

## Tandem Phosphorothioate Modifications for DNA Adsorption Strength and Polarity Control on Gold Nanoparticles

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**Abstract:** Unmodified DNA was recently used to functionalize gold nanoparticles via DNA base adsorption. Compared to thiolated DNA, however, the application of unmodified DNA is limited by the lack of sequence generality, adsorption polarity control and poor adsorption stability. We report that these problems can be solved using phosphorothioate (PS) DNA. PS DNA binds to gold mainly via the sulfur atom and is thus less sequence dependent. The adsorption affinity is ranked to be thiol > PS > adenine > thymine. Tandem PS improves adsorption strength, allows tunable DNA density, and the resulting conjugates are functional at a low cost.

**Keywords:** DNA, gold nanoparticles, phosphorothioate, adsorption, self-assembly, nanotechnology

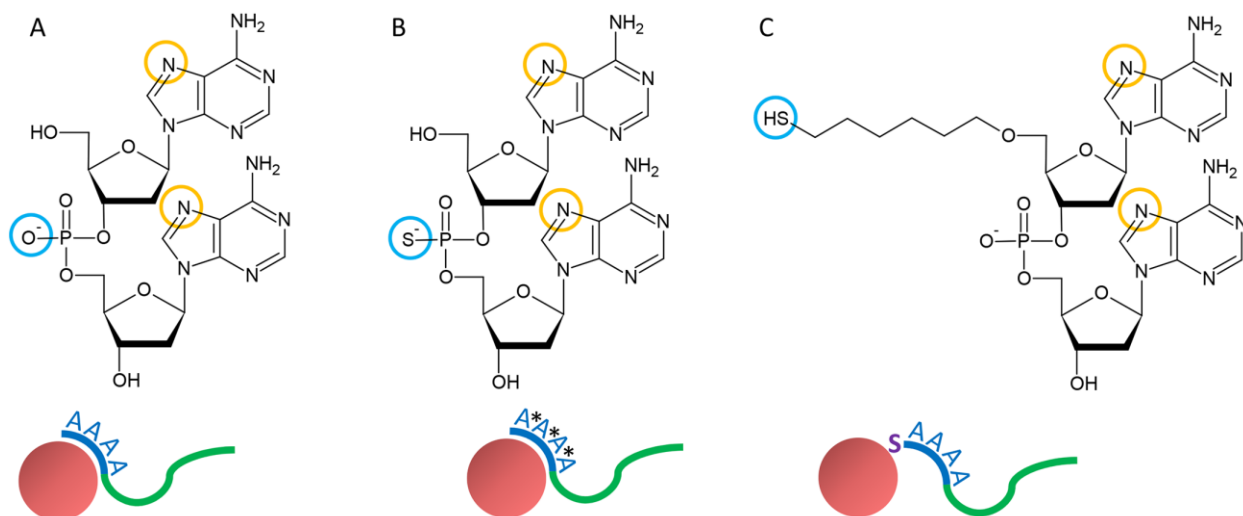
As a structure-directing and functional polymer, DNA has found numerous applications in analytical, materials and biomedical sciences in the past few decades.<sup>1-3</sup> For example, many novel nanostructures were created based on DNA programmable assembly.<sup>4-6</sup> Interfacing DNA with inorganic nanomaterials is a key step of bottom-up fabrication to produce functional hybrids and devices.<sup>7-9</sup> In particular, DNA-directed assembly of gold nanoparticles (AuNPs) has tremendously fueled the growth of nanobiotechnology.<sup>10-16</sup> The dense layer of DNA creates a unique colloidal system with many fundamentally interesting physical properties.<sup>10</sup>

End-labeled thiol is the main anchor for linking DNA to AuNPs due to strong Au-thiol interactions. Various methods were developed to optimize this conjugate chemistry.<sup>17</sup> Recently, non-thiolated DNA has also been explored to functionalize AuNPs with the advantages of having a better control over DNA density, conformation and hybridization kinetics.<sup>18-21</sup> These features are crucial for practical applications but they are comparatively more difficult to achieve with thiolated DNA.

