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1 **Fish egg predation by Baltic sprat and herring: do species characteristics and**
2 **development stage matter?**

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25 **Abstract**

26 Predation of eggs by clupeids has been identified as a major factor contributing to early life
27 stage mortality of Baltic cod. We used data from ichthyoplankton sampling and clupeid
28 stomach analyses to investigate whether eggs of other fish species are to a similar extent
29 subject to predation, and how predation pressure differs between egg development
30 stages. Cod, sprat and rockling eggs dominated in the ichthyoplankton fraction in herring
31 and sprat diet, whereas flounder and dab eggs occurred only occasionally. In spring, cod
32 eggs at advanced development stages were positively and sprat eggs generally negatively
33 selected by both predators, while fish eggs were non-selectively consumed in summer.
34 Predation is suggested to account for a large fraction of mortality of cod eggs at older
35 stages, i.e. those eggs, which have survived the often detrimentally low oxygen
36 concentration in and below the permanent halocline. The consumption rates of sprat eggs
37 at all development stages relative to production rates were considerably lower compared
38 to cod, suggesting that egg predation is of lesser importance for sprat recruitment.

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40 **Keywords:** prey selectivity, consumption, recruitment, fish eggs, egg development stages

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49 **Introduction**

50 Predation on early life stages has been discussed as a major factor controlling recruitment
51 success of marine fish stocks since decades (e.g. Hunter 1984; Sissenwine 1984). There
52 is considerable evidence that predation by invertebrates and fish can be a major source of
53 mortality of pelagic fish eggs and in some cases also of larvae (Heath 1992; Legett and
54 DeBlois 1994; Hunter 1982; Brownell 1985). Predation pressure may be high especially in
55 strongly stratified estuarine systems, where early life stages dwell in intermediate water
56 layers utilized by pelagic fish for foraging, for example in the Central Baltic (Köster and
57 Möllmann 2000a), the Black Sea (Prodanov et al. 1997) and the Gulf or St. Lawrence
58 (Swain and Sinclair 2000). However, field studies quantifying the impact of predation are
59 extremely difficult to design (Bailey and Houde 1989) and results are difficult to interpret
60 due to uncertainties in the different factors involved, e.g. predator and prey abundance and
61 fish egg consumption rate by individual predators (Heath 1992).

62

63 The Bornholm Basin, located in the central Baltic Sea, constitutes a small-scale “model”
64 ecosystem with strong predator-prey interactions, top-down and bottom-up processes as
65 well as feedback loops (e.g. Rudstam et al. 1994; Flinkman et al. 1998; Möllmann et al.
66 2000). Furthermore, it constitutes the single most important spawning area of the Eastern
67 Baltic cod stock (e.g. Köster et al. 2017) and an important spawning area of sprat (e.g.
68 Voss et al. 2012). Cod, sprat and herring are key components of the pelagic ecosystem in
69 this area (e.g. Cardinale and Arrhenius 2000): cod being the main predator of the clupeids
70 sprat and herring, while both of these planktivore species prey, amongst others, on cod
71 early life stages (e.g. Köster and Möllmann 2000a), but also on other ichthyoplankton such
72 as sprat and rockling eggs (Köster and Schnack 1994).

73 Previous studies have described the diet compositions of sprat and herring in the Baltic
74 Sea (e.g. Möllmann et al. 2004,) including fish eggs and larvae (Karaseva et al. 2013).
75 Also, consumption rates of cod eggs (Köster and Möllmann 2000a; Neumann et al. 2014)
76 and prey selectivity focusing on zooplankton (e.g. Flinkman et al. 1992; Casini et al. 2004;
77 Bernreuther et al. 2013) have been addressed. However, studies quantifying consumption
78 of different development stages of eggs of different taxa are so far lacking.

79

80 A stage- rather than size selective predation on cod eggs is suggested for Baltic herring
81 (Wieland and Köster 1996) and supported by findings in the Irish Sea, where sprat and
82 herring consumed more plaice eggs in later stages of development (Ellis and Nash 1997;
83 Segers et al. 2007). In the Baltic Sea, a preference for older egg stages would imply that
84 the impact of egg predation on recruitment of cod (Köster and Möllmann 2000a; Neumann
85 et al. 2017) and sprat (Köster and Möllmann 2000b) may be severe, if predation mortality
86 is mainly acting on the egg stages, which have survived the often detrimental hydrographic
87 conditions in the central Baltic Sea (Wieland et al. 1994).

88 In the present study, we investigate the selectivity of fish eggs from different taxa by
89 clupeid predators, amongst others to validate the hypothesis whether the total abundance
90 of fish eggs triggers egg predation as suggested by Köster and Möllmann (2000a), or
91 whether eggs of specific taxa are actively selected from the ichthyoplankton prey field.
92 Next, we verify whether the active selection of older egg stages, earlier reported for herring
93 as a predator, also holds for sprat. Finally, we for the first time quantify stage specific
94 predation pressure on cod and sprat eggs to elaborate on the importance of egg predation
95 for early life stage survival and ultimately fish stock recruitment.

96

97 **Material and Methods**

98 **Data**

99 The key datasets used in this paper include i) fish egg abundances as prey, ii) diet
100 compositions of herring and sprat as predators, iii) predator abundances and iv)
101 hydrographic conditions within the Bornholm Basin defined by the 60m depth contour (see
102 also Fig. S1¹). The material was derived from altogether 10 surveys, conducted in the
103 Bornholm Basin in 2004-2008, in May/June (spring) and July/August (summer). Fish egg
104 abundances and stomach contents of sprat and herring were sampled in locations shown
105 in Fig. S1¹ and S2¹, respectively, with the number of stations given in Table 1. The details
106 on sampling gears, catching methods, and processing of samples are described in
107 Neumann et al. (2014). The data and calculation procedures involved in deriving fish egg
108 consumption and production rates are described in Neumann et al. (2017). Thus, the
109 material and methods section here focuses on describing the data and analyses specific to
110 this paper, while for description of the data and analyses performed in early studies and
111 further utilized in this paper, the reader is referred to these earlier investigations.

