera et al., 2005). In humans, the developmental increase in KCC2 expression in the prefrontal cortex occurs primarily *in utero* (Hyde et al., 2011). Behaviorally, at PND7 the eyelids are fused and active whisking, which rodents use to explore their environment (Welker, 1964), has yet to emerge. By PND17, the eyes are open, and animals have begun whisking. The changes in neuronal activity associated with this behavioral development impact how visual and somatosensory systems respond to sensory deprivation (Stern et al., 2001; Desai et al., 2002) and, similarly, may impact how they respond to injury.

In summary, the present work indicates that asphyxial cardiac arrest disrupts thalamic circuitry in the developing brain. Post-insult, activity of thalamocortical neurons is abnormally elevated with disorganized receptive field structure, leading to altered sensory input into the cerebral cortex. Hyperactive, disorganized thalamic input may contribute to cortical pathology and behavioral abnormalities observed after cardiac arrest and may represent a readily modifiable therapeutic variable.

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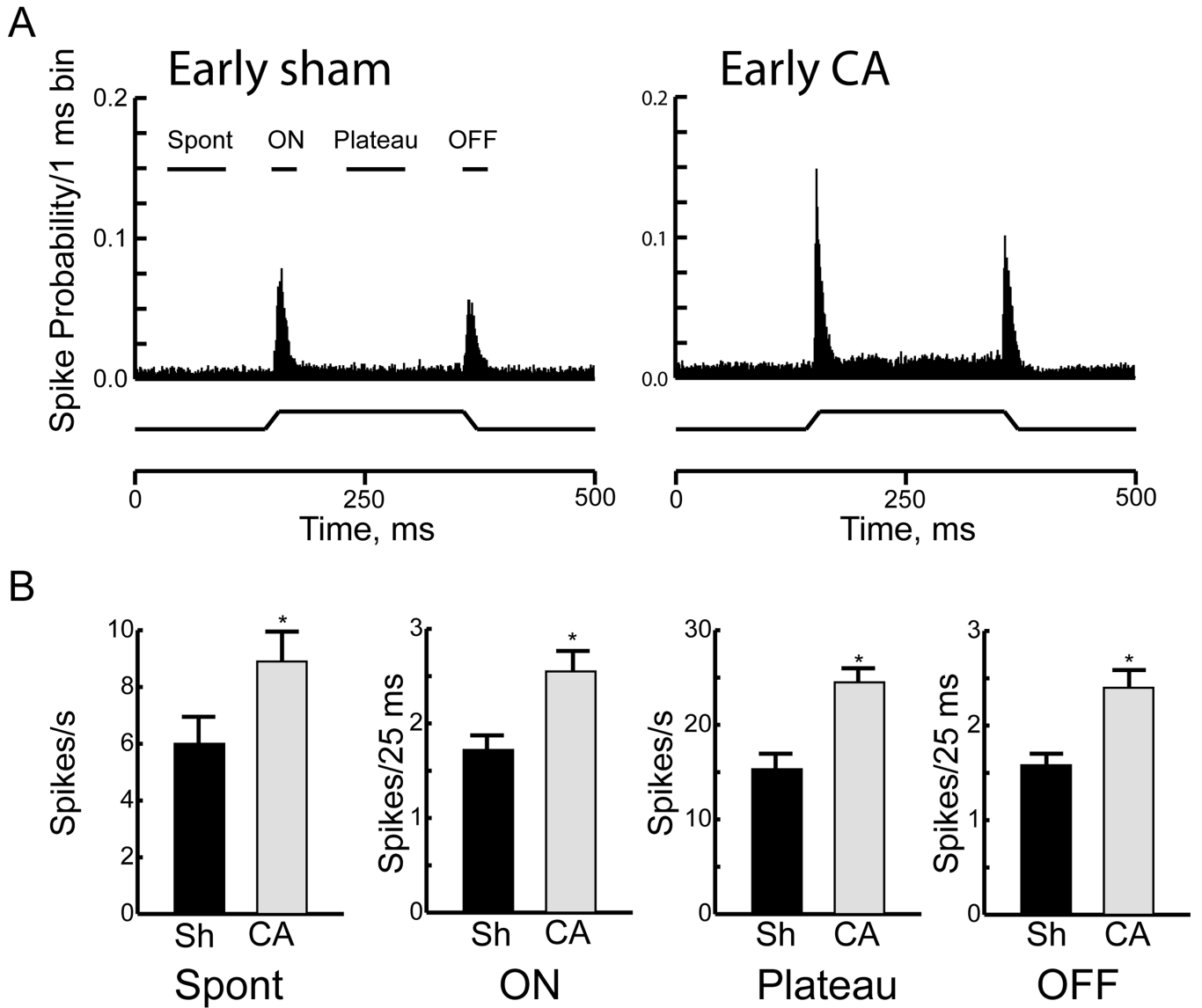


