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### Forensic Science

# Development of a Multiplex Single Base Extension Assay for Mitochondrial DNA Haplogroup Typing

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Aim To provide a screening tool to reduce time and sample consumption when attempting mitochondrial DNA (mtDNA) haplogroup typing.

**Methods** A single base primer extension assay was developed to enable typing, in a single reaction, of twelve mtDNA haplogroup specific polymorphisms. For validation purposes a total of 147 samples were tested including 73 samples successfully haplogroup typed using mtDNA control region (CR) sequence data, 20 samples inconclusively haplogroup typed by CR sequence data, 21 samples previously haplogroup typed using restriction fragment length polymorphism (RFLP) analysis, and 31 samples of known ancestral origin without previous haplogroup typing. Additionally, two highly degraded human bones embalmed and buried in the early 1950s were analyzed using the single nucleotide polymorphisms (SNP) multiplex.

**Results** When the SNP multiplex was used to type the 96 previously CR sequenced specimens, an increase in haplogroup or macrohaplogroup assignment relative to conventional CR sequence analysis was observed. The single base extension assay was also successfully used to assign a haplogroup to decades-old, embalmed skeletal remains dating to World War II.

**Conclusion** The SNP multiplex was successfully used to obtain haplogroup status of highly degraded human bones, and demonstrated the ability to eliminate possible contributors. The SNP multiplex provides a low-cost, high throughput method for typing of mtDNA haplogroups A, B, C, D, E, F, G, H, L1/L2, L3, M, and N that could be useful for screening purposes for human identification efforts and anthropological studies. Mitochondrial DNA (mtDNA) is a 16569 bp circular molecule present, on average, in 500 copies per cell (1). MtDNA analysis is utilized in several areas of science including, but not limited to, anthropology, evolutionary studies, and forensic science (2-5). The high copy number, and possibly the cellular location and molecular features of mtDNA, allow for increased recovery, thus providing a distinct advantage over nuclear DNA when working with highly compromised samples (6). The maternal inheritance and high mutation rate are characteristics extremely useful for evolutionary studies (7,8); in fact, mtDNA has been used to resolve evolutionary questions related to extinct species and to human migrations throughout the continents (9-12). The field of forensic science also relies upon mtDNA to identify missing persons, locate maternal relatives, identify victims in mass disasters, and, in some situations, include an individual at a crime scene (13-19).

Early studies of the mtDNA genome revealed patterns of variation that were linked to geographic regions. Individuals with the same sequence variations were clustered into haplogroups defined by mutations at particular nucleotide positions (20-27). A closer examination of the mtDNA genomes of various populations led to the following assumptions: 1) several of the mtDNA mutations are highly correlated with the ethnic and geographic origin of the individual, 2) all mutations originated from a single mtDNA tree, and 3) the greatest variation and deepest root of the mtDNA tree is present in the African population. Furthermore, a calculation of the variation between mtDNA haplogroups demonstrated that 35% of the mutations were continent-specific, and therefore useful indicators of geographic origin (24,25,28-31).

Before the advent of modern sequencing methods, the primary approach to identifying polymorphic sites throughout the mtDNA coding region was restriction fragment length polymorphism (RFLP) analysis. While this method-

ology is still utilized in certain contexts, direct sequencing of the mtDNA molecule is rapidly gaining acceptance as the method of choice for haplogroup typing (20,23,30,32-35). Single base primer extension, also known as minisequencing, is an example of a direct sequencing technique that is currently utilized for mtDNA haplogroup typing (36-42). This methodology, described in detail by Fiorentino et al (43), offers several advantages to the investigator over RFLP and conventional sequence analysis methods including the use of small amplicons (<150 bp), increased sensitivity and robustness, and multiplexing capability. Multiplexing capability is particularly important, especially in regard to forensic DNA analysis, as it reduces sample consumption while increasing throughput of sample processing and data analysis. Increased sensitivity allows for improved amplification success with DNA samples that contain limited starting template. Additionally, the possibility for high throughput processing can aid in population screening studies in situations where numerous samples need to be typed (44). This is particularly true in mass disaster or other mass screening situations, where a simple and rapid population screening tool that consumes little extract could effectively direct subsequent identification testing. In these situations, coding region sequencing would be expensive and time-consuming, and the subsequent data analysis a lengthy, burdensome, and potentially error-prone process (42,45-48). Furthermore, the possibility of obtaining interpretable results from poor quality polymerase chain reaction (PCR) products while simultaneously typing several polymorphisms throughout the mtD-NA genome make it a more feasible method than conventional PCR fragment sequence analysis, especially in forensic cases and anthropological studies involving highly degraded or otherwise compromised human remains (16,36-38,49,50).