Attaching non-thiolated DNA relies on DNA base adsorption. The four nucleobases have different adsorption affinity towards gold. Using a displacement assay, Tarlov, Whitman and co-workers observed the following adsorption strength ranking for DNA homopolymers,  $A > C > G > T$ .<sup>22</sup> This is also consistent with other single base adsorption studies.<sup>22-24</sup> Therefore, with a di-block DNA containing poly-A and poly-T blocks, the poly-A block is likely to attach to gold surface while the poly-T block should be available for hybridization. By adjusting the length of the poly-A block, the DNA density is readily tunable. However, this system has two inter-related problems that hinder its broader applications. The first limitation is the lack of sequence generality.<sup>21</sup> For example, if a DNA is rich in adenine or cytosine in the whole sequence, it is unlikely that it can be attached only via the intended poly-A anchor.<sup>21</sup> If the other end is also

adsorbed on gold, subsequent hybridization may be hindered. The second problem is stability. The adsorption affinity of adenine is weaker than that for thiol, and DNA might dissociate more easily under harsh conditions or after long-term storage.

Ideally, a more pronounced chemical distinction is needed to differentiate the block intended for attachment to gold from the block for hybridization. Non-thiolated DNA relies on the coordination of the adenine base (e.g. the N7 position in yellow circles, Figure 1A). We herein propose a simple modification of replacing one of the non-bridging phosphate oxygen atoms by sulfur (i.e. phosphorothioate or PS modification, Figure 1B). Since both the sulfur and adenine could contribute to binding, the overall affinity might be stronger. If such PS modifications are made in tandem, much higher stability is expected due to polyvalent interactions. Since PS modifications are made within the DNA backbone, the advantages of non-thiolated DNA should still be maintained. PS DNA has been used for various applications. The best known example is probably for anti-sense DNA to be more resistant to nucleases.<sup>25</sup> It is also used for assembling metallic nanoparticles,<sup>26-28</sup> proteins,<sup>29</sup> or semiconductor quantum dots,<sup>30, 31</sup> and for biosensor development.<sup>32, 33</sup> PS modification is valuable for studying enzyme mechanism as well.<sup>34-36</sup> It needs to be noted that the cost of a PS modification is only ~3% of that of a thiol, which makes it more affordable to researchers.



**Figure 1.** Schematics of three methods of attaching DNA to AuNPs. (A) Unmodified DNA with a phosphate backbone via base adsorption. (B) PS DNA. (C) Thiolated (SH) DNA. The N7 position of the adenines are circled in yellow, which is a high affinity site for the adenine coordination to gold. The phosphate oxygen, and the PS and thiol modifications are circled in blue. For clarity of the figure, only one DNA strand is shown on each AuNP. The green block is intended for hybridization.

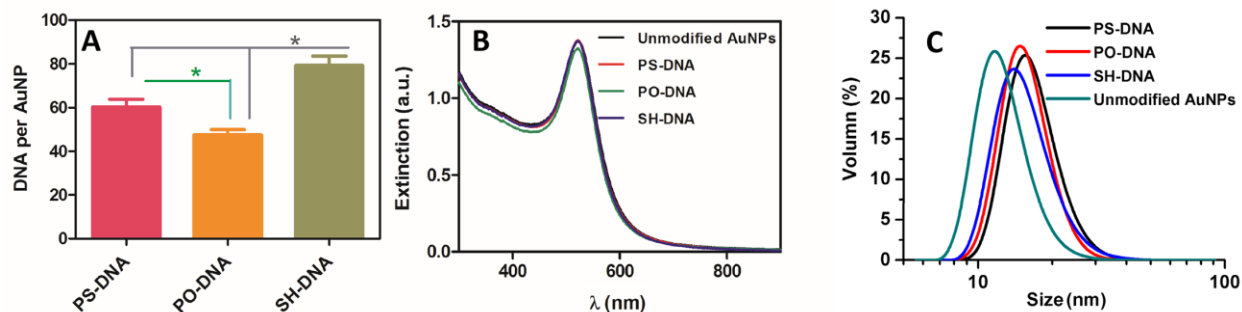
**Table 1.** DNA sequences used in this work. The PS modification is denoted by \*.