Figure 1. Responses of thalamocortical neurons 48–72 hours after cardiac arrest (CA) or sham insult (Sh). **A**) Population peri-stimulus time histograms (PSTH's) of action potentials discharged by thalamocortical neurons in the Early Sh (n = 41) and the Early CA group (n = 28 neurons). Data are presented in 1 ms bins. PSTH's are collapsed across all neurons and all deflection angles. The whisker deflection during the 500 ms period of data collections is represented schematically below the PSTH. The various time epochs used to compare responses quantitatively are shown above the PSTH. **B**) Quantitative comparison of spontaneous and evoked responses between Early Sh and Early CA groups. Data are shown as mean ± SEM, *p < 0.05.

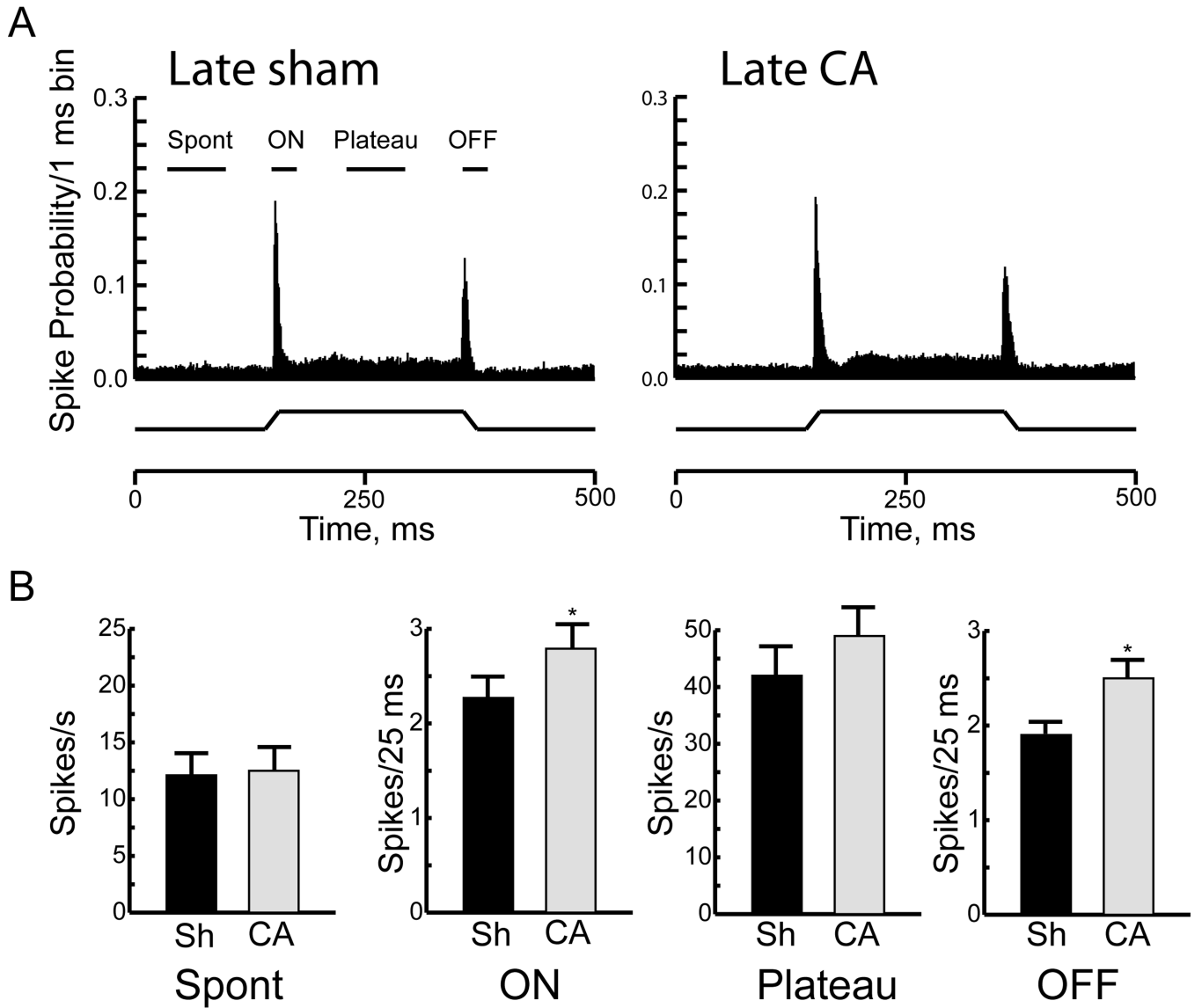


Figure 2. Responses of thalamocortical neurons > 6 weeks after cardiac arrest (CA) or sham insult (Sh). **A**) Population peri-stimulus time histograms (PSTH's) of thalamocortical neurons in the Late Sh (n = 29) and the Late CA group (n = 46). Whisker deflection schematic is below the PSTH. **B**) Quantitative comparison of spontaneous and evoked responses between the Late Sh and the Late CA groups. Data are shown as mean ± SEM, * p < 0.05.

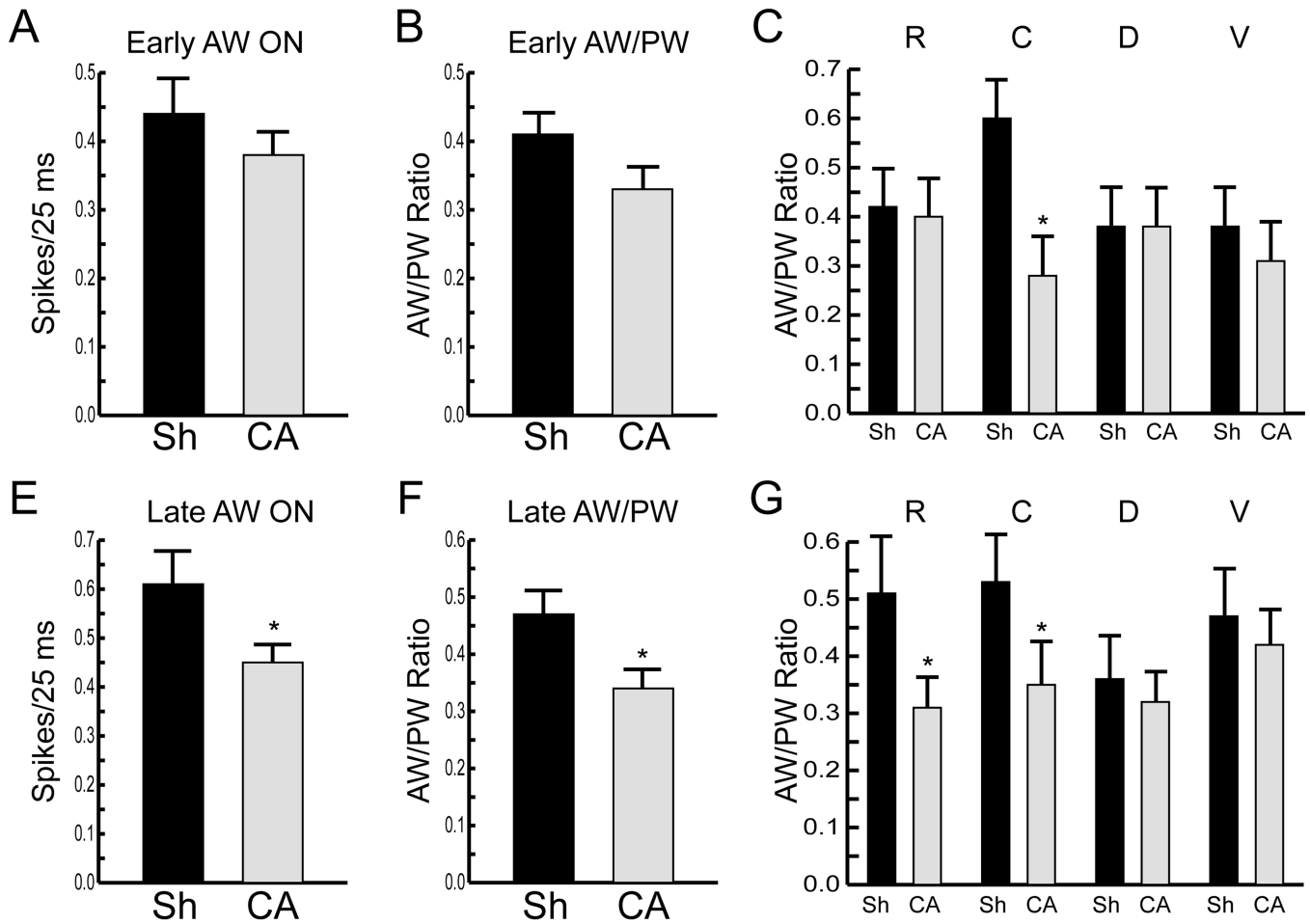


Figure 3.