In this article, we describe the development of a multiplex assay designed to simultaneously type twelve polymorphic positions located throughout the coding region of the mtDNA genome for the identification of haplogroups A, B, C, D, E, F, G, H, I, L1/L2, L3, M, N, and X. The intended utility of this assay is haplogroup typing of highly degraded human remains for either forensic casework or as a low cost, high throughput alternative for screening anthropological specimens. Validation of this assay included the analysis of 20 samples previously haplogroup typed by RFLP, and 94 samples for which haplogroup had been inferred based on control region (CR) sequence data. Additionally, and to evaluate the potential application of the assay for population screening, 31 samples were tested for which only the population of origin, and not the mtDNA haplogroup, was known. Finally, to verify robustness and sensitivity of the assay, we also tested two highly degraded human bones embalmed and buried in the early 1950s.

### Methods

A review of the relevant literature (20-27,33,34), which collectively utilized 2500 fully sequenced

mtDNA coding regions to generate haplogroup phylogenies, was the foundation for the selection of the twelve single nucleotide polymorphisms (SNP) included in this assay. The intention was to maximize the number of haplogroups that could be identified using a specific set of polymorphisms (Figure 1). Table 1 summarizes the expected results from each haplogroup typed by the assay. The SNPs were also selected for their ability to discriminate among the major ancestral lineages (Europeans, Africans, Asians, and Native Americans) by examining only the coding region of the mitochondrial genome (24,25,28-31).

### Primer design

Amplification and minisequencing primers were designed using the Primer Express Version 2.0 software. Primers with comparable Tm and GC content properties and similar primer lengths were selected. Primer specificity was tested using the National Center for Biotechnology Information (NCBI) nucleotide-BLAST search to eliminate the possibility of non-specific products during PCR. The PCR primers were designed



Figure 1. Visual representation of the diagnostic polymorphisms used to identify the haplogroup and ancestry of an individual using the SNP assay. The presence of the polymorphism(s) displayed in boxes leads to the identification of the circled haplogroup. Inferred ancestry into at least one of the four lineages is established from the haplogroup status.

	Nucleotide position and base*												
Haplogroup	8272-8280 del	13263	1719	5178	663	10398	10400 <sup>r†</sup>	3594 <sup>r</sup>	7028 <sup>r</sup>	12406	4833	7600	Inferred ancestry
A	С	Α	G	С	В	Α	С	С	Т	G	Α	G	Asian/Native American
В	G	Α	G	С	Α	Α	С	С	т	G	Α	G	Asian/Native American
С	С	G	G	С	Α	G	т	С	т	G	Α	G	Asian/Native American
D	С	Α	G	Α	Α	G	т	С	т	G	Α	G	Asian/Native American
E	С	Α	G	С	Α	G	т	С	т	G	А	Α	Asian
F	С	Α	G	С	Α	Α	С	С	т	Α	А	G	Asian
G	С	Α	G	С	Α	G	т	С	т	G	G	G	Asian
Н	С	А	G	С	Α	А	С	С	С	G	А	G	European
1	С	Α	Α	С	Α	G	С	С	т	G	А	G	European
L1/L2	С	Α	G	С	Α	G	С	т	т	G	Α	G	African
L3	С	Α	G	С	Α	G	С	С	т	G	А	G	African/European/Asian
М	С	Α	G	С	Α	G	т	С	т	G	А	G	Asian/Native American
Ν	С	Α	G	С	Α	Α	С	С	т	G	А	G	European/Asian/Native American
Х	С	А	Α	С	А	А	С	С	т	G	А	G	European/Asian/Native American

Table 1. Expected single nucleotide polymorphisms typing results and inferred ancestries for each haplogroup represented by the assay.

\*Bases in bold are different than the Cambridge reference sequence (haplogroup H) and are used to differentiate haplogroup status.