112

113 *Availability of fish eggs in the prey field*

114 Ichthyoplankton samples were collected with a Bongo-net with 335 μm mesh size on a
115 station grid of 10 x 12.5 nm in the central Bornholm Basin in the Baltic Sea (see Neumann
116 et al. 2017 for further details). The grid consisted of ~45 evenly spaced stations on which
117 double-oblique Bongo hauls that sampled the entire water column down to ca 5 m above
118 the seafloor were conducted. To obtain information on the vertical distribution of fish eggs,
119 tows with a vertically resolving multinet (50 μm mesh size) were conducted on a central

¹ Fig. S1 and S2, showing locations of ichthyoplankton and trawl stations, are available in supplementary.

120 station of the grid. As density gradients define the depths where eggs dwell, and these
121 density gradients do not change much within the basin (Wieland 1995), a station in the
122 centre of the basin is considered representative of the stratification and abiotic
123 environment of the deep parts of the basin and thereby vertical distribution of fish eggs.
124 The collected samples from both gears were preserved in formaldehyde-seawater solution
125 and later sorted and raised/standardized to obtain horizontal ($n \cdot m^{-2}$) and vertical ($n \cdot m^{-3}$; 5
126 m bins) abundance and distribution from Bongo and multinet samples, respectively.
127 Identification of developmental stage (Ia, Ib, II, III, IV) of fish eggs was based on
128 Thompson and Riley (1981). Dead eggs were separated from viable eggs using the criteria
129 given by Geldmacher and Wieland (1999).

130

131 *Fish eggs in clupeid diet*

132 Concurrent to the ichthyoplankton field sampling, trawl hauls targeting clupeid schools
133 (when present) were conducted in the central Bornholm Basin. In consideration of diurnal
134 vertical migration and feeding patterns of clupeids (e.g. Köster and Schnack 1994; Nilsson
135 et al. 2003), only daytime (15 min after sunrise until 15 min before sunset) data were
136 included. Most of the fish were sampled around or below the permanent halocline located
137 at ~55–70 m depth in the Bornholm Basin (Carstensen et al. 2014). The halocline
138 separates low saline surface water from a denser layer with higher salinity, where pelagic
139 fish concentrate during their daily feeding period (Köster and Schnack 1994).

140 Clupeid stomachs were sampled applying a length-stratified sampling system. The
141 stomachs were preserved in 4% borax-buffered formaldehyde seawater solution and later
142 analysed in the lab. After fractioning stomach contents into major taxonomic groups and
143 assessing their relative contribution, the ichthyoplankton components of the stomach

144 contents were analysed in further detail, including determination of taxa and development
145 stage. Stomach handling followed the procedures described by Köster (1994) and Köster
146 and Schnack (1994) and data processing to obtain diet compositions is described in
147 Neumann et al (2014).

148

149 **Data analyses**

150 *Selectivity*

151 Station and cruise specific abundances of fish eggs in the diet and in the prey field, by taxa
152 and development stage, were calculated by allocating Bongo stations within a 6 nm radius
153 from a trawl station as prey field. The 6 nm radius represents the highest spatial resolution
154 possible within these data, as it is approximately half of the distance to the next
155 ichthyoplankton sampling station, at the 10 x 12.5 nm station grid allowing for a unique
156 allocation of most fish sampling hauls to a specific ichthyoplankton station (Table S1²).
157 The station-specific estimates for different taxa were subsequently averaged for each
158 cruise, representing seasons and years.

159 Stations containing no fish eggs in the prey field and in the diet were eliminated. Further,
160 the stomachs with only unidentified eggs were excluded from the analyses, which in some
161 cases resulted in excluding entire stations and no data available for some years (e.g. for
162 sprat in spring 2005 and 2008). This altogether led to a variable number of stations that
163 were included in the selectivity analyses (see Fig. S2).

164

165 The log₁₀ transformed Shorigin Index (Berg 1979) was applied to calculate a prey
166 selectivity index (*Se_i*) per prey category (Table S1²),

² Table S1, showing station specific selectivity indices for cod, sprat and rockling eggs, are available in supplementary.

$$Sel = \log_{10} \frac{\% N_i \text{ in the ingested food}}{\% N_i \text{ in the potentially available food}}$$

168 where N_i is the numerical percentage of a given item (e.g. fish eggs of a specific taxa).

169 The prey selectivity indices obtained represent the selection of fish eggs of specific taxa
170 and development stage from the ichthyoplankton prey field, not taking into account other
171 prey components, such as zooplankton. The values for the selectivity indices were
172 categorized into 5 groups: i) prey is strongly selected (≥ 2.0), ii) prey is selected (< 2.0 to
173 0.50), iii) non-selective feeding (< 0.5 to > -0.5), iv) prey is avoided (-0.50 to < -2.0) and v)
174 prey is strongly avoided (≤ -2.0). The occurrence of cases in the data where the prey was
175 found in the diet but not in the prey field and vice versa, are represented by (+) and (-),
176 respectively (Table S1; Table S2), but such cases were omitted from the analyses of
177 average selectivity values.

178

179 *Species and stage specific consumption and production rates*

180 Daily consumption rates by herring and sprat populations were determined for each cruise,
181 based on i) the average amount of fish eggs by taxa and development stage in the diet of
182 herring and sprat, ii) daily ration estimates from gastric evacuation modelling taking into
183 account hydrographic conditions and iii) predator population sizes in the spawning area
184 within the Bornholm Basin (areas enclosed by the 60m depths isobath) estimated from
185 population model outputs and hydroacoustic measurements. The estimation procedures
186 are described in Neumann et al. (2017), here deployed for both cod and sprat egg
187 consumption and on stage specific basis.

188 The egg production was determined for cod eggs as described by Neumann et al. (2017),
189 but additionally considering each egg stage separately. This implied applying temperature
190 dependent stage durations and stage specific egg mortality rates, the latter averaged for

191 each sampling date weighted by the stage durations and finally averaged over all sampling
192 dates. A similar procedure was applied to obtain estimates of sprat egg production.

193 The cumulative mortality in a stage and the potential impact of predation are dependent on
194 stage duration. To account for different stage durations (Wieland et al. 1994), daily egg
195 production rates were compared to daily consumption rates on an egg stage level. This
196 comparison was confined to those fish egg taxa for which all parameters to calculate daily
197 production rates (egg stage duration time in relation to ambient temperature) were
198 available, i.e. cod and sprat.

