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POLICY TRADITIONS IN AMERICAN STATE POLITICS*

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ABSTRACT

An examination of the states across a wide range of policy innovations during three historical periods reveals <u>policy traditions</u> having distinctive geographic limits roughly conforming to major regions commonly recognized in American politics. Only two of these traditions, the "Southern Parochial" and the "Northeastern Bureaucratic," persist across time and even these have been weakened. This provides some evidence that while <u>multilinear evolution</u> along regional lines will continue to contribute to differences in policy values among the American states in the foreseeable future, <u>sociocultural integration</u> is the stronger dynamic in American political development, especially since about 1930.

Social scientists have long recognized the unique research opportunity afforded by the American states, constituting as they do, many separate laboratories for political investigation. As these semi-autonomous political systems seek to regulate the conflicts arising among citizens within their respective boundaries, each is confronted by problems of varying degrees of uniqueness and with different historical records of managing these conflicts. Each American state, then, is more than simply a location for happenings or a holder of attributes. Each state is a complex, semi-autonomous system with patterned modes of behavior, structural regularities in social relationships, and characteristic societal attributes. And each state, in the process of acquiring its own milieu, has considerable autonomy to choose among alternative lines of development. The states are in this sense producers of values as their choices help to shape the culture, social structure, and population within their respective boundaries. As the states are also political systems acting to regulate social conflict, they continually readjust the bases of conflict in response to both internal and external changes of their milieu. Choice, then, is a key ingredient in the differences that occur among the states, and differences in choices among them arise from variations in information, values, and actions that are available to decision makers in the several states.1

Certainly, previous studies of American state political behavior have addressed the element of choice even if in many cases only to stress its constraints. But the focus in most comparative studies has been on information and action with relative exclusion of value as a consideration. This emphasis flows rather naturally from subscriptions to the input-output model of systems analysis in which one looks to the conversion of demands and supports (information) to policy outcomes (actions). This view of the political process tends to ignore the fact that each step toward a policy is subject to the decisions of many agents, each deciding in the fact of a multi-valued choice. This view further tends to disregard the realm of values imposed upon the actors by previous choices not only at the individual level but at the systemic level as well.

The elements of choice assuredly are not easily untangled in the real world. Information and action are always value-laden, and values must confront the realities of information and action. Still, values provide a continuity, a patterning, that injects a note of stability into the processes of choice. At the same time, values are typically inferred from series of actions. Actions in turn reflect the interplay of configurations of information and values that are themselves interdependent. Given this essential circularity and autocorrelation, then, the "facts" that are the building blocks of empirical research must always be accepted as tentative and multi-faceted.

This emphasis upon choice, as a derivative of the interplay of information values, and actions, clearly suggests a cybernetic conception of the states as political entities (Deutsch, 1966). Cybernetic models focus especially upon goal-seeking activities. In the face of dissatisfaction, behaving entities make choices so as to move closer to a desirable condition. For any complex system, however, many satisfactions and dissatisfactions may exist at any given time. Thus, complex systems are rather continously faced with the multivalued choice, but to the extent that certain desirable conditions are preferred more or less with regard to others, such entities can be viewed as seeking goals in a <u>developmental</u> fashion. Development in this sense refers to the successive institutional-izations of such goals or values. For the American states, development is indicated by continuing approximations to values sought through policy choices.

This linking of goal-seeking and development in human behavioral systems implies sociocultural evolution wherein values serve as genetic structures that are transmitted from one point in time to a later point in time (Deutsch, 1966; Thorson, 1970). And like their genetic counterparts in the biological realm, values impel behavior but do not necessarily compel it, i.e., values determine ranges of possibilities for social systems. For example, the American South has developed along lines diverging from other areas of the nation with respect to the bonds of democratic political association. Southern states did not, however, eschew democratic association as the fundamental political bond. Culture, then, understood as a "socio-genetic system of information transmission," suggests constraints and possibilities, not mechanisms and causes (Thorson, 1970: p. 130; cf. also Paige, 1966; Riggs, 1968).

These considerations--the ubiquity of conflict, and thus of choice, in and among behaving systems, a multifaceted rather than a hierarchical image of reality, and a developmental, as opposed to a causal, perspective--propel the realm of values to the forefront in behavioral analysis. What are needed, then, are appropriate data manipulated by a technique that slices through to present the American states in full array as entities confronting challenges and making decisions as to what is, what ought to be, and what can and will be done. Certainly, no research procedures exist that will parsimoniously compare the full ranges of political behavior within and among the states. Still, the study of state politics is enhanced to the extent that certain dominant patterns within particular segments of behavior are found (see, e.g., Luttbeg, 1970; Savage, 1973, 1975; Walker, 1969). The analysis of dominant patterns of policy values should particularly provide an opening wedge into the study of the symbolic realm of political behavior, a research concern that has tended to be lost in the quantitative analysis of state politics. POLITICAL CULTURE, POLICY TRADITIONS, AND REGIONALISM

Culture has been construed variously but one useful definition argues that it consists of patterns, explicit and implicit, of and for behavior acquired and transmitted by symbols, constituting the distinctive achievement of human groups, including their embodiments in artifacts; the essential core of culture consists of traditional (i.e. historically derived and selected) ideas and especially their attached values; culture systems may, on the one hand, be considered as products of action, on the other as conditioning elements of further actions (Kroeber and Kluckhohn, n.d.: p. 357; see also Kluckhohn, 1951; Kluckhohn and Kelly, 1945).

This construction of culture focuses upon patterned behavior, symbolic transmission, group action, traditional ideas, and values. Thus, culture as a word-construct refers first of all to structured regularities in behavior that are embedded in the symbolic interplay within and between generations. Moreover, this symbolic activity embraces with varying degrees of penetration an entire group or society. As ideas are formulated and validated by symbolic activity valuations come to be attached to them such that the ideas become embedded deeper and deeper as traditional axioms of subsequent behavior. In this way values become structured and represent one mode of human experience, the "oughtness" that pervades the cultural field.

The prevailing value system emerges over time with many of its components the by-products of unintended consequences. Yet, much of that value system is consciously produced and often through the actions of government. It is this aspect of culture that ought to be of most particular interest to students of politics. Yet, as Karl Deutsch (1969) points out, political science especially has tended more readily to accept "mechanistic" models of the political process and focused more and more on the allocative function of public policies to the relative exclu-sion of their function in producing values.² Assuredly, value allocation and value production are not unrelated. Both are reflected in governmental policies. Such policies may be, for example, the initiation of new programs, funding of established programs, or perhaps simply resolutions, symbolic expressions giving or denying rhetorical support to some goal that may or may not require subsequent action by government. Every instance of policy making is, thus, an allocative effort -- "who gets what, when, how" in the celebrated Lasswellian formulation. The production of values appears in the patterning of such allocative efforts over time. Thus, for example, the commitment in the United States to democracy was initially both ill-defined and severely restricted. One line of development in the growth of this value of democratic association has been the gradual widening of the franchise to include ever larger portions of the population. Allocation and production, then, are inextricably linked in a cyclical process.

To the extent that a governmental system pursues a more or less coherent set of policy directions across time, then, we can speak of a <u>policy tradition</u>. This tradition directs the general production and growth of values within the society as well as the more specific allocations granted at any given points in time. In examining the American states there is the two-headed problem of their uniformity within a national system and their autonomy as components of a federal system. For comparative analysis, their shared, invariant characteristics must be sorted out and their utterly unique characteristics most often glossed over. In other words, for the sake of utility on the one hand and parsimony on the other, a small number of basic types or traditions is desirable.