†r - the single base extension primer is in the reverse orientation.

to satisfy three criteria -1) must flank the desired SNP site, 2) must produce an amplicon no greater than 110 bp in length, and 3) the amplicon must retain the minisequencing primer annealing site for proper single base extension reaction (Table 2). The single base extension primers were designed one base contiguous to the polymorphic site of interest in either the forward or reverse orientation. Additionally, variable length polymeric-T tails were added to the 5' end of the primer in order to separate the products during electrophoresis (Table 3).

### Multiplex PCR

The simultaneous amplification of the twelve amplicons containing the polymorphic sites of interest was carried out in a total volume of 50  $\mu$ L. The reaction was conducted using the following reagents and concentrations: 0.05 U/ $\mu$ L of AmpliTaq Gold\* DNA polymerase (Applied

**Table 2.** Nucleotide position of the polymorphism, oligonucleotide sequence  $(5' \rightarrow 3')$ , primer orientation, primer length (bp), amplicon length (bp), Tm (°C), GC content (%), and final concentration (nM) for each primer used during polymerase chain reaction amplification. The 10398 and 10400 polymorphisms are located on the same amplicon

Nucleotide position	Primer sequence $(5' \rightarrow 3')$	Orientation	Primer length (bp)	Amplicon length (bp)	T <i>m</i> (°C)	GC content (%)	Final (nM)
8272-8280 del	TAAAAATCTTTGAAATAGGGCCC	F	23	89 (del) 80	51.4	34.7	200
	GTTAATGCTAAGTTAGCTTTACAGTGG	R	27		54.2	37	
13263	CAAAAAAATCGTAGCCTTCTCC	F	22	67	52.2	40.9	150
	GTTGATGCCGATTGTAACTATTATG	R	25		52.3	36	
1719	CCCACTCCACCTTACTACCAGA	F	22	84	57.7	54.5	500
	TGCGCCAGGTTTCAATTT	R	18		53.1	44.4	
5178	TAAACTCCAGCACCACGACC	F	20	79	57.2	55	200
	GTGGATGGAATTAAGGGTGTTAG	R	23		53.3	43.4	
663	ACATCACCCCATAAACAAATAGG	F	23	108	52.9	39.1	200
	TGGTGATTTAGAGGGTGAACTCA	R	23		55.6	43.4	
10398/10400	AGTCTGGCCTATGAGTGACTAC	F	22	86	55.5	50	500
	AATGAGTCGAAATCATTCGTTT	R	22		50.7	31.8	
3594	CTTAGCTCTCACCATCGCTCT	F	21	90	56.2	52.3	300
	AGAATAAATAGGAGGCCTAGGTTG	R	24		53.9	41.6	
7028	TATTAGCAAACTCATCACTAGACA TCGT	F	28	96	55.7	35.7	200
	TGGCAAATACAGCTCCTATTGA	R	22		54	40.9	
12406	AATTCCCCCCATCCTTACC	F	19	78	54.2	52.6	300
	GCGACAATGGATTTTACATAATG	R	23		50.6	34.7	
4833	AATAGCCCCCTTTCACTTCTG	F	21	72	54.7	47.6	400
	AGAAGAAGCAGGCCGGA	R	17		56.4	58.8	
7600	GGCTAAATCCTATATATCTTAATGGCA	F	27	64	52.6	33.3	100
	GGGAAGTAGCGTCTTGTAGACC	R	22		59.9	54.5	

Nucleotide position	Primer sequence $(5' \rightarrow 3')$	Orientation	Primer length (bp)	Т <i>т</i> (°С)	GC content (%)	Final (nM)	Base substitution
8272-8280 del	CCCTATAGCACCCCCTCTA	F	19	54.9	57.8	84	C>G
13263	(3-poly-T tail)-TAGCCTTCTCCACTTCAAGTCA	F	25	56.3	40.0	83	A>G
1719	(9-poly-T tail)-CACTCCACCTTACTACCAGACAAC	F	33	59.2	36.3	292	G>A
5178	(13-poly-T tail)-CTACTATCTCGCACCTGAAACAAG	F	37	58.5	29.7	167	C>A
663	(19-poly-T tail)-CCATAAACAAATAGGTTTGGTCCT	F	43	58.8	20.9	84	A>G
10398	(21-poly-T tail)-GAGTGACTACAAAAAGGATTAGACTGA	F	48	59.7	20.8	292	A>G
10400	(24-poly-T tail)-TTCGTTTTGTTTAAACTATATACCAATTC	R	53	58.4	13.2	292	C>T
3594	(29-poly-T tail)-TAGGAGGCCTAGGTTGAGGTT	R	58	62.2	20.6	167	C>T
7028	(33-poly-T tail)-CCTATTGATAGGACATAGTGGAAGTG	R	63	62.6	20.6	84	C>T
12406	(50-poly-T tail)-CCCATCCTTACCACCCTC	F	68	63.5	16.1	167	G>A
4833	(54-poly-T tail)-CCAGAGGTTACCCAAGGC	F	73	64.7	16.4	292	A>G
7600	(51-poly-T tail)-TATCTTAATGGCACATGCAGC	F	78	64.1	12.8	166	G>A