199

200 **Results**

201 **Fish eggs in the prey field**

202 In the Bornholm Basin, eggs of cod and sprat (Fig.1) as well as rockling and to a lesser
203 extent dab and flounder (Table 2) were available as prey for herring and sprat. In spring,
204 sprat eggs were dominant, i.e. on average around 80 % of the total egg abundance,
205 followed by cod (~15 %), rockling (>2 %) and flounder (<1 %), and very few dab eggs. In
206 summer, cod eggs (>85 % in abundance) were the main ichthyoplankton prey species
207 available, followed by sprat (~5 %), rockling (~8 %) and dab (<1 %) eggs, while founder
208 eggs were absent. Mean abundance of cod eggs were similar in spring and summer
209 except for stage Ia, which was more abundant in summer (Fig. 1). Abundance of cod eggs
210 at stage IV was comparatively low, which is explained by hatching taking place at this
211 stage. Rockling egg abundances were highly variable, with a maximum in summer 2005,
212 reaching similar abundances as cod eggs, while no eggs were found in spring 2007 (Table
213 2).

214

215 The vertical distribution of fish eggs is species specific, however, over 90 % of all eggs
216 were distributed in and around the halocline, i.e. the water layer where also the stomach
217 sampling was conducted. Cod eggs were distributed between ~45 m and 85 m water
218 depths with a maximum abundance around 60 m in both seasons. Sprat eggs dwell
219 shallower, between the surface and ~65 m depth with highest abundance around 50-55 m
220 in spring and slightly shallower in summer (Fig. 2). Rockling eggs were distributed widely
221 in the water column, with most eggs found around 50-60 m depth. Flounder and dab eggs
222 occurred mostly in 60-70 m depth, with some dab eggs found down to 80 m depth.

223

224 **Fish eggs in the diet**

225 ***Selectivity of fish eggs***

226 Comparing abundances of fish eggs in the diet and in the prey field showed that in spring,
227 herring selected cod and in some cases rockling eggs and generally avoided sprat eggs
228 (Fig. 3). Sprat ingested only a limited amount of fish eggs in 2005 and 2008, with none
229 being identifiable to species level, and in the remaining years selected cod eggs. In
230 summer, herring and sprat fed non-selectively on fish eggs of any species, besides herring
231 avoiding rockling eggs in summer 2004 and 2005. Flounder and dab eggs were rarely
232 identified in the diet, even when present in the prey field. To address the sensitivity of
233 these results to the selection of stations and the averaging procedure adopted, station-
234 specific selectivity indices (Table S1) were included in Fig. 3 indicating the lowest and
235 highest indices for a given cruise. From the lack of overlapping ranges, it can be
236 concluded, that the main results in terms of positive selection of cod eggs and avoidance
237 of sprat eggs in spring were robust to the in some cases relatively large variability in
238 station-specific indices.

239 Both herring and sprat positively selected cod eggs at stage III, with the exception of sprat
240 in summer (Fig. 4). Egg stages IV and partly II were positively selected as well, while
241 younger cod egg stages were fed upon non-selectively by both predators or even avoided
242 (Fig. 4). Sprat eggs at earlier development stages were mainly avoided by both sprat and
243 herring, while none-selective feeding or sometimes positive selection occurred on egg
244 stage III. Rockling eggs at stage III were also more selected compared to younger stages,
245 which often were found in the field, but not at all in the stomachs (Table S2).

246

247 ***Daily ration of fish eggs***

248 Daily rations of cod eggs consumed by herring showed highest values for egg stage III in
249 all investigated periods. Daily ration of young cod egg stages (Ia-II) were in general higher
250 in summer than in spring, while the opposite was found for older egg stages (III-IV), with
251 the exception of 2008 (Fig. 5). Daily rations of sprat eggs were generally low, while those
252 few eggs consumed by herring in spring 2007 and 2008 were mainly stage III, similar to
253 cod eggs. For sprat as predator, the daily rations of cod eggs were generally highest for
254 stage II and III, both in spring and summer, with the exception of summer 2004 with the
255 highest daily rations of egg stage Ia.

256

257 **Fish egg consumption vs. production**

258 The daily cod egg production increased from spring 2004 to 2008, being somewhat higher
259 in summer than in spring. In spring, egg production was generally higher for sprat
260 compared to cod (except in 2008). In summer, egg production by sprat was very low
261 corresponding to the end of the spawning activity (Table 3). Opposite to cod egg
262 production, predator abundances in spring showed a declining trend from 2004 to 2008.

263 Highest total cod egg consumptions by herring was determined for summer 2007 (~63
264 eggs*10⁹) and spring 2006 (~47 eggs*10⁹) and by sprat for spring 2004 and 2007 (~79
265 eggs*10⁹). The consumption of sprat eggs was lower compared to cod, despite the high
266 egg production in spring (Table 3). This is in line with the results from the prey selectivity
267 investigation, suggesting that cod eggs are generally positively and sprat eggs negatively
268 selected by both clupeid predators.

269 Comparison of stage specific cod egg daily production and consumption rates revealed a
270 limited predation pressure on youngest cod egg stages by both predator species in both
271 seasons. In contrast, in three spring situations (2004, 2006 and 2007), consumption of
272 cod eggs at stage III exceeded production rates, which is also the case for egg stage II in
273 2004 (Table 3). This indicates high predation mortality on these older egg stages in these
274 years, while in spring 2008 the overall predation pressure was low and in 2005 moderate
275 (with <50% of egg stage III production being consumed). Predation pressure on the oldest
276 eggs stage IV is estimated to be lower than on egg stage III. However, as cod eggs in the
277 Baltic hatch at stage IV, with development time depending on oxygen concentration at
278 incubation (Wieland et al 1994), the estimates of daily production rates for stage IV are
279 more uncertain. In summer, the predation pressure on cod eggs was generally lower; only
280 in 2007 the estimates of daily consumption rate of cod eggs at stage III exceeded slightly
281 the level of production, and in 2004 the consumption was estimated to be ~50% of the
282 production (Table 3).