Receptive field properties of thalamocortical neurons after cardiac arrest (CA) or sham insult (Sh). A) AW ON responses in Early Sh and Early CA groups. Responses are averaged across all deflection directions and across all AW's studied. B) Average ratio of AW-evoked to PW-evoked ON responses in Early Sh and Early CA groups (see Methods). C) Average AW/PW ratios in Early Sh and Early CA groups as a function of AW position with respect to the PW. R, C, D, V = rostral, caudal, dorsal and ventral, respectively. Data were collected from 34 rostral, 18 caudal, 23 dorsal, and 25 ventral AW's in the early sham group, and from 17 rostral, 15 caudal, 13 dorsal, and 15 ventral AW's in the early CA group. E) AW-evoked ON responses are decreased in the Late CA group compared to the Late Sh group. F) AW/PW ratio is smaller in the Late CA vs. the Late Sh group. Responses are averaged across all deflection directions and across all AW's studied. G) Reduced rostral and caudal AW/PW ratios in the Late CA group compared to the Late Sh group. $n = 16, 29, 21,$ and 24 for R, C, D, and V AW's, respectively, in the Late Sh group. $n = 37, 36, 35,$ and 21 for R, C, D, and V AW's, respectively, in the Late CA group. All data are shown as mean \pm SEM, *represents $p < 0.05$.

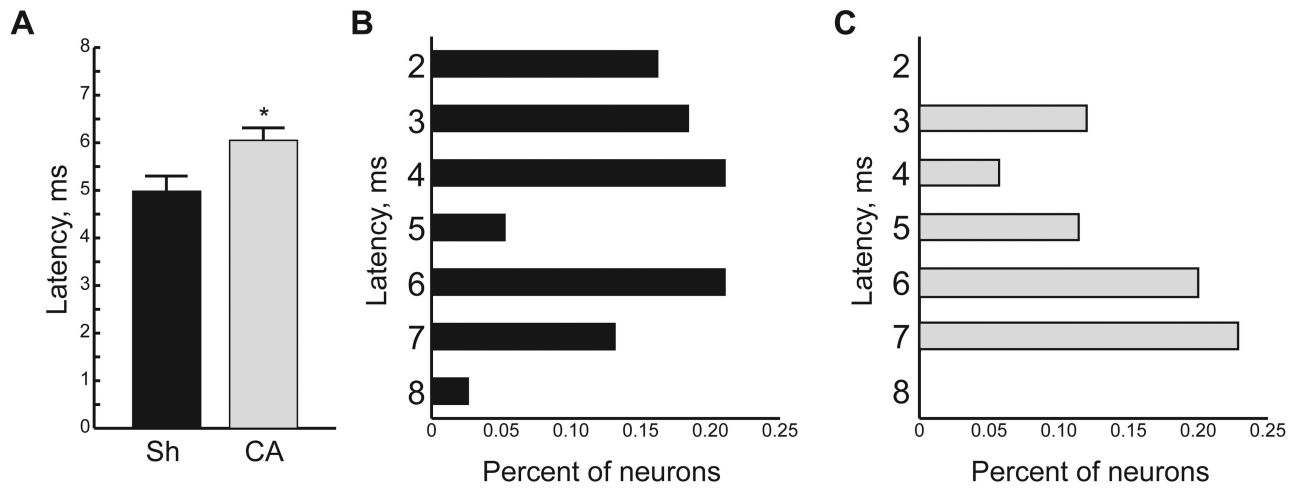


Figure 4.

Prolonged response latency among thalamocortical neurons 48–72 h after cardiac arrest (CA) or sham insult (Sh). **A**) Average response latency in the Early Sh and the Early CA groups. **B**) Distribution of response latencies in the Early Sh group. Latency values are plotted in 1 ms bins. The bimodal latency distribution at this age (post-natal day 9–21) is consistent with previous data (Shoykhet and Simons, 2008). **C**) Distribution of response latencies in the Early CA group. Note the decrease in short latency responses of thalamocortical neurons to whisker deflection.