**Table 3.** Nucleotide position of the polymorphism, sequence of the single base extension primer including polymeric T-stretch ( $5' \rightarrow 3'$ ), orientation of the primer, primer length (bp), Tm of primer (°C), GC content of primer (%), final concentration (nM) of each minisequencing primer, and the expected base substitution observed at the polymorphic site. For the single base extension primers designed in the reverse orientation the recorded base substitution remains in the forward orientation to facilitate interpretation

Biosystems, Foster City, CA, USA), 1X Gene-Amp<sup>\*</sup> PCR Gold Buffer (Applied Biosystems), 2 mM MgCl<sub>2</sub> (Applied Biosystems), 200  $\mu$ M each dNTP (Roche, Mannheim, Germany), 2  $\mu$ L DNA extract, and 7.6  $\mu$ L of DNA grade dH<sub>2</sub>O. The genomic DNA concentration of the samples varied between 0.2 to 1 ng/ $\mu$ L. The final concentrations of the PCR primers are listed in Table 2.

Thermocycling conditions followed a "reverse touch down" program adapted for single base extension assays by Vallone et al (35). The conditions were as follows: 95°C for 11 minutes, 3 cycles of 95°C for 30 seconds, 50°C for 55 seconds, 72°C for 30 seconds, 19 cycles of 95°C for 30 seconds, 50°C for 55 seconds +0.2°C per cycle, 72°C for 30 seconds, 11 cycles of 95°C for 30 seconds, 55°C for 55 seconds, 72°C for 30 seconds, 72°C for 7 minutes, and storage at 4°C. PCR amplification was carried out using Gene-Amp<sup>®</sup> PCR System 9700 thermocyclers (Applied Biosystems), followed by agarose gel electrophoresis of the PCR product to verify that proper amplification occurred. Purification of 5 µL of PCR product was performed using 10 U of Exonuclease I (EXO) (USB, Cleveland, OH, USA) and 1 U of Shrimp Alkaline Phosphatase (SAP) (Roche Diagnostics Corporation, Indianapolis, IN, USA) enzymes in a final volume of 7 µL. The thermocycling conditions for EXO-SAP

purification were as follows: 70 minutes at 37°C followed by 20 minutes at 72°C.

## Single base extension and detection of the twelve polymorphic sites

Single base primer extension was carried out using 2  $\mu$ L of the SNaPshot<sup>®</sup> Ready Reaction Mix (Applied Biosystems), 2  $\mu$ L purified PCR product, and 10  $\mu$ L minisequencing primer mix in a 12  $\mu$ L final volume. The final concentrations of the single base extension primers are listed in Table 3. The thermocycling conditions were as follows: 25 cycles of 95°C for 10 seconds, 55°C for 5 seconds, 60°C for 30 seconds, and storage at 4°C. Samples were then purified with 1 unit of SAP for 70 minutes at 37°C, and 20 minutes at 72°C.

The SNP multiplex panel was developed in the laboratories of the Department of Forensic Sciences at The George Washington University using the ABI Prism<sup>®</sup> 310 Genetic Analyzer. Purified minisequencing products were prepared for capillary electrophoresis on the ABI Prism<sup>®</sup> 310 Genetic Analyzer by adding 1  $\mu$ L of product to a 0.5 mL tube containing 11.85  $\mu$ L of HIDI Formamide (Applied Biosystems) and 0.15  $\mu$ L of Genescan-120 LIZ Size Standard (Applied Biosystems). The samples were denatured at 95°C for 3 minutes and cooled at 4°C. The samples were then loaded on the ABI Prism<sup>®</sup> 310 Genetic Analyzer using a 47 cm × 50  $\mu$ M capillary filled with denaturing performance optimized polymer POP-4 (Applied Biosystems). The run parameters on ABI Prism<sup>®</sup> 310 Collection version 2.5 5-Dye Chemistry Software were as follows: 5-dye chemistry set up with module GS STR POP4 (1mL) E5, 5-second injection time, 15 kV injection and run voltage, 60°C run temperature, and a 15-minute run time. The data was then analyzed using the Genescan Version 3.7 software (Applied Biosystems).