283 For sprat eggs, comparing consumption to production rates revealed a considerably lower
284 predation pressure than on cod eggs in spring, with highest predation pressure in 2006
285 and 2007, corresponding to 5% of the production of eggs at stage III being consumed,
286 followed by 4% and 1% in 2004 and 2005 (Table 3). The production of egg stage IV was

287 very low in spring 2007, but similar to cod, the egg production estimates in this stage at
288 which hatching occurs are uncertain. In summer 2004 and 2005, the consumed proportion
289 of the stage III egg production was relatively high, i.e. <16%, but the production level at
290 that time is only 5% of that in the main spawning season in spring, rendering this predation
291 impact on overall egg survival to be limited.

292

293 **Discussion**

294 **Ichthyoplankton in clupeid diet**

295 Both herring and sprat mainly preyed upon cod and sprat eggs, and to a lesser degree on
296 rockling eggs, while flounder and dab eggs were present in the diet only in small numbers
297 confirming earlier results (Köster and Schnack 1994; Köster and Möllmann 2000a). In
298 contrast to cod eggs, low numbers of cod larvae and fish larvae in general were detected
299 in herring and sprat diets. This corroborates earlier findings, which explained this by a
300 limited vertical overlap between the preferred medium-sized to larger larvae inhabiting the
301 upper water layers above the halocline and the clupeids concentrating in deeper layers
302 during the daily feeding period (Köster and Schnack 1994). Along these overall patterns, a
303 high variability in both composition and quantity of ichthyoplankton in sprat and herring diet
304 is evident (Neumann et al. 2014), both within a cruise as well as between seasons and
305 years.

306

307 **Species selectivity**

308 Cod eggs were generally positively and sprat eggs negatively selected/ avoided in spring,
309 which could partly be related to the vertical overlap of predator and prey (Neumann et al.
310 2014) rather than direct prey selectivity from a common prey field. In our data, the catching

311 depth of the clupeids matches to a large extent the vertical distribution of cod and sprat
312 eggs (Fig. 6). However, ideally, one would also need to consider at which depth the
313 clupeids had actually been feeding prior capturing, which is unfortunately not possible with
314 present technology. Sprat eggs are usually distributed in the upper part of the halocline
315 (Fig. 6). Cod eggs float in the central and lower part and below the halocline with
316 environmental conditions allowing successful egg development, i.e. a salinity of >11psu
317 and an oxygen concentration of >2 ml l⁻¹ (Nissling 1994; Wieland et al. 1994; Westin et al.
318 1991). Feeding of clupeids during day time takes place in the same water layer (Hinrichs
319 1986; Köster and Schnack 1994; Stepputtis et al. 2011), apart from a fraction of clupeids
320 staying in uppermost water layers also during daytime (Fig. 6).

321 A consistent positive selectivity of cod eggs and an avoidance of sprat eggs during spring
322 cruises imply that the hypothesis that the total abundance of fish eggs triggers selectivity
323 of cod eggs, suggested by Köster and Möllmann (2000a) is not confirmed in this study. If
324 predation on sprat eggs, which are far more abundant than cod eggs, would trigger
325 clupeids also to forage on cod eggs, opposite selectivity indices for the two prey species
326 would not be expected. This expectation is independent of whether the difference in
327 selectivity is real or caused by different overlap of predator and prey.

328 The apparent positive selectivity of cod compared to sprat eggs could in principal also be
329 related to a size difference, i.e. cod eggs being larger than sprat eggs (e. g. Nissling et al.
330 2003). Earlier studies on cod and plaice eggs in the North Sea showed, that egg size was
331 correlated with predation mortality (Rijnsdorp and Jaworski 1990). Herring are visual
332 feeders, at least in situations with sufficient light conditions (e. g. Batty et al. 1990;
333 Thetmeyer and Kils 1995), selecting for larger items (Dalpadado et al. 2000) with greater
334 energy content (Leggett and DeBlois 1994) due to their better visibility (Wieland and

335 Köster 1996; Zaret and Kerfoot 1975).

336 In summer, cod eggs were non-selectively consumed, while sprat eggs, in contrast to
337 spring, were not avoided and in some cases even positively selected (Table S1). This can
338 be explained by the majority of clupeids being distributed above the cod egg layer dwelling
339 in water depths where sprat eggs are more abundant (Fig. 6). This supports earlier findings
340 that depth, in addition to turbidity and time of day, influence prey detection (Baily and
341 Houde 1989). Rockling eggs were occasionally positively selected by both predators,
342 which may be related to the oil droplet in the rockling eggs enhancing their visibility
343 compared to eggs of other species.

344 Some occasions were encountered when prey was present in the diet but not in the field or
345 vice versa, which could represent a strong selectivity or avoidance, respectively. These
346 occasions, possibly also related to sampling issues, were only indicated by “+” or “-“(Table
347 S1, S2) instead of a quantitative index value. When fish eggs were found in the diet, but
348 not in the field, the predator may have been feeding in a nearby - albeit not sampled - area
349 with higher egg abundances before they were caught in the proximity of the reference
350 ichthyoplankton station. The small-scale vertical predator prey overlap is neither
351 considered in the selectivity index, due to uncertainties in the exact depth distribution of
352 clupeids feeding prior to catching. Here our assumption is that clupeids are able to
353 optimise their vertical position within and around the halocline, the water layer in which
354 >90% of the fish eggs are distributed. Horizontal small-scale variability in egg abundance
355 can as well cause a mis-match between predator and prey, however, there is no indication
356 from the standard ichthyoplankton surveys that such variability is high, i.e. there are hardly
357 extreme outliers encountered (Neumann et al. 2014).

358 The determined average selectivity indices and conclusions on selectivity of different egg

359 taxa appear to be robust to the deployed data averaging procedure, as the selectivity
360 patterns from the indices by individual stations were mostly consistent with cruise-specific
361 average selectivity, despite the in some cases considerable variability between stations
362 (Fig. 3, Table S1).

363

364 **Selectivity of egg development stages**

365 Apart from size, better visibility may also be related to strong pigmentation that occurs
366 within advanced egg development stages. In fact, Wieland and Köster (1996)
367 demonstrated that cod egg selectivity by herring was related to better visibility of advanced
368 egg stages rather than cod egg size. This is supported in the present study, as smaller
369 sprat and rockling eggs in advanced development stage were in some cases selected
370 similarly or even more than larger cod eggs at early development stages.