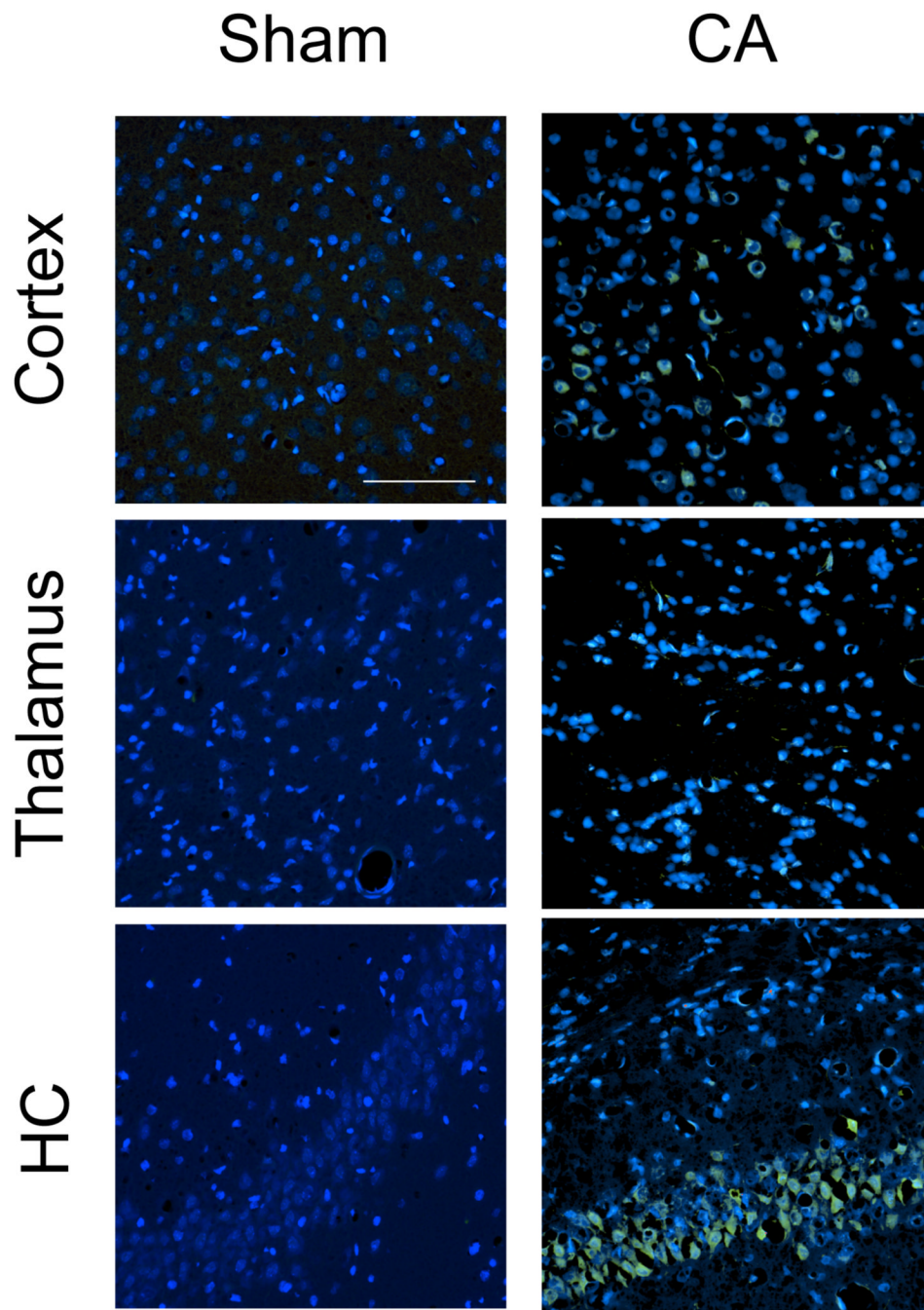


Figure 5. Fluoro-Jade B (FJB) staining in rat brains 72h after 9 min cardiac arrest (CA). In the cortex (top row), Layer V neurons are FJB+ after CA. In the thalamus (middle row), FJB staining in VPM reveals faintly positive neuronal processes but no soma. In the hippocampus (HC; bottom row), CA1 hippocampal neurons are FJB+ after CA. All images are double-stained with DAPI (blue) and FJB (green). Scale bar is 100 μ m and applies to all images.