Additional testing conducted at the Armed Forces DNA Identification Laboratory (AFDIL) was carried out using the ABI Prism<sup>®</sup> 3130 Genetic Analyzer utilizing the run parameters described in Vallone et al (35). Analysis was conducted using the Genemapper software (Applied Biosystems) with a custom panel and bin set to facilitate results interpretation. In addition, AFDIL results were analyzed with a custom-designed Excel macro that enabled automatic haplogroup typing from GeneMapper export files.

### DNA samples

In all, 147 samples were analyzed to evaluate the ability of the assay to properly assign haplogroups. The set of DNA samples tested included 20 extracts from individuals previously typed using RFLP analysis (data from Dr Theodore Schurr, Pennsylvania State University), 73 samples for which the haplogroup was inferred based on the control region (CR) sequence data (Armed Forces DNA Identification Laboratory, Rockville, MD, USA, unpublished data), 21 AFDIL samples for which the CR-inferred haplogroup was inconclusive, and 31 extracts with no haplogroup assigned but of known ancestral origin (11 Europeans, 9 Africans, and 11 Asians). To evaluate the ability of the assay to obtain results at low concentrations, progressive dilutions, ranging from 1 to 0.007 ng of genomic DNA, were tested with the multiplex SNP panel. Additionally, to evaluate the assay's potential application in the forensic field, analysis of two extracts obtained from World War II era skeletal remains was conducted at the AFDIL. Sample 1 was a human femur whose potential origin was an American soldier killed in 1945 in a plane crash on Negros Island, Philippines (Figure 2). Sample 2 was a human femur from one of four men lost in a 1943 plane crash in New Guinea. Both samples yielded no genomic DNA when quantitated with real time PCR.



Figure 2. Human femur (sample 1) allegedly collected near a 1945 plane crash site in the Philippine Islands.

### Results

The order of migration of the primers and possible nucleotides incorporated is as follows ("F" and "R" represent the forward or reverse orientation of the primers, respectively): 9 bp deletion  $(C \rightarrow G)$  F, 13263  $(A \rightarrow G)$  F, 1719  $(G \rightarrow A)$ F, 5178 (C $\rightarrow$ A) F, 663 (A $\rightarrow$ G) F, 10398 (A $\rightarrow$ G) F, 10400 (G→A) R, 3594 (G→A) R, 7028  $(G \rightarrow A)$  R, 12406  $(G \rightarrow A)$  F, 4833  $(A \rightarrow G)$  F, and 7600 (G $\rightarrow$ A) F (Figure 3). The primers designed in the reverse orientation incorporate the reverse complement nucleotide; therefore, the complementary base pair of the nucleotide incorporated during the reaction represents the nucleotide compared to the Cambridge reference sequence (CRS). As a result of the variable polymeric-T tails, the primers exhibited sufficient separation during electrophoresis to allow for simple identification and interpretation of the peaks.

The ideal genomic DNA concentration range for the initial PCR reaction is between 0.2 and 0.5 ng/ $\mu$ L. Sensitivity tests indicated though that sufficient signal strength (300 relative fluorescent units and greater) could be obtained down to



Figure 3. Electropherogram representing macrohaplogroup N and Cambridge Reference Sequence haplogroup H are included to demonstrate the ability to detect polymorphic sequences. The x-axis represents the size (bp) of the primer with the incorporated nucleotide, while the y-axis corresponds to the relative fluorescent unit (RFU) of the peak. Each fluorescent dye corresponds to a different nucleotide where blue represents G, green represents A, yellow (depicted here as black for better visual contrast) represents C, and red represents T.

0.007 ng of input genomic DNA. Adding more than 1 ng of genomic DNA to the reaction frequently resulted in off-scale peaks; however these generally did not compromise data interpretation. In the few cases in which the signal strength was high enough to complicate analysis, a reduced injection time was sufficient for successful troubleshooting.