371 Both predators preferred advanced egg stages of cod, especially development stage III,
372 but also stage IV and in certain occasions stage II. The relatively higher selectivity of egg
373 stage III compared to younger stages is also apparent for sprat and rockling eggs. Eggs
374 change their buoyancy during development (Wieland et al. 2000), resulting in a slightly
375 deeper distribution of more developed eggs stages, but well within the range of vertical
376 movement of both sprat and herring during their daily feeding period.

377 Depending on prey availability and density, herring can switch between different feeding
378 modes: biting at low prey concentrations; biting, gulping and filtering at intermediate prey
379 concentrations, and mainly filtering at high prey concentrations (Gibson and Ezzi 1990).

380 Sprat, in contrast, is known as a strict selective/ particulate feeder that usually do not filter-
381 feed (Bernreuther 2008; Möllmann et al. 2004), apart from at low light intensities
382 (Bernreuther et al. 2013) or high turbidity (Falkenhaug and Dalpadado 2014). Visibility is

383 the best explanation for preferring later stages of egg development for both sprat and
384 herring, which is consistent with previous studies conducted in the Baltic Sea (Bernreuther
385 et al. 2013; Wieland and Köster 1996) as well as in the North Sea (Thompson and Riley
386 1981; Segers et al. 2007) and the Irish Sea (Ellis and Nash 1997).

387

388 **Impact of predation on egg survival**

389 The predation pressure on cod eggs was found to be considerably higher than on sprat
390 eggs. This can be explained by a pronounced vertical overlap between cod eggs and both
391 clupeid predators in spring. The vertical overlap between predator and prey is defined by
392 hydrographic conditions, with higher impact during stagnation period when insufficient
393 oxygen concentrations prevent clupeids to dwell in bottom water layers, i.e. below the
394 water depths of highest cod egg concentrations (Köster and Möllmann 2000a; Köster et al.
395 2005).

396 A pronounced difference in the vertical distribution of sprat and herring is not obvious in
397 spring, as both clupeid species avoid the cold intermediate winter water layers, either
398 staying in shallow water layers (above 30m) or in and below the halocline (below 50m)
399 (Fig. 6), with the maximum depth depending on the oxygen concentration in the bottom
400 water (Orlowski 1999; Stepputtis et al. 2011). In summer, herring tend to stay higher up in
401 the water column than cod eggs (Fig. 6) exerting a more variable, moderate to high
402 predation impact. Sprat is even shallower distributed than herring, where its main prey
403 species, i.e. cladocerans are found (Neumann et al. 2014) explaining the low consumption
404 of fish eggs in general and cod eggs in particular. Sprat as a predator is generally of less
405 importance in summer due to lower abundance in the area, having largely left the basin
406 after spawning.

407

408 In some occasions the total consumption of cod eggs exceeded egg production rates in
409 our estimates, e.g. in spring 2004. This discrepancy has also been reported in earlier
410 studies (Köster and Möllmann 2000a), and is in fact substantially reduced by Neumann et
411 al. (2017) and in the present study. However, some mismatch still being present in some
412 occasions indicates either an overestimation of the egg consumption or an
413 underestimation of the egg production.

414 A direct measure of uncertainty of these estimates is extremely difficult to obtain, due to
415 complex calculation procedures involving various steps of data rising and combination of
416 different types of data and model applications. Thus, sensitivity analyses are considered
417 the most feasible approach for evaluating the impact of uncertainties involved in the
418 estimation procedures. These have partly been conducted and discussed by Neumann et
419 al. (2017) suggesting that the main conclusions from the previous and the present study in
420 terms of the relatively high predation on cod eggs compared to sprat eggs, with the highest
421 predation impact on older egg stages, are robust against the uncertainties considered. A
422 dedicated sensitivity study would be required to quantify the impact of various
423 uncertainties associated with input data and individual steps in the complex estimation
424 procedures. This would be useful for defining future focus areas for this type of research,
425 where possible refinements would be most beneficial in terms of improving the precision of
426 predation estimates. However, quantifying potential uncertainties would by itself not
427 improve the estimates of most likely levels of predation pressure possible to obtain
428 presently, which are in this paper based on state of the art monitoring data and scientific
429 knowledge and models available guiding the methodological choices and assumptions at
430 different calculation steps.

431 Our results clearly demonstrate that predation impact on sprat eggs is much lower than on
432 cod eggs, also during main spawning season in spring, confirming earlier results from the
433 1990's (Köster and Möllmann 2000*b*). However, the relative contribution of predation to
434 total sprat egg mortality is variable and may be at times high (Voss et al. 2012).
435 Furthermore, the shorter spawning season of sprat in spring-early summer implies that all
436 spawned eggs are exposed to a relatively higher egg predation in spring compared to
437 summer, which is not the case for cod. On the other hand, cod egg development time (3
438 weeks on average at 4 °C, Wieland et al. 1994 or 12 days at 9 °C, Nissling 2004) is longer
439 than for sprat (~7 days at 9 °C, e. g. Nissling 2004), resulting in a longer time of exposure
440 to predation. The Bornholm Basin is only one of the main spawning areas of sprat in the
441 central Baltic, while egg predation in more eastern spawning areas of the Gdansk Deep
442 and Gotland Basin is even less pronounced than in the Bornholm Basin (Geldmacher
443 1999). This difference between basins has been explained by a combination of deviating
444 prey availability and light intensity in the dwelling depth of clupeids during their daily
445 feeding period reducing the ability to actively select fish eggs (Köster and Möllmann
446 2000*b*).

447 A certain predatory impact by clupeids on rockling egg survival can also not be ruled out,
448 due to a similar vertical distribution with cod eggs and a similar overall egg development
449 time, i.e. from spawning to hatching (Battle 1930). As temperature related stage specific
450 egg development rates are not available for this species, egg production estimates cannot
451 be calculated and the impact of the predation not determined.