Indeed, the literature of American state politics richly suggests that a small number of such types is highly probably, given the tendencies toward shared regional configurations of attributes.³ Regional groupings in patterns of policy responsiveness are likely due to the variant physical geographies across the states combined with the concommitant economies attached to those geographies. These regional regularities are sometimes complimented, and at other times crosscut, by historical settlement patterns as Elazar (1970, 1972) has shown. Thus, two problems emerge in the analysis of policy traditions as an aspect of regional political cultures: the physical contiguity of states adhering to a common tradition and the likelihood of changes over time in socio-economic structure and settlement patterns.

Social scientists, unwittingly or not, usually apply the term "region" in a <u>functional</u> sense that generally requires territorial contiguity.⁴ Unfortunately, the requirement is imposed <u>a priori</u> and not empirically. However, in some disciplines a region is defined in a formal sense that requires some specific level of homogeneity in a given set of attributes.⁵ Region may be construed in this sense, then, as a set of states which share locally and relativistically distinctive uniformities in cultural content and form--regardless of their geographical locations relative to one another. Thus, Delaware and Vermont may form a more meaningful region for some purposes (or more strongly share a tradition) than Delaware and Maryland or Vermont and New York. Of course, if geographical contiguity is present as well, then regional analysis is enhanced. Still, the formal definition of region with its requirement for prior, rather than post hoc, analysis and its focus upon the dynamics of man-environment relationships is preferable for most examinations of culture.⁶

Linked with this problematic decision is the problem of regional instability over time. Particularly in the modern era, as technology allows greater independence from the physical environment and as settlement patterns move in ways other than the classical spillover into "virgin" lands, human culture witnesses an expansion of available options, including policy choices. To the extent that diffusion processes are involved, technological advances in communication and transportation further enhance the likelihood of breakdown of functional regions, but not necessarily formal ones. And at the same time, formal regions must always be put to the empirical test of essential homogeneity.

Beyond these considerations, a formal definition more clearly pushes toward theoretical questions as to the dynamics of cultural change. Certainly, if policy traditions are the substantive concern, then these dynamics are of central importance. Those dynamics offer two possibilities with regard to regional distribution: multilinear evolution or sociocultural integration. If the states sharing a regional similarity continue to be distinctive in that same fashion from other states over time, then a pattern of multilinear evolution is evident. Students of comparative state policy making more often, however, argue that the states are becoming more and more alike; indeed, these assertions usually suggest that sociocultural integration is moving so as to bring all the other states up to the "standard" of the states in the industrial Northeast.⁷ Such arguments ignore the multidimensionality of development, or "modernization."⁸ The more thoroughgoing empirical examinations that actually focus on political change in the states are more equivocal, however. Generally, these studies suggest that regionalism may have been more stable in the past with an accelerated movement toward greater sociocultural integration in the twentieth century, especially in the past three or four decades (Sharkansky, 1970; Savage, 1975, 1978). The research reported here focuses upon policy traditions as indicated by proneness to adopt policies reflecting particular values across time and is thus likely to be the strongest test of sociocultural integration.

AN EMPRIRICAL APPROACH TO THE STUDY OF POLICY TRADITIONS

While public expenditure data reflect values in state policy, the various categories into which such data are aggregated are relatively limited. Moreover, these decisions reflect environmental constraints to a greater degree than do the decisions that actually come prior to these appropriations. Thus, a measure of policy authorization is preferable for the analysis of policy traditions. Such a measure is the speed of adoption of a given policy, the length of time in years required by a state to adopt a policy after its first adoption. These lapsed-time scores are computed for each of 207 policies for all 48 contiguous states.⁹ The last state to adopt a given policy is assigned a zero for that adoption item. The lapsed-time scores for a given policy are then derived for the first and subsequent units of adoption from the date for the last state to adopt that policy (or 1971 where one or more states had failed to adopt the policy as of that date). Allowance has been made for states that had not achieved territorial status at the time of the first adoption of a policy by adding to their scores the number of years between the initial adoption date and the date of acquisition of territorial status. These adoption scores provide flexible statistics with a common unit of measurement and are susceptible to a variety of statistical treatments.

The adoption measures have been compiled so as to obtain data for the widest possible variety of policies. These measures include the creation of sundry state agencies; the extension of state activity into various areas of public concern such as education, health, housing, and welfare; the expansion of economic development, including the support of transportation facilities and the regulation of business and professions; the regulation of political participation; intergovernmental relations; and taxation.

The 207 measures of adoption speed are segmented into three broad temporal categories that permit developmental analysis. These three periods were selected so as to give roughly equal numbers of measures for each period and, as much as possible, to mark watershed points in the political development of the states, namely the beginning (about 1900) and ending (about 1930) of the Progressivist era. Thus the nineteenth century offers 72 adoption measures, the early twentieth century.

As the concern here is with the extent to which states share common patterns of variation across an array of policies, the Q-data slice is appropriate and factor analysis determines these underlying dimensionalities, i.e., the common types of variation.¹⁰ Thus, a policy tradition is operationally defined by a Q-factor (depicting the degree of similarity among the states) and its typal array of factor scores across the policy adoption items. The typal array promotes analysis of policy values by presenting the modal configuration of policy adoptions for each type of state.

For each time period the data are correlated, factored by principal components, and rotated with a Varimax solution. Originally the number of factors was determined by the eigenvalue-one criterion, but for each of the three time periods, idiosyncratic factors emerged that made comparisons difficult. Examination of the three matrices by the scree method suggested that a four-factor solution for each matrix is appropriate.¹¹ Accordingly, the analysis here uses the four-factor solutions.

EMERGENT TRADITIONS IN AMERICAN STATE POLICY MAKING

A four-factor solution explains more than sixty percent of the variation in each instance with a low of 62.2% for the early 20th century and a high of 77.1%for the later 20th. Communalities (h^2) for individual states rarely fall below 0.50 (three instances in the 19th century and three in the early 20th). The empirical results, then, support the decision to use four-factor solutions. Still, the substantive utility of the factor results for each period is the ultimate test of the validity of the decision. Accordingly, each of the time periods is examined in depth below.

POLICY TRADITIONS IN THE NINETEENTH CENTURY

The factor matrix presented in Table 1 presents four "regions" defined operationally in the formal sense but even a cursory examination suggests that these regions tend strongly to be functional regions as well. Factor I, best represented by the states of Arizona, Montana, Utah, and Washington, groups fifteen contiguous states from west of the Mississippi River along with the more easterly states of Indiana, Ohio, Michigan, and Maine. Assuredly, this points to a "Western" policy tradition in the 19th century. Factor II, best represented by Alabama, Tennessee, and Texas, is hardly a surprise; it is a "Southern" tradition including all the Old Confederate states and the five adjoining states of Missouri, Kentucky, West Virginia, Maryland, and Delaware. Factor III, best represented by Connecticut and New Hampshire, is "New England," excluding Maine. Factor IV, best represented by New Jersey, exhibits the least contiguity, combining three Mid-Atlantic states in the east with four Midwestern states and Wyoming. For reasons that will become more apparent later, this factor can be called "Mid-American."

Note should also be made of significant secondary saturations as well. Thus, several Factor I states of the Great Plains (Montana, South Dakota, Colorado, Nebraska) load on Factor IV, as well as scattered others. This subsidary regional tendency is less apparent with Factor I states having secondary saturations on Factor II, just as Factor II states having secondary saturations on Factor I are not necessarily the more westerly of the Southern states. On the other hand, four of the five more westernly Factor IV states and only one of the more easterly ones have secondary saturations on Factor I.