The 20 samples with known ancestral origin and haplogroup designation revealed consistent results, with a single exception. One sample previously typed as haplogroup D (5178A) using the RFLP system exhibited an additional mutation (1719A) found among individuals belonging to haplogroup X and I (data not shown).

Of the 73 AFDIL samples for which a haplogroup was inferred based on CR sequence data, 59 samples gave identical results with the SNP typing. Of the 14 samples that did not give identical results, 3 samples were SNP typed as the same macrohaplogroup rather than the more specific haplogroup assignment based on the CR data, 5 samples gave an inconclusive haplogroup based on the SNP typing, and 6 samples were SNP typed as a different haplogroup than that determined from the CR data. Of the 5 samples that gave an inconclusive haplogroup based on the SNP typing, 2 samples were SNP typed as the correct haplogroup but also had the 9 bp deletion found among haplogroup B individuals, 1 sample was heteroplasmic at position 10398, and 2 samples displayed mutations at both np 4833 and np 7600. Of the 6 samples that were SNP typed as a different haplogroup than that inferred from the CR data, 3 samples were SNP typed as haplogroup X or I based on a mutation at np 1719, 1 sample was SNP typed as L3 rather than the CR typed haplogroup D, and 2 samples were SNP typed as haplogroup H rather than the CR typed haplogroup U. These results are summarized in Table 4.

All 21 AFDIL samples for which a single haplogroup could not be inferred from CR sequence data were successfully SNP typed. Of these, one

for the Armed Forces DNA identification Laboratory samples							
with a control region (CR) haplogroup assignment							
No. of samples (n = 73)	CR haplogroup	SNP haplogroup					
9	Α	A					
4	В	В					
5	С	С					
1	С	M*					
7	D	D					
1	D	L3 <sup>‡</sup>					
1	F	F					
2	F	N*					
2	G	E or G <sup>†</sup>					
3	Н	Н					
1	HV	N					
1	I	I					
1	I	l or X <sup>†</sup>					
1	I	I + 9bp deletion <sup>†</sup>					
5	L	L					
1	L	L + 9bp deletion <sup>†</sup>					
11	L3	L3					
1	L3	l‡					
7	M	М					
2	Ν	X‡					
3	U	N					
2	U	H‡					
2	X	Х					

Table 4. Single nucleotide polymorphisms (SNP) typing results

\*SNP typing identified the correct macrohaplogroup but failed to determine the more specific haplogroup assigned based on the CR data (3 samples).

sample is known to have been incorrectly SNP typed as haplogroup I. The haplogroup I SNP type was based on the presence of the 1719A mutation, however the CR sequence is missing numerous mutations characteristic of haplogroup I (A16129G, C16223T, G16391A, T199C, T204C, T250C) (51). The results of the SNP typing of these 21 samples, including inconclusive haplogroup assignments (where available) based on the CR data, are summarized in Table 5.

The 31 samples of known ancestral origin but which had not been previously haplogroup typed were successfully SNP typed. These results are summarized in Table 6.

The ancient skeletal remains also produced successful SNP typing results: samples 1 and 2, both extracted from World War II-era bones, SNP typed as haplogroup B (Figure 4) and macrohaplogroup N (data not shown), respectively.

All 12 SNPs tested were clearly typed in most of the tested samples, however all haplogroup A samples and five of the AFDIL haplogroup D

Table 5. Single nucleotide polymorphisms (SNP) typing results for the Armed Forces DNA Identification Laboratory samples without a conclusive control region (CR) haplogroup assignment. Samples that were identified as "D or G" haplogroups by CR sequencing are noted

CR haplogroup	SNP haplogroup		
D or G	D		
D or G	G		
?	Н		
?	1*		
?	Ν		
?	L3		
	CR haplogroup D or G D or G ? ? ? ?		

ne sample is known to have been incorrectly SNP typed

Table 6. Results from the analysis of 31 samples of known selfdefined ancestral origin