452 The stage specific predation on older cod egg development stages implies a higher impact
453 of predation on cod recruitment than formerly thought, as those eggs at later development
454 stages have already survived two critical phases. The first phase is the fertilisation of eggs,

455 which depends on salinity concentrations (Plikshs et al. 1993). Next, egg survival depends
456 on ambient oxygen levels. In an experimental study on daily egg mortality rates as a
457 function of temperature (2 to 7 °C) and oxygen concentration (2 to 8 ml O₂ l⁻¹), which
458 resembles conditions in the central Baltic spawning area of cod, two phases of increased
459 mortality were found (Wieland et al. 1994); first, during gastrulation (at stage Ib) and before
460 closure of the blastoporus (transition to stage II), and second, prior to and potentially
461 initiating hatching at stage IV. Thus eggs at stage II and III have a higher chance of
462 survival than younger egg stages. Consequently a pronounced predation by sprat and
463 herring on this egg stage may have a strong impact on egg survival and ultimately on cod
464 recruitment.

465 A key message from the present study is that not only specific life stages, but also specific
466 development stages within a life stage may be much more vulnerable to predation than
467 others. Investigating prey selectivity by pelagic fish to delineate prey preferences has
468 proven more difficult than expected, as predators obviously utilise variability in small scale
469 spatial distribution of the prey to optimise food intake, a process described by Frank and
470 Leggett (1984) for demersal predators, but difficult to resolve with standard sampling
471 techniques for pelagic fish. Furthermore, variation in feeding behaviour, e.g. switching
472 between raptorial and filter feeding depending on prey type, behaviour and density as well
473 as visibility and hydrographic conditions (Bailey and Houde 1989), render field based
474 investigations of prey selectivity and preferences of pelagic fish difficult. This suggests that
475 direct observations or experimental studies are needed to determine prey preferences of
476 pelagic fish.

477

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488

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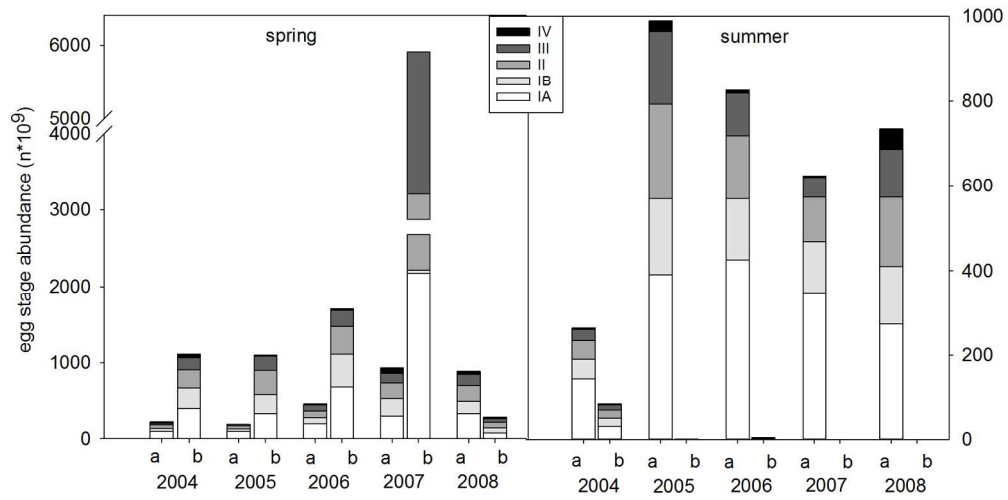


Figure 1: Egg abundance of cod (a) and sprat (b) at development stages I-IV in the Bornholm Basin with water depth of >60 m in spring (left, scale max. 6000 n*10⁹) and summer (right, scale max. 1000 n*10⁹) 2004-2008.

336x179mm (150 x 150 DPI)

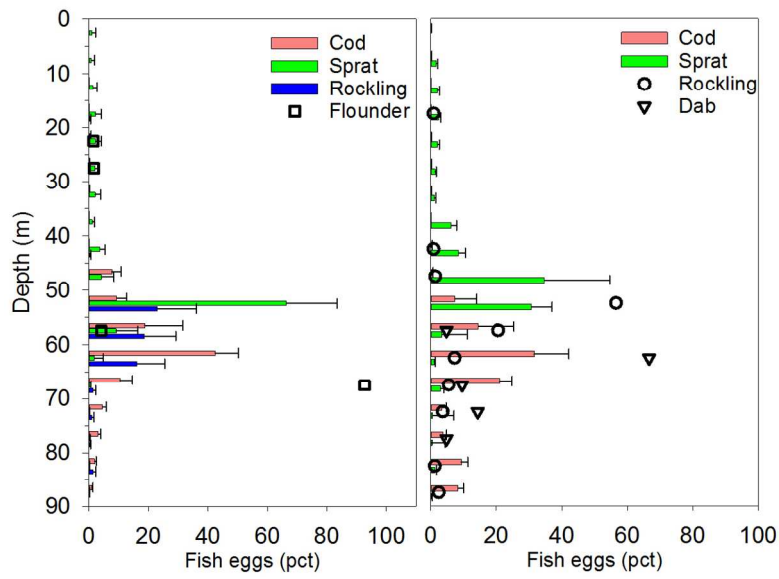


Figure 2: Vertical distribution of fish eggs in the Bornholm Basin as percentages (pct) of eggs at different depths, including standard errors, based on average distributions observed in 2004-2008. Standard errors are shown only where data from at least 3 samples were available.