Name	Sequences and modifications (from 5' to 3')
DNA1	A*ACCCAGGTTCTCT
DNA2	A*A*A*A*ACCCAGGTTCTCT
DNA3	A*A*A*A*A*A*A*A*ACCCAGGTTCTCT
DNA4	A*A*A*A*A*A*A*A*A*A*A*A*ACCCAGGTTCTCT
DNA5	A*A*A*A*A*A*A*A*A*A*A*A*A*A*A*A*ACCCAGGTTCTCT

DNA6	AAAAAAAAAACCCAGGTTCTCT-FAM
DNA7	A*A*A*A*A*A*A*A*A*ACCCAGGTTCTCT-FAM
DNA8	SH-AAAAAAAAAACCCAGGTTCTCT-FAM
DNA9	SH-AAAAAAAAAACCCAGGTTCTCT
DNA10	AAAAAAAAAACCCAGGTTCTCT
DNA11	A*AAAAAAAAACCCAGGTTCTCT
DNA12	A*A*AAAAAAAAACCCAGGTTCTCT
DNA13	A*A*A*A*AAAAACCCAGGTTCTCT
DNA14	A*A*A*A*A*A*AAACCCAGGTTCTCT
DNA15	T*T*T*T*T*T*T*T*T*TCACAGGTTCTCT
DNA16	TCACAGATGCGTAAAAAAAAA
DNA17	TCACAGATGCGTA*A*A*A*A*A*A*A*A
DNA18	ACGCATCTGTGAAGAGAACCTGGG

In Figure 1, the three strategies for attaching DNA to AuNPs are presented. For systematic comparison, we designed a 21-mer DNA with a block of 9-adenine on the 5'-end to attach to AuNPs. The rest 12 nucleotides are a random sequence for hybridization (DNA6, see Table 1 for DNA sequence). DNA6 is a normal DNA without any modifications (also called PO DNA). The PS DNA (DNA7) was modified at each phosphate in the poly-A block and it contained 8 PS. A thiolated (SH) DNA was also used for comparison (DNA8). All the three DNAs contained a FAM (6-carboxyfluorescein) label at the 3'-end. A low pH DNA loading method was used to attach DNA to 13 nm AuNPs followed by adjusting pH to neutral and

further incubation.<sup>37, 38</sup> We measured the density of DNA on AuNPs in all the three samples (Figure 2A). The thiolated DNA has the highest density of ~80 DNA per AuNP, followed by the PS DNA (~60) and the PO DNA (~50). AuNPs functionalized with the PS and the PO DNA migrated as a sharp band in gel electrophoresis, confirming stable DNA attachment (Figure S1). The UV-vis spectra of the samples were measured and they all showed a sharp surface plasmon peak at 520 nm, indicating that the conjugates retained colloidal stability in the preparation process (Figure 2B). The dynamic light scattering (DLS) spectrum of the citrate-capped AuNPs gives a size of ~13 nm (Figure 2C), which increased to ~16 nm after adding the DNAs. This size increase is attributed to the attached DNA layer and it further confirms the stability of these conjugates.