Known ancestral origin	Haplogroup designation	Inferred ancestry*
EU	Ν	EU/AS/NA
EU	I	EU
EU	Н	EU
EU	Ν	EU/AS/NA
EU	Н	EU
EU	N	EU/AS/NA
EU	Ν	EU/AS/NA
EU	L3	EU/AF/AS
EU	В	AS/NA
EU	Н	EU
EU	N	EU/AS/NA
AF	L1/L2	AF
AF	L3	EU/AF/AS
AF	L1/L2	AF
AF	Ν	EU/AS/NA
AF	Ν	EU/AS/NA
AF	L1/L2	AF
AS	D	AS/NA
AS	Ν	EU/AS/NA
AS	D	AS/NA
AS	L3	EU/AF/AS
AS	М	AS/NA
AS	В	AS/NA
AS	М	AS/NA
AS	Ν	EU/AS/NA
AS	L3	EU/AF/AS
AS	F	AS
AS	Ν	EU/AS/NA

\*Abbreviations: EU - European; AF - African; AS - Asian; NA - Native American

samples exhibited drop out (null allele) of the peak corresponding to np 4833 and np 10398, respectively. A null allele can be caused by a failure of the initial amplification of the fragment encompassing the polymorphism or by a failed single base primer extension reaction. The null allele in the haplogroup A samples was most likely caused by an A to G transition (np 4824) in the 4833 extension primer annealing region (30), however all samples had the expected base substi-

<sup>†</sup>SNP typing did not result in a conclusive haplogroup (5 samples). ‡CR and SNP haplogroup typing results did not agree (6 samples)



Figure 4. Electropherogram obtained from the mtDNA extracted from the femur recovered from a WWII plane crash in the Philippine Islands shown in Figure 2. The first peak is blue (G) which represents a C to G base change caused by the 8272-8280 9 bp deletion that defines Haplogroup B.

tutions 663A and 7028T. The five AFDIL samples that exhibited drop out of the 10398 SNP originated from the same region (Hong Kong), and are the only haplogroup D samples that exhibited this null allele. Coding region sequencing could be performed to determine the cause of the null allele, but such sequence data was not generated for these samples.

### Discussion

The assay characterizes 12 mtDNA coding region polymorphisms selected to identify the European (haplogroup H and I), African (haplogroup L1/L2), Asian (haplogroups E, F and G), and Asian/Native American (haplogroups A, B, C, and D) lineages. The assay is also able to identify macrohaplogroup L3, which includes several haplogroups in and out of Africa (52).

When the SNP assay was applied to the 73 AFDIL samples previously haplogroup typed using CR sequence data, the correct haplogroup or macrohaplogroup was unambiguously identified ~ 85% of the time. Among the 94 AFDIL samples SNP typed, a presumably correct haplogroup or macrohaplogroup was unambiguously assigned ~ 87% of the time. As a first step screening tool for haplogroup assignment, the SNP typing compares quite favorably to the considerably more time consuming, expensive, and labor-intensive alternative method of CR sequencing that correctly inferred a specific haplogroup slightly less frequently.

Of the AFDIL samples that did not result in a conclusive SNP haplogroup (5 samples, or  $\sim 7\%$ ), four instances resulted from one of two mutations (7600A or the 9 bp deletion) identified in a sample of a different haplogroup, and one instance occurred as the result of heteroplasmy at np 10398. However, in each of these cases, the correct haplogroup was one of the two assigned. The 9 bp deletion that defines haplogroup B has occasionally been found in populations from different geographic origins, suggesting that this mutation has independently occurred more than once during human evolution (29,53,54). This explains why, in a small number of samples, the 9 bp deletion could be observed concurrent with a mutation specific for a different haplogroup. Overall, the data here demonstrate that inconclusive haplogroup assignment could be expected to occur less than 10% of the time. In practice, these few instances of inconclusive haplogroup assignment could be corrected by sequencing the CR or the coding region in the area of another haplogroup specific polymorphic site.

Among the 7 AFDIL samples for which the SNP haplogroup disagreed with the CR-inferred haplogroup, more than half of the disagreements (4 samples) were the result of a mutation at np 1719. Thus, one limitation of this SNP assay is the correct identification of haplogroups X and I, as the mutation 1719A, initially chosen because found in both haplogroups, has also been observed in other haplogroups (10,26,30,38). It has been suggested that np 1719A is rather mutable, thus accounting for the appearance of the base substitution in several geographic lineages (55). Consequently, in order to specifically identify haplogroup X, the 1719 SNP should be replaced with a more informative polymorphism (eg. 6371) that can distinguish haplogroup X from the root of macrohaplogroup N (29,33,55).