274x160mm (150 x 150 DPI)

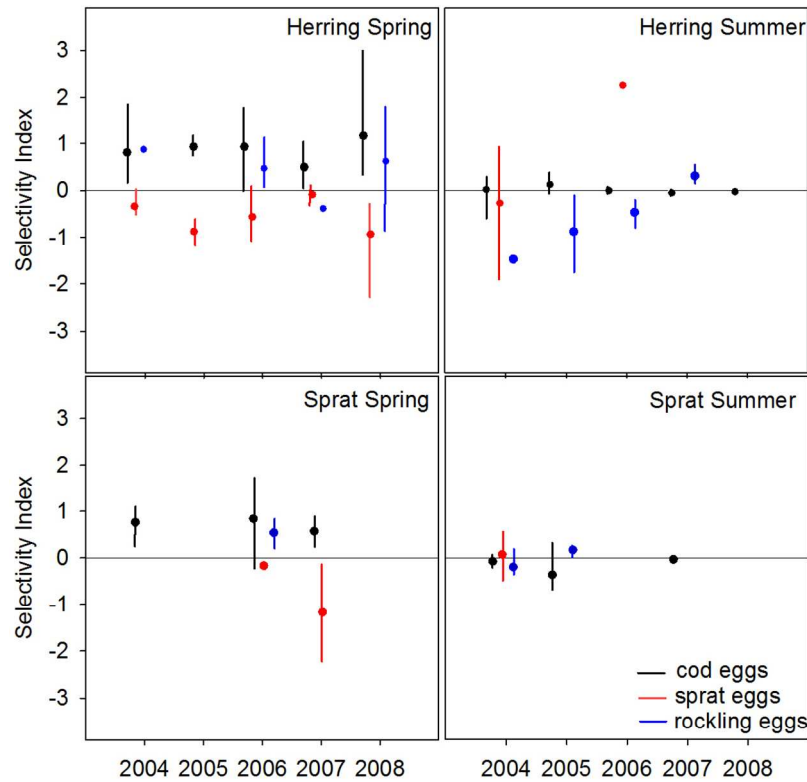


Figure 3: Shorigin Selectivity Indices for different fish egg taxa consumed by sprat and herring in spring and summer 2004-2008. The vertical lines represent the ranges (minimum and maximum) of the values determined for individual stations, with the mean (dots) indicating the average prey selectivity.

209x296mm (150 x 150 DPI)

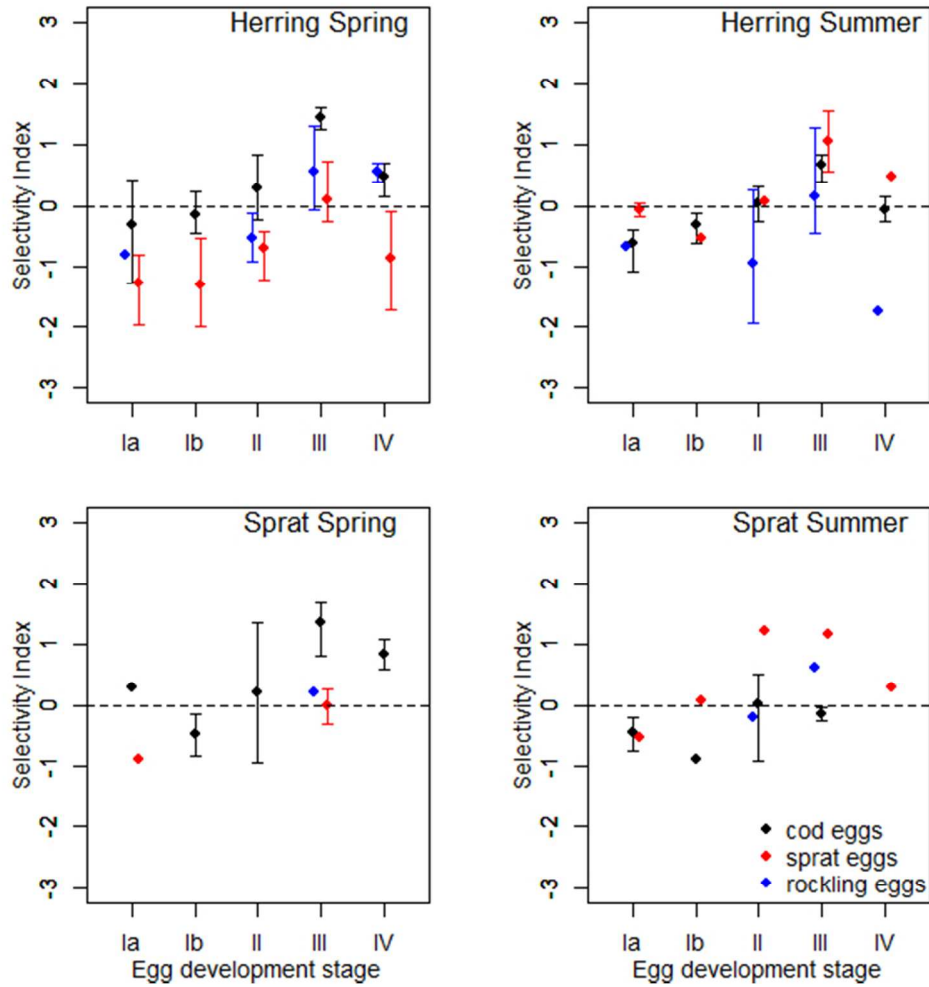


Figure 4. Shorigin Selectivity Indices for different development stages (Ia-IV) of eggs of different taxa consumed by sprat and herring in spring and summer. The vertical lines represent the ranges (minimum and maximum) of the values determined for individual cruises in different years, with the mean (dots) indicating the average prey selectivity.

152x152mm (100 x 100 DPI)