All in all, similarities in the responsiveness to new policies in the 19th century strongly reflected sectional divisions in the young nation. An examination

Table l

CONFORMANCE TO POLICY TRADITIONS IN THE NINETEENTH CENTURY: A Q-FACTOR MATRIX*

		Factor				
State	I	II	III	IV	h²	
Arizona	89	16	14	12	0.86	
Washington	83	16	18	17	0.77	
Utah	82	10	22	15	0.76	
Montana	80	17	12	36	0.81	
South Dakota	79	15	-02	37 29 35	0.79	
Colorado	79	10	16	29	0.75	
Nebraska .	76	18	-04	35	0.74	
Idaho	74	16	-01	46	0.79	
New Mexico	74	<u>33</u>	13	-12	0.69	
Kansas	73	24	-12	23	0.65	
Nevada	71	33	-06	35	0.74	
Oklahoma	70	35	11	22	0.67	
Michigan	69	15	01	14	0.52	
Maine	67	09	29	04	0.54	
California	. 65	<u>29</u> <u>36</u>	-05	38	0.65	
Iowa	64	36	-26	16	0.63	
Indiana	63	48	-14	06	0.65	
Oregon	57	46	-17	27	0.64	
Ohio	51	44	-13	<u>37</u>	0.60	
Alabama	14	86	12	04	0.77	
Tennessee	09	82	09	-05	0.69	
Texas	25	82	13	12	0.77	
Florida	.03	80	27	01	0.72	
Virginia	16	79	-03	05	0.66	
South Carolina	05	78	20	-01	0.65	
Georgia	08	75	10	16	0.60	
Kentucky	$\frac{30}{31}$	73	09	15	0.66	
West Virginia	31	71	18	05	0.64	
Louisiana	29	70	-02	26	0.64	
Arkansas	29 30 25	69	-11	01	0,58	
Missouri	25	68	04	24	0.59	
North Carolina	22	66	27	06	0.55	
Mississippi	<u>33</u>	65	-16	-04	0.56	
Maryland	$\frac{\overline{42}}{\overline{33}}$	60	-08	20	0.58	
Delaware	33	41	19	-06	0.32	

Connecticut New Hampshire Vermont Massachusetts Rhode Island	-07 -05 08 <u>30</u> 24	17 14 06 25 14	82 82 79 76 48	23 26 02 -26 -01	0.76 0.76 0.63 0.79 0.30
New Jersey Minnesota North Dakota New York Pennsylvania Illinois Wyoming Wisconsin	24 42 45 33 -01 15 48 46	-07 09 06 -08 <u>32</u> 27 02 -09	08 -03 04 21 10 -21 16 02 	73 70 69 65 64 57 57 57	0.61 0.67 0.58 0.52 0.46 0.58 0.54
Cumulative percentages of total variance are:	25.3	46.5	54.1	64.8	

*Decimals are omitted from factor loadings. FL 0.29 are significant with p 0.01; significant secondary saturations are underlined.

of typal arrays in Table 2 for the four factors fleshes out the bases for these distinctive policy traditions.

Factor I states are distinctive for their quicker response to policies suggestive of what Elazar (1972) calls a Moralistic political subculture. Thus, policies supportive of popular participation either directly (female suffrage) or indirectly through expanding educational opportunities (establishment of a state college) received quicker response from these states. Localism, another aspect of the Moralistic orientation, is reflected both in the quicker adoption of a constitutional provision for municipal home rule and a greater reluctance to accept Morrill Act provisions or to establish regulatory agencies (Board of Health) at the state level or to constitutionally limit the powers of municipal governments. On the other hand, where local efforts seem contraindicated, e.g., state hospital for the insane, a state-wide governing board for higher education, and efficient judicial administration (code of civil procedure and rule-making power for the supreme court), this type of state could be more responsive. Curiously, despite the modern image of the old wild West, these states were also quicker to abolish capital punishment and, on the surface seemingly just as curious, they were even more quick, relatively speaking, than Southern states to adopt anti-miscegenation laws. But the latter instance simply reflects the demographic reality of greater potential for racial mixture with the larger number of Amerindians and Asian immigrants. Similarly, in the reverse direction, a state college for Negroes was an unnecessary luxury. And their comparative youth and capability for profiting from other states' experiences meant that a constitutional revision commission was less necessary and the pre-modern income tax was a dead issue before most of these states had even achieved territorial status. In sum, the states of Factor I in the 19th century were laying the foundations for a <u>Western Populist</u> tradition of public policy that largely fits Elazar's Moralistic political subculture in its overall tone.

Factor II, the "Southern" type, not surprisingly, is positively distinctive for innovativeness with regard to race relations. To a lesser degree, such states are notable for their concern with establishing constitutional minimums for the initial organization of counties and some limitations on state indebtedness. More important for an understanding of Southern political values, however, are the many policies for which states of this type lagged behind other American states. Contrary to the Western states, Southern states were slow to respond to measures promoting mass participation and education. They also lagged with regard to early social welfare legislation, bureaucratic development, business regulation, and conservation. To a lesser degree, the Type II state was also slow in regulating the electoral process, a policy area touching directly upon the maintenance of the political elite. Thus, Factor II conforms to Elazar's Traditionalistic political orientation. More than that, the policy array suggests a stagnant political order seeking to avoid trends prevalent elsewhere in the nation and to cope with internal pressures in a repressive fashion. Hence, in the nineteenth century, a Southern Parochial tradition of public policy emerged quite distinctively.

The New England states of Factor III were just as busily racing toward a modern, secularized system of government with the establishment of a wide array of state government agencies for the better regulation of many public purposes, including health, welfare, and highways. Moreover, these states early began to regulate political parties and to establish merit systems for public employment pointing toward a professionalized governmental system. While the New England states were less supportive of direct measures promoting popular participation than the Western states, they were more quick to expand mass education. On the negative side, Type III states were not so likely to adopt constitutional limitations upon the powers of the legislature in fiscal matters. Thus, while public policy in New England reflected the Moralistic orientation described by Elazar, the more evident thrust of that tradition was governmental expansionism, a <u>Northeastern Bureaucratic</u> tradition.

Factor IV in many ways seems to be a residual type rather than a distinctive policy tradition. The type is positively distinctive for its ardor in constitutionally limiting fiscal powers of the legislature and negatively for its rejection of measures directed against Negroes. To a very large extent, on the other hand, these tendencies reflect prevailing conditions in these states in the post-Civil War period, a rising popular concern for the more extreme excesses of the new industrial order and a relatively lily-white population. Tentatively, then, the type may be called the <u>Mid-American Industrial</u> policy tradition.¹²

State policy making in the first century and a quarter of American political experience reflected the sectional patterns of settlement that have become traditional elements in American folklore. Beyond that, these emerging policy traditions are imbued with the basic subcultural variations predicted by Elazar.