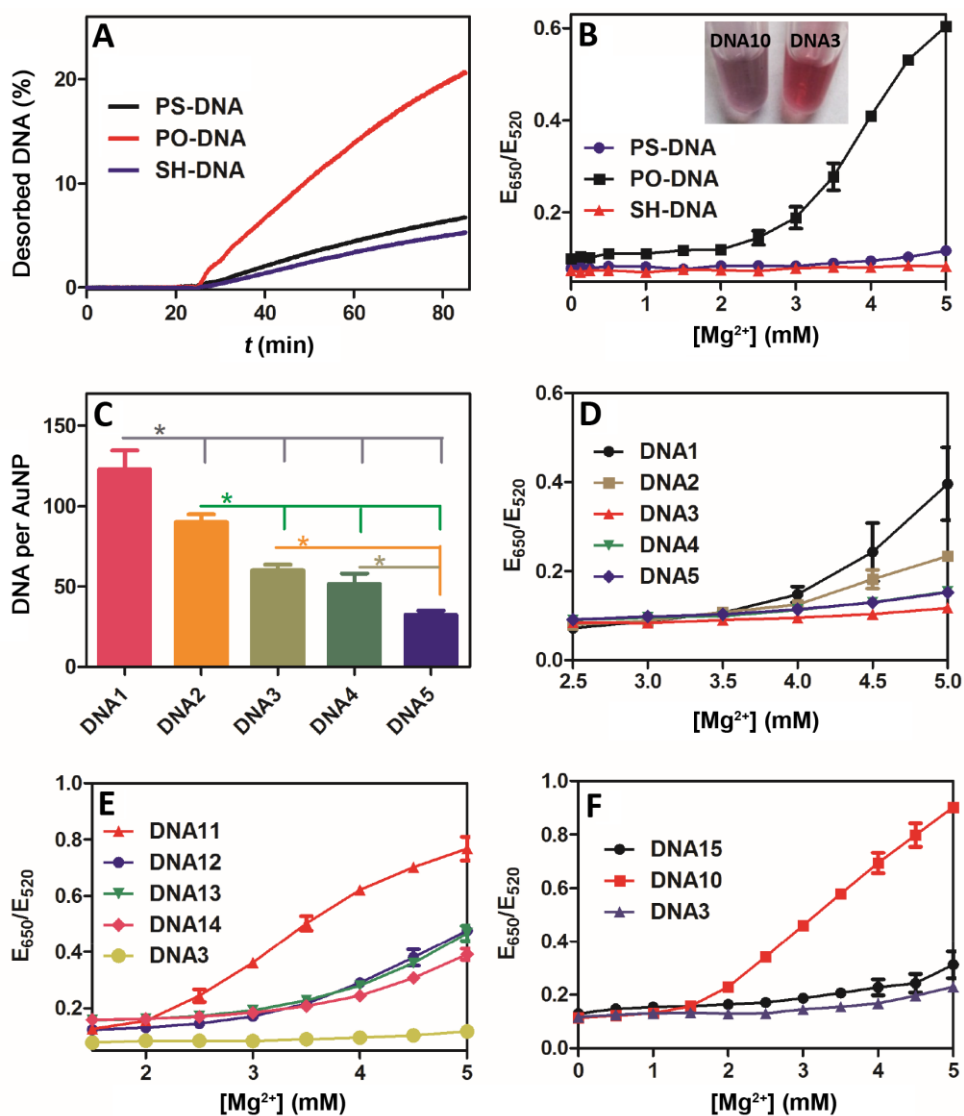
**Figure 2.** (A) DNA loading capacity of PS, PO and SH-DNA. Characterization of the DNA functionalized AuNPs using (B) UV-vis spectroscopy and (C) DLS.

Since the main assumption of this work is that PS-modified DNA attach to AuNPs more strongly than the PO counterpart, this was tested first. The conjugate stability was assayed in two aspects. First, to study DNA adsorption stability,<sup>39, 40</sup> we used glutathione (GSH) as a probe, which is a thiol containing tripeptide found in cells. Since AuNPs are fluorescence quenchers,

addition of GSH might displace the adsorbed FAM-labeled DNAs and thus increase fluorescence. No fluorescence increase was observed for all the three samples after 25 min incubation in buffer, suggesting that they are stable in normal conditions (Figure 3A). Upon addition of GSH at 25 min, the PO DNA released the fastest while the PS and SH DNA showed similarly slower release. We further used mercaptohexanol as a probe and the SH DNA adsorbed more strongly than the PS DNA (Figure S2). This confirms that PS DNA binds more strongly than PO DNA and but not as the SH-DNA.