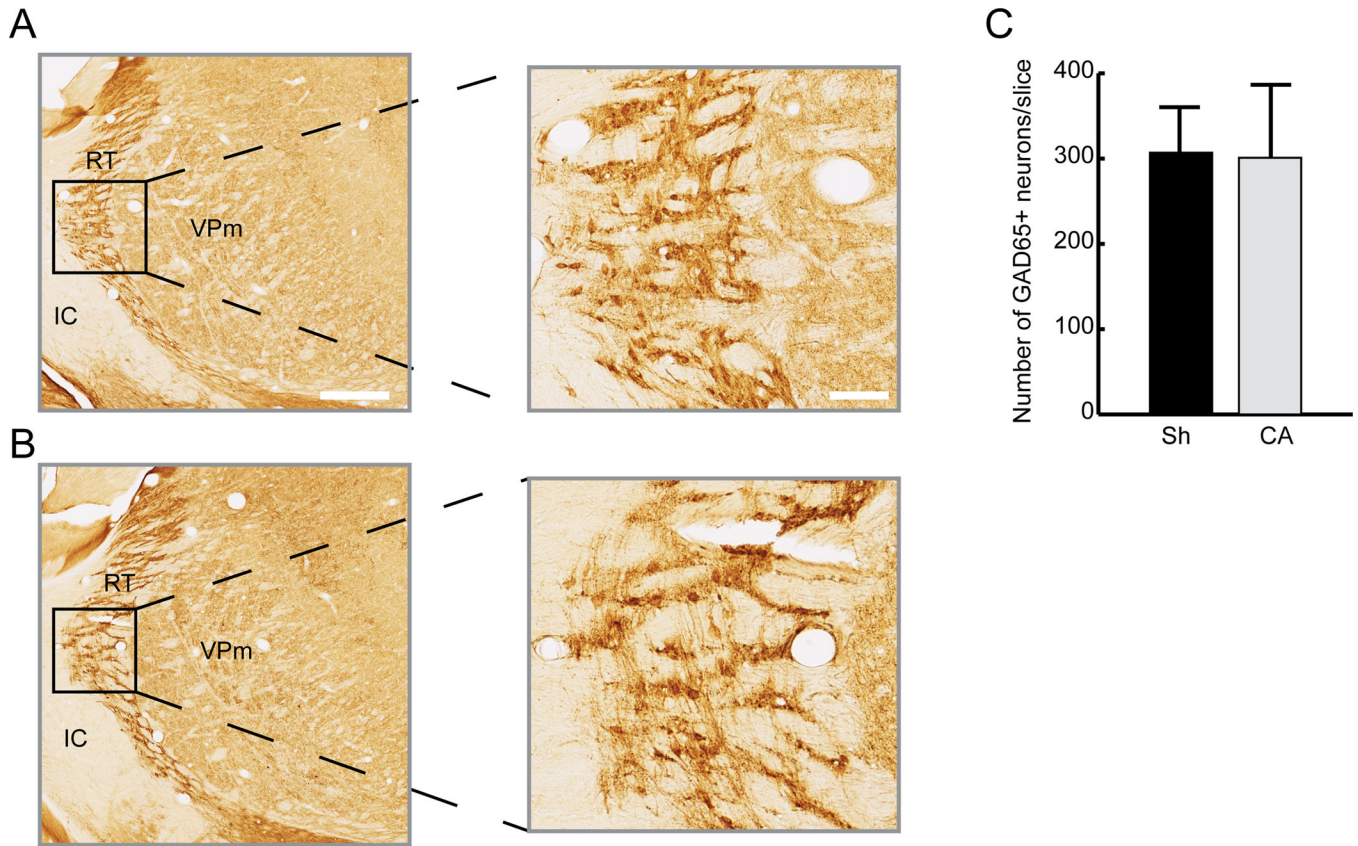


Figure 6. Preserved cellular architecture and number of GABAergic neurons in the thalamic Reticular Nucleus (RT) 6 weeks after cardiac arrest (CA) compared to sham insult (Sh). A) Coronal section through the somatosensory thalamus demonstrating GAD65+ GABAergic neurons in the RT of a sham-operated rat. B) RT architecture is preserved after CA. C) GAD65+ neurons in RT were counted in sham (n = 5 rats, 7–9 slices/rat) and CA rats (n = 4 rats, 7–9 slices/rat). Data represent the average number of GAD65+ RT cells/30 μ m slice. No attempt was made to estimate true cell density. VPm = Ventroposteromedial nucleus, IC = Internal Capsule. Scale bars are 500 μ m in the low power image and 100 μ m in the high power image.