TYPAL ARRAYS FOR NINETEENTH CENTURY POLICY TRADITIONS: Q-FACTOR SCORE MATRIX

	Types				
Policy	I	II	III	IV	
Acceptance of Hatch Act provisions	-0.1	-1.1	-0.8	-1.3	
Game protection law	2.4	2.1	2.3	2.6	
State park	-0.2	-0.2	0.5	-0.0	
Constitutional Revision Commission	-0.7	-0.7	-0.4	0.1	
Abolition of capital punishment	-0.1	-1.2	-0.7	-0.2	
State Superintendent of Public Instruction	2.3	1.5	2.5	2.6	
Compulsory school attendance	-0.3	-1.0	0.4	-0.3	
State College	0.7	0.4	0.3	0.2	
State normal school	-0.0	-0.7	0.5	0.1	
Acceptance of Morrill Act provisions	-0.5	-0.2	0.3	-0.3	
Statewide governing board for higher education	-0.6	-0.9	-0.8	-1.2	
Agency for public library extension	-0.3	-0.4	0.5	-0.1	
Australian ballot	0.2	-0.0	0.5	0.1	
Regulation of party nominations	-0.8	-0.9	-0.6	-0.7	
Complete female suffrage	0.2	-0.9	-0.6	-0.9	
Female suffrage: school elections	1.2	-0.6	1.4	1.1	
Female suffrage: municipal elections	0.4	-0.7	0.0	-0.2	
Female suffrage: non-constitutional elections	0.4	-0.5	-0.4	-0.2	
White suffrage	-0.2	2.0	-0.5	0.4	
Poll tax as suffrage requirement	-1.1	0.2	-0.2	-1.4	
Literacy test as suffrage requirement	-0.3	-0.3	0.9	-0.6	
State debt limitation	1.4	0.8	-0.7	1.9	
Constitutional allowance for casual state deficits	1.1	-0.0	-0.7	1.9	
Duration of state loans constitutionally limited State constitutionally prohibited from assuming	0.6	-0.2	-0.9	0.9	
local or corporate indebtedness State constitutionally prohibited from lending	-0.3	-0.3	-0.7	-0.8	
credit to private corporations State constitutionally prohibited from contract-	1.1	1.4	-0.7	1.9	
ing debts for internal improvements	-0.5	-0.4	-0.9	0.2	
State tax to pay loan is constitutionally irrepealable	0.1	-0.9	-0.9	0.8	
State constitutionally prohibited from becoming stockholder in private corporations	0.7	1.0	-0.9	0.3	
Aggregate state debt constitutionally limited Internal improvements by state government consti-	0.7	-0.5	-0.7	1.7	
	-0.1	-0.3		-1.1	
tutionally encouraged	-0.1	+0.3	-0.9		

Restriction upon special and local laws	1.8	1.2	-0.6	2.1
State merit system	-0.8	-1.0	-0.3	-0.6
Court interpreter	0.9	-0.2	-0.2	1.1
Code of civil procedure	2.3	0.2	-0.3	1.6
Rule-making power for State Supreme Court	-0.2	-0.6	-0.8	-0.5
State Board of Health	-0.6	-0.4	0.1	-0.4
State Hospital for the Insane	1.1	0.6	-0.2	0.7
Maximum hours legislation	0.0	-0.4	0.7	0.5
Child Labor Law	-0.4	-0.9	0.5	-0.3
Minicipal home rule (constitutional)	-0.2	-0.8	-0.5	-0.5
Municipal home rule (statutory)	-0.4	-0.3	-0.2	-0.4
Local government aid to private corporations cons	sti-			
tutionally prohibited	-0.8	-0.1	0.4	0.1
Ratio limit on municipal indebtedness	-1.0	-1.0	-0.4	-0.1
Municipalities may not lend credit	0.2	0.7	0.4	1.1
Municipalities may not become stockholders	0.2	0.7	0.4	1.1
Minimum size for counties	0.3	1.6	-0.9	0.6
Minimum population for counties	-0.6	1.0	-0.9	0.3
Professional licensing: pharmacists	-0.2	-0.4	0.4	
Professional licensing: dentists	-0.2	-0.3	0.2	
Professional licensing: midwives	-0.5	-0.7	-0.4	
Personal liberty law	-0.8	-1.3	1.3	-1.1
Antimiscegenation law	4.5	3.6	0.7	-1.4
Jim Crow law: railways	-1.0	0.6	-0.9	-1.4
Segregated schools	0.2	1.3	-0.4	-0.2
Black Law (fixing status of free Negro)	-0.6	0.7	-0.9	-1.0
Reconstruction of Negro marital relations	-1.1	1.2	-0.9	-1.2
Limitations on Negro witnesses	-0.6	-0.2	-0.9	-1.0
Prohibition of alcoholic beverages	0.7	0.1	2.2	0.8
State Tax Commission	-0.6	-0.9	0.0	-0.9
Bank deposits tax	-0.8	-1.0	0.9	-0.7
Death tax	0.9	0.6	1.2	1.4
Pre-modern income tax	-1.1	3.2	5.1	-1.1
State liquor monopoly	-0.7	-0.9	-0.5	-1.2
State Highway Department	-0.9	-1.1	-0.3	-1.0
State aid for roads and highways	-0.9	-1.1	-0.4	-1.0
State Board of Charities		-0.6	0.3	0.1
Blind education		-0.4	0.5	
Deaf education	-0.1	-0.3	0.7	0.1

POLICY TRADITIONS IN THE EARLY TWENTIETH CENTURY

In the first three decades of the present century, the most important forces affecting public policy in the American states were the one-two punches of the Populist movement followed by the Progressivists. We continue to see the impact of these colorful movements even today with the "Taxpayer Revolt" on the one hand and institutional streamlining measures such as the Sunset Law on the other. Still, as Table 3 shows, these movements did not drastically reshape the topography of policy traditions. Factor I is still basically a western aggregation, sixteen contiguous states west of the Mississippi River and four other states in the Northeast. Factor II is just assuredly the South with ten contiguous states of the Old Confederacy and Delaware. Factor III seems to have spread outward but is still essentially northeastern with Indiana the only non-contiguous state. Factor IV consequently becomes a more midwestern aggregation with only New Jersey as a non-contiguous eastern state adhering to the tradition.

Factor I states continue to reflect the concern for mass participation in politics, being most distinctive for the four measures of direct legislation (see Table 4). At the same time, fiscal conservatism is indicated by the slower response to a variety of new taxes, although this may be only the contemporary mode of rejecting government at higher levels in favor of more localized government. The relative reluctance to accept the provisions of the national government's Sheppard-Towner Act supports this interpretation. In any event, the Western Populist tradition is carried on to the 1930's.

Just as clearly, the Southern Parochial tradition continued through this period. Factor II states continue to innovate in the area of repressive measures for fixing the lower status of blacks and to restrict popular participation, wherever possible. A further development of the image of a Southern Parochial tradition includes the anti-corporatism reflected in the quick adoption of the chain-store tax and the lack of enthusiasm for an anti-injunction law to protect the organizational efforts of the labor movement. Less clearly related are the positive responses to a wide array of new taxes and the simultaneously slow move to adopt programs of public welfare.

Factor III seems to emphasize even more strongly the concern of states of this type to build a modern governmental apparatus. Indeed, this emphasis falls in line especially with Progressivist concerns for more efficient governmental operation, hence acceptance of a legislative research agency, a centralized administrative agency, and various court reforms. These states are also continuing to expand public regulation of the economy and society generally. The Type III states seem to be least concerned with the development of local autonomy, or grass-roots politics. In general, then, the Northeastern Bureaucratic tradition remained live and well and even expanded its geographical spread considerably in the early twentieth century.

Factor IV, again, seems almost a residual type even though its territorial basis is more definite. The stress in states of this type is, in opposition to Factor III states, on expanding grass roots control in local communities although perhaps not at the county level and certainly does not include direct legislation at the state level. A Mid-American Industrial policy tradition remains, then, even more problematic in the early decades of this century.