Aside from DNA adsorption stability, another important aspect is the colloidal stability of AuNPs. For this, salt was used as a probe. We first incubated the AuNPs in 700 mM NaCl and the PO DNA (DNA10) capped AuNPs turned to purple color, indicating the loss of colloidal stability (inset of Figure 3B). On the other hand, the PS sample (DNA3) remained stable. This stability is sufficient for most analytical and biomedical applications. For quantitative comparison, we challenged the AuNPs with various concentrations of  $Mg^{2+}$  and monitored the color by UV-vis spectroscopy (Figure 3B). An increase in the ratio of extinction at 650 nm over 520 nm indicates color change to blue and aggregation of AuNPs.<sup>41</sup> Divalent  $Mg^{2+}$  ions are much more efficient in screening charge repulsion and can cause AuNP aggregation at lower concentrations. The PO DNA capped AuNPs aggregated with greater than 2 mM  $Mg^{2+}$ , while the PS DNA sample aggregated with 5 mM  $Mg^{2+}$ . The SH DNA sample has the highest stability, showing no sign of aggregation. Overall, the PS DNA capped AuNPs have significantly improved stability compared to the PO DNA. To test for long-term stability, we removed free DNA and the purified conjugates were stored in buffer for 3 days. Significant aggregation was observed with 5 mM  $Mg^{2+}$  was added, suggesting that the conjugates still need to be freshly prepared (Figure S3).<sup>39</sup>





**Figure 3.** (A) DNA desorption kinetics for PS, PO and SH DNA (DNA 6, 7, 8) from AuNPs in the presence of 2 mM GSH. (B) Extinction ratio  $E_{650}/E_{520}$  as a function of  $Mg^{2+}$  concentration for PS, PO and SH DNA (DNA3, 9, 10). Inset: photographs of AuNPs with PO and PS DNA in the presence of 700 mM NaCl. Effect of PS modified poly-A length on (C) DNA loading capacity and (D) stability of AuNPs. (E) Effect of the number of PS modifications on AuNP stability while fixing the poly-A block to be  $A_9$ . (F) Stability of AuNPs as a function of DNA sequence.

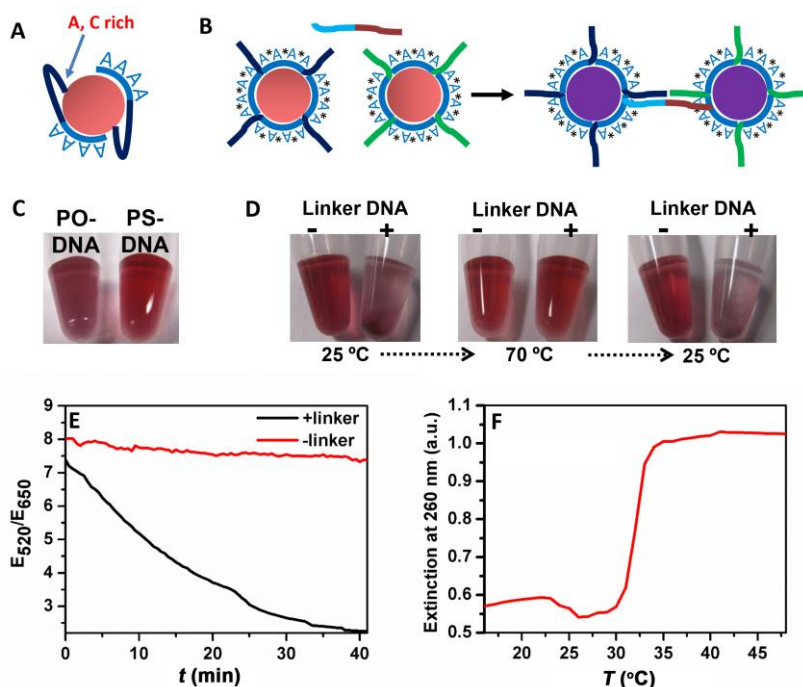
In the above studies, we used a 9-adenine ( $A_9$ ) block for attachment to the gold. Since this length is likely to be crucial, we next systematically varied it from 2 to 17 and the number of PS modifications was from 1 to 16 (DNA1-5). The number of DNA attached to each AuNP is quantified in Figure 3C, where a longer poly-A block produced a lower DNA density. This is consistent with more adenine binding.<sup>19</sup> Note that it is different from the attachment of DNA or polymer with a single terminal thiol.<sup>42</sup> We next compared their colloidal stability by adding  $Mg^{2+}$  (Figure 3D). DNA with  $A_2$  (e.g. 2 adenines) has the lowest stability, and significant improvement was observed with  $A_5$  and  $A_9$ . Further increase of the poly-A block length resulted in decreased stability. This was attributed to the decreased DNA density, reducing the steric stabilization effect. Therefore,  $A_9$  is an optimal length to achieve both good DNA density and stability.