Of the remaining 3 AFDIL samples for which the SNP and CR haplogroups disagreed, it is difficult to determine the correct haplogroup from the data available. In one case, the sample was tentatively designated as haplogroup D based on a specific CR mutation (16362C), while the SNP typing resulted in a L3 assignment. Like np 1719, the 16362 mutation has been observed on multiple branches of the mtDNA tree and may mutate frequently (32-34). As such, the CR haplogroup assignment may be incorrect for this sample. In the second and third case, the samples were designated as haplogroup U based on a specific mutation in the CR, but the SNP typing identified these samples as haplogroup H due to the lack of mutation at np 7028. Sequencing relevant portions of the coding region, or SNP typing of additional haplogroup specific positions of interest, would be the best (and likely only) way to resolve these haplogroup disagreements.

One area in which the SNP haplogroup typing proved to have a significant advantage over the CR haplogroup typing was in the capability to distinguish between haplogroup D and haplogroup G. More than half of the samples for which a single haplogroup could not be inferred on the basis of the CR sequence data were believed to belong to either haplogroup D or haplogroup G. In each of these cases, the SNP typing confidently assigned the samples to one of these two haplogroups (Table 5).

World War II-era Sample 1 was a human femur whose potential origin was an American soldier killed in 1945 in a plane crash in the Philippine Islands. In late 1946, American personnel visited the crash site and recovered wreckage but no human remains. In July of 1950, a Filipino native contacted the US Army and provided the femur, identifying the crash site as its source. However, in order to identify the femur as that of the missing American soldier, the mtDNA data would need to be consistent with the H haplogroup of the soldier's maternal relatives. The SNP typing of Sample 1 identified the sample as haplogroup B, thus excluding the American soldier as the source of the sample.

Sample 2 was a human femur found in the wreckage of a B-25D-1 Mitchell bomber that crashed in New Guinea in 1943 after being attacked by Japanese aircraft. Human remains from the crash were initially recovered and buried in New Guinea in the late 1940s, and later exhumed in 1947 for transfer to the Philippine Islands for storage. Before re-interment in 1950 at the Manila American Military Cemetery, the remains were treated with preservatives, and then finally exhumed again in 2004 for identification purposes.

Four American soldiers were aboard the B-25D-1 Mitchell bomber at the time of the crash. The mtDNA haplogroups of each were determined using maternal references: 2 soldiers belonged to haplogroup H, the third soldier to haplogroup T, and the last soldier to haplogroup K. SNP typing of the human femur submitted to AFDIL for analysis identified the sample as haplogroup N, thus excluding the two haplogroup H soldiers.

In these two cases, the SNP typing of the ancient skeletal remains confirmed the haplogroup obtained by CR sequencing. Though amplification and sequencing of the CR was successful when amplicons smaller than 150 bp were targeted, obtaining CR sequence data is generally time consuming, expensive, labor intensive, and can consume large quantities of limited extract. In both cases, the SNP typing confirmed the haplogroups identified by CR sequence data and resolved questions relating to the source of the femurs with a quick and easy assay that required only a single multiplexed amplification.

Based upon the specific SNPs included in this assay, only limited information was obtained from certain samples. For example, 7028T excludes a sample from haplogroup H, however the sample can still be placed within macrohaplogroup N. This macrohaplogroup contains mtDNA types from the European, Asian, and Native American lineages, thus providing inconclusive information on ancestral origin. The inconclusive ancestral determination is currently being addressed in our laboratories with the development of a European haplogroup assay (using the same minisequencing technology) designed to simultaneously type additional SNPs that allow identification of haplogroups H, H1, I, J, K, T, U, V, W, and X. The multiplex assay is currently being tested in our laboratories.

In conclusion, the minisequencing method utilized for this panel of SNPs demonstrated the ability to rapidly screen for haplogroups A, B, C, D, E, F, G, H, L1/L2, L3, M, and N when working either pristine or highly degraded DNA samples, therefore making it a potentially useful screening tool for molecular anthropology studies. Furthermore, the minisequencing strategy allowed for simple multiplexing, the design of amplicons with minimal length, and the ability to target multiple nucleotide positions located throughout the mtDNA coding region. These properties reduce sample consumption and enable simplistic interpretation of multiple SNPs simultaneously, which are desirable qualities for forensic DNA analysis.

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