POLICY TRADITIONS IN THE LATER TWENTIETH CENTURY

Geographical contiguity of policy traditions in the period 1930-1970 is less apparent as shown in Table 5. The western states no longer constitute a major bloc but are dispersed across three factors. Still, three factors exhibit contiguity for a majority of the states constituting them. Factor I is a Northeastern aggrega-

CONFORMANCE TO POLICY TRADITIONS IN THE EARLY TWENTIETH CENTURY: A Q-FACTOR MATRIX*

State		Factor			
	I	II	III	IV	h²
Arizona	87	04	08	26	0.83
Colorado	83	05	08	25	0.76
Nevada	82	-05	-06	19	0.71
Nebraska	81	14	20	17	0.75
Oregon	81	-05	02	18	0.69
North Dakota	81	14	15	20	0.74
South Dakota	76	34	02	18	0.73
Washington	75	03	19	34	0.72
California	75	10	12	20	0.63
Missouri	75	30	13	-06	0.67
Idaho	74	24	04	32	0.71
Ohio	74	08	26	05	0.62
Michigan	70	08	07	22	0.56
Arkansas	70	28	07	-05	0.58
Montana	70	20	14	30	0.64
Oklahoma	67	50	-02	-04	0.70
Massachusetts	64	13	39	03	0.58
Utah	60	-01	36	11	0.50
New Mexico	58	34	13	37	0.61
Maine	55	<u>34</u> 06	31	<u>37</u> 15	0.42
South Carolina	15	90	-06	12	0.84
Mississippi	24	81	-07	22	0.77
North Carolina	17	80	02	16	0.70
Georgia	-04	77	$\frac{33}{12}$	-20	0.75
Alabama	16	73	12	22	0.62
Virginia	-19	70	35 44 32	-20	0.69
Tennessee	20	67	44	04	0.68
Florida	-02	64	32	02	0.52
Louisiana	<u>11</u>	63	-06	<u>33</u>	0.52
Texas	$\frac{39}{22}$	57	21	33 32 23	0.62
Delaware	22	49	<u>34</u>	23	0.46
Rhode Island	07	02	83	06	0.70
Connecticut	14	06	80	13	0.68
Vermont	20	26	79	03	0.73
New York	18	$\frac{32}{13}$	72	14	0.68
New Hampshire	25	13	69	19	0.60

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Pennsylvania Indiana Maryland	15 18 31	18 15 <u>47</u>	59 59 54	$\frac{42}{35}$ -16	0.58 0.52 0.64
Wyoming Minnesota Illinois Iowa New Jersey Kansas West Virginia Wisconsin Kentucky	$ \begin{array}{r} 39 \\ 42 \\ 36 \\ 47 \\ 34 \\ 46 \\ 46 \\ 32 \\ 28 \\ \end{array} $	03 31 16 45 15 24 <u>42</u> 24 <u>38</u> 	06 <u>34</u> <u>46</u> <u>53</u> <u>33</u> 14 <u>42</u> 20	65 60 58 57 57 56 56 56 54 40	0.58 0.74 0.71 0.80 0.74 0.70 0.72 0.62 0.42
Cumulative percentages of total variance are:	26.9	42.8	55.9	62.2	

*Decimals are omitted from factor loadings. FL 0.32 are significant with p 0.01; significant secondary saturations are underlined.

tion primarily, including all of the New England and Middle Atlantic states confounded with three smaller blocs of states from the Northwest, Upper Middle West, and Border States. Factor II is once again the Southern type including Oklahoma with all of the Old Confederacy states except Tennessee. Factor IV aggregates a continguous belt of thirteen Mid-American state from West Virginia to Wyoming with a_i bloc of four Desert Southwest states. The altogether non-contiguous Factor III nonetheless is constituted of three western states; yet, examination of secondary saturations suggests that the factor is not really "western."

As the major forces shaping the broader contours of public policy in the states during this latter period have tended to obscure traditional sectional differences, the breakdown of geographical contiguity along the lines evident in the earlier periods is not surprising. Those forces include important nationalizing thrusts such as the Great Depression of the 1930's accompanied by the great expansion of national grant-in-aid programs and the parallel enhancement of interstate communications through the creation of many associations of state officials. At the same time, this later period has witnessed the emergence and spread of a metropolitantechnological frontier that deviates from earlier settlement patterns with respect to the maintenance of sectionalism (Elazar, 1972).

What may be really surprising is the degree of geographical contiguity that persists. Still, nationalizing influences have had a major impact. One bit of evidence showing that impact is the dramatic decrease in the number of "pure" states, i.e., states with no secondary saturations. There are 21 "pure" states for each of the earlier periods but only four for the last period, all on Factor I. This nationalization is further indicated in the greatly increased number of "consensus" policies, i.e., items having a difference of less than one standard devia-

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TYPAL	ARRAYS	FOR	EARLY	TWENT	TETH	CENTURY	POLICY
	TRADIT	IONS	: Q-F/	ACTOR	SCORE	MATRIX	

	Types				
Policy	Ī	II	III	IV	
Blue-sky law	1.2	2.1	2.0	1.7	
Air pollution law	-0.9	-0.4	-0.4	-0.4 0.2	
Gas and oil conservation law	0.3	-0.2	-0.6	1.1	
Amendment XVI: Income tax	1.7	1.7	0.1		
Amendment XVII: Direct election of senators	1.5	-0.2	1.5	1.7	
Amendment XVIII: Prohibition	1.3	1.8	1.0	0.9	
Amendment XIX: Female suffrage	1.3	-0.7	1.8		
Unratified amendment on child labor	0.1	-1.2	-0.6	0.7 0.4	
Juvenile probation	0.4	0.6	1.2	0.4	
Adult probation	0.6	0.1	1.9	1.8	
Juvenile court	1.9	2.3	2.9	0.3	
Junior college enabling act	0.0	0.3	-0.6	-1.0	
Coordination commission for higher education	-1.1	-0.8	-0.9	-0.7	
Government research bureau at state university	-0.7	-0.0	-0.9	-0.7	
Constitutional initiative	0.9	-1.2	-1.2	-1.4	
Statutory initiative	1.8	-1.2	-1.2	-1.4	
Recall	0.3	-1.0	-1.2	-0.8	
Statewide direct primary	0.9	1.3	0.5	-1.4	
Grandfather clause	-1.5	1.5	-1.2	-1.4	
Optional referendum	1.9	-1.2	-1.0	-1.4	
Enabling act: local initiative	1.9	1.2	-1.0	1.8	
Enabling act: local recall	1.5	1.0	-1.2		
Enabling act: local referendum	1.8	1.5	0.2	2.1	
Central administrative agency	-0.8	-0.9	-0.0	-0.3	
Female eligibility for jury service	0.4	-0.5	0.8	0.7	
Legislative research agency	0.1	0.3	2.2	0.4	
Original budgeting law	-1.1	-0.8	-0.8	-0.9	
Statute revision agency	-0.3	0.3	0.2	0.2	
Retirement system for state employees	-0.7	-0.3	0.3	-0.5	
Judicial council	0.1	-0.1	0.7	0.5	
First recognition of ABA judicial Canons	-0.6	-0.4	-0.3	-0.3	
Minimum wage provision	0.7	-0.9	0.5	0.4	
Equal pay for females	-0.8	-1.2	-0.1	-0.6	
Anti-injunction law	-0.1	-1.1	0.3	0.5	
Criminal syndicalism law	0.7	-1.2	-1.0	0.5	
County home rule	-1.0	-1.0	-0.9	-1.3	
State municipal league	0.7	0.9	0.0	1.3	
Enabling act: municipal zoning	-0.9	-0.6	-0.6	-0.6	