Next, we fixed the number of adenine to be 9 and varied the number of PS modifications (DNA3, DNA11-14). As shown in Figure 3E, the highest stability was achieved with the full 8 PS modifications. Therefore, each PS modification contributes towards stability. Finally, to test whether the adenine base contributes to binding, we studied a  $T_9$  PS DNA for anchoring (DNA15), and it showed a similar stability profile as the  $A_9$  PS DNA (Figure 3F). Since thymine itself has very low affinity on gold, we conclude that PS is the main binder instead of the bases. This makes PS DNA adsorption less sequence dependent. This study shows that the affinity of PS to gold is weaker compared to SH but stronger than adenine binding. In addition, the polyvalent effect for tandem PS allows for much stronger stability.

After studying stability, we next tested function. As mentioned previously, one issue impeding the general application of non-thiolated DNA is the special sequence requirement. For example, for the normal PO DNA, the block intended for hybridization should be rich in T and G. Otherwise, both ends might attach to gold, preventing hybridization and reducing colloidal

stability (Figure 4A). Since the PS DNA binding is stronger than adenine, which is the strongest base for binding to gold, the issue of polarity control of DNA attachment can be solved. The particular sequence we used was DNA16, which has four A/C bases towards the other end. As shown in Figure 4C, it has poor colloidal stability and aggregated in the presence of 400 mM NaCl. On the other hand, the PS modified DNA (DNA17) remained stable. This experiment confirms that the PS DNA strategy has expanded the range of DNA sequences that can be used for attachment.

Next, we prepared two types of PS DNA functionalized AuNPs (with DNA3 and DNA17, respectively) for DNA-directed assembly (Figure 4B). The PS-modified AuNPs showed fast color change upon adding the linker DNA, as indicated by the decrease of the extinction ratio (Figure 4E). At the same time, the particles remained stable in the absence of the linker. The aggregates showed reversible behavior (Figure 4D), where the color of the sample returned to red upon heating to 75 °C and became purple again upon cooling. We measured the melting transition of PS DNA sample and we observed a characteristically sharp melting transition (Figure 4F).



**Figure 4.** (A) Scheme of PO DNA attachment to AuNPs via both ends, hindering DNA hybridization. (B) Scheme of DNA-directed assembly with PS modified DNA, where the PS containing block always attaches to the gold surface. (C) Colloidal stability of AuNPs functionalized with DNA16 (PO) and DNA17 (PS) in 400 mM NaCl. (D) Reversible aggregation and melting of DNA-linked AuNPs with PS DNA. (E) Kinetics of PS DNA-functionalized AuNP color change with or without linker DNA. (F) A melting curve of DNA-linked PS DNA functionalized AuNPs.

In summary, we demonstrated that tandem PS DNA forms highly stable conjugates with AuNPs. This allows selective attachment of DNA through rational sequence design and it can be applied to many more sequences than the normal PO DNA. PS modification allows much more stable DNA adsorption compared to the unmodified PO DNA and also confers better AuNP

colloidal stability. At the same time, PS DNA also maintains the advantages of PO DNA. For example, it allows the tuning of DNA density by changing the length of the poly-A block, which is more difficult to achieve with the thiolated DNA. In addition, PS modification is much more cost-effective than thiol. On the fundamental side, for the first time, we systematically compared the adsorption strength of thiol, DNA bases and PS. Considering the simplicity and minimal perturbation of DNA structure, PS DNA will find many applications in nanotechnology, materials science and analytical chemistry.

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**Supporting Information.** Materials and methods, gel electrophoresis and additional stability data. This material is available free of charge via the Internet at <http://pubs.acs.org>.”

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