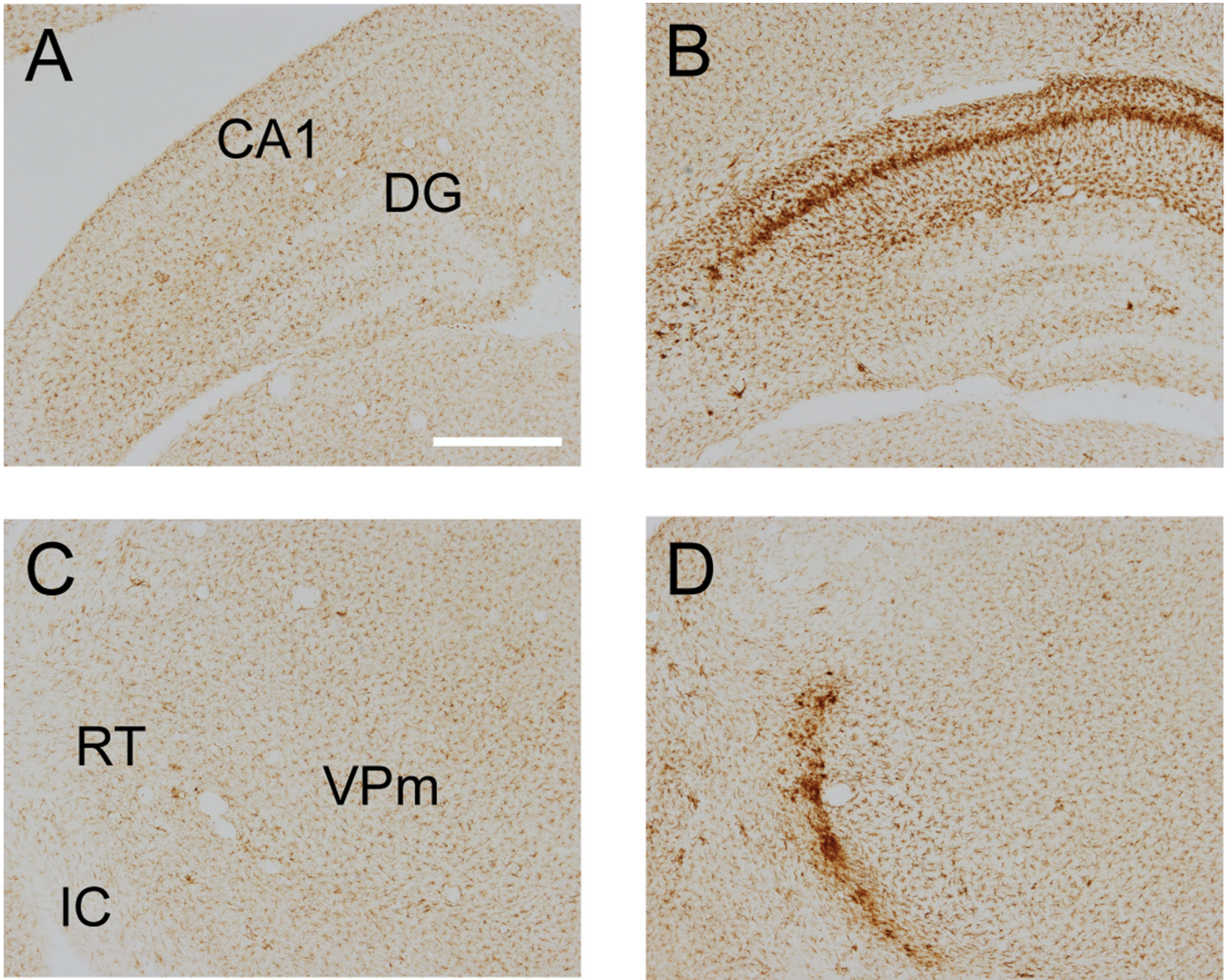


Figure 7. Microglia are activated in the hippocampus and in the Reticular Nucleus 72 h after cardiac arrest. Activated microglia were stained for Iba1. A) Absent Iba1 staining in the hippocampus of sham-operated rats. B) Iba1+ microglia are present in the CA1 region of the hippocampus 72 h after cardiac arrest (DG = dentate gyrus). C) Absent Iba1 staining in the thalamus of sham-operated rats. D) Iba1-stained microglia are present in the thalamic Reticular Nucleus 72 h after cardiac arrest. Scale bar is 500 μ m and applies to all images.