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Enabling act: rural zoning	-0.5	0.1	1.3	0.0
Optional forms of county government	-1.2	-0.3	-0.9	-1.4
Professional licensing: engineers	-0.6	0.1	-0.4	-0.2
Professional licensing: accountants	0.9	1.2	1.9	1.4
Professional licesning: nurses	-0.5	-0.1	0.3	-0.1
Jim Crow law: streetcars	-1.3	2.3	-1.0	-1.4
Personal income tax	0.2	1.1	-0.3	0.1
Corporate income tax	0.4	1.3	1.0	-0.1
Gasoline tax	-1.2	-0.8		-1.2
	-1.0	-0.8		-0.8
Motor vehicle tax	-0.8	1.1	-0.7	-0.4
Chain-store tax	-0.1	0.7	0.6	0.2
Cigarette tax	-0.6	0.4		-0.3
Mortgage registry tax: state		-0.5		
Forest yield tax	-0.9			-0.9
Forest serverance tax	-1.3	-0.8		-1.3
Automobile registration	-1.2	-0.8		
Highway patrol	-1.1	-0.9		-0.9
Workmen's compensation	0.2	-0.0	1.0	0.6
Old age pension	-1.1	-1.1		-1.0
Mother's aid	-0.3	-0.6	-0.0	-0.1
Blind pension	-0.5	-0.7	-0.2	0.0
Acceptance of Sheppard-Towner Act provisions	-1.2	-0.8	-1.0	-1.2

tion across the factors. Just over 47% of the policies were "consensual" in the nineteenth century, 50% in the early twentieth, and nearly 83% in the later twentieth. Still, distinctive policy traditions remain.

Type I states are most distinctive for their quick ratification of the Twenty-First Amendment, repealing Prohibition, and their rejection of the sales tax, segregated buses, and the Oil and Gas Compact (see Table 6). More generally, these states have been more responsive than others to measures that enhance governmental operations including interstate agreements in the criminal justice field, collective bargaining for public employees, and the establishment of a planning and development agency. Beyond this, these states are leaders in supporting equity of opportunity beyond governmental circles to the private economic sector. In general, then, while new concerns are evident, the Northeastern Bureaucratic policy tradition has continued in to the later twentieth century.

The Southern states are most distinctive for their rapid adoption of Jim Crow laws on buses and, somewhat less so, a right-to-work law. On the negative side these states dragged their feet with regard to the ratification of Repeal, the establishment of a State Human Relations Commission, and outlawing age discrimination in employment. In general, the Southern states remain unsupportive of popular participation, e.g., the lack of response to the 23rd and 24th Amendments; anti-organized labor if not anti-corporate; and relatively unconcerned about modernizing government.

Type III states are different not only in their geographical dispersion but in the peculiar array of preferences indicated by policy adoption scores. There is some evidence to suggest support for the redistribution of wealth, most notably the

CONFORMANCE TO POLICY TRADITIONS IN THE LATER TWENTIETH CENTURY: A Q-FACTOR MATRIX*

State		Factor				
	Ī	II	III	IV	h²	
Vermont	83	23	01	24	0.80	
Delaware	81	24	05	17	0.75	
New Hampshire	80	20	04	<u>34</u>	0.80	
Minnesota	75	24	45	17	0.85	
Massachusetts	74	-01	26	22	0.66	
Idaho	74	25	14	<u>47</u>	0.86	
New Jersey	72	06	11	01	0.54	
New York	71	12	04	$\frac{33}{15}$	0.62	
Dregon	70	20	<u>45</u>		0.76	
ontana	69	26	04	$\frac{50}{51}$ $\frac{42}{15}$	0.80	
Maine	68	22	16	<u>51</u>	0.79	
Connecticut	66	11	<u>37</u>	42	0.76	
Visconsin	65	16	56	15	0.78	
Kentucky	60	25	17	51 49 33 25	0.72	
Pennsylvania	60	18	08	49	0.64	
Maryland	60	26	14	33	0.56	
Rhode Island	58	13	58		0.76	
Tennessee	48	<u>45</u>	36	<u>44</u>	0.77	
Georgia	0.6	88	01	38 28 46 05	0.88	
South Carolina	19	80	16	28	0.78	
Mississippi	· 01	79	13	<u>46</u>	0.84	
North Carolina	05	74	<u>43</u>	05	0.73	
Florida	<u>36</u>	73	00	42	0.83	
Arkansas	<u>36</u> <u>37</u> 04	71	02	<u>45</u>	0.84	
Oklahoma	04	70	$-\frac{43}{-06}$	<u>34</u>	0.79	
Alabama	$ \frac{30}{31} \frac{31}{45} 08 $	68		42 45 34 44 34 -08	0.74	
Texas	31	67	-22	<u>34</u>	0.71	
Virginia	45	64	<u>28</u> 53		0.70	
Louisiana	08	61	<u>53</u>	25	0.72	
Washington	47	19	58	<u>39</u> <u>43</u> 40	0.75	
California	51	22	57	<u>43</u>	0.82	
Colorado	$\frac{47}{51}$ $\frac{42}{42}$	19	52	40	0.63	
Kansas	13	<u>38</u> 43	23	79	0.84	
North Dakota	01	43	23	76	0.83	

Illinois New Mexico South Dakota Indiana Michigan Ohio Wyoming Utah Arizona Nevada Iowa Missouri Nebraska West Virginia	45 46 11 51 55 55 49 50 52 42 49 50 58 88 88 48 48	28 26 44 25 29 <u>33</u> 40 <u>34</u> 24 <u>30</u> 25 <u>39</u> <u>30</u>	20 20 27 18 27 28 18 18 20 07 25 29 11 20 20	75 73 71 69 67 66 65 64 64 64 64 61 58 58 58	0.89 0.89 0.78 0.86 0.92 0.82 0.89 0.75 0.71 0.76 0.84 0.58 0.67
Cumulative percentages of total variance are:	27.2	45.0	53.7	77.1	

*Decimals are omitted from factor loadings. FL 0.28 are significant with p 0.01; significant secondary saturations are underlined.

early adoption of the gift tax but these same states tended to be slow in accepting the various welfare programs funded by federal grants. These states also exhibit a strong interest in reforming the judiciary.

Type IV, combining both Mid-American and Western states, is even less clear as a <u>tradition</u> of public policy. The type positively emphasizes the adoption of a sales tax, the establishment of a Legislative Council, and membership in the Oil and Gas Compact. There are no policies that states of this type have clearly resisted.

The analysis of typal arrays further supports the contention of a breakdown in longstanding traditions of policy preferences among the American states. It remains to show in a straightforward fashion the extent to which policy traditions have persisted or merged over time.

EVOLUTIONARY PATTERNS IN POLICY TRADITIONS

Where a given policy tradition for an earlier temporal period is correlated positively with policy traditions of later periods, it seems reasonable to assert that the tradition is a persistent one in American state policy making. On the other hand, since the content of policy arrays changes necessarily, this assertion means simply that some states continue to share a similar pattern of adoption proneness over time. Such persistence can be determined by correlating the loadings of the states for a given factor against those for every other factor. Table 7 presents these correlations.¹³ Clearly, the Southern Parochial tradition is persistent in this regard with the weakest correlation at 0.68 for the nineteenth and later twentieth centuries. Likewise, the Northeastern states, with New England