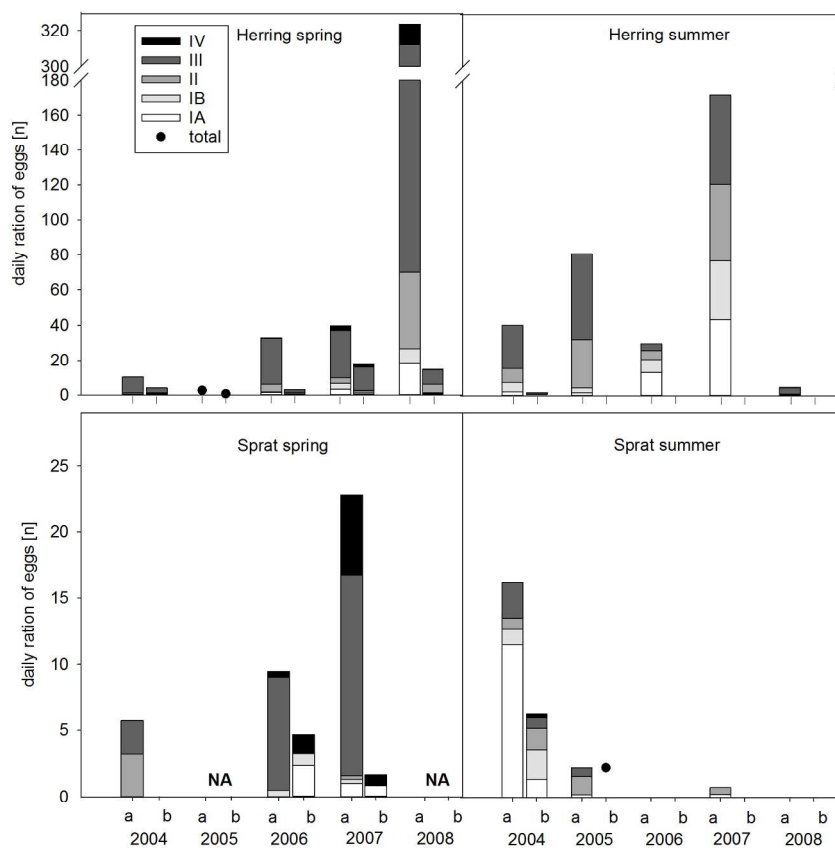


Figure 5: Daily rations at different egg stages (stacked bar) of cod (a) and sprat (b) by individual herring and sprat in spring and summer 2004-2008. NA: Eggs could not be identified to species; Black dots: Eggs could not be staged and indicate the total numbers of cod (a) or sprat eggs (b).

345x447mm (150 x 150 DPI)

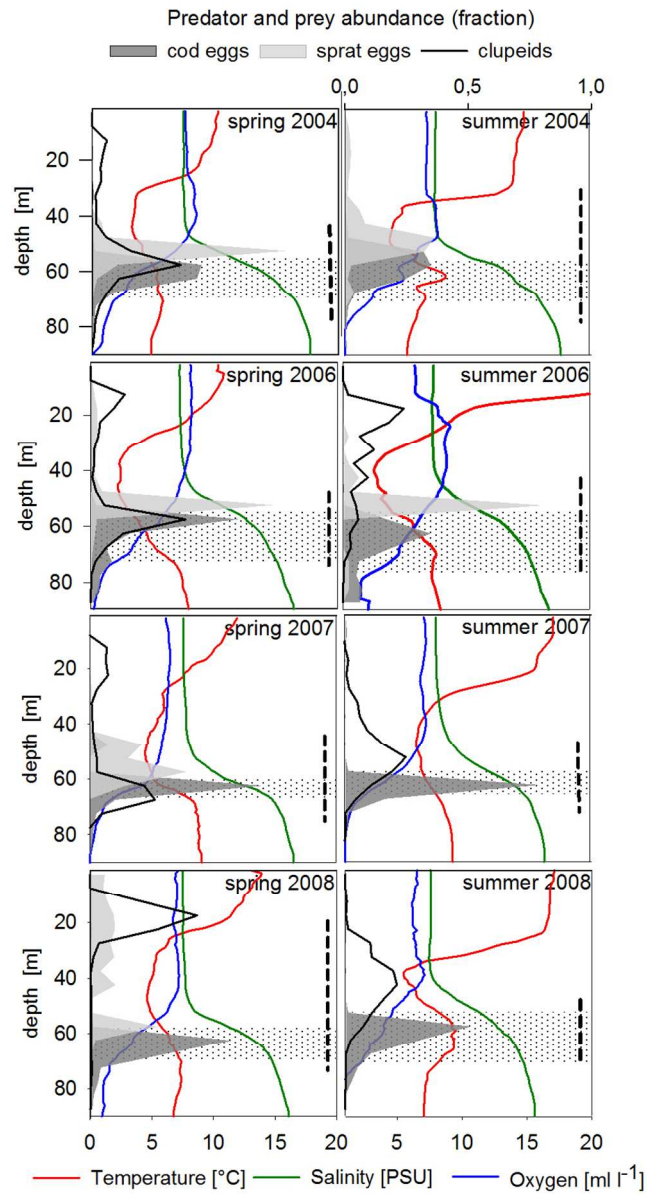


Figure 6: Relative vertical distribution of cod eggs (dark grey area) and sprat eggs (light grey area) as well as clupeid predators (black lines) and hydrographic conditions (coloured lines) in spring and summer 2004-2008. Dotted area: Reproductive volume of cod eggs ($\geq 2 \text{ ml l}^{-1}$ oxygen and ≥ 11 psu). Vertical dashed line (black): Depth range of clupeid catches (with varying depth per station). No data available in 2005 and no vertical clupeid abundances in summer 2004. Sprat eggs were absent in summer 2007 and 2008.

155x291mm (150 x 150 DPI)

Table 1: Sampling dates in spring and summer 2004-2008, number of trawl (clupeid stomachs) and Bongo (fish eggs) stations in the Bornholm Basin ($\geq 60\text{m}$ water depth) of the Baltic Sea as well as total number of herring and sprat stomachs included for the analysis of egg consumption (total) and egg selectivity. *¹ all eggs were unidentified; *² no eggs ingested by predators but available in the field.

Cruise data	Number of stations		Number of stomachs			
	Trawl	Bongo	Total		Selection analysis	
Spring			Herring	Sprat	Herring	Sprat
2-4 June 2004	10	37	169	258	105	85
29-31 May 2005	10	36	287	234	64	* ¹
8-10 June 2006	10	35	262	265	232	189
28 May- 2 June 2007	12	37	168	314	151	87
7-9 June 2008	14	36	303	167	303	* ¹
Summer						
19-21 July 2004	14	28	415	279	385	92
22-25 July 2005	13	36	386	211	232	86
27-30 July 2006	12	37	296	51	22	* ²
2-5 August 2007	19	35	459	291	319	44
23-27 August 2008	12	35	315	65	225	* ²

Table 2: Egg abundance ($n \cdot 10^9$) of rockling, dab and flounder in spring and summer in the Bornholm Basin (water depth of >60 m).

	spring	summer	spring	summer	spring	summer
year	rockling		dab		flounder	
2004	29	22	0,1	1	0,11	0
2005	41	408	2,4	0	0	0
2006	101	44	2,1	0,2	0	0
2007	0	14	0	0	0	0
2008	45	9	1	0,2	0	0