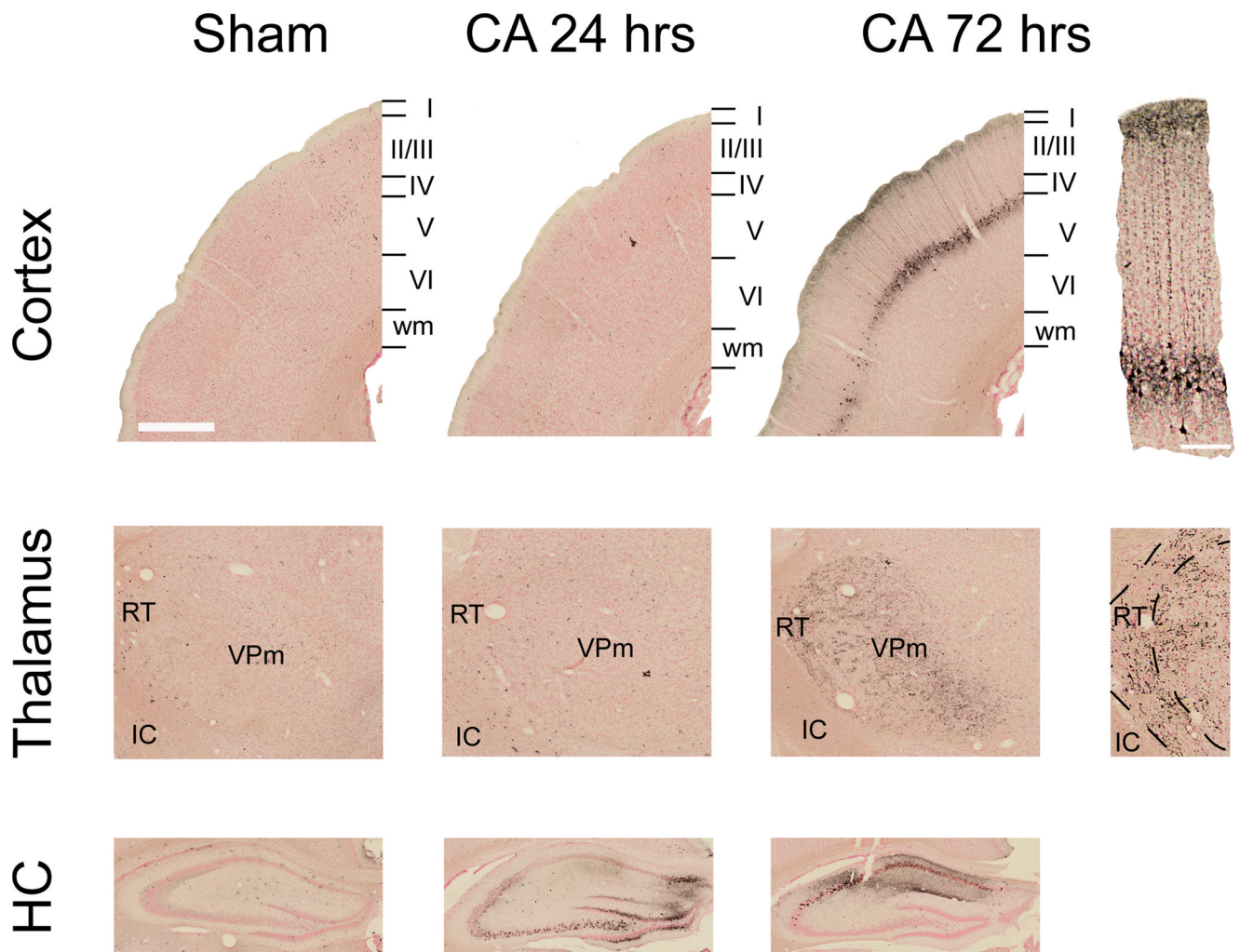


Figure 8.

Neurodegeneration in the rat brain after a 9 min cardiac arrest (CA) or sham insult. Degenerating neurons and neurites were visualized with Amino Cupric silver stain. In the cortex (top row), degeneration of Layer V pyramidal neurons and their apical dendrites is apparent at 72 h. In the thalamus (middle row), neurites in VPm and in RT also degenerate 72 h after CA. In the hippocampus (bottom row), neuronal degeneration in CA2, CA3 and the dentate gyrus peaks at 24 h after CA, whereas degeneration in CA1 becomes more pronounced 72 h after CA. Scale bars are 500 μ m for low power images and 100 μ m for high power images.