TYPAL ARRAYS FOR LATER TWENTIETH CENTURY POLICY TRADITIONS: Q-FACTOR SCORE MATRIX

		Ту	pes	
Policy	I	11	III	IV
Soil conservation districts	-0.2	0.3	-0.1	0.1
Fair trade law	3.8	3.0	3.0	3.6
Cooling off for door-to door sales	-0.7	-0.6	-0.7	-0.6
Oil and gas compact	0.2	1.9	0.8	2.1
Pest control compact	-0.7	-0.7	-0.5	-0.6
State grant for municipal waste treatment				
facilities	0.1	-0.4	-0.4	-0.5
Radiation control act	0.2	0.3	0.3	0.2
Amendment XXI: Repeal of Prohibition	4.5	1.1	3.2	2.6
Amendment XXII: Two-term limit for President	1.9	1.7	· 0.8	1.8
Amendment XXIII: Electoral vote for D.C.	0.5	-0.4	0.2	0.5
Amendment XXIV: Elimination of poll tax	0.2	-0.6	0.0	0.2
Amendment XXV: Presidential succession	-0.2	-0.3	-0.3	-0.2
Victim compensation	-0.8	-0.7	-0.7	-0.7
Interstate parolee compact	1.0	0.0	0.6	0.7
Interstate juveniles compact	0.7	0.2	0.8	0.4
Agreement on detainers	-0.1	-0.5	-0.3	-0.5
Police standards law	-0.3	-0.5	-0.2	-0.4
State medical examiner	-0.1	-0.2	-0.8	-0.5
State commission of post-mortem examiners	-0.5	-0.0	-0.8	-0.6
State planning board	0.1	0.2	0.1	0.2
Planning and development agency	0.8	0.7	0.3	0.7
Science and technology advisory council	-0.6	-0.3	-0.8	-0.6
Compact for education	-0.4	-0.3	-0.4	-0.4
Personnel qualification agreement for education	-0.6	-0.6	-0.7	-0.7
State board for junior colleges	-0.4	-0.6	-0.5	-0.4
Interstate library compact	-0.3	-0.5	-0.5	-0.6
Court administrator	0.4	-0.1	0.7	0.1
Judicial code of ethics	0.5	0.5	1.3	0.5
Judicial qualifications commission	-0.8	-0.6	-0.3	-0.6
Legislative Council	1.8	1.6	1.8	2.6
Collective bargaining: state employees	-0.6	-0.7	-0.7	-0.7
Collective bargaining: municipal employees	-0.5	-0.7	-0.6	-0.6
Collective bargaining: policemen	-0.6	-0.7	-0.6	-0.6
Collective bargaining: firemen	-0.5	-0.7	-0.7	-0.6
Collective bargaining: teachers	-0.5	-0.7	-0.4	-0.6
Interstate compact on mental health	0.7	-0.0	-0.4	0.1
Mentally disordered offender compact	-0.8	-0.7	-0.8	-0.6

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Abortion law liberalized	-0.8	-0.5	-0.5	-0.7
Screening for PKU in infants required	-0.2	-0.2	-0.3	-0.3
Uniform Anatomical Gift Act	-0.7		-0.6	-0.5
State Housing Finance Authority	-0.6	-0.7	-0.8	-0.6
Anti-age discrimination in employment	0.3	-0.4	2.0	-0.4
Right-to-work law	-0.8	1.8	-0.8	0.8
Department of Community Affairs	-0.2	-0.1	-0.0	-0.6
	-0.9	-0.6	-0.0	-0.5
State commission on local government	-0.9	-0.8	-0.8	1.8
Civil defense compact	-0.2	-0.5	-0.8	-0.7
Military aid compact				-0.7
National Guard compact	-0.9	-0.7	-0.8	-0.7
Human Relations Commission	1.2	-0.6	1.1	
Fair housing law: private housing	-0.0	-0.7	• • • -	
Jim Crow law: motor carriers	-0.9	4.2	-0.8	
Liquor tax	-0.4	-0.3		
Multistate tax compact	-0.8	-0.6	-0.7	-0.5
Sales tax	0.2	2.7	3.1	3.6
Mortgage registry: local	-0.5	-0.3	0.7	-0.7
State lottery	-0.7	-0.7	-0.8	-0.7
Gift tax	0.2	0.5	2.5	-0.7
State income tax withholding	0.7	0.4	-0.3	-0,1
State Department of Transportation	-0.7	-0.7	-0.5	-0.7
Vehicle registration agreement	-0.5	-0.7	0.9	0.3
Bus taxation proration	-0.4	-0.7	-0.8	-0.7
Taxation of motor fuels compact	-0.6	-0.7	-0.8	-0.7
Equipment safety compact	0.1	-0.1	0.0	0.2
Driver license compact	-0.5	-0.4	-0.0	-0.2
Medical advisory board for driver licensing	-0.9	-0.7	-0.8	-0.7
Passenger restraint device required in automobiles	-0.2	-0.2	-0.2	-0.2
Old age assistance	-0.6	-0.5	-0.6	-0.5
Aid to dependent children	1.7	1.5	1.2	1.4
Aid to the blind	1.2	1.3	1.0	1.1
APTD	1.8	1.8	1.1	1.5
AABD	-0.5	-0.3	-0.8	-0.3
Unemployment insurance	-0.7	-0.6	-0.7	-0.7
Child placement compact	-0.5	-0.7	-0.8	-0.6
Extension of unemployment payments to 39 weeks	-0.9	-0.7	-0.8	-0.7
Highway relocation assistance	-0.8	-0.6	-0.8	-0.7
		5.0	5.0	

constituting the core area, show this persistence, albeit much weaker. The weakest correlation ($\underline{r}=0.35$) is again between the nineteenth and later twentieth. The Western Populist tradition is clearly sustained in the early twentieth century but appears to move toward a more midwestern locus in the later twentieth. The Mid-American policy tradition weakens in the early twentieth century and then seems to disappear altogether in the last period. Unfortunately, the correlation matrix gives no further information.

An alternative approach to analysis of the data is available that provides fur-

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Table	

CORRELATION OF POLICY TRADITIONS

		Nineteen Century	Nineteenth Century		ш	Early Twentieth Century	entieth ury		Ę	Later Twentieth Century	entieth ury	
	-	II	111	IV	н	Ħ	II	ΝI	н	II	III	IV
Nineteenth Century												
ч Г	1.00	-0.43	-0.41	-0.54	0.76	-0.47	-0.45	0.21	-0.07	-0.22	0.25	0.45
III			1.00	-0.29	0.25	-0.12	0.50	-0.24	0.35	-0.25	-0.07	-0.28
Early Twentieth Century												
I III III VI					1.00	1.00 -0.60 1.00	-0.49 -0.22 1.00	0.11 -0.25 -0.08	0.02 -0.50 0.54	-0.32 0.77 -0.45	0.18 -0.23 -0.10	0.44 -0.34 -0.20
Later Twentieth Century												
II III IV		、							1.00	-0.78 1.00	-0.05 -0.24 1.00	-0.24 -0.04 1.00

ther insights: higher-order Q-factor analysis. Just as with the factor analyses of the raw data matrices, the states are clustered in terms of their similarity in loadings across the twelve policy traditions. Table 8 presents that matrix, showing that the geographical basis of the policy traditions found originally in the nineteenth century generally persists across the entire time frame. 14 The "Mid-American" tradition very definitely weakens, however, as only Illinois and Pennsylvania have statistically significant saturations on Factor IV. The typal arrays of the twelve policy traditions across the "higher" policy traditions, presented in Table 9, more clearly and graphically show what is evident in the three period factor analyses and the correlations of the factor loadings. The Southern Parochial tradition declines over time but remains strong. The Northeastern tradition persists and grows geographically by expanding into the Mid-American and Western states. The Western Populist tradition splits asunder in the later twentieth century with some of these states moving toward the Northeastern tradition and others toward a new Mid-Western tradition that also encompasses some of the older Mid-American tradition. The original Mid-American Industrial tradition had already split in the early twentieth century with a number of its former adherents beginning to model themselves after the Northeastern states. The fourth type emerging in the later twentieth century seems, then, to be something altogether new.

POLICY TRADITIONS AND THE FUTURE

The adoption of new policies in the American states has largely reflected a pattern of multilinear evolution revolving about well-recognized geographical sections, then, rather than a pattern of sociocultural integration.¹⁵ The American South has been especially persistent in this regard but New England, as a core area of the greater Northeast, has also been very persistent. Still, the analysis of the larger values indicated by specific policies raises considerable doubt about the continued viability of this multilinear tendency.

These doubts are amplified by Deutsch's concerns regarding value production and value growth. Value production and the resulting allocations of those values have tended along very narrow lines where regional distinctions are notable. For varying reasons many of these regional value patterns have reached very nearly their ultimate and do not point, in themselves, to new values. Thus, it seems that the states are ripe for a stronger thrust of sociocultural integration than ever before.

This "erosion" of the multilinear dynamic comes at a time when the mechanisms of diffusion and population settlement patterns are also more conducive to integration than ever before. The diffusion mechanisms in American state policy making are primarily national starting with the mass media generally and organizational channels such as the various associations of state officials and related agencies. And, of course, the influence of the national government is highly pervasive. Population settlement patterns have changed to reflect Elazar's "metropolitan-technological frontier" which, while not necessarily counterproductive of a multilinear dynamic, is very amenable to increased sociocultural integration.

Moreover, policy responses of the states in the 1970's suggest that an integrative trend is very much dominant at the present time. While it is still too early for any rigorous analysis, the tendency toward universal adoption of such policies

CONFORMANCE	TO POLICY TRADITIONS ACROSS THE THREE PERIODS: A HIGHER-ORDER Q-FACTOR MATRIX*

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	Iowa	57	31	-34	46	0.75

North Dakota	54	-24	-50	11	0.61
Oklahoma	54	27	-53	37	0.78
Virginia	-25	69	04	-14	0.52
Maryland	29	65	37	07	0.65
West Virginia	36	65	-07	20	0.60
North Carolina	-31	62	-34	-38	0.74
Delaware	17	59	51	17	0.66
Wisconsin	14	-53	08	48	0.54
Kansas	49	08	-56	45	0.76
Louisiana	-17	55	-63	-11	0.74
Minnesota	17	-37	-02	66	0.60
New York	04	-29	53	56	0.68
	00	-48	31	55	0.63
New Jersey Indiana	43	47	03	54	0.70
	42	-41	-30	51	0.71
Wyoming			50	21	0.71
Cumulative percentages of total					
variance are:	29.8	52.1	66.1	75.7	

*Decimals are omitted from factor loadings; FL 0.71 are significant with p 0.01.

		Tal	ole 9	
TYPAL	ARRAY	OF	POLICY	TRADITIONS

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		Туре					
Policy	7 Tradition	Ī	II	III	ĪV		
Ninete	eenth Century		<u> </u>	<u> </u>			
<u> </u>	Western Populist	1.7	-0.5	-0.9	-0.3		
II.	Southern Parochial	-0.6	1.9	-0.6	-0.9		
III.	Northeastern Bureaucratic	-1.3	-0.9	1.8	-1.9		
IV.	Mid-American Industrial	-0.4	-1.1	-0.9	1.4		
Early	Twentieth Century						
Ι.	Western Populist	1.7	-0.5	-0.2	-0.2		
11.	Southern Parochial	-1.0	1.5	-0.7	-0.8		
III.	Northeastern Bureaucratic	-0.9	-0.4	1.6	0.8		
IV.	Mid-American	-0.6	-0.8	-0.8	0.9		
Later	Twentieth Century						
I.	Northeastern	0.7	0.1	1.7	1.5		
II.	Southern Parochial	-0.2	1.4	-0.6	-0.6		
III.	Western (truncated)	-0.4	-0.8	-0.3	-0.7		
IV.	Mid-Western	1.1	0.3	-0.0	0.7		

as sunset laws, energy agencies, generic drug substitution laws, and the legalization of laetrile suggest integrative tendencies. Sectional deviations still exist, for example, in such matters as equal rights for women and collective bargaining for employees, but these are continuing issues from an earlier time. Thus, sectionalism will likely continue to color patterns of state policy making for the remainder of this century. For better or worse, then, a more universal pattern seems to be more likely in the foreseeable future barring any significant changes in present American socioeconomic trends.

ENDNOTES

*This essay is a revised version of a paper originally presented at the Annual Meeting of the Southwestern Political Science Association, Fort Worth, Texas, March, 1979.

¹Cf. Vickers (1967). The more general view of politics leading to this emphasis on choice as a key element in the understanding of political behavior flows especially from Deutsch (1966) and Nimmo and Ungs (1967); and more generally from the works of Friedrich Nietzsche and Albert Camus.

²Cf. also the Preface to Deutsch (1966: pp.vii-viii).

³Notable works on regionalism in American politics include Elazar (1970, 1972); Elazar and Zikmund (1975); Jensen (1951); Luttbeg (1970); Patterson (1968); Savage (1973, 1975); Sharkansky (1970).

⁴V.O. Key and his students in their various works on specific American regions follow this mode, as does Sharkansky (1970).

 5 Cf. the usage of the eminent anthropologist, Julian Steward (1955). Luttbeg (1970) and Savage (1973, 1975) follow his construction.

⁶For a succinct examination of the alternative modes of regional analysis, see the introduction to Berry and Hankins (1963).

⁷Cf., e.g., Hofferbert (1966). However, he seems to retreat from this position later (1968).

⁸Unfortunately, the heuristic essay by Crittenden (1967) is generally overlooked.

⁹Alaska and Hawaii are dropped from consideration as the requisite information is not available for most of the policies.

¹⁰The analyses reported here are not simply expansions of the Q-factor derived structures of regional policy diffusion reported in Walker's (1969) seminal study of innovation diffusion among the American states. His method of computing the adoption scores has the effect of producing a doubly-standardized matrix for factoring. This reduces the variance primarily to within-state variance, and the resulting factors more closely correspond to what I have labeled as "policy proflies" elsewhere (1971). The factors reported here retain the full temporal variation and are rightly called "policy traditions."

¹¹The eigenvalue-one criterion produced 8-factor solutions for both of the two earlier periods and a 10-factor solution for the last period. The eigenvalues for the 19th century are 20.2, 5.6, 3.7, 2.0, 1.6, 1.3, 1.2, and 1.2 respectively. For the early twentieth century they are 19.1, 6.0, 3.4, 2.5, 1.9, 1.6, 1.4, and 1.1. In the last period, the ten eigenvalues are 5.5, 3.5, 2.6, 2.5, 2.3, 2.0, 1.9, 1.9, 1.7, and 1.6. Strictly interpreted, the scree test strongly points to a three-factor solution as more correct statistically but for comparative and substantive purposes I have used a four-factor solution. Rummel (1970) is a readable source for reviewing this and other technical aspects of factor analysis for the reader unfamiliar with these decision criteria.

¹²This appellation seems further supported as the concern for limiting what appeared to many as the unfair access of corporations to government conforms to the marketplace conception of government as Elazar (1972) posits for the Individualistic political subculture. And it is especially in the more easterly states of Factor IV that he locates the origins of this subculture.

¹³The measure of correlation is the Pearsonian r.

¹⁴ Initially, an eigenvalue-one criterion was used to determine the number of factors, resulting in a six-factor solution. However, only the first four factors had states with significant saturations. A five-factor solution had the same result; thus, the four-factor solution is presented here. The four factors very acceptably explain almost 75% of the variance, and only four states have communalities (h^2) under 0.60.

¹⁵See Steward (1955) for a comprehensive analysis of these alternative cultural dynamics. Also, see Savage (1973) for their application in a broader socioeconomic and political context to the American states than that presented here